

# Stabilization of high-voltage layered oxide cathode by utilizing residual lithium to form NASICON-type nanoscale functional coating

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Received: 20 September 2022 / Revised: 27 October 2022 / Accepted: 5 November 2022

## ABSTRACT

High-voltage medium-nickel low-cobalt lithium layered oxide cathode materials are becoming a popular development route for high-energy lithium-ion batteries due to their relatively high capacity, low cost, and improved safety. Unfortunately, capacity fading derived from surface lithium residue, electrode-electrolyte interfacial side reactions, and bulk structure degradation severely limits large-scale commercial utilization. In this work, an ultrathin and uniform NASICON-type  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  (LVP) nanoscale functional coating is formed in situ by utilizing residual lithium to enhance the lithium storage performance of  $\text{LiNi}_{0.6}\text{Co}_{0.05}\text{Mn}_{0.35}\text{O}_2$  (NCM) cathode. The GITT and *ex-situ* EIS and XPS demonstrate exceptional  $\text{Li}^+$  diffusion and conductivity and attenuated interfacial side reactions, improving the electrode-electrolyte interface stability. The variable temperature *in-situ* XRD demonstrates delayed phase transition temperature to improve thermal stability. The battery *in-situ* XRD displays the single-phase H1-H2 reaction and weakened harmful H3 phase transition, minimizing the bulk mechanical degradation. These improvements are attributed to the removal of surface residual lithium and the formation of NASICON-type  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  functional coatings with stable structure and high ionic and electronic conductivity. Consequently, the obtained NCM@LVP delivers a higher capacity retention rate (97.1% vs. 79.6%) after 150 cycles and a superior rate capacity ( $87 \text{ mAh}\cdot\text{g}^{-1}$  vs.  $58 \text{ mAh}\cdot\text{g}^{-1}$ ) at a 5 C current density than the pristine NCM under a high cut-off voltage of 4.5 V. This work suggests a clever way to utilize residual lithium to form functional coatings in situ to improve the lithium storage performance of high-voltage medium-nickel low-cobalt cathode materials.

## KEYWORDS

lithium-ion battery, high-voltage medium-nickel low-cobalt cathode, surface modification, residual lithium, NASICON-type  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$

## 1 Introduction

In the past few years, the high-nickel lithium layered oxides cathodes ( $\text{LiNi}_x\text{Co}_y\text{Mn}_{1-x-y}\text{O}_2$ , NCM,  $x>0.6$ ) have been regarded as the most prospective cathode material candidates for the development of high-energy-density Li-ion batteries owing to their relatively high output specific capacity [1, 2]. For example,  $\text{LiNi}_{0.8}\text{Co}_{0.1}\text{Mn}_{0.1}\text{O}_2$  (NCM811) cathode material has been widely used in batteries for new energy vehicles [3, 4]. Whereas, high-nickel cathodes have severe safety and high cost issues due to high Ni content and relatively strict manufacturing process, which makes people worry about their use despite their high capacity [5, 6]. Recently, a large number of new energy vehicle fires and explosions have been reported around the world. Then a new development route for high-voltage medium-nickel low-cobalt cathode materials is proposed, which has the triple advantages of

high capacity, high safety, and low cost, and is a promising alternative to high-nickel cathode materials [7, 8]. Nonetheless, it suffers from the same problem of rapid capacity fading due to unstable surface properties and inherent structure [9, 10]. For example, the residual lithium originated from the excess lithium added during lithiation calcination easily reacts with  $\text{CO}_2$  and  $\text{H}_2\text{O}$  in the air during material storage, generating poisonous  $\text{LiOH}$  and  $\text{Li}_2\text{CO}_3$  on the surface of the particle, which not only hinders the migration of lithium ions owing to the insulating property but also increases the viscosity of the slurry during the electrode preparation [11, 12]. Furthermore, the oxidative decomposition of  $\text{Li}_2\text{CO}_3$  causes the evolution of  $\text{CO}_2$  and  $\text{CO}$  gases upon cell charging, leading to safety concerns, while the reaction of  $\text{LiOH}$  with an electrolyte salt ( $\text{LiPF}_6$ ) produces HF that leaches out transition metals [13]. In addition, high voltage means deep redox

reaction and deintercalation of lithium, which also brings greater challenges to the interface stability and bulk structure stability of the material [14, 15]. The deep redox reaction will produce more highly reactive and unstable  $\text{Ni}^{4+}$ , which tends to spontaneously obtain electrons from the lattice oxygen and be reduced to stable  $\text{Ni}^{2+}$ , especially on the surface of the material [16]. This not only promotes the loss of lattice oxygen atoms into highly active oxygen molecules, which in turn catalyzes the decomposition of the electrolyte but also causes harmful interfacial phase transitions (layered  $\rightarrow$  spinel  $\rightarrow$  rock salt phase), thereby increasing interfacial charge transfer resistance and reducing lithium diffusion kinetics [17, 18]. And the deep deintercalation of lithium increases the degree of expansion and contraction of the layered structure (i.e., the enhanced harmful H2 to H3 phase transition), which will bring about large anisotropic internal stress and cause the secondary aggregate particles to produce intergranular microcrack [19–21]. Then the increased contact area of the electrode electrolyte will further aggravate the side reaction of the interface, which will cause a sharp decline in the output capacity of the battery [22]. Therefore, finding a suitable modification strategy to improve the above problems is an important research direction to promote the practical application of high-voltage medium-nickel low-cobalt cathode materials.

Structural design [23, 24], bulk doping [25, 26], and surface coating [27, 28] are the most commonly used material modification strategies. In contrast, the surface coating modification can play a dual role in physically blocking the electrode electrolyte interface contact and constraining the expansion and contraction of the bulk structure, which can greatly improve the severe problems caused by the residual lithium and high voltage [29, 30]. Commonly used coating materials include metal oxide ( $\text{Co}_3\text{O}_4$  [31],  $\text{ZrO}_2$  [32]), metal fluoride ( $\text{AlF}_3$  [33]), metal phosphate ( $\text{AlPO}_4$  [34],  $\text{BPO}_4$  [35]), lithium-ion conductor ( $\text{Li}_2\text{ZrO}_3$  [36],  $\text{LiNbO}_3$  [37]), organic conductive polymer (TNPP [38]), carbon (Graphene [39]), etc. They play the role of removing residual alkali, physically blocking electrode-electrolyte contact, preventing HF acid corrosion and active metal ions dissolution, enhancing interface lithium conductivity, and alleviating particle volume changes, respectively [40]. However, studies related to the elimination of residual lithium and the in-situ formation of functional coatings for NASICON-type fast ion conductor solid electrolytes have been rarely reported. Particularly, the NASICON-structured  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  (LVP), as a superior solid electrolyte, presents ultrahigh  $\text{Li}^+$  conductivity, benefitting from its unique phosphate structure with large interstitial spaces in an open framework and high stability with small volume variation [41–43]. Then the electrode-electrolyte interface environment and the bulk layered structure stability will be greatly improved, thereby enhancing the long-cycle stability and rate performance of the high-voltage medium-nickel low-cobalt cathode material.

