

# Pneumolysin plays a key role at the initial step of establishing pneumococcal nasal colonization

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Abstract Nasopharyngeal colonization by Streptococcus pneumoniae is an important initial step for the subsequent development of pneumococcal infections. Pneumococci have many virulence factors that play a role in colonization. Pneumolysin (PLY), a pivotal pneumococcal virulence factor for invasive disease, causes severe tissue damage and inflammation with disruption of epithelial tight junctions. In this study, we evaluated the role of PLY in nasal colonization of S. pneumoniae using a mouse colonization model. A reduction of numbers of PLY-deficient pneumococci recovered from nasal tissue, as well as nasal wash, was observed at days 1 and 2 post-intranasal challenges, but not later. The findings strongly support an important role for PLY in the initial establishment nasal colonization. PLY-dependent invasion of local nasal mucosa may be required to establish nasal colonization with *S. pneumoniae*. The data help provide a rationale to explain why an organism that exists as an asymptomatic colonizer has evolved virulence factors that enable it to occasionally invade and kill its hosts. Thus, the same pneumococcal virulence factor, PLY that can contribute to killing the host, may also play a role early in the establishment of nasopharynx carriage.

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### Introduction

Streptococcus pneumoniae is an important human pathogen causing a broad spectrum of diseases including acute otitis media, rhinosinusitis, pneumonia, meningitis, and sepsis. Acquisition of asymptomatic colonization of the human nasopharynx with S. pneumoniae occurs frequently and is necessary for subsequent disease (Faden et al. [1997](#page-7-0); Gray et al. [1980](#page-7-0)). The nasopharynx is also an important reservoir for the horizontal spread of S. pneumoniae (Gray et al. [1980;](#page-7-0) Gwaltney et al. [1975](#page-7-0)). Although effective antibiotics and vaccines have been developed, the increasing development of antimicrobial resistance and spread of replacement nonvaccine serotypes emphasizes urgent demands for a better understanding of the pathogenesis of this pathogen (Weinberger et al. [2011](#page-8-0); Mehr and Wood [2012\)](#page-7-0).

It is well known that pneumococci have multiple virulence factors that provide pneumococci some protection against host defense systems (Jedrzejas [2001](#page-7-0); Dave et al. [2004](#page-7-0); Magee and Yother [2001;](#page-7-0) Ren et al. [2004\)](#page-7-0). Pneumococcal virulence factors allow pneumococci to resist host defenses and invade from mucosal surfaces to the circulation (Rubins et al. [1996\)](#page-8-0). However, despite the fact that adherence is an important initial step for establishment of nasopharyngeal colonization in humans (in mouse models defined as nasal colonization), contributions of the known virulence factors to nasopharyngeal colonization are still largely unknown. Why do pneumococci contain disease-permitting virulence factors? One probable interpretation is that nasal colonization involves not only simple adherence or planktonic existence on mucosal surfaces but also requires biofilm formation and/or minimal invasion (Gilley and Orihuela [2014;](#page-7-0) Marks et al. [2014;](#page-7-0) Perez et al. [2014](#page-7-0); Shak et al. [2013;](#page-8-0) Briles et al. [2005\)](#page-7-0).

Pneumolysin (PLY), a thiol-activated or cholesteroldependent cytotoxin, is an important pneumococcal virulence

factor and is implicated in multiple steps of pneumococcal pathogenesis (Boulnois et al. [1991](#page-7-0); Paton [1996\)](#page-7-0). The principal activity of PLY is its capacity to form pores in cholesterol-rich membranes, which causes severe tissue damage and inflammation including impaired cilia function, altered alveolar permeability, and disruption of epithelial tight junctions (Paton et al. [1984](#page-7-0); Steinfort et al. [1989](#page-8-0); Paton and Ferrante [1983](#page-7-0); Nandoskar et al. [1986](#page-7-0); Rubins et al. [1992](#page-7-0), [1993\)](#page-7-0). Inoculation of PLY into the lungs reproduces histological lung damage in a mouse pneumonia model (Feldman et al. [1991\)](#page-7-0). Isogenic PLY-negative mutant strains of pneumococci were less virulent than their wild-type counterpart strains after intraperitoneal or intranasal injection in mice (Houldsworth et al. [1994](#page-7-0); Berry et al. [1989](#page-7-0)).

