

Multidrug- and Cross-Resistant *Candida*: the Looming Threat

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Abstract Advances in medicine have led to more patients being at risk of fungal infections. Diagnostic tools are limited, but early antifungal treatment is crucial to improve outcome. Hence, not a few patients receive empirical antifungals with the disadvantage of increasing the burden of antifungal drug resistance. From a clinical point of view, it is of interest to understand how commonly resistance occurs, how easy it is induced through therapy, and how often it results in clinical treatment failure. The answer differs and depends on the clinical setting, the type of fungal disease, the class of antifungal agent, and treatment duration. This review provides a comprehensive overview on cross-resistance (CR) and multidrug resistance (MR) occurring in *Candida* species. Known amino acid substitutions are listed which lead to CR (resistance against \geq two azoles or echinocandins), pan-azole resistance (against all systemically applied azoles), pan-echinocandin resistance (against all echinocandins), or MR (polyene-azole resistance, 5-fluorouracil-azole resistance, and azole-echinocandin resistance). Data are supplemented with treatment results from animal studies and experiences from

various case reports. An appraisal will be made based on the current frequency of CR and MR reported in the literature, and subsequently, the impact of CR and MR on patient management will be discussed.

Keywords Yeast · Azoles · Echinocandins · Cross resistant · Pan-azole resistant · Pan-echinocandin resistant

Introduction

Fungi have become major human pathogens, and the isolation of *Candida* species less susceptible to current therapies and the recovery of increasingly resistant isolates are growing problems [1•]. Several factors contribute to this epidemiological situation with advances made in medical care resulting in sicker patients being susceptible to fungi [2]. *Candida albicans* still remains most important, but other non-*C. albicans* species may result from selective pressures associated with the increased administration of antifungal agents [3••]. Antifungal drug resistance is characterized as microbiological or clinical. Microbiological resistance displays the non-susceptibility of a fungal pathogen to an antifungal agent determined by in vitro susceptibility testing when compared with isolates of the same species. Primary, or intrinsic, resistance refers to an organism's natural susceptibility to an antimicrobial and reflects to be a predictable trait. This innate level of susceptibility is thought to be a drug-organism characteristic and independent of drug exposure such as given for *Candida krusei* and fluconazole [4, 5]. Secondary or acquired resistance is much less predictable and potentially more problematic. Under the exposure of antifungal agents, a fungal population initially susceptible may begin to express resistance. It is likely that resistance occurs as the result of several processes, including the emergence of a resistant variant from a common genotype [6], the selection of resistant strains from

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a mixed population [7], and reinfection with a new resistant strain [8]. The antifungal susceptibility patterns and frequencies of various *Candida* species isolated vary considerably among institutions and even among units in the same institution. In southern countries such as Italy, Spain, and South America, *Candida parapsilosis* [9] ranks second, while in northern countries, *Candida glabrata* takes this position [10]. Clinical resistance refers to infection persistence despite treatment with adequate therapy. Although microbiological resistance can contribute to the development of clinical resistance, other factors may also be involved, such as impaired immune function, underlying disease, reduced drug bioavailability, biofilm formation, and increased drug metabolism [11]. Hence, microbiological resistance is one of the factors underlying clinical resistance but not the most important one. The controlled studies of clinical importance of cross-resistance (CR) and multidrug resistance (MR) are lacking, but irrespective of the pathogen, the issue of CR and MR is most likely to be considered in seriously compromised individuals with invasive fungal infection and extensive exposure to antifungal drugs. This review will focus on clinical relevant ascomycete yeasts and their tendencies to develop antifungal resistance against commonly used compounds for systemic therapy. The term *Candida* is used in this review in its “applied clinical sense” (were all ascomycete yeasts are pooled in an artificial genus called *Candida*). The authors are aware that the genus *Candida* (based on morphological features only) was split up in several taxonomical valid genera which are distantly related [12]. Giving some examples, *Candida lusitanae* was renamed to *Clavispora lusitanae* and *C. krusei* to *Issatchenkia orientalis*, respectively. Further information on up-to-date nomenclature of yeast can be found in a recent publication by Schmalreck et al. [3••]. In the current review, we will mainly focus on MR and CR of the two major species *C. albicans* and *C. glabrata*. It is important for clinicians to be aware of trends and mechanisms responsible for the expression of resistance to incorporate this knowledge into up-to-date patient management.

Figure 1 gives an overview on antimycotics, their sites of action, and mechanism of resistance.

Microbial Resistance—a Clinical Issue?

From a clinical point of view, it is of interest to give answers on how commonly resistance occurs, how easy it is induced through therapy, and how often it results in the clinical failure of treatment? The answer differs within the clinical setting [9, 13, 14], type of fungal disease [15, 16], class of antifungal agents [17], and treatment duration [18, 19]. Clearly, we know that exposure to azoles is a significant risk factor for resistance development and that azole treatment leads to the selection of less susceptible species such as *C. glabrata* and *C. krusei*; in

the past, the latter species predominated superficial infections [20] whereas in these days shift to blood stream infections [10, 21••]. In addition, appearance or disappearance of azole resistance depends on the rate of fungal growth, the number of mutations, or phenotypic changes necessary for resistance [6]. Fluconazole resistance remains uncommon in *C. albicans* (<5 %) but is more prevalent in *C. parapsilosis* (4–10 %) and *Candida tropicalis* (4–9 %) [22]. *C. glabrata* is a haploid species of *Candida* that has emerged as the second most common *Candida* organism associated with fungemia [23]. A likely contributing factor to the rapid growth (2 % in the 1970s to 20 % now) is the robust ability of *C. glabrata* to acquire tolerance to commonly deployed antifungal agents. Breakthrough fungal infections in bone marrow transplant patients receiving fluconazole prophylaxis [24] were attributed to *C. glabrata* displaying CR to fluconazole, voriconazole, itraconazole, and posaconazole [8]. Not only does *C. glabrata* relatively easily convert to an azole-resistant pathogen, but also becomes simultaneously MR.

Despite more than 30 years of clinical use, minimal resistance has developed to amphotericin B, and the drug continues to be important in the treatment of a variety of fungal pathogens. This may be due to its inherently fungicidal effect, limiting the selection of mutants. However, some *Candida* species including *C. lusitanae*, *C. glabrata*, and *Candida guilliermondii* are capable of expressing resistance to amphotericin B [1•].

