

On the Anti-invariant Cohomology of Almost Complex Manifolds

Richard Hind[1](http://orcid.org/0000-0002-2938-1404) · Adriano Tomassini2

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Abstract

We study the space of closed anti-invariant forms on an almost complex manifold, possibly non-compact. We construct families of (non-integrable) almost complex structures on \mathbb{R}^4 , such that the space of closed *J*-anti-invariant forms is infinite dimensional, and also 0- or 1-dimensional. In the compact case, we construct 6-dimensional almost complex manifolds with arbitrary large anti-invariant cohomology and a 2 parameter family of almost complex structures on the Kodaira–Thurston manifold whose anti-invariant cohomology group has maximum dimension.

Keywords Almost complex structure · Anti-invariant form · Anti-invariant cohomology

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1 Introduction

Cohomological properties provide a connection between analytical and topological features of complex manifolds. Indeed for a given complex manifold (*M*, *J*), natu-

Adriano Tomassini adriano.tomassini@unipr.it

¹ Department of Mathematics, University of Notre Dame, Notre Dame, IN 46556, USA

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 \boxtimes Richard Hind hind.1@nd.edu

² Dipartimento di Scienze Matematiche, Fisiche e Informatiche, Fisiche e Informatiche, Unitàdi Matematica e Informatica, Università degli Studi di Parma, Parco Area delle Scienze 53/A, 43124 Parma, Italy

ral complex cohomologies are defined, e.g., the *Dolbeault, Bott–Chern* and *Aeppli* cohomology groups, given by

$$
H_{\overline{\partial}}^{\bullet,\bullet}(M) = \frac{\text{Ker }\overline{\partial}}{\text{Im }\overline{\partial}} , H_{BC}^{\bullet,\bullet}(M) = \frac{\text{Ker }\partial \cap \text{Ker }\overline{\partial}}{\text{Im }\partial \overline{\partial}} , H_{A}^{\bullet,\bullet}(M) = \frac{\text{Ker }\partial \overline{\partial}}{\text{Im }\partial + \text{Im }\overline{\partial}}.
$$

Furthermore, if (*M*, *J*) is a compact complex manifold admitting a Kähler metric, that is a *J* -Hermitian metric whose fundamental form is closed, as a consequence of Hodge theory, the complex de Rham cohomology groups decompose as the direct sum of (*p*, *q*)-Dolbeault groups and strong topological restrictions on *M* are derived. For an almost complex manifold (*M*, *J*) the exterior differential *d* acting on the space of complex valued (*p*, *q*)-forms splits as

$$
d = \mu + \partial + \overline{\partial} + \overline{\mu},
$$

where $\overline{\partial}$, respectively $\overline{\mu}$, are the $(p, q+1)$, respectively, the $(p-1, q+2)$ components of *d*. It turns out that the almost complex structure *J* is integrable if and only if $\overline{\mu} = 0$. Consequently, in the non-integrable case, $\overline{\partial}$ is not a cohomological operator.

In [\[13](#page-16-0)] Li and Zhang, motivated by the study of comparison of tamed and compatible symplectic cones on a compact almost complex manifold, introduced the *J* -*anti-invariant* and *J* -*invariant cohomology groups* as the (real) de Rham 2-classes represented by *J* -anti-invariant, respectively, *J* -invariant forms and the notion of *C*∞−*pure-and-full* almost complex structures, namely those ones such that the second de Rham cohomology group decomposes as the direct sum of the *J* -anti-invariant and *J*-invariant cohomology groups. In [\[5](#page-16-1)], Drǎghici et al. proved that an almost complex structure on a compact 4-dimensional manifold is *C*∞-pure-and-full.

In [\[6](#page-16-2)[,7](#page-16-3)], the same authors continue the study of the *J* -anti-invariant cohomology of an almost complex manifold (M, J) . Let h_J^- be the dimension of the real vector space of closed anti-invariant 2-forms on (*M*, *J*). Note that in the case when the manifold is 4-dimensional every closed anti-invariant form α is Δ_{g} -harmonic, where g is a Hermitian metric and Δ_{g} denotes the Hodge Lapacian, see Sect. [2.](#page-3-0) Thus in the compact 4 dimensional case h_J^- is the dimension of the anti-invariant cohomology. The following conjectures appear in [\[6](#page-16-2)].

Conjecture 2.4 For generic almost complex structures *J* on a compact 4-manifold *M*, $h_J^- = 0.$

In the case when $b^+=1$ this was proved as Theorem 3.1 the same paper. The conjecture in general was established by Tan et al. [\[15](#page-16-4)].

Conjecture 2.5 *On a compact* 4*-manifold, if h*− *^J* ≥ 3*, then J is integrable.*

By starting with a (compact) Kähler surface with holomorphically trivial canonical bundle, Drǎghici, Li and Zhang obtain non-integrable almost complex structures with h_J^- = 2. More precisely, for a given (compact) Kähler surface (*M*, *J*) with holomorphically trivial canonical bundle, they take a closed 2-form trivializing the canonical bundle. Then, fixing a conformal class of Hermitian metrics compatible with *J* , they consider the Gauduchon metric representing such a conformal class and they associate an almost complex structure $J_{f,s,l}$ depending on three smooth functions satisfying some suitable conditions. Then, generically, $h_{f_{f,s,l}}^- = 0$, but cases when $h_{f_{f,s,l}}^- = 1$ and $h_{J_{f,s,l}}^{-} = 2$ also occur. Therefore, again in [\[6](#page-16-2)], as an extension of Conjecture [2.5,](#page-1-0) the authors asked the following natural

Question 3.23 *Are there (compact,* 4*-dimensional) examples of non-integrable almost complex structures J with h*− *^J* ≥ 2 *other than the ones arising from* [\[6\]](#page-16-2)*, Proposition 3.21?* In particular, are there any examples with $h^-_J \geq 3$?

For other results on C^{∞} -pure-and-full and *J*-anti-invariant closed forms see [\[2](#page-16-5)[–4](#page-16-6)[,9](#page-16-7)[,11](#page-16-8)].

In this note, motivated by Conjecture [2.5](#page-1-0) and Question [3.23,](#page-2-0) we study the antiinvariant cohomology and the space of anti-invariant harmonic forms of an almost complex manifold, possibly non-compact.

