#### **RESEARCH PAPER**



# 3D microcapsules for human bone marrow-derived mesenchymal stem cell biomanufacturing in a vertical-wheel bioreactor

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#### Abstract

Microencapsulation of human mesenchymal stromal cells (MSCs) via electrospraying has been well documented in tissue engineering and regenerative medicine. Herein, we report the use of microencapsulation via electrospraying, for MSC expansion using a commercially available hydrogel that is durable, optimized to MSC culture, and enzymatically degradable for cell recovery. Critical parameters of the electrospraying encapsulation process such as seeding density, correlation of microcapsule output with hydrogel volume, and applied voltage were characterized to consistently fabricate cell-laden microcapsules of uniform size. Upon encapsulation, we quantified ~ 10× expansion of encapsulated MSCs within a vertical-wheel bioreactor, and verified the preservation of critical quality attributes including immunophenotype and multipotency after expansion and cell recovery. Finally, we highlight the genetic manipulation of encapsulated MSCs as an example of incorporating bioactive agents in the microcapsule to create new compositions of MSCs with altered phenotypes.

Keywords Mesenchymal stem cell · Biomanufacturing · Electrospray · Encapsulation · Bioreactor

# 1 Introduction

Human mesenchymal stromal cell (MSC)-based therapies are of clinical interest due to their immunomodulatory properties through broad-spectrum release of trophic factors, multipotent differentiation capabilities, and low immunogenicity enabling an "off-the-shelf" allogeneic product [1–3]. The interventional therapeutic potential of these cellbased therapies has been investigated to alleviate an array of clinical indications that include hematopoietic failure [4–6], liver failure [7], multiple sclerosis [8], graft versus host disease [9, 10], and diabetes [11]. Alternative approaches using MSC therapeutics have gained traction, particularly in the *ex vivo* genetic modification of MSCs [12] and MSC-derived exosomes [13–15]; however, both are in early phase development. Depending on the clinical indication, a single dose can range from  $0.5 \times 10^6$  to  $5.0 \times 10^6$  cells/kg of body weight

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[16]. When this dose is scaled for repeated administration per patient, the number of total patients per indication, and multiple jurisdictions of use, the cell mass required for commercial use approaches the order of  $10^{12}-10^{13}$  MSCs per year for a single indication as projected by Olsen et al. [17].

A major bottleneck in translating MSC therapies lies in manufacturing cell lots at a commercial scale to meet this clinical demand. Dosage requirements suggest that twodimensional (2D) cell culture is inadequate in addressing these demands without incurring tremendous costs, labor, and facility utilization [18]. Suspension-based culture is a feasible approach that utilizes automated stirred-tank systems to expand cells in three-dimensional (3D) conditions while also being continuously monitored. Microcarriers, a well-researched scalable platform extensively used in the expansion of adherent MSCs, offer a larger surface areato-volume ratio capable of supporting denser cultures once translated into suspension-based systems [19, 20]. Scaleddown pilot studies have shown that several microcarrier attributes such as size, porosity, chemically functionalized or extracellular coatings play a crucial role in cell expansion with implications in scaled-up commercial runs [21]. Notably these implications can dictate bioreactor agitation rates to not only keep microcarriers in suspension, but also

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for downstream processing. An agitation rate that is too high has the potential to affect the desired target product profile and reduce cell health, or lead to particulate debris in final products as a regulated safety concern [22, 23].

Encapsulation of cells within 3D biocompatible polymer matrices has been investigated as an alternative to microcarrier-based platforms. Such polymer matrices offer a stable and conducive platform for cell attachment and expansion within a porous material while allowing sufficient gas and nutrient exchange in suspension culture. Alginate, a natural biomaterial, has previously been reported to act as a medium for sustained drug delivery [24] and has frequently been associated with MSC microencapsulation [25-27]. Alginate, however, lacks adhesive moieties and is inherently unstable as chelating agents within culture media tend to displace the divalent crosslinker ionic interactions over time [28, 29]. In addition, biocompatible polymer-based encapsulation platforms come with their own set of challenges that include reports of hydrogel matrices leading to undesirable changes in cell functionality and premature differentiation of cells [30, 31].

In this study, we developed a microcapsule-based platform using VitroGel-MSC, a xeno-free polysaccharide-based hydrogel to expand MSCs in a vertical-wheel bioreactor following a fed-batch approach. Critical process parameters were evaluated and optimized to arrive at a multifold expansion of MSCs without compromising functionality and differentiating capabilities. Critical quality attributes (CQAs) were validated using multiple analytical techniques. Notably, we were also able to prove the functionality of our 3D biomanufacturing system by employing it as a platform to generate genetically manipulated MSCs via viral transduction. Herein, we demonstrate that this 3D cell expansion is feasible and serves as a proof of concept that can be considered for further scale-up and process development for MSC therapy biomanufacturing.

#### 2 Materials and methods

#### 2.1 MSC planar culture for seed train

MSCs were isolated from single donor bone marrow (Lonza) based upon their adherence to tissue-culture-treated flasks in standard conditions. MSCs were cultured in minimal essential media- $\alpha$  (Thermo Fisher Scientific) supplemented with 2.5 ng/mL rec. human FGF-2 (Waisman Biomanufacturing), 10% v/v Hyclone FBS (Cytiva), and 1% v/v antibiotic- antimycotic (Thermo Fisher Scientific) at 37 °C/5% CO<sub>2</sub>.

Working Cell Bank (MSC-WCB, Passage: 2) and Cell Therapy Product (MSC-CTP, Passage: 3) stocks of MSCs were used for the entirety of this study. Once thawed, cells were seeded at a density 3000–3500 cells/cm<sup>2</sup> and weaned to xeno-free conditions using RoosterNourish-MSC (Rooster Bio). After 4 days, MSCs were dissociated from flasks using TrypLE Express Enzyme (Thermo Fisher Scientific) and counted using an NC-202 automated cell counter (ChemoMetec). Cell suspensions were centrifuged at 1100 rpm for 5 min and resuspended for encapsulation.

