

# Macroscopic Ag nanostructure array patterns with high-density hot-spots for reliable and ultra-sensitive SERS substrates

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## ABSTRACT

Synthesis of metal nanostructures arrays with large amounts of small nano-gaps on a homogenous macroscale is of significant interest and importance in chemistry, biotechnology, physics, and nanotechnology because of their enhanced properties. However, the fabrication of uncovered nano-gaps with high-density and uniformity is rather difficult due to the complex and multiple synthetic steps. In this research, a facile and low-cost approach is demonstrated for the synthesis of high-density small nano-gaps (about 3.4 nm) between silver nanostructure array patterns (SNAPs) over a large area. Uniform nano-hole patterns were periodically generated over an entire substrate using nano-imprint lithography. Electrochemical reaction at the high over-potential produced multiple silver nanocrystals inside the nano-hole patterns, generating a high-density of small and uncovered nano-gaps. Finally, we fully demonstrate their application in the rapid detection of rhodamine 6G (R6G) molecules by surface-enhanced Raman scattering (SERS) spectroscopy with a very low detection limit (1 fM) as well as excellent signal uniformity (RSD < 8.0% ± 2.5%), indicating an extraordinary capability for single-molecule detection.

## KEYWORDS

nanolithography, surface-enhanced Raman scattering (SERS) spectroscopy, electrodeposition, nano patterning, gold, silver

## 1 Introduction

The fabrication of metal nanostructures in an array configuration with small nano-gaps on a homogenous macroscale has been highlighted in the fields of optics and metamaterials. It's because such super-structures can strongly concentrate an incident electromagnetic field into a narrow space, giving strong field localization from the plasmonic couplings between themselves, compared to single elements. Therefore, they can satisfy the practical requirements in surface enhanced Raman scattering (SERS) spectroscopy, localized surface plasmon resonance (LSPR) sensing, photovoltaics, photocatalysis, metamaterials, solar cell and so forth [1–7].

Among the various techniques to fabricate these nanostructure arrays, wet chemical based techniques, like urchin shaped alloy nanoparticles [8], vertically aligned gold nanorod arrays [9, 10], gold nanoparticle monolayer obtained by phase-transfer [4, 11], or the assembly of plasmonic nanoparticles using DNA molecules [3] have been successfully applied to generate nano-gaps and detect target molecules with highly enhanced sensitivity and specificity by SERS sensing. However, these methods are hard to be utilized in practical applications owing to structural instability and poor reproducibility as well as their unsuitability for large-scale production. To overcome these limitations, lithographic approaches, such as e-beam lithography [12], optical lithography [13, 14], atomic layer deposition [15, 16] and nanosphere lithography [17] are used to synthesize highly uniform and reliable nanostructure patterns. Unfortunately, most of these methods are quite costly and have limitations to create small nano-gaps (hot-spots) with high density.

Recently, combinations of lithographic and wet chemical strategies (nanorod bundle structure [18], antenna bowtie structures [3], and the addition of nanoparticles on patterned substrate [19, 20], etc.) have been devised and accomplished to synthesize small nano-gaps over a large area. However, these methods still require multiple complex synthesis steps and the density of the produced nano-gaps is low. Thus, to make SERS sensing a more attractive and competent technique than conventional analytical tools, the synthetic procedure should be simplified and the number of nano-gaps should be increased while ensuring that the narrow nano-gap distance is preserved [21].