Echinocandins have established themselves as valuable agents for the treatment of candidiasis, and data show resistance to occur primary and secondary to mutation of the *FKS1* gene [25]. One survey showed the frequency of *C. parapsilosis*, a species known for its reduced susceptibility to the echinocandins, to be increased after treatment with caspofungin (13 to 31 %) [13]. Clinical studies display *Candida* species less susceptible or resistant to caspofungin being more prevalent following treatment (30 days) with the drug ($P < 0.001$) in the ICU setting [14] and in patients suffering from hematological malignancies [13]; 7 days of exposure to echinocandin is sufficient to induce *FKS* mutations in *C. glabrata* [26], whereby the nature and/or the number of *FKS* mutations in *C. glabrata* and *C. albicans* influences in vivo resistance [27••]. *FKS* mutations were found in 7.9 % of 313 *C. glabrata* isolates from blood samples, and up to 80 % of patients infected with strains with both *FKS* mutations and high minimum inhibitory concentrations (MICs) for caspofungin experienced clinical failure or recurrent infection [28].

Epidemiological Cut-off Values and Clinical Breakpoints for *Candida* Species

The Clinical and Laboratory Standards Institute (CLSI) and the European Committee on Antimicrobial Susceptibility

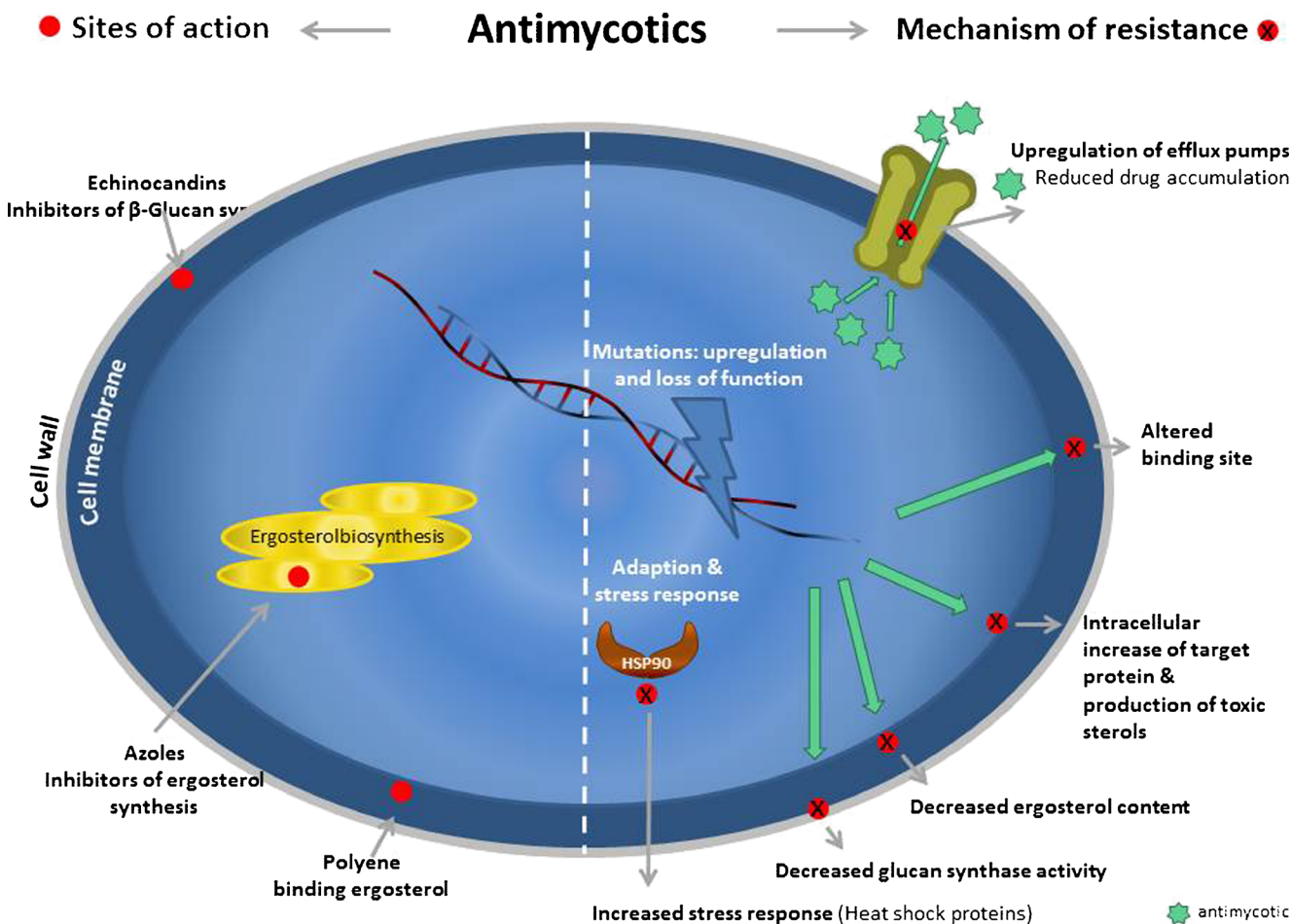


Fig. 1 Primary targets and resistance mechanisms of major systemic antifungal drugs

Testing (EUCAST) have developed standard susceptibility testing methods for *Candida* species based on broth microdilution [29–31]. Epidemiological cut-off values (ECOFF) and clinical breakpoints (CBPs) have been developed for MIC interpretation. ECOFF is defined as the upper limit of the wild-type population, thereof discriminates wild-type from resistant strains and is useful to monitor MIC trends. CLSI and EUCAST introduced species-specific clinical breakpoints for azoles and echinocandins, and the classification covers strains being susceptible, susceptible dose dependent, or resistant [32]. However, in vivo and in vitro outcome still is not perfect [33], which in turn renders CBP setting into to a permanent changing process taking into account latest news on MICs and clinical outcome data to optimize patient management. So far, the last correction of CLSI CBPs [5, 4] caused an increase of micafungin-resistant *C. glabrata* isolates from 0.8 to 7.6 % and of voriconazole-resistant isolates from 6.1 to 18.4 % [34]. Overall, these changes resulted in 5.7 % instead of 2.1 % of all isolates being resistant [34]. Whether the use of revised CBPs may improve the clinical predictive value of in vitro susceptibility tests needs to be validated in more detail.