Starting with the non-compact case, we first note that the space of closed antiinvariant forms with respect to the standard integrable complex structure *i* on $\mathbb{R}^4 \equiv \mathbb{C}^2$ is infinite dimensional: indeed, for every given holomorphic function $h(z_1, z_2)$, the real and imaginary parts of $h(z_1, z_2)dz_1 \wedge dz_2$ are closed and anti-invariant.

As Theorem [3.7,](#page-10-0) we show the same can also hold in the non-integrable case.

Theorem *There exists a (non-integrable) almost complex structure on* \mathbb{R}^4 , *such that the space of closed J-anti-invariant forms is infinite dimensional.*

As a consequence, we see that compactness is essential for Conjecture [2.5](#page-1-0)

In contrast we also show the following (see Theorem [3.8,](#page-11-0) and Lemma [3.4](#page-8-0) for the integrability statement).

Theorem *There exists a family of almost complex structures* $\{J_f\}$ *on* \mathbb{C}^2 *, parameterized by smooth functions* $f: \mathbb{C}^2 \to \mathbb{R}$, with the following properties.

- J_f *coincides with the standard complex structure i exactly at points where* $f = 0$;
- J_f *is integrable if and only if the gradient of f in the z₂ direction is* 0;
- *if f has compact support and* $f \not\equiv 0$ *then* $h_{J_f}^- = 1$ *.*

In particular, an arbitrarily small, compactly supported, perturbation of a complex structure having an infinite dimensional space of anti-invariant forms may admit only a single such form up to scale. This provides supporting evidence for Conjecture [2.5,](#page-1-0) showing that typically anti-invariant forms do not persist under non-integrable perturbations.

A similar argument gives the following, see Corollary [3.9.](#page-12-0)

Corollary *There exist almost complex structures on* C² *which agree with i outside of a* compact set and have $h_J^- = 0$.

We note that integrable complex structures on \mathbb{C}^2 which agree with *i* outside of a compact set are biholomorphic to \mathbb{C}^2 itself, and so have $h_J^- = \infty$. This follows from Yau [\[17\]](#page-16-9), Theorem 5, since such complex structures can be extended to give complex structures on C*P*2.

Given the original motivations for studying anti-invariant cohomology groups it is natural to ask about compatibility properties for our almost complex structures.

We point out in Remark [3.10](#page-12-1) that the almost complex structures described in both of the above theorems are indeed almost Kähler, that is, they are compatible with a symplectic form on \mathbb{C}^2 .

In the compact case, we construct a 2-parameter family of (non-integrable) almost complex structures on the Kodaira–Thurston manifold, depending on two smooth functions, for which the anti-invariant cohomology group has maximum dimension equal to 2 (see Proposition [4.2\)](#page-14-0). This provides an affirmative answer to Question [3.23.](#page-2-0) In the last section, we give a simple construction to obtain 6-dimensional compact almost complex manifolds with arbitrary large anti-invariant cohomology (see Proposition [5.1\)](#page-15-0). Hence dimension 4 is also an essential part of Conjecture [2.5.](#page-1-0)

For almost-complex structure on a 4-manifold which are tamed by a symplectic form, Drǎghici et al. [\[5](#page-16-1)], Theorem 3.3, that $h_J^- \leq b^+ - 1$. Thus any counterexamples to Conjecture [2.5](#page-1-0) cannot come from tame almost-complex structures on symplectic 4-manifolds with $b^+ \leq 3$. Moreover Li [\[12\]](#page-16-10), Theorem 1.1, shows that symplectic 4-manifolds of Kodaira dimension 0 all have $b^+ \leq 3$. We thank Weiyi Zhang for pointing this out.

2 Anti-invariant Cohomology

In this Section we will fix some notation and recall the generalities on anti-invariant forms and some notion about the cohomology of almost complex manifolds. Let *M* be a smooth 2*n*-dimensional manifold. We will denote by *J* a smooth almost complex structure on *M*, that is a smooth (1, 1)-tensor *J* field satisfying $J^2 = -id$. The almost complex structure *J* is said to be *integrable* if its Nijenhuis tensor, that is the (1, 2) tensor given by

$$
N_J(X, Y) = [JX, JY] - [X, Y] - J[JX, Y] - J[X, JY],
$$

According to Newlander–Nirenberg Theorem, *J* is integrable if and only if *J* is induced by a structure of complex manifold on *M*. Let *J* be a smooth almostcomplex structure on a *M* and denote by $\Lambda^{r}(M)$ the bundle of *r*-forms on *M*; let $\Omega^r(M) := \Gamma(M, \Lambda^r(M))$ be the space of smooth global sections of $\Lambda^r(X)$ and let $\Lambda^r(M; \mathbb{C}) = \Lambda^r(M) \otimes \mathbb{C}$. Then *J* acts in a natural way on the space $\Omega^r(M; \mathbb{C})$ of smooth sections of $\Lambda^r(M; \mathbb{C})$ giving rise to the following bundle decomposition

$$
\Lambda^r(M; \mathbb{C}) = \bigoplus_{p+q=r} \Lambda_J^{p,q}(M).
$$

Accordingly, $\Omega^r(M; \mathbb{C})$ and $\Omega^r(M)$ decompose, respectively, as

$$
\Omega^r(M; \mathbb{C}) = \bigoplus_{p+q=r} \Omega_J^{p,q}(M).
$$

and

$$
\Omega^{r}(M) = \bigoplus_{p+q=r, p \leq q} \Omega^{(p,q),(q,p)}(M)_{\mathbb{R}},
$$

where, for $p < q$

$$
\Omega^{(p,q),(q,p)}(M)_{\mathbb{R}} = \{ \alpha \in \Omega_J^{p,q}(M) \oplus \Omega_J^{q,p}(M) \mid \alpha = \overline{\alpha} \},
$$

and

$$
\Omega^{(p,p)}(M)_{\mathbb{R}} = \{ \beta \in \Omega^{p,p}_J(M) \mid \beta = \overline{\beta} \}.
$$

In particular for $r = 2$, *J* acts as involution on $\Omega^2(M)$ by

$$
J\alpha(X, Y) = \alpha(JX, JY),
$$

for every pair of vector fields *X*, *Y* on *M*. Then we denote as usual by $\Lambda_J^-(M)$ (respectively, $\Lambda_J^+(M)$) the +1 (resp. -1)-eigenbundle; then the space of corresponding sections $\Omega_J^-(M)$ (respectively, $\Omega_J^+(M)$) are defined to be the spaces of *J* -*anti-invariant*, (respectively, *J* -*invariant*) forms, i.e.,

$$
\Omega_J^{\pm}(M) = \{ \alpha \in \Omega^2(M) \mid J\alpha = \pm \alpha \}
$$

$$
\Omega^{(2,0),(0,2)}(M)_{\mathbb{R}} = \Omega_J^-(M), \quad \Omega^{1,1}(M)_{\mathbb{R}} = \Omega_J^+(M).
$$