#### 2.2 Fabrication of microcapsules

Prior to encapsulation, cell suspensions were mixed with VitroGel-MSC (TheWell Biosciences) to a total volume of 6 mL in a 1:2 v/v ratio according to the manufacturer's recommendations. This hydrogel precursor solution was loaded into a 10-mL syringe and mounted vertically onto a syringe pump (Harvard Apparatus). Microcapsule generation was performed using a VARV1 Encapsulation Unit (Nisco Engineering AG) at a voltage supply of 4.55 kV and 20 mL/h syringe pump flow rate. For all encapsulations, a 28-G nozzle supplied by Nisco Engineering AG was used and placed at a constant height of 3.2 cm from the collection basin. Electrosprayed microcapsules were allowed to crosslink for 4 h in the collection basin containing 80 mL of RoosterNourish-MSC (Rooster Bio). After 4 h, microcapsules were transferred to a PBS0.1 vertical-wheel bioreactor (PBS Biotech) and increased to a final volume of 90 mL. Bioreactors were maintained at an agitation rate of 25 rpm and 37 °C. On day 3, a xeno-free RoosterReplenish-MSC-XF (Rooster Bio) was added at 2% v/v and the agitation rate was increased to 30 rpm.

#### 2.3 Characterization of microcapsules

Microcapsule density (capsules/mL) was acquired by electrospraying different volumes (1, 5, and 10 mL) of VitroGel-MSC at a consistent seeding density of  $1.6 \times 10^6$  cells/mL. The cell suspension to Vitrogel-MSC ratio was maintained at 1:2 v/v. After encapsulation, microcapsules were transferred to a PBS0.1 vertical-wheel bioreactor after 4 h. The reactors were maintained at an agitation rate of 25 rpm and 37 °C. On day 3, a xeno-free RoosterReplinish-MSC-XF (Rooster Bio) was added at 2% v/v and the agitation rate was increased to 30 rpm. On day 6, 1 mL samples were aliquoted to quantify the number of microcapsules using a Celigo Image Cytometer (Nexcelom Biosciences). Microcapsule size distribution was analyzed using ImageJ software.

#### 2.4 Taylor cone evaluation

Taylor cone formation was verified with a camera Point Grey Research / FLIR, Grasshopper GS3-U3-41C6NIR-C ( $2048 \times 2048$  pixels, 5.5 µm pixel size) and four lenses (L1: Thorlabs AC-508-100-A-ML, L2: Thorlabs AC-508-100-A-ML, L3: Olympus Plan N,  $4\times/0.1$ , L4: Thorlabs AC-254-150-A) to achieve appropriate magnification. Hydrogel precursor solution was loaded in a 10-mL syringe, and the

encapsulation unit was switched on to image the transition of droplets into a Taylor cone at an optimized voltage.

#### 2.5 Bioreactor sampling and metabolite analysis

Bioreactor sampling was performed to monitor cell growth kinetics, metabolite consumption, and waste accumulation throughout the culture time course. Microcapsules in suspension were sampled from bioreactors at 20 rpm agitation. A 3-mL sample was collected on day 1, while 1-mL samples were collected for remaining time points. Samples were incubated with CellTiter-Blue (Promega) at 20% sample volume according to manufacturer's instructions for 4 h. Data acquisition was followed as fluorescence readouts, made by the Varioskan LUX (Thermo Fisher Scientific) multimode reader. A multipoint reduction step was added in the SkanIt Software protocol session, and the average of the multipoint fluorescence signal for every well was calculated. After this step, a blank subtraction was carried out to account for any background reduction of resazurin occurring in control wells. Results were analyzed using a standard curve with linear regression analysis. Microcapsule free supernatants were analyzed using a Cedex Bioanalyzer (Roche Diagnostics) for concentrations of glucose (mmol/L), lactate (mg/L), total protein (g/L), ammonia (mmol/L), lactate dehydrogenase (U/L), and glutamine (mmol/L). Microcapsules were stained with 2 µM Calcein AM (Thermo Fisher Scientific) and 4 µM Ethidium Homodimer-1 (Thermo Fisher Scientific) and imaged using a Zeiss Axio Observer. The following parameters were obtained from data acquisition:

Specific growth rate

Specific growth rate, 
$$\mu = \frac{ln\left(\frac{Cx(t)}{Cx(0)}\right)}{\Delta t}$$
 (1)

where  $\mu$  is the specific growth rate (day<sup>-1</sup>),  $C_x(t)$  and  $C_x(0)$  are the final and initial cell numbers after time, *t* (days).

Population doublings

Population doublings, 
$$Pd = \frac{1}{loglog(2)} \times loglog\left(\frac{Cx(t)}{Cx(0)}\right)$$
(2)

where  $P_d$  is the number of population doublings, and  $C_x(t)$  and  $C_x(0)$  are the final and initial cell numbers after time, *t* (days).

Specific metabolite consumption and waste production rate

Specific metabolite flux, 
$$q_{\text{met}} = \frac{\mu}{Cx(0)} \times \left(\frac{Cmet(t) - Cmet(0)}{e^{\mu t} - 1}\right)$$
(3)

where  $q_{\text{met}}$  is the specific metabolite consumptions or waste production,  $\mu$  is the specific growth rate (day<sup>-1</sup>),  $C_{\text{met}}(t)$  and  $C_{\text{met}}(0)$  are the final and initial metabolite concentrations, and  $C_x(0)$  is the final cell number after time, t (days).

#### 2.6 Bioreactor harvest

Following a 6-day expansion in PBS0.1 vertical-wheel bioreactors, microcapsules were removed from the bioreactor and screened through a 40-µm nylon mesh cell strainer. Screened microcapsules were transferred back to bioreactors and resuspended in 60 mL dissociation solution that consisted of Cell Recovery Solution (TheWell Biosciences), 0.1% w/v L-Cysteine (Sigma-Aldrich), 0.1% v/v Phenol Red (Sigma-Aldrich), and NaOH to a pH of 7.0–7.5. 1 U/mL Papain (Sigma-Aldrich) was added to the bioreactor to initiate microcapsule degradation at 50 rpm for 30–45 min. Cells suspensions were centrifuged at 1100 rpm for 5 min and counted using an NC-202 automated cell counter (ChemoMetec).

#### 2.7 Post bioreactor expansion

Cell health analysis of MSCs harvested from microcapsules was evaluated for their expansion capabilities. Cells were seeded at 200 cells/cm<sup>2</sup> in Falcon T25-cm<sup>2</sup> flasks (Corning Inc). Following a 7-day incubation, cells were dissociated from flasks using TrypLE Express Enzyme (Thermo Fisher Scientific) and counted using an NC-202 automated cell counter (ChemoMetec).

