

Extremal Kähler Poincaré Type Metrics on Toric Varieties

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Abstract

We develop a general theory for the existence of extremal Kähler metrics of Poincaré type in the sense of Auvray (J Reine Angew Math 722:1–64, 2017), defined on the complement of a torus invariant divisor of a smooth compact toric variety. In the case when the divisor is smooth, we obtain a list of necessary conditions which must be satisfied for such a metric to exist. Using the explicit methods of Apostolov et al. (Ann Sci Ecole Norm Supp (4) 48:1075–1112, 2015; J Reine Angew Math 721:109–147, 2016, https://doi.org/10.1515/crelle-2014-0060) together with the computational approach of Sektnan (N Y J Math 24:317–354, 2018), we show that on a Hirzebruch complex surface the necessary conditions are also sufficient. In particular, on such a complex surface the complement of the infinity section admits an extremal Kähler metric of Poincaré type, whereas the complement of a fibre fixed by the torus action admits a complete ambitoric extremal Kähler metric which is not of Poincaré type.

Keywords Extremal Kähler metrics · Toric geometry · Poincaré type Kähler metrics

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1 Introduction

In this article, we are interested in the study of (non-compact) complete extremal Kähler metrics, defined on the complement of a simple normal crossing divisor Z in an *n*-dimensional Kähler manifold X. Such metrics naturally appear (see e.g. [22]) in attempts to apply continuity methods, or to study global properties of geometric flows, aiming at producing extremal Kähler metrics on X in the framework of the general problem of finding canonical Kähler metrics formulated by Calabi [12].

The main conjecture regarding the Calabi problem is the Yau–Tian–Donaldson conjecture which relates the existence of an extremal Kähler metric in the first Chern class $c_1(L)$ of an ample line bundle L on X to an algebro-geometric notion of stability of the polarized projective variety (X, L). In this context, a key point is to understand what happens when an extremal Kähler metric does not exist in $c_1(L)$. For toric varieties, Donaldson conjectured [22, Conj. 7.2.3.] that there should be a splitting of the corresponding Delzant polytope into sub-polytopes which are semistable when attaching a 0 measure to the facets that are not from the original polytope; furthermore, in the case when a semistable polytope in the splitting is stable, it is conjectured to admit a symplectic potential inducing a (unique) complete extremal Kähler metric on the complement of the divisors corresponding to the facets with 0 measure. Such extremal toric Kähler metrics have a finite volume, and we shall refer to them as *Donaldson metrics*.

The main motivation for this paper is to study, in the toric case, the precise link between the extremal Donaldson metrics and the class of complete Kähler metrics of finite volume on $X \setminus Z$, called of *Poincaré type*, early used for instance in [18,47], and studied by the second named author in [8].

Definition 1.1 Let $Z \subset X$ be a simple normal crossing divisor in a compact complex *n*-dimensional Kähler manifold (X, ω_0) . A Kähler metric ω on $X \setminus Z$ is said to be of *Poincaré type of class* $[\omega_0]$ if

• On any open subset $U \subset X$ with holomorphic coordinates (z_1, \ldots, z_n) such that $Z \cap U$ is given by $z_1 \cdots z_k = 0$, ω is quasi-isometric to the (1, 1)-form

$$\omega_{\text{mod}} = \sqrt{-1} \left(\sum_{j=1}^{k} \frac{1}{|z_j|^2 (\log |z_j|)^2} dz_j \wedge d\bar{z}_j + \sum_{j=k+1}^{n} dz_j \wedge d\bar{z}_j \right)$$

near Z, and

• $\omega = \omega_0 + dd^c \varphi$ where φ is a smooth function on $X \setminus Z$ and we have that $\varphi = O\left(\sum_{j=1}^k \log(-\log |z_j|)\right)$ in the coordinates (z_1, \ldots, z_n) as above, with $d\varphi$ having bounded derivatives of any order with respect to the model metric ω_{mod} above.

General theory for *extremal* Poincaré type metrics on $(X \setminus Z, [\omega_0])$ has been developed in [7–10]. In particular, a differential-geometric obstruction for the existence of a *constant scalar curvature Kähler* (CSCK) metric of Poincaré type on $X \subset Z$, reminiscent to the usual Futaki invariant, is introduced in [10]. Furthermore, in the special case when the Kähler class $[\omega_0] = c_1(L)$ is associated to a polarization L on X, an algebro-geometric notion of (relative) *K-stability* of (X, Z, L) is formulated by Székelyhidi [45], who introduced a suitable version of the Donaldson–Futaki invariant of a test configuration associated to the triple (X, Z, L). Székelyhidi also defined a numerical constraint, which we shall refer to in this paper as *Székelyhidi's numerical constraint* (see Definition 2.6), which is related to the deformation to the normal cone of $Z \subset X$, and is designed to guarantee the existence of a Poincaré type metric (and not a complete extremal Kähler metric with different asymptotics near Z). It was later shown in [7] that Székelyhidi's numerical constraint is a necessary condition for the existence of a CSCK Poincaré type metric on $X \setminus Z$ in the class $c_1(L)$. The case when Z is smooth and admits a Kähler metric of *non-positive* constant scalar curvature has been also studied in [41–43], where it is conjectured that (X, Z, L) is then K-semistable and admits a complete Kähler metric of *negative* constant scalar curvature.

Thus motivated, in Sect. 3 we turn to the case when (X, L) is a smooth toric variety, and Z a divisor invariant under the torus action. Compared to the theory in [43], we are dealing with the case when each component of Z is a toric variety, and therefore can only admit a Kähler metric of *positive* constant scalar curvature. In terms of the corresponding momentum polytope Δ , Z is the pre-image by the moment map of the union $F = \bigcup_i F_i$ of facets F_i of Δ . In this setting (and taking F to be a single facet), we show that Székelyhidi's numerical constraint takes a particularly simple form (Lemma 3.2), and matches the necessary numerical condition for the existence of an extremal Kähler metric of Poincaré type on $X \setminus Z$ found in [10].

In Sect. 4, we develop the Abreu–Guillemin formalism of toric Kähler metrics of Poincaré type, thus leading to a natural class of symplectic potentials (see Definition 4.16 and Theorem 4.18) which give rise to Poincaré type metrics in the sense of Definition 1.1. While these conditions are sufficient, they are not necessary in general (but are conjecturally sharp *when adding the extremality condition*). We show that within this class of Poincaré type metrics on $X \setminus Z$, the extremal ones are unique.

Building on the recent results in [9] and a conjecture from [22] (see Conjecture 4.11), we state a precise conjectural picture concerning the existence of an extremal Poincaré type toric Kähler metric on $X \setminus Z$. When Z is smooth, the conjecture says the following:

Conjecture 1.2 A smooth compact toric Kähler manifold (X, ω_0) with momentum polytope Δ and a divisor $Z \subset X$ corresponding to the pre-image of the union of disjoint facets $F = F_1 \cup \cdots \cup F_k$ of Δ admits an extremal toric Kähler metric of Poincaré type in $[\omega_0]$ if and only if the following three conditions are satisfied:

- (i) (Δ, F) is stable, and
- (ii) each facet $F_i \subset F$ is stable, and
- (iii) if $s_{(\Delta,F)}$ denotes the extremal affine function corresponding to (Δ, F) and, for each facet $F_i \subset F$, s_{F_i} is the extremal affine function corresponding to the Delzant polytope F_i , then

$$s_{F_i} - \left(s_{(\Delta,F)}\right)_{|_{F_i}} = c_i > 0,$$

for a constant c_i .

See Conjecture 4.14 in the body of the paper for the full statement when F is allowed to have intersecting facets. This is much stronger than the original conjecture made in [45], but we show that it is sharper too.

Theorem 1.3 (cf. Theorem 4.13) *The conditions* (i), (ii), (iii) *of Conjecture* 1.2 *are necessary for* $X \setminus Z$ *to admit an extremal toric Kähler metric of Poincaré type in* $[\omega_0]$.

The precise notions of stability for the pair (Δ, F) and F in the above statements are the ones corresponding to *relative K-stability* with respect to toric test configuration, introduced by Donaldson [22] (see Definitions 3.1, 4.10 and 4.4), but in the light of recent progress on the Yau–Tian–Donaldson conjecture in the compact toric case, we expect that a yet stronger notion of *uniform stability* with respect to the L^1 -norm should be considered. Section 4.4 has a detailed discussion on these issues, as well as on some of the technical obstacles one would need to overcome in order to adapt the arguments in the compact case for proving the necessity of uniform stability to the Poincaré type setting.

In the last Sect. 5, we turn to explicit examples by using the methods of [5,6]. These results together with [21] confirm a conjecture by Donaldson [22] (see Conjecture 4.11) concerning the existence of a complete extremal Kähler metric, in the special case when (Δ, F) is a stable quadrilateral with some of its facets with measure 0, also allowing us to find the metric explicitly. Investigating the stability of such pairs is, on its own, a problem of formidable complexity but using the method from [40], we obtain a complete picture on the Hirzebruch complex surfaces.

Theorem 1.4 Let $X = \mathbb{P}(\mathcal{O} \oplus \mathcal{O}(m)) \to \mathbb{C}P^1$, $m \ge 1$, be the mth Hirzebruch surface, considered as a toric complex surface under the action of a 2-dimensional torus \mathbb{T} , and $[\omega_0]$ be a Kähler class on X. Then,

- (a) If Z ⊂ X is the divisor consisting of either the zero section or the infinity section, or the union of both, then the conditions (i)–(iii) of Conjecture 1.2 hold and X \ Z admits a T-invariant extremal Poincaré type Kähler metric in [ω₀], which is a Donaldson metric of (X, Z, [ω₀]).
- (b) If Z ⊂ X is the divisor consisting of a single fibre of X fixed by the T-action, (or is the union of such a fibre with the zero or infinity section), then the conditions (i) and (ii) of Conjecture 1.2 (resp. Conjecture 4.14) hold but the condition (iii) fails, and X \ Z admits a complete T-invariant Donaldson extremal Kähler metric in [ω₀], which is not (and cannot be) of Poincaré type.
- (c) If Z consists of the union of the two fibres fixed by the torus action (or contains three curves fixed by the action), then the condition (i) of Conjecture 1.2 (resp. Conjecture 4.14) fails, and there are no Donaldson complete metrics on $X \setminus Z$.

In particular, Conjectures 1.2 and 4.14 hold true when X is a Hirzebruch surface.

Similar results are obtained for the toric surfaces $X = \mathbb{C}P^2$ and $\mathbb{C}P^1 \times \mathbb{C}P^1$, see Corollary 5.3 and Theorem 5.13.

We end the introduction by noticing that part (b) of Theorem 1.4 implies that while for the *X*, *Z* and $[\omega_0]$ considered here, the relative stability of $(X, Z, [\omega_0])$ does imply the existence of a complete extremal Kähler metric on $X \setminus Z$, this metric is not in



Fig. 1 The rows illustrate cases (a), (b) and (c) of Theorem 1.4, where S_0 , S_∞ stand for the zero and infinity sections and F_1 , F_2 for the torus invariant fibres

general of Poincaré type, even though the Székelyhidi numerical constraint is satisfied. Thus, in general, one will need more conditions to guarantee that the extremal metric obtained for a relatively stable triple $(X, Z, [\omega_0])$ is of Poincaré type. Conjecture 1.2 is designed to incorporate this extra requirement in the toric setting.

2 The Relative K-Stability of a Pair

2.1 Donaldson-Futaki Invariant of a Pair

We follow [45, §3.1.2].

Let (X, L) be a smooth polarized variety of complex dimension *n* and $Z \subset X$ a smooth divisor. We consider the embedding

$$H^0(X, L^k \otimes \mathcal{O}(-Z)) \subset H^0(X, L^k)$$

via a section of $\mathcal{O}(Z)$ which vanishes along Z. Since L is ample,

$$H^1(X, L^k \otimes \mathcal{O}(-Z)) = 0 \text{ for } k \gg 0,$$

and we have an exact sequence

$$0 \longrightarrow H^0(X, L^k \otimes \mathcal{O}(-Z)) \longrightarrow H^0(X, L^k) \longrightarrow H^0(Z, L^k_{|Z}) \longrightarrow 0.$$
(1)

Let d_k, d'_k, d^Z_k be the dimensions of $H^0(X, L^k), H^0(X, L^k \otimes \mathcal{O}(-Z)), H^0(Z, L^k_{|Z}))$, respectively, and let \tilde{d}_k be the average of d_k and d'_k . By Riemann–Roch and (1),

$$d_{k} = c_{0}k^{n} + c_{1}k^{n-1} + O(k^{n-2}); \quad d_{k}^{Z} = \alpha_{0}k^{n-1} + \alpha_{1}k^{n-2} + O(k^{n-3});$$

$$\tilde{d}_{k} = \frac{d_{k} + d'_{k}}{2} = d_{k} - \frac{d_{k}^{Z}}{2} = c_{0}k^{n} + \left(c_{1} - \frac{\alpha_{0}}{2}\right)k^{n-1} + O(k^{n-2}).$$
(2)

Suppose α is a \mathbb{C}^{\times} -action on (X, L) which preserves Z. We denote also by α the induced \mathbb{C}^{\times} action on X and in what follows, we use the following convention for the *infinitesimal generator* A^{α} for the action of α on the space $\Gamma(L)$ of smooth sections of L:

$$(A^{\alpha}(s))(x) := \sqrt{-1} \frac{\mathrm{d}}{\mathrm{d}t}_{|_{t=0}} \Big(\alpha(e^{\sqrt{-1}t}) \big(s(\alpha(e^{-\sqrt{-1}t})(x)) \big) \Big), \ s \in \Gamma(L), x \in M.$$

Letting w_k, w'_k, w^Z_k be the respective weights of the induced actions of α on $H^0(X, L^k), H^0(X, L^k \otimes \mathcal{O}(-Z)), H^0(Z, L^k_{|Z}))$, respectively, and \tilde{w}_k be the average of w_k and w'_k , by the equivariant Riemann–Roch and (1) we have

$$w_{k}(\alpha) = a_{0}k^{n+1} + a_{1}k^{n} + O(k^{n-1}); \quad w_{k}^{Z}(\alpha) = \beta_{0}k^{n} + \beta_{1}k^{n-1} + O(k^{n-2});$$
$$\tilde{w}_{k}(\alpha) = \frac{w_{k}(\alpha) + w_{k}'(\alpha)}{2} = w_{k}(\alpha) - \frac{w_{k}^{Z}(\alpha)}{2} = a_{0}k^{n+1} + \left(a_{1} - \frac{\beta_{0}}{2}\right)k^{n} + O(k^{n-1}).$$
(3)

Definition 2.1 The *Donaldson–Futaki invariant* $\widetilde{\mathcal{F}}_{X,Z,L}(\alpha)$ of α with respect to (X, Z, L) is defined up to a sign as 4 times the residue at k = 0 of the Laurent series of $\widetilde{w}_k/(k\widetilde{d}_k)$ with respect to k, i.e.

$$\frac{1}{4}\widetilde{\mathcal{F}}_{X,Z,L}(\alpha) = \frac{c_0\left(a_1 - \frac{\beta_0}{2}\right) - a_0\left(c_1 - \frac{\alpha_0}{2}\right)}{c_0^2} \\
= \frac{1}{4}\mathcal{F}_{X,L}(\alpha) + \frac{1}{2}\left(\frac{a_0\alpha_0 - c_0\beta_0}{c_0^2}\right),$$
(4)

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where $\mathcal{F}_{X,L}(\alpha) = 4(\frac{c_0a_1-a_0c_1}{c_0^2})$ is the convention for the Donaldson–Futaki invariant in [22], so that it coincides, up to a multiplicative factor of $\frac{1}{4(2\pi)^n}$, with the differentialgeometric formula in [10] for the usual normalized Futaki invariant of α , expressed in terms of the L^2 -product of a normalized Killing potential for the \mathbb{C}^{\times} -action and the scalar curvature with respect to an S^1 -invariant Kähler metric on X in $2\pi c_1(L)$, divided by the volume.

Following [45], one can also define a relative version of $\widetilde{\mathcal{F}}_{X,Z,L}(\alpha)$ with respect to another \mathbb{C}^{\times} -action β in the group Aut(X, L, Z) of automorphisms of (X, L), preserving Z. Recall that the inner product $\langle \alpha, \beta \rangle$ is defined to be the coefficient of k^{n+2} of the expansion of $\operatorname{Tr}(A_k B_k) - w_k(\alpha)w_k(\beta)/d_k$, where A_k and B_k are generators of the actions of α and β on $H^0(X, L^k)$. This definition is consistent with the L^2 -norm of normalized Killing potentials (the so-called Futaki–Mabuchi bilinear form [26]).

Definition 2.2 The β -relative Donaldson–Futaki invariant (of α , with respect to (X, Z, L)) is

$$\widetilde{\mathcal{F}}^{\beta}_{X,Z,L}(\alpha) = \widetilde{\mathcal{F}}_{X,Z,L}(\alpha) - \frac{\langle \alpha, \beta \rangle}{\langle \beta, \beta \rangle} \widetilde{\mathcal{F}}_{X,Z,L}(\beta).$$
(5)

The above definitions make sense for any rational multiples of α and β (by linearity). We then consider a maximal complex torus $\mathbb{T}^c = (\mathbb{C}^{\times})^{\ell}$ in Aut(X, L, Z) and define the *extremal* \mathbb{C}^{\times} -action χ of (X, L, Z) as the unique \mathbb{C}^{\times} subgroup of \mathbb{T}^c such that $\widetilde{\mathcal{F}}_{X,Z,L}^{\chi}(\alpha) = 0$.

2.2 Test Configurations and K-Stability of a Pair

The ingredients of the previous section yield Székelyhidi's extension [45] of K-stability to pairs.

Definition 2.3 The triple (X, Z, L) is called *K*-stable if for any test configuration $(\mathcal{X}, \mathcal{L})$ of (X, L) with a flat \mathbb{C}^{\times} -invariant Cartier divisor $\mathcal{Z} \subset \mathcal{X}$ which restricts to *Z* on the non-zero fibres, the modified Donaldson–Futaki invariant of the central fibre satisfies

$$\widetilde{\mathcal{F}}_{X_0, Z_0, L_0}(\alpha) \ge 0 \tag{6}$$

with equality if and only if the test configuration is *trivial in codimension 2* (see [44] for a precise definition of triviality). Similarly, one can define *relative K-stability* of (X, L, Z) by requiring

$$\mathcal{F}_{X_0,Z_0,L_0}^{\chi}(\alpha) \ge 0,\tag{7}$$

with equality if and only if the test configuration is trivial in codimension 2. (Recall χ is the extremal \mathbb{C}^{\times} -action defined algebraically in the previous section.)