In this work, we have successfully prepared a 1 wt.% ultrathin and uniform NASICON-structured  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$ -coated high-voltage medium-nickel low-cobalt cathode  $\text{LiNi}_{0.6}\text{Co}_{0.05}\text{Mn}_{0.35}\text{O}_2$  (NCM@LVP) through a two-step synthesis method of liquid phase stirring mixing and solid phase dry annealing. The NCM@LVP sample exhibits superior electrochemical properties in the 4.5 V high-voltage lithium-ion half/full batteries. And the excellent improvement effect is also fully elucidated by systematically comparing the properties of lithium diffusion kinetics, electrode-electrolyte interface stability, thermal stability, and layered structure stability of the pristine NCM and NCM@LVP material. Various characterization methods such as the GITT curves, CV curves of different scan rates, *ex-situ* EIS/XPS/SEM, the high temperature self-discharge test, the variable temperature *in-situ* XRD, and the battery *in-situ* XRD are

used for exploration. We strongly recommend this surface modification method to effectively eliminate and utilize residual lithium to form functional coatings of NASICON structure-type materials. And the obtained NCM@LVP material will achieve the goals of high capacity, long life, high safety, and low cost.

## 2 Experimental

### 2.1 Materials preparation

The  $\text{LiNi}_{0.6}\text{Co}_{0.05}\text{Mn}_{0.35}\text{O}_2$  sample was synthesized as we reported previously [44]. To obtain NCM@LVP material, first, 2 g of  $\text{LiNi}_{0.6}\text{Co}_{0.05}\text{Mn}_{0.35}\text{O}_2$  sample was added to 15 mL of an aqueous solution containing 16.9 mg of dissolved  $\text{NH}_4\text{H}_2\text{PO}_4$ . Next, 15 mL of a mixed solution containing 11.5 mg  $\text{H}_4\text{NO}_3\text{V}$  and 24.7 mg  $\text{C}_2\text{H}_2\text{O}_4 \cdot 2\text{H}_2\text{O}$  was added to the above solution. Then, the mixed solution was stirred and evaporated to dryness in a water bath at 80 °C. Finally, the obtained dry powder was annealed at 800 °C in the air (Fig. S1 in the Electronic Supplementary Material (ESM)). The calculated coating amount of  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  is 1 wt.%.

### 2.2 Materials characterization

The particle morphology and element distribution were determined by scanning electron microscopy (SEM, Hitachi S-4800) and energy-dispersive X-ray spectrometer (EDS, Quantax 200). The functional coating and lattice information were characterized by high-resolution transmission electron microscopy (HRTEM, FEI G2 S-Twin). The element valence was analyzed by the X-ray photoelectron spectrometer (XPS, ESCALABMKLL). The crystal structure was examined by the powder X-ray diffractometer (XRD, Bruker D8 Advance) from 10° to 80° at a scan speed of 1 °·min<sup>-1</sup>. The above XRD equipped with a temperature control device was used for variable temperature *in-situ* XRD testing. The sample table material was  $\text{Al}_2\text{O}_3$ . The delithiated electrode material charged to 4.5 V was used for thermal stability testing. The sample was heated from 30 to 600 °C at a heating rate of 1 °C·min<sup>-1</sup> under a vacuum, and a single XRD spectrum took 6 min from 15° to 75° (10 °·min<sup>-1</sup>). The delithiated electrode material charged to 4.5 V was used for the differential scanning calorimeter (DSC, METTLER TOLEDO DSC3) test, which was performed from 125 to 300 °C at a heating rate of 2 °C·min<sup>-1</sup> under an  $\text{N}_2$  atmosphere. The above XRD with a NEWARE battery tester was used for battery *in-situ* XRD testing. The battery was cycled once under 20 mA·g<sup>-1</sup> current density. A single XRD spectrum took 12 min from 10° to 80°.

### 2.3 Electrochemical measurement

The cathode electrode was prepared as follows: active material, C45, KS-6, and PVDF (90:4.5:3:2.5, by weight) in NMP solution were evenly stirred and cast on an Al foil with an active-material loading of about 5.0 mg·cm<sup>-2</sup>. Then they were vacuum dried and cut into circular electrodes (diameter 12 mm). CR2025 coin cell was used to characterize the electrochemical performances of materials. Li foil was used as the counter electrode for half-cell. The electrolyte was 1.0 M  $\text{LiPF}_6$  in ethyl carbonate and ethyl methyl carbonate (EC:EMC = 3:7 by volume). The commercial graphite was used as the anode material for full cell. The electrode was prepared as follows: active material, C45, CMC, and SBR (90:6:2:2, by weight) in deionized water were evenly stirred and cast on a Cu foil. Then they were vacuum dried and cut into circular electrodes (diameter 12 mm). The N/P ratio was controlled at about 1.2. And the electrolyte was the above electrolyte plus 2 wt.% vinylene carbonate (VC) additive. The matched positive and negative electrode sheets were assembled in a CR2025 type coin cell to characterize the electrochemical

performance of the full cell. Galvanostatic charge/discharge was tested by the NEWARE battery tester. BioLogic VMP3 electrochemical workstation was used to test electrochemical impedance spectroscopy (EIS) and cyclic voltammetry (CV) data. The EIS test was performed by applying an amplitude of 5 mV in the frequency range of 100 mHz to 200 kHz when fully discharged to 3.0 V at different cycles. For GITT, the result was obtained by charging and discharging the cells for 30 minutes at the 20 mA·g<sup>-1</sup> rate between 3.0 and 4.5 V followed by 120 min of relaxation time for each interval.