Let

$$
\mathcal{Z}_J^{\pm}(M) = \mathcal{Z}^2(M) \cap \Omega_J^{\pm}(M) = \{ \alpha \in \Omega_J^{\pm}(M) \mid \, d\alpha = 0 \}.
$$

If $\{\varphi^1, \ldots, \varphi^n\}$ is a local coframe of $(1, 0)$ -forms on (M, J) , then $\Lambda_J^-(M)$ is locally spanned by

$$
\{\operatorname{Re}(\varphi^r \wedge \varphi^s), \quad \operatorname{Im}(\varphi^r \wedge \varphi^s), \ 1 \le r < s \le n\}.
$$

Then, according to the previous decomposition on forms, Li and Zhang [\[13\]](#page-16-0) defined the following cohomology spaces

$$
H_J^{\pm}(X) = \left\{ \mathfrak{a} \in H_{dR}^2(X; \mathbb{R}) \mid \exists \alpha \in \mathcal{Z}_J^{\pm} \mid \mathfrak{a} = [\alpha] \right\},\
$$

and they gave the following (see [\[13](#page-16-0), Definition 4.12])

Definition 2.1 An almost complex structure *J* on *M* is said to be

• *C*∞-pure *if*

$$
H_J^+(M) \cap H_J^-(M) = \{0\}.
$$

• *C*∞-full*if*

$$
H_{dR}^2(M; \mathbb{R}) = H_J^+(M) + H_J^-(M).
$$

• *C*∞-pure-and-full*if*

$$
H_{dR}^2(M; \mathbb{R}) = H_J^+(M) \oplus H_J^-(M).
$$

Given an almost complex manifold (*M*, *J*), we denote by

$$
h_J^-(M) = \dim_{\mathbb{R}} \mathcal{Z}_J^-(M).
$$

For a given Hermitian metric g_J on the 2*n*-dimensional almost complex manifold (*M*, *J*), we will denote by $H_J^-(M)$ the space of *J*-anti-invariant harmonic 2-forms, that is

$$
\mathcal{H}_J^-(M) := \{ \alpha \in \Omega^-(M) \mid \Delta_{g_J} \alpha = 0 \},
$$

where Δ_{g} denotes the Hodge Laplacian.

Following [\[6\]](#page-16-2), [\[10](#page-16-11), Prop.2.4], once a *J*-Hermitian metric g_J is fixed, the space $\mathcal{Z}_J^-(M)$ is contained in the kernel of a second-order elliptic differential operator E, that is $\mathcal{Z}_J^-(M)$ ⊂ Ker E. Explicitly,

$$
E\alpha = \Delta_{gJ}\alpha + \frac{1}{(n-2)!}d((\alpha \wedge d(\omega^{n-2}))),
$$

where ω is the fundamental form of g_J . Hence, if *M* is a compact 2*n*-dimensional almost complex manifold, then $Z_J^-(M)$ has finite dimension. Also, in view of [\[1](#page-15-1)], assuming *M* is connected, if α is any closed anti-invariant form vanishing to infinite order at some point *p*, then $\alpha = 0$.

In the case when $2n = 4$, then any *J*-anti-invariant closed form α on (M, J) satisfies $\Delta_{gJ}\alpha = \mathbb{E}\alpha = 0$ and so $\mathcal{Z}_J^-(M) \subset \mathcal{H}_J^-(M)$. Thus if *M* is compact the natural map $Z_J^-(M) \hookrightarrow H_J^-(M)$ is an isomorphism. This also holds for compact *M* in higher dimensions provided that *J* is compatible with a symplectic form, that is, (*M*, *J*) is an almost Kähler manifold, see for example, [\[6\]](#page-16-2) or [\[10](#page-16-11), Proposition 2.2, Corollary 2.3].

Finally, again in dimension 2*n* = 4, we can check that in fact $\mathcal{Z}_J^-(M) \subset \mathcal{H}_{g_J}^+ \subset$ $\mathcal{H}^-_J(M)$ where \mathcal{H}^+_{gJ} is the space of self-dual harmonic forms. So in the compact case we have $h_J^-(M) \leq b^+(M)$.

3 Closed *J***-Anti-invariant Forms and an Integrability Condition**

Let *J* be an almost complex structure on a 4-dimensional manifold. Let $\omega \neq 0$ be a closed *J* -anti-invariant form on *M*. Then, according to [\[5](#page-16-1), Lemma 2.6] (see also [\[10,](#page-16-11) Prop. 2.6]) the zero set $\omega^{-1}(0)$ of ω has empty interior, so that $M \setminus \omega^{-1}(0)$ is open and

dense. Since $M \setminus \omega^{-1}(0)$ coincides with the subset of M where ω is non-degenerate (see [\[5,](#page-16-1) Lemma 2.6] or $[10, \text{Lemma } 1.1]$ $[10, \text{Lemma } 1.1]$), we have the following

Lemma 3.1 *Let* (M, J) *be a* 4-dimensional almost complex manifold and $0 \neq \omega$ \in \mathcal{Z}_J^- *. Then* ω *is a symplectic form on the open dense set* $M \setminus \omega^{-1}(0)$ *.*

Let *J*₀ be the standard complex structure on the vector space $\mathbb{C}^n \simeq \mathbb{R}^{2n}$ induced by the multiplication by *i*, that is,

$$
J_0(z_1,\ldots,z_n)=(e^{i\frac{\pi}{2}}z_1,\ldots,e^{i\frac{\pi}{2}}z_n).
$$

Then, for every given real number *r*, define $J_0^r \in \text{End}(\mathbb{C}^n)$, by setting

$$
J_0^r(z_1,\ldots,z_n)=(e^{i\frac{\pi}{2}r}z_1,\ldots,e^{i\frac{\pi}{2}r}z_n).
$$

Let now *J* be any almost complex structure on the manifold $\mathbb{C}^n \simeq \mathbb{R}^{2n}$; then there exists $A : \mathbb{R}^{2n} \to GL(2n, \mathbb{R})$ such that *J* is conjugated to the standard complex structure J_0 , i.e.,

$$
J_x = A(x)J_0A^{-1}(x).
$$

For $r = r(x) \in \mathbb{R}$, define

$$
J_x^r := A(x)J_0^r A^{-1}(x).
$$

Let (M, J) be a 2*n*-dimensional almost complex manifold and let $\omega \in \Omega_J^-(M)$. Let *U* be a coordinate neighborhood. We can find $A(x)$ for $x \in U$ conjugating J_x to J_0 . Given a smooth function $r : M \to \mathbb{R}$ equal to 0 outside of $\mathcal U$ we can define a bilinear form θ^r on *M* which agrees with ω outside of $\mathcal U$ by setting, at any given $x \in \mathcal U$,

$$
\theta_x^r(v, w) = \omega_x(v, J_x^{r(x)}w), \tag{1}
$$

for every pair of tangent vectors v, w .