The direct roles of PLY in establishing nasopharyngeal pneumococcal colonization were previously studied by Paton et al. and others (Paton and Ferrante [1983;](#page-7-0) Steinfort et al. [1989](#page-8-0); Nandoskar et al. [1986](#page-7-0)). In this study, we confirm and extend knowledge on the relative contribution of PLY to nasopharyngeal colonization.

## Materials and methods

## Bacterial strains and growth conditions

The pneumococcal strains used in this study were TIGR4 (serotype 4), EF3030 (serotype 19 F), BG7322 (serotype 6A), and their isogenic ply deletion mutants. All pneumococcal strains were grown in Todd-Hewitt broth with 0.5 % yeast extract (THY) or on blood agar plates at 37 °C with 5 %  $CO<sub>2</sub>$ . To maintain the inactivating insertion, ply deletion mutants were grown in THY broth containing 0.3 μg/mL erythromycin.

The strains were confirmed as S. pneumoniae by optochin sensitivity and production of a serotype-specific capsule evaluated by Quellung reaction using diagnostic antiserum obtained from Statens Serumin Institut (Copenhagen, Denmark), as described previously (Berry et al. [1989](#page-7-0)).

Bacteria for intranasal challenge were grown at 37 °C to mid-exponential phase (absorbance at 600 nm (A600) of 0.5) in THY broth containing the appropriate antibiotics and were stored at −80 °C until use. Inocula were then resuspended in sterile phosphate-buffered saline (PBS) at appropriate infection dosages.

#### Construction of pneumolysin-negative mutants

A PLY-negative mutant of S. pneumoniae D39 strain, PLY-A, originally constructed by insertion-duplication mutagenesis as described (Berry et al. [1989;](#page-7-0) Berry & Paton [2000](#page-7-0)). This mutant was characterized by Southern blot hybridization and by its lack of pneumolysin activity in a functional lytic assay (Berry et al. [1989](#page-7-0); Yother et al. [1992\)](#page-8-0). In the present study, genomic DNA of PLY-A was used to transform three pneumococcal strains, TIGR4, EF3030, and BG7322, to construct PLY-negative mutants using procedures described by Yother et al. (Yother et al. [1986](#page-8-0)). Briefly, strains to be transformed were grown in THY to an absorbance at 600 nm of 0.5 and diluted 1:50 in competence medium (CTM) (THY with 0.2 % bovine serum albumin,  $0.2\%$  glucose, and  $0.02\%$  CaCl<sub>2</sub>) with 500 ng/mL competence-stimulating peptides CSP1 and CSP2 to induce competency. Bacteria were incubated at 37 °C for 2 h, followed by plating on blood agar plates containing 0.3 μg/mL erythromycin (Havarstein et al. [1995\)](#page-7-0). After repeating the procedure five times, the PLY-negative mutants were finally obtained. The PLY-negative mutants were MH7 (a PLY-negative BG7322 mutant), MH8 (a PLY-negative TIGR4 mutant), and MH12 (a PLY-negative EF030 mutant). These new mutants were each characterized by polymerase chain reaction (PCR) and by an absence of lytic activity in the assay for pneumolysin induced hemolysis, as described previously (Berry et al. [1989](#page-7-0); Yother et al. [1992\)](#page-8-0). Mutants showed similar rates of growth to the original wild type mutants.

