#### ORIGINAL ARTICLE



# Plasma Ceramides in Relation to Coronary Plaque Characterization Determined by Optical Coherence Tomography

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#### Abstract

Plasma ceramides (Cer), a subset of bioactive lipids, have mechanistic links to development of atherosclerosis and are related to major adverse cardiovascular events (MACEs). Previous researches have demonstrated vulnerable plaques contribute to acute cardiovascular events and poor prognosis. This study aimed to explore the associations between Cer and culprit plaque characterizations evaluated by optical coherence tomography (OCT). It was found that plasma Cer are associated with culprit plaque vulnerability evaluated by OCT, providing evidence supporting proatherogenic roles and potential to act as markers for plaque vulnerability of Cer.

Keywords Plasma ceramides . Plaque vulnerability . STEMI . RRLC-Q-TOF/MS



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# Introduction

Ceramides (Cer), a key sphingolipid product of sphingomyelinase (SM), are an integral part of the cell membrane of eukaryotes. They contribute to the structural stability of the cell and act as bioactive lipids in various cell signalling pathways including apoptosis, inflammation and cell cycle arrest [\[1](#page-8-0)]. It has been acknowledged that Cer play critical roles in development and progression of atherosclerosis in recent years [\[2](#page-8-0)–[4](#page-8-0)]. A substantial body of literature in rodent models of cardiovascular disease and a well-known randomized trial (PREDIMED trial) indicate that Cer play causative roles in coronary artery disease (CAD) [\[5](#page-8-0)–[7](#page-8-0)]. Moreover, recent studies have validated that plasma Cer can predict major adverse cardiovascular events (MACEs) in patients with CAD, even beyond low<span id="page-1-0"></span>density lipoprotein (LDL) cholesterol, and that Cer molecules (Cer (d18:1/16:0), Cer(d18:1/18:0) and Cer(d18:1/24:1)) and Cer(d18:1/24:0) have the closest connection with MACEs among all Cer species [\[8](#page-8-0)–[10](#page-8-0)]. However, association between plasma MACEs-related Cer and culprit plaque characteristics have not been clearly elucidated.

Optical coherence tomography (OCT) is called "optical biopsy" due to its high resolution, which is able to accurately evaluate culprit lesion characteristics in vivo [[11\]](#page-8-0). Previous OCT researchers have confirmed that thin-cap fibroatheroma (TCFA), plaque rupture (PR), macrophage infiltration, cholesterol crystal and microchannel have a positive relationship with plaque vulnerability, while calcification has a negative relationship with plaque vulnerability [\[12,](#page-8-0) [13\]](#page-8-0). This study aimed to explore the associations between plasma MACE-related Cer concentrations and culprit plaque characterizations evaluated by OCT in patients with ST segment myocardial infarction (STEMI). We found that plasma Cer are related with culprit plaque vulnerability and strong and independent predictors for TCFA in STEMI patients.

# Methods and Materials

## Study Design and Population

We retrospectively enrolled 312 consecutive patients first diagnosed with STEMI and undergoing an OCT examination before interventional procedures at the 2nd Affiliated Hospital of Harbin Medical University between July 2017 and December 2017. STEMI was diagnosed, and culprit lesions characteristics were confirmed according to previous criteria [\[14\]](#page-8-0). All the STEMI patients were admitted within 12 h of symptom onset, and blood withdrawals were completed before intervention procedures. Patients with coronary bypass grafts, congestive heart failure, end-stage renal disease, and serious liver dysfunction were excluded. Additionally, those who were not available for an OCT examination because of left main disease, chronic total occlusion, or extremely tortuous or heavily calcified vessels were also not included. Two hundred and forty-nine eligible patients and a specific study flow chart is shown in Fig. 1. In addition, we screened 30 healthy donors without known cardiovascular disease as plasma Cer levels references. The current study was approved by the Ethics Committee of the 2nd Affiliated Hospital of Harbin Medical University (Harbin, China), and all patients provided written informed consent.

# Optical Coherence Tomography Image Acquisition and Analysis

Patients were administered 300 mg aspirin, 600 mg clopidogrel or 180 mg ticagrelor and unfractionated heparin (100 IU/kg) prior to interventional procedures.