Lemma 3.2 *The form* θ^r *is skew-symmetric and J-anti-invariant, that is,* $\theta^r \in \Omega_J^-(M)$ *.*

Proof For any given pair of tangent vectors v, w at *x*,

$$
J_x\theta_x^r(v,w)=\theta_x^r(J_xv,J_xw)=\omega_x(J_xv,J_x^{r+1}w)=-\omega_x(v,J_x^rw)=-\theta_x^r(v,w),
$$

that is $J\theta^r = -\theta^r$.

Note that when $r = 0$ we have $\theta^0 = \omega$ is skew. To check θ^r is skew for all r, we fix x (and so can think of r as a real number) and working in T_xM can choose a basis such that we can identify *J* with the standard complex structure J_0 on \mathbb{C}^n . Then

$$
\frac{\mathrm{d}}{\mathrm{d}r}J^r = \frac{\pi}{2}J^{r+1}.
$$

Hence

$$
\frac{\mathrm{d}}{\mathrm{d}r}\theta^r(v,w) = \frac{\mathrm{d}}{\mathrm{d}r}\omega(v,J^rw) = \frac{\pi}{2}\omega(v,J^{r+1}w) = \frac{\pi}{2}\theta(v,Jw).
$$

For the same fixed v, w , we define a function

$$
f(r) = (\theta^r(v, w) + \theta^r(w, v))^2 + (\theta^r(v, Jw) + \theta^r(Jw, v))^2.
$$

Then

$$
\frac{df}{dr} = \frac{\pi}{2} (\theta^r(v, w) + \theta^r(w, v))(\theta^r(v, Jw) + \theta^r(w, Jv)) \n+ \frac{\pi}{2} (\theta^r(v, Jw) + \theta^r(Jw, v))(-\theta(v, w) + \theta(Jw, Jv)) = 0,
$$

using the fact that $J\theta^r = -\theta^r$. Hence $f'(r) = 0$ and since $f(0) = 0$ we see that $f(r) = 0$ for all *r* and θ^r is skew for all *r*.

The last Lemma allows to produce anti-invariant forms starting from an anti-invariant one. For the sake of completeness we recall the proof of an integrability result in the 4-dimensional case obtained by Drǎghici et al. (see [\[5](#page-16-1), Lemma 2.12]).

Proposition 3.3 *Let* (M, J) *be a* 4-dimensional almost complex manifold. Let $0 \neq$ $\omega \in \mathcal{Z}_J^-(M)$. If the form $\theta_x(\cdot, \cdot) = \omega_x(\cdot, J_x \cdot)$ is closed, then *J* is integrable.

Proof It suffices to check the Nijenhuis tensor $N_I = 0$, at any point of the dense subset $M \setminus \omega^{-1}(0)$. This implies $N_J = 0$ on the whole *M* and *J* is integrable.

By Lemma [3.1](#page-6-0) the 2-form ω is a symplectic structure on *M* \ $\omega^{-1}(0)$. Let $x \in$ $M \setminus \omega^{-1}(0)$ and *U* be a coordinate neighborhood of *x* contained in $M \setminus \omega^{-1}(0)$. Define a local complex 2-form on (M, J) by setting, for every $x \in \mathcal{U}$,

$$
\Psi_x = \omega_x - i \theta_x.
$$

We show that Ω is of type (2, 0). Indeed, for every given v, w,

$$
\Psi_x(v - iJv, w + iJw) = (\omega_x - i\theta_x)(v - iJv, w + iJw)
$$

\n
$$
= \omega_x(v, w) + \omega_x(Jv, Jw) - i(\theta_x(v, w) + \theta_x(Jv, Jw))
$$

\n
$$
+ i(\omega_x(v, Jw) - \omega_x(Jv, w) - i(\theta_x(v, Jw) - \theta_x(Jv, w)))
$$

\n
$$
= 0,
$$

since ω and θ are *J*-anti-invariant. Therefore, Ψ vanishes on any pair of complex vectors of type $(1, 0)$, $(0, 1)$, respectively, that is

$$
\Psi \in \Omega_J^{2,0}(\mathcal{U}) \oplus \Omega_J^{0,2}(\mathcal{U}).
$$

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Similarly,

$$
\Psi_x(v + iJv, w + iJw) = (\omega_x - i\theta_x)(v + iJv, w + iJw) \n= \omega_x(v, w) - \omega_x(Jv, Jw) - i(\theta_x(v, w) - \theta_x(Jv, Jw)) \n+ i(\omega_x(v, Jw) + \omega_x(Jv, w) - i(\theta_x(v, Jw) + \theta_x(Jv, w))) \n= 2(\omega_x(v, w) - i\theta_x(v, w)) + 2i(\omega_x(v, Jw) - i\theta_x(v, Jw)) \n= 2(\omega_x(v, w) - i\theta_x(v, w)) + 2i(\theta_x(v, w) + i\omega_x(v, w)) \n= 0.
$$

Therefore, $\Psi \in \Omega_J^{2,0}(\mathcal{U})$ is nowhere vanishing and closed. Let α be any local complex (1, 0)-form. Then, by type reason, $\alpha \wedge \Psi = 0$. Hence, at *x*,

$$
0 = d(\alpha \wedge \Psi) = d\alpha \wedge \Psi = (d\alpha)^{0,2} \wedge \Psi,
$$

which implies that the (0, 2)-part $(d\alpha)^{0,2}$ of d α vanishes and $N_J(x) = 0$.