### 3 Results and discussion

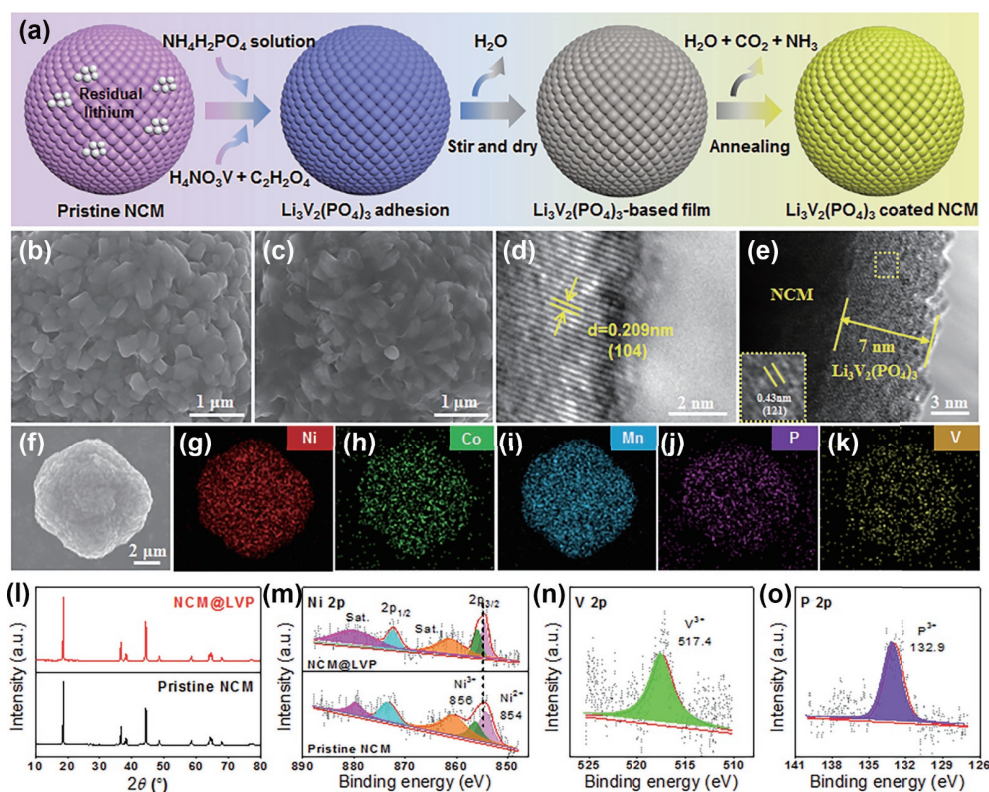
#### 3.1 Synthesis, morphology, and structure analysis

The schematic shown in Fig. 1(a) illustrates the NASICON-type Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> coating modification engineering through a simple liquid phase stirring mixing and solid phase dry annealing method. Briefly, the pristine NCM sample is added to an acidic aqueous solution of NH<sub>4</sub>H<sub>2</sub>PO<sub>4</sub>, and the alkaline residual lithium species on the particle surface would be dissolved after stirring. Then, a mixed solution of H<sub>4</sub>NO<sub>3</sub>V and C<sub>2</sub>H<sub>2</sub>O<sub>4</sub> is added, wherein the C<sub>2</sub>H<sub>2</sub>O<sub>4</sub> complexing agent could reduce the precipitation speed of H<sub>4</sub>NO<sub>3</sub>V, which is beneficial to the uniform coating of Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub>. During the stirring process, the NCM sample coated with Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub>-based films is obtained by drying in a water bath. Finally, the obtained powder is annealed in the air to obtain Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub>-coated NCM samples. Figures 1(b) and 1(c) and Fig. S2 in the ESM show the SEM images of the pristine NCM and NCM@LVP samples, note that the particle surface of NCM@LVP has a passivation film and no island particle formation. The interplanar spacing of 0.209 nm is the typical (104) plane of the pristine NCM samples (Fig. 1(d)). The HRTEM image of the NCM@LVP sample shows a 7 nm thick dense, smooth, and uniform coating layer, and the interplanar spacing corresponds

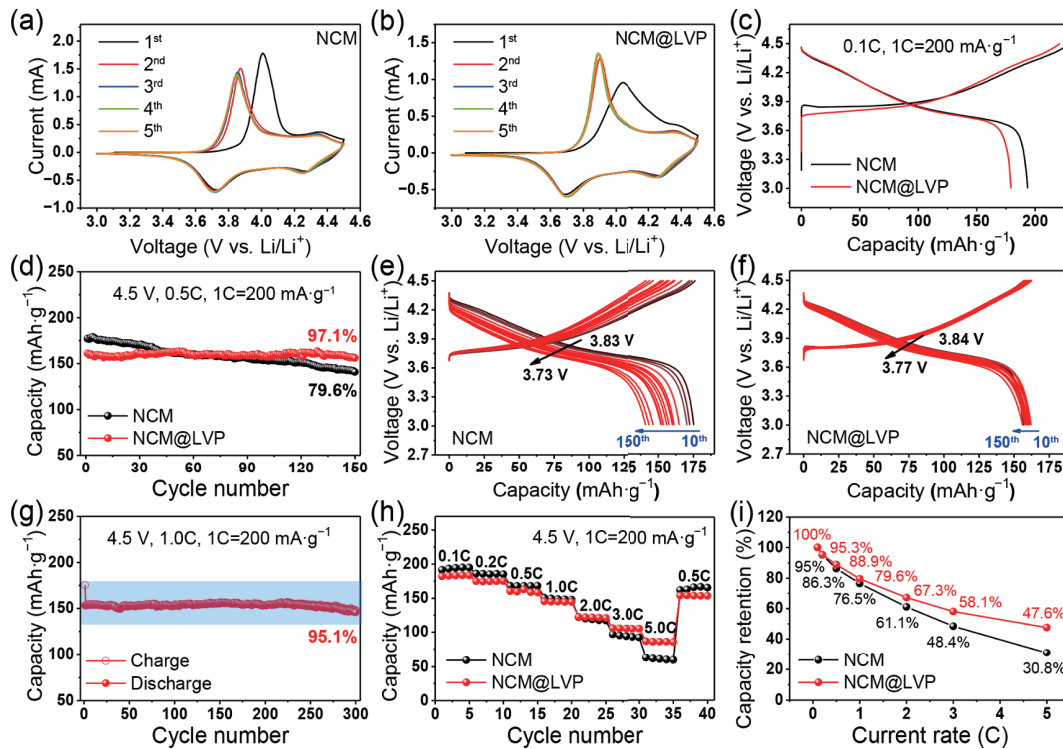
well to the (121) plane of Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> (Fig 1(e)). And Ni, Co, Mn, P, and V elements are all uniformly distributed throughout the particle (Figs. 1(f)–1(k)). Figure 1(l) and Fig. S3 in the ESM displays the XRD patterns and refinement results of the pristine NCM and NCM@LVP samples. Their main diffraction peaks can be indexed to a hexagonal layered structure with the *R3m* space group [45]. And no obvious Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> diffraction peaks are detected, which may be caused by too little amount of Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub>. XPS is also used to further characterize the element valence of the material. The two characteristic peaks of Ni 2p correspond well to Ni<sup>2+</sup> and Ni<sup>3+</sup> (Fig. 1(m)), and no obvious peak shift is found for the pristine NCM and NCM@LVP samples, which implies that the Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> coating layer did not change the pristine structure of the active material [16]. The characteristic peaks of V 2p (Fig. 1(n)) and P 2p (Fig. 1(o)) located at 517.4 and 132.9 eV corresponds well to V<sup>3+</sup> and P<sup>3+</sup>, respectively [22, 34]. These results demonstrate that the introduced *in-situ* coating engineering utilizing residual lithium has a good coating effect, which will be beneficial to improving the lithium storage performance of high-voltage medium-nickel low-cobalt cathode materials.