Investigating a ruled complex surface $X = \mathbb{P}(\mathcal{O} \oplus \mathcal{L}) \rightarrow \Sigma$ with Z being the infinity section, Székelyhidi [45] noticed that for some polarizations L, there are

complete finite volume extremal Kähler metrics on $X \setminus Z$ in $c_1(L)$, which are not of Poincaré type, but have instead the asymptotics of

$$\frac{|dz|^2}{|z|^2 (-\log(|z|))^{\frac{3}{2}}} + \text{ smooth,}$$

where z is a (local) defining holomorphic function of Z. In order to exclude this behaviour, Székelyhidi furthermore proposes to use the notion of *slope stability* introduced by Ross–Thomas [39] for the triple (X, L, Z) as follows. Recall that for any (X, L, Z) as above, and any rational number $c \in (0, \epsilon(Z))$ (where $\epsilon(Z)$ is the Seshadri constant of Z with respect to (X, L)), one can associate a test configuration $(\mathcal{X}, \mathcal{L}_c, \mathcal{Z})$, called the *degeneration to the normal cone of* Z: \mathcal{X} is the blow-up of $X \times \mathbb{C}$ along $Z \times \{0\}, \mathcal{L}_c = \pi^*(L) \otimes \mathcal{O}(-cP)$ where P is the exceptional divisor (naturally identified with the projective bundle $P = \mathbb{P}(\mathcal{O} \oplus v_Z) \to Z$ where v_Z is the normal bundle of $Z \subset X$), and $\pi : \mathcal{X} \to \mathbb{C}$ is the projection. Note that the central fibre X_0 of π is isomorphic to X glued to P along the infinity section $\mathbb{P}(v_Z) \cong Z$. However, considering the zero section $Z_0 \subset P$, one gets the proper transform \mathcal{Z} of $Z \times \mathbb{C} \subset X \times \mathbb{C}$ on the blow-up \mathcal{X} , so that $\pi : \mathcal{Z} \to \mathbb{C}$ is a trivial family. It follows from [39] that $(\mathcal{X}, \mathcal{L}_c, \mathcal{Z})$ defines a test configuration for the triple (X, L, Z). This motivates:

Definition 2.4 In the notation above, we let

$$F(c) := \widetilde{\mathcal{F}}_{X_0, \mathcal{L}_c|_{\mathcal{X}_0}, \mathbb{Z}_0}(\alpha_c), \quad F_{\chi}(c) := \widetilde{\mathcal{F}}_{X_0, \mathbb{Z}_0, \mathcal{L}_c|_{\mathcal{X}_0}}^{\chi}(\alpha_c)$$

be the corresponding modified Donaldson–Futaki invariant and relative modified Donaldson–Futaki invariant associated to the degeneration of the normal cone to $Z \subset (X, L)$.

Then, Székelyhidi conjectures:

Conjecture 2.5 (Székelyhidi [45]) *The triple* (X, Z, L) *admits a constant scalar curvature (resp. an extremal) Kähler metric of Poincaré type if and only if* (X, Z, L) *is K-stable (resp. relative K-stable) and, additionally,* F''(0) > 0 (*resp.* $F''_{\chi}(0) > 0$).

Definition 2.6 We shall refer to the conditions F''(0) > 0 (resp. $F''_{\chi}(0) > 0$) as the *Székelyhidi numerical constraint* (resp. *relative Székelyhidi numerical constraint*).

The following observation is made in [45]:

Lemma 2.7 $F(c) := \widetilde{\mathcal{F}}_{X_0, \mathcal{L}_c|_{X_0}, Z_0}(\alpha_c)$ is a polynomial of degree $\leq (n + 1)$ in c satisfying F(0) = F'(0) = 0. It is positive for $c \in (0, \epsilon(Z))$ if (X, L, Z) is K-stable. Furthermore, the Székelyhidi numerical constraint F''(0) > 0 is equivalent to

$$\alpha_1 c_0 > \alpha_0 \left(c_1 - \frac{\alpha_0}{2} \right), \tag{8}$$

where α_i , c_i are defined by (2).

Proof The (usual) Donaldson–Futaki invariant of $(\mathcal{X}, \mathcal{L}_c)$ is computed in [39]:

$$\frac{1}{4}\mathcal{F}(\alpha_c) = \frac{1}{c_0^2} \left[c_0 \int_0^c \alpha_1(x)(x-c) \mathrm{d}x - c \frac{c_0 \alpha_0}{2} - c_1 \int_0^c \alpha_0(x)(x-c) \mathrm{d}x \right], \quad (9)$$

where c_0 , c_1 are the coefficients of k^n and k^{n-1} of d_k as defined in the previous section (with respect to (X, L, Z)), see (2), and

$$\alpha_0(x) = \frac{1}{(n-1)!} \int_Z (c_1(L) + xc_1(\mathcal{O}(Z))^{n-1};$$

$$\alpha_1(x) = \frac{1}{2(n-2)!} \int_Z c_1(TX) \wedge (c_1(L) + xc_1(\mathcal{O}(Z))^{n-2}.$$
(10)

By Riemann–Roch, $\alpha_i(0)$ is the constant α_i appearing in the previous section (first line of (2)).

The main ingredient in order to carry out the above calculation in the modified case is the weight space decomposition for the induced \mathbb{C}^{\times} -action α_c on the space $H^0(X_0, \mathcal{L}_{c|_{X_0}})$ (see [39, §4.2]):

$$H^{0}(X_{0}, \mathcal{L}_{c|_{\mathcal{X}_{0}}}) = H^{0}(X, L^{k} \otimes \mathcal{O}(kcZ)) \oplus \bigoplus_{i=0}^{ck-1} t^{ck-i} H^{0}\left(Z, L^{k}_{|_{Z}} \otimes (v_{Z}^{*})^{i}\right), \quad (11)$$

where the weight of α_c on the first factor is 0 and -(ck - i) on the components of the second direct sum. Note that the factor $t^{ck}H^0(Z, L_{|_Z}^k)$ in the above decomposition corresponds to $H^0(Z_0, \mathcal{L}_{|_{Z_0}})$ in (1). It follows that

$$d_k^{Z_0} = d_k^Z = \alpha_0 k^{n-1} + O(k^{n-2}); \quad w_k^{Z_0} = ckd_k^Z = c\alpha_0 k^n + O(k^{n-1})$$
(12)

while the coefficients a_0 and a_1 of the weights induced on $H^0(X_0, \mathcal{L}_{c|\chi_0})$ are given by (see [39, Eqn. (4.6)]):

$$a_0 = \int_0^c (x - c)\alpha_0(x) \mathrm{d}x; \quad a_1 = -c\frac{\alpha_0}{2c_0} + \int_0^c (x - c)\alpha_1(x) \mathrm{d}x.$$
(13)

We therefore compute the modified Donaldson–Futaki invariant given by (4)

$$\frac{1}{4}\widetilde{\mathcal{F}}_{X_0,Z_0,\mathcal{L}_c|_{\mathcal{X}_0}}(\alpha_c) = \frac{1}{c_0^2} \left[c_0 \int_0^c (x-c)\alpha_1(x) \mathrm{d}x - \left(c_1 - \frac{\alpha_0}{2}\right) \int_0^c (x-c)\alpha_0(x) \mathrm{d}x \right].$$
(14)

Form the above formula, the proof of Lemma 2.7 then follows easily.

Using Lemma 2.7, it is shown in [7]:

Theorem 2.8 [7] If there exists a CSCK metric of Poincaré type on $X \setminus Z$ in the class $c_1(L)$, then the Székelyhidi numerical constraint holds, i.e. (8) is satisfied.

Remark 2.9 It is plausible to expect a similar numerical expression for the relative Székelyhidi numerical constraint $F''_{\chi}(0) > 0$ but we failed to see a neat way to compute $F_{\chi}(c)$ in a sufficient generality, especially if the extremal \mathbb{C}^{\times} -action is not trivial on Z.

We shall next turn to the toric case as a model example for the above theory, and where specific computations are manageable. We shall show (see in particular Corollary 5.9) that there are examples of relatively *K*-stable triples (X, Z, L) satisfying $F''_{\chi}(0) > 0$, which cannot be of Poincaré type. We note, however, that these examples do admit a complete extremal metric on $X \setminus Z$ – it just cannot satisfy the Poincaré type condition. We shall thus propose a strengthened version of Conjecture 2.5 for when a relatively K-stable triple (X, Z, L) should admit an extremal Kähler metric of Poincaré type in $c_1(L)$ in the toric setting (see Conjecture 4.14).

3 Extremal Poincaré Type Kähler Metrics on Toric Varieties

In this section we consider the case when (X, L) is a (smooth) polarized toric variety. We denote by \mathbb{T} the real *n*-dimensional torus and by $\mathbb{T}^c \cong (\mathbb{C}^{\times})^n$ its complexification. The material follows [22].

3.1 Stability of Pairs and Toric Test Configurations

Switching from complex to symplectic point of view, Delzant's theorem [20] describes (X, L) in terms of a compact convex polytope $\Delta \subset \mathfrak{t}^*$ (where $\mathfrak{t} = \text{Lie}(\mathbb{T})$ is the Lie algebra of \mathbb{T}) such that $\Delta = \{\mu : L_j(\mu) = \langle e_j, \mu \rangle + \lambda_j \geq 0, j = 1, ..., d\}$ with e_j belonging to the lattice $\Lambda \subset \mathfrak{t}$ of circle subgroups of \mathbb{T} . The fact that X is smooth corresponds to requiring that at each vertex of $v \in \Delta$ the adjacent normals span the same lattice $\Lambda \subset \mathfrak{t}$ (see [20,38]), while the polarization L forces Δ to have its vertices in the dual lattice $\Lambda^* \subset \mathfrak{t}^*$. Taking any generators of Λ as a basis of \mathfrak{t} , one identifies Λ with \mathbb{Z}^n and we consider the Lebesgue measure $d\mu$ on $\mathfrak{t}^* \cong \mathbb{R}^n$; furthermore, one defines a measure $d\nu$ on $\partial \Delta$, such that on each facet $F_j \subset \Delta$ (i.e. a face of co-dimension one), we let

$$- dL_j \wedge d\nu_{F_j} = -e_j \wedge d\nu_{F_j} = d\mu.$$
⁽¹⁵⁾

A central fact in this theory (see e.g. [14, Sect. 6.6]) is the weight decomposition of $H^0(X, L^k)$ with respect to the (linearized) torus action of \mathbb{T} . It is isomorphic to $\{\mu \in k\Delta \cap \mathbb{Z}^n\}$ with the weights identified with corresponding elements of \mathbb{Z}^n . On the other hand, for any smooth function f on \mathfrak{t}^* , we have [32,48]:

$$\sum_{\mu \in k \Delta \cap \mathbb{Z}^n} f(\mu) = k^n \int_{\Delta} f d\mu + \frac{k^{n-1}}{2} \int_{\partial \Delta} f d\nu + O(k^{n-2}), \text{ as } k \to \infty.$$

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If α is the \mathbb{C}^{\times} -action with Killing potential corresponding to an affine linear function f_{α} on \mathfrak{t}^* normalized by $f_{\alpha}(0) = 0$, the above formula allows us to compute the coefficients c_0, c_1, a_0, a_1 in (2) and (3) as follows:

$$c_0 = \operatorname{Vol}(\Delta); \ c_1 = \frac{\operatorname{Vol}(\partial \Delta)}{2}; \ a_0 = \int_{\Delta} f_{\alpha} d\mu; \ a_1 = \frac{1}{2} \int_{\partial \Delta} f_{\alpha} d\nu,$$
 (16)

so that the Donaldson–Futaki invariant $\mathcal{F}(\alpha)$ of α is

$$\frac{(2\pi)^n \operatorname{Vol}(\Delta)}{2} \mathcal{F}(\alpha) = \int_{\partial \Delta} f_\alpha \mathrm{d}\nu - \frac{\mathbf{s}}{2} \int_{\Delta} f_\alpha \mathrm{d}\mu, \qquad (17)$$

where $\mathbf{s} = 2\text{Vol}(\partial \Delta)/\text{Vol}(\Delta) = 2n \left(\int_X c_1(TX) \wedge c_1(L)^{n-1} / \int_X c_1(L)^n \right)$ is the averaged scalar curvature of any compatible Kähler metric.

Similarly, if $Z \subset X$ is a divisor corresponding to the pre-image of the union $F = F_{i_1} \cup \cdots \cup F_{i_k}$ of some facets Δ by the momentum map, the coefficients $\alpha_0, \alpha_1, \beta_0, \beta_1$ in (2) and (3) are given by

$$\alpha_0 = \operatorname{Vol}(F); \quad \alpha_1 = \frac{1}{2} \operatorname{Vol}(\partial F); \quad \beta_0 = \int_F f_\alpha d\nu; \quad \beta_1 = \int_{\partial F} f_\alpha d\sigma_F, \qquad (18)$$

where $d\sigma_{\partial F}$ is the induced measure on the boundary of each $F_i \in F$ (viewed itself as a Delzant polytope in \mathbb{R}^{n-1}). The modified Futaki invariant $\widetilde{\mathcal{F}}_{X,L,Z}(\alpha)$ is then

$$\frac{(2\pi)^{n} \operatorname{Vol}(\Delta)}{2} \widetilde{\mathcal{F}}_{X,L,Z}(\alpha) = \int_{\partial \Delta \setminus F} f_{\alpha} \mathrm{d}\nu - \frac{\mathbf{s}_{(\Delta,F)}}{2} \int_{\Delta} f_{\alpha} \mathrm{d}\mu, \qquad (19)$$

with

$$\mathbf{s}_{(\Delta,F)} = 2 \frac{\operatorname{Vol}(\partial \Delta \setminus F)}{\operatorname{Vol}(\Delta)}$$

$$= 2n \left(\int_{X} (c_1(TX) + c_1(\mathcal{O}(-Z)) \wedge c_1(L)^{n-1} / \int_{X} c_1(L)^n \right).$$
(20)

The extremal \mathbb{C}^{\times} -action χ has Killing potential which is an affine linear function s_{Δ} determined by requiring

$$\int_{\partial \Delta} f \mathrm{d}\nu - \frac{1}{2} \int_{\Delta} f s_{\Delta} \mathrm{d}\mu = 0$$

for any affine function f (see e.g. [45]). Then, as shown in [22,45], the relative Donaldson–Futaki invariant is given by

$$\frac{(2\pi)^n \operatorname{Vol}(\Delta)}{2} \mathcal{F}^{\chi}(\alpha) = \int_{\partial \Delta} f_{\alpha} \mathrm{d}\nu - \frac{1}{2} \int_{\Delta} f_{\alpha} s_{\Delta} \mathrm{d}\mu$$
(21)

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while its modified version is

$$\frac{(2\pi)^{n} \operatorname{Vol}(\Delta)}{2} \widetilde{\mathcal{F}}_{X,L,Z}^{\chi}(\alpha) = \int_{\partial \Delta \setminus F} f_{\alpha} \mathrm{d}\nu - \frac{1}{2} \int_{\Delta} f_{\alpha} s_{(\Delta,F)} \mathrm{d}\mu$$
(22)

where $s_{(\Delta,F)}$ again is the unique affine function such that

$$\int_{\partial \Delta \setminus F} f d\nu - \frac{1}{2} \int_{\Delta} f s_{(\Delta, F)} d\mu = 0$$

for any affine linear function f.

Donaldson generalizes the above expression for $\mathcal{F}(\alpha)$ by considering convex piecewise affine linear functions f_{α} with integer coefficients. He associates to such an f_{α} a test configuration (\mathcal{X}, \mathcal{L}), called *toric*, and identifies the Donaldson–Futaki invariant of the central fibre (X_0, L_0) with (17). Székelyhidi [45, § 4.1] shows that (21) computes the relative Donaldson–Futaki invariant for such test configurations. These computations generalize easily in the case of a pair (X, Z) where the divisor Z corresponds to the pre-image of a number of facets of Δ by the moment map. In this case, the toric test configurations come equipped with a divisor Z which defines a flat family for Z; furthermore, (19) and (22) compute the modified Donaldson–Futaki and relative Donaldson–Futaki invariant of toric test configurations, respectively. We are thus led to the following:

Definition 3.1 Let (X, L) be a toric polarized variety and $Z \subset X$ a divisor corresponding to the pre-image under the moment map of the union $F = F_{i_1} \cup \cdots \cup F_{i_k}$ of some facets of the momentum polytope Δ . We say that (X, Z, L) is *relative K-stable* with respect to toric degenerations if

$$\mathcal{L}_{(\Delta,F)}(f) := \int_{\partial \Delta \setminus F} f \, \mathrm{d}\nu - \frac{1}{2} \int_{\Delta} f s_{(\Delta,F)} \mathrm{d}\mu > 0 \tag{23}$$

for any convex, piecewise affine linear function f which is not affine linear on Δ . Recall that $s_{(\Delta, F)}$ is by definition the unique affine linear function such that (23) vanishes for any affine linear function f, and is called the *extremal affine linear function* of (Δ, F) . If (23) is satisfied, we shall refer to (Δ, F) as a *stable* pair.

Lemma 3.2 Let (X, L) be a toric polarized variety and $Z \subset X$ a divisor corresponding to the pre-image under the moment map of one facet F of the momentum polytope Δ . Then, the relative Székelyhidi numerical constraint is equivalent to

$$F_{\chi}^{\prime\prime}(0) = \frac{1}{2} \int_{F} (s_F - s_{(\Delta, F)}) d\nu_F > 0, \qquad (24)$$

where $s_{(\Delta, F)}$ is the extremal affine linear function of (Δ, F) and s_F is the extremal affine linear function of the facet F (seen as a Delzant polytope of an (n - 1) dimensional toric variety).