Let (x_1, x_2, y_1, y_2) be natural coordinates on \mathbb{R}^4 and $f = f(x_1, x_2, y_1, y_2)$ be a smooth R-valued function on \mathbb{R}^4 . Define $J_f \in \text{End}(T\mathbb{R}^4)$ by setting

$$
J_f \frac{\partial}{\partial x_1} = f \frac{\partial}{\partial x_2} + \frac{\partial}{\partial y_1}, \ J_f \frac{\partial}{\partial x_2} = \frac{\partial}{\partial y_2}, \ J_f \frac{\partial}{\partial y_1} = -\frac{\partial}{\partial x_1} - f \frac{\partial}{\partial y_2}, \ J_f \frac{\partial}{\partial y_2} = -\frac{\partial}{\partial x_2} \tag{2}
$$

and extend it $C^{\infty}(\mathbb{R}^4)$ -linearly. Then J_f gives rise to an almost complex structure on \mathbb{R}^4

Lemma 3.4 *The almost complex structure* $J = J_f$ *is integrable if and only if*

$$
f_{x_2}=0, \quad f_{y_2}=0.
$$

Proof It is enough to show that $N_J(\frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2}) = 0$ if and only if

$$
f_{x_2}=0, \quad f_{y_2}=0.
$$

We easily compute

$$
N_J(\frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2}) = [J\frac{\partial}{\partial x_1}, J\frac{\partial}{\partial x_2}] - [\frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2}] - J[J\frac{\partial}{\partial x_1}, \frac{\partial}{\partial x_2}] - J[\frac{\partial}{\partial x_1}, J\frac{\partial}{\partial x_2}]
$$

= $[f\frac{\partial}{\partial x_2} + \frac{\partial}{\partial y_1}, \frac{\partial}{\partial y_2}] - J[f\frac{\partial}{\partial x_2} + \frac{\partial}{\partial y_1}, \frac{\partial}{\partial x_2}] - J[\frac{\partial}{\partial x_1}, \frac{\partial}{\partial y_2}]$
= $-f_{y_2}\frac{\partial}{\partial x_2} + f_{x_2}\frac{\partial}{\partial y_2}$

Lemma is proved. \square

According to the definition of J_f , the induced almost complex structure J_f on $T^*\mathbb{R}^4$ is given by

$$
J_f dx_1 = -dy_1, \quad J_f dx_2 = f dx_1 - dy_2, \quad J_f dy_1 = dx_1, \quad J_f dy_2 = -f dy_1 + dx_2.
$$
\n(3)

$$
\sqcup
$$

$$
\Box
$$

Consequently, setting

$$
\varphi^1 = dx_1 + id dy_1, \quad \varphi^2 = dx_2 + i(-f dx_1 + dy_2),
$$

then $\{\varphi^1, \varphi^2\}$ is a complex (1, 0)-coframe on the almost complex manifold (\mathbb{R}^4 , J_f), so that

$$
\beta = \text{Re}(\varphi^1 \wedge \varphi^2), \quad \gamma = \text{Im}(\varphi^1 \wedge \varphi^2),
$$

is a global frame of $\Lambda_{J_f}^-(\mathbb{R}^4)$. Explicitly,

$$
\beta = dx_1 \wedge dx_2 - f dx_1 \wedge dy_1 - dy_1 \wedge dy_2, \quad \gamma = dx_1 \wedge dy_2 - dx_2 \wedge dy_1. \quad (4)
$$

Lemma 3.5 *Let* α *be an arbitrary smooth section of* $\Lambda_{J_f}^-(\mathbb{R}^4)$ *. Set*

$$
\alpha = a\beta + b\gamma,
$$

for a, b smooth \mathbb{R} *-valued functions on* \mathbb{R}^4 *. Then* $d\alpha = 0$ *if and only if the following condition holds*

$$
\begin{cases}\na_{y_1} - b_{x_1} + (fa)_{x_2} = 0 \\
a_{x_1} + b_{y_1} + (fa)_{y_2} = 0 \\
a_{y_2} - b_{x_2} = 0 \\
a_{x_2} + b_{y_2} = 0.\n\end{cases}
$$
\n(5)

Proof Expanding dα we get:

$$
d\alpha = da \wedge \beta - adf \wedge dx_1 \wedge dy_1 + db \wedge \gamma
$$

= $(a_{x_1}dx_1 + a_{x_2}dx_2 + a_{y_1}dy_1 + a_{y_2}dy_2) \wedge (dx_1 \wedge dx_2 - f dx_1 \wedge dy_1 - dy_1 \wedge dy_2)$
- $a(f_{x_1}dx_1 + f_{x_2}dx_2 + f_{y_1}dy_1 + f_{y_2}dy_2) \wedge dx_1 \wedge dy_1 ++ (b_{x_1}dx_1 + b_{x_2}dx_2 + b_{y_1}dy_1 + b_{y_2}dy_2) \wedge (dx_1 \wedge dy_2 - dx_2 \wedge dy_1)= -a_{x_1}dx_1 \wedge dy_1 \wedge dy_2 + a_{x_2}f dx_1 \wedge dx_2 \wedge dy_1 - a_{x_2}dx_2 \wedge dy_1 \wedge dy_2 ++ a_{y_1}dx_1 \wedge dx_2 \wedge dy_1 + a_{y_2}dx_1 \wedge dx_2 \wedge dy_2 - a_{y_2}f dx_1 \wedge dy_1 \wedge dy_2+ a_{x_2}dx_1 \wedge dx_2 \wedge dy_1 - a_{y_2}dx_1 \wedge dy_1 \wedge dy_2 - b_{x_1}dx_1 \wedge dx_2 \wedge dy_1 +- b_{x_2}dx_1 \wedge dx_2 \wedge dy_2 - b_{y_1}dx_1 \wedge dy_1 \wedge dy_2 - b_{y_2}dx_2 \wedge dy_1 \wedge dy_2= (a_{y_1} - b_{x_1} + (af)_{x_2}) dx_1 \wedge dx_2 \wedge dy_1 + (a_{y_2} - b_{x_2}) dx_1 \wedge dx_2 \wedge dy_2 +-(a_{x_1} + b_{y_1} + (af)_{y_2}) dx_1 \wedge dy_1 \wedge dy_2 - (a_{x_2} + b_{y_2}) dx_2 \wedge dy_1 \wedge dy_2.$

Therefore, $d\alpha = 0$ if and only if [\(5\)](#page-9-0) holds.

