REVIEW ARTICLE

Lipoprotein (a): a Unique Independent Risk Factor for Coronary Artery Disease

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Abstract The current epidemic affecting Indians is coronary artery disease (CAD), and is currently one of the most common causes of mortality and morbidity in developed and developing countries. The higher rate of CAD in Indians, as compared to people of other ethnic origin, may indicate a possible genetic susceptibility. Hence, Lp(a), an independent genetic risk marker for atherosclerosis and cardiovascular disease assumes great importance. Lp(a), an atherogenic lipoprotein, contains a cholesterol rich LDL particle, one molecule of apolipoprotein B-100 and a unique protein, apolipoprotein (a) which distinguishes it from LDL. Apo(a) is highly polymorphic and an inverse relationship between Lp(a) concentration and apo(a) isoform size has been observed. This is genetically controlled suggesting a functional diversity among the apo(a) isoforms. The LPA gene codes for apo(a) whose genetic heterogeneity is due to variations in its number of kringles. The exact pathogenic mechanism of Lp(a) is still not completely elucidated, but the structural homology of Lp(a) with LDL and plasmin is possibly responsible for its acting as a link between atherosclerosis and thrombosis. Upper limits of normal Lp(a) levels have not been defined for the Indian population. A cut off limit of 20 mg/dL has been suggested while for the Caucasian population it is 30 mg/dL. Though a variety of assays are available for its measurement, standardization of the analytical method is highly complicated as a majority of the methods are affected by the heterogeneity in apo(a) size. No therapeutic drug selectively targets Lp(a) but recently, new modifiers of apo(a) synthesis are being considered.

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Introduction

The current epidemic affecting Indians is coronary artery disease (CAD), and is currently one of the most common causes of mortality and morbidity in developed and developing countries. [[1](#page-5-0)]. By 2015, this is expected to rise by 103 % in men and by 90 % in women [[2\]](#page-5-0). It is predicted that in the next 15 years India would have more than half of the world's cases of coronary vascular disease, which includes both CAD and stroke [\[3\]](#page-5-0). More importantly, CAD is affecting Indians 5–10 years earlier than other communities [\[4\]](#page-5-0) and its incidence is 12–16 % as compared to 5 % in the West $[5, 6]$ $[5, 6]$ $[5, 6]$. This premature form of CAD is more diffuse and follows a malignant course. There is a clustering of risk factors among ethnic Indians, so the effect is a magnified risk, which is multiplicative rather than additive. The higher rate of CAD in Indians, as compared to people of other ethnic origin may indicate a possible genetic susceptibility. Since the affected population is in the working age-group, this has far reaching economic consequences for a developing economy like India.

Lp(a) is an LDL like particle discovered by Berg in 1963 and is an important independent risk factor for CAD. Its indeterminate pathophysiological mechanism, as well as the considerable variations found in its blood levels in different racial/ethnic groups, have consistently intrigued researchers. Though still not completely elucidated, Lp(a) may constitute a link between the processes of atherosclerosis and thrombosis. Also, new facts about the interactions between $Lp(a)$ and other established risk factors like LDL, HDL and homocysteine have emerged in recent studies [\[7](#page-5-0), [8](#page-5-0)]. The study of genetics has played an

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important role in establishing Lp(a) as an important risk biomarker for CAD and currently research is focusing on the LPA gene in this regard [\[9](#page-5-0), [10\]](#page-5-0).

 $Lp(a)$ is a plasma lipoprotein synthesized by the liver, containing a cholesterol rich LDL particle, one molecule of apolipoprotein B-100 and another protein, apolipoprotein (a) attached via a disulfide bond (Fig. 1). The blood levels, to a large extent, are genetically determined via variation in the apolipoprotein (a) gene $[11]$. Depending on the size of apo (a), 34 different isoforms of $Lp(a)$ have been observed and it has been estimated that more than 90 % of the interindividual variation in plasma Lp(a) has been attributed to the apo(a) gene while 70 $\%$ is related to the size of apo(a) isoforms [\[12\]](#page-5-0). Life long levels of Lp(a) are attained by the age of two and it is highly heritable. The high consistency of Lp(a) levels over many years in an individual, stresses the fact that Lp(a) does not have any substantial correlation with either lifestyle modifications or any of the established risk factors. This again emphasizes the importance of the LPA locus on Lp(a) levels and, unlike other markers, confers the advantage of assessing CAD risk by a single measurement [[13](#page-5-0)] (Box [1](#page-3-0)).