# Mice

A six-week-old female CBA/NSlc (CBA/N) mice were obtained from Japan SLC, Inc. (Shizuoka, Japan). CBA/N mice lack the ability to produce efficient antibodies to polysaccharides and lack serum antibodies to the phosphocholine determinant of pneumococcal teichoic acids because of abnormal B cell maturation (Briles et al. [1981](#page-7-0)). Mice were maintained in a specific pathogen-free environment fully accredited by the Japanese Association for the Accreditation of Laboratory Animal Care. The experimental protocol was approved by the DNA Recombination Experiment Committee and the Animal Care and Use Committee at Wakayama Medical University (No. 551 and 728). All mouse experiments were performed according to the guidelines of the Laboratory Animal Center for Biomedical Research, Wakayama Medical University.

## Nasal colonization model

Mice were inoculated intranasally, as indicated, with  $1 \times 10^4$  to  $1 \times 10^6$  colony-forming units (CFUs) per mouse of viable pneumococcal stains or PLY-negative mutants in 10 μL of sterile PBS without anesthesia. One to ten days later, the mice were euthanized with  $CO<sub>2</sub>$  and nasal washes and washed nasal tissue were obtained. Briefly, the nasal cavity of each mouse was washed with 1 mL sterile PBS after tracheotomy. The nasal tissue including nasal conchae, olfactory epithelium, and sinus mucosa was collected after removing the brain in

the cranium. The nasal tissues were homogenized individually in 1 mL PBS.

All nasal washes and homogenates of nasal tissue were serially diluted, and 25 μL aliquots were spread on blood agar plates, blood agar plates supplemented with 4 μg/mL gentamicin, and blood agar plates supplemented with 4 μg/mL gentamicin and 5 μg/mL optochin for wild type strains. Gentamicin prevented growth of most contaminants in the nasal wash (Converse and Dillon [1977\)](#page-7-0). Blood agar plates supplemented with 0.3 μg/mL erythromycin, and blood agar plates supplemented with 0.3 μg/mL erythromycin and 5 μg/ mL optochin were used when PLY-negative mutants were evaluated.

Using these two types of agar plates, we determined that the organisms observed on the gentamicin plates were pneumococci. Maintenance of the mutants on erythromycin plates prevented the loss of their mutations and the erythromycin resistance gene.

## **Statistics**

All experiments were conducted two or more times under the same conditions. For quantitative data, statistical comparisons of numbers of CFUs of pneumococci recovered in nasal washes and nasal tissues between PLY wild type and PLY-negative mutant CFUs were performed using the Mann–Whitney  $U$  test. For quantitative values, medians and statistical calculations were analyzed for only samples with detectable bacteria. We performed the statistical analysis using Prism 5 (GraphPad Software, Inc., La Jolla, CA, USA). A  $p$  value of <0.05 was considered statistically significant.

# **Results**

# Numbers of CFUs recovered from nasal wash

Nonanesthetized CBA/N mice were inoculated intranasally with  $5 \times 10^5$  to  $1 \times 10^6$  CFUs of either *S. pneumonia* wild type or their isogenic mutants lacking PLY. All mice were alive at the time of the assay except a small number of mice inoculated with TIGR4 and BG7322 or their isogenic PLY-negative mutants MH8/MH7, which were dead or bacteremic. These rare dead or bacteremic mice were excluded from analysis of the nasal colonization. All mice challenged with EF3030 or their isogenic PLY-negative mutants MH12/MH7 were alive and non-bacteremic at the time of the assay.