Remark 3.6 Set $z_1 = x_1 + iy_1$, $z_2 = x_2 + iy_2$ and

$$
\begin{aligned}\n\partial_{z_1} &= \frac{1}{2}(\partial_{x_1} - i \partial_{y_1}), \ \partial_{z_2} = \frac{1}{2}(\partial_{x_2} - i \partial_{y_2}) \\
\partial_{\overline{z}_1} &= \frac{1}{2}(\partial_{x_1} + i \partial_{y_1}), \ \partial_{\overline{z}_2} = \frac{1}{2}(\partial_{x_2} + i \partial_{y_2}).\n\end{aligned}
$$

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Then a pair of real valued functions (a, b) on \mathbb{R}^4 is a solution of [\(5\)](#page-9-0) if and only if the complex valued function $w = a - ib$ solves the following

$$
\begin{cases} \partial_{\overline{z}_1} w + \frac{i}{2} \partial_{z_2} (f(w + \overline{w})) = 0 \\ \partial_{\overline{z}_2} w = 0. \end{cases}
$$
 (6)

The system above is a perturbed Cauchy–Riemann PDEs system. Furthermore, it is immediate to note that, condition [\(5\)](#page-9-0) of Lemma [3.5](#page-9-1) can be rewritten as

$$
db = (a_{y_1} + (af)_{x_2})dx_1 + a_{y_2}dx_2 - (a_{x_1} + (af)_{y_2})dy_1 - a_{x_2}dy_2.
$$

Therefore, given *a*, there exists a *b* such that $\alpha = a\beta + b\gamma$ is a closed *J*-anti-invariant form on (\mathbb{R}^4, J) if and only if the differential form

$$
(a_{y_1} + (af)_{x_2})dx_1 + a_{y_2}dx_2 - (a_{x_1} + (af)_{y_2})dy_1 - a_{x_2}dy_2,
$$

is closed. The latter condition is equivalent to the following PDEs system:

$$
\begin{cases}\na_{x_1y_2} - a_{x_2y_1} - (af)_{x_2x_2} &= 0 \\
a_{x_1y_2} - a_{x_2y_1} + (af)_{y_2y_2} &= 0 \\
a_{x_1x_1} + a_{y_1y_1} + (af)_{x_2y_1} + (af)_{x_1y_2} &= 0 \\
a_{x_1x_2} + a_{y_1y_2} + (af)_{x_2y_2} &= 0 \\
a_{x_2x_2} + a_{y_2y_2} &= 0.\n\end{cases}
$$
\n(7)

We are ready to state and prove the following

Theorem 3.7 *Let* $f(x_1, x_2, y_1, y_2) = x_2$, $J = J_x$, be defined as in [\(2\)](#page-8-1) and g_J be a *J-Hermitian metric on* R4*. Let*

$$
\beta = dx_1 \wedge dx_2 - f dx_1 \wedge dy_1 - dy_1 \wedge dy_2, \quad \gamma = dx_1 \wedge dy_2 - dx_2 \wedge dy_1.
$$

Then

- *(I) J* is a non-integrable almost complex structure on \mathbb{R}^4 .
- *(II)* For every given pair $(s, t) \in \mathbb{R}^2$, such that

$$
s^2 + t^2 + t = 0,
$$

the form

$$
\alpha_{s,t}=te^{sx_1+ty_1}\beta-se^{sx_1+ty_1}\gamma,
$$

is a J-anti-invariant and closed. Therefore, $H_J^-(\mathbb{R}^4)$ *has infinite dimension.*

Proof (I) In view of Lemma [3.4,](#page-8-0) *J* is integrable if an only if $f_{x_2} = f_{y_2} = 0$. By assumption, we get $f_{x_2} = 1$. Therefore *J* is not integrable.

(II) Set $z_1 = x_1 + iy_1$, $z_2 = x_2 + iy_2$. Then, for $f = x_2$, the complex PDEs system [\(6\)](#page-10-1) becomes

$$
\begin{cases} \partial_{\overline{z}_1} w + \frac{i}{4} (z_2 + \overline{z}_2) \partial_{z_2} w + \frac{i}{4} (w + \overline{w}) = 0 \\ \partial_{\overline{z}_2} w = 0. \end{cases} \tag{8}
$$

A straightforward computation shows that, given any pair of real numbers (*s*, *t*) satisfying

$$
s^2 + t^2 + t = 0,
$$

the complex function

$$
w = te^{s\frac{z_1-\bar{z}_1}{2}+t\frac{z_1-\bar{z}_1}{2i}} + ise^{s\frac{z_1-\bar{z}_1}{2}+t\frac{z_1-\bar{z}_1}{2i}},
$$

solves [\(8\)](#page-11-1). Take

$$
s_n=\frac{\sqrt{n-1}}{n},\qquad t_n=-\frac{1}{n},
$$

Then, for such a choice, $s_n^2 + t_n^2 + t_n = 0$. In view of the computations above, for any given integer $n \geq 1$, the *J*-anti-invariant forms

$$
\alpha_n := t_n e^{s_n x_1 + t_n y_1} \beta - s_n e^{s_n x_1 + t_n y_1} \gamma,
$$

are closed, and consequently g_J -harmonic. Therefore, $\{\alpha_n\}_{n\geq 1}$ is a sequence of harmonic forms on (\mathbb{R}^4, J, g_J) and it is immediate to check that, for any given positive integer *m*, the forms $\{\alpha_1, \ldots, \alpha_m\}$ are linearly independent. This ends the proof. \square

Next we demonstrate the contrasting behavior when our almost complex structure is defined using functions with compact support.

Theorem 3.8 *Let f have compact support and the almost complex structures* J_f *on* \mathbb{C}^2 *be defined by* [\(2\)](#page-8-1).

Then if f is non-zero we have $h_{J_f}^- = 1$ *.*

Note that since f has compact support neither f_x nor f_y can vanish identically and so by Lemma [3.4](#page-8-0) we see that J_f is non-integrable. As mentioned in the introduction, Yau's solution to the Calabi conjecture actually implies that no integrable complex structures *J* can be standard outside of a compact set and satisfy $h^-_J = 1$.

Proof We determine the anti-holomorphic forms by finding solutions to the system [\(7\)](#page-10-2).

First note that the first two lines in Eq. [\(7\)](#page-10-2) imply that *a f* is a harmonic function of x_2 , y_2 , which is identically 0 outside of a compact set (since f is). Hence af is identically 0 everywhere.

