ORIGINAL ARTICLE



Breast-conserving therapy for breast cancer with BRCA mutations: a meta-analysis

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Abstract

Background BRCA1/2 mutated breast cancer accounts for 3 to 12% of all women with breast cancer and significantly increases the lifetime risk of breast cancer. However, the optimal local treatment for breast cancer with BRCA germline mutation remains controversial. Here we present a meta-analysis to evaluate the impact of breast-conserving therapy (BCT) on the prognosis of breast cancer with BRCA mutation.

Methods Two independent reviewers searched Pubmed, Embase and Cochrane Central Register of Controlled Trials databases for relevant studies on BCT and BRCA mutated breast cancer. Fixed or random effect models were used for metaanalyses based on whether significant heterogeneity existed among included studies. Funnel plot and Begg's test were employed for the evaluation of publication bias.

Results Totally, four studies with five cohorts and a totally 1254 patients were included for meta-analyses. The BCT group involved more T0/T1 (BCT 63.7% Vs. M 48.9%, p < 0.001), N0 (BCT 70.5% Vs. M 56.2%, p < 0.001) and ER negative (BCT 58.8% Vs. M 49.3% p < 0.01) tumors than M group. Patients who received M tended to have prophylactic contralateral mastectomy (BCT 16.5% Vs. M 35.8%, p < 0.001). BCT had a significant higher risk for local recurrence than M (HR 3.838, 95% CI=2.376–6.201, p < 0.001). The pooled results revealed no significant impact of BCT on disease-free survival (DFS), metastasis-free survival (MFS), breast cancer-specific survival (BCSS) and overall survival (OS).

Conclusions The present meta-analysis suggested that BCT had increasing local recurrence risk, but did not significantly impact patient survival in terms of DFS, MFS, BCSS and OS. BCT may serve as a safe alternative to mastectomy for breast cancer with BRCA mutation. Further high-quality randomized control trials are warranted to explore the optimal surgical management for BRCA mutation carriers.

Keywords Breast cancer \cdot BRCA gene \cdot BRCA mutation \cdot Breast conserving therapy \cdot Mastectomy \cdot Local recurrence \cdot Survival

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Introduction

BRCA1/2 mutated breast cancer accounts for 3–12% of all women with breast cancer, including 10–20% of those with triple-negative breast cancer [1, 2]. BRCA1 and BRCA2 are two critical tumor suppression genes for the repairment of double-stranded DNA breaks by homologous recombination [3]. Homologous recombination deficiency (HRD) caused by BRCA mutation was the main cause for increasing risk for breast cancer development. The cumulative risk for breast cancer development was up to 55–85% for women with germline BRCA mutation [4, 5]. Its pathological features differed from sporadic breast cancer with higher histological grade, more hormone negativity and an increased rate of P53 mutation [6, 7]. It also exhibited a unique pattern of clinical manifestation with early-onset diseases, bilateral breast cancer and other accompanying malignancies, especially ovarian cancer [8]. Thus, clinicians should adopt a distinctive and personalized treatment strategy for breast cancer with BRCA mutations in terms of both local and systemic control.

The surgical management of BRCA mutation-associated breast cancer evolves continuously during the past decade [1, 9, 10]. Breast-conserving therapy (BCT), defined as breastconserving surgery combined with radiotherapy, was proved to be a safe alternative for mastectomy (M) in sporadic early breast cancer. Despite a higher local recurrence rate, several large-scale randomized controlled trials validated BCT had no differences in overall survival (OS) compared with M [11–13], study by van Maaren et al. even reported improved survival due to the addition of radiotherapy [14]. However, the safety of BCT for BRCA mutation carriers was questioned since these patients usually harbored HRD caused by BRCA mutation which could lead to genome instability and secondary carcinogenesis. Given the high incidence of contralateral breast cancer for BRCA mutation carriers, the preservation of ipsilateral breast tissue after BCT could also incur increasing risk for in-breast recurrence and new primary malignancy. Another concern was that HRD caused by BRCA mutation may exacerbate the carcinogenic potential of radiotherapy after BCT and resulted in an increasing risk for iatrogenic secondary primary malignancies.