Herein, we propose a simple and efficient technology to create silver nanostructure array patterns (SNAPs) with high-density nano-gaps over a large area (3 cm × 3 cm) by taking advantage of nano-imprint lithography (NIL) technique [22] and electro-deposition [23]. NIL generates uniform nano-hole patterns (NHPs) over the entire substrates and applying high over-potential (−3 V vs. Ag/AgCl) creates abundant silver nanostructure arrays inside every hole-pattern for hot-spot generation. To manifest the potential application of SNAPs in sensing fields, rhodamine 6G (R6G) detection via surface Raman enhancement spectroscopy is performed. The uniform and high density nano-gaps (about 3.4 nm) over the entire substrates produced the conditions necessary to obtain an enormously high SERS enhancement factor ( $1.72 \times 10^{10}$ ) with excellent signal uniformity (relative standard deviation (RSD) < 8.0% ± 2.5%). A well-packed assembly of silver nanostructures inside the NHPs ensures the high accessibility of the built-in hotspots by avoiding the use of a conventional organic capping agent. Moreover it provides enough binding sites for the capture of target molecules in the hot spots.

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## 2 Experimental

### 2.1 Preparation of the NHP

To prepare the NHP, Si (100) wafer was covered by 50 nm Au thin film using E-beam evaporation. Then, about 150 nm of polymethylglutarimide (PMGI) (PMGI SF5, MicroChem Corp., USA) was first spin-coated on the substrate and spin-coating of 100 nm thermal nanoimprint resist (mr-I 8010R, micro-resist technology GmbH, Germany) was followed. The bilayer resist stack was subjected to thermal nanoimprinting at 200 °C under a pressure of 70 bar for 200 s with a commercial tool (ANT-6HO3, KIMM, Republic of Korea) with the pillar patterned silicon stamp (480 nm diameter, 1,000 nm pitch), as the nanoimprint mold. After the nanoimprinting step, the residual nanoimprint resist was etched by O<sub>2</sub> plasma. Then, the PMGI resist was wet-etched by a commercial developer solution (AZ MIF300, AZ Electronic Materials, USA) for 3 s.

### 2.2 Synthesis and characterization of the SNAPs substrates

Prior to the electrodeposition process, the non-reactive area on the substrates was passivated by lacquer for better fixation of the substrates on the working electrode and determining the reactive area for the silver nanostructure growth in the NHP. We performed the electrochemical experiments with the conventional three-electrode system (Pt counter electrode and an Ag/AgCl (3 M KCl) reference electrode) through a potentiostat (CompactStat, Ivium). A 2 mM silver-plating solution was prepared, and the pH was controlled using sodium carbonate. To determine the most appropriate degree of over-potential, SNAPs were prepared at over-potentials ranging from -1.0 to -3.0 V (vs. Ag/AgCl electrode as a reference electrode) at room temperature using the NHPs prepared. Then, the polymer resist was removed with an excess amount of acetone (OCI, Republic of Korea) and AZ-MIF 300 developer. The morphology the SNAPs was analyzed by field emission scanning electron microscopy (FE-SEM) (S-4800, Hitachi, Japan). The nano-gap distribution and the number of nano-gaps in the synthesized substrates, from each synthetic condition, were measured by analyzing SEM images with the ImageJ program. For a more sophisticated analysis, we adjusted the brightness and saturation in the SEM images. When we counted the number of nano-gaps, we considered the gaps only when two neighboring nanostructures were close enough and we defined the nano-gaps as the shortest distance between the neighboring two silver nanostructures. The crystal structures of the NHP and SNAPs were investigated by X-ray diffraction analysis (PANalytical B.V., the Netherlands) at room temperature. The surface elements in the NHP and SNAPs were measured using an X-ray photoelectron spectrometer (K-alpha, Thermo, UK) with a monochromatic Al K $\alpha$  X-ray source.

### 2.3 SERS measurements

Prior to the Raman analysis, solutions of R6G (10<sup>-15</sup> to 10<sup>-8</sup> and 10<sup>-3</sup> M) and p-aminothiophenol (p-ATP, 10<sup>-6</sup> M), in ethanol, were prepared. At first, 479.02 mg of R6G and 125.19 mg of p-ATP were dissolved in 10 mL of ethanol, separately. Different concentration of dye solutions was prepared by serial 10-fold dilutions of the samples. The fabricated substrates were immersed in each solution for 4 h and dried in the oven for few minutes. Raman spectroscopy measurements were performed with a confocal Raman microscope (Renishaw inVia Raman Microscope). The laser power on the sample was approximately 1 mW, and the integration time was 10 s. A silicon wafer with a Raman band at 520 cm<sup>-1</sup> was used as the reference for calibration. Wire 3.2 software was used for spectral and image processing and analysis. For demonstrating the stability of fabricated substrates, we prepared two kinds of SNAPs. We treated