#### 2.8 Colony-forming unit (CFU) assay

Hematopoietic stem cells (HSCs) were isolated from human bone marrow (Lonza) using the CD34 MicroBead Kit UltraPure (Miltenyi Biotec), frozen, and stored at – 180 °C.  $1 \times 10^3$  HSCs and MSCs were resuspended in 0.1 mL Iscove's modified Dulbecco's medium, IMDM with 2% FBS (StemCell Technologies). This HSC/MSC resuspension was added to 1 mL MethoCult H4034 Otimum (StemCell Technologies) and plated in a 6-well SmartDish (StemCell Technologies). CFU assays were quantified on day 14 using the STEMvision (StemCell Technologies) automated colony counter.

#### 2.9 Tri-lineage differentiation

Directed differentiation of MSCs into osteocytes, adipocytes, and chondrocytes was performed on microcapsules, and MSCs harvested from bioreactors on day 6. For osteogenic differentiation, MSCs were cultured in Mesenchymal Stem Cell Osteogenic Differentiation Medium (Sigma-Aldrich) for 14 days and then fixed and stained with 2% Alizarin Red Stain Solution (Lifeline Cell Technology). For adipogenic differentiation, MSCs were cultured in MesenCult Adipogenic Differentiation Kit (StemCell Technologies) for 14 days and then fixed and stained with Oil Red-O Solution (Sigma-Aldrich). For chondrogenic differentiation, MSCs were cultured in MesenCult-ACF Chondrogenic Differentiation Kit (StemCell Technologies) for 21 days and then fixed and stained with Alcian-Blue (Sigma-Aldrich). Phase contrast images were captured of stained differentiated and undifferentiated controls using a EVOS VL Core (Thermo Fisher Scientific).

# 2.10 Lentiviral production

Lentiviral particles were produced using triple-transfection methods in adherent human embryonic kidney (HEK) 293 T cells. Briefly, HEK293T cells were expanded in DMEM/F-12 media (Thermo Fisher Scientific) supplemented with 10% v/v FBS and 1% v/v antibiotic-antimycotic solution (Thermo Fisher Scientific). Cells were seeded at 40% confluency the day before transfection. HEK293T cells were co-transfected with pLV-EF1a-RFP (Vector Builder Inc) and two packaging plasmids, psPAX2, plasmid #12,260 (Addgene) and pMD2.G, plasmid #12,259 (Addgene), at a molar ratio of 3:2:1; and the transfection reagent polyethylenimine (Polyplus) in OptiMEM (Thermo Fisher Scientific) medium for 15 min. DNA-PEI complex was then added dropwise to the cell culture. Transfection culture was carried out for 72 h until supernatant was collected, centrifuged, filtered through a 0.45-mm polyethersulfone (PES) membrane filter and stored at -80 °C. Vector titer was determined by qPCR using a Lentiviral titration kit (Applied Biologic Materials) on Quant Studio 3 (Thermo Fisher Scientific).

# 2.11 Lentiviral transduction

Lentiviral particles were either added to a 2D MSC monolayer or to hydrogel precursor solution (3D model) at a multiplicity of infection (MOI) 50, in RoosterNourish-MSC medium (Rooster Bio). Selected groups received ViralEntry Transduction Enhancer reagent (Applied BiologicMaterials) at a ratio of 1:100 v/v. In 2D groups, media was replaced after 24 h. In 3D groups, microcapsules were generated and harvested as previously described. Transduction efficiency was determined 10 days post-transduction via flow cytometry.

# 2.12 Flow cytometry

Single cell suspensions of MSCs were stained for the following antibodies: CD34(MOPC-173) (BioLegend), CD146(P1H12) (BioLegend), CD73(AD2) (Thermo Fisher Scientific), CD90(5E10) (Thermo Fisher Scientific) and CD105(SN6) (Thermo Fisher Scientific). Following surface marker staining, cells were fixed for 10 min in 2% paraformaldehyde and were analyzed using a FACS CANTO II (BD Biosciences). For lentiviral transduction efficiency assessment, cells were not stained, but directly fixed and analyzed using the same equipment. Data were analyzed using FlowJo software (BD Biosciences).

# 2.13 Confocal microscopy

Z-stack images of microcapsules were stained with 5  $\mu$ g/mL Hoechst 33,342 (Thermo Fisher Scientific), 0.25  $\mu$ M Calcein AM (Thermo Fisher Scientific), and 8  $\mu$ M Ethidium Homodimer-1 (Thermo Fisher Scientific). Microcapsules were incubated at 37 °C/5% CO<sub>2</sub> for 30 min and imaged using a Zeiss 780 Confocal microscope.

# **3 Results**

# 3.1 Evaluation of MSC growth in VITROGEL-MSC and optimal seeding density in static culture

Initial studies determined the growth kinetics and expansion capability of MSCs cultured in static 2D Monolayer and 3D conditions using VitroGel-MSC at seeding densities ranging between  $0.03125 \times 10^{6}$  and  $0.50 \times 10^{6}$  cells/mL (Fig. 1A). Cell density readouts were evaluated using CellTiter-Blue, which has been reported as a nontoxic, nondestructive metabolic approach to quantify cell dose in porous scaffolds [32]. Using optimized incubation conditions (Fig. S1), we determined that CellTiter-Blue is sensitive and reliable to quantify encapsulated MSC cell densities without effecting hydrogel scaffold integrity. Figure 1A depicts the relationship between seeding density and growth kinetics of MSCs cultured in 2D Monolayer and 3D VitroGel-MSC conditions. This relationship demonstrates that MSCs cultured in 3D conditions have similar growth kinetics to their 2D counterparts and are further validated based upon MSCs grown in VitroGel-MSC achieving near equivalent or greater fold expansion compared to cells grown in 2D monolayer (Fig. 1B). Notably, at densities of  $0.25 \times 10^6$  cells/mL and  $0.50 \times 10^6$  cells/mL, MSCs grown in 3D conditions had a significantly higher fold expansion, achieving a 2.0 and 1.625 greater expansion compared to their respective monolayer controls. In addition, there is an observed inverse correlation between growth rate and seeding density, with lower seeding densities yielding higher growth kinetics. VitroGel-MSC scaffold conditions were observed to significantly promote MSC expansion at all seeding densities over a six-day culture period albeit for  $0.125 \times 10^6$  cells/mL (Fig. 1C). Taken together, although a greater on average fold expansion was observed for seeding densities of  $0.03125 \times 10^6$  and  $0.0625 \times 10^{6}$  cells/mL, these densities did not have statistical significance and were neglected from future studies.