The numbers of CFUs recovered from nasal washes are shown in Fig. [1.](#page-3-0) The numbers of CFUs of EF3030 and its isogenic PLY-negative mutant MH12 in nasal washes were evaluated on days 2, 6, and 10 after intranasal inoculation (Fig. [1a\)](#page-3-0). The number of CFUs of EF3030 recovered from nasal washes on day 1 was significantly higher than those of isogenic PLY-negative mutant MH12 (median 4.58 vs. 3.59,  $p=0.001$ ). Likewise, nasal colonization with TIGR4 or its isogenic PLY-negative mutant MH8 was evaluated on days 1, 5, and 7 (Fig. [1b\)](#page-3-0). Nasal colonization with BG7322 or its isogenic PLY-negative mutant MH7 was evaluated on days 1, 7, and 10 (Fig. [1c\)](#page-3-0). The numbers of CFUs of TIGR4 and BG7322 recovered from nasal washes on day 1 were significantly higher than those of PLY-negative mutants MH8 or MH7 (median 5.09 vs. 3.77,  $p = 0.030$  for TIGR4/MH8; median 3.37 vs. 2.20,  $p = 0.001$  for BG7322/MH7). There was no difference in colonization between S. pneumoniae wild type and its isogenic PLY-negative mutant after a day (day 1) from intranasal inoculation.

## Numbers of CFUs recovered from nasal tissue

To better understand the role of PLY for nasal colonization, the numbers of CFUs in washed nasal tissues were evaluated separately from those in nasal washes after intranasal inoculation of pneumococci. Like the results of nasal washes, the numbers of CFUs recovered from washed nasal tissues of TIGR4 on day 2 and EF3030 on day 1 were significantly higher than those of their isogenic PLY-negative mutants MH8/MH12 (median 5.98 vs. 4.35,  $p=0.011$  for EF3030; median 5.41 vs. 4.94,  $p = 0.017$  for TIGR4) (Fig. [2a, b](#page-4-0)). There was no difference in numbers of wild-type or PLY-negative mutant bacteria CFUs recovered from the nasal tissues on days 5, 6, 7, or 10 post inoculation. In contrast, when CFUs of strain BG7322 were examined, significantly higher numbers of CFUs from wild type BG7322 and the PLY-negative mutant MH7 were recovered on both days 1 and 7 (median 4.68 vs. 3.72,  $p = 0.022$  for day 1; median 4.69 vs. 3.96,  $p=0.021$  for day 7) (Fig. [2c](#page-4-0)).

## Effect of inoculation dose on nasal colonization

The effect of different inoculation doses were examined by intranasally inoculating mice with  $1 \times 10^4$  to  $1 \times 10^6$  CFUs of S. pneumoniae strain BG7322 and enumerating CFUs in nasal washes and washed nasal tissues on day 1 (Fig [3a](#page-5-0) and [b\)](#page-5-0). All mice survived the challenge. One mouse intranasally inoculated with BG7322 at  $1 \times 10^4$  CFUs did not show colonization (this mouse was excluded from analysis). All other mice exhibited colonization. In this experiment, numbers of CFUs in washed nasal tissues were evaluated separately from those in nasal washes to better understand the roles of PLY for nasal colonization.

There was no significant difference in the numbers of CFUs recovered from nasal washes between BG7322 wild type and its isogenic PLY-negative mutant MH7 when

<span id="page-3-0"></span>Fig. 1 Numbers of pneumococcal CFUs recovered from nasal washes. a Mice challenged with EF3030 or its PLY-negative mutant MH12 (serotype 19 F) at  $1 \times 10^6$  CFUs per mouse in 10 μL PBS. b Mice challenged with TIGR4 or its PLY-negative mutant MH8 (serotype 4) at  $5 \times 10^5$  CFUs per mouse in 10 μL PBS. c Mice challenged with BG7322 or its PLY-negative mutant MH7 (serotype 6A) at  $1 \times 10^5$  CFUs per mouse in 10  $\mu$ L PBS. \*\* $p < 0.01$ ,  $*_p$  < 0.05. The *dotted line* indicates the lower limit of detection



<span id="page-4-0"></span>Fig. 2 Numbers of pneumococcal CFUs recovered from washed nasal tissue. a Mice challenged with EF3030 or its PLY-negative mutant MH12 (serotype 19F) at  $1 \times 10^6$  CFUs per mouse in 10 μL PBS. b Mice challenged with TIGR4 or its PLY-negative mutant MH8 (serotype 4) at  $5 \times 10^5$  CFUs per mouse in 10 μL PBS. c Mice challenged with BG7322 or its PLY-negative mutant MH7 (serotype 6A) at  $1 \times 10^5$  CFUs per mouse in 10  $\mu$ L PBS. \*\* $p < 0.01$ ,  $*_p$  < 0.05. The *dotted line* indicates the detection limit. These data are from the same mice shown in Fig. [1](#page-3-0)