#### 3.2 Electrochemical performance

The electrochemical performances of the pristine NCM and NCM@LVP samples are fully examined and compared to investigate the superiority of the NASICON-type Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> functional coating under the high cut-off voltage of 4.5 V. Figures 2(a) and 2(b) show their cyclic voltammetry (CV) curves of first five cycles. They all contain two pairs of common redox peaks (i.e., low voltage: Ni<sup>2+/3+</sup> and Ni<sup>4+</sup>; high voltage: Co<sup>3+</sup> and Co<sup>4+</sup>), and the broad oxidation peak at about 4.0 V in the first cycle is related to the formation of the cathode electrolyte interface (CEI) film [8]. It can be seen that the CV curves of NCM@LVP have better reversibility than the pristine NCM after the first charge and discharge, which implies that Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> coating can enhance the



**Figure 1** (a) Schematic of the synthesis procedure of NASICON-type Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> coated NCM. (b) and (c) The particle surface SEM images and (d) and (e) HRTEM images of the pristine NCM and NCM@LVP samples. (f)–(k) EDS elemental mapping of the NCM@LVP sample. (l) XRD patterns and (m) XPS data of Ni element of the pristine NCM and NCM@LVP samples. (n) and (o) XPS data of V and P elements of the NCM@LVP sample.



**Figure 2** Comparison of (a) and (b) CV curves, (c) initial charge/discharge curves, (d) cycle performance, and (e) and (f) the corresponding charge/discharge curves from the 10<sup>th</sup> cycle to 150<sup>th</sup> cycle of the pristine NCM and NCM@LVP samples under 4.5 V high voltage. (g) The long cycle performance for the NCM@LVP sample at 1.0 C current density and 4.5 V high voltage. Comparison of (h) rate performance and (i) capacity retention rate of different current rates relative to the initial capacity of the pristine NCM and NCM@LVP samples.

electrode-electrolyte interface stability and bulk structure stability of the material. The initial discharge specific capacity of NCM@LVP is slightly smaller (180 mAh·g<sup>-1</sup> vs. 188 mAh·g<sup>-1</sup>) than the pristine NCM (Fig. 2(c)), which is caused by the mass fraction of the Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> coating and a slightly lower initial Coulombic efficiency. Figure 2(d) exhibits their cycle performance at 0.5 C current density. Although the specific capacity of NCM@LVP is lower than that of NCM at the beginning, NCM@LVP maintains a higher specific capacity (156 mAh·g<sup>-1</sup> vs. 141 mAh·g<sup>-1</sup>) and capacity retention rate (97.1% vs. 79.6%) than NCM after 150 cycles. And their corresponding charge-discharge curves from the 10<sup>th</sup> to the 150<sup>th</sup> cycle are also depicted in Figs. 2(e) and 2(f). NCM@LVP has a smaller discharge median voltage attenuation than the pristine NCM. NCM@LVP declines from 3.84 to 3.77 V (0.07 V), and NCM declines from 3.83 to 3.73 V (0.1 V). Figure 2(g) investigates the long cycle performance of the NCM@LVP sample at a 1.0 C high current density. A high specific capacity of 146 mAh·g<sup>-1</sup> is still maintained after 300 cycles, with a capacity retention rate of 95.1%. And their Coulombic efficiency is almost kept above 99% in the whole cycle (Fig. S4 in the ESM). And the NCM@LVP sample also shows superior cycle stability compared to the results reported in the literature of other coating modification studies (Table S1 in the ESM) [46–48]. In addition to the cycle stability performance, their rate performances are also evaluated in Fig. 2(h). Note that the sample with the Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> coating has a better rate performance, NCM@LVP can output a specific capacity of 87 mAh·g<sup>-1</sup> at a high current density of 5 C, while the pristine NCM is only 58 mAh·g<sup>-1</sup>, and NCM@LVP has a higher capacity retention rate (47.6% vs. 30.8%) relative to the initial capacity compared to the pristine NCM (Fig. 2(i)). The improved cycling stability and rate performance can be attributed to the enhanced electrode-electrolyte interfacial stability and bulk layered structure stability, due to the stable three-dimensional network framework and high ionic and electronic conductivity of NASICON-type Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> functional coating [49]. And to

investigate the origin of the significantly improved electrochemical performance of NCM@LVP, we also systematically evaluate various aspects as described below.

### 3.3 Lithium diffusion kinetic and interfacial stability analysis

The galvanostatic intermittent titration technique (GITT) is used to evaluate the effect of Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> coating on lithium diffusion kinetics. Figures 3(a) and 3(b) show the GITT curves of the third discharge process and the calculated actual lithium diffusion coefficients ( $D_{Li^+}$ ) at different voltages for the pristine NCM and NCM@LVP samples.  $D_{Li^+}$  of the material can be calculated by the simplified equation [8].