Proof In the toric case, Ross–Thomas [39, § 4.3] link their construction of degenerations to the normal cone to toric test configurations: the degeneration to the normal cone of $Z \subset X$ corresponding to a facet $F \subset \Delta$ defined by the zero set of an affine linear function L (with $L \ge 0$ on Δ) is given by Donaldson's construction with $f_c = \max(0, c-L)$. Therefore, the corresponding relative modified Donaldson–Futaki invariant (22) is

$$F_{\chi}(c) = \int_{\partial \Delta \setminus F} f_c d\nu - \frac{1}{2} \int_{\Delta} f_c s_{(\Delta, F)} d\mu$$

=
$$\int_{\partial \Delta \setminus F} f_c d\nu - \frac{1}{2} \int_{\Delta} f_c \mathbf{s}_{(\Delta, F)} d\mu$$

$$- \frac{1}{2} \int_{\Delta} f_c (s_{(\Delta, F)} - \mathbf{s}_{(\Delta, F)}) d\mu.$$
 (25)

Note that the sum on the second line is $c_0/4$ times the function F(c) introduced in Lemma 2.7 (and computed via (14)) and, for any affine function ξ ,

$$\frac{\partial^2}{\partial c^2} \left(\int_{\Delta} f_c \xi \, \mathrm{d} \mu \right) \Big|_{c=0} = \int_F \xi \, \mathrm{d} \nu_F,$$

where, we recall, dv_F is determined via the defining equation L = 0 for F by letting $-dL \wedge dv_F = d\mu$. Using (16), (18), and (20) one then gets

$$F_{\chi}^{\prime\prime}(0) = 2\left(\alpha_{1} - \left(c_{1} - \frac{\alpha_{0}}{2}\right)\frac{\alpha_{0}}{c_{0}}\right) - \frac{1}{2}\int_{F}(s_{(\Delta,F)} - \mathbf{s}_{(\Delta,F)})d\nu_{F}$$

$$= \operatorname{Vol}(\partial F) - \frac{1}{2}\int_{F}s_{(\Delta,F)}d\nu_{F}$$

$$+ \frac{\operatorname{Vol}(\partial\Delta \setminus F)}{\operatorname{Vol}(\Delta)}\operatorname{Vol}(F) - \frac{\operatorname{Vol}(\partial\Delta \setminus F)}{\operatorname{Vol}(\Delta)}\operatorname{Vol}(F)$$

$$= \int_{\partial F}d\sigma_{F} - \frac{1}{2}\int_{F}s_{(\Delta,F)}d\nu_{F}$$

$$= \frac{1}{2}\int_{F}(s_{F} - s_{(\Delta,F)})d\nu_{F},$$
(26)

where s_F denotes the extremal affine function corresponding to Z.

Lemma 3.3 Let (X, Z) be as in Lemma 3.2. If (Δ, F) is stable, then

$$\int_F (s_F - s_{(\Delta, F)}) \mathrm{d}\nu_F \ge 0$$

Proof Using the expression $F_{\chi}(c) = \frac{c_0}{4}F(c) - \frac{1}{2}\int_{\Delta} f_c(s_{(\Delta,F)} - \mathbf{s}_{(\Delta,F)})d\mu$ in (25) and Lemma 2.7, one easily computes that $F_{\chi}(0) = F'_{\chi}(0) = 0$. It thus follows from (26) that for *c* sufficiently small, the piecewise affine linear convex function $f_c = \max(0, c-L)$ will destabilize (Δ, F) , should $\int_F (s_F - s_{(\Delta,F)})d\nu_F = 2F''_{\chi}(0) < 0$. \Box

4 Labelled Polytopes and the Abreu–Guillemin Theory for Kähler Metrics of Poincaré Type

4.1 Donaldson Metrics on a Labelled Polytope

Following [22], the discussion in Sect. 3 can be put in a broader framework which makes sense for *any* labelled convex compact simple polytope (Δ, \mathbf{L}) in $(\mathbb{R}^n)^*$.

Definition 4.1 Let $\Delta \subset (\mathbb{R}^n)^* = \mathfrak{t}^*$ be a compact convex polytope defined by a system of *d* linear inequalities

$$\Delta = \{x \in (\mathbb{R}^n)^* : L_j(x) = \langle e_j, x \rangle + \lambda_j \ge 0, \ j = 1, \dots, d\}$$

where $\mathbf{L} = \{L_1(x), \ldots, L_d(x)\}$ are affine linear functions on $(\mathbb{R}^n)^*$ and $dL_j := e_j \in \mathbb{R}^n$ are inward normals to Δ . We suppose that Δ is *simple* in the sense that for each vertex v, there are precisely n affine linear functions $L_{v,1}, \ldots, L_{v,n}$ in \mathbf{L} which vanish at v and the corresponding inward normals $\{e_{v,1}, \ldots, e_{v,n}\}$ form a basis of \mathbb{R}^n . We refer to such date (Δ, \mathbf{L}) as a *labelled* (simple, compact, convex) polytope. Notice that, by Delzant's theorem [20], (Δ, \mathbf{L}) is the momentum image of a compact smooth toric variety if the labelling \mathbf{L} satisfies the integrality condition that at each vertex v, $\operatorname{span}_{\mathbb{Z}}\{u_{v,1}, \ldots, u_{v,n}\}$ is a fixed lattice $\Lambda \subset \mathbb{R}^n$. We shall refer to such labelled polytopes (Δ, \mathbf{L}) as *Delzant polytopes*.

In the case when (Δ, \mathbf{L}) is Delzant, the works [1,31] give an effective way to parametrize \mathbb{T} -invariant, ω -compatible Kähler metrics g on the toric symplectic manifold (X, ω) classified by (Δ, \mathbf{L}) in terms of strictly convex smooth functions u(x)defined on the interior Δ^0 of $\Delta \subset (\mathbb{R}^n)^*$ and satisfying certain boundary conditions on $\partial \Delta$. Specifically, the Kähler metric g is written on $X^0 = \mu^{-1}(\Delta^0)$ as

$$g = \sum_{i,j=1}^{n} \left(u_{,ij} \mathrm{d}x_i \otimes \mathrm{d}x_j + u^{,ij} \mathrm{d}t_i \otimes \mathrm{d}t_j \right)$$
(27)

where (x_1, \ldots, x_n) are the Euclidean coordinates on $(\mathbb{R}^n)^*$, $(u_{,ij}) = \text{Hess}(u)$ (and we tacitly identify smooth functions and tensors on Δ^0 with their pull-backs via μ on X^0) and (t_1, \ldots, t_n) are angular $(2\pi$ -periodic) coordinates obtained by fixing a point $p_0 \in X^0$ and identifying $X^0 \cong (\mathbb{C}^{\times})^n$ with the principal orbit of p_0 under the complexified action \mathbb{T}^c (with respect to the complex structure *J* determined by *g* and ω). In this formalism, the symplectic form is

$$\omega = \sum_{i=1}^n \mathrm{d} x_i \wedge \mathrm{d} t_i.$$

A central fact in this theory (see [2,23]) is that (27) extends to a smooth Riemannian metric on *X* if and only if *u* satisfies the following *Guillemin boundary conditions*:

Definition 4.2 Let (Δ, \mathbf{L}) be a labelled convex compact simple polytope in $(\mathbb{R}^n)^* = t^*$. We say that a strictly convex smooth function u on Δ^0 satisfies the *Guillemin boundary conditions* if

- $u \frac{1}{2} \sum_{k=1}^{d} L_k \log L_k$ is smooth on Δ , and
- the restriction of u to the relative interior F^0 of any face $F \subset \Delta$ is smooth and strictly convex.

We denote by $\mathcal{S}(\Delta, \mathbf{L})$ the space of such *u*.

An example of a function in $S(\Delta, \mathbf{L})$ is (see [31])

$$u_0 := \frac{1}{2} \sum_{k=1}^d L_k \log L_k, \tag{28}$$

which, in the Delzant case, characterizes the induced Kähler metric on *X* via the Kähler reduction of the flat metric on \mathbb{C}^d .

The space $S(\Delta, \mathbf{L})$ can be equivalently characterized in terms of *first-order bound*ary conditions:

Proposition 4.3 [4] *The space* $S(\Delta, \mathbf{L})$ *consists of all smooth functions u on* Δ^0 *such that* $\mathbf{H}^u := (\text{Hess}(u))^{-1}$ *satisfies*

- [smoothness] \mathbf{H}^{u} extends smoothly on Δ as an $S^{2}(\mathfrak{t}^{*})$ -valued function;
- [boundary conditions] For any facet $F_i \subset \partial \Delta$ with normal $e_i = dL_i$, and $x \in F_i$

$$\mathbf{H}_{x}^{u}(e_{j},\cdot) = 0; \quad (d\mathbf{H}^{u})_{x}(e_{j},e_{j}) = 2e_{j}; \tag{29}$$

• [positivity] \mathbf{H}^{u} is positive definite on Δ^{0} , as well as on the relative interior Σ^{0} of any face $\Sigma \subset \Delta$, viewed there as a smooth function with values in $S^{2}(\mathfrak{t}/\mathfrak{t}_{\Sigma})^{*}$ where \mathfrak{t}_{Σ} denotes the subspace spanned by normals to facets containing Σ .

The extremality of the Kähler metric (27) with $u \in S(\Delta, \mathbf{L})$ reduces to solving the *Abreu equation* [1]

$$-\sum_{i,j=1}^{n} \frac{\partial^2 \mathbf{H}_{ij}^u}{\partial x_i \partial x_j} = s_{(\Delta,\mathbf{L})},$$
(30)

for an affine linear function $s_{(\Delta, L)}$ determined from the labelled polytope (Δ, L) by the requirement that

$$\mathcal{L}_{(\Delta,\mathbf{L})}(f) := 2 \int_{\partial \Delta} f \mathrm{d}\nu_{\mathbf{L}} - \int_{\Delta} s_{(\Delta,\mathbf{L})} f \mathrm{d}\mu = 0$$

for any affine linear function f, where $d\mu$ is a (fixed) Lebesgue measure on $\mathfrak{t}^* = (\mathbb{R}^n)^*$ and $d\nu_{\mathbf{L}}$ is obtained from $d\mu$ and \mathbf{L} via (15). In this setting, we recall the following:

Definition 4.4 A labelled compact convex simple polytope (Δ, \mathbf{L}) in a vector space \mathfrak{t}^* is called *stable* (or *K*-stable) if

$$\mathcal{L}_{(\Delta,\mathbf{L})}(f) \ge 0,$$

for any convex, piecewise affine linear function f, and the equality is achieved only when f is affine linear.

Using the integration by parts formula established in [22, Lemma 3.3.5], Zhou and Zhu have shown in [49] that the stability of (Δ, \mathbf{L}) is a necessary condition for a solution $u \in S(\Delta, \mathbf{L})$ of (30) to exist:

Proposition 4.5 [49] Suppose there exists a function $u \in S(\Delta, \mathbf{L})$ which solves the Abreu equation (30). Then (Δ, \mathbf{L}) is stable.

Furthermore, as observed in [22,28], any solution $u \in S(\Delta, \mathbf{L})$ of (30) must be, up to the addition of affine linear functions, the unique critical point (= the minimum) of the convex relative Mabuchi functional

$$\mathcal{M}_{(\Delta,\mathbf{L})}(u) := \mathcal{L}_{(\Delta,\mathbf{L})}(u) - \int_{\Delta} \log \det(\mathbf{H}^{u}) \mathrm{d}\mu, \qquad (31)$$

which is shown in [22] to take values in $(0, \infty]$.

It is observed in [22, p. 344] that most of the above theory extends to the case when one takes $F = F_1 \cup \cdots \cup F_k$ to be the union of facets of (Δ, \mathbf{L}) , and one modifies the induced measure $dv_{\mathbf{L}}$ to be zero on F. By (15), for each facet $F_i \subset F$, the modified measure can be thought of as the limit $\lim_{t\to\infty} dv_{tL_i}$, i.e. the measure obtained as in (15) when sending the corresponding label L_i to infinity. There is a subtle point here, however. It is not immediately clear how to extend the Guillemin boundary conditions of Definition 4.2 over such limits. On the other hand, as observed in [5], the equivalent first-order boundary conditions given by Proposition 4.3 extend naturally:

Definition 4.6 Let (Δ, \mathbf{L}) be a labelled convex compact simple polytope in \mathfrak{t}^* and $F = F_1 \cup \cdots \cup F_k$ the union of some of its facets. We denote by $\mathcal{S}(\Delta, \mathbf{L}, F)$ the functional space of $u \in \mathcal{C}^{\infty}(\Delta^0)$ verifying the first-order boundary conditions

- [smoothness] \mathbf{H}^{u} extends smoothly on Δ ;
- [boundary conditions] for any facet $F_i \subset F$ and any point $x \in F_i$,

$$\mathbf{H}_{x}^{u}(e_{i}, e) = 0; \ \left(\mathrm{d}\mathbf{H}^{u}(e_{i}, e) \right)_{x} = 0, \tag{32}$$

where $e_i = dL_i$ is the inward normal to F_i defined by L and $e \in t$, and, for any facet F_r which is not in F, and $x \in F_r$,

$$\mathbf{H}_{x}^{u}(e_{r}, e) = 0; \ \left(\mathrm{d}\mathbf{H}^{u}(e_{r}, e_{r}) \right)_{r} = 2e_{r}.$$
 (33)

[positivity] H^u is positive definite on Δ⁰, as well as on the interior of any face Σ ⊂ Δ, viewed there as a smooth function with values in S²(t/t_Σ)* where t_Σ denotes the subspace spanned by normals to facets containing Σ.

Remark 4.7 Manifestly, the conditions (32) are independent of the choice of labels L_i for the facets $F_i \subset F$, and are obtained from (33) by letting $e_i \to \infty$.

Remark 4.8 Symplectic potentials satisfying Definition 4.6 do not necessarily correspond to Poincaré type metrics. However, below we shall define subspaces $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ of $S(\Delta, \mathbf{L}, F)$ depending on a positive parameter α and a real parameter β which do induce metrics of Poincaré type on $X \setminus Z$.

We are thus interested to find solutions of (30) in $S(\Delta, \mathbf{L}, F)$, where, by the integration by parts argument of [22, Lemma 3.3.5] (see also (36) for a precise statement), the right-hand side must be the unique affine linear function $s_{(\Delta, \mathbf{L}, F)}$, called *extremal affine function*, satisfying

$$\mathcal{L}_{(\Delta,\mathbf{L},F)}(f) = \int_{\partial\Delta\setminus F} f d\nu_{\mathbf{L}} - \frac{1}{2} \int_{\Delta} f s_{(\Delta,\mathbf{L},F)} d\mu = 0$$
(34)

for any affine linear function f. We also have the following straightforward extension of Proposition 4.5 to the case (Δ, \mathbf{L}, F) :

Proposition 4.9 Suppose there exists a function $u \in S(\Delta, \mathbf{L}, F)$ which solves the Abreu equation

$$-\sum_{i,j=1}^{n} \frac{\partial^2 \mathbf{H}_{ij}^u}{\partial x_i \partial x_j} = s_{(\Delta, \mathbf{L}, F)},$$
(35)

where $s_{(\Delta, \mathbf{L}, F)}$ is the extremal affine linear function of (Δ, \mathbf{L}, F) . Then $\mathcal{L}_{(\Delta, \mathbf{L}, F)}(f) \ge 0$ for any convex, piecewise affine linear function f, with equality iff f is affine linear.

Definition 4.10 A labelled convex compact simple polytope (Δ, \mathbf{L}) in $(\mathbb{R}^n)^*$ with a fixed subset *F* of facets satisfying the conclusion of Proposition 4.9 will be referred to as *stable* triple (Δ, \mathbf{L}, F) . A Kähler metric on g_D on $\Delta^0 \times \mathbb{T}$ defined by a solution $u \in S(\Delta, \mathbf{L}, F)$ of (35) (if it exists) will be called a *Donaldson metric* on (Δ, \mathbf{L}, F) .

The geometric interest of studying Donaldson metrics as above comes from the following:

Conjecture 4.11 (Donaldson [22]) Let (Δ, \mathbf{L}) be the momentum polytope of a smooth compact toric Kähler manifold (X, ω_0) and Z the divisor in X corresponding to the momentum pre-image of the union F of facets of Δ . If (Δ, \mathbf{L}, F) is stable, then there exists a complete extremal Kähler metric g_D defined on $X \setminus Z$.

Remark 4.12 Notice that when (Δ, \mathbf{L}) is a Delzant polytope corresponding to a smooth compact toric manifold (X, ω_0) , the label \mathbf{L} is uniquely determined from the Delzant condition. Thus, in order to simplify the notation in this case, and when there is no possible confusion, we shall skip the label \mathbf{L} . This is the convention we have taken in the previous Sect. 3.

We now use the results from [9,10] in order to establish the following:

Theorem 4.13 Let (X, ω_0) be a smooth compact n-dimensional complex toric Kähler manifold, and $Z \subset X$ a smooth divisor corresponding to the pre-image under the moment map of a single facet F of the momentum polytope Δ . If $X \setminus Z$ admits a \mathbb{T} invariant extremal Kähler metric of Poincaré type in $[\omega_0]$, then (Δ, F) is stable and the relative Székelyhidi numerical constraint (24) holds. Furthermore, the Delzant polytope F is stable and

$$s_F - (s_{(\Delta, F)})|_F = const > 0.$$

Proof The main point is to show that a \mathbb{T} -invariant extremal Kähler metric (g, ω) of Poincaré type on $X \setminus Z$ gives rise to a Donaldson metric in a slightly weaker sense, namely it corresponds to $\mathbf{H}^u \in C^{\infty}(\Delta^0, S^2(\mathfrak{t}^*))$ which extends smoothly on $\Delta \setminus F$ and C^0 on Δ and, moreover, the conditions (32) and (33) hold where the first-order condition at F is taken in the sense of limit, i.e.

$$\lim_{x\to F, x\in\Delta\setminus F} \left(\mathrm{d}\mathbf{H}^u(e_F, \cdot) \right)_x = 0,$$

for $e_F \in \mathfrak{t}$ the inward normal to F. This will be enough in order to establish the integration by parts formula (compare with [22, Lemma 3.3.5]):

$$\int_{\Delta} \left(\sum_{i,j=1}^{n} H_{ij,ij}^{u} \right) \varphi d\mu = \int_{\Delta} \left(\sum_{i,j=1}^{n} H_{ij}^{u} \varphi_{ij} \right) d\mu - 2 \int_{\partial \Delta \setminus F} \varphi d\nu_{\mathbf{L}}$$
(36)

for any smooth function φ on Δ . The latter in turn implies that

- (a) $\text{Scal}_g = s_{(\Delta, F)}$ and
- (b) (Δ, F) is stable (compare with Proposition 4.9).

With the conclusions (a) and (b) in place, the result follows easily from [9,10]. Indeed, (a) and Lemma 3.2 together with [10, Thm. 4 and Prop. 2.1] show that (24) is a necessary condition for the existence of an extremal Kähler metric of Poincaré type on $X \setminus Z$. Furthermore, by [9, Thm. 4], Z must admit an extremal Kähler metric \check{g} in the Kähler class $[\omega_0]|_Z$, so that F must be a stable Delzant polytope by Proposition 4.5. It is also shown in [9, p.44] that the extremal vector fields $J \operatorname{grad}_g \operatorname{Scal}_g$ and $J \operatorname{grad}_{\check{g}} \operatorname{Scal}_{\check{g}}$ agree on Z, which in our case translates to say that $s_F - (s_{(\Delta, F)})|_F = const$. The constant is positive because of (24).