10<sup>-6</sup> M of p-ATP right after SNAPs fabrication, and the 10<sup>-6</sup> M of p-ATP solution was added after 10 days of SNAPs synthesis. The synthesized SNAPs were preserved in the desiccator and at room temperature, in order to prevent the possible oxidation. Both p-ATP and R6G were purchased from Sigma-Aldrich, Republic of Korea.

### 2.4 Calculation of SERS enhancement factor

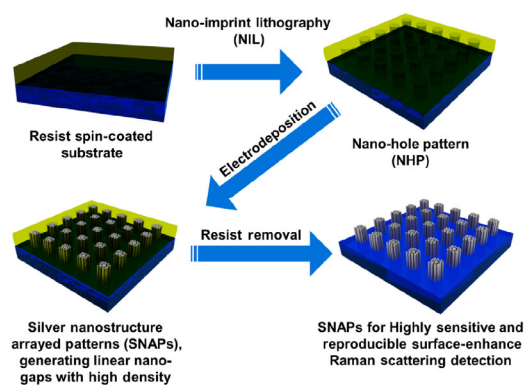
The analytical SERS enhancement factors (EFs) for R6G molecules on the SNAPs, were estimated, using the following expression

$$EF = (I_{SNAPs}/I_{dye}) \times (C_{dye}/C_{SNAPs}) \quad (1)$$

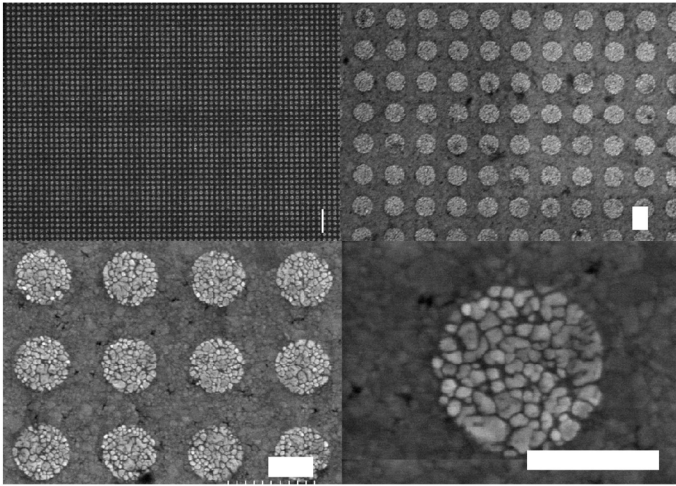
where  $C_{dye}$  and  $C_{SNAPs}$  represent the concentration of R6G molecules on the bare Si substrate (after 10<sup>-3</sup> M R6G solution-treated) and the number of R6G molecules on the surface of SNAPs (after 10<sup>-13</sup> M R6G solution-treated), respectively;  $I_{dye}$  and  $I_{SNAPs}$  are the signal intensities of the R6G Raman spectra on the pure Si substrate (after 10<sup>-3</sup> M R6G solution-treated) and on the surface of SNAPs (after 10<sup>-13</sup> M R6G solution-treated), respectively.