Cross-Resistance and Multidrug Resistance in *Candida* Species

CR is defined as resistance that occurs for two or more antifungal substances of one similar chemical class with a similar mode of action, e.g., resistance against \geq two azoles or echinocandins [6]. CR might develop in organisms which have been exposed to the same or similar substance. MR is defined as resistance against structurally unrelated antifungal agents with different cellular targets. MR may emerge by the long-term exposure of structurally unrelated antifungals (e.g., simultaneous azole and echinocandin resistance) or by the interaction of two structurally unrelated agents with linked cellular mechanism (e.g., simultaneous azole and amphotericin B resistance) [6]. CR and MR both are specified on in vitro phenotypes and may be associated with in vivo outcome or therapeutic failures. The terms pan-azole and pan-echinocandin cover resistance against all systemically applied azoles and echinocandins [27••]. Unfortunately, the clinical impact of all various drug-bug profiles is not known due to limited data available.

Cross-Resistance Among Azoles and Echinocandins

CR against the various systemically applied triazole agents such as fluconazole, itraconazole, posaconazole, and voriconazole is well known for *Candida* species [35] and has been described for anidulafungin, caspofungin, and micafungin [36]. CR was found to be associated with various molecular mechanisms, and most frequently, triazole resistance is associated with point mutations (single nucleotide polymorphisms (SNPs)) in the *ERG11* gene, while echinocandin resistance is frequently connected with SNPs in the *FKS1p* gene [37–39]. Whether SNPs cause silent or missense amino acid mutations is essential for resistance characteristics, as silent mutations do not lead to a change in the amino acid (aa) substitution, while missense mutations do. Also, the differentiation between haploid and diploid yeasts is key for the interpretation of SNPs; as for diploid organisms such as *C. albicans*, mutations are either heterozygous (affecting only one of both alleles) or homozygous (affecting both alleles). Haploid *Candida* species (e.g., *C. glabrata*) mutate more frequently than diploid *Candida* species (e.g., *C. albicans*). In practice, a diploid strain carrying a heterozygous mutation still has the capacity to produce the wild-type (WT) protein, while strains with homozygous mutations exclusively produce the mutated protein [27••].

Fluconazole is frequently used for the treatment of invasive candidiasis and candidemia and for prophylaxis in non-neutropenic patients [18]. An intrinsic resistance against fluconazole, e.g., for *C. krusei*, does not necessarily result in CR against other triazoles, as the majority of this species are susceptible to voriconazole. In contrast, acquired resistance is to a greater extent associated with CR. Pfaller et al. [10] reports of approximately 9.5 % of *C. glabrata* causing blood stream infections being resistant against fluconazole and voriconazole. Such findings are proved by numerous cases of breakthrough infections and therapeutic failures under azoles [40–44], and a switch to echinocandins was found to be successful in several cases [41, 42]. Resistance against azoles and echinocandins is rare and so far only observed in chronically infected patients receiving antifungal long-term treatment [27••]. A switch to amphotericin B might be less successful as azole-resistant isolates may carry simultaneously resistance against amphotericin B (see chapter azole-amphotericin B MR) [45–48].

For *C. albicans*, various combinations of CR exist, and among them, ketoconazole/fluconazole, itraconazole/miconazole, fluconazole/clotrimazole/itraconazole, and itraconazole/ketoconazole are most important [25]. Pan-azole resistance is associated with *CDR1* and *CDR2* overexpression and SNPs in the *ERG11* gene encoding for the 14 α -sterol demethylase [49, 50]. Other mechanisms are *ERG11* overexpression, upregulation of multidrug efflux transporters (including ATP-binding cassette and major facilitator superfamily (MFS) transporters),

and a bypass of the ergosterol pathway via accompanying mutations in the Δ 5,6-desaturase gene (*erg3*). Prasad and Singh [51] reviewed the role of lipids involved in cross talks between different cellular circuits that influence the acquisition of multidrug resistance in *Candida* species. They summarized how the lipid composition of the cell membrane impacts on the localization and function of multidrug transporter proteins (*CDR1*). Notable is the fact that not all multidrug resistance transporters are affected by cell membrane lipid imbalance; *MDR1* remains correctly localized and shows no functional loss. New regulatory circuitries potential impacting the development of multidrug resistance are identified by Dhangayee et al. [52] using gene profiling and RNA-Seq data.

Only limited information is known for *C. tropicalis*, but it is speculated that the molecular mechanisms of azole CR are highly similar to those described for *C. albicans*. Forastiero et al. [46] demonstrated azole CR being related to coding mutations in the *ERG11p* with or without alternations in the ergosterol biosynthesis pathway. In a case report, Couzigou et al. [37] describes a pan-azole-resistant isolate of *Candida kefyr* which was found to carry two coding mutations in the *ERG11*. An overview of *ERG11* point mutations that cause pan-azole resistance in *Candida* isolates is given in Table 1. Pan-azole resistance is not rare to see accompanied by amphotericin B resistance (see chapter azole-amphotericin B multi-resistances).

Breakthrough fungal infections during echinocandin treatment are mainly caused by *C. albicans*, *C. glabrata*, and *C. parapsilosis* [19, 21••, 53–57]. An overview of echinocandin resistance and potential treatment strategies was recently published by Beyda et al. [20]. Herein, the authors provide a comprehensive overview on species involved, underlying molecular resistance mechanisms being present and patient's related outcome [20]. Point mutations in the *FKS1p* (encoded by the genes *FKS1*, *FKS2*, and *FKS3*) are mainly responsible for echinocandin resistance. Together with the regulatory protein *RHO1p*, *FKS1p* (catalytic subunit) forms the 1,3- β -D-glucan synthase [58]. In *C. albicans*, *C. tropicalis*, and *C. krusei*, echinocandin resistance is associated with mutations in the two *FKS1* hot spot (hs) regions, hs 1 stretching from aa 641 to 649 and hs 2 stretching from aa 1345 to 1365 [59]. While for *C. glabrata* in addition to *FKS1*, also *FKS2* hs 1 (aa 659–667) and hs 2 (1374–1381) are involved [59, 54, 60]. One of the most commonly found *FKS1p* mutation that leads to pan-echinocandin resistance is S645P; this mutation was reported from *C. albicans* [16] and *C. kefyr* [61]. In addition, substitutions of F641 [62, 57] or a loss of aa F641 (F641 Δ) [61] are among the top mutations in the *FKS1p* in *C. albicans*, *C. glabrata*, and *C. kefyr*. Heat shock protein 90 upregulation was associated with enhanced echinocandin resistance, especially when accompanied with *FKS1* mutation [20]. An overview on currently known *FKS1*