Reports of other factors affecting $Lp(a)$ levels in the blood are few. However, an effect of interleukin-6 (IL-6) on $Lp(a)$ expression has been described $[14]$ and there is some suggestion that estrogen may effect its blood level [[15](#page-5-0)]. Also, Lp(a) has neither consistently nor convincingly been associated or correlated to other risk factors in plasma, such as cholesterol, LDL, HDL, triglycerides or C-reactive protein (CRP) [[13](#page-5-0)] or even smoking. These findings further support the theory that the locus harboring the LPA gene plays an important role in determining the concentration of Lp(a) in blood.

Coronary Risk Association

Various studies on the relationship between Lp(a) and CAD have shown discordant results but majority have

Fig. 1 Structure of $Lp(a)$

found the association to be positive between the two. Some prospective studies have suggested that this association may be more marked in subjects with a raised LDL level [\[16](#page-5-0), [17\]](#page-5-0). A study by Albers et al. in [[18\]](#page-5-0) showed that elevated Lp(a) levels were a significant risk factor in patients with myocardial infarction, especially in the younger age group [\[18](#page-5-0)]. In another study involving Swedish male patients who were monitored for 6 years, the $Lp(a)$ levels in the fatal or non fatal CAD group were higher than the control group [\[19](#page-5-0)]. In yet another study by Ornek et al., it was concluded that serum $Lp(a)$ was higher in unstable angina pectoris and MI patients in comparison to stable angina patients and the levels also correlated positively with the severity of CAD which was independent of other lipid parameters and CAD risk factors [\[20](#page-5-0)].

With CAD rising in the Indian population due to affluence, urbanization and lifestyle changes, a number of studies conducted on the Indian population have observed higher Lp(a) levels in CAD patients than in controls $[21 [21-$ [26](#page-6-0)]. Vasisht et al. [\[23](#page-5-0)], examined the association of apo(a) polymorphism with Lp(a) levels in CAD in North Indians, and observed a strong association between raised Lp(a) and CAD. In another study, Lp(a) levels of CAD patients undergoing coronary angiography were compared with controls. The CAD patients showed higher levels of Lp(a), however those who were alcohol drinkers had lower levels [\[27](#page-6-0)]. In a few studies involving racial and ethnic groups, higher Lp(a) levels have been reported in Asian Indians as compared to Chinese [[28,](#page-6-0) [29](#page-6-0)]. Other studies have also reported higher Lp(a) levels in Asian Indians as compared to non Hispanic whites but the results are conflicting [\[30](#page-6-0), [31\]](#page-6-0). D Rajashekher et al., observed a positive correlation of $Lp(a)$ with CHD at >25 mg/dL in a South Indian population, which is a lower cut off than for other populations, with Lp(a) also showing a positive association with angiographic severity [\[32](#page-6-0)].

A study by Pedreno et al. has shown no gender difference in $Lp(a)$ levels in both patients and controls $[33]$ $[33]$. However Rajashekhar et al. [\[32](#page-6-0)] showed higher Lp(a) levels in females as compared to males which was in agreement with another report by Wong et al. [[34\]](#page-6-0). Higher levels are also found in post- menopausal women. Though influence of sex on Lp(a) is not yet established in literature but the lowering effect of testosterone could be the cause of lower $Lp(a)$ levels in males [[35\]](#page-6-0).