Hence, several studies evaluated the safety and efficacy of BCT for breast cancer with BRCA mutation, and the optimal local treatment for these patients was still controversial. Study by Pierce et al. suggested BCT had comparable survival with M in terms of breast cancer-specific survival (BCSS) and OS, but BCT associated with increased local recurrence which were largely secondary primary [15]. Study by van den Broek et al. also reported an elevated local failure rate of BCT for breast cancer with BRCA mutations, but the absolute local failure rates were not significantly different between BRCA carriers and non-carriers with 7.3 and 7.9%, respectively [16]. Conversely, the study by Huang et al. reported a similar local recurrence risk between BCT and mastectomy (M), and BRCA mutation carriers who underwent BCT even had a better local control than noncarriers with a 5-year recurrence-free survival of 95% versus 67% for non-carriers [17].

Thus, the present meta-analysis included relevant studies on surgical management of BRCA-mutated breast cancer and aimed to evaluate the impact of BCT on local control and survival for breast cancer with BRCA mutations.

Methods

Study objectives and endpoints

The present study intended to compare the prognosis of BCT with mastectomy in BRCA mutated breast cancer. After the literature search, studies that met the inclusion/ exclusion criteria and focused on surgical management of BRCA mutated breast cancer were included. The study population was BRCA-mutated early breast cancer patient who underwent either BCT or mastectomy. The experiment group was patients who underwent BCT and the control group was those who underwent mastectomy. The endpoints were local recurrence-free survival (LRFS), disease-free survival (DFS), metastasis free survival (MFS), BCSS, and OS.

Literature search

Literature search was performed in the following databases: PubMed (from 1946 to November 2020), Embase (from 1947 to November 2020, hosted by Ovid) and Cochrane Central Register of Controlled Trials (CENTRAL, from 2000 to November 2020). The following medical subject headings and keywords were used for searching: "BRCA", "BRCA1/2", "hereditary breast and ovarian syndrome", "lumpectomy" "breast conservation", "breast conserving surgery" and "breast conserving therapy". No limitation was set regarding languages or regions of publications. Please see Sup. Material 1 for detailed search strategy for each database. All the relevant references were retrieved and manually screened to ensure the sensitivity of the literature search.

Selection criteria and quality assessment

To be eligible, studies had to meet the following inclusion criteria: studies on breast cancer with BRCA1/2 mutations or containing subgroup of BRCA1/2 mutated breast cancer, germline BRCA1/2 mutation, operable early or locally advanced breast cancer patients, comparison between BCT and M, available data for survival analyses. Exclusion criteria were set as follow: study on prophylactic ipsi-/contra-lateral mastectomy, comparison between BRCA mutation carriers and non-carriers, study on patient's surgical decision, metastatic breast cancer; review, meta-analysis, editorial, letter, case reports, guidelines, and study protocols. Two independent reviewers (C.J. Wang and L. Yan) assessed the eligibility of studies according to the above criteria. The initial screening was the manual evaluation of the titles and abstracts of all the citations. Then full-text of the potentially

relevant studies were retrieved and reviewed for inclusion by the same two reviewers. Disagreement was resolved by consensus (C.J. Wang, L. Yan and Q. Sun).

Quality assessment of the included studies was performed according to the STROBE checklist [18, 19]. Ordinal scale from 1 to 5 (1 = Worst, 5 = Best) was used to score each item in the STROBE Checklist by two independent reviewers (C.J. Wang and Y. Lin). The final quality scores (QS) were the mean of scores generated by each reviewer with higher values indicating a better methodological quality. The mean of the QS of all the included studies was set as the cutoff to differentiate lowand high-quality subgroups.

Data extraction

Two reviewers (C.J Wang and L. Yan) collected data with a predesigned data extraction form. The characteristics of included studies (authors, publication year, country, study design, study population, BRCA mutation status, median follow-up, number of patients received BCT and M), clinicopathological parameters of study population and survival data (LRFS, DFS, MFS, BCSS, and OS) were extracted for meta-analyses. Survival data (hazard ratio [HR] and 95% confidence interval [CI]) were extracted either directly from tables/figures/text of included studies, or estimated from Kaplan–Meier curves using the method provided by Tierney et al. [20].