## 3 Results and discussion

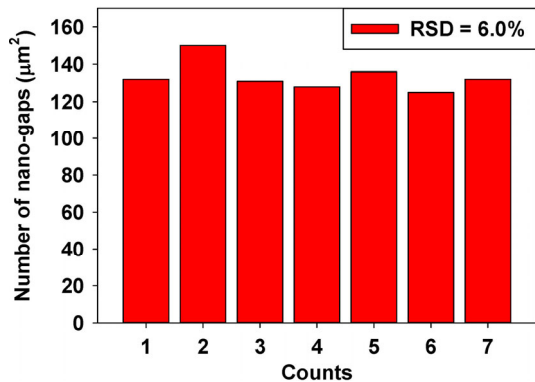
Our fabrication technique involves nano-imprint lithography on a substrate, which can create uniform polymer cavity arrays over the entire substrate, and generation of plenty of silver nanostructures inside the hole-patterns (Scheme 1). First, as a substrate for generating SNAPs, uniform NHPs were prepared via the nano-imprint lithography method (Fig. S1 in the Electronic Supplementary Material (ESM)). When we applied over-potential to the substrates, all the NHPs were deposited with silver nanostructures (Fig. 1, and Figs. S2 and S3 in the ESM). The thermal nanoimprint resist and PMGI were removed with excess amount of acetone and AZ-MIF300, respectively. The silver nanostructures were deposited only inside the NHPs. Because the outside of the nano-holes were blocked by the non-conductive thermal nanoimprint resist and PMGI, only the bottom of the nano-holes was opened to the silver precursors. Therefore, the seeds for nanostructure fabrication were only generated at the bottom of the nano-holes [1]. The granular size of each silver nanostructures was diminished with respect to the over-potential values since further over-potential increment resulted in an enhanced current, leading to the formation of multiple silver seeds at the initial stage. Especially, when the -3 V of over-potential was applied, the rapid growth of multiple silver nanostructures resulted in the generation of multitudes of small nano-gaps between the silver nanostructures. The average gap size significantly decreased to 3.4 nm (Figs. S5 and S6 in the ESM), while the number of nano-gaps per unit area increased to 132 nano-gaps/ $\mu\text{m}^2$  (Fig. 2). The height of



**Scheme 1** Schematic illustration of simple fabrication of silver nano bundles in the nano-hole patterns. At first, uniform nano-hole patterns were fabricated via the nano-imprint lithography method. Silver nano-bundles were electrodeposited in the hole-patterns and generated small, nano-gaps (around 3.4 nm) with high density. At last, the resist polymer was removed with excess amounts acetone and a basic developer for ultra-sensitive and robust SERS detection.



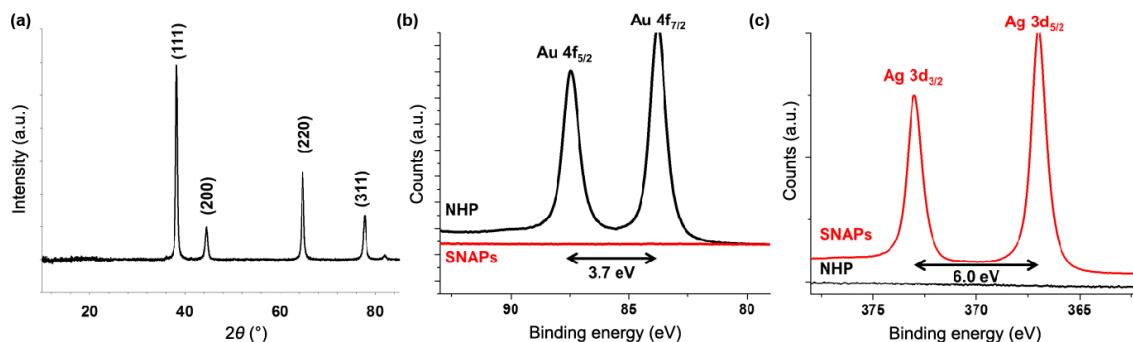
**Figure 1** Representative SEM images of SNAPs. The scale bar represents 500 nm.



**Figure 2** The statistical analysis of the number of nano-gaps with unit area ( $1 \mu\text{m}^2$ ) at different positions of SNAPs.

the SNAPs was about 150 nm because the growth of SNAPs was confined by the PMGI and thermal resist, as shown in Fig. S4 in the ESM. When we calculated the number of hot-spots, nano-gaps larger than 10 nm were excluded because of their low contribution to the SERS performance [4]. The RSD value of nano-gap density was about 6.0%, which clearly showed the reproducibility of our substrates. Hence, we performed the further experiments under  $-3 \text{ V}$  over-potential appliance.