In the Indian population, upper limits of normal Lp (a) levels have not been defined. A cut off limit of 30 mg/ dL has been followed in the Caucasian population whereas for the Indian population, Enas et al. suggested a level of 20 mg/dL as upper limits of normal [\[36](#page-6-0)]. In an another study, among Asian Indians worldwide, mean Lp(a) has been defined as 18–20 mg/dL [[37](#page-6-0)]. Higher Lp(a) levels have also been observed in individuals with strong family history of CAD [\[38](#page-6-0)] and in patients with familial and non familial hypercholesterolemia [\[39](#page-6-0)]. Moreover, in Asian Indians diagnosed with NIDDM, Lp(a) has been demonstrated to be an independent risk factor for Coronary Heart Disease (CHD) [[40\]](#page-6-0).

Pathophysiology of Lp(a)

Lp(a) is an LDL like particle composed of a lipid core and two disulphide linked subunits : apolipoprotein B 100 and apolipoprotein, apo (a). The lipid core and apo B 100 are similar to that in LDL. The essential difference between the structure of Lp(a) and LDL is the presence of the glycoprotein apo(a), which is structurally similar to plasminogen, a precursor of plasmin, the fibrinolytic enzyme. This allows $Lp(a)$ to bind to fibrin and to the membrane proteins of endothelial cells and monocytes. Since Lp(a) resembles both LDL and plasminogen, it could possibly act as a link between atherosclerosis and thrombosis [[41](#page-6-0)]. The accumulation of $Lp(a)$ on the surface of fibrin and cell membranes as well as the inhibition of plasmin generation favors the deposition of fibrin and cholesterol at sites of vascular injury. After transfer into the arterial intima from the plasma, Lp(a) gets retained much more than LDL as it binds to the extracellular matrix through apo (a) as well as the apolipoprotein B component [[42\]](#page-6-0), thus contributing to the atherosclerotic plaque.

Recent studies have shown that $Lp(a)$ inhibits the generation of transforming growth factor- β (TGF- β) [[43\]](#page-6-0) leading to migration and proliferation of smooth muscle cells into the intima, thus further enhancing the formation of atheroma plaque. However this hypothesis needs further confirmation. Hence, though the exact mechanism of the pathophysiology of Lp(a) in atherosclerosis is still not clearly elucidated, the current evidence suggests that apo(a) inhibits plasmin formation and the LDL component favors cholesterol accumulation.

Other athero-thrombogenic mechanisms of Lp(a) include: (a) stimulation of Plasminogen Activator Inhibitor-1(PAI-1) and inhibition of tissue Plasminogen Activator (t-PA), thus enhancing Lp(a) dependent hypofibrinolyis (b) binding of Lp(a) to extra cellular matrix components like proteoglycans or glycosaminoglycans leading to accumulation of $Lp(a)$ in the vascular wall and (c) $Lp(a)$ and LDL are sensitive to exudation and phagocytosis of these oxidized particles results in formation of foam cells [[42\]](#page-6-0) (Fig. [2](#page-3-0); Box [2\)](#page-3-0).

Metabolism

The primary site of synthesis of $Lp(a)$ is the hepatocyte which also synthesizes apo B_{100} . Apo(a), on secretion, is

then assembled with plasma LDL to form Lp(a) by the formation of a disulfide bond between apo B_{100} in LDL and kringle IV in apo(a) $[44]$ $[44]$. The apolipoprotein (a) genotype alone accounts for 90 % of the concentration in blood since it determines both the rate of synthesis as well as the size of the apo(a) moiety $[45, 46]$ $[45, 46]$ $[45, 46]$ $[45, 46]$. Catabolism of Lp(a) occurs primarily by hepatic and renal pathways though they do not govern the plasma levels. Metabolism of $Lp(a)$ is independent of other lipoproteins and variations in plasma levels are due to synthesis rather than due to degradation [[44\]](#page-6-0) (Box [3](#page-3-0)).