We thus focus for the remainder of the proof to show that an extremal T-invariant Poincaré type metric (g, ω) on $X \setminus Z$ is (weakly) Donaldson. To this end, we fix a T-invariant Kähler metric $\omega_0 \in c_1(L)$ on X and denote by (Δ, \mathbf{L}) the corresponding Delzant polytope. We shall write, for any basis $\{e_1, \ldots, e_n\}$ of $\mathbf{t}, x^0 = (x_1^0, \ldots, x_n^0)$ the corresponding momenta, viewed as functions from X to \mathbf{t}^* defined by $\iota_{K_j}\omega_0 = -dx_j^0$ where K_j is the fundamental vector field of X corresponding to $e_j \in \mathbf{t}$; thus $\Delta =$ $\mathrm{Im}(x^0)$ and $Z = (x^0)^{-1}(F)$ for a facet $F \subset \Delta$. Let $v \in \Delta$ be a vertex of Δ and F, and $\{e_1, \ldots, e_n\}$ the basis of t formed by the inward normals to the facets containing v, with $e_F = e_1$. By Delzant theory (see [20,38]) there exists a $(\mathbb{C}^{\times})^n$ equivariant chart \mathbb{C}_v^n of X (with respect to the complexified $(\mathbb{C}^{\times})^n$ -action of T on X and the standard $(\mathbb{C}^{\times})^n$ -action on $\mathbb{C}_v^n = \mathbb{C}^n$) in which F is given by $z_1 = 0$. Furthermore, in this chart, $|z_j|^2 = x_j^0 e^{\phi_j(z)}$ for smooth functions ϕ_j on X (see e.g. [22]) whereas the holomorphic vector fields $\frac{1}{2}(K_j - \sqrt{-1}JK_j)$ become $\sqrt{-1}z_j \frac{\partial}{\partial z_j}$. According to Definition 1.1, we can write $\omega = \omega_0 + dd^c \varphi$ for a smooth \mathbb{T} -invariant function φ on $X \setminus Z$, such that $d\varphi$ is bounded at any order with respect to the model metric

$$\omega_{\text{mod}} = \sqrt{-1} \left(\frac{1}{|z_1|^2 (\log |z_1|)^2} dz_1 \wedge d\bar{z}_1 + \sum_{j=2}^n dz_j \wedge d\bar{z}_j \right)$$

defined on the chart \mathbb{C}_{v}^{n} : in particular

$$d\varphi(JK_j) = O(|z_j|), \ j = 2, \dots, n, \quad d\varphi(JK_1) = O\left(\frac{1}{|\log(|z_1|^2)|}\right). \tag{37}$$

Writing

$$x_j = x_j^0 + d\varphi(JK_j), \quad j = 1, \dots n,$$
 (38)

for the momenta of (g, ω) , we see that the map $x^0 \to x$ sends $\Delta \setminus F$ to itself, preserving the faces. Furthermore, $x_1 : X \setminus Z \to \Delta \setminus F$ extends continuously as zero over Z.

We now let $\mathbf{H}_x(e_i, e_j) = (g_p(K_i, K_j))$ be the smooth $S^2(\mathfrak{t}^*)$ -valued function, defined on Δ^0 by using the extremal Kähler metric g and the momentum map x(with x = x(p) for $p \in X \setminus Z$). Clearly, \mathbf{H} extends smoothly over $\Delta \setminus F$. The proof of Proposition 4.3 (given in [4]) uses local arguments around a point on a facet $F_r \subset \overline{\Delta \setminus F}$, and thus shows that \mathbf{H} satisfies the boundary conditions (33) on each F_r . We now focus on F. We use the chart \mathbb{C}_v^n as above, and denote by $\pi_1 : \mathbb{C}_v^n \to \mathbb{C}^{n-1}$ the projection $\pi_1(z_1, z_2, \ldots, z_n) = (z_2, \ldots, z_n)$. Then, [9, Thm. 4] tells that as $z_1 \to 0$, ω is written as

$$\omega = a_1 \left(\frac{\sqrt{-1} (\mathrm{d}z_1 \wedge \mathrm{d}\bar{z}_1)}{|z_1|^2 (\log(|z_1|^2)^2)} \right) + \pi_1^* \omega_1 + O(|\log|z_1||^{-\delta}), \tag{39}$$

where a_1 and δ are positive reals, ω_1 is an extremal Kähler metric on $Z \cap \mathbb{C}_v^n = \{(0, z_2, \dots, z_n)\}$, and $O(|\log |z_1||^{-\delta})$ is understood at any order with respect to the Kähler metric

$$a_1\left(\frac{\sqrt{-1}(dz_1 \wedge d\bar{z}_1)}{|z_1|^2 \left(\log(|z_1|^2)^2\right)} + \pi_1^* \omega_1.$$
(40)

We compute from (39), with respect to the vector fields $\frac{1}{2}(K_j - \sqrt{-1}JK_j) = \sqrt{-1}z_j\frac{\partial}{\partial z_i}$,

$$\mathbf{H}(e_{1}, e_{1}) = \omega(K_{1}, JK_{1}) = \frac{2a_{1}}{\left(\log(|z_{1}|^{2})\right)^{2}} + O\left(|\log|z_{1}||^{-\delta-2}\right),
\mathbf{H}(e_{1}, e_{j}) = \omega(K_{1}, JK_{j}) = O\left(|z_{j}||\log|z_{1}||^{-\delta-1}\right),
\mathbf{H}(e_{i}, e_{j}) = \omega(K_{i}, JK_{j}) = \check{\mathbf{H}}^{1}(e_{i}, e_{j}) + O\left(|z_{i}z_{j}||\log|z_{1}||^{-\delta}\right), \quad i, j \ge 2,$$
(41)

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where $\check{\mathbf{H}}^1(e_i, e_j) = \pi^* \omega_1(K_i, JK_j)$ is the z_1 independent smooth function computed from ω_1 with respect to the induced vector fields on Z. It follows that \mathbf{H} extends continuously on F, verifying $\mathbf{H}_x(e_1, \cdot) = 0$ on F.

Taking interior product with K_1 and K_i , $i \ge 2$, in (39) we obtain

$$dx_{1} = a_{1} \left(\frac{d|z_{1}|^{2}}{|z_{1}|^{2}(\log|z_{1}|^{2})^{2}} \right) + \sum_{j=1}^{n} f_{j}(z)d|z_{j}|^{2},$$

$$dx_{i} = 2 \sum_{j=2}^{n} \check{\mathbf{H}}^{1}(e_{i}, e_{j})d|z_{j}| + \sum_{j=1}^{n} f_{ij}(z)d|z_{j}|^{2} = \pi_{1}^{*}dx_{i}^{1} + \sum_{j=1}^{n} f_{ij}(z)d|z_{j}|^{2},$$

where $f_1(z) = O\left(\frac{1}{|z_1|^2 (\log |z_1|^2)^{2+\delta}}\right)$, $f_j(z) = O(|\log |z_1||^{-1-\delta})$, j = 2, ..., n, and $f_{i1}(z) = O\left(\frac{|z_i|^2}{|z_1|(\log |z_1|^2)^{1+\delta}}\right)$, $f_{ij}(z) = O(|z_i|^2 |\log |z_1||^{-\delta})$, i, j = 2, ..., n; here $dx_i^1 = -\iota_{K_i}\omega_1$ for i = 2, ..., n, and $dx_i^1 = \sum_{j=2}^n A_{ij}d|z_j|^2$ for some invertible matrix (A_{ij}) , locally uniformly bounded together with its inverse (A^{ij}) on $Z \cap \mathbb{C}_v^n$. Putting $\sigma_1 = \frac{d|z_1|^2}{|z_1|^2 |\log |z_1|^2|}$ and $\sigma_i = d|z_i|^2$, the relations above can be recapped as

$$\begin{pmatrix} |\log |z_1| | dx_1 \\ dx_2 \\ \vdots \\ dx_n \end{pmatrix} = \begin{bmatrix} \begin{pmatrix} a_1 & 0 \\ 0 & (A_{ij}) \end{pmatrix} + \varepsilon \end{bmatrix} \begin{pmatrix} \sigma_1 \\ \vdots \\ \sigma_n \end{pmatrix},$$

with $\varepsilon = O(|\log |z_1||^{-\delta})$; solving this system provides

$$\sigma_1 = \frac{|\log |z_1||}{a_1} dx_1 + \sum_{j=1}^n \eta_{1j} dx_j, \quad \sigma_i = \eta_{i1} dx_1 + \sum_{j=2}^n (A^{ij} + \eta_{ij}) dx_j, \quad i = 2, \dots, n,$$

with $\eta_{i1} = O(|\log |z_1||^{1-\delta})$, i = 1, ..., n, and $\eta_{ij} = O(|\log |z_1||^{-\delta})$, i = 1, ..., n, j = 2, ..., n. Differentiating the first two lines of (41) with respect to $z_1 \log |z_1| \frac{\partial}{\partial z_1}$, the $\frac{\partial}{\partial z_i}$'s $(i \ge 2)$, and their conjugates¹ implies

$$d\mathbf{H}(e_1, e_1) = -\frac{4}{\log |z_1|} \Big((1 + \epsilon_{11}) dx_1 + \sum_{j=2}^n \epsilon_{1j} dx_j \Big),$$

$$d\mathbf{H}(e_1, e_i) = \epsilon_{i1} dx_1 + \sum_{j=2}^n \epsilon_{ij} dx_j, \quad i = 2, \dots, n,$$

¹ Using that we can replace dz_i and $d\overline{z_i}$ by σ_i with help of the torus action, as in the estimates for f_j and f_{ij} above.

with $\epsilon_{i1} = O(|\log |z_1||^{-\delta})$, i = 1, ..., n, and $\epsilon_{ij} = O(|\log |z_1||^{-\delta-1})$, i = 1, ..., n, j = 2, ..., n. Hence in particular,

$$\lim_{x \to F} (\mathbf{dH}(e_1, e_i))_x = 0, \ i = 1, \dots, n,$$

as claimed.

4.2 Conjectural Picture for the Existence of Extremal Toric Metrics of Poincaré Type

Theorem 4.13 and Conjecture 4.11 motivate us to propose the following general conjectural picture in the toric case.

Conjecture 4.14 A smooth compact toric Kähler manifold (X, ω_0) with momentum polytope Δ and a divisor $Z \subset X$ corresponding to the pre-image of the union $F = \bigcup_i F_i$ of some facets F_i of Δ admits an extremal toric Kähler metric of Poincaré type in $[\omega_0]$ if and only if the following three conditions are satisfied:

- (i) (Δ, F) is stable in a suitable sense, and
- (ii) for any facet $F_i \subset F$, the pair $(F_i, F_i \cap (\bigcup_{j \neq i \in I} F_j))$ is stable in a suitable sense, and
- (iii) if $s_{(F_i,F_i\cap (\bigcup_{j\neq i\in I}F_j))}$ is the extremal affine function corresponding to $(F_i, F_i\cap (\bigcup_{j\neq i\in I}F_j))$, then

$$s_{(F_i, F_i \cap (\bigcup_{i \neq i} F_i))} - s_{(\Delta, F)} = c_i > 0,$$
(42)

where c_i are real constants.

Remark 4.15 Theorem 4.13 readily generalizes to the case when Z is a smooth toric submanifold of (X, ω_0) , i.e. Z is the pre-image under the moment map of the union F of disjoint facets of Δ . Thus, in this case, we have established the necessity of the conditions (i),(ii),(iii) of Conjecture 4.14 with respect to the notions of stability introduced in Definitions 4.4 and 4.10. The situation is not so clear in general, when Z has simple normal crossings. In this case we make the following remarks:

- In order to establish (i) we would need to show that *any* toric extremal Kähler metric of Poincaré type on X \ Z belongs to the class S(Δ, L, F), at least in the weaker sense as in the proof of Theorem 4.13.
- (2) (ii) would follow from (i), noting that the extremal Poincaré type metric on $Z_i \setminus Z'_i$ where Z_i is the component of Z corresponding to F_i and Z'_i is the divisor of Z_i induced by Z (which exists by virtue of [9, Thm. 4]) must be *toric*. Indeed, this can be derived from [9] as follows:
 - using toric-equivariant coordinates $(z_1, \ldots, z_n) \in \mathbb{C}_v^n$ centred at a point in Z_i fixed by the torus action, and such that $Z_i \cap \mathbb{C}_v^n = \{z_1 = 0\}$ and $Z \cap \mathbb{C}_v^n = \{z_1 \cdots z_s = 0\}$, the induced metric is a $\mathcal{C}_{loc}^{\infty}$ -limit of $\omega_v^{\epsilon_j} := \omega_{|_{\{z_1 = \epsilon_j\} \setminus Z}}$ (the pull-back of ω to $\{z_1 = \epsilon_j\} \setminus Z$ by inclusion), with $\epsilon_j \to 0$;

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- the metric ω and the hypersurfaces $\{z_1 = \epsilon_j\} \setminus Z$ are invariant by the action of $\mathbb{T}/\mathbb{T}_{F_i}$; therefore, the $\omega_v^{\epsilon_j}$ are invariant under this action, and their $\mathcal{C}_{\text{loc}}^{\infty}$ -limit is thus toric.
- (3) (iii) would follow by [10, Thm. 4 and Prop. 2.1], once we know that the scalar curvature of the extremal Kähler Poincaré type metric coincides with $s_{(\Delta, F)}$. This in turn would be the case if we establish point (i) above.

Another interesting question is how (i) and (ii) interrelated.

4.3 A Class of Poincaré Type toric Kähler Metrics

To link Conjectures 4.11 and 4.14, one needs a criterion ensuring that a Donaldson metric is of Poincaré type. We address this question in this section.

We start by introducing a class of toric metrics in the form (27) on $(\Delta^0 \times \mathbb{T})$ via a certain type of *Guillemin boundary conditions* for the corresponding symplectic potential *u*, depending on the data (Δ, \mathbf{L}, F) , and compare with Definition 4.6 in the case $F = \emptyset$. For simplicity, we shall assume that (Δ, \mathbf{L}) is Delzant and $F = F_1$ is a single facet defined by the label $L_F(x) := L_1(x) = 0$.

Definition 4.16 Let $\alpha > 0$ and $\beta \in \mathbb{R}$ be fixed real numbers. The class $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ of symplectic potentials *u* is defined as the space of smooth and strictly convex functions on Δ^0 , satisfying the following boundary conditions:

- $u + (\alpha + \beta L_F) \log L_F \frac{1}{2} \sum_{j=2}^d L_j \log L_j$ is smooth on Δ ;
- if $\mathbf{f} \subset F$ is a sub-face of F, then $u_{\mathbf{f}} := u + (\alpha + \beta L_F) \log L_F$ restricts to the relative interior of \mathbf{f} as a smooth strictly convex function;
- if Σ ∉ F is regular face, then u restricts to the relative interior of Σ as a smooth strictly convex function.

Remark 4.17 Just as *cusps* can be seen as limits of *cone singularities with angle tending* to 0, one can observe that potentials of $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ appear as limits of symplectic potentials associated to cone singularities (as in [36, §6.3]). One elementary example is

$$u = \frac{1}{2}(1-x)\log(1-x) + \left(\frac{1}{2}x - \frac{1}{2}\right)\log x \in \mathcal{S}_{\frac{1}{2}, -\frac{1}{2}}([0, 1], \mathbf{L}, \{0\}),$$
(43)

where **L** is the standard labelling of [0, 1]. This potential is the limit of the family $(u_t)_{t\geq 1}$ as $t \to \infty$, where $u_t = \frac{1}{2}(1-x)\log(1-x) + \frac{t}{2}x\log x + v_t$, with v_t smooth on [0, 1] given by²

$$v_t(x) = \begin{cases} 0, & \text{if } t = 1, \\ \frac{1}{2}(1-t)\left(x + \frac{1}{t-1}\right)\log\left(x + \frac{1}{t-1}\right) + \left[\frac{1}{2}\log\left(\frac{t}{t-1}\right) + \frac{t-1}{2t}\right], & \text{if } t > 1. \end{cases}$$

² For t > 1, the additive constant is chosen so as to ensure good convergence properties, both for $t \to 1$ and $t \to \infty$.

With these notations, u_1 is associated to the Fubini–Study metric on $\mathbb{C}P^1$, and u_t , $t \in (1, \infty)$, to a "tear-drop" metric on $\mathbb{C}P^1$ with edge singularity at 0, of angle $\frac{2\pi}{t}$.

Our first observation concerning the potentials of $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ is the following result, whose proof is given in Appendix A.

Theorem 4.18 Let (X, ω) be a smooth, compact symplectic toric manifold with momentum Delzant polytope (Δ, \mathbf{L}) and F be a single facet of Δ . Then, for any $u \in S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$, the metric (27) defines on X a \mathbb{T} -invariant complex structure Jsuch that the momentum pre-image of F is a smooth divisor Z of (X, J), and (27) is a Kähler metric of Poincaré type on $X \setminus Z$.

Using arguments similar to those in [4] (see Appendix A for more details), one can relate the spaces $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ and $S(\Delta, \mathbf{L}, F)$ as follows:

Proposition 4.19 The space $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ is equivalently defined as the space of smooth functions on Δ^0 such that $\mathbf{H}^u = (\text{Hess}(u))^{-1}$ satisfies

- [smoothness] \mathbf{H}^{u} extends smoothly on Δ ;
- [boundary conditions on *F*] for any $x \in F$ we have

$$\mathbf{H}_{x}^{u}(e_{F}, e) = 0; \quad (d\mathbf{H}^{u})_{x}(e_{F}, e) = 0,$$

$$(d^{2}\mathbf{H}^{u})_{x}(e_{F}, e_{F}) = \frac{2}{\alpha}e_{F} \otimes e_{F}, \quad (d^{3}\mathbf{H}^{u})_{x}(e_{F}, e_{F}) = -\frac{6\beta}{\alpha^{2}}e_{F}^{\otimes 3},$$

where $e_F = dL_F$ is the inward normal to F defined by \mathbf{L} , e is any vector in \mathfrak{t} , and for a smooth function f on \mathfrak{t} , $d^k f$ denotes the kth covariant derivative of f with respect to the flat affine structure on \mathfrak{t}^* , so that $(d^k f)_x \in S^k(\mathfrak{t})$;

• [regular boundary conditions] for any facet F_r with inward normal e_r which is not in F, and $x \in F_r$,

$$\mathbf{H}_{x}^{u}(e_{r}, e) = 0; \ (d\mathbf{H}^{u})_{x}(e_{r}, e_{r}) = 2e_{r};$$

• [positivity] \mathbf{H}^u is positive definite on Δ^0 , as well as on the relative interior of any face $\Sigma \subset \Delta$, viewed there as a smooth function with values in $S^2(t/t_{\Sigma})^*$ where t_{Σ} denotes the subspace spanned by normals to facets containing Σ .