**Fig. 1** Evaluation of MSC growth in VitroGel-MSC and optimal seeding density in static culture. Evaluation of growth kinetics **A** and fold expansion **B** of MSCs grown in 2D monolayer format *vs.* 3D VitroGel-MSC hydrogel system (n=3). **C** Time course expansion of MSCs cultured in VitroGel-MSC at varying seeding densities in static culture. Serial twofold dilution of MSCs prepared in a 96-well plate (n=3). **D** Process by which MSCs are encapsulated within VitroGel-

To visualize the 3D growth of MSCs in VitroGel-MSC, we seeded MSCs within the hydrogel at a density of  $0.25 \times 10^6$  cells/mL and created cell laden droplets in a standard well plate (Fig. 1D). After a six-day culture, we observed well-defined cell-laden capsules (Fig. 1E). Z-stack confocal imaging indicated the presence of viable MSC nuclei with minimal cell death, and encapsulated cells assuming natural spindle morphology. These results suggest that VitroGel-MSC can provide encapsulated cells with a tailored 3D microenvironment conducive for MSC proliferation and is feasible to create cell-laden capsules to be translated into a vertical-wheel bioreactor system.

MSC to create cell-laden droplets in a standard well plate. **E** Composite and single channel z-stack images of VitroGel-MSC capsules. Prior to imaging VitroGel-MSC capsules were stained with 0.5  $\mu$ g/mL Hoechst (Blue/Nuclei), 0.25  $\mu$ M Calcein AM (Green/Live), and 8  $\mu$ M Ethidium Homodimer-1 (Red/Dead). MSC: Mesenchymal stromal cell, 2D: Two-dimensional, 3D: Three-dimensional. All data represent mean  $\pm$  SD (\*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001)

### 3.2 Electrospraying and characterization of MSC microcapsules

A small-scale electrospraying system was designed to automate and increase production of MSC microcapsules. Figure 2A depicts the preparation of a cell-polymer solution loaded into a syringe that is extruded under an electric field to form cell-laden microcapsules that polymerize once exposed to a bath of cell culture medium. Liquid atomization, a phenomenon where the electric field overcomes the surface tension of the liquid, is a decisive factor in achieving a narrow microcapsule size distribution. To determine the critical voltage of the liquid, wherein the droplets (Fig. 2B)



Fig. 2 Electrospraying optimization and characterization of MSC microcapsules. A Schematic of VitroGel-MSC microcapsule formation using the Nisco VAR-V1 encapsulator. B, C Representative images capturing a transition from individual to steady stream drop-

lets, indicated by Taylor cone formation. **D** Size distribution and frequency of VitroGel-MSC microcapsules formed (n > 1000). **E** Linear correlation between VitroGel-MSC volume and microcapsule output. MSC: Mesenchymal stromal cell

transition into a steady stream of uniform droplets; a high precision imaging technique was used. Taylor cone formation (Fig. 2C) was observed at a voltage of 4.55 kV (Vid. S1). This voltage was used for the remainder of studies.

Microcapsule size manufactured per batch is designated as a validation test for the repeatability of this encapsulation platform. An ideal biomanufacturing technique would produce microcapsules of consistent size irrespective of the VitroGel-MSC volume used, provided that cell seeding density is fixed. An analysis of size distribution (Fig. 2D) confirmed that manufactured microcapsules (n > 1000) exhibit a uniform size with a higher frequency of microcapsules ranging between 100 and 149  $\mu$ m (34.7%) followed by 150–200  $\mu$ m (24%), and few microcapsules ranging between 400 and 500  $\mu$ m (4.06%).

The volume of VitroGel-MSC used in the biomanufacturing of MSCs is a key input parameter of the electrospraying process with a resultant output of number of cell-laden microcapsules. To establish the relationship of hydrogel volume and microcapsule output, MSC encapsulation experiments (n=3) with different VitroGel-MSC volumes were conducted at a consistent seeding density of  $1.6 \times 10^6$  cells/ mL. The study found that the number of microcapsules (Fig. S2) produced per encapsulation was correlated to the volume of electrosprayed VitroGel-MSC. For this specific small-scale batch size, 10 mL of Vitrogel-MSC produced 546 ± 28 microcapsules, 5 mL of Vitrogel-MSC produced 447 ± 28 microcapsules, and 1 mL of Vitrogel-MSC produced 228 ± 24 microcapsules. The plotted graph (Fig. 2E) shows a linear correlation with a fit of  $R^2$ =0.98.

# 4 MSC expansion in vertical-wheel bioreactors utilizing a fed-batch process

Using a fixed and optimized set of input parameters, we developed a biomanufacturing workflow (Fig. 3A) to evaluate expansion of MSCs in a fed-batch process at seeding densities of  $0.125 \times 10^6$  cells/mL,  $0.25 \times 10^6$  cells/mL, and

 $0.50 \times 10^{6}$  cells/mL. Encapsulation efficiency was evaluated as the viable cell number twenty-four hours post-encapsulation relative to the total number of cells encapsulated on day zero (Fig. 3B). VitroGel-MSC microcapsules seeded at a density of  $0.125 \times 10^{6}$  cells/mL had the highest encapsulation efficiency of  $127\% \pm 11\%$ , compared to encapsulation efficiencies of  $72\% \pm 6\%$ , and  $83\% \pm 5\%$  for densities of  $0.25 \times 10^{6}$  cells/mL and  $0.50 \times 10^{6}$  cells/mL respectively.