Statistical analysis

The demographic and clinicopathological parameters were presented as means and proportions. Between-group differences were assessed by the Pearson Chi-square test. Fixed or random-effects models were used for meta-analyses based on whether significant heterogeneity existed among included studies.

Heterogeneity was evaluated by Cochrane's Q and I-square statistics. Cochrane's Q test with p < 0.05 or I-square > 50% indicated significant heterogeneity existed and a random effect model was used for meta-analysis. Otherwise, a fixed effect model was adopted. Funnel plot symmetry and Begg's test were used to assess publication bias.

All the statistical tests were two-sided, and statistical significance was defined as p < 0.05. Statistical analyses were conducted by STATA version 16.0 (Stata Corporation, College Station, TX, USA).

Results

Three hundred and fourteen relevant citations were found in Pubmed, Embase and CENTRAL Database, and 297 citations were excluded after initial screening. Seventeen citations were considered to be potentially relevant to the study objective and full-text articles were retrieved for further evaluation. Finally, four studies with five cohorts and totally 1254 patients were included for meta-analyses [15–17, 21]. The flowchart for literature search and screening was

Fig. 1 Flowchart of articles reviewed and included in metaanalysis. BCT=Breast-conserving therapy



presented in Fig. 1. Sup. Table 1 showed the result of quality evaluation for included studies.

Characteristics of included studies and study population

The main characteristics of included studies were summarized in Table 1. Three studies used retrospective cohorts except study by Van den Broek et al. which was prospective cohort study [16]. All the studies recruited either operable or Stage I–III breast cancer patients. Study by Van den Broek et al. had two separate cohorts: one for BRCA1 mutation carriers, the other one for BRCA2 [16]. The median followup period was 5.0–15.4 and 4.8–12.1 years for BCT and M groups, respectively. The rate for patients received BCT ranged from 25.0 to 47.6%.

The demographic and clinicopathological characteristics of study population were listed in Table 2. The BCT group involved more T0/T1 (BCT 63.7% Vs. M 48.9%, p < 0.001), N0 (BCT 70.5% Vs. M 56.2%, p < 0.001) and ER negative (BCT 58.8% Vs. M 49.3% p < 0.01) tumors than M group. All the patients received BCT (100.0%) underwent radio-therapy while only 38.2% in M group (p < 0.001). There was ac significant higher proportion of patients in M group that had (Neo)adjuvant chemotherapy (BCT 63.6% Vs. M 69.8%, p < 0.05) and endocrine therapy (BCT 23.8% Vs. M 36.4%, p < 0.001). Moreover, patients who received M tended to have prophylactic contralateral mastectomy (BCT 16.5% Vs. M 35.8%, p < 0.001).

The impact of BCT on survival for BRCA mutated patients

Totally, 515 (41.1%) of the participants received BCT, and 739 (58.9%) patients received M.

Five cohorts reported LRFS data and no significant heterogeneity existed among included cohorts (I-square =0.0%, Cochrane's Q p=0.576). BCT had a significantly higher risk for local recurrence than M (LRFS: HR 3.838, 95% CI = 2.376–6.201, p < 0.001) (Table 3 and Fig. 2). The 5-year local recurrence rates (LRR) for BCT and M were 5.6 and 3.3%, respectively, and the corresponding 10-year LRR were 15.4% and 6.6% for BCT and M (Sup. Table 2).

All the cohorts included for DFS and MFS analyses showed strong homogeneity (DFS: I-square = 0.0%, Cochrane's Q p = 0.975; MFS: I-square = 32.2%, Cochrane's Q p = 0.225) and revealed no dramatic impact of BCT on DFS and MFS (DFS: HR 1.161, 95% CI = 0.681–1.979, p = 0.583; MFS: HR 1.377, 95% CI = 0.816–2.324, p = 0.231) (Table 3 and Fig. 3).