Fix x_1, y_1 , say $x_1 = s$, $y_1 = t$, so that f does not vanish identically on the corresponding *x*2, *y*² plane. Working in this plane, as *a f* is identically 0 it follows that *a* is identically 0 on the open set where f is nonzero. But the final line in Eq. [\(7\)](#page-10-2) says that *a* is also harmonic in x_2 , y_2 , hence *a* vanishes identically on the whole plane, and similarly on all nearby *x*2, *y*² planes.

Next we look at x_1 , y_1 planes. As $af = 0$ the third line in Eq. [\(7\)](#page-10-2) says that *a* is harmonic. But as we know that *a* is 0 close to (s, t) we can conclude that $a = 0$ everywhere.

Therefore the only closed anti-invariant forms $a\beta + b\gamma$ are of the form $a = 0$ and constant, showing that h^{-} , $= 1$ as required. *b* constant, showing that $h_{J_f}^-$ = 1 as required.

Similar almost complex structures give the following corollary.

Corollary 3.9 *There exist almost complex structures on* \mathbb{C}^2 *which agree with i outside of a compact set and have* $h_J^- = 0$ *.*

Proof The proof of Theorem [3.8](#page-11-0) implies that if $J = J_f$ on some region, say $\{|z_1 - 3| <$ 1} and *f* is not identically 0 on the planes $\{z_1 = c\}$ when $|c - 3| < 1$ then any closed anti-invariant form on $\{|z_1 - 3| < 1\}$ is a multiple of γ . We fix such an f with support in a ball $B_2(3, 0)$ about $(3, 0)$ of radius 2.

Consider the mapping $T : \mathbb{C}^2 \to \mathbb{C}^2$, $(z_1, z_2) \mapsto (z_2, -iz_1)$, which takes $\{ |z_2 - z_1| \}$ $3| < 1$ to $\{|z_1 - 3| < 1\}$. Then $\rho = T^* \gamma = dx_1 \wedge dx_2 - dy_1 \wedge dy_2$ and $J' = T^* J_f$ coincides with *i* outside of a ball about $B_2(0, 3)$. Also, any closed *J*'-anti-invariant form on $\{|z_2 - 3| < 1\}$ is a multiple of ρ on $\{|z_2 - 3| < 1\}$.

Now, both *J* and *J'* agree with *i* outside of the two balls, and so we can find an almost complex structure J'' agreeing with *J* on $B_2(3, 0)$ and J' on $B_2(0, 3)$ and *i* away from the two balls. Any corresponding J'' anti-invariant form is a multiple of both γ and ρ on $\{|z_1 - 3| < 1, |z_2 - 3| < 1\}$ and so is equal to 0 on this region. Hence by unique continuation, see Sect. 2, the form must be identically 0 everywhere by unique continuation, see Sect. [2,](#page-3-0) the form must be identically 0 everywhere.

We conclude this section with a remark about the compatibility of our almost complex structures with symplectic forms.

Remark 3.10 The almost complex structures referred to in Theorems [3.7](#page-10-0) and [3.8](#page-11-0) are almost Kähler, that is, they are compatible with symplectic forms on \mathbb{C}^2 . In the case when $f = f(x_1, x_2)$ we can check directly that J_f is compatible with the symplectic form

$$
\omega_f = dx_1 \wedge dy_1 + dx_2 \wedge dy_2 + f dx_1 \wedge dx_2.
$$

In the case when f has compact support the almost complex structure J_f is tamed by

$$
\omega_K = K \mathrm{d} x_1 \wedge \mathrm{d} y_1 + \mathrm{d} x_2 \wedge \mathrm{d} y_2,
$$

for a sufficiently large constant *K*. This means that $\omega_K(v, J_f v) \geq 0$ with equality only if $v = 0$. It then follows from Gromov's theory of pseudoholomorphic curves, [\[8](#page-16-12)], see also [\[16\]](#page-16-13) for this application, that J_f is in fact compatible with a symplectic form ω_c .

Standard methods in symplectic geometry, see [\[14](#page-16-14)], can be used to show that ω_f and ω_c are diffeomorphic to the standard symplectic form $\omega_0 = dx_1 \wedge dy_1 + dx_2 \wedge dy_2$, and in fact the diffeomorphisms can be chosen smoothly with *f* . Hence in both theorems we may assume without loss of generality that our almost complex structures are all compatible with ω_0 .

4 Families of Non-integrable Almost Complex Structures with h^{-} **= 2 on the Kodaira–Thurston Manifold**

We recall the construction of the Kodaira–Thurston Manifold.

Let \mathbb{R}^4 be the Euclidean space with coordinate (x_1, \ldots, x_4) endowed with the following product $*$: given any $a = (x_1, \ldots, x_4), y = (y_1, \ldots, y_4) \in \mathbb{R}^4$, define

$$
x * y = (x_1 + y_1, x_2 + y_2, x_3 + x_1y_2 + y_3, x_4 + y_4).
$$

Then $(\mathbb{R}^4, *)$ is a nilpotent Lie group and

$$
\Gamma = \{(\gamma_1,\ldots,\gamma_4) \in \mathbb{R}^4 \mid \gamma_j \in \mathbb{Z}, j = 1,\ldots,4\},\
$$

is a uniform discrete subgroup of $(\mathbb{R}^4, *)$, so that $M = \Gamma \backslash \mathbb{R}^4$ is a 4-dimensional compact manifold. Setting,

$$
E^1 = dx_1
$$
, $E^2 = dx_2$, $E^3 = dx_3 - x_1 dx_2$, $E^4 = dx_4$,

then it is immediate to check that E^1 , E^2 , E^3 , E^4 are Γ -invariant 1-forms on \mathbb{R}^4 , and, consequently, they give rise to a global coframe on *M*. Then the following structure equations hold

$$
dE^1 = 0
$$
, $dE^2 = 0$, $dE^3 = -E^1 \wedge E^2$, $dE^4 = 0$.