The growth profile of cell-laden VitroGel-MSC microcapsules maintained in suspension culture was evaluated over a six-day time course with a 2% v/v feed on day 3. Incorporating a day 3 feed into the biomanufacturing time course eliminates the need for media exchanges that otherwise would be costly in larger bioreactor systems. Day 6 cell counts showed yields of  $2.25 \times 10^6 \pm 3.21 \times 10^5$  cells (~2.36-fold



Fig. 3 MSC expansion in vertical-wheel bioreactors utilizing a fedbatch process. A MSC biomanufacturing workflow in a verticalwheel bioreactor, utilizing a fed-batch process. B Encapsulation efficiency, C growth profile, D population doublings, and E doubling time of VitroGel-MSC microcapsules at varying encapsulation den-

sities (n=3). F Composite Z-stack images of VitroGel-MSC microcapsules at varying encapsulation densities. Prior to imaging Vitro-Gel-MSC microcapsules were stained with 0.5 µg/mL Hoechst (Blue/ Nuclei), 0.25 µM Calcein AM (Green/Live), and 8 µM Ethidium Homodimer-1 (Red/Dead). MSC: mesenchymal stromal cell

expansion), a yield of  $7.63 \times 10^6 \pm 3.46 \times 10^5$  cells (~7.04fold expansion), and a yield of  $5.10 \times 10^6 + 1.69 \times 10^6$  cells (~ 2.56-fold expansion) for microcapsules electrosprayed at encapsulation densities of  $0.125 \times 10^6$  cells/mL,  $0.25 \times 10^6$ cells/mL, and  $0.50 \times 10^6$  cells/mL, respectively (Fig. 3C). Among the three encapsulation densities, microcapsules electrosprayed at a density of  $0.50 \times 10^6$  cells/mL achieved the highest cell yield of  $10.38 \times 10^6 \pm 2.60 \times 10^6$  cells (~4.20-fold expansion), however plateaued beyond day 3. Similar proliferation trends were observed in each encapsulation density's population doubling (Fig. 3D) and MSC doubling time (Fig. 3E). MSCs encapsulated at a density of  $0.25 \times 10^6$  cells/mL achieved the highest population doubling  $(2.82 \pm 0.15)$ , with the lowest doubling time of  $3.1 \pm 0.16$  days. Notably, after six days of expansion, there is an absence of microcapsule aggregation amongst all densities (Fig. 3F and Fig. S3, respectively).

Metabolite consumption, waste production, and the net metabolite flux were monitored to better understand

potential factors affecting the expansion process (Fig. 4). Medium analysis indicated a consistent metabolic consumption of glucose and glutamine amongst all encapsulation densities. Interestingly, when evaluating the net metabolite flux per encapsulation density over the expansion time course, encapsulations at  $0.125 \times 10^6$  cells/mL demonstrated a preference for glutamine consumption. Between days 0–1, the rate of glutamine consumption was  $0.24 \pm 0.11$  pmolcell<sup>-1</sup>d<sup>-1</sup> and sharply increased between days 1–3 and 3–6 to rates of  $1.67 \pm 0.09$  pmolcell<sup>-1</sup>d<sup>-1</sup> and  $2.06 \pm 0.00$  pmolcell<sup>-1</sup>d<sup>-1</sup>, respectively. This increased rate of glutamine consumption was observed to coincide with higher rates of ammonia (NH<sub>3</sub>), lactate dehydrogenase (LDH), and total protein production during the same time phase.

 $0.25 \times 10^6$  and  $0.50 \times 10^6$  cells/mL encapsulation densities demonstrated a preference for glucose consumption, which coincided with rates of lactate waste production. Between days 1–3 and 3–6, the glucose consumption rate for  $0.50 \times 10^6$  cells/mL encapsulations sharply increased from



**Fig. 4** Metabolic profile of VitroGel-MSC microcapsules in a vertical-wheel bioreactor. **A** Metabolite consumption and waste production. **B** Net metabolite flux per cell for MSCs expanded in VitroGel-MSC microcapsules over a 6-day time course. Microcapsules were

maintained in suspension culture in a vertical-wheel bioreactor at an agitation rate of 25 rpm. On day 3, the agitation rate was increased to 30 rpm. MSC: Mesenchymal stromal cell, NH<sub>3</sub>: Ammonia, LDH: Lactate dehydrogenase

 $2.04 \pm 0.03$  to  $7.00 \pm 1.35$  pmolcell<sup>-1</sup>d<sup>-1</sup>, and the lactate production rate increased from  $387 \pm 43.7$  to  $1090 \pm 113.8$  pgcell<sup>-1</sup>d<sup>-1</sup>. Increased ammonia (NH<sub>3</sub>), LDH, and total protein production rates were observed and, however, were not as high as  $0.125 \times 10^6$  cells/mL metabolite rates.

# 4.1 Microcapsule digestion and evaluation of MSC CQAs

Following expansion in vertical-wheel bioreactors, MSCs encapsulated at a density of  $0.25 \times 10^6$  cells/mL were harvested at their peak cell yield on day 6. The choice of dissociation solution has implications that can affect harvest yield, cell viability, and MSC CQAs which define the formulated and filled product from a manufacturing perspective. Here, we evaluated the choice of dissociation solution to degrade VitroGel-MSC microcapsules and reconstitute MSCs into single-cell suspension. We screened dissociative solutions that have been previously reported with MSCs and VitroGel platforms, and include Accutase, Cell Recovery Solution, TrypLE Express, and Papain (Fig. 5A). Despite being a non-enzymatic cell harvesting solution frequented with VitroGel platforms [33, 34] Cell Recovery Solution alone was unable to reconstitute MSCs into single-cell suspension potentially due to encapsulated MSCs achieving a high density and extracellular network within VitroGel-MSC microcapsules. After 30 min of digestion,  $83\% \pm 7\%$  of VitroGel-MSC microcapsules remained non-degraded (Fig. 5B). Similarly, after 30 min of digestion,  $86\% \pm 5\%$  and  $100\% \pm 0\%$  of VitroGel-MSC microcapsules remained non-degraded for Accutase and TrypLE Express dissociative solutions. Papain (1 U/mL) was observed to significantly digest VitroGel-MSC microcapsules, with  $15\% \pm 11\%$  remaining non-degraded and harvested MSCs maintaining  $94.3\% \pm 0.82\%$  viability.

With MSCs isolated from sampled microcapsules on day 6 (Fig. 5C), we performed a general panel of CQAs to determine whether MSCs maintained their attributes and functionality following suspension culture in a vertical-wheel bioreactor. Cells harvested from vertical-wheel bioreactors (MSC-BIO) were evaluated for cell health, functionality, surface marker expression, and tri-lineage differentiation potential in comparison with cell bank stocks (MSC-WCB and MSC-CTP).