Fig. 1 Study flow chart. OCT, optical coherence tomography; STEMI, ST segment elevation myocardial infarction

Aspiration thrombectomy was applied to reduce the thrombus burden before the OCT examination. The frequency domain ILUMIEN OPTIS OCT system was used in this study to acquire OCT images of the culprit lesions.

All OCT images were analysed by two experienced investigators blinded to other experimental data on the ILUMIEN OPTIS OCT Offline Review Workstation. Consistent with previous diagnostic criteria [\[15](#page-8-0)], a lipid-rich plaque (LRP) was defined as a lipid plaque with lipid arc more than 180° in any cross-section (Fig. [3a\)](#page-6-0); a TCFA was defined as a LRP with the thinnest fibrous cap  $< 65 \mu m$  (Fig. [3b\)](#page-6-0); plaque rupture (PR) was characterized by the presence of fibrous cap discontinuity with cavity formation (Fig. [3c](#page-6-0)); macrophages accumulation was defined as signal-rich, distinct or confluent punctuate regions with heterogeneous backward shadowing (Fig. [3d\)](#page-6-0); a microchannel was defined as a black hole with diameter of 50–300 μm on multiple contiguous frames; cholesterol crystal was signal-rich, thin and linear region (Fig. [3e](#page-6-0)); calcification was defined as well-delineated, signal poor regions with sharp borders (Fig.  $3f$ ); a calcification with arc < 90° and length < 4 mm was defined as microcalcification. Additionally, lipid core length was recorded on the longitudinal OCT view. For each lipid plaque, the lipid arc was measured at every 1-mm interval throughout the entire lesion, and fibrous cap thickness (FCT) was measured at its thinnest part 3 times, and the average value was calculated. Lipid volume index was calculated by multiplying lipid core length by mean lipid-rich arch.

The inter-observer kappa coefficients for PR, TCFA, LRP and calcification were 0.887, 0.891, 0.875 and 0.885, respectively. The intra-observer kappa coefficients for PR, TCFA, LRP and calcification were 0.905, 0.917, 0.905 and 0.898, respectively.

## Preparation of Human Plasma Samples

Blood samples (5 ml) were collected before intervention using EDTA tubes. Plasma samples were separated by centrifugation at 1000 g for 10 min and then stored at −80 °C until further analysis. Quality control (QC) consisted of mixture of 2 μl from each study sample. Cer extraction was performed according to previous descriptions [\[16](#page-8-0), [17](#page-8-0)] with minor modifications. In brief, 150 μl of study samples and QC samples were deproteinated with 450 μl cold isopropanol, vortexed for 1 min and then incubated at − 80 °C for 1 h. Next, the samples were centrifuged at 16,000 g at 4 °C for 20 min, and 500 µl of supernatant was transferred to a 1.5-ml EP tube. A total of 40 μl of the supernatant was diluted  $(2:1, v/v)$  with H2O/ methanol (1:1, v/v) containing D7-labelled internal standard (IS) mix (Avanti Polar Lipids, Alabaster, AL). IS mix solution containing D7-Cer d18:1/16:0 (0.5 ng/μl), D7-Cer d18:1/18:0 (0.5 ng/μl), D7-Cer d18:1/24:0 (2 ng/μl) and D7-Cer d18:1/ 24:1 (3.3 ng/ $\mu$ l) was prepared in methanol.

## Quantitative Analysis of Cer Using Rapid Resolution Liquid Chromatography Coupled with Quadrupole Time-of-Flight Mass Spectrometry