Genetic Polymorphism and Functional Heterogeneity of Lp(a)

Genetics has played an important role in further enhancing the study of Lp(a) and the role of LPA gene in developing the risk of CHD $[9, 10]$ $[9, 10]$ $[9, 10]$ $[9, 10]$. It is now a well known fact that apo(a) gene regulates the circulating concentration of Lp(a). According to amino acid sequencing $\&$ cDNA cloning, apo(a) contains a variable number of kringle domains (i.e. 80–90 amino acid sequences arranged in triple loop tertiary structure stabilized by disulphide bridges) that are similar to kringle IV of plasmingen [[47,](#page-6-0) [48\]](#page-6-0). Kringle IV copies of plasminogen in apo(a) are similar but not identical and have been classified into 10 different types [\[49](#page-6-0)]. Variability in this type of kringle in apo(a) has lead to the identification of 34 isoforms of $Lp(a)$ [[50,](#page-6-0) [51](#page-6-0)]. The LPA gene which resides on chromosome 6q26–27, codes for apo(a) whose genetic heterogeneity is due to variations in its number of kringles. Solving the puzzle of kringle variability led to further research on the effect of LPA gene on cardiovascular disease. Kraft et al. showed the effect of kringle number variation on CHD risk [\[52](#page-6-0)], while Holmer et al. [[53\]](#page-6-0), tested the association of polymorphisms in LPA gene with the risk of atherosclerosis and its consequences. From these studies we can conclude that there is suggestive evidence that LPA gene confers risk of CAD though this risk relationship needs further detailing.

The smaller isoforms (i.e. relatively low number of kringle IV repeats of the Lp(a) polypeptide chains) are associated with a higher $Lp(a)$ concentration [[54,](#page-6-0) [55](#page-6-0)]. So the general consensus is that smaller this hyper variable region, and therefore smaller the size of the apo(a) isoform, higher the plasma concentration of Lp(a). This has been corroborated by studies [\[56–58](#page-6-0)] which have observed that low molecular mass isoforms were found more frequently in patients with high $Lp(a)$ concentrations $\&$ a history of myocardial infarction. Short apo(a) alleles increased Lp(a) levels, thus favoring atherosclerosis. Also, since the inverse relationship between apo(a) size $\&$ Lp(a) is not linear, this suggests a functional diversity among the Fig. 2 Schematic diagram representing different modes of action of Lp(a) in the vessel wall

Box 4 Who Should Have an Patients with a normal lipid profile, but have definite evidence of CHD $Lp(a)$ Test Done? Patients with premature CHD Family history of premature CHD or elevated Lp(a) Recurrent CHD despite treatment Postmenopausal women Prevalence of traditional CHD risk factors

apo(a) isoforms $[41]$ $[41]$. Another study by Hervio et al. $[59]$ $[59]$ suggested that the variable number of kringles in apo(a) influences its ability to bind to fibrin. Thus, low molecular mass isoforms of apo(a) with the highest affinity for fibrin are the best competitors for plasminogen $\&$ so are related to higher cardiovascular risk [\[58–60](#page-6-0)].

Since it is almost certain that the main structural difference between the isoforms is due to the variable number of kringles in apo(a), further investigations are required to confirm whether these variations are due to the presence or absence of any specific kringle sequences. Also, due to the inverse relationship between isoform size and affinity for fibrin, isoforms of low molecular size show highest affinity for fibrin. So according to this hypothesis, some Lp(a) phenotypes may not actually be related to CHD. This then introduces a novel concept of ''functional heterogeneity'' of Lp(a), adding a new dimension that not all individuals with elevated Lp(a) would be at risk for cardiovascular disease [\[41](#page-6-0)].

Measurement of Lp(a)

A variety of assays are available for the measurement of $Lp(a)$. They include immunoturbidity, nephelometry, enzyme linked immunosorbent assays, and fluorescence assays. The standardization of the analytical method for $Lp(a)$ is highly complicated as the majority of the methods determining its serum concentration are affected by the heterogeneity in apo(a) size. Also, in most of the clinical studies, Lp(a) has been estimated by methods affected by apo(a) size heterogeneity. So for these assays to be considered valid, we have to assume that the distribution of apo(a) isoforms is similar between cases and controls to minimize the potential of method dependent over or under estimation of Lp(a). However, to achieve assay standardization, each assay must be evaluated for its sensitivity to apo(a) size polymorphism (SM Marcovine in his NHLBI conference presentation) [\[45](#page-6-0)] (Box 4).