As an indication of cell health, we compared the proliferative capabilities of MSC-WCB (Passage 2), MSC-CTP (Passage 3), and MSC-BIO in 2D monolayer conditions over a 14-day culture. All MSC passages maintained their characteristic spindle morphology; however, MSC-WCB stocks achieved a significantly greater on average cell



**Fig. 5** Harvest optimization of VitroGel-MSC microcapsules. **A** Well images of VitroGel-MSC microcapsules pre- and post-enzymatic degradation (n=3). Microcapsules were allowed to digest for 30 min at 37 °C. Data analysis and images were analyzed using Celigo Image Cytometer. **B** Percent of capsules remaining after 30 min of enzy-

matic degradation (n=3). C Process of VitroGel-MSC microcapsule degradation and MSC isolation from vertical-wheel bioreactors. MSC: Mesenchymal stromal cell, PBS: Phosphate Buffered Saline. All data represents mean  $\pm$  SD (\*\*\*p < 0.001, \*\*\*\*p < 0.0001)

density of  $4.74 \times 10^5 \pm 5.63 \times 10^4$  cells/mL compared to average cell densities of  $2.90 \times 10^5 \pm 3.89 \times 10^4$  cells/mL, and  $1.73 \times 10^5 \pm 3.82 \times 10^4$  cells/mL for MSC-CTP and MSC-BIO, respectively (Fig. 6A). Metabolic consumption and waste production also exhibited similar trends with MSC-WCB stocks consuming and producing more glucose and lactate than either MSC-CTP or MSC-BIO.

Cell functionality was evaluated based upon how MSCs influenced the differentiation of human HSCs into progenitor cell populations (Fig. 6B). HSCs co-cultured with MSC-BIO maintained similar commitments toward CFU of granulocyte, erythrocyte, macrophage, megakaryocyte (CFU-GEMM) and erythroid (CFU-E) progenitors. Notably, HSCs co-cultured with MSC-BIO resulted in significantly lower granulocyte, macrophage (CFU-GM) progenitors.







MSC-BIO

200 µr

**Fig.6** Characterization of harvested MSCs. MSC characterization following suspension culture in a vertical-wheel bioreactor. **A** Post-bioreactor expansion, metabolite consumption, waste production, and cell morphology evaluation as a validation of cell health. **B** Day 14 colony-forming unit (CFU) assay evaluating MSC signal-

ing functionality. **C** Evaluation of MSC cell surface marker expression. MSC: mesenchymal stromal cell, HSC: hematopoietic stem cell. All data represents mean  $\pm$  SD (\*p < 0.05, \*\*p < 0.01, \*\*\*p < 0.001, \*\*\*\*p < 0.001)

All MSC passages influenced a greater HSC commitment toward burst forming unit erythroid (BFU-E) progenitor colonies; however, only HSCs co-cultured with MSC-WCB and MSC-CTP were statistically significant. We also observed MSC-BIO maintained CQAs of surface marker expression for CD34, CD105, and CD90. Interestingly, CD73 expression significantly decreased ( $\sim 20\%$ ) with each successive passage (Fig. 6C). Based upon a significant difference in CD73 expression within cell stocks MSC-WCB (77.6%) and MSC-CTP (60.0%), representing increasing accrual of population doublings it suggests that CD73 may be a useful marker of cell age. Decreased CD73 expression did not affect differentiation capabilities. MSC-BIO maintained osteogenic, adipogenic, and chondrogenic differentiation potential from harvested cell populations as indicated by the presence of calcium deposits, lipid vacuoles and chondrogenic proteins (Fig. 7). Even if differentiation conditions were applied in situ to cellular microcapsules, encapsulated MSCs demonstrated tri-lineage differentiation potential (Fig. S4).

# 4.2 Genetic manipulation of MSCs within vitrogel-MSC microcapsules

Viral vectors have been increasingly explored as gene delivery tools for induction of long-term transgene expression in MSCs, widening the potential of these cells as gene therapy agents [35]. Ranging from cardiac regeneration [36, 37] to targeted treatment of bone defects [38], autoimmune disorders [39] and cancer applications [40], genetic manipulation of MSCs has proven to be a promising tool in tissue engineering and regenerative medicine. Herein, we sought to demonstrate the functionality of encapsulated MSCs by employing our biomanufacturing system to promote lentiviral transduction. As a proof of concept, VitroGel-MSC microcapsules were co-electrosprayed with lentiviral vectors constitutively expressing a red fluorescent protein (RFP). RFP expression within Vitro-Gel-MSC microcapsules was detected on day 6 (Fig. S5); however, transduction efficiency was not assessed until day 10 and compared to a 2D monolayer transduction control. Remarkably, our 3D model was able to recapitulate about 60% of standard monolayer transduction efficiencies (Fig. 8A). Importantly, the use of a viral entry enhancer reagent was crucial to achieve such levels of transduction, as shown in Fig. 8B. Images of genetically modified VitroGel-MSC microcapsules at day 10 post-lentiviral transduction confirm stable RFP expression (Fig. 8C), demonstrating successful genetic manipulation of MSCs under our 3D biomanufacturing conditions.



Fig. 7 Tri-lineage differentiation of encapsulated MSCs. A Trilineage differentiation potential of cell bank stocks (MSC-WCB & MSC-CTP), and cells harvested from VitroGel-MSC microcapsules

(MSC-BIO) into adipocytes, osteocytes, and chondrocytes. MSC Mesenchymal stromal cell





**Fig. 8** Genetic engineering of encapsulated MSCs in VitroGel-MSC microcapsules. Lentiviral transduction efficiency of VitroGel-MSC microcapsules within vertical-wheel bioreactors **A** in comparison with 2D monolayer controls (n=2) **B** with (n=2) and without (n=1) a viral entry enhancer. **C** Day 10 single channel and composite

images of VitroGel-MSC microcapsules co-electrosprayed with lentiviral vectors constitutively expressing a red fluorescent protein (RFP). MSC: mesenchymal stromal cell, 2D: Two-dimensional. All data represent mean  $\pm$  SD (\*\*\*p < 0.001)

# 5 Discussion

MSC-based therapeutic for adults require consideration to be manufactured to meet clinical demand. Various approaches and process optimizations have been investigated to transition from adherent 2D to suspension-based 3D cultures for the commercial production of MSC therapeutics, with several research initiatives focusing primarily on microcarrier [41–43] or microencapsulation modes of expansion [28, 44, 45].