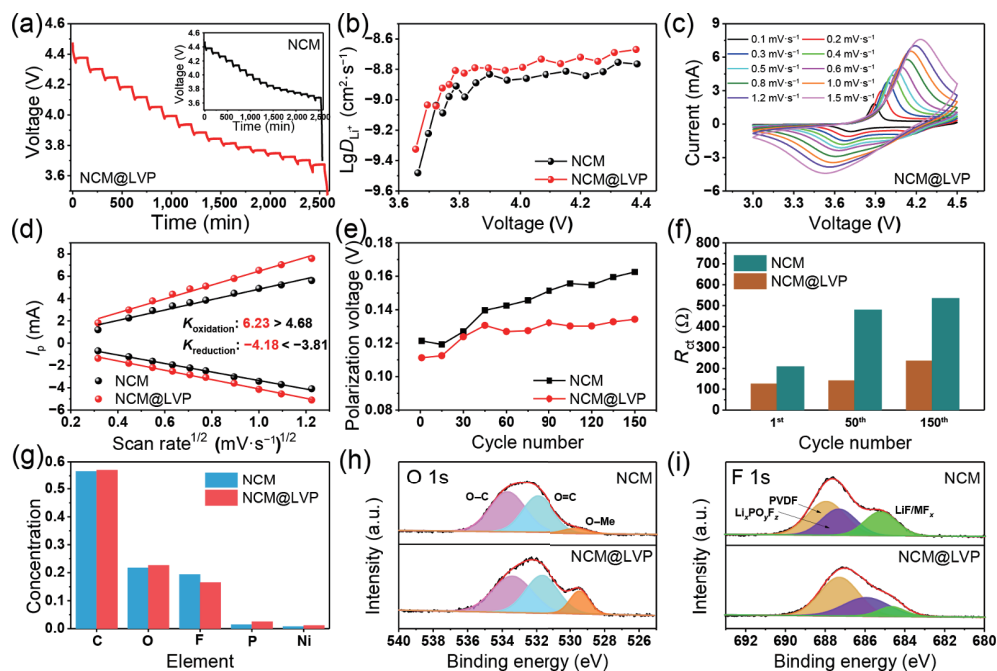
$$D_{Li^+} = (4/\pi\tau) \times (m_B V_M / M_B S)^2 \times (E_s / E_\tau)^2 (\tau \ll L^2 / D)$$

$$m_B V_M / M_B S = R_p / 3$$

The  $R_p$  is the radius of the agglomerate particle (i.e., 5 μm). The  $\tau$ ,  $\Delta E_s$ , and  $\Delta E_\tau$  values can be obtained as shown by a single GITT curve in Fig. S5 in the ESM. It can be seen that the  $D_{Li^+}$  of the NCM@LVP is about 10<sup>-87</sup> cm<sup>2</sup>·s<sup>-1</sup>, which is higher than the average  $D_{Li^+}$  value of 10<sup>-89</sup> cm<sup>2</sup>·s<sup>-1</sup> of the pristine NCM. The result declares that Li<sub>3</sub>V<sub>2</sub>(PO<sub>4</sub>)<sub>3</sub> coating enhances the diffusion ability of lithium. The cyclic voltammetry measurements at different scan rates are also performed to qualitatively compare the lithium diffusion capabilities of the pristine NCM and NCM@LVP samples (Fig. 3(c) and Fig. S6 in the ESM). The apparent lithium diffusion coefficient is analyzed through the Randles–Sevcik equation [25].

$$I_p = 2.68 \times 10^5 n^{3/2} A D^{1/2} C \omega^{1/2} \quad K = 2.68 \times 10^5 n^{3/2} A D^{1/2} C$$

The  $n$  (number of electron transfers),  $A$  (electrode area), and  $C$  (lithium molar concentration) are the relatively consistent fixed value for NCM and NCM@LVP samples. The plot of oxidation/reduction peak current ( $I_p$ ) and the square root of the scan rate ( $\omega^{1/2}$ ) is shown in Fig. 3(d), which all show a linear



**Figure 3** Comparison of (a) GITT curves, (b) the calculated lithium diffusion coefficient, (c) the CV curves for different scan rates, (d) the linear fit between oxidation/reduction peak current ( $I_p$ ) and the square root of the scan rate ( $\omega_s$ ), (e) the overall polarization voltage, (f) the interface charge transfer impedance of /different cycles, (g) the elemental concentration, (h) O 1s, and (i) F 1s XPS patterns after 150 cycles for the pristine NCM and NCM@LVP samples.

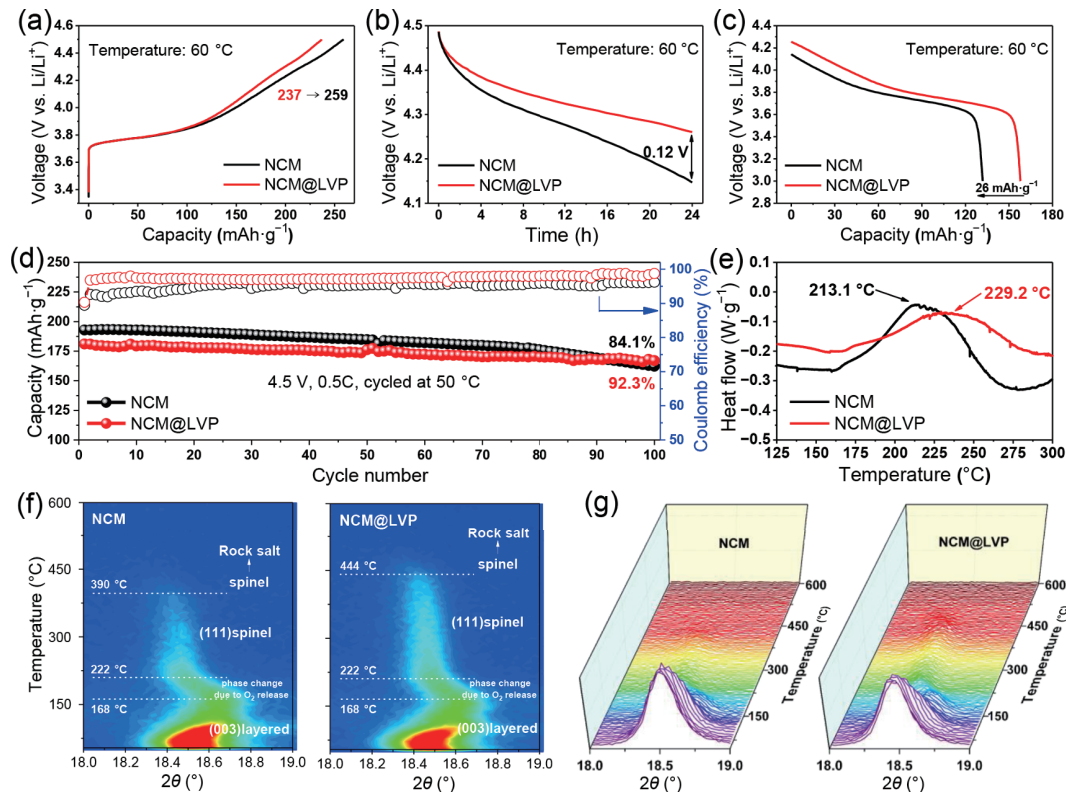
relationship. It can be inferred that the slope is proportional to the apparent lithium diffusion coefficient, the larger the slope, the faster the lithium diffusion rate. Then we can see that NCM@LVP exhibits a larger slope than the pristine NCM, which further indicates that NASICON-type  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  high ionic and electronic conductivity functional coating enhances the lithium diffusion kinetics during charge and discharge.