Denoting by ${E_1, \ldots, E_4}$ the dual global frame on *M*, then

$$
[E_1, E_2] = E_3,
$$

the other brackets vanishing. Let $\lambda = \lambda(x_4)$, $\mu = \mu(x_4)$ be non-constant R-valued smooth Z-periodic functions. Define an almost complex structure $J = J_{\lambda,\mu}$ on M by setting

$$
JE_1 = e^{\lambda(x_4)} E_2, \ JE_2 = -e^{-\lambda(x_4)} E_1, JE_3 = e^{\mu(x_4)} E_4, JE_4 = -e^{-\mu(x_4)} E_3.
$$
 (9)

Lemma 4.1 *The almost complex structure J is non-integrable.*

 \Box

Proof We compute

$$
N_J(E_1, E_3) = [J E_1, J E_3] - [E_1, E_3] - J[J E_1, E_3] - J[E_1, J E_3]
$$

= $[e^{\lambda(x_4)} E_2, e^{\mu(x_4)} E_4] - J[e^{\lambda(x_4)} E_2, E_3] - J[E_1, e^{\mu(x_4)} E_4]$
= $-E_4(e^{\lambda(x_4)}) E_2 = -e^{\lambda(x_4)} \lambda'(x_4) E_2 \neq 0.$

Proposition 4.2 *Let* $J = J_{\lambda,\mu}$ *be the family of the (non-invariant) almost complex structures on the Kodaira–Thurston manifold defined as in* [\(9\)](#page-13-0). Then $h_J^-(M) = 2$.

Proof By the definition of *J* , the following

$$
\psi^1 = E^1 + ie^{-\lambda(x_4)}E^2
$$
, $\psi^2 = E^3 + ie^{-\mu(x_4)}E^4$,

is a global $(1, 0)$ -coframe on (M, J) . Then

$$
\theta^1 = E^1 \wedge E^3 - e^{-(\lambda(x_4) + \mu(x_4))} E^2 \wedge E^4, \quad \theta^2 = e^{-\mu(x_4)} E^1 \wedge E^4 + e^{-\lambda(x_4)} E^2 \wedge E^3,
$$

globally span $\Lambda_J^-(M)$. We immediately obtain

$$
d\theta^1 = 0, \quad d(e^{\lambda(x_4)}\theta^2) = 0,
$$

that is θ^1 , $e^{\lambda(x_4)}\theta^2$ are closed *J*-anti-invariant forms, hence harmonic, which span $\Lambda_J^-(M)$. Since $b^+(M) = 2$ and $h_J^-(M) \leq b^+(M)$ for every compact almost complex manifold, we conclude that $h_J^-(M) = 2$ and

$$
H_J^-(M) \simeq \text{Span}_{\mathbb{R}} \langle \theta^1, e^{\lambda(x_4)} \theta^2 \rangle.
$$

 \Box

Remark 4.3 It should be noted that the two-parameter family of almost complex structures on the Kodaira surface as in Proposition [4.2](#page-14-0) cannot be metric related to an integrable almost complex structure, as, on the contrary, in view of [\[6](#page-16-2), Proposition 3.20], such almost complex structures have $h_{J_{\lambda,\mu}}^- \leq 1$.

5 6-Dimensional Compact Almost Complex Manifolds with Arbitrarily Large Anti-invariant Cohomology

In this Section we provide simple examples of compact 6-dimensional manifolds endowed with a non-integrable almost complex structure with arbitrary large antiinvariant cohomology.

Let Σ_g be a compact Riemann surface of genus $g \geq 2$. On the differentiable product $X = \Sigma_g \times \Sigma_g$, denote by *J* the complex product structure. Let $\mathbb{T}^2 = \mathbb{R}^2 / \mathbb{Z}^2$ be the real 2-torus, where we indicate with (t_1, t_2) global coordinates on \mathbb{R}^2 and let $f : X \to \mathbb{R}$

be a smooth positive non-constant function. Let $M = X \times \mathbb{T}^2$. Define $\mathcal{J} \in \text{End}(TM)$ by setting

$$
\mathcal{J}(V, a\frac{\partial}{\partial t_1} + b\frac{\partial}{\partial t_2}) = (JV, -\frac{b}{f}\frac{\partial}{\partial t_1} + fa\frac{\partial}{\partial t_2}).
$$

Then, we have the following

Proposition 5.1 *J is a non-integrable almost complex structure on* $M = X \times T^2$ *such that*

$$
h_{\mathcal{J}}^{-}(M) \geq 2g^{2}.
$$

Proof It is immediate to check that $\mathcal{J}^2 = -id$. Let $p \in X$ such that $df(p) \neq 0$ and let $(z_1 = x_1 + iy_1, z_2 = x_2 + iy_2)$ be local holomorphic coordinates on *X* around *p*. We may assume that $\frac{\partial}{\partial z_1} f(p) \neq 0$. We have:

$$
N_{\mathcal{J}}(\frac{\partial}{\partial x_1}, \frac{\partial}{\partial t_1}) = [\mathcal{J}_{\frac{\partial}{\partial x_1}}, \mathcal{J}_{\frac{\partial}{\partial t_1}}] - [\frac{\partial}{\partial x_1}, \frac{\partial}{\partial t_1}] - \mathcal{J}[\mathcal{J}_{\frac{\partial}{\partial x_1}}, \frac{\partial}{\partial t_1}] - \mathcal{J}[\frac{\partial}{\partial x_1}, \mathcal{J}_{\frac{\partial}{\partial t_1}}]
$$

\n
$$
= [\frac{\partial}{\partial x_1}, \mathcal{J}_{\frac{\partial}{\partial t_1}}] - \mathcal{J}[\frac{\partial}{\partial x_1}, \mathcal{J}_{\frac{\partial}{\partial t_2}}] = \mathcal{J}_{x_1}(p) \frac{\partial}{\partial t_1} + \mathcal{J}_{y_1}(p) \frac{\partial}{\partial t_2} \neq 0.
$$

Denote by $\{\gamma_1, \ldots, \gamma_g\}, \{\gamma'_1, \ldots, \gamma'_g\}$, respectively, be a basis of $H_{\overline{\partial}}^{1,0}$ on the first and on the second copy of Σ_g , respectively. Then

$$
H_{\overline{\partial}}^{(2,0)}(X) \simeq \text{Span}_{\mathbb{C}}\langle \gamma_r \wedge \gamma_s', \quad 1 \le r, s \le g \rangle,
$$

and clearly $d(\gamma_r \wedge \gamma_s') = 0$, for every $1 \le r, s \le g$. Then $h_J^-(X) = 2g^2$. Therefore,

$$
h_{\mathcal{J}}^{-}(M) \geq 2g^{2}.
$$

 \Box

Remark 5.2 The previous Proposition gives a positive answer to the question raised in [\[3](#page-16-15), Question 5.2] where it was asked for examples of non-integrable almost complex structures *J* on a compact 2*n*-dimensional manifold with $h_J^-(M) > n(n-1)$.

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