Having observed the successful synthesis of numerous 3.4 nm nano-gaps over a large area, we next conducted the X-ray diffraction studies and confirmed that the SNAPs were highly crystalline (Fig. 3(a)) [23, 24]. The surface chemical compositions of the SNAPs demonstrate a successful silver deposition. Figures 3(b) and 3(c) show the spectra of the Au 4f and Ag 3d orbitals in high resolution. NHPs present two dominating gold signals (87.4 and 83.7 eV) matching for the Au  $4f_{5/2}$  and Au  $4f_{7/2}$  orbitals. The difference in



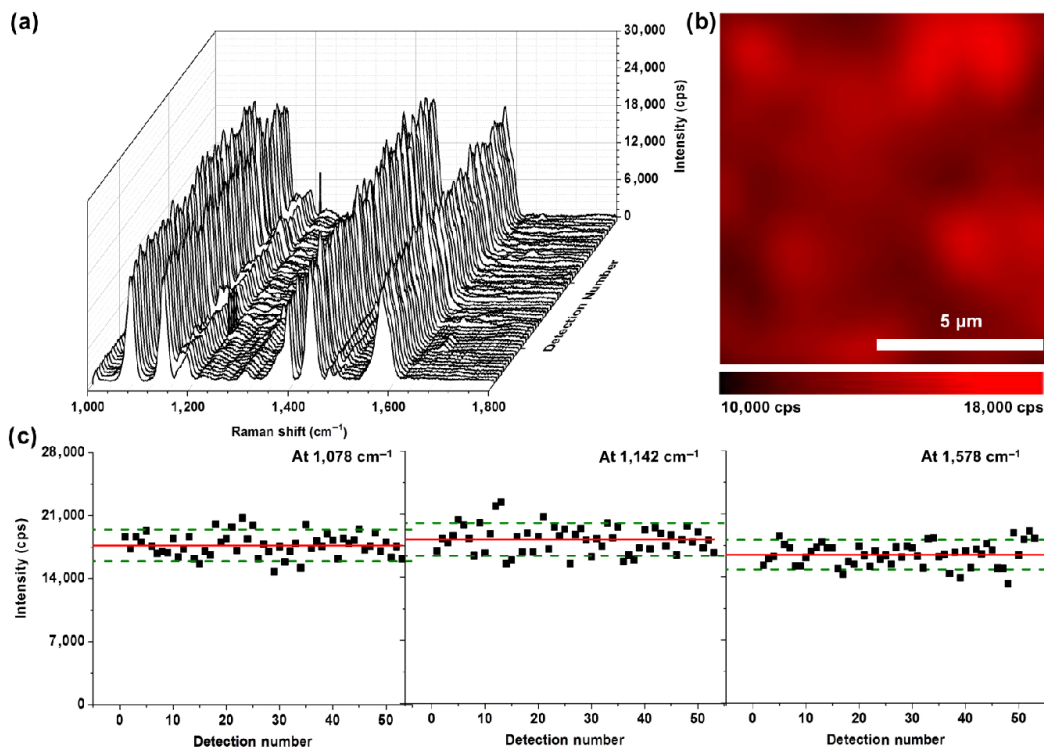
**Figure 3** Characterization of SNAPs. (a) X-ray diffraction spectra showing the high crystallinity of SNAPs and spectra of (b) Au 4f and (c) Ag 3d, demonstrating the successful silver deposition on the hole patterns.

binding energy between the doublet peaks is 3.7 eV with a 4:3 intensity ratio, and can be indexed to  $\text{Au}^0$ . In contrast, SNAPs shows the doublet peaks of Ag  $3d_{3/2}$  and  $3d_{5/2}$ , centered at 373 and 367 eV. The energy difference between the two peaks is 6.0 eV and the intensity ratio of these doublet peaks is 2:3, demonstrating the appearance of silver in its zero-valent form  $\text{Ag}^0$  in the system [25]. We can manifest the successful silver deposition onto NHPs from these X-ray photoelectron spectroscopy results, supporting the conclusion that the SERS enhancement of the nanostructures was based on the surface silver composition.