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<span id="page-5-0"></span>Fig. 3 Numbers of pneumococcal CFUs recovered from nasal washes or washed nasal tissue at various inoculation doses. Mice were challenged with BG7322 (serotype 6A) or its PLY-negative mutant MH7 (serotype 6A) at  $1 \times 10^4$ ,  $1 \times 10^5$ , or  $1 \times 10^6$  CFUs per mouse in 10 μL PBS. CFU in their nasal washes (a) and nasal tissue (b) were determined after 24 h. \*\*p < 0.01, \*p < 0.05. The *dotted* line indicates the lower limit of detection



mice were intranasally challenged with  $1 \times 10^6$  CFUs (Fig. 3a). In contrast, the numbers of CFUs recovered from washed nasal tissue were significantly higher in mice inoculated with BG7322 wild type than its isogenic PLY-negative mutant MH7 at this inoculation dose (median  $log10$  5.08 vs. 4.22,  $p=0.002$ ) (Fig. 3b). The numbers of CFUs recovered from both nasal washes and washed nasal tissue after intranasal inoculation with  $1 \times 10^5$  CFUs were significantly higher in mice challenged with BG7322 wild type than in mice with its isogenic PLY-negative mutant MH7 (median 3.37 vs. 2.20,  $p=0.001$  for nasal wash; median 4.68 vs. 3.72,  $p=0.003$ for nasal tissue). The numbers of CFUs recovered from both nasal washes and washed nasal tissue after intranasal inoculation with  $1 \times 10^5$  CFUs was also significantly higher in mice challenged with BG7322 wild type than in mice challenged with its isogenic PLY-negative mutant (median 3.38 vs. 2.29,  $p=0.001$  for nasal wash; median 4.35 vs. 3.25,  $p = 0.001$  for nasal tissue). When mice were inoculated intranasally with BG7322 or its PLY-negative mutant at  $1 \times 10^4$ , there were slightly more than a log more pneumococci in the nasal wash and nasal tissue of mice inoculated with the wild type vs. mutant bacteria  $(p<0.01)$ . So, at all doses we observed more CFU with wild type than PLY-negative mutant at one or both of the tissues studied.

#### **Discussion**

Despite numerous studies focused on the role of virulence factors of S. pneumoniae against host defense systems, their roles in nasal colonization have not yet been completely elucidated. We hypothesize that the tissue associated pneumococci may be required to maintain long-lasting colonization. These pneumococci may be present as surface-attached biofilms or minimally invasive bacteria in the tissue (Marks et al. [2014;](#page-7-0) Briles et al. [2005](#page-7-0)). To invade local tissue, S. pneumoniae need to be able to break through epithelial barriers. In this study, we focused on the roles of PLY in nasal colonization.

Our previous study and others revealed that asymptomatic nasal colonization with S. pneumoniae involves two subpopulations of weakly attached and strongly tissue-associated pneumococci (Briles et al. [2005;](#page-7-0) Kim and Weiser [1998](#page-7-0); Ogunniyi et al. [2007](#page-7-0)). The transparent phase is loosely attached to the mucosal surface, and the opaque phase is more intimately associated with washed nasal tissue (Briles et al. [2005;](#page-7-0) Kim and Weiser [1998](#page-7-0)). Although we did not evaluate phase variations in this study, we believe that it may be important in the acquisition and maintenance of nasal colonization.