Lp(a) is usually reported as mg/dL representing the entire Lp(a) mass (protein, lipid and carbohydrate). However, these assays must be validated with reference standards because despite using polyclonal antibodies independent of isoform size, apo(a) size dependent bias has been observed with assay calibrators. Since poor agreement between various Lp(a) methods is a major problem, an IFCC Working Group for Standardization of Lp(a) assays had initiated a project to select a suitable secondary reference material for Lp(a). As the major problem facing Lp(a) estimation is lack of accuracy, the IFCC Working Group suggested that the testing should be done only by validated methods that are not affected by apo(a) size heterogeneity. These assays measure Lp(a) as moles of apo(a) protein using specific monoclonal antibodies and are independent of isoform size [[61\]](#page-6-0). It was then decided that the IFCC reference material with an assigned value of 107 should be used as point of reference for assay calibrator with the units as nmol/L. The nmol/L value can be converted to mg/dL by dividing by a factor 2.8.

Hence, manufacturers of Lp(a) testing should focus on producing assays which are minimally impacted by apo(a) size variability, assay imprecision as well as the variable effects of the antibodies used. Also data should be compared from different populations to exclude ethnic and race related differences. Finally, stringent protocols for blood collection and sample storage should be developed to minimize their impact on the measurement.

Treatment

Currently there are no guidelines recommending treatment of elevated Lp(a). It has been suggested that lowering of Lp(a) may prove to be beneficial in at least some subgroups of patients but these subgroups need to be properly defined with respect to Lp(a) levels, apo(a) size, presence or absence of other risk factors etc. [\[17](#page-5-0), [62\]](#page-7-0). Many studies have shown that using Niacin [\[63–68](#page-7-0)] alone or in combination with statins reduces $Lp(a)$ levels by 30–40 % [[69\]](#page-7-0). However, since Niacin also helps in lowering LDL-C, total cholesterol, triglycerides and raising HDL-C, its beneficial effects are not entirely due to the lowering of Lp(a). Nevertheless, these studies have shown clearly that using Niacin for lowering $Lp(a)$ is safe and beneficial. Also, since Lp(a) is relatively refractory to both lifestyle and drug interventions, the use of statins and fibrates have shown limited and variable results [\[70](#page-7-0), [71\]](#page-7-0).

The most effective therapy to reduce plasma $Lp(a)$ concentration significantly is therapeutic apheresis. In young

and middle aged patients with progressive CAD and markedly elevated Lp(a), LDL apheresis can be considered which removes $Lp(a)$ quite efficaciously. However, apheresis is laborious and expensive, and impractical for most patients and treatment centers [\[72](#page-7-0)].

It is therefore clear that a more detailed study of Lp(a) metabolism is required to develop the therapeutic interventions for lowering Lp(a) levels. Since the synthesis of Lp(a) is largely responsible for its blood levels, it would be appropriate to target the synthesis of apolipoprotein (a) and/ or the formation of $Lp(a)$ [[46,](#page-6-0) [73](#page-7-0)]. Antisense oligonucleotide and thyroid hormone analogue therapies directed at apolipoprotein(a) synthesis may hold therapeutic promise in the future [\[74,](#page-7-0) [75](#page-7-0)].

Conclusion

Lp(a) levels provide additional information on cardiovascular risk and is today an important component of the lipid profile test. An independent and continuous association between Lp(a) and risk of CAD has been firmly established by a number of studies. Lp(a) is highly stable in individuals across many years and is only weakly correlated with known risk factors. Though the exact mechanism of action is still not clear, it is now suggested that due to the presence of both LDL and plasminogen like moiety, Lp(a) may form a link between atherosclerosis and thrombosis. Since Lp(a) levels differ significantly between various racial/ethnic groups, multi-ethnic studies are required in different populations to provide insight into the variability found in its blood levels. As of now, there are no therapeutic drugs that selectively reduce Lp(a) levels, but recently, new modifiers of apo(a) synthesis are being identified. A routine screening of Lp(a) levels in blood along with apo(a) phenotyping may help in identification of individuals with a high genetic predisposition to CAD. Finally, further research is required on the inverse relationship between apo(a) size polymorphism and Lp(a) levels to help understand better, its role as a unique independent risk biomarker for CAD.

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