In particular, $S_{\alpha,\beta}(\Delta, \mathbf{L}, F) \subset S(\Delta, \mathbf{L}, F)$.

Our next result shows that the extremality assumption in fact determines uniquely the space $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$.

Proposition 4.20 Suppose $u \in S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ is a solution of (35). Then the real numbers α , β are determined from the data (Δ, \mathbf{L}, F) . Furthermore, the solution u is unique modulo the addition of an affine linear function.

Proof The uniqueness part is standard as each $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ is a linearly convex space and, choosing a reference point $u' \in S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$, we can consider the following modification of relative Mabuchi functional (31):

$$\mathcal{M}_{(\Delta,\mathbf{L},F)}(u) := \mathcal{L}_{(\Delta,\mathbf{L},F)}(u-u') - \int_{\Delta} \log\left(\frac{\det(\mathbf{H}^{u})}{\det(\mathbf{H}^{u'})}\right) \mathrm{d}\mu.$$

The point is that $\mathcal{M}_{(\Delta,\mathbf{L},F)}(u)$ is well defined with values in $(-\infty,\infty)$, as u - u' is a smooth function over Δ and det $(\mathbf{H}^{u})/$ det $(\mathbf{H}^{u'})$ is smooth and positive on Δ (the latter fact follows from the arguments in Appendix A.2). An argument from [22,28] shows that $\mathcal{M}_{(\Delta,\mathbf{L},F)}(u)$ is convex and its minima, which are unique up to the addition of affine linear function, are precisely the solutions of (35).

The fact that $s_{(\Delta, \mathbf{L}, F)} - s_{(F, \mathbf{L}_F)} = const$ follows from [9, p. 44] when it is shown that the extremal vector of *Z* equals the vector field induced on *Z* by the extremal vector field of $X \setminus Z$. In the toric case, this condition reads as $d((s_{(\Delta, \mathbf{L}, F)})|_F - s_{(F, \mathbf{L}_F)}) = 0$.

It remains to determine (α, β) from (Δ, \mathbf{L}, F) , which will occupy the remainder of the proof.

Step 1. Determining α . Let us choose a basis $\{e_1, e_2, \ldots, e_n\}$ of t (and $\{e_1^*, \ldots, e_n^*\}$ denote the dual basis of t*), by fixing a vertex $v \in F$ of Δ and taking e_j be the inward normals to the facets meeting v with $e_1 = e_F$ (and therefore $e_i^*, i = 2, \ldots, n$ are tangent to F). We assume furthermore that v is at the origin (so that $F \subset \{x_1 = 0\}$) and we write $\mathbf{H}^u = (H_{ij})$ in the chosen basis, where $H_{ij}(x)$ are smooth functions on Δ , see Proposition 4.19. As u is a solution of (35), we have

$$s_{(\Delta,\mathbf{L},F)} = -\sum_{i,j=1}^{n} H_{ij,ij}.$$
(44)

We denote by $\check{\mathbf{H}}^u \in S^2((\mathfrak{t}/\mathfrak{t}_F)^*)$ the induced smooth positive definite bilinear form on *F*. It is easily seen (by continuity) that $\check{\mathbf{H}}^u$ satisfies the boundary conditions of Proposition 4.3 with respect to the labelling \mathbf{L}_F of *F*, see [4, Rem. 1]. It thus defines an almost-Kähler metric \check{g}_u on *F* (which can be shown to be Kähler). With respect to our choice of basis of \mathfrak{t} , we can identify $\mathfrak{t}/\mathfrak{t}_F \cong \mathbb{R}^{n-1} = \operatorname{span}_{\mathbb{R}}\{e_2, \ldots, e_n\}$, so that we have $\check{\mathbf{H}}^u = (H_{ij})_F$, $i, j = 2, \ldots, n$. It thus follows that on *F* we have

$$s_{(\Delta,\mathbf{L},F)} = -\sum_{i,j=1}^{n} H_{ij,ij}$$

= $-H_{11,11} - 2\sum_{j=2}^{n} H_{1j,1j} - \sum_{i,j=2}^{n} H_{ij,ij}$ (45)
= $-\frac{2}{\alpha} - \sum_{i,j=2}^{n} \check{\mathbf{H}}_{ij,ij}^{u} = -\frac{2}{\alpha} + \mathrm{Scal}(\check{g}_{u}),$

where we have used the boundary conditions of Proposition 4.19 (or equivalently the form (118) in our compatible coordinates) in order to see that $H_{1j,1j} = 0$ on *F* for j > 1. It thus follows that \check{g}_u is an extremal almost-Kähler metric on *F* and

$$s_{(\Delta,\mathbf{L},F)} = s_{(F,\mathbf{L}_F)} - \frac{2}{\alpha}$$
(46)

Integrating over F, we thus have

$$-\frac{2}{\alpha} = \int_{F} (s_{(\Delta, \mathbf{L}, F)} - s_{(F, \mathbf{L}_{F})}) \mathrm{d}\nu_{F} / \mathrm{Vol}(F), \tag{47}$$

which determines α .

Step 2: Determining β . In order to determine β , notice that (see (15)) at each point $p \in F$, we have $e_1 \wedge d\nu_F = -d\mu$ where we recall that we have set $e_1 = dL_F = e_F$. Furthermore, with our choice of basis we have $(e_j \wedge d\nu_F) = 0$ for j = 2, ..., n. We thus have, using (44),

$$(ds_{(\Delta,\mathbf{L},F)} \wedge \mathrm{d}\nu_F) = \left(\sum_{i,j=1}^n H_{ij,ij1}(p)\right) \mathrm{d}\mu = c\mathrm{d}\mu$$

for a real constant $c = c(\Delta, \mathbf{L}, F)$ determined from the polytope (Δ, \mathbf{L}, F) . In other words,

$$c = \left(\sum_{i,j=1}^{n} H_{ij,ij1}\right)_{F}$$

= $(H_{11,111})_{F} + 2\left(\sum_{j=1}^{n} H_{1j,11j}\right)_{F} + \left(\sum_{i,j=2}^{n} H_{ij,1ij}\right)_{F}$ (48)
= $-\frac{6\beta}{\alpha^{2}} + 2\left(\sum_{j=2}^{n} H_{1j,11j}\right)_{F} + \left(\sum_{i,j=2}^{n} H_{ij,1ij}\right)_{F}$,

where in the last line we have used $(H_{11,111})_F = -\frac{6\beta}{\alpha^2}$, see Proposition 4.19. We are going to integrate (48) over *F*, and to this end we are going to use the integration by parts formula

$$\int_{F} \sum_{j=2}^{n} V_{j,j} d\nu_{F} = -\sum_{\Sigma \subset \partial F} \int_{\Sigma} \langle V, e_{\Sigma} \rangle d\sigma_{\Sigma},$$
(49)

where *F* belongs to the hyperplane $x_1 = 0$ of \mathfrak{t}^* , a smooth function *V* on *F* is seen as a smooth function of the variables (x_2, \ldots, x_n) , the sum is taken over the facets Σ of *F* with inward normal $e_{\Sigma} \in \mathfrak{t}/\mathfrak{t}_F \cong \mathbb{R}^{n-1} = \operatorname{span}_{\mathbb{R}} \{e_2, \ldots, e_n\}$, and the induced measures $d\sigma_{\Sigma}$ are constructed from the label polytope (F, \mathbf{L}_F) via (15). Thus, integrating (48) and using (49) give

$$\left(c + \frac{6\beta}{\alpha^2}\right) \operatorname{Vol}(F) = -2 \sum_{\Sigma \in \partial F} \int_{\Sigma} \left(H(e_1, e_{\Sigma})\right)_{,11} \mathrm{d}\sigma_{\Sigma} - \sum_{\Sigma \in \partial F} \int_{\Sigma} \sum_{i=2}^{n} \left(H(e_i, e_{\Sigma})\right)_{,1i} \mathrm{d}\sigma_{\Sigma}.$$

$$(50)$$

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We recall that in (50), α and *c* have been already defined in terms of (Δ , **L**, *F*), so in order to define β it will be enough to show that each of the two sums at the right-hand side of (50) can also be defined by (Δ , **L**, *F*).

We first deal with the term $\int_{\Sigma} (H(e_1, e_{\Sigma}))_{,11} d\sigma_{\Sigma}$. Notice that if Σ is a facet of F which meets the chosen vertex (= the origin), i.e. if Σ belongs to $\{x_1 = 0, x_j = 0\}, j > 1$, then $((H_{1,e_{\Sigma}})_{,11})|_{|\Sigma} = (H_{1j,11})_{\{x_1=0,x_j=0\}} = 0$ by the expansion (118) of H_{ij} near Σ . For a general facet Σ of F, we let $P \subset \partial \Delta$ be the unique other facet of Δ , such that $\Sigma = F \cap P$ and denote by e_F, e_P the corresponding inward normals. Thus, $t_{\Sigma} = \text{span}_{\mathbb{R}}\{e_F, e_P\}$ is the annihilator of $T_p\Sigma \subset \mathfrak{t}^*$ (where p in a interior point for Σ), equipped with a natural basis $\{e_F, e_P\}$. For any two vectors $e', e'' \in \mathfrak{t}$, the function $\mathbf{H}^u(e', e'')$ is smooth on Δ and we denote by $\text{Hess}\left(\mathbf{H}^u(e', e'')\right)_p$ its Hessian at $p \in \Delta$, computed with respect to the affine structure of \mathfrak{t}^* . Thus, $\text{Hess}\left(\mathbf{H}^u(e', e'')\right)_p \in S^2(\mathfrak{t})$ and with respect to the chosen basis we have

$$H_{ij,kr}(p) = \left\langle e_k^* \otimes e_r^*, \operatorname{Hess}\left(\mathbf{H}^u(e_i, e_j)\right)_p \right\rangle$$

Using the boundary conditions of Proposition 4.19, we notice that for any $e \in \mathfrak{t}$, $d\mathbf{H}^{u}(e_{F}, e) = 0$ along F, and hence along Σ . It thus follows that for each interior point $p \in \Sigma$, the symmetric bilinear form $\operatorname{Hess}(\mathbf{H}^{u}(e_{F}, e))_{p}$ degenerates on $T_{p}\Sigma$, or in other words, for each $p \in \Sigma$, $\operatorname{Hess}(\mathbf{H}^{u}(e_{F}, e))_{p}$ has values in $\mathfrak{t}_{\Sigma} \otimes \mathfrak{t}_{\Sigma}$. Using the basis $\{e_{F}, e_{P}\}$ of \mathfrak{t}_{Σ} , we have a natural decomposition at each point of Σ :

$$\operatorname{Hess}\left(\mathbf{H}^{u}(e_{F}, e)\right) = \left(\mathbf{H}^{u}(e_{F}, e)\right)_{e_{F}e_{F}}e_{F} \otimes e_{F}$$
$$+ \left(\mathbf{H}^{u}(e_{F}, e)\right)_{e_{F}e_{P}}(e_{F} \otimes e_{P} + e_{P} \otimes e_{F})$$
$$+ \left(\mathbf{H}^{u}(e_{F}, e)\right)_{e_{P}e_{P}}e_{P} \otimes e_{P}$$

By choosing a vertex of Δ which belongs to Σ and a basis as above, and letting $e = \sum_{i=1}^{n} a_i e_i$ the coefficients above become

$$\left(\mathbf{H}^{u}(e_{F}, e) \right)_{,e_{F}e_{F}} = \sum_{i=1}^{n} a_{i} H_{1i,11},$$

$$\left(\mathbf{H}^{u}(e_{F}, e) \right)_{,e_{F}e_{F}} = \sum_{i=1}^{n} a_{i} H_{1i,1j},$$

$$\left(\mathbf{H}^{u}(e_{F}, e) \right)_{,e_{F}e_{F}} = \sum_{i=1}^{n} a_{i} H_{1i,jj},$$

where the index j > 1 is determined by $\Sigma \subset \{x_1 = 0, x_j = 0\}$. Using the boundary conditions of Proposition 4.19, which are equivalently expressed by the form (118) of \mathbf{H}^u near Σ , we obtain that on Σ

$$\operatorname{Hess}\left(\mathbf{H}^{u}(e_{F}, e_{F})\right) = \frac{2}{\alpha}e_{F} \otimes e_{F} = \frac{2}{\alpha}e_{1} \otimes e_{1}$$

$$\operatorname{Hess}\left(\mathbf{H}^{u}(e_{F}, e_{P})\right) = 0.$$
(51)

Similarly, using the constancy of $d\mathbf{H}^{u}(e_{P}, e_{P})$ along $\Sigma \subset P$ and (118) with respect to a suitable basis, we also conclude that

$$\operatorname{Hess}(\mathbf{H}^{u}(e_{P}, e_{P})) = (\mathbf{H}^{u}(e_{P}, e_{P}))_{e_{P}e_{P}}e_{P} \otimes e_{P}.$$
(52)

Turning back to the term $\int_{\Sigma} (H(e_1, e_{\Sigma}))_{,11} d\sigma_{\Sigma}$, we notice that the definition of the normal e_{Σ} in the expression $H_{1e_{\Sigma},11}$ uses the initial basis $\{e_1, \ldots, e_n\}$. Indeed, decomposing

$$e_P = \sum_{i=1}^n c_i e_i,$$

we have that $e_{\Sigma} = e_P - c_1 e_1 = e_P - c_1 e_F$ where $c_1 = c_1(\Sigma) = -e_P \wedge dv_F/d\mu$ is a constant determined by the polytope (Δ, \mathbf{L}, F) and the facet Σ of F. It thus follows from (51) that on Σ

$$H(e_1, e_{\Sigma})_{,11} = \left\langle e_1^* \otimes e_1^*, \left(\mathbf{H}^u(e_F, e_P) - c_1 \mathbf{H}^u(e_F, e_F) \right) \right\rangle$$
$$= -\frac{2c_1}{\alpha},$$

and therefore

$$\int_{\Sigma} \left(H(e_1, e_{\Sigma}) \right)_{,11} \mathrm{d}\sigma_{\Sigma} = -\frac{2c_1(\Sigma)}{\alpha} \mathrm{Vol}(\Sigma).$$
(53)

We have thus shown that the first sum in (50) only depends on (Δ, F, \mathbf{L}) .

We now deal with the terms $\int_{\Sigma} \sum_{i=2}^{n} (H(e_i, e_{\Sigma}))_{,1i} d\sigma_{\Sigma}$ in the second sum of (50). First of all, notice that on *F*, the expression

$$\sum_{i=2}^{n} \left(H(e_i, e_{\Sigma}))_{,1i} = \sum_{i=2}^{n} \left\langle e_i^* \otimes e_1^*, \operatorname{Hess}(\mathbf{H}^u(e_i, e_{\Sigma})) \right\rangle$$

does not change if we replace the initial basis $\{e_1 = e_F, e_2, \ldots, e_n\}$ of t with a basis of the form $\{\bar{e}_1 = e_F, \bar{e}_2, \ldots, \bar{e}_n\}$ with $\bar{e}_j \in \text{span}_{\mathbb{R}}\{e_2, \ldots, e_n\} = \mathbb{R}^{n-1}$ for $j = 2, \ldots, n$. We can thus assume that, on a given Σ , we have chosen the basis with $e_2 = e_{\Sigma} \in \mathbb{R}^{n-1}$, and use then the integration by parts formula (49) to write

$$\int_{\Sigma} \sum_{i=2}^{n} \left(H(e_i, e_{\Sigma}))_{,1i} \mathrm{d}\sigma_{\Sigma} = \int_{\Sigma} \left(H(e_2, e_2))_{,12} \mathrm{d}\sigma_{\Sigma} - \sum_{\mathbf{f} \in \partial \Sigma} \int_{\mathbf{f}} H(e_{\mathbf{f}}, e_{\Sigma})_{,1} \mathrm{d}\sigma_{\mathbf{f}}, \right)$$
(54)

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where the sum is over the facets \mathbf{f} of Σ (taken to be zero if n = 2), $e_{\mathbf{f}}$ is the induced inward normal of \mathbf{f} , seen as an affine hyperplane of the affine space supporting Σ , and $d\sigma_{\mathbf{f}}$ is the corresponding induced measure on $\mathbf{f} \subset \Sigma$. With these choices, we have

$$(H(e_2, e_2))_{,12} = \left\langle e_1^* \otimes e_2^*, \operatorname{Hess}(\mathbf{H}^u(e_{\Sigma}, e_{\Sigma})) \right\rangle$$