For BCSS and OS analyses, all the cohorts included had no significant heterogeneity (BCSS: I-square = 0.0%, Cochrane's Q p = 0.562; OS: I-square = 32.2%, Cochrane's

fable 1 Characteristics of studies inclu	uded in meta-analysis									
study	Country	Study design	Quality score	Study population	BRCA status	No	Follow- (years)	dn	Surgery	
							BCT	M	BCT (%)	M (%)
Pierce et al. [15]	Spain, US, Israel, Aus- tralia, New Zealand	RC	Low	Stage I-III	BRCA1/2	655	8.2	8.9	302 (46.1%)	353 (53.9%)
Vilsson et al. [21]	Sweden, Iceland	RC	High	Stage I-III	BRCA1/2	162	14.9	12.1	45 (27.8%)	117 (72.2%)
Van den Broek [16] (BRCA1 cohort)	Netherland	PC	High	Operable	BRCA1	191	14.3	9.8	91 (47.6%)	100 (52.4%)
Van den Broek [16] (BRCA2 cohort)	Netherland	PC	High	Operable	BRCA2	70	15.4	8.8	33 (47.1%)	37 (52.9%)
Huang [17]	China	RC	Low	Stage I-III	BRCA1/2	176	5.0	4.8	44 (25.0%)	132 (75.0%)

BCT Breast-conserving therapy, PC Prospective cohort, RC Retrospective cohort, M Mastectomy

Table 2Demographicand clinicopathologicalcharacteristics of studypopulation

		BCT (<i>N</i> =515)	M (N=739)	P value
Age [#]		42.1	43.1	
T stage	T0 / T1	297 (63.7%)	298 (48.9%)	< 0.001
2	T2	162 (34.8%)	262 (43.0%)	
	T3 / T4	7 (1.5%)	49 (8.0%)	
Grade				
	Low	7 (4.3%)	9 (3.1%)	0.541
	Medium	45 (27.4%)	92 (31.8%)	
	High	112 (68.3%)	188 (65.1%)	
N stage	-			< 0.001
	N0	311 (70.5%)	337 (56.2%)	
	N1	98 (22.2%)	150 (25.0%)	
	N2-3	32 (7.3%)	113 (18.8%)	
ER status				< 0.01
	Positive	183 (41.2%)	314 (50.7%)	
	Negative	261 (58.8%)	305 (49.3%)	
Postoperative radiotherapy				< 0.001
	No	0 (0.0%)	366 (61.8%)	
	Yes	391 (100.0%)	226 (38.2%)	
(Neo)adjuvant chemotherapy				< 0.05
	No	187 (36.4%)	219 (30.2%)	
	Yes	327 (63.6%)	505 (69.8%)	
Endocrine therapy				< 0.001
	No	385 (76.2%)	458 (63.6%)	
	Yes	120 (23.8%)	262 (36.4%)	
Prophylactic Surgery (not for an event) ^{&}				
Ipsilateral mastectomy				NA
	No	133 (78.7%)	NA	
	Yes	36 (21.3%)	NA	
Contralateral mastectomy				
	No	395 (83.5%)	384 (64.2%)	< 0.001
	Yes	78 (16.5%)	214 (35.8%)	

BCT Breast-conserving therapy, M Mastectomy, NA Not applicable

[#]The standard deviation of patient age was unable to calculate due to insufficient data provided by included studies. Thus, statistical analyses could not be performed

[&]Prophylactic surgery indicated ipsilateral/contralateral mastectomy that was not therapeutical surgery for second invasive breast cancer, in situ breast carcinoma or local recurrence

Table 3Overall resultsfor local recurrence-freesurvival, disease-free survival,metastasis-free survival, breastcancer-specific survival andoverall survival with Begg's testfor publication bias

Survival	Heterogeneity		Meta-ana	Meta-analyses		
	I-square (%)	p value	Model	HR (95% CI)	p value	<i>p</i> value
LRFS	0.0	0.576	Fixed	3.838 (2.376-6.201)	< 0.001	0.142
DFS	0.0	0.975	Fixed	1.161 (0.681–1.979)	0.583	0.317
MFS	32.2	0.225	Fixed	1.377 (0.816–2.324)	0.231	0.317
BCSS	0.0	0.562	Fixed	1.282 (0.756–2.175)	0.357	0.602
OS	0.0	0.370	Fixed	1.017 (0.713–1.452)	0.925	0.602

CI Confidence interval, *HR* Hazard ratio, *LRFS* Local recurrence-free survival, *DFS* Disease-free survival, *MFS* Metastasis-free survival, *BCSS* Breast cancer-specific survival, *OS* Overall survival

Fig. 2 Forest plot of local recurrence-free survival between breast-conserving therapy and mastectomy



Q p = 0.370). The pooled results revealed BCT did not remarkably affect BCSS and OS (BCSS: HR 1.282, 95% CI = 0.756-2.175, p = 0.357; OS: 1.017, 95% CI = 0.713 - 1.452, p = 0.925) (Table 3 and Fig. 4).