Chromatographic separation was performed at 60 °C on an Agilent Technologies 1260 liquid chromatography system. The mobile phases consisted of water (A) and isopropanol (B). Gradient elution was maintained at 85% B for 4 min. The type of RRLC column was ZORBAX SB-C18 (Rapid Resolution HT,  $4.6 \times 50$  mm, 1.8  $\mu$ m), and the injection volume was  $10 \mu l$ . Mass spectrometric analysis was performed on an Agilent 6530 series quadrupole time-of-flight mass spectrometer equipped with a dual electrospray ionization source (ESI). Ionization was operated in positive (ESI+) mode. The chosen  $m/z$  values were 560.5028/567.5471 for Cer(d18:1/16:0)/D7- Cer(d18:1/16:0), 588.5346/595.5783 for Cer(d18:1/18:0)/ D7-Cer(d18:1/18:0), 670.6136/677.6548 for Cer(d18:1/ 24:1)/D7-Cer(d18:1/24:1) and 672.6276/679.6723 for Cer (d18:1/24:0)/D7-Cer(d18:1/24:0). Retention time (RT) for Cer(d18:1/16:0), Cer(d18:1/18:0), Cer(d18:1/ 24:1) and Cer (d18:1/24:0) is 1.680 min, 1.898 min, 2.521 min and 2.893 min, respectively. Chromatograms of the four Cer were shown in supplementary files Figure 1.

QC samples were measured after every 30 study samples, and determinations of Cer concentrations in all QC samples were performed to evaluate the inaccuracy of Cer arrays by the Bessel formula. The analytic imprecision values for Cer(d18:1/16:0), Cer(d18:1/18:0), Cer(d18:1/24:1) and Cer(d18:1/24:0)) were 5.25%, 4.98%,7.43% and 8.89%, respectively.

#### Statistical Analysis

Based on the Kolmogorov–Smirnov test, continuous data are expressed as the mean  $\pm$  standard deviation or the median  $\pm$  interquartile range and were analysed with Student's *t* test or a nonparametric test, respectively. Categorical variables are presented as a number (percent) and were compared with chi-square tests or Fisher's exact test. Spearman analysis was performed to evaluate the correlation between plasma Cer and quantitative OCT parameters. Logistic regression analysis was performed to determine the odds ratio and 95% confidence interval (CI) for TCFA. Adjustments were made for variables including age, gender, hypertension, diabetes, cigarettes smoking, high-sensitive C-reactive protein (hs-CRP), total cholesterol (TC), triglyceride (TG), highdensity lipoprotein cholesterol (HDL-c), low-density lipoprotein cholesterol (LDL-c), admission creatinine, thrombectomy, multiple-vessel disease and previous medication use (including statin, antiplatelet drugs, β-blocker, ACEI/ARE and CCB) in the multivariate logistic regression analysis. The Bessel formula was used to evaluate the inaccuracy of the plasma Cer measurements. All tests were two-sided, and a  $P$  value of  $< 0.05$  was considered statistically significant. All statistical analyses were performed using the SPSS 23.0 software (IBM, Armonk, New York, USA).

## **Results**

# Characteristics of Patients and Plasma Ceramide Levels

We screened 312 STEMI patients with OCT examination and 30 healthy donors. Of the 312 STEMI patients, 63 were excluded (as shown in Fig. [1\)](#page-1-0). A total of 249 patients were eligible for culprit plaque characteristics analysis. Circulating Cer levels were higher in patients with STEMI than in healthy donors (as shown in Fig. [2](#page-3-0)). Baseline clinical characteristics and plasma MACE-related Cer levels (Cer(d18:1/16:0), Cer(d18:1/18:0), Cer(d18:1/24:1) and Cer(d18:1/24:0)) in STEMI patients were shown in Table [1,](#page-4-0) and those in healthy donors were shown in supplementary files Table 1. Moreover, detailed OCT characteristics of culprit plaques in screened patients were shown in Table [2](#page-4-0).

<span id="page-3-0"></span>Fig. 2 Comparison of plasma ceramide concentrations in different cohorts. a Comparison of plasma Cer(d18:1/16:0) levels in healthy controls vs. patients with STEMI. b Comparison of plasma Cer(d18:1/18:0) levels in healthy controls vs. patients with STEMI. c Comparison of plasma Cer(d18:1/24:1) levels in healthy controls vs. patients with STEMI. d Comparison of plasma Cer(d18:1/24:0) levels in healthy controls vs. patients with STEMI



## Correlation Between Plasma Ceramides and Culprit Plaque Characteristics Determined by Optical Coherence Tomography

All eligible STEMI patients were stratified by median of plasma Cer concentrations. The prevalence of LRP, PR and TCFA was higher in high Cer group than that in low Cer group, while the frequency of calcification was lower in high Cer group (as shown in Table [3](#page-5-0) and Fig. [3](#page-6-0)). Moreover, the frequency of microstructure (including macrophages infiltration, cholesterol crystal, microchannel and microcalcification) was similar between the low Cer group and the high Cer group (as shown in Table [3](#page-5-0) and Fig. [3\)](#page-6-0). Similarly, patients in high Cer group had longer lipid core length, larger mean lipid arc, bigger lipid volume index and thinner FCT than patients in low Cer group (as shown in Table [3\)](#page-5-0).