NCM@LVP also shows a smaller overall polarization voltage compared to the pristine NCM (Fig. 3(e)), which can be attributed to the  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  functional coating that improves the electrode-electrolyte interface environment (e.g., reduced interface side reactions and harmful surface phase transitions) [46]. The electrochemical impedance spectra (EIS) of the 1<sup>st</sup>, 50<sup>th</sup>, and 150<sup>th</sup> cycles for the pristine NCM and NCM@LVP samples after two activations are also tested (Fig. S7 in the ESM), and the fitting results show that the NCM@LVP has a smaller interface charge transfer impedance ( $R_{ct}$ ) than the pristine NCM (Fig. 3(f)), which is due to the increased conductivity and weaker interface side reactions [44]. XPS is also used to check the surface chemistry differences of the pristine NCM and NCM@LVP samples after 150 cycles. Their elemental concentration comparison is shown in Fig. 3(g). The O element concentration increases after  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  coating, which may be due to more Me-O bonds. Besides, the Ni content for the NCM@LVP is higher than the pristine NCM. The higher Me-O bond content and Ni content imply the formation of thin CEI films due to fewer electrode-electrolyte interfacial side reactions [50]. Noted that the O-Me peak of the NCM@LVP sample seems to be stronger (Fig. 3(h)), which implies less interfacial lattice oxygen loss after  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  coating [16]. The LiF/MF<sub>x</sub> peak for the NCM@LVP sample is also weaker (Fig. 3(i)), which indicates less electrolyte decomposition and transition metal dissolution [8]. These results suggest that the NASICON-type  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  coating modification greatly maintains the stability of the electrode electrolyte interface.

### 3.4 Thermal stability and bulk structural stability analysis

Poor thermal stability is the main cause of battery thermal runaway and combustion, which greatly threatens the safety of the

battery. And the thermal stability of the material is related to the stability of the electrode-electrolyte interface and bulk structure. Under high-temperature conditions, the oxygen release and the structural damage of the material are intensified, which will promote the occurrence of the undesired interface side reactions, harmful phase transitions, and lattice oxygen release [51]. The high-temperature self-discharge test is performed to examine the effect of the  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  coating modification on thermal stability. At a high temperature of 60 °C, the half-cell is first charged to 4.5 V, then rested for 24 h, and finally discharged to 3.0 V. During the charging process, the pristine NCM delivers a higher charge capacity (259  $\text{mAh}\cdot\text{g}^{-1}$  vs. 237  $\text{mAh}\cdot\text{g}^{-1}$ ) compared to the NCM@LVP due to the more intense interface reaction between the cathode and the electrolyte (Fig. 4(a)). The open-circuit voltage (OCV) during high-temperature storage of the pristine NCM suffers a severe decay and eventually left at only 4.14 V (Fig. 4(b)), and it can only maintain a discharge capacity of 132  $\text{mAh}\cdot\text{g}^{-1}$  after storage (Fig. 4(c)). However, NCM@LVP shows better thermal stability, its OCV remains as high as 4.26 V, and it can still output a high discharge capacity of 158  $\text{mAh}\cdot\text{g}^{-1}$  after storage. The high-temperature self-discharge test shows that  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  functional coating can effectively reduce the reactivity of the cathode material and electrolyte under high voltage and high temperature. The cycle performance of the pristine NCM and NCM@LVP samples at a high operating temperature of 50 °C are also tested (Fig. 4(d)), noting that the NCM@LVP has a higher capacity retention rate and Coulombic efficiency, which further illustrates the beneficial improvement effect of  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  functional coating. The differential scanning calorimeter (DSC) analyses of the pristine NCM and NCM@LVP samples in the deep delithiation state are also performed to directly evaluate the structural stability of the material under high temperature (Fig. 4(e)). When the material is heated at a high temperature, the delithiated material will undergo structural degradation and phase transition, along with the breaking of the Me-O bond and the release of lattice oxygen, which will generate a lot of heat. It can be seen that the heat release onset temperature of NCM@LVP is higher than that of the pristine NCM (229.2 °C vs. 213.1 °C), which shows enhanced structural stability under high temperature after  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$