The results herein identify a proof-of-concept manufacturing platform that presents as a hybrid solution to expand MSCs and potentially other adherent cell types of interest to the biopharmaceutical sector. Prior MSC microencapsulation initiatives that utilize both synthetic and natural hydrogels have demonstrated limited expansion capability or utilize encapsulation protocols that are difficult to scale at commercial production. Kumar et al. [26] utilizes an electrospraying platform to encapsulate MSCs within alginate-based capsules. These capsules were cultured both in vitro and ex vivo; however, over a seven-day time course cell viability was reduced by fifty percent. Perera et al. [46] photopolymerizes a vortex-induced emulsion of hydrogel precursor solution (<1 mL) to encapsulate MSCs within polyethylene glycol diacrylate (PEGDA) microspheres; however, this approach to microencapsulation is limited in scale-out, cost-ineffective, and susceptible to inter-operator variability. We instead have opted to evaluate a xeno-free, polysaccharide hydrogel, VitroGel, that is commercially available for 3D expansion of MSCs to enable wide access to a quality-controlled material for community use. Within this study, we have studied several process parameters associated with electrosprayed based encapsulations of MSCs. To our knowledge, never-before has this hydrogel been subjected to electrospraying, to encapsulate MSCs within microcapsules for bioprocess engineering. Initial cell proliferation studies in static culture have shown that MSCs cultured in 3D conditions using VitroGel-MSC have similar growth kinetics to MSCs grown in 2D monolayer at varying densities. Aside from comparing growth kinetics, we have optimized the encapsulation density to yield optimal MSC expansion potential. It is worth noting that the MSCs used in the entirety of this study were derived from a single donor. Several studies have suggested inter-donor variance can influence MSC expansion potential and functionality [47, 48]. From this perspective to avoid heterogeneous outcomes, future studies should evaluate MSC expansion potential across multiple donors prior to encapsulation. In addition, VitroGel matrices are also available in several variations, of which future work can screen for optimized MSC growth profiles and manipulate polymerization kinetics.

One process parameter to set when electrospraying is the applied voltage. The effects of applied voltage to produce a single cone jet mode characterized by Taylor cone formation during electrospraying remains contested. An applied voltage should produce a steady stream of microcapsules without affecting cell viability or inducing needle vibration. Gryshkov et al. [49] report applied voltages (15-25 kV) do not hamper the viability of encapsulated MSCs within alginate beads, whereas Qayyum et al. [50] in contrast have reported MSCs encapsulated in electrosprayed PEG microspheres have a significantly reduced viability (<70%) at an applied voltage of 15 kV. Our results indicate that a critical voltage of 4.55 kV was sufficient to produce a steady stream of VitroGel-MSC microcapsules, well below reported voltages that would impact cell viability. The encapsulation of cells within VitroGel-MSC also achieved high encapsulation efficiency, as scale-up with low efficiency can lead to an increase in the cost of manufacturing. Amongst all densities, the range of encapsulation efficiency quantified twentyfour hours after encapsulation was observed between 64 and 142% with an average of 94%, which exceeds microcarrierbased cell attachment efficiencies [51] ranging between 42 and 142% with an average of 84%.

A major limitation of microcarrier-based modes of expansion is aggregation leading to cell-detachment during the expansion process. Such limitations have required manufacturers to either supplement additional microcarriers into the bioreactor, thereby increasing the available surface area, increasing the agitation rate, addition of detergents or combinations thereof; however, both risk impacting the MSC functionality and phenotype [23, 52]. While evaluating the effect of microcarrier aggregation on cell growth, Lam et al. [53] concluded that microcarrier aggregates between 200 and 400 µm are conducive for the expansion of cells; however, higher agitation rates were required for cell detachment. Such high agitation rates during cell recovery can be detrimental for cell viability [54]. VitroGel-based encapsulation can help rectify manufacturing constraints imposed by microcarrier aggregation. More than fifty percent of our manufactured VitroGel-MSC microcapsules have ranged in size between 100 and 200 µm and had no observable aggregation after six days of expansion (Fig. S2). Few public reports elucidate bead generators that can be scaled to commercial production. From the reports that are available, high-throughput production of cell-laden capsules has been achieved using a multi-nozzle extrusion head [55]. Notably, Swioklo et al. [56] utilized an extrusion head containing nine nozzles to produce alginate beads in a drop-wise method at a rate of 3,500 beads per minute. Adapting a similar approach to our platform whereby VitroGel-MSC microcapsules are produced at a higher rate in the presence of an electric field may pose a potential scale-up solution.

Variations in MSC growth within microcapsules depicted in Fig. 3 show the capabilities and limitations of this system that should be considered to ensure optimal expansion potential in future scaled-up runs. Optimal yields at an encapsulation density of  $0.25 \times 10^6$  cells/mL achieved an ~7× expansion of encapsulated MSCs within six days. Based upon specific metabolite rates that were monitored throughout the expansion time course, we believe that the performance of  $0.25 \times 10^6$  cells/mL microcapsules is attributed to more permissible metabolite consumption and waste production levels.

Metabolite flux results for encapsulations at a density of  $0.125 \times 10^{6}$  cells/mL suggest waste accumulation-affected expansion potential. Interestingly, trends in net metabolite flux for  $0.125 \times 10^6$  cells/mL microcapsules showed significant glutamine consumption, which coincided with sharply higher productions rates of ammonia. To monitor cell death, we monitored LDH during expansion as an indirect measure of lysed cells into culture supernatant. Despite having the lowest encapsulation density, we observed the highest rates of LDH. These results would suggest that the expansion potential of  $0.125 \times 10^6$  cells/mL microcapsules was affected by cytotoxic levels of ammonia waste that resulted in cell lysis. Similar trends have been reported by Schop et al. [57] who observed ammonia and lactate accumulation inhibited cell growth once concentrations of 2.4 mM ammonia and 35.4 mM of lactate was achieved.

The expansion potential of  $0.50 \times 10^6$  cells/mL encapsulation instead suggested this system reaches a spatial capacity due to a limited availability of surface area within VitroGel-MSC microcapsules. Growth trends as reported in Fig. 3 indicate that MSCs within  $0.50 \times 10^6$  cells/mL microcapsules reach a peak yield on day 3 and plateau until final read outs on day 6. Since we used a fed-batch process that integrated a re-feed on day 3, it is unlikely that a deprivation of media nutrients would have contributed to this effect. This plateau in cell yield between days 3-6 may instead be attributed to increased rates of lactate production that inhibited cell growth. Although ammonia, LDH, and total protein production rates increased, their rates of production were not observably high compared to  $0.125 \times 10^{6}$  cells/mL encapsulations. Notably, we can ascertain a linear relationship between microcapsule output and VitroGel volume; however, the effective surface area within each microcapsule remains ambiguous, of which maybe a crucial parameter for scaled-up commercial runs. Further investigation to quantify available surface area will not only provide insight into observed growth trends within microcapsules but will also provide a standardization to monolayer or microcarrier based cell expansion.