Study

Pierce 2010

Nilsson 2014

Huang 2020

.1

ID

Publication bias

Potential publication bias was evaluated by Funnel plots with symmetrical appearance (Sup. Fig. 1). Begg's test suggested no significant publication bias (LRFS p = 0.142, DFS p = 0.317, MFS p = 0.317, BCSS p = 0.602, and OS p = 0.602).

Discussion

BRCA mutation is one of the most common deleterious mutations for hereditary breast cancer. Women who harbor BRCA mutation have a lifetime breast cancer risk up to 83% [22]. And it usually affects young women with an early onset at age 30-40 [8]. However, the optimal surgical management for BRCA mutation carriers remained contentious, especially for ipsilateral breast-conserving treatment and contralateral prophylactic surgery. The present meta-analysis included five cohorts with 1254 patients and evaluated the safety of BCT in BRCA mutation carriers. The pooled results revealed the BCT group involved more T0/1, N0 and ER (-) tumors and patients who received M were prone to have prophylactic contralateral mastectomy. For survival analyses, BCT had a higher risk for local recurrence than M (HR 3.838, 95% CI=2.376-6.201, p<0.001), but comparable DFS, MFS, BCSS and OS.

BCT was conventionally regarded to be more suitable for small tumors. Several trials enrolled patients with T1-2 breast cancers to evaluate the efficacy of BCT [12, 23]. It also had the same trend for BRCA mutation carriers [15,

17]. This was concordant with the present study that BCT group had more T1/N0 breast cancer. However, it raised the concern that BCT group had more low-risk patients with good prognosis. It could probably introduce selection bias that the comparable prognosis between BCT and M in terms of DFS, MFS, BCSS and OS were largely driven by earlystage tumor instead of surgical management. Moreover, the pooled results also showed patients who received M had a high proportion of prophylactic contralateral mastectomy. Conventionally, BRCA status was regarded as a key impact factor for surgical decision making. It was reported 87.2% BRCA-positive patients received bilateral mastectomy and 41.2% patients who had BCT first converted to bilateral mastectomy after receiving BRCA-positive reports [24]. Pathogenic BRCA1/2 mutation rendered up to 85% of the patients underwent bilateral mastectomy, even for patients with VUS, this rate was still around 50% [25]. From the psychological perspective, it was acceptable that patients who chose ipsilateral mastectomy usually paid less attention to cosmetic effect, and safety issues were set as the first priority.

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The present study showed increasing local recurrence risk for BCT than M. It was consistent with several retrospective studies [15, 16, 21]. Another systemic review that reported only survival rate also proved elevated local recurrence risk without significant impact on BCSS and OS rate[26]. Unfortunately, this systemic review did not include two recent publications on BCT and BRCA mutation which may undermine its power [16, 17]. In contrast, study by Huang et al. drew the contradictory conclusion that BCT and M had similar local recurrence rate [17]. And the meta-analysis by Valachis et al. also suggested BCT did not increase ipsilateral breast recurrence, but this meta-analysis only included one study with a direct comparison between BCT and M within BRCA carriers [27]. One of the rational explanations for increasing local recurrence would be the fact that BRCA mutation tended to had secondary primary breast cancer.



Fig. 3 Forest plot of disease-free survival and metastasis-free survival between breast-conserving therapy and mastectomy: A Disease-free survival; B Metastasis free survival

The 10-years cumulative risk for contralateral secondary primary breast cancer was up to 27%, indicating the ipsilateral breast had a similar risk for developing secondary primary malignancies [28]. Other clinicopathological features also indicated ipsilateral local recurrence for BRCA mutation carriers had a similar pattern as secondary primary rather than true recurrence. For instance, the location and histology were largely different with primary cancer and the interval between primary cancer and local recurrence was longer than sporadic breast cancer [15, 29].