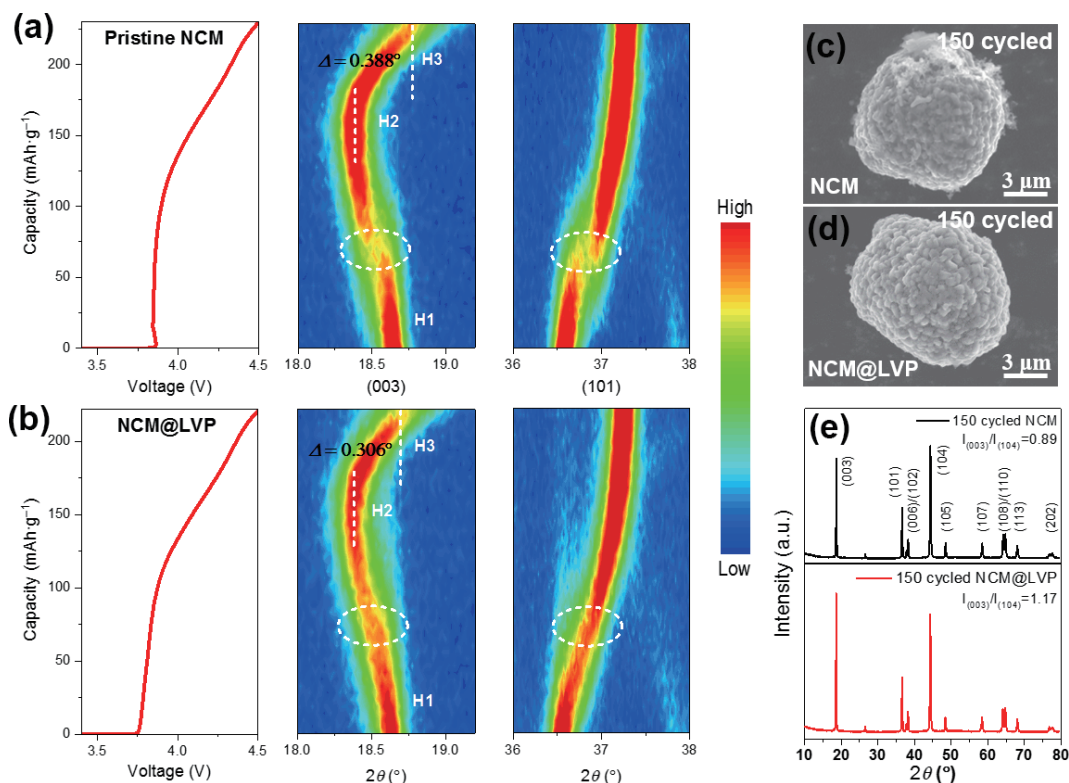


**Figure 4** Self-discharge behavior of the pristine NCM and NCM@LVP samples at 60 °C: (a) charge curves, (b) open-circuit voltage (OCV) behavior during rest for 24 h, and (c) the following discharge curves after resting. (d) The high-temperature cycle performance, (e) DSC analysis results, (f) and (g) the contour plots and the corresponding peak shifts of the (003) reflection of the variable temperature *in-situ* XRD for the pristine NCM and NCM@LVP samples.

coating. Further, the variable temperature *in-situ* XRD is also used to evaluate the structural stability of the pristine NCM and NCM@LVP samples under high temperatures (Figs. 4(f) and 4(g)). Noted that the (003) diffraction peak shifts and disappears with the increase of temperature, which is accompanied by the phase transition process (layered  $\rightarrow$  spinel  $\rightarrow$  rock salt phase), and the phase transition is initiated by the oxygen evolution from the lattice due to the thermal instability [52]. Noted the onset temperature for NCM@LVP is considerably delayed as compared to the pristine NCM, reflecting an enhanced lattice oxygen framework stability, which corresponds well to the stable structural skeleton of the  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  functional coating. These results indicate that the NASICON-type  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  functional coating can greatly improve the thermal stability of the material by increasing the stability of the lattice oxygen framework and reducing the reaction activity of the cathode material.

The effect of the  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  functional coating on the bulk structure stability of the high-voltage cathode material is also evaluated. The battery *in-situ* XRD is performed to observe the detailed structural evolution of the pristine NCM and NCM@LVP samples. Figures 5(a) and 5(b) show the contour plot of the (003) and (101) peaks and the corresponding voltage-capacity curves. They all show a similar structural evolution process to the previous research [53, 54]: the (003) peak initially shifts toward a lower  $2\theta$  angle, implying an increase in the  $c$ -axis, which is due to the increasing electrostatic repulsion between the oxygen anions at adjacent layers after part of the lithium is extracted. The process corresponds to the transition from the H1 to H2 phase. Then the (003) peak sharply shifts toward a higher  $2\theta$  angle, suggesting the steep shrinkage of the  $c$ -axis, which is because the gravity effect is greater than the electrostatic repulsion after a large amount of lithium is extracted. The process corresponds to the transition from the H2 to H3 phase. Noted that the  $c$ -axis shrinkage process (H2 to H3) is more rapid and aggressive than the  $c$ -axis expansion (H1 to H2), which will lead to a serious accumulation of internal

strain and destroy the layered structural stability of the material. Especially under high voltage conditions, more lithium ions are released and cause a more serious phase transition from H2 to H3. And the (101) peak continues to turn to a higher  $2\theta$  angle during the whole charging process, implying the shrinkage of the  $a$ -axis and  $b$ -axis, which is due to the oxidation of transition metal ions and having a smaller ion radius. However, it is easy to see that there are still some differences between the two samples. Firstly, regarding the H1 to H2 phase transition process, the pristine NCM exhibits a two-phase reaction mechanism: the formation of the H2 phase and the disappearance of the H1 phase exist at the same time. It is worth noting that the coexistence of two phases is essentially accompanied by strong internal strain from the significant lattice mismatch in the two-phase region [55]. Then it means that the pristine NCM sample will suffer a severe structural strain. But noted that NCM@LVP exhibits a nearly single-phase reaction mechanism. Secondly, relating the H2 to the H3 phase transition process, noted that the pristine NCM turns to a higher angle compared to the NCM@LVP, a more severe degree of H2 to H3 phase transition ( $0.388^\circ$  vs.  $0.306^\circ$ ), which implies that the pristine NCM has a greater degree of  $c$ -axis shrinkage (i.e., greater volume change) [35]. Then the weakened anisotropic internal stress for the NCM@LVP will inhibit the generation of intergranular microcracks, thereby maintaining the structural integrity of the secondary agglomerate particles [56, 57]. These beneficial improvements can be attributed to the  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  with stable structures capable of constraining the expansion and contraction of the bulk structure. Figures 5(c) and 5(d) show the particle morphology of the pristine NCM and NCM@LVP samples after 150 cycles. It can be seen that there is a thick electrolyte interface film on the pristine NCM particle, while the structure and surface of the NCM@LVP particle are intact, which corresponds well to the above improved electrode-electrolyte interfacial stability and bulk structural stability [58–60]. Figure 5(e) displays the XRD patterns of the pristine NCM and



**Figure 5** Comparison of (a) and (b) the battery in-situ XRD data during the first charge process: the profile of the (003) peaks diffraction patterns with the corresponding voltage-capacity curve, (c) and (d) SEM images after 150 cycles, and (e) the XRD patterns after 150 cycles for the pristine NCM and NCM@LVP samples.

NCM@LVP samples after 150 cycles. They all still maintain a well-layered structure. The difference is that the NCM@LVP has a larger  $I_{(003)}/I_{(104)}$  ratio (1.17 vs. 0.89) compared to the pristine NCM, which implies a weakened Li<sup>+</sup>/Ni<sup>2+</sup> mixing and enhanced layer structure stability after  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  coating [16]. These excellent improvement effects can be attributed to the restraint and passivation ability of the  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  rigid functional coating on the structural changes of the material.