= $\left\langle e_1^* \otimes e_2^*, \operatorname{Hess}(\mathbf{H}^u(e_P - c_1e_F, e_P - c_1e_F)) \right\rangle$
= $\left\langle e_1^* \otimes e_2^*, \operatorname{Hess}(\mathbf{H}^u(e_P, e_P)) \right\rangle$
= $\mathbf{H}^u(e_P, e_P)_{,e_P,e_P} \langle e_1^* \otimes e_2^*, (c_1e_1 + e_2) \otimes (c_1e_1 + e_2) \rangle$
= $c_1 \mathbf{H}^u(e_P, e_P)_{,e_Pe_P},$

for the same constant $c_1 = c_1(\Sigma)$ as above. In order to compute the (base independent) quantity $\int_{\Sigma} \mathbf{H}^u(e_P, e_P)_{,e_Pe_P} d\sigma_{\Sigma}$, we are going to re-introduce a basis $\{e_1 = e_F, e_2 = e_P, e_3, \ldots, e_n\}$ with respect to a vertex of $v \in \Delta$. In this basis, $\mathbf{H}^u(e_P, e_P)_{,e_Pe_P} = H_{22,22}$. A computation along the lines of (45) yields

$$\int_{\Sigma} s_{(F,\mathbf{L}_F)} d\sigma_{\Sigma} = \int_{\Sigma} -\left(\sum_{i,j=2}^{n} H_{ij,ij}\right) d\sigma_{\Sigma}$$
$$= \int_{\Sigma} \left(\operatorname{Scal}((g_u)_{|\Sigma}) - H_{22,22} - \sum_{j=3}^{n} H_{2j,2j} \right) d\sigma_{\Sigma}$$
$$= \int_{\Sigma} \left(s_{(\Sigma,\mathbf{L}_{\Sigma})} - H_{22,22} \right) d\sigma_{\Sigma} + \sum_{\mathbf{f} \subset \partial \Sigma} \int_{\mathbf{f}} H(e_2, e_{\mathbf{f}})_{,2} d\sigma_{\mathbf{f}}.$$

where $\operatorname{Scal}((g_u)|_{\Sigma}) := -\sum_{i,j=2}^{n} H_{ij,ij}$ is the scalar curvature of the almost-Kähler metric $(g_u)|_{\Sigma}$ induced via \mathbf{H}^u on the pre-image of Σ , and for passing from the second line to the third we have used that $\int_{\Sigma} \operatorname{Scal}((g_u)|_{\Sigma}) d\sigma_{\Sigma} = \int_{\Sigma} s_{(\Sigma, \mathbf{L}_{\Sigma})} d\sigma_{\Sigma}$ (see [22, Lem. 3.3.5]) and (49) applied to $(\Sigma, \mathbf{L}_{\Sigma})$. Note that in the last term (which is considered trivially 0 when n = 2), the sum is over the facets \mathbf{f} of Σ , and $e_{\mathbf{f}}$ denotes the corresponding inward normal of \mathbf{f} (when considered as an affine hyperplane of the subspace { $x_1 = 0, x_2 = 0$ }). We thus have

$$\int_{\Sigma} \mathbf{H}^{u}(e_{P}, e_{P})_{e_{P}e_{P}} \mathrm{d}\sigma_{\Sigma} = \int_{\Sigma} \left(s_{(\Sigma, \mathbf{L}_{\Sigma})} - s_{(F, \mathbf{L}_{F})} \right) \mathrm{d}\sigma_{\Sigma} + \sum_{\mathbf{f} \subset \partial \Sigma} \int_{\mathbf{f}} H(e_{\mathbf{f}}, e_{2})_{2} \mathrm{d}\sigma_{\mathbf{f}},$$

in an (F, Σ) -compatible basis with $e_1 = e_F$, $e_2 = e_P$. Notice that in any such a basis, we have $e_{\mathbf{f}} = e_Q - c_2e_F - c_3e_P = e_Q - c_2e_1 - c_3e_2$, where e_Q is the normal of the unique facet $Q \subset \Delta$, such that $F \cap P \cap Q = \mathbf{f}$. Here, the constants $c_2 = c_2(\Sigma, \mathbf{f}) = -e_Q \wedge d\nu_F/(d\mu)$ and $c_3 = c_3(\Sigma, \mathbf{f}) = -(e_Q \wedge d\nu_P)/(d\mu)$ are determined in terms of (Δ, \mathbf{L}) . In particular, we have on \mathbf{f}

$$H(e_{\mathbf{f}}, e_{2})_{,2} = \left\langle e_{2}^{*}, d\mathbf{H}^{u}(e_{P}, e_{Q} - c_{2}e_{F} - c_{3}e_{P}) \right\rangle$$

= $-c_{3}\left\langle e_{2}^{*}, d\mathbf{H}^{u}(e_{P}, e_{P}) \right\rangle$
= $-2c_{3}\langle e_{2}^{*}, e_{P} \rangle = -2c_{3}\langle e_{2}^{*}, e - 2 \rangle = -2c_{3},$

where we have used the first-order boundary conditions along f (see Proposition 4.19):

$$\left(\mathbf{d}\mathbf{H}^{u}(e_{F}, e_{P}) \right)_{|\mathbf{f}} = 0, \ \forall e \in \mathfrak{t}, \ \left(\mathbf{d}\mathbf{H}^{u}(e_{P}, e_{Q}) \right)_{|\mathbf{f}} = 0 \left(\mathbf{d}\mathbf{H}^{u}(e_{P}, e_{P}) \right)_{|\mathbf{f}} = 2e_{P}, \qquad \left(\mathbf{d}\mathbf{H}^{u}(e_{Q}, e_{Q}) \right)_{|\mathbf{f}} = 2e_{Q}.$$

$$(55)$$

To summarize, we have shown that

$$\int_{\Sigma} \left(H(e_2, e_2) \right)_{,12} d\sigma_{\Sigma} = c_1(\Sigma) \left(\int_{\Sigma} \left(s_{(\Sigma, \mathbf{L}_{\Sigma})} - s_{(F, \mathbf{L}_F)} \right) d\sigma_{\Sigma} -2 \sum_{\mathbf{f} \subset \partial \Sigma} c_3(\Sigma, \mathbf{f}) \operatorname{Vol}(\mathbf{f}) \right).$$
(56)

Finally, we deal with the terms $\int_{\mathbf{f}} H(e_{\mathbf{f}}, e_{\Sigma})_{,1} d\sigma_{\mathbf{f}}$ in (54) (where we recall $\mathbf{f} \subset \Sigma \subset F$ is sequence of co-dimension one sub-faces). Using (55) again, we have along \mathbf{f}

$$H(e_{\mathbf{f}}, e_{\Sigma})_{,1} = \left\langle e_{1}^{*}, \mathbf{d}\mathbf{H}^{u}(e_{Q} - c_{2}e_{F} - c_{3}e_{P}, e_{F} - c_{1}e_{P}) \right\rangle$$

= $2c_{1}c_{3}\langle e_{1}^{*}, e_{P} \rangle$
= $2c_{1}c_{3}\langle e_{1}^{*}, e_{2} + c_{1}e_{1} \rangle = 2c_{1}^{2}c_{3},$

where for passing from the second line to the third we have used that we choose in (54) a base with $e_1 = e_F$, $e_2 = e_{\Sigma} = e_P - c_1 e_F = e_P - c_1 e_1$. It follows that

$$\sum_{\mathbf{f}\subset\partial\Sigma} H(e_{\mathbf{f}}, e_{\Sigma})_{,1} \mathrm{d}\sigma_{\mathbf{f}} = \left(c_{1}(\Sigma)\right)^{2} \left(\sum_{\mathbf{f}\subset\Sigma} c_{3}(\Sigma, \mathbf{f})\right).$$
(57)

Substituting (56) and (57) back in (54), and (53), (54) and (47) back in (50), we obtain an expression for β in terms of (Δ , L, *F*).

Remark 4.21 (1) Theorem 4.18 and Proposition 4.20 extend without difficulty to the case when $F = F_1 \cup \cdots \cup F_k$ is a union of *non-intersecting* facets, i.e. *Z* is a smooth toric divisor. In general, it is natural to extend Definition 4.16 by introducing a pair of real numbers (α_i, β_i) for each facet $F_i \subset F$ and, for each face $\mathbf{f} \subset F$, one should require the smoothness and convexity over the relative interior of \mathbf{f} of the function

$$u_{\mathbf{f}} := u + \sum_{F_i \in F: \mathbf{f} \subset F_i} (\alpha_i + \beta_i L_i) \log L_i.$$

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It will be interesting to see whether or not the above statements hold true for a general toric divisor as above, with respect to such spaces of symplectic potentials (compare with Conjecture 4.14).

(2) The explicit examples in the next section suggest that the complete Donaldson metrics will have, more generally, symplectic potentials *u* such that

$$u = \frac{1}{2} \left(\sum_{F_k \notin F} L_k(x) \log L_k(x) + \sum_{F_i \in F} f_i(x) \log L_i(x) \right) + \text{smooth terms}.$$

where, for any facet $F_i \in F$, f_i is some affine function.

(3) As we noticed in the course of the proof of Proposition 4.20, the situation simplifies when n = 2. In fact, one can then explicitly determine (α, β) as follows: suppose (without loss of generality) that (Δ, \mathbf{L}, F) is such that $\Delta \subset \{(x_1, x_2) : x_1 \ge 0, x_2 \ge 0, \ \ell - x_2 - \lambda x_1 \ge 0\}$, *F* corresponds to the affine line $x_1 = 0$, whereas the two adjacent facets of Δ to *F* are defined by the affine lines $x_2 = 0$ and $\ell - x_2 - \lambda x_1 = 0$. Suppose, furthermore, that the extremal affine function of (Δ, \mathbf{L}, F) is $s_{(\Delta, \mathbf{L}, F)} = a_0 + a_1x_1 + a_2x_2$. Then the real parameters (α, β) of Proposition 4.20 are given by

$$\alpha = \frac{2\ell}{4 - a_0\ell}, \quad \beta = \frac{\alpha^2}{6} \left(a_1 + \frac{2\lambda a_0}{\ell} - \frac{12\lambda}{\ell^2} \right).$$

4.4 Uniform Stability

In the seminal work [22], Donaldson also introduces the notion of *uniform stability* of a compact convex simple labelled polytope (Δ , L). This has been later extended in [45] to the general (non-toric) context.

Following [22], let $\mathcal{C}(\Delta)$ denote the set of continuous convex functions on Δ (continuity follows from convexity on the interior of Δ). The affine linear functions act on $\mathcal{C}(\Delta)$ by translation and let $\tilde{\mathcal{C}}(\Delta)$ be the slice for this action consisting of $f \in \mathcal{C}(\Delta)$ such that $f(x) \ge f(x_0) = 0$ for a fixed reference point $x_0 \in \Delta^0$. Then any f in $\mathcal{C}(\Delta)$ can be written uniquely as

$$f = \pi(f) + g,$$

where g is affine linear and $\pi(f) \in \tilde{\mathcal{C}}(\Delta)$ for a linear projection π . Functions in $\tilde{\mathcal{C}}(\Delta)$ are said to be *normalized*.

Let $|| \cdot ||$ be a semi-norm on $C(\Delta)$ which indices a norm on $\tilde{C}(\Delta)$, which is *tamed* in the sense that there exists C > 0 such that on $\tilde{C}(\Delta)$

$$\frac{1}{C}||\cdot||_{1} \le ||\cdot|| \le C||\cdot||_{\infty},$$

where $||\cdot||_1 := \int_{\Delta} |\cdot| dv$ is the L^1 -norm and $||\cdot||_{\infty}$ is the C^0 -norm on $\mathcal{C}(\Delta)$. One can take $||\cdot||$ to be the L^p -norm for some $p \ge 1$ as in [45], but Donaldson considers instead the boundary norm $||f||_b := \int_{\partial \Lambda} f d\sigma$ (and shows it is tamed [22, Lemma 5.1.3]).

Definition 4.22 A labelled compact convex simple polytope (Δ, \mathbf{L}) is called *uniformly stable* with respect to the a tamed norm $|| \cdot ||$ if

$$\mathcal{L}_{(\Delta,\mathbf{L})}(f) \ge \lambda ||\pi(f)||, \tag{58}$$

for all piecewise affine linear convex functions f, where $\mathcal{L}_{(\Delta, \mathbf{L})}$ is the linear functional introduced in Definition 4.4.

The uniform stability is, a priori, a stronger condition than the stability of (Δ, \mathbf{L}) introduced in Definition 4.4. In [16], Chen, Li and Sheng have strengthened Proposition 4.5.

Proposition 4.23 [16] If (Δ, \mathbf{L}) is a labelled compact convex simple polytope such that the Abreu equation (30) admits a solution in $S(\Delta, \mathbf{L})$, then (Δ, \mathbf{L}) is uniformly *K*-stable with respect to $|| \cdot ||_b$.

We notice that the above result implies that (Δ, \mathbf{L}) is also $||\cdot||_1$ -uniform stable as $||\cdot||_b$ is tamed. The 2-dimensional case appears to be special as Donaldson shows in [22, Prop. 5.2.1 and 5.3.1] that if the corresponding extremal affine linear function $s_{(\Delta,\mathbf{L})}$ is strictly positive on Δ , then (Δ, \mathbf{L}) is K-stable if and only if it is uniformly K-stable with respect to $||\cdot||_b$.

As the space of convex piecewise affine linear convex functions is dense in $C(\Delta)$ for any tamed norm, it follows that for a uniformly stable labelled polytope (Δ, \mathbf{L}) the inequality (58) holds true for $f \in C(\Delta)$ and, in particular, for any symplectic potential $u \in S(\Delta, \mathbf{L})$. Using this and an argument from [22], Zhou and Zhu have established in [50] the following key result.

Proposition 4.24 [50] *If* (Δ, \mathbf{L}) *is uniformly stable with respect to* $|| \cdot ||$ *, then there exists* $\delta > 0$ *and C such that*

$$\mathcal{M}_{(\Delta,\mathbf{L})}(u) \ge \delta ||\pi(u)|| + C \text{ for all } u \in \mathcal{S}(\Delta,\mathbf{L}), \tag{59}$$

where $\mathcal{M}_{(\Delta,\mathbf{L})}$ is the relative Mabuchi functional introduced in (31).

Remark 4.25 The above result is established in [50] for the norm $|| \cdot ||_b$ (the case $\delta = 0$ is due to [22]). The extension to any tamed norm appears in [13].

The importance of the uniform stability discussed above has manifested recently in connection with the notion of d_1 -relative properness of the (relative) Mabuchi energy used by Chen–Cheng in their deep work [15], and in its extension to the extremal case found by He [33], who show that the latter is a sufficient condition for the existence of an extremal Kähler metric. More precisely, in the toric setting, it turns out that on a Delzant polytope (Δ , L) the condition (59) with respect to the (weakest) tamed norm $|| \cdot ||_1$ yields that the relative d_1 -properness of the relative Mabuchi energy on the corresponding smooth toric variety *X* (see e.g. [3, Sect. 7.1] or [37] for a detailed

argument) which in turn leads to the following result, generalizing and extending the results in [17,25] to arbitrary dimension:

Proposition 4.26 [15,17,25,33] *Suppose* (Δ, \mathbf{L}) *is a uniformly stable Delzant polytope with respect to* $|| \cdot ||_1$. *Then the* (30) *admits a solution in* $S(\Delta, \mathbf{L})$.

In the light of Proposition 4.26, one might consider in Conjecture 4.14 the *uniform* stability for the triple (Δ, \mathbf{L}, F) and the corresponding facets in F (with respect to the norm $|| \cdot ||_1$) instead of the weaker notion of K-stability introduced in Definition 4.10. As a matter of fact, when Z is a smooth toric divisor, the arguments in the proof of Theorem 4.13 and Proposition 4.23 imply that the uniform stability of the Delzant facets in F is a necessary condition for the existence of an extremal Poincaré type metric on $X \setminus Z$. However, extending Proposition 4.23 to the triple (Δ, \mathbf{L}, F) is not obvious. Indeed, the arguments in [16] rely on the boundary norm $|| \cdot ||_b$, which is not longer tamed if we integrate over $\partial \Delta \setminus F$. Another issue is the extension of Proposition 4.24 as the space $S_{\alpha,\beta}(\Delta, \mathbf{L})$ no longer embeds in $C(\Delta)$. Finally, it is not clear whether the properness of the relative Mabuchi energy can be used to obtain a solution in the non-compact context.

We end the discussion by noticing that the assumption that (Δ, \mathbf{L}, F) is $|| \cdot ||_1$ uniformly stable is natural if one tries to approach the existence of an extremal Kähler metric of Poincaré type on the corresponding toric variety $X \setminus Z$, via the continuity method of [24]. Indeed, assuming that (Δ, \mathbf{L}, F) is $||\cdot||_1$ -uniform stable implies that so will be the labelled convex compact polytopes (Δ, \mathbf{L}_t) for t > 0, where the labelling L_t is obtained from L by replacing L_i with tL_i for each defining function L_i of a facet $F_i \subset F$. The resulting polytope (Δ, \mathbf{L}_t) describes a toric variety X with an *edge* singularity of angle $2\pi/t$ along the components of the divisor Z, see [36, Prop. 6.4]. If Proposition 4.26 can be extended to toric varieties with such edge singularities, then one could find a solution $u_t \in \mathcal{S}(\Delta, \mathbf{L}_t)$ of (30) for each t big enough, and try to show that there exist affine linear functions g_t such that $u_t + g_t$ converges as $t \to \infty$ to a solution of (35) in the space $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$. It can be checked explicitly that such a convergence does hold for some of the examples discussed in this section and the next one. For instance, the potentials u_t of Remark 4.17 actually give rise to edge singular extremal metrics on $\mathbb{C}P^1$ and converge, as the angle of the singularity $2\pi/t$ goes to 0, to the symplectic potential u extremal of (43), itself associated to a Poincaré type metric on $\mathbb{C}P^1 \setminus \{0\}$. Similarly, the Poincaré type extremal metric on $\mathbb{C}P^2 \setminus \mathbb{C}P^1$ described in Theorem 5.13 was originally discovered in [2] as a smooth limit of Bochner-flat metrics on weighted projective spaces. Another motivating example for this approach, beyond the toric context consider in this paper, is the work of Guenancia [29] which produces Poincaré type negative Kähler-Einstein metrics as limits of Kähler-Einstein metrics with edge singularity.

5 Explicit Donaldson Metrics on Quadrilaterals

We will show in this section, by using the explicit constructions of [5,6], that Conjecture 4.11 is true for $X = \mathbb{C}P^2$, $\mathbb{C}P^1 \times \mathbb{C}P^1$ or the *m*th Hirzebruch complex surface $\mathbb{F}_m = \mathbb{P}(\mathcal{O} \oplus \mathcal{O}(m)) \to \mathbb{C}P^1$, $m \ge 1$.

By [5, Thm. 1 and Rem. 7], any *stable* compact convex quadrilateral (Δ , L, F) in \mathbb{R}^2 admits a Donaldson metric, which is explicit and ambitoric. Dixon [21] showed that when (Δ , L, F) corresponds to a compact toric complex orbi-surface X with a divisor Z, the metric is complete on $X \setminus Z$. In other words, Conjecture 4.11 holds true for compact toric surfaces whose momentum polytope is a quadrilateral. On the other hand, a detailed study of the stability of the triples (Δ , L, F) was carried out by the third named author in [40]. In the next subsections we shall combine these results in order to obtain a complete picture in the case when $X = \mathbb{C}P^2$, $\mathbb{C}P^1 \times \mathbb{C}P^1$ or $\mathbb{P}(\mathcal{O} \oplus \mathcal{O}(k)) \to \mathbb{C}P^1$, i.e. (Δ , L) is a Delzant triangle, parallelogram or a trapezoid.

5.1 Ambitoric Structures

Here we briefly review the explicit construction of extremal toric metrics for n = 2 via the ambitoric ansatz of [6].

Definition 5.1 An *ambikähler structure* on a real 4-manifold or orbifold *M* consists of a pair of Kähler metrics (g_+, J_+, ω_+) and (g_-, J_-, ω_-) such that

- g₊ and g₋ induce the same conformal structure (i.e. g₋ = f²g₊ for a positive function f on M);
- J_+ and J_- have opposite orientations (equivalently the volume elements $\frac{1}{2}\omega_+ \wedge \omega_+$ and $\frac{1}{2}\omega_- \wedge \omega_-$ on M have opposite signs).

The structure is said to be ambitoric if in addition

• there is a 2-dimensional subspace t of vector fields on M, linearly independent on a dense open set, whose elements are hamiltonian and Poisson-commuting Killing vector fields with respect to both (g_+, ω_+) and (g_-, ω_-) .