In addition, the Spearman analysis showed that plasma Cer(d18:1/16:0) Cer(d18:1/18:0) and Cer(d18:1/24:1) have significant positive correlations with lipid core length, mean lipid arc and lipid volume index, a significant negative correlation with FCT (as shown in Table [4](#page-6-0)).

## Predictive Value of Plasma Ceramides for Thin-Cap Fibroatheroma

Clinical characteristics of STEMI patients grouped by TCFA (+) were shown in supplementary files Table 2.

The univariate regression analysis showed that four MACEs-related plasma Cer were all predictors for TCFA (as shown in Table [5\)](#page-7-0). Moreover,  $Cer(d18:1/16:0)$ , Cer(d18:1/18:0) and Cer(d18:1/24:1) (except for Cer(d18:1/24:0)) remained strongly and independently associated with the presence of TCFA even after adjusting for age, gender, hypertension, diabetes, cigarettes smoking, hs-CRP, TC, TG, HDL-c, LDL-c, admission creatinine, thrombectomy, multiple-vessel disease and previous medication use (including statin, antiplatelet drugs, β-blocker, ACEI/ARE and CCB) (as shown in Table [5](#page-7-0)).

## **Discussion**

There is a significant increase in plasma Cer levels from healthy individuals to patients with STEMI in this study. This is consistent with previous study, suggesting Cer are important atherogenic substances and associated with all degrees of CAD [\[1](#page-8-0), [18](#page-8-0), [19\]](#page-8-0). Additionally, this study demonstrated for the first time that plasma Cer are associated with culprit plaque vulnerability evaluated by OCT. Even after adjusting for traditional risk factors, elevated Cer(d18:1/16:0), Cer(d18:1/18:0) and Cer(d18:1/24:1) (except for Cer(d18:1/24:0)) can still serve as an independent predictor for TCFA in STEMI patients, suggesting elevated plasma Cer may represent a marker of coronary

<span id="page-4-0"></span>Table 1 Basic characteristics of patients

Variables	Overall $(n = 249)$	
Age, years	$56.78 \pm 12.84$	
Men $(\%)$	186 (74.70)	
Coronary risk factors		
Hypertension	120 (48.19)	
Diabetes mellitus	42 (16.86)	
Cigarettes smoking		
Non-smoker	103 (41.37)	
Current smoker	135 (54.21)	
Former smoking	11 (4.42)	
Laboratory data		
Creatinine $(\mu \text{ mmol/L})$	$84.95 \pm 23.5$	
$hs-CRP$ (mg/L)	$8.35 \pm 9.85$	
$TC$ (mg/dL)	$180.71 \pm 39.58$	
Triglyceride (mg/dL)	$149.04 \pm 86.73$	
HDL-C (mg/dL)	$48.60 \pm 10.23$	
$LDL-C$ (mg/dL)	$108.21 \pm 32.57$	
Procedural characteristics		
Multi-vessels disease	184 (73.89)	
Total ischemic time (h)	$5.28 \pm 4.00$	
Infarction-related artery		
LAD $(\%)$	127 (51.00)	
$RCA(\%)$	92 (36.95)	
$LCX$ $(\%)$	30 (12.05)	
Previous medication use		
Statins use $(\% )$	28 (11.24)	
Antiplatelet drugs (%)	30 (13.65)	
$\beta$ -blocker $(\%)$	10(4.02)	
ACEI/ARE (%)	25 (10.04)	
$CCB$ $(\%)$	90 (36.14)	
Ceramides concentration:		
$Cer(d18:1/16:0)$ ( $\mu$ mol/L)	$0.45 \pm 0.11$	
$Cer(d18:1/18:0)$ ( $\mu$ mol/L)	$0.12 \pm 0.05$	
$Cer(d18:1/24:1)$ ( $\mu$ mol/L)	$0.99 \pm 0.42$	
$Cer(d18:1/24:0)$ ( $\mu$ mol/L)	$2.19 \pm 0.69$	