Despite decreasing LRFS, the pooled results revealed no significant impact on DFS, MFS, BCSS and OS. The disparity between LRFS and DFS/MFS/BCSS/OS was contradictory to several prospective studies that strongly supported local control failure and distant metastasis had a causal correlation [15]. Conventional risk factors for local recurrence included chemotherapy, endocrine therapy, margin status and so on. Chemotherapy was regarded as one of the key independent prognostic indicators irrespective of BRCA status [30, 31]. The contradictory result between local control and DFS/MFS/BCSS/OS may partially attribute to enhanced chemosensitivity of BRCA mutation carriers and increasing usage of chemotherapy [15, 32]. Theoretically, BRCA mutation carrier has HRD, the process of carcinogenesis was much easier than noncarriers, but the cancer cells were more vulnerable. Thus,





Fig. 4 Forest plot of breast cancer specific survival and overall survival between breast-conserving therapy and mastectomy: A Breast-cancer specific survival; B Overall survival

it could not only increase local recurrence, but HRD may also induce enhanced chemosensitivity. Study by Byrski et al. proved breast cancer with BRCA mutation had a higher pathological complete response (pCR) rate by adding platinum in neoadjuvant setting [33]. And BRCA mutation carriers had average pCR rate up to 43.4% which was much higher than non-carriers with only 22% [34, 35]. Improved therapeutic effect for chemotherapy could potentially compensate the risk for compromising longterm survival and result in comparable DFS, MFS, BCSS and OS between BCT and M. Additionally, POSH study as the largest prospective study on BRCA mutation carriers also demonstrated no significant difference of OS between BRCA carrier and non-carriers [2]. It could be speculated that the sharp contrast between local control and DFS/ MFS/BCSS/OS was the natural biological behavior of BRCA1/2 associated breast cancer. It exhibited a unique disease progress pattern with a high incidence of secondary primary malignance rather than true recurrence with a more aggressive phenotype as sporadic breast cancer. Moreover, close follow-up for BRCA carriers may also make a great contribution to early recurrence detection, and subsequent intensive treatment could greatly reduce the risk for distant metastases. From the perspective of heterogeneity investigation, all the data included for survival analyses had high homogeneity (all the analyses had I-square < 50% and Cochrane's Q p > 0.05) and provided solid evidence for the pooled results. Given that included studies for meta-analysis usually had different study population, therapeutical agents, and other potential confounding factors, it could probably introduce bias. According to Cochrane's handbook for systemic review, subgroup analyses and sensitivity analyses were usually used for exploring the source of heterogeneity [36]. The low heterogeneity in the present meta-analysis indicated the major determinants for all the included studies were largely in common, and the final pooled results could be more reliable.

Our study had several limitations. First, ipsilateral secondary primary breast cancer data were unavailable for most of the included studies, so further evaluation for the cause of increasing local recurrence was unable to perform. Second, due to a limited number of studies included, meta-regression and subgroup analyses on several critical clinicopathological variables, such as hormone receptor status, intrinsic subtypes, and chemotherapy regimens, was unable to conduct. Third, the impact of prophylactic contralateral mastectomy and oophorectomy was unable to evaluate with the current available data.

Future large-scale randomized control trial would be the optimal choice to further validate the above conclusion. And given the low incidence of BRCA mutation and time-consuming recruitment process of BRCA related clinical trials, real-world studies with large sample size and multi-center involved would be a reasonable alternative.

Conclusion

The present meta-analysis showed that BCT had increasing local recurrence risk, but did not significantly impact patient survival in terms of DFS, MFS, BCSS and OS. BCT may serve as a safe alternative for local treatment of breast cancer with BRCA mutation. Further high-quality randomized control trials are warranted to explore the optimal surgical management for BRCA mutation carriers.

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Author contributions CW, YZ and QS designed the project; CW, YL and QS performed the literature search and data acquisition; CW and YL performed data extraction; FM, HZ, XH and XZ performed the statistical analyses for heterogeneity investigation; CW, XC, HZ and YZ supported the writing of the paper. All authors read and approved the final manuscript.

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Declarations

Conflict of interest All the authors have declared no conflicts of interest.

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