Thus *M* has a pair of conformally equivalent but oppositely oriented Kähler metrics, invariant under a local 2-torus action, and both locally toric with respect to that action. There are three classes of examples of ambitoric structures.

5.2 Toric Products

Let $(\Sigma_1, g_1, J_1, \omega_1)$ and $(\Sigma_2, g_2, J_2, \omega_2)$ be (locally) toric Kähler manifolds or orbifolds of real dimension 2, with hamiltonian Killing vector fields K_1 and K_2 . Then $M = \Sigma_1 \times \Sigma_2$ is ambitoric, with $g_{\pm} = g_1 \oplus g_2$, $J_{\pm} = J_1 \oplus (\pm J_2)$, $\omega_{\pm} = \omega_1 \oplus (\pm \omega_2)$ and t spanned by K_1 and K_2 . The metric g_+ is extremal (resp. CSCK) iff g_- is extremal (resp. CSCK) iff both g_1 and g_2 are extremal (resp. CSCK). Writing (Σ_1, g_1) and (Σ_2, g_2) as toric Riemann surfaces

$$g_1 = \frac{\mathrm{d}x^2}{A(x)} + A(x)\mathrm{d}t_1^2; \ g_2 = \frac{\mathrm{d}y^2}{B(y)} + B(y)\mathrm{d}t_2^2,$$

for positive functions A, B of one variable, and momentum/angular coordinates

$$x_1 = x, x_2 = y, t_1, t_2,$$

the extremal metrics are given by taking A and B to be polynomials of degrees ≤ 3 . In this case, we obtain solutions to Abreu's equation on labelled parallelograms (Δ , L, F) (which are affine equivalent to a square) by taking

$$\mathbf{H}^{A,B} = \operatorname{diag}(A(x), B(y)) \tag{60}$$

for *A* and *B* polynomials of degree ≤ 3 and noting that the positivity and boundary conditions of Definition 4.6 reduce to A > 0 on $(\alpha_0, \alpha_\infty)$, B > 0 on (β_0, β_∞) and

$$A(\alpha_k) = 0 = B(\beta_k) = 0, \quad A'(\alpha_k) = -2r_{\alpha,k}, \quad B'(\beta_k) = 2r_{\beta,k} \quad (k = 0, \infty),$$
(61)

where $r_{\alpha,0} \leq 0 \leq r_{\alpha,\infty}$, $r_{\beta,0} \geq 0 \geq r_{\beta,\infty}$ are determined by the choice of inward normals $e_{\alpha,k} = \frac{1}{r_{\alpha,k}}(-1,0)$ (resp. $e_{\beta,k} = \frac{1}{r_{\beta,k}}(0,1)$) if the facet $F_{\alpha,k}$ (resp. $F_{\beta,k}$) defined by $x = \alpha_k$ (resp. $y = \beta_k$) does not belong to F, and $r_{\alpha,k} = 0$ (resp. $r_{\beta,k} = 0$) otherwise. The above boundary conditions can be solved for polynomials of degree 3, A(x) and B(y), if and only if $|r_{\alpha,0}| + |r_{\alpha,\infty}| > 0$ and $|r_{\beta,0}| + |r_{\beta,\infty}| > 0$, i.e. iff no two opposite sides of Δ belong to F: in this case, the positivity of A and Bautomatically follows from the boundary conditions. On the other hand, when two opposite sides of Δ belong to F there is no solution to (35) verifying the positivity condition. Indeed, if $r_{\alpha,0} = r_{\alpha,\infty} = 0$ say, then $\mathbf{H}^{A,B} = \text{diag}(A(x), B(y))$ with $A \equiv 0$ and B(y) a polynomial of degree ≤ 3 determined from (61). This provides a formal solution of (35). The latter can be used (by using integration by parts, as in [5,35]) to compute that $\mathcal{L}_{\Delta,u,F}(f_{\alpha}) = 0$ for any simple crease function f_{α} with crease at $x = \alpha$ ($\alpha \in (\alpha_0, \alpha_\infty)$), showing that (Δ, \mathbf{L}, F) is not stable in this case.

Whenever it exists, the solution $u_{A,B}$ is determined from (60) by the formula

$$u_{A,B} = \int^{x} \left(\int^{s} \frac{\mathrm{d}t}{A(t)} \right) \mathrm{d}s + \int^{y} \left(\int^{s} \frac{\mathrm{d}t}{B(t)} \right) \mathrm{d}s,$$

which leads to the intrinsic expression

$$u_{A,B} = \frac{1}{2} \left(\sum_{F_j \in \partial \Delta} L_j \log L_j - \sum_{F_k \in F} a_k \log L_k^c \right).$$
(62)

where, for each facet $F_j \in \partial \Delta \setminus F$, $L_j(x) = \langle e_j, x \rangle + \lambda_j$ is the corresponding label from **L** and, for each $F_k \in F$, we define the label $L_k^c(x) := \langle e_k, x \rangle + \lambda_k$ by requiring that $e_k := -e_{\tilde{k}} = -dL_{\tilde{k}}$ where $L_{\tilde{k}}$ is the label form **L** of the *opposite* side $F_{\tilde{k}}$ to F_k (by the discussion above, $F_{\tilde{k}} \in \partial \Delta \setminus F$) and let $a_k := L_k + L_{\tilde{k}} > 0$ be a real constant.

We notice that when the solution exists, the degree ≤ 3 polynomials A(x) and B(y) must satisfy $A''(x) = A''(\alpha_k) > 0$ (resp. $B''(y) = B''(\beta_k) > 0$) on facets in *F*. The formula for the scalar curvature

$$s_{+} = -(A''(x) + B''(y))$$

then confirms that $s_{(\Delta, \mathbf{L}, F)} - s_{(F, \mathbf{L}_F, \check{F})}$ restricts to F as a negative constant.

We conclude that

Proposition 5.2 Let (Δ, \mathbf{L}, F) be a labelled parallelogram in \mathbb{R}^2 . Then the Abreu equation (35) admits a solution in $\mathcal{S}(\Delta, \mathbf{L}, F)$ iff F does not contain opposite sides. In this case, there exists a solution explicitly given by (62).

Turning to the compact smooth case, there exists only one compact complex toric surface whose Delzant polytopes are parallelograms, namely $X = \mathbb{C}P^1 \times \mathbb{C}P^1$. The result above trivially produces products of a cusp metric on $\mathbb{C}P^1 \setminus \{pt\}$ with a Fubini–Study metric on another copy of $\mathbb{C}P^1$, or the product of two cusp metrics on $(\mathbb{C}P^1 \setminus \{pt\}) \times (\mathbb{C}P^1 \setminus \{pt\})$, according to whether $Z \subset \mathbb{C}P^1 \times \mathbb{C}P^1$ is a copy of $\mathbb{C}P^1$ (i.e. *F* is a one facet) or is the union of two copies of $\mathbb{C}P^1$ (i.e. *F* consists of two adjacent facets). We thus can conclude that

Corollary 5.3 Let $X = \mathbb{C}P^1 \times \mathbb{C}P^1$ endowed with the product of circle actions on each factor, and Z be either $\mathbb{C}P^1 \times \{p_2\}$ or $(\mathbb{C}P^1 \times \{p_2\}) \cup (\{p_1\} \times \mathbb{C}P^1)$ where p_1 and p_2 are fixed points for the S^1 actions on each factor. Then, in each Kähler class of $\mathbb{C}P^1 \times \mathbb{C}P^1$, there exists a complete extremal Donaldson metric on $\mathbb{C}P^1 \times \mathbb{C}P^1 \setminus Z$ which is of Poincaré type.

If Z contains $(\mathbb{C}P^1 \times \{p'_2\}) \cup (\mathbb{C}P^1 \times \{p''_2\})$ where p'_2 and p''_2 are the two distinct fixed points for the S¹ action, then (X, Z) is K-unstable, and admits no extremal Donaldson metric at all.

5.3 Toric Calabi Type Metrics

The construction in this section is not new, see e.g. [34]. For the sake of completeness, and to make the link with toric geometry more explicit, we follow the formalism from [6].

Let (Σ, g, J, ω) be a toric real 2-dimensional Kähler manifold with hamiltonian Killing vector field V (with momentum y). Let $\pi : P \to \Sigma$ be a circle bundle with connection θ and curvature $d\theta = \pi^* \omega_{\Sigma}$, and A(x) be a positive function defined on an open interval $I \subset \mathbb{R}^+$. Then $M = P \times I$ is ambitoric, with

$$g_{\pm} = x^{\pm 1} \Big(g_{\Sigma} + \frac{\mathrm{d}x^2}{A(x)} + \frac{A(x)}{x^2} \theta^2 \Big), \ d\theta = \omega_{\Sigma},$$

$$\omega_{\pm} = x^{\pm 1} \Big(\omega_{\Sigma} \pm x^{-1} \mathrm{d}x \wedge \theta \Big), \qquad J_{\pm}(x \mathrm{d}x) = \pm A(x)\theta,$$

and the local torus action spanned by the generator K of the circle action on P and the lift $\tilde{V} = V^H + yK$ of the hamiltonian Killing field of $(\Sigma, g_{\Sigma}, \omega_{\Sigma})$ to M. Here, $x: M \to \mathbb{R}^+$ is the projection onto $I \subset \mathbb{R}^+$. It is easily seen that g_+ is extremal (resp. CSCK) iff g_- is extremal iff (Σ, g) has constant Gauss curvature κ and A(x)is a polynomial of degree ≤ 4 with coefficient of x^2 equal to κ . Because of this equivalence, we shall focus on (g_+, ω_+) , say.

Writing the toric metric $(g_{\Sigma}, \omega_{\Sigma})$ in momentum/angle coordinates as

$$g_{\Sigma} = \frac{\mathrm{d}y^2}{B(y)} + B(y)\mathrm{d}t_2^2, \ \omega_{\Sigma} = \mathrm{d}y \wedge \mathrm{d}t_2, \tag{63}$$

for a positive function B(y), the Kähler metric (g_+, ω_+) becomes (see [35])

$$g_{+} = x \frac{dx^{2}}{A(x)} + x \frac{dy^{2}}{B(y)} + \frac{A(x)}{x} (dt_{1} + ydt_{2})^{2} + xB(y)dt_{2}^{2},$$

$$\omega_{+} = dx \wedge dt_{1} + d(xy) \wedge dt_{2} = dx_{1} \wedge dt_{1} + dx_{2} \wedge dt_{2},$$
(64)

with

$$(x_1, x_2) = (x, xy) \tag{65}$$

being the momentum coordinates and (t_1, t_2) the angular coordinates. The corresponding symplectic potential is then

$$u_{A,B}(x, y) = x \int^{y} \left(\int^{s} \frac{\mathrm{d}t}{B(t)} \right) \mathrm{d}s + \int^{x} \left(\int^{s} \frac{t \mathrm{d}t}{A(t)} \right) \mathrm{d}s.$$
 (66)

In order to obtain functions in $S(\Delta, \mathbf{L}, F)$ for some compact convex labelled polytope (Δ, \mathbf{L}, F) , we fix the data of real numbers

$$0 \le \beta_0 < \beta_\infty, \ 0 < \alpha_0 < \alpha_\infty, \ r_{\alpha,0} \le 0 \le r_{\alpha,\infty}, \ r_{\beta,0} \ge 0 \ge r_{\beta,\infty}$$
(67)

and impose the following positivity and boundary conditions on the smooth functions of one variable A(x) and B(y)

$$A(x) > 0 \text{ on } (\alpha_0, \alpha_\infty) \text{ and } B(y) > 0 \text{ on } (\beta_0, \beta_\infty), \tag{68}$$

$$A(\alpha_k) = 0, A'(\alpha_k) = -2r_{\alpha,k}, \ B(\beta_k) = 0, B'(\beta_k) = -2r_{\beta,k}, \ (k = 0, \infty).$$
(69)

Note that the line $\{x = \alpha\}$ transforms in the (x_1, x_2) -coordinates (65) to the affine line $\ell_{\alpha} = \{(\alpha, x_2)\}$ with normal $p_{\alpha} = (\alpha, 0)$ and $y = \beta$ ($\beta > 0$) to the affine line $\ell_{\beta} = \{(x_1, \beta x_1)\}$ with normal $p_{\beta} = (-\beta, 1)$. Thus, the image of $D = [\alpha_0, \alpha_\infty] \times [\beta_0, \beta_\infty]$ under (65) is a trapezoid Δ with facets $F_{\alpha,k}, F_{\beta,k}$ determined by the lines $\ell_{\alpha_k}, \ell_{\beta_k}$, and the inverse Hessian $\mathbf{H}^{A,B}$ of $u_{A,B}$ is given by

$$\mathbf{H}^{A,B} = \frac{1}{x} \begin{pmatrix} A(x) & yA(x) \\ yA(x) & x^2B(y) + y^2A(x) \end{pmatrix}.$$
 (70)

We write for the normals $e_{\alpha,k} = p_{\alpha_k}/r_{\alpha,k}$, $e_{\beta,k} = p_{\beta_k}/r_{\beta,k}$. Then, $\mathbf{H}^{A,B}$ satisfies the smoothness, positivity and boundary conditions (32)–(33) iff $r_{\alpha,k} = 0$ (resp. $r_{\beta,k} = 0$) on a facet $F_{\alpha,k} \in F$ (resp. $F_{\beta,k} \in F$).

Conversely, by [35, Lem. 4.7], if (Δ, \mathbf{L}, F) is a labelled trapezoid, there exist real numbers $\alpha_k, r_{\alpha,k}, \kappa$ ($k = 0, \infty$), subject to the inequalities (67), such that Δ the image of $D = [\alpha_0, \alpha_\infty] \times [\beta_0, \beta_\infty]$ under (μ_1, μ_2) , and $r_{\alpha,k}$ are determined from the normals \mathbf{L} and F as explained above. It is easily seen [35, Prop. 4.12] that (70) satisfies (35) if and only if A(x) is a polynomial of degree ≤ 4 , and B(y) is a polynomial of degree ≤ 2 , which satisfy

$$A''(0) + B''(0) = 0. (71)$$

For such polynomials to satisfy (69), one must have $r_{\beta,0} = -r_{\beta,\infty} = r \ge 0$. This is also a sufficient condition to determine the polynomials A(x) and B(y) from (69), subject to the relation (71). In particular, $B(y) = \frac{r}{(\beta_2 - \beta_1)}(y - \beta_1)(\beta_{\infty} - y)$, showing that the positivity conditions (68) imply r > 0, i.e. $r_{\beta,k} \ne 0$. This also implies positivity for A(x) on $(\alpha_0, \alpha_\infty)$: otherwise A(x) will have all of its roots between $[\alpha_0, \alpha_\infty]$ and, by the boundary conditions (69), it must satisfy $\lim_{x\to\infty} A(x) = -\infty$. The latter contradicts A''(0) = -B''(0) > 0. The corresponding Kähler metric has scalar curvature

$$s_{+} = -\frac{A''(x) + B''(y)}{x},$$
(72)

showing that the extremal affine function $s_{(\Delta, \mathbf{L}, F)}$ determines an affine line parallel to $F_{\alpha,0}$ and $F_{\alpha,\infty}$. Conversely, the proofs of [35, Lem. 4.2, Thm. 1.4] show that if (Δ, \mathbf{L}, F) is a labelled trapezoid (Δ, \mathbf{L}, F) which is not a parallelogram, and the extremal affine function $s_{(\Delta, \mathbf{L}, F)}$ is constant on each of the pair of parallel facets of Δ , then one can associate to (Δ, \mathbf{L}, F) data (67) satisfying the relation $r_{\beta,0} = -r_{\beta,\infty} =$ $r \ge 0$. The case r = 0 (i.e. when two opposite non-parallel facets of Δ belong to F) implies $B(y) \equiv 0$. As observed in [45], and similarly to the case of a parallelogram, this contradicts the stability of (Δ, \mathbf{L}, F) . Indeed, substituting in (70), we still obtain a smooth matrix $\mathbf{H}^{A,B}$ on Δ verifying (35) and the boundary conditions of Definition 4.6. This can be used to compute $\mathcal{L}_{(\Delta, u, F)}(f_{\alpha})$ for a simple crease function f_{α} with crease on the line $\ell_{\alpha} = \{x = \alpha\}$: integration by parts reduces to an integral over the crease of the quantity $\mathbf{H}^{A,0}(p_{\alpha}, p_{\alpha}) = 0$, showing that $\mathcal{L}_{(\Delta, \mathbf{L}, F)}(f_{\alpha}) = 0$, i.e. (Δ, \mathbf{L}, F) is not stable. We summarize the discussion in the following:

Proposition 5.4 [35,45] Let (Δ, \mathbf{L}, F) be a labelled trapezoid in \mathbb{R}^2 which is not a parallelogram. Suppose that the corresponding extremal affine function $s_{(\Delta, \mathbf{L}, F)}$ is constant on each of the pair of parallel facets of Δ . Then (Δ, \mathbf{L}, F) admits a solution to (35) in $S(\Delta, \mathbf{L}, F)$ if and only if (Δ, F) is stable, if and only if F is one or the union of 2 of the parallel facets of Δ . In these cases, the solution is of Calabi type, i.e. given by (66) for polynomials A(x) and B(y) as described above.

In order to derive further geometric applications, we use [35, Cor. 1.6] which identifies the choice of labels **L** of a given trapezoid Δ for which $s_{(\Delta, \mathbf{L}, F)}$ is constant on each of the pair of parallel facets with one single linear constraint on the pair of inward normals to non-parallel facets. Up to an overall positive rescaling of **L**, this fixes the choice of these normals, but leaves no constraint on the pair of normals corresponding to the parallel opposite facets. In our notation, this corresponds to fixing the boundary condition for B(y) and allowing $r_{\alpha,0} \leq 0 \leq r_{\alpha,\infty}$ to be arbitrary real numbers. It thus follows that if (Δ, \mathbf{L}) is a labelled trapezoid for which the corresponding extremal affine function $s_{(\Delta, \mathbf{L})}$ is parallel to the pair of parallel facets, then, by taking *F* to be either one or two of the parallel facets of Δ , the extremal affine function $s_{(\Delta, \mathbf{L}, F)}$ must also be parallel to the pair of parallel facets. We now apply this observation to Delzant trapezoids (Δ, \mathbf{L}) .

The compact toric complex surfaces X for which the Delzant polytopes are trapezoids (but not parallelograms) are the Hirzebruch surfaces $\mathbb{F}_m = \mathbb{P}(\mathcal{O} \oplus \mathcal{O}(m)) \rightarrow \mathbb{C}P^1$, $m \ge 1$. Calabi [12] has shown that these surfaces admit extremal Kähler metric of Calabi type in each Kähler class. In particular, the extremal affine functions are always constant on the pair of parallel facets of the corresponding Delzant polytopes. Thus, Proposition 5.4 yields the following natural extension of Calabi's result.