Table 1 Overview on *ERG11* amino acid (aa) substitutions that lead to azole cross-resistance in *Candida* species

aa substitutions ^(HS) /cross-resistant isolates ^a	Species	In vitro susceptibility				Method ^b	Case report	Reference
		FLC	ITZ	PSC	VRC			
Y33C ^(nHS) , Y39C ^(nHS) , W54stop ^(nHS) , V437I ^(HS3) , L491V ^(HS3) , T494A ^(nHS)	<i>alb</i>	R	R	nt	R	CLSI	na	[73]
P49R/T ^(nHS) , E266D ^(HS2) , T486P ^(HS3) , V488I ^(HS3)	<i>alb</i>	R	R	nt	R	CLSI	na	[73]
A61V ^(nHS) , Y257H ^(nHS) , G307S ^(nHS) , G464S ^(HS3)	<i>alb</i>	R	R	R	R	CLSI	na	[74, 75]
F72S ^(nHS) , D116E ^(HS1) , D153E ^(HS1) , F416S ^(HS3)	<i>alb</i>	R	R	nt	R	CLSI	na	[76]
F72L ^(nHS) , Y132H ^(HS1) , G450E ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI	na	[77]
F105L ^(HS1) , E266E ^(HS2)	<i>alb</i>	R	R	nt	nt	CLSI, Etest [®]	na	[78]
F105L ^(HS1) , G450E ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI, Etest [®]	na	[78]
F105L ^(HS1) , G464S ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI, Etest [®]	na	[78]
A114S ^(HS1) , Y205E ^(nHS) , Y257H ^(HS2) , V437I ^(HS3)	<i>alb</i>	R	R	nt	R	CLSI	na	[79]
D116E ^(HS1) , K119L ^(HS1) , E266D ^(HS2)	<i>alb</i>	R	R	nt	R	CLSI	na	[73]
D116E ^(HS1) , K128T ^(HS1)	<i>alb</i>	R	R	nt	R	EUCAST	na	[80, 81]
D116E ^(HS1) , K128T ^(HS1) , V452A ^(HS3) , G464S ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI	na	[82]
D116E ^(HS1) , Y132H ^(HS1) , K143R ^(HS1)	<i>alb</i>	R	R	nt	R	CLSI	na	[81]
D116E ^(HS1) , Y132F ^(HS1) , K143Q ^(HS1) , Y205E ^(nHS) , Y257H ^(nHS)	<i>alb</i>	R	R	nt	R	CLSI	na	[79]
D116E ^(HS1) , Y132F ^(HS1) , K143Q ^(HS1) , Y205E ^(nHS) , V437I ^(HS3)	<i>alb</i>	R	R	nt	R	CLSI	na	[79]
D116E ^(HS1) , Y132H ^(HS1) , S405F ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI	na	[82]
D116E ^(HS1) , Y132H ^(HS1) , F449L ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI	Treatment failed with FLC	[77]
D116E ^(HS1) , D153E ^(HS1)	<i>alb</i>	R	R	nt	R	CLSI		[81]
D116E ^(HS1) , E266D ^(HS2)	<i>alb</i>	R	R	nt	nt	CLSI		[83]
D116E ^(HS1) , E266D ^(HS2) , G464S ^(HS3) , G465S ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI		[83]
E123Q ^(HS1) , K151E ^(HS1)	<i>kef</i>	R	S	S	R	Etest [®]	Treatment: 50 mg/day CSP, followed by 400 mg/day FLC, followed by VRC (na); all treatments failed	[37]
K128T ^(HS1)	<i>alb</i>	R	R	nt	R	CLSI		[39]
K128T ^(HS1) , V452A ^(HS3)	<i>alb</i>	R	R	S	S	CLSI		[74]
K128T ^(HS1) , G464S ^(HS3) , R467I ^(HS3)	<i>alb</i>	R	R	R	R	CLSI		[74, 75]
Y132F ^(HS1)	<i>alb, tro</i>	R	S	nt	R	EUCAST		[80, 84, 46]
Y132H ^(HS1) , N136Y ^(HS1)	<i>alb</i>	R	S	nt	R	CLSI		[81]
Y132H ^(HS1) , Y205E ^(nHS) , Y257H ^(nHS) , E260V ^(nHS) , V437I ^(HS3) , G448E ^(HS3)	<i>alb</i>	R	S	nt	R	CLSI		[79]
Y132H ^(HS1) , Y205E ^(nHS) , N435V ^(HS3) , G448E ^(HS3) , D502E ^(nHS)	<i>alb</i>	R	R	nt	R	CLSI		[79]
Y132H ^(HS1) , Y205E ^(nHS) , V437I ^(HS3) , G448E ^(HS3)	<i>alb</i>	R	S	nt	R	CLSI		[79]
Y132H ^(HS1) , Y205E ^(nHS) , V437I ^(HS3) , G472R ^(HS3)	<i>alb</i>	R	R	nt	R	CLSI		[79]
Y132H ^(HS1) , S279F ^(HS2) , G465S ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI		[82]
Y132H ^(HS1) , H283R ^(HS2) , G464S ^(HS3)	<i>alb</i>	R	R	R	R	CLSI		[74, 75]
Y132H ^(HS1) , S405F ^(HS3)	<i>alb</i>	R	R	R	R	CLSI		[85, 74, 75]
Y132H ^(HS1) , G448V ^(HS3)	<i>alb</i>	R	R	S	R	CLSI		[74]
Y132H ^(HS1) , G448E ^(HS3) , G464S ^(HS3) , T482A ^(HS3)	<i>alb</i>	R	R	nt	R	CLSI		[76]
Y132H ^(HS1) , G448E ^(HS3) , F103L ^(nHS) , F198L ^(nHS) , F422L ^(HS3)	<i>alb</i>	R	S	nt	R	CLSI		[76]