The role of PLY in nasal colonization is controversial (Ogunniyi et al. [2007;](#page-7-0) Kadioglu et al. [2000](#page-7-0), [2002](#page-7-0); Rayner et al. [1995](#page-7-0)). It was considered that PLY has a relatively minor role in nasopharyngeal colonization compared to other pneumococcal virulence factors such as PspA and PspC (Rubins et al. [1996;](#page-8-0) Steinfort et al. [1989](#page-8-0); Rubins et al. [1998;](#page-8-0) Brown et al. [1983;](#page-7-0) Briles et al. [2000](#page-7-0); Jarva et al. [2002](#page-7-0); Ren et al. [2004](#page-7-0)). However, numerous publications have shown the critical role of PLY in contributing to pneumococcal pneumonia (Rayner et al. [1995](#page-7-0); Berry et al. [1989](#page-7-0)). Mice infected with PLY-negative mutants showed increased bacterial clearance from the lung (Ogunniyi et al. [2007\)](#page-7-0). In contrast to these previous results, numbers of PLY-negative mutants were significantly lower than those of PLY wild type on day 1 after inoculation in this study. Ogunniyi et al. reported that the numbers of PLY-negative D39 serotype 2 strain recovered from the nose up to 7 days after intranasal inoculation were not significantly different from those of the PLY wild-type parent (Ogunniyi et al. [2007](#page-7-0)). Orihulea et al. also showed no role for PLY in enhanced nasal colonization (Orihuela et al. [2004](#page-7-0)). While these two reports discussed the relatively minor roles of PLY in nasal colonization, their actual results demonstrated the reduction in nasal colonization at very early time points (approximately 24 h) after intranasal inoculation. Our findings reevaluate their results and further suggest that PLY plays a role in colonization at days 1 and 2 postinfection and does so for both pneumococci in washed tissue, and in nasal washes, which are the endpoints studied by other

investigators. At later time points, PLY played no role. Therefore, it appears to influence the early establishment, but not the later maintenance, of colonization.

PLY may contribute to pneumococcal colonization not only via various inhibitory effects on both adaptive and innate immune responses but also by directly damaging epithelial surfaces. Production of PLY has been reported to be associated with increased bacterial adherence to human respiratory epithelial cells in vitro. Rayner et al. reported that PLY is produced earlier and causes more severe damage to human respiratory mucosa in organ culture and is associated with increased pneumococcal adherence (Berry et al. [1989\)](#page-7-0). In contrast, several studies showed that mutants deficient in PLY could still colonize the nasopharynx as well as the wild type. Weiser et al. investigated PLY-triggered inflammatory responses that contributed to the clearance of colonies (Nelson et al. [2005](#page-7-0); Ratner et al. [2005](#page-7-0)). Rubins et al. also reported that PLY-negative serotype 14 mutants colonize the murine nose as efficiently as PLY wild-type pneumococci, both at early and late time points (Rubins et al. [1995](#page-8-0)). These discrepancies could be explained by differences in inoculation dose because our data indicated that the maximum effect of PLY on colonization was not seen at the highest dose tested.

Our current in vivo evidence strongly supports an important role for PLY in the early pathogenesis of nasopharyngeal colonization. The data help provide a rationale to explain why an organism that exists as an asymptomatic colonizer has also evolved a virulence factor that enables it to occasionally invade and kill its host. PLY may elicit sufficient host inflammatory (Witzenrath et al. [2011](#page-8-0)) responses to actually enhance colonization. It has been reported that PLY is downregulated once biofilms develop (Sanchez et al. [2011\)](#page-8-0), which may explain why it took a few days for the effect of pneumolysin on carriage to go away in our studies. Other virulence factors such as PspA and capsule are also important in colonization as well as invasive disease (Briles et al. [2005\)](#page-7-0). It is conceivable that carriage involves a careful balance between having sufficient virulence to establish and maintain stable colonization and yet being not so virulent as to provoke significant local inflammation. Thus, the virulence factors of S. *pneumoniae* that are necessary for killing humans may primarily exist to facilitate nasopharynx carriage.

#### Compliance with ethical standards

Conflict of interest The authors declare that they have no competing **interests** 

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