Values are presented as n  $(\%)$ , mean  $\pm$  SD, or median  $\pm$  interquartile range. Cer, ceramides; TCFA, thin-cap fibroatheroma; LAD, left anterior descending artery; RCA, right coronary artery; LCX, left circumflex artery; MI, myocardial infarction; ACEI, angiotensin-converting enzyme inhibitors; ARB, angiotensin receptor blocker; CCB, calcium channel blockers

disease activity and paly critical roles in progression of atherosclerosis (Fig. [4\)](#page-7-0).

Previous studies have confirmed that Cer are important atherogenic substances and have a close connection with many well-known risks of cardiovascular disease, such as lipoproteins, inflammatory cytokines and matrix metalloproteinases (MMPs) [[18\]](#page-8-0). The present study sheds Table 2 OCT characteristics of culprit plaques in patients



Values are presented as n  $(\%)$ , mean  $\pm$  SD, or median  $\pm$  interquartile range. Cer, ceramides; OCT, optical coherence tomography; LPR, lipidrich plaque; PR, plaque rupture; TCFA, thin-cap fibroatheroma; FCT, fibrous cap thickness; MLA, minimal lumen area

light on the relationship between plasma-specific Cer and culprit plaque vulnerability in STEMI patients. The exact mechanisms by which plasma Cer lead to plaque instability are not clearly known. However, preliminary experimental data can partially explain this phenomenon. Schissel et al. found that increased Cer can activate sphingomyelinase, which is able to induce lipoproteins, especially LDL-C, to aggregate and accumulate in the arterial wall [[20](#page-8-0)]. The greater accumulation of LDL-C in the arterial wall makes the lipid plaques larger and more prone to be unstable. Moreover, the development and progression of vulnerable plaques are tightly related to the inflammatory response and MMPs [[21](#page-9-0)]. Some studies have demonstrated that Cer signalling is a crucial mediator in the proinflammatory effects and activation of MMPs. Furthermore, Andreas was the first to validate that Cer play an pivotal role in the proinflammatory effect and in inducing human coronary artery smooth muscle cell (HCASMC) apoptosis/necrosis in vitro [[2](#page-8-0)]. Interestingly, the loss of vascular smooth muscle cells (VSMCs) is a major player in the progression of vulnerable plaque, leading to a thinner fibrous cap [\[22,](#page-9-0) [23](#page-9-0)]. Additionally, Cer are primarily responsible for endothelial dysfunction by inducing oxidative stress and reducing nitric oxide (NO) bioavailability from endothelial cells [\[24\]](#page-9-0). These evidence supports the significance of Cer in plaque vulnerability with respect to their associations

<span id="page-5-0"></span>



<span id="page-6-0"></span>

Fig. 3 Representative OCT images. a Lipid-rich plaque (LRP), a lipid plaque with lipid arc more than 180°. b A thin-cap fibroatheroma (TCFA), a lipid-rich plaque with the thinnest fibrous cap  $\lt 65$  μm (the red arrow). c Plaque rupture (PR), presence of fibrous cap discontinuity (the white arrow) with cavity formation

(the red asterisk). d Macrophage accumulation, signal-rich, distinct or confluent punctuate regions with heterogeneous backward shadowing. e Cholesterol crystal, signal-rich, thin and linear region (the red arrow). f Calcification, well-delineated, signal-poor regions with sharp borders (the red arrow).

with lipoprotein aggregation, inflammatory cytokines, MMPs, HCASMC apoptosis/necrosis and endothelial dysfunction.

In addition, plaques in patients with diabetes mellitus (DM) are more unstable than that in patients without DM [\[25,](#page-9-0) [26](#page-9-0)]. Interestingly, plasma Cer have been reported to be related to the development of type 2 DM, insulin resistance [[27](#page-9-0), [28](#page-9-0)]. Higher concentrations of circulating Cer(d18:1/18:0) are associated with increased risk of developing diabetes [\[27](#page-9-0)]. Furthermore, a significant reduction in Cer(d18:1/16:0) can improve insulin resistance and lower the incidence of diabetes mellitus [\[28](#page-9-0)].