Table 1 (continued)

aa substitutions ^(HS) /cross-resistant isolates ^a	Species	In vitro susceptibility				Method ^b	Case report	Reference
		FLC	ITZ	PSC	VRC			
Y132H ^(HS1) , G450E ^(HS3)	<i>alb</i>	R	S	S	R	CLSI		[86, 74, 81, 75]
Y132H ^(HS1) , G464S ^(HS3) , R467K ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI		[85, 82]
Y139H ^(HS1)	<i>kru</i>	R	nt	S	R	CLSI	Treatment: 200 mg/day FLC, followed by 200 mg/day VRC; treatment failed	[38]
K143R ^(HS1) , E266D ^(HS2) , S412T ^(HS3) , R469K ^(HS3) , V488I ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI		[83]
K143R ^(HS1) , E266D ^(HS2) , V488I ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI		[83]
F145I ^(HS1) , D153E ^(HS1)	<i>alb</i>	R	S	nt	R	CLSI		[76]
V159I ^(HS1)	<i>alb</i>	R	R	nt	R	CLSI		[39]
G206D ^(nHS)	<i>alb</i>	R	R	nt	R	CLSI		[76]
Y257H ^(nHS) , G307S ^(nHS) , G464S ^(HS3)	<i>alb</i>	R	R	R	R	CLSI		[74]
Y257H ^(nHS) , G464S ^(HS3)	<i>alb</i>	R	nt	nt	R	CLSI		[75]
E266D ^(HS2) , V488I ^(HS3)	<i>alb</i>	R	R	nt	R	CLSI		[73, 76, 81]
E266D ^(HS2) , V488I ^(HS3) , N349S ^(nHS) , G227D ^(nHS)	<i>alb</i>	R	R	nt	S	CLSI		[76]
E266D ^(HS2) , G464S ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI, Etest [®]		[78]
Δ276–319, D275V ^(HS2) , K454K ^(HS3) , P511A ^(nHS) , I517I ^(nHS)	<i>tro</i>	R	nt	nt	R	EUCAST	Previous FLC, AMB, and CSP treatments; treatments failed	[46]
K287R ^(HS2) , G464S ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI, Etest [®]		[78]
G307S ^(nHS) , Y447H ^(HS3)	<i>alb</i>	R	R	nt	S	CLSI		[81]
G315D ^(nHS)	<i>gla</i>	R	nt	nt	R	CLSI		[47]
G450E ^(HS3) , V488I ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI, Etest [®]		[78]
G464D ^(HS3)	<i>tro</i>	R	nt	nt	R	EUCAST	Previous treatments with FLC, VRC, and TRB (na); treatments failed	[46]
G464S ^(HS3)	<i>alb</i>	R	R	S	R	CLSI		[74]
G464S ^(HS3) , R467K ^(HS3)	<i>alb</i>	R	R	nt	nt	CLSI		[85]

nHS no hot spot mutation (the mutation is found outside of the defined hot spot regions), *HS1* hot spot 1, *HS2* hot spot 2, *HS3* hot spot 3, *alb* *Candida albicans*, *gla* *Candida glabrata*, *kru* *Candida krusei*, *tro* *Candida tropicalis*, *kef* *Candida kefyr*, *R* resistant, *S* susceptible, *nt* not tested, *FLC* fluconazole, *ITZ* itraconazole, *PSC* posaconazole, *VRC* voriconazole, *na* not available

^a The definition of hot spot regions is according to Marichal et al. 1999 [82]

^b In vitro susceptibility testing method; breakpoints were used for CLSI according to Forthergill et al. 2014 [34] and Pfaller & Diekema 2012 [29] and for EUCAST according to www.eucast.org and Arendrup 2014 [87]; breakpoints for Etest[®] (biomerieux, Paris, France) were set according to the manufacturer's instructions

and *FKS2* aa substitutions and their impact on echinocandin resistance is given in Table 2.

Multidrug Resistance Against Azoles and Echinocandins

The most commonly found MR is fluconazole resistance occurring simultaneously with echinocandin resistance. Pfaller et al. [10] found that about 11 % of all fluconazole-resistant *C. glabrata* isolates were also resistant against echinocandins, all of these carried *FKS1* (S629P, R631G, D632Y, or D648E) or *FKS2* (F659V, F659Y, S663P, or

S663F) mutations. Bizerra et al. [54] reported an isolate being pan-echinocandin, fluconazole, and voriconazole resistant after approximately 3 weeks of exposure to fluconazole and micafungin. Lackner et al. [27••] detected a pan-azole and pan-echinocandin resistant *C. albicans* from a patient suffering from CMC and receiving azole and echinocandin therapy for longer than 1 year. *C. glabrata* collected from patients receiving multiple antifungal treatment regimens display major therapeutic challenges [15, 28, 63, 64]. To the best of our knowledge so far, no *Candida* isolates were described that exhibit both amphotericin B and echinocandin resistance.

Table 2 Overview on FKS amino acid (aa) substitutions that lead to echinocandin cross-resistance in *Candida* species