### 3.5 Electrochemical performances of full-battery

The superior lithium storage performance derived from the improved lithium diffusion kinetics, the electrode-electrolyte interface stability, thermal stability, and bulk structure stability after  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  coating can also be further confirmed by using a high-voltage lithium-ion full battery with commercial graphite as the anode (Fig. 6(a) and Fig. S8 in the ESM). The capacity relationship between the negative and positive electrodes is controlled at 1.2 (i.e.,  $N/P=1.2$ ) by adjusting their active material loading, and the voltage range can be determined between 2.75–4.45 V (Fig. 6(b)). The initial charge and discharge curves are shown in Fig. 6(c). Figure 6(d) and Fig. S9 in the ESM exhibits the cycle performance at 0.5 C current density, and the designed full battery has a high capacity retention rate of 87.1% after 100 cycles. And it can also deliver a high specific capacity of 88  $\text{mAh}\cdot\text{g}^{-1}$  at a 5.0 C high current rate (Fig. 6(e) and Fig. S10 in the ESM). The energy density of the designed full battery is also calculated by the model formula [44] shown in Fig. 6(f), and it can reach a high energy density of 240  $\text{Wh}\cdot\text{kg}^{-1}$ . These results indicate that NASICON-type  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  coated high-voltage medium-nickel low-cobalt cathode materials have great potential in the pursuit of high-energy-density LIB practical applications.

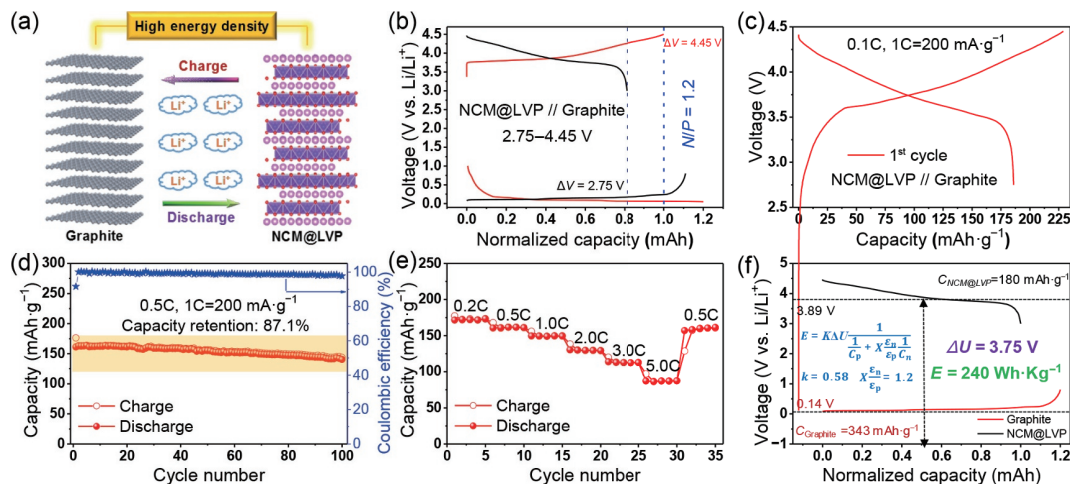
## 4 Conclusions

In summary, NCM60535 is successfully modified by utilizing

residual lithium to form a typical NASICON-type  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  functional coating in situ. The obtained NCM@LVP sample delivers a higher capacity retention rate (97.1% vs. 79.6%) after 150 cycles and a superior rate capacity (87  $\text{mAh}\cdot\text{g}^{-1}$  vs. 58  $\text{mAh}\cdot\text{g}^{-1}$ ) at a 5.0 C current density than the pristine NCM under a high cut-off voltage of 4.5 V. This excellent cycle stability and rate performance is related to its improved lithium diffusion kinetics, electrode electrolyte interface stability, thermal stability, and bulk structure stability. These improvements are attributed to the removal of surface residual lithium and the formation of NASICON-type  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$  functional coatings with stable structure and high ionic and electronic conductivity. The ultrathin, uniform, and dense coating physically blocks the direct contact between the electrode and the electrolyte, thereby reducing the occurrence of side reactions at the interface. The stable framework structure and high ionic/electronic conductivity can constrain the volume change of the layered structure and enhance the ion/electron transport rate, thereby improving the layered structure stability and enhancing the rate performance. Not only this  $\text{Li}_3\text{V}_2(\text{PO}_4)_3$ , but other NASICON-type materials (e.g.,  $\text{LiZr}_2(\text{PO}_4)_3$ ,  $\text{LiTi}_2(\text{PO}_4)_3$ ,  $\text{LiGe}_2(\text{PO}_4)_3$ , and so on) also have such excellent effects because of their similar properties. Then we suggest that NASICON-type materials obtained by utilizing residual lithium are a preferred coating material candidate for improving the lithium storage performance of high-voltage medium-nickel low-cobalt cathode materials.

## Acknowledgements

This research is funded by the National Key R&D Program of China (No. 2017YFE0198100), the National Natural Science Foundation of China (No. 21975250), the Key R&D Program of Jilin Province (No. 20220201132GX), the Key R&D Program of Hubei Province (No. 2022BAA084), and the Capital Construction Fund Projects within the Budget of Jilin Province (2021C037-2).



**Figure 6** (a) Schematic configuration of the high-voltage high-energy-density lithium-ion full battery consisting of the NCM@LVP cathode and a commercial graphite anode. (b) Negative and positive (N/P) matching relationship. (c) The initial charge/discharge curves, (d) cycle performance, and (e) rate performance of the designed lithium-ion full battery. (f) Calculation of energy density for the full battery, where the  $k$  value in the empirical model was supposed as 0.58.

**Electronic Supplementary Material:** Supplementary material (comparison of cycling stability with related materials reported in recent literature. Electrochemical performance of NCM@LVP samples under different annealing temperatures. SEM images, XRD refinement, Coulombic efficiency, single GITT curves, CV curves for different scan rates, and the electrochemical impedance spectroscopy of different cycles of the pristine NCM and NCM@LVP samples. Graphite SEM images, charge and discharge curves corresponding to cycle and rate performance for full battery) is available in the online version of this article at <https://doi.org/10.1007/s12274-022-5298-y>.

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