After structural chemical analysis of the SNAPs, the plasmonic properties of the SNAPs were determined in SERS analysis, on a commercial Raman spectrometer with 633 nm laser excitation. It is envisaged that periodically uniform silver nanorod arrays with consistent nano-gap size and distribution can produce reproducible SERS signal. Previously, SERS substrates have been often suffered from signal inconsistency, which has been a big hurdle for SERS substrates to be utilized in practical applications. To demonstrate the high SERS uniformity of SNAPs, we measured the SERS spectra taken from more than 50 randomly selected points after  $10^{-6} \text{ M}$  p-ATP dye treatment (Fig. 4). The intensities of the characteristic vibration at 1,078 (C–C stretching and C–S stretching), 1142 (C–N stretching mode) and 1,578 (parallel C–C stretching vibration)  $\text{cm}^{-1}$  are shown in Fig. 4(a). In these spectra, the N=N stretching vibration peak mode at 1,434  $\text{cm}^{-1}$  indicates that the p-ATP consists 4,4'-dimercaptoazobenzene (DMAB) at this treated concentration [26]. The mapping image supports the reproducibility of the SERS signals (Fig. 4(b)). In order to analyze the signal uniformity specifically, the RSD of the intensities at 1,078, 1,142 and 1,578  $\text{cm}^{-1}$  are analyzed to be only 7.2%, 8.5% and 8.3%, respectively, and the average RSD value is 8.0%, demonstrating high signal uniformity of the ordered SNAPs (Fig. 4(c)). It should be emphasized that these regions of interest were randomly selected and that consistent spatial uniformity could be found over the entire SERS substrate.

The intensities of the Raman peaks of p-ATP (1,078, 1,141, 1,391, 1,435 and 1,578  $\text{cm}^{-1}$ ) at the SNAPs were retained after 10 days of fabrication (Fig. S9 in the ESM). Based on this result, we confirmed the presented SNAPs had good signal stability within 10 days, indicating the feasibility of actual field applications.

In addition to high uniformity and reproducibility, the SNAPs also showed excellent SERS EF value of  $1.72 \times 10^{10}$ , calculated with R6G as a probe molecule (Fig. S10 in the ESM). This notable EF increment in the SNAPs is ascribed to two distinct factors, namely: large amounts of well-designed nano-gaps between the silver nanostructures; and the silver surface composition, which has an inherently higher SERS intensity than that of gold [27]. SERS is a type of surface spectroscopy, which means that only the adsorbed molecules contribute to the signal and that the effect is distance-dependent. It implies that increased dye molecule concentration can induce the multilayer deposition of dye molecules onto the SERS substrate, which hinders the exact calculation of EF values. Therefore, we chose



**Figure 4** Uniformity of the SNAPs. (a) SERS spectra recorded from more than 50 random positions after  $10^{-6}$  M of p-ATP treatment. (b) Raman mapping covering  $20 \mu\text{m} \times 20 \mu\text{m}$  showing the intensity of the Raman signal band around  $1,578 \text{ cm}^{-1}$ , as a function of coordinates. (c) Intensity deviation of  $1,078$ ,  $1,142$  and  $1,578 \text{ cm}^{-1}$  peaks from (a). Red line represents the average intensity and the green dotted line shows  $\pm 10\%$  standard deviation.