Gene	aa substitutions ^(HS) /isolate	Species	In vitro susceptibility			Method	Case report ^b	Murine model	Reference
			AFG	CSP	MFG				
<i>FKSI</i>	F76S ^(HS1)	<i>tro</i>	R	R	R	CLSI	Treatment with CSP 50 mg/day, switched to FLC; cured with FLC	na	[88]
<i>FKSI</i>	S80P ^(HS1)	<i>tro</i>	R	R	R	EUCAST	Treatment failed with CSP 50 mg/day and MFG (na)	na	[62, 15, 89]
<i>FKSI</i>	F625C ^(HS1)	<i>gla</i>	R	R	S	BMDY	na	na	[60]
<i>FKSI</i>	F625S ^(HS1)	<i>gla</i>	R	R	nt	CLSI	na	na	[58, 90]
<i>FKSI</i>	F625Y ^(HS1)	<i>gla</i>	R	R	nt	CLSI	na	na	[58, 90]
<i>FKSI</i>	F625Δ ^(HS1)	<i>gla</i>	R	R	R	BMDY	na	na	[60]
<i>FKSI</i> , <i>FKS2</i>	F625I ^(HS1) + P667T ^(HS1)	<i>gla</i>	R	R	R	EUCAST	Treatment failed with CSP	na	[91]
<i>FKSI</i>	S629P ^(HS1)	<i>gla</i>	R	R	R	CLSI	Treatment failed with CSP (na); patient recovered after switch to a different echinocandin	na	[15, 10, 58, 60, 88, 90–94]
<i>FKSI</i>	S629P ^(HS1) , R631S ^(HS1) , A1037T ^(HS)	<i>gla</i>	R	R	nt	EUCAST	na	CSP 1 mg/kg/day increased MST and reduced fungal burden in kidney	[94]
<i>FKSI</i>	D632E ^(HS1)	<i>gla</i>	R	R	nt	CLSI	Treatment failed with CSP 50 mg/day	na	[58, 90, 92, 95, 96]
<i>FKSI</i>	D632G ^(HS1)	<i>gla</i>	R	R	R	CLSI	na	na	[58]
<i>FKSI</i>	D632H ^(HS1)	<i>gla</i>	R	R	R	CLSI	na	na	[97]
<i>FKSI</i>	D632V ^(HS1)	<i>gla</i>	R	R	R	CLSI	na	na	[36]
<i>FKSI</i>	D632Y ^(HS1)	<i>gla</i>	R	R	R	CLSI	na	na	[10, 58, 90, 97]
<i>FKSI</i> , <i>FKS2</i>	D632Y ^(HS1) , R1377stop ^(HS2)	<i>gla</i>	R	R	R	CLSI	na	na	[58]
<i>FKSI</i>	P633T ^(HS1)	<i>gla</i>	R	R	R	CLSI	na	na	[60, 62]
<i>FKSI</i>	F641L ^(HS1)	<i>alb</i> , <i>tro</i>	R	R	R	CLSI	Treatment failed with CSP 50 mg/day	na	[98]
<i>FKSI</i>	F641S ^(HS1)	<i>alb</i>	R	R	R	CLSI	Treatment failed with CSP; patient recovered after switch to a different echinocandin	na	[90, 91, 96, 99]
<i>FKSI</i>	F641Y ^(HS1)	<i>alb</i> , <i>kef</i>	R	R	R	CLSI, Etest®	Treatment failed with CSP 50 mg/day	na	[57]
<i>FKSI</i>	F641Δ ^(HS1)	<i>kef</i>	R	R	R	CLSI	na	na	[90]
<i>FKSI</i>	F641S ^(HS1) , S645P ^(HS1)	<i>alb</i>	R	R	R	EUCAST	Successful treatment with CSP (na)	na	[61]
<i>FKSI</i>	S645F ^(HS1) , R1361H ^(HS2)	<i>alb</i>	R	R	R	CLSI	Previous treatment with ITZ, FLC, AMB, and MFG; all treatments failed	na	[91]
<i>FKSI</i>	F645L ^(HS1) , L701M ^(HS)	<i>kru</i>	R	R	R	EUCAST	Treatment failed with CSP 50 mg/day	na	[59, 100]
<i>FKSI</i>	S645P ^(HS1)	<i>alb</i>	R	R	R	CLSI	Treatment failed with CSP 50 mg/day	na	[91, 101]

Table 2 (continued)

Gene	aa substitutions ^(HS) /isolate	Species	In vitro susceptibility		Method	Case report ^b	Murine model	Reference
			AFG	CSP MFG				
<i>FKS2</i>	S645P ^(HS1)	<i>alb, tro</i>	R	R nt	CLSI	Treatment failed with CSP; patient recovered after switch to a different echinocandin	na	[90, 96–98]
<i>FKS1</i>	S645Y ^(HS1)	<i>alb</i>	R	R nt	CLSI	na	na	[90]
<i>FKS1</i>	R647G ^(HS1) , P649L ^(HS1)	<i>alb</i>	R	R R	EUCAST	na	Clinical strains failed to respond to echinocandin therapy	[27••]
<i>FKS1</i>	A647T ^(HS1) , L701M ^(nHS)	<i>kru</i>	R	R R	EUCAST	Treatment failed with CSP (na)	na	[101]
<i>FKS1</i>	D648Y ^(HS1)	<i>alb</i>	R	R R	CLSI	na	na	[90, 99]
<i>FKS1</i>	L648W ^(HS1) , L701M ^(nHS)	<i>kru</i>	R	R R	EUCAST	Treatment success with CSP (na)	na	[91]
<i>FKS2</i>	F658Δ ^(HS1)	<i>gla</i>	R	R R	CLSI	na	na	[90, 91]
<i>FKS2</i>	F659L ^(HS1)	<i>gla</i>	R	R R	CLSI	na	na	[97]
<i>FKS2</i>	F659S ^(HS1)	<i>gla</i>	R	R nt	EUCAST	na	CSP 1 mg/kg/day did not significantly increase MST, but reduced fungal kidney burden	[58, 90, 94]
<i>FKS2</i>	F659S ^(HS1) , L664V ^(HS1)	<i>gla</i>	R	R R	EUCAST	Treatment success with CSP (na)	na	[91]
<i>FKS2</i>	F659V ^(HS1)	<i>gla</i>	R	R R	CLSI	na	na	[10, 58]
<i>FKS2</i>	F659V ^(HS1) , L707S ^(nHS)	<i>gla</i>	R	R nt	EUCAST	na	CSP 1 mg/kg/day did not significantly increase MST, but reduced fungal kidney burden	[94]
<i>FKS2</i>	F659Y ^(HS1)	<i>gla</i>	R	R S	CLSI	na	na	[10, 90]
<i>FKS2</i>	F659Δ ^(HS1)	<i>gla</i>	R	R R	CLSI	na	na	[58, 60, 97, 102]
<i>FKS2</i>	D662Y ^(HS1)	<i>kru</i>	R	R R	EUCAST, Etest®	Treatment failed with CSP (na)	na	[103]
<i>FKS2</i>	S663F ^(HS1)	<i>gla</i>	S/R	S/R R	CLSI	na	na	[15, 10, 60, 90, 93]
<i>FKS2</i>	S663P ^(HS1)	<i>gla, tro, kef</i>	R	R R	CLSI, EUCAS T	na	na	[15, 10, 58, 88, 90, 91, 93, 94, 102]
<i>FKS2</i>	S663Y ^(HS1)	<i>gla</i>	R	R nt	CLSI	na	na	[90]
<i>FKS2</i>	L664R ^(HS1)	<i>gla</i>	R	R nt	CLSI	na	na	[90]
<i>FKS2</i>	R665S ^(HS1)	<i>gla</i>	R	R nt	CLSI	na	na	[90]
<i>FKS2</i>	D666E ^(HS1)	<i>gla</i>	R	R R	CLSI	na	na	[58]
<i>FKS2</i>	D666G ^(HS1)	<i>gla</i>	R	R S	CLSI	na	na	[58]
<i>FKS2</i>	D666Y ^(HS1)	<i>gla</i>	R	R R	CLSI	na	na	[36]