Table 4 Correlation between plasma ceramides and quantitative OCT parameters



OCT, optical coherence tomography; Cer, ceramides; OCT, optical coherence tomography; FCT, fibrous cap thickness; MLA, minimal lumen area

<span id="page-7-0"></span>Table 5 Logistic regression analysis of ceramides for TCFA

Model	Variables	OR (95% CI)	Adjusted OR (95%CI)	$P$ value
Model 1	Cer(d18:1/16:0) <sup>a</sup>	$7.279(2.244 - 23.617)$	7.269 (1.773-29.801)	0.006
Model 2	$Cer(d18:1/18:0)^a$	$3.110(1.513 - 6.389)$	$2.904(1.199 - 7.033)$	0.018
Model 3	Cer(d18:1/24:1) <sup>a</sup>	5.538 (1.364-22.493)	$6.274(1.350-29.154)$	0.019
Model 4	$Cer(d18:1/24:0)^a$	$1.307(0.855 - 1.966)$	$1.785(0.988 - 3.227)$	0.055

<sup>a</sup> Natural logarithm of the ceramides

Models 1, 2, 3 and 4. Adjusted for age, gender, hypertension, diabetes, cigarettes smoking, hs-CRP, TC, TG, HDL, LDL, admission creatinine, thrombectomy, multiple-vessel disease and previous medication use (including statin, antiplatelet drugs, β-blocker, ACEI/ARE and CCB). TCFA, thin-cap fibroatheroma

Some previous studies have confirmed that distinct plasma Cer are superior to traditional risk factors in predicting MACEs in patients with CAD [[9](#page-8-0), [29\]](#page-9-0). However, the underlying mechanism remains unclear. Our study can partially explain their predictive value. The present results showed that plasma Cer concentrations have positive relationship with culprit plaque vulnerability. Unstable plaques are able to increase the occurrence of adverse cardiovascular events. Our results emphasize the potential importance of Cer in plaque instability in addition to the development of CAD.

Plasma Cer are able to reflect dynamic changes in the coronary disease stability because of their modifiable nature. Importantly, the response of Cer to lipid-lowering treatments has also been documented in several previous experiments [\[30](#page-9-0)–[32\]](#page-9-0). Traditional statins, already known to be effective at making plaques more stable and reducing the risk of CAD, are also reported to modify plasma Cer concentrations. For example, simvastatin lowers plasma Cer broadly by approximately 25%, and rosuvastatin dose-dependently lowers plasma Cer by 33–37% independent of LDL-C-lowering [[31](#page-9-0), [32\]](#page-9-0). The proprotein convertase subtilisin/kexin-type 9 (PCSK9) inhibitor, a new lipid-lowering drug, is also reported to reduce CAD outcome risk-related Cer by 20% [\[32\]](#page-9-0).

This study is subject to several limitations. First, this was a retrospective study conducted at a single centre. Second, we screened patients with STEMI who underwent an OCT examination before percutaneous coronary intervention. Patients who were unavailable for the OCT examination were not included. Third, a residual thrombus might affect the analysis of plaque characteristics. Fourth,

Fig. 4 Prevalence of qualitative OCT parameters stratified by median of plasma Cer. a Prevalence of qualitative OCT parameters stratified by median of Cer(d18:1/16:0). b Prevalence of qualitative OCT parameters stratified by median of Cer(d18:1/ 18:0). c Prevalence of qualitative OCT parameters stratified by median of Cer(d18:1/24:1). d Prevalence of qualitative OCT parameters stratified by median of Cer(d18:1/24:0)



<span id="page-8-0"></span>non-culprit plaques were not assessed in this study. Fifth, the peak time of plasma Cer after AMI is uncertain, so different blood collection times may affect plasma Cer concentrations.

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## Compliance with Ethical Standards

Conflict of Interest The authors declare that they have no conflict of interest.

Human Subjects/Informed Consent Statement All procedures followed were in accordance with the ethical standards of the responsible committee on human experimentation (institutional and national) and with the Helsinki Declaration of 1975, as revised in 2000 (5). Informed consent was obtained from all patients for being included in the study.

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