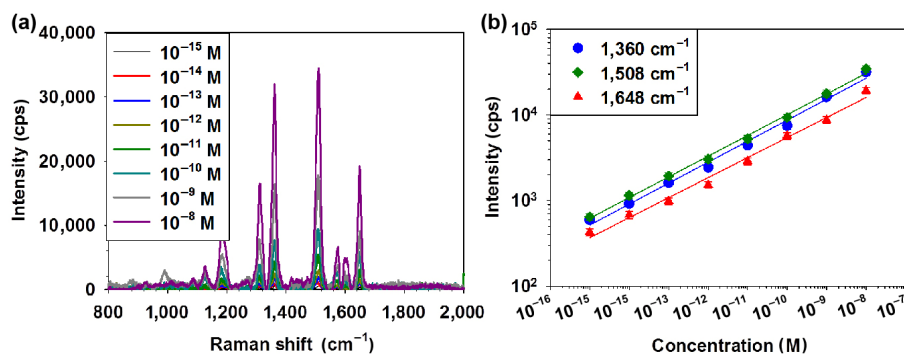
the  $10^{-12}$  M concentration, the lowest and detectable dye concentration to optimize that one monolayer of R6G adsorbed on SNAPs [28].

To further explore the applications of SNAPs in the sensing field, the as-prepared SERS substrate was used to detect R6G, as a probe molecule, in ethanol and the SERS intensities of three different Raman shifts at  $1,360$ ,  $1,508$  and  $1,648 \text{ cm}^{-1}$  as a function of R6G concentration ( $1 \times 10^{-15}$ – $1 \times 10^{-8}$  M) are plotted in Fig. 5. The results demonstrate that the Raman intensities of the R6G in the SNAPs decreased as the loaded R6G concentration decreased. We defined the limit of detection (LOD) as the concentration at which the signal to noise ratio is equal to 4. Based on this criteria, the distinct Raman peaks of R6G at  $1,360$ ,  $1,508$  and  $1,648 \text{ cm}^{-1}$  could still be clearly distinguishable, even when the concentration of R6G was as low as  $10^{-15}$  M, without any aid of the SERS effect. This notable LOD enhancement is attributed to the combination of the joint electromagnetic (EM) field enhancement and the large number of binding sites in SNAPs. The synthesized SNAPs have also good linear calibration curves between logarithmic R6G concentration and SERS intensities at  $1,360$ ,  $1,508$  and  $1,648 \text{ cm}^{-1}$  ( $r^2 = 0.99$ ). The slopes

at  $1,360$ ,  $1,508$  and  $1,648 \text{ cm}^{-1}$  were measured to be  $0.25$ ,  $0.24$  and  $0.23$ .

## 4 Conclusions

We have demonstrated a facile and low-cost methodology for the synthesis of silver nano-structure arrays via nano-imprint lithography and electrodeposition. The periodical silver nano-structures facilitate strong nano-gap generation with high-density as well as evenly distributed hot-spots over the entire substrates. These unique nanostructure arrays demonstrate excellent SERS sensitivity and reproducibility. Moreover, the nano-gap arrays in SNAPs without capping agents enable easy access to the target molecules. The synthesized SNAPs could be utilized as a SERS substrate that exhibited Raman signal enhancement as high as  $10^{10}$  with excellent uniformity and high reproducibility of the SERS signal ( $\text{RSD} < 8.0\% \pm 2.5\%$ ) and also detect R6G at a target concentration as low as  $10^{-15}$  M. This strategy can be readily extended to the macroscopic synthesis of various metal nanostructure arrays and bridge the gap between nanoscale materials and macroscopic applications.



**Figure 5** Examination of the SERS detection limit in SNAPs. (a) Normalized SERS spectra from the SNAPs, exposed to various R6G concentrations ( $10^{-15}$  to  $10^{-8}$  M) under the  $633 \text{ nm}$  laser irradiation; data collection  $10 \text{ s}$ ; incident laser power  $1 \text{ mW}$ . (b) Calibration curves of SERS intensity at  $1,360$ ,  $1,508$  and  $1,648 \text{ cm}^{-1}$  against different R6G logarithmic concentration. In order to obtain statistical data, 5 random points were collected at each concentration.

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