Table 2 (continued)

Gene	aa substitutions ^(HS) /isolate	Species	In vitro susceptibility			Method	Case report ^b	Murine model	Reference
			AFG	CSP	MFG				
<i>FKS2</i>	D666Y ^(HS1)	<i>gla</i>	R	R	R	EUCAST	na	na	[104]
<i>FKS2</i>	P667H ^(HS1)	<i>gla</i>	R	R	R	CLSI	na	na	[93]
<i>FKS2</i>	P667T ^(HS1)	<i>gla</i>	R	R	nt	CLSI	na	na	[90]
<i>FKS2</i>	L707S ^(nHS)	<i>gla</i>	R	R	nt	EUCAST	na	Responded to CSP 1 mg/kg/day	[94]
<i>FKSI</i>	R1361G ^(HS2)	<i>alb, kru</i>	R	R	R	EUCAST, CLSI	na	na	[59, 91]
<i>FKS2</i>	W1375L ^(HS2)	<i>gla</i>	S	R	R	CLSI	na	na	[58]

nHS no hot spot mutation (the mutation is found outside of the defined hot spot regions), *HS1* hot spot 1, *HS2* hot spot 2, *alb* *Candida albicans*, *gla* *Candida glabrata*, *kru* *Candida krusei*, *tro* *Candida tropicalis*, *kef* *Candida kefyr*, *R* resistant, *S* susceptible, *nt* not tested, *AFG* anidulafungin, *CSP* caspofungin, *ITZ* itraconazole, *MFG* micafungin, *BMDY* broth microdilution on YPD as described by Vermitsky and Edlind [105], *na* not available, *MST* mean survival time

^aThe definition of hot spot regions is according to Park et al. [59] for *C. albicans* and Bizerra et al. [54] for *C. glabrata* *FKS*

^bIn vitro susceptibility testing method; breakpoints were used for CLSI according to Forthergill et al. 2014 [34] and Pfaller & Diekema 2012 [29] and for EUCAST according to www.eucast.org and Arendrup 2014 [1*]; breakpoints for Eitest® (biomerieux, Paris, France) were set according to the manufacturer's instructions

Multiresistance Against Azoles and Amphotericin B

In contrast to azoles, amphotericin B targets membrane-bound ergosterol; its high affinity to ergosterol, but low affinity to ergosterol's precursors (such as lanosterol, fecosterol, lichesterol, and episterol) favors the replacement of ergosterol in the fungal cell membrane by its precursors and thus led to the development of polyene resistance [26]. Major resistance mechanisms of amphotericin B are quantitative and qualitative changes of the ergosterol cell membrane composition; enzyme activity of *ERG2*, *ERG3*, and *ERG5*, or mutations in these *ERG2*, *ERG3*, and *ERG5*, respectively, regulate the ergosterol content [65]. The potential to develop amphotericin B resistance depends on the species but is higher for *C. glabrata* and *C. parapsilosis* [26]. In contrast to amphotericin B, azoles inhibit a key enzyme in the biosynthetic pathway of ergosterol, namely lanosterol 14- α demethylase. This enzyme belongs to the P-450 cytochromes, and its catalytic site is the primary target of azoles. The inhibition of this enzyme results in the accumulation of ergosterol precursors in the plasma membrane with the subsequent hampering of the integrity and cellular processes. This azole and amphotericin B action results in reduced cell membrane ergosterol, which in turn explains at least partially MR of *Candida* to structurally unrelated substances. Other MR mechanisms found to be the upregulation of stress response and transporter and efflux pumps.

The first clinical pan-azole- and polyene-resistant *C. albicans* with mutations in *ERG5* and *ERG11* was reported in 2010 by Martel et al. [65]. The isolate showed an aa substitution in *ERG11p* gene at position A114S and a sequence repetition of 10 nucleotides in *ERG5p* gene. A nucleotide repetition in *ERG5p* led to nullified C22 desaturase; as a consequence, fungal cell membrane contained no ergosterol but >80 % of total sterol fraction consisted of ergosta-5,7-dienol [65]. In 2012, a clinical *C. glabrata* was identified with a missense mutation in *ERG11p* which leads to CR against fluconazole and voriconazole; in addition, a shift in the sterol composition favored accompanying amphotericin B resistance [47]. *C. albicans* and *C. tropicalis* clinical isolates with resistance against amphotericin B and azoles were discovered during a screening study by Eddouzi et al. [66]. The underlying resistance mechanism of *C. albicans* was explained by the overexpression of a multidrug efflux pump of the major facilitator superfamily Mdr1. *C. tropicalis* lacked ergosterol in its cell membrane, instead 14 α -methyl-fecosterol was accumulated which indicates the functional perturbation of at least two main ergosterol biosynthesis proteins (*ERG11* and *ERG3*).