A successful biomanufacturing platform implies expansion of the target population without loss in functionality and immunophenotype of the cell. Notably, downstream processing of cell therapy products requires significant optimization as the process can affect cell viability and functionality [58]. Most downstream processing involved in biologics is designed to isolate by-products of expansion such as proteins, antibodies, or cell secretions as exosomes without recovering cells as the desired product [59]. Therefore, it is necessary to develop a robust harvest protocol, which has minimal effect on the quality parameters. To ensure maximum cell recovery, we screened multiple disassociation agents and identified papain, as an enzymatic dissociative capable of reconstituting cells into single cell suspension. Not commonly used in traditional cell culture, papain has been used for the disassociation of human MSC aggregates [60] and used to digest central nervous system tumors into a single cell suspension [61]. It is also worth noting that encapsulations for  $0.125 \times 10^6$  cells/mL and  $0.50 \times 10^6$  cells/mL densities were conducted with MSC-CTP (Passage 3) cell bank stocks, whereas encapsulations for a density of  $0.25 \times 10^6$ cells/mL were conducted with MSC-WCB (Passage 2) cell bank stocks. Encapsulations at a density of  $0.25 \times 10^6$ cells/mL conducted with CTP stocks demonstrated similar encapsulation efficiencies to WCB encapsulations previously reported in Fig. 3, with CTP encapsulations achieving a  $70\% \pm 16\%$  efficiency. Despite similar encapsulation efficiencies that appear to be density dependent, MSC characterization results whereby the expansion potential of MSC-WCB and MSC-CTP cell bank stocks was compared to the expansion potential of MSCs harvested from vertical-wheel bioreactors, MSC-BIO (Passage 3), indicated a significant decrease correlated with cell passage. This significant difference presents itself as a limitation to this study as it could have affected the performance of  $0.125 \times 10^6$  cells/mL and  $0.50 \times 10^6$  cells/mL density encapsulations. Since MSC-CTP and MSC-BIO cells are of the same passage, results would also suggest a decrease in proliferation can be attributed either to conditions within vertical-wheel bioreactors or due to microcapsule processing with papain. Although one concentration of papain was used for the entirety of this study and was found to maintain > 90% viability, additional investigation into various concentrations of papain and its effect on the maintenance of CQAs should be evaluated.

Finally, we evaluated the use of our 3D bioreactor platform for concomitant viral transduction and expansion of MSCs. Genetically engineered MSCs have far-reaching potential as therapeutics, with a broad scope of applications. Both viral and non-viral gene delivery approaches have been extensively investigated in the fields of tissue engineering, regeneration and oncology using MSCs [62-64]. Mangi et al. [37] overexpressed the prosurvival gene Akt1 in MSCs using lentiviral vectors, successfully repairing infarcted myocardia and restoring cardiac performance. Zhu and group [40] were able to suppress the growth of gastric cancer xenografts by treating mice with genetically engineered MSCs overexpressing NK4, an antagonist of hepatocyte growth factor receptors. Andrews et al. [65] genetically engineered MSCs with recombinant human bone morphogenetic protein-2 for the treatment of bone defects using non-viral scaffolds as gene delivery vehicles. In view of their vast applications, robust scale-up platforms are needed for proper implementation of genetically engineered MSCs in clinical settings. As a proof of concept, we successfully transduced MSCs with lentiviral vectors expressing RFP. Transduction efficiencies obtained from our 3D model were promising, though 60% of ideal 2D monolayer controls, suggesting that microcapsules may restrict the contact between viral particles and cell membrane, preventing viral fusion and entry [66]. Importantly, transduction enhancing materials made a significant different in engineering MSCs in capsules. Different transduction enhancers have previously been investigated in the context of genetic manipulation of MSCs [35, 67]. These reagents mainly neutralize the natural surface charge of cells, enhancing viral adsorption by the presence of polycations. As expected, the use of a viral entry enhancer reagent significantly improved viral transduction in our system, and its use will be adopted in future studies. Moreover, to achieve higher and more consistent transduction efficiencies, further investigations are necessary, including, but not limited to, viral type, gene size, MOI, encapsulation density, and viral exposure time. Further analysis of proliferation and differentiation capabilities of transduced cells would also be of interest. Taken together, this study demonstrates the foundation for scaled-up genetic modification of MSCs and opens new possibilities to the use of 3D vertical-wheel systems in biomanufacturing.

# 6 Conclusion

To meet the clinical demand of emerging MSC-based therapeutics, there remains a need to develop novel systems that will ensure consistent manufacturing and translation of these therapies. In this study, VitroGel-MSC cell-laden microcapsules were maintained in dynamic, suspension culture within a vertical-wheel bioreactor system using a fed-batch approach, while preserving CQAs such as immunophenotype and multipotency after expansion and cell recovery. We have characterized critical parameters of the electrospraying encapsulation process, an application of which this hydrogel has never-before been applied. Parameters such as seeding density, correlation of microcapsule output with hydrogel volume, applied voltage to fabricate cell-laden microcapsules of uniform size, and specific metabolic flux were analyzed to better understand factors affecting platform performance. We believe this study provides the foundations for bioprocess engineering of MSCs in a well-timed manner to which addresses aforementioned shortcomings in microcarrier and alternative biocompatible polymer-based 3D culture of MSCs. Contributions of this platform can be made throughout cell and gene therapy development. Particularly this study demonstrates the foundations for scaled-up genetic manipulation of a target cell type that which encourages the modification of manufacturing systems to include 3D vertical-wheel systems designed to genetically engineer target cell types in a cost-effective manner.

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**Author contributions** BP and MT did conceptualization. MT, PJ, RB done execution of experiments, formal analysis and manuscript preparation. BP performed funding acquisition.

# Declarations

**Conflict of interest** The authors declare that they have no conflict of interest.

Ethical approval No ethical approval required.

**Informed consent** Single donor bone marrow was donated for purchase from Lonza (Walkersville, MD, USA). Lonza obtained permission for its use in research applications by written informed consent.

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