Multi-Resistance Against Azoles and 5-Fluorouracil

Gabriel et al. [67] showed that the simultaneous application of fluorinated nucleotides (e.g., 5-fluorouracil) at subinhibitory

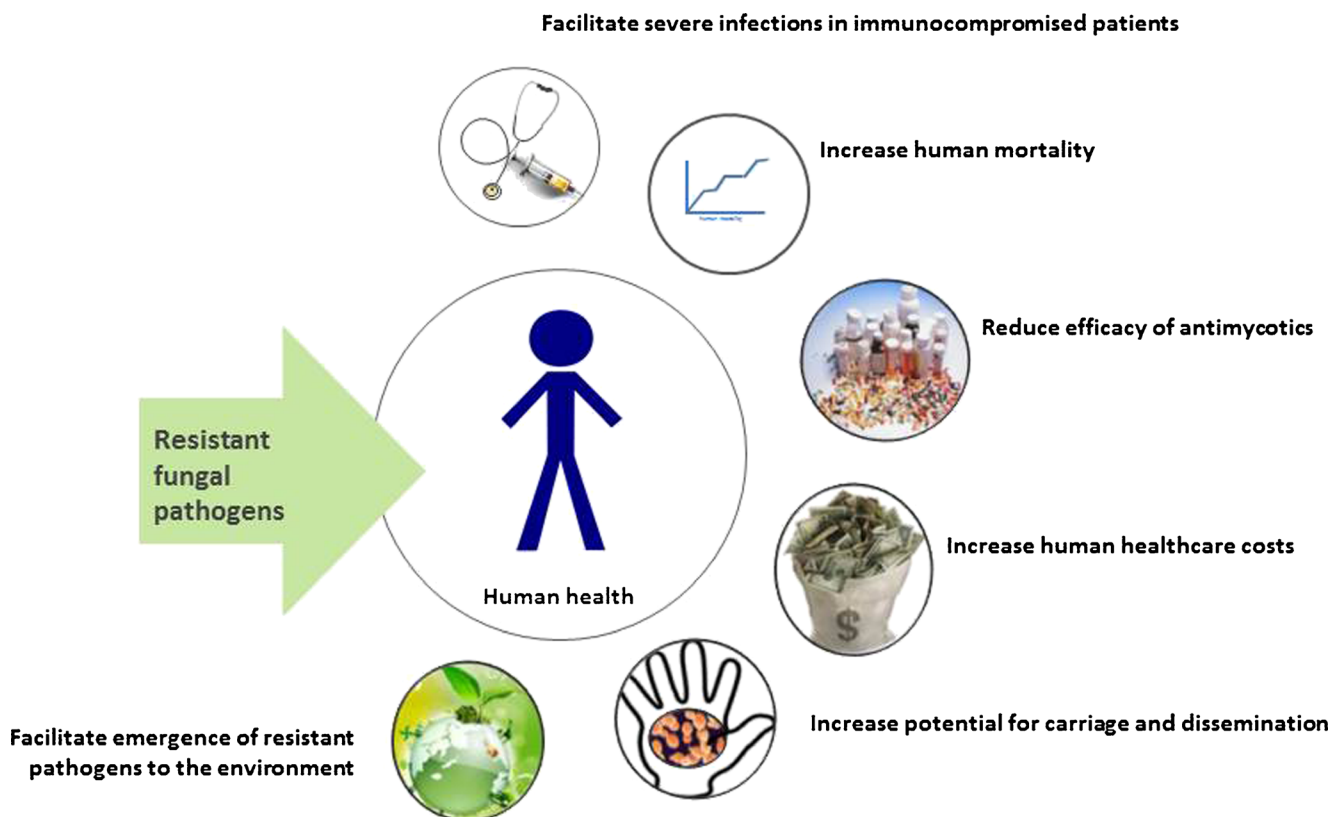


Fig. 2 Common problems associated with antifungal drug resistant pathogens

doses and fluconazole triggers resistance against fluconazole in vitro. The authors speculated that intracellular fluorinated nucleotides may play a role in azole resistance by either preventing azoles to target the lanosterol 14- α -demethylase, or by preventing azoles to bind to the lanosterol 14- α -demethylase catalytic site, or by acting as molecular switch for triggering the efflux transport. These data remain to be verified in greater detail to finally state the molecular mechanism behind. Moreover, these findings need to be validated in murine studies.

Conclusion

Reports of antifungal drug resistance are emerging and a matter of serious concern (see Fig. 2). Positive is the fact that antifungal treatment is still successful, as 80 % of *C. albicans* infections in ICUs are cleared with echinocandins [9]. However, CR as well as MR may be associated with worse clinical outcome, breakthrough fungal infections [68], multiple changes of treatment regimens and increased health care costs. Clinical improvement failed in patients infected with fluconazole and voriconazole-resistant *Candida* isolates when compared to susceptible strains [8]; similar findings are valid for resistance to echinocandins among various *Candida* species [69–71]. Most worrying is the emergence of acquired

resistance of *C. glabrata* against the azoles and echinocandins; the limited number of antifungals renders these phenotypes to an emerging pathogen.

Limited data are available on the economic impact of resistant *Candida* infections. However, it has been calculated that fungal infections add a total of US\$8 billion to annual health care costs [72]. Resistant infections are thought to substantially increase these expenditures because of reinforced patients' management consisting of a prolonged therapy, change of drug regimen applied, or rather using a combination, intense diagnostic procedures such as biopsies, as well as isolation procedures. Strategies for preventing the emergence and spread of antifungal drug resistance include the implementation of Antimicrobial Stewardship Programs covering (i) local fungal epidemiology and antifungal resistance rates, (ii) establishing therapeutic guidelines, (iii) implementation of treatment strategies for empirical and preemptive therapy including PK/PD data, (iv) catheter management, and (v) selection of adequate diagnostic assays.

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Compliance with Ethics Guidelines

Conflict of Interest M. Lackner has received honoraria for invited talks by the pharmaceutical company Forest Pharmaceuticals. In the past

5 years, C. Lass-Flörl has received grant support from the Austrian Science Fund (FWF), MFF Tirol, Astellas Pharma, Gilead Sciences, Pfizer, Schering Plough, and Merck Sharp & Dohme. She has been an advisor/consultant to Gilead Sciences, Merck Sharp & Dohme, Pfizer, and Schering Plough. She has received travel/accommodation expenses from Gilead Sciences, Merck Sharp & Dohme, Pfizer, Astellas, and Schering Plough and has been paid for talks on behalf of Gilead Sciences, Merck Sharp & Dohme, Pfizer, Astellas, and Schering Plough. A. Martin-Vicente has no potential conflict of interest to state.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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