Corollary 5.5 Let $X \cong \mathbb{F}_m = \mathbb{P}(\mathcal{O} \oplus \mathcal{O}(m)) \to \mathbb{C}P^1$ be the mth Hirzebruch surface and $Z \subset X$ the divisor consisting of either the zero section S_0 , the infinity section S_∞ or the union of both. Then $X \setminus Z$ admits a complete extremal Donaldson–Kähler metric in each Kähler class of \mathbb{F}_m . Furthermore, this metric is of Poincaré type.

Proof The only additional clarification we need to supply is whether the explicit extremal Calabi type metrics are of Poincaré type. This follows from the expression (70), noting that the only the facets $F_{\alpha,k}$ are in F, and on such a facet (having normal vector $(\alpha_k, 0)$), the boundary conditions of Proposition 4.19 reduce to $A(\alpha_k) = A'(\alpha_k) = 0$ and $A'''(\alpha_k) \neq 0$. If these hold, the extremal Kähler metric of Calabi type is manifestly in some class $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$, and thus is of Poincaré type according to Theorem 4.18. The vanishing conditions are always satisfied. The only condition we need to verify is $A'''(\alpha_k) \neq 0$. To this end, we describe the solutions explicitly.

Letting

$$\begin{aligned}
\alpha_0 &= 1, \, \alpha_\infty = a > 1, \, r_{\alpha,k} = 0, \\
\beta_0 &= 0, \, \beta_\infty = m, \, r_{\beta,0} = -r_{\beta,\infty} = 1,
\end{aligned}$$
(73)

we obtain in the case $Z = S_0 \cup S_\infty$ an extremal Kähler metric on $X \setminus Z$ given by (64) with

$$B(y) = -\frac{2}{m}y(y-m), \ A(x) = -\frac{2}{m(a^2+4a+1)}(x-1)^2(x-a)^2$$
(74)

where a > 1 parametrizes (up to a scale) the Kähler cone of \mathbb{F}_m . This is a complete extremal Kähler metric defined on the total space of the principal \mathbb{C}^{\times} -bundle over $\mathbb{C}P^1$ classified by $c_1(\mathcal{O}(m)) \in H^2(\mathbb{C}P^1, \mathbb{Z})$, with cusp singularities at 0 and ∞ . The conditions $A'''(1) \neq 0 \neq A'''(a)$ obviously hold.

Similarly, when $Z = S_0$ say, for the same choice of α_k , β_k the extremal solution is given by (64) with

$$B(y) = -\frac{2}{m}y(y-m), \ A(x) = -(px+q)(x-1)^2(x-a),$$
(75)

where the constants p, q are given by

$$p = \frac{2\left(\frac{r_{\alpha,\infty}(a+2)}{(a-1)^2} - \frac{1}{m}\right)}{(a^2 + 4a + 1)},$$

$$q = \frac{2\left(\frac{r_{\alpha,\infty}(2a+1)}{(a-1)^2} + \frac{a}{m}\right)}{(a^2 + 4a + 1)}.$$
(76)

Such a metric compactifies smoothly at S_{∞} precisely when the real parameter $r_{\alpha,\infty} = 1$, which gives the complete extremal Kähler metrics in Corollary 5.5; for other values

of $r_{\alpha,\infty} > 0$, one gets a complete metric on $X \setminus S_0$ with a cone singularity of angle $2\pi r_{\alpha,\infty}$ along S_{∞} . Now, the condition A'''(1) = 0 is equivalent to p = -q. With $r_{\alpha,\infty} = 1$, this reads as

$$3m(a+1) + (a-1)^3 = 0,$$

which is impossible for a > 1.

The case of $Z = S_{\infty}$ can be treated similarly.

Remark 5.6 As a special case of the ansatz (75), one can construct CSCK metrics by putting $r_{\alpha,\infty} = \frac{(a-1)^2}{m(a+2)}$ (i.e. setting the coefficient p in (76) to be zero). For each $m \ge 1$, this defines a CSCK metric on $\mathbb{F}_m \setminus S_0$, in each Kähler class of \mathbb{F}_m (parametrized by a > 1) with a cusp singularity along S_0 and a cone singularity of angle $2\pi \left(\frac{(a-1)^2}{ma(a+2)}\right) < 2\pi$ along S_{∞} .

5.4 Regular Ambitoric Structures

Let $q(z) = q_0 z^2 + 2q_1 z + q_2$ be a quadratic polynomial, *M* a 4-dimensional manifold with real-valued functions $(x, y, \tau_0, \tau_1, \tau_2)$, such that x > y, $2q_1\tau_1 = q_0\tau_2 + q_2\tau_0$, and at each point of *M*, the 1-forms dx, dy, $d\tau_0$, $d\tau_1$, $d\tau_2$ span the cotangent space. Let t be the 2-dimensional space of vector fields *K* on *M* satisfying dx(K) = 0 = dy(K)and $d\tau_j(K)$ constant. Then, for any smooth and positive functions of one variable, A(x) and B(y), defined on the images of *x* and *y* in \mathbb{R} , respectively, *M* is ambitoric with respect to t and the Kähler structures

$$g_{\pm} = \left(\frac{x-y}{q(x,y)}\right)^{\pm 1} \left(\frac{dx^2}{A(x)} + \frac{dy^2}{B(y)} + A(x) \left(\frac{y^2 d\tau_0 + 2y d\tau_1 + d\tau_2}{(x-y)q(x,y)}\right)^2 + B(y) \left(\frac{x^2 d\tau_0 + 2x d\tau_1 + d\tau_2}{(x-y)q(x,y)}\right)^2\right),$$
(77)

$$\omega_{\pm} = \left(\frac{x-y}{q(x,y)}\right)^{\pm 1} \frac{dx \wedge (y^2 d\tau_0 + 2y d\tau_1 + d\tau_2) \pm dy \wedge (x^2 d\tau_0 + 2x d\tau_1 + d\tau_2)}{(x-y)q(x,y)},$$

$$J_{\pm} dx = A(x) \frac{y^2 d\tau_0 + 2y d\tau_1 + d\tau_2}{(x-y)q(x,y)}, \quad J_{\pm} dy = \pm B(y) \frac{x^2 d\tau_0 + 2x d\tau_1 + d\tau_2}{(x-y)q(x,y)},$$

(78)

where $q(x, y) = q_0 x y + q_1 (x + y) + q_2$. The metric g_+ is extremal iff g_- is extremal iff

$$A(z) = q(z)\pi(z) + P(z), B(z) = q(z)\pi(z) - P(z),$$
(79)

where $\pi(z) = \pi_0 z^2 + 2\pi_1 z + \pi_2$ is a polynomial of degree at most two satisfying $2\pi_1 q_1 - (q_2\pi_0 + q_0\pi_2) = 0$, and P(z) is polynomial of degree at most four.

The space of Killing fields of g_{\pm} for the torus is naturally isomorphic to the space of $S_{0,q}^2$ of polynomials p(z) of degree ≤ 2 which are orthogonal to q with respect

to the inner product $\langle \cdot, \cdot \rangle$ defined by the discriminant, i.e. $p(z) = p_0 z^2 + 2p_1 z + p_2$ satisfying

$$\langle p,q\rangle = 2p_1q_1 - (q_2p_0 + q_0p_2) = 0.$$

The space $S_{0,q}^2$ is in turn isomorphic to the quotient space $S^2/\langle q \rangle$ of all polynomials of degree ≤ 2 by the subspace generated by q, by using $\frac{1}{2}ad_q$ with respect to the Poisson bracket

$$ad_q(w) = \{q, w\} = q'w - w'q$$

on S^2 . Thus, if $\{p_1, p_2\}$ is a basis of $S^2_{q,0}$ and $\{w_1, w_2\}$ the corresponding basis of $S^2/\langle q \rangle$ (with $p_i = \frac{1}{2}\{q, w_i\}$) momentum/angular coordinates for g_{\pm} are given by

$$x_i^+ = w_i(x, y)/q(x, y), \ t_i, \ (i = 1, 2)$$

$$x_i^- = p_i(x, y)/(x - y), \ t_i, \ (i = 1, 2).$$
(80)

It follows that the lines $x = \alpha$ (resp. $y = \beta$) transform to lines $\ell_{\alpha}^{+} = \frac{(x-\alpha)(y-\alpha)}{q(x,y)} = 0$ (resp. $\ell_{\beta}^{+} = \frac{(x-\beta)(y-\beta)}{q(x,y)}$) in the (x_{1}^{+}, x_{2}^{+}) plane, which are tangent to the non-degenerate conic $C_{+}^{*} \subset \mathfrak{t}^{*}$ corresponding to $\left(\frac{x-y}{q(x,y)}\right)^{2} = 0$; similarly, $\ell_{\alpha}^{-} = \frac{(x-\alpha)q(y,\alpha)}{(x-y)}$ (resp. $\ell_{\beta}^{-} = \frac{(y-\beta)q(x,\beta)}{x-y}$) are lines in the (x_{1}^{-}, x_{2}^{-}) -plane (corresponding to $x = \alpha$ and $y = \beta$ in the (x, y)-plane) which are tangent to the (possibly degenerate) conic $C_{-}^{*} \subset \mathfrak{t}^{*}$ defined by $\left(\frac{q(x,y)}{x-y}\right)^{2} = 0$. In both cases, the corresponding normals are

$$p_{\alpha}(z) = q(\alpha, z)(z - \alpha); \quad p_{\beta}(z) = q(z, \beta)(z - \beta), \tag{81}$$

viewed as elements of $S_{0,a}^2$.

It is straightforward to compute the matrix $\mathbf{H}_{\pm}^{A,B}$ of g_{\pm} :

$$\mathbf{H}_{-}^{A,B}(p_i, p_j) = \frac{A(x)p_i(y)p_j(y) + B(y)p_i(x)p_j(x)}{(x - y)^3 q(x, y)},
\mathbf{H}_{+}^{A,B}(p_i, p_j) = \frac{A(x)p_i(y)p_j(y) + B(y)p_i(x)p_j(x)}{(x - y)q(x, y)^3},$$
(82)

whose inverses are the Hessians in momenta of the symplectic potentials

$$u_{A,B}^{+}(x, y) = -\int^{x} \frac{(t-x)(t-y)dt}{q(x, y)A(t)} + \int^{y} \frac{2(t-x)(t-y)dt}{q(x, y)B(t)},$$

$$u_{A,B}^{-}(x, y) = \int^{x} \frac{2(x-t)q(y, t)dt}{(x-y)A(t)} + \int^{y} \frac{2(y-t)q(x, t)dt}{(x-y)B(t)}.$$
(83)

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In order for $u_{A,B}^{\pm}$ be in $S(\Delta, \mathbf{L}, F)$ for some labelled compact convex quadrilateral (Δ, \mathbf{L}, F) , one has to choose real numbers α_k , β_k , $r_{\alpha,k}$, $r_{\beta,k}$ $(k = 0, \infty)$ satisfying the inequalities

$$\beta_0 < \beta_\infty < \alpha_0 < \alpha_\infty, \quad r_{\alpha,0} \le 0 \le r_{\alpha,\infty}, \quad r_{\beta,0} \ge 0 \ge r_{\beta,\infty},$$

and such that q(x, y) > 0 on $D = [\alpha_0, \alpha_\infty] \times [\beta_0, \beta_\infty]$, and then impose on the smooth functions A(x), B(y) the positivity conditions

$$A(x) > 0 \text{ on } (\alpha_0, \alpha_\infty) \text{ and } B(y) > 0 \text{ on } (\beta_0, \beta_\infty), \tag{84}$$

and the boundary conditions

$$A(\alpha_k) = 0 = B(\beta_k), \ A'(\alpha_k) = -2r_{\alpha,k}, \ B'(\beta_k) = 2r_{\beta,k} \ (k = 0, \infty).$$
(85)

Considering $\mathbf{H}^{A,B}_+$ for simplicity (and dropping the + script), the data as above give rise to a convex compact quadrilateral Δ (determined by the affine lines ℓ_{α_k} and ℓ_{β_k} introduced above via (80)) which is endowed with the canonical set { $p_{\alpha_k}, p_{\beta_k}, k = 0$, ∞ } of normals (81). We take *F* be the union of all facets $\ell_{\alpha_k} = 0$ and $\ell_{\beta,k} = 0$ for which $r_{\alpha,k} = 0$ and $r_{\beta,k} = 0$, and normalize the remaining normals by

$$e_{\alpha,k} := p_{\alpha_k}/r_{\alpha,k}, \ e_{\beta,k} := p_{\beta_k}/r_{\beta,k}.$$

One can easily check that these become inward normals to Δ and that $\mathbf{H}^{A,B}$ verifies the boundary conditions (32)–(33) on (Δ, \mathbf{L}, F) if and only if (85) holds. Furthermore, as it is shown in [6], $\mathbf{H}^{A,B}$ gives rise to a solution of the Abreu equation (35) on (Δ, \mathbf{L}, F) iff A, B are polynomials of degree ≤ 4 which satisfy (79) and the positivity and boundary conditions (84)–(85).

Conversely, the following is established in [5].

Proposition 5.7 [5] Let (Δ, \mathbf{L}) be a compact convex labelled quadrilateral in \mathbb{R}^2 , and F the union of some of its facets. Suppose that Δ is neither a parallelogram nor a trapezoid whose extremal affine function $s_{(\Delta, \mathbf{L}, F)}$ is constant on the parallel facets Δ . Then there exist real numbers α_k , β_k , $r_{\alpha,k}$, $r_{\beta,k}$ ($k = 0, \infty$), subject to the inequalities

$$\beta_0 < \beta_\infty < \alpha_0 < \alpha_\infty, \quad r_{\alpha,0} \le 0 \le r_{\alpha,\infty}, \quad r_{\beta,0} \ge 0 \ge r_{\beta,\infty},$$

and a quadratic q(z) satisfying q(x, y) > 0 on $D = [\alpha_0, \alpha_\infty] \times [\beta_0, \beta_\infty]$, such that

- Δ is the image of D either under (x_1^+, x_2^+) or (x_1^-, x_2^-) in (80);
- For each facet $F_{\alpha,k}$ of Δ obtained as the image of $x = \alpha_k$ under (80) (resp. $F_{\beta,k}$ obtained as the image of $y = \beta_k$), which does not belong to F, $r_{\alpha,k} \neq 0$ (resp. $r_{\beta,k} \neq 0$) and the corresponding inward normal is $e_{\alpha,k} = \frac{p_{\alpha_k}}{r_{\alpha,k}}$ (resp. $e_{\beta,k} = \frac{p_{\beta_k}}{r_{\alpha,k}}$), where p_{α_k} and p_{β_k} are the the normals defined by (81);
- For each facet $F_{\alpha,k}$ of Δ (resp. $F_{\beta,k}$) which belongs to F, the corresponding $r_{\alpha,k} = 0$ (resp. $r_{\beta,k} = 0$);

• There exist polynomials P(z) of degree ≤ 4 and $\pi(z)$ of degree ≤ 2 , satisfying $\langle q, \pi \rangle = 0$, such that the A(z) and B(z) defined by (79) satisfy the boundary conditions (85) (but not necessarily the positivity condition (84)).

Furthermore, the corresponding $\mathbf{H}^{A,B}_+$ or $\mathbf{H}^{A,B}_-$ defined by (82) satisfies (35) and defines a solution $u_{A,B} \in \mathcal{S}(\Delta, \mathbf{L}, F)$ if and only if (Δ, \mathbf{L}, F) is stable. The latter condition is equivalent to (84).

As an illustration of the theory, let us again take (Δ, \mathbf{L}) to be a trapezoid but not a parallelogram, and *F* to be either a facet which is not parallel to another facet or the union of two adjacent facets. We have shown in Sect. 5.3 that in this case the extremal affine linear function $s_{(\Delta, \mathbf{L}, F)}$ is *not* constant on the parallel facets of Δ and, therefore, the solution of (35) (if it exists) must be given by Proposition 5.7. On the other hand, we have the following:

Proposition 5.8 Let (Δ, \mathbf{L}) be a labelled trapezoid corresponding to a Hirzebruch surface, and F be one facet, or the union of 2 adjacent facets. Then (Δ, \mathbf{L}, F) is stable.

Putting Propositions 5.7 and 5.8 together, we obtain

Corollary 5.9 Let $X = \mathbb{F}_m$ be the mth Hirzebruch surface and Z be the divisor consisting of a single fibre fixed by the \mathbb{T} action, or the union of such a fibre with either the zero section or the infinity section. Then, $X \setminus Z$ admits a complete extremal Donaldson metric in each Kähler class of X, which is not of Poincaré type.

The proofs of Proposition 5.8 and Corollary 5.9 are presented in Appendix B.

Example 5.10 In the light of Corollary 5.9, we use the explicit description of the extremal Donaldson metrics in order to determine their asymptotic behaviour in normal direction to Z.

The parametrization of a regular ambitoric metric by the data

$$\alpha_k, \beta_k, r_{\alpha,k}, r_{\beta,k}, q(z), A(z), B(z)$$

as above is not effective: there is a natural SL(2, \mathbb{R}) action on the space of degree 2 polynomials q(z), as well as a homothety freedom for the metric. This can be normalized by taking q(z) to be either 1, 2z or $z^2 + 1$ (see [6, Sect. 5.4]), thus referring to the corresponding ambitoric metric as being of *parabolic*, *hyperbolic* or *elliptic type*, respectively. Moreover, it is observed in [5, Sect. 5.4] that the solution corresponding to a trapezoid is given by a (positive) hyperbolic ambitoric metric, i.e.

$$g = \frac{(x-y)}{(x+y)} \left(\frac{dx^2}{A(x)} + \frac{dy^2}{B(y)} \right) + \frac{1}{(x-y)(x+y)^3} \left(A(x)(dt_1 + y^2 dt_2)^2 + B(y)(dt_1 + x^2 dt_2)^2 \right), \quad (86)$$
$$\omega = \frac{dx \wedge (dt_1 + y^2 dt_2)}{(x+y)^2} + \frac{dy \wedge (dt_1 + x^2 dt_2)}{(x+y)^2},$$

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Fig. 2 The Delzant polytope of a Hirzebruch surfaces (in blue) obtained by the hyperbolic ambitoric construction (Color figure online)

for $(x, y) \in [\alpha_0, \alpha_\infty] \times [\beta_0, \beta_\infty]$ with

$$\beta_0 < \beta_\infty < \alpha_0 < \alpha_\infty, \quad \beta_0 + \alpha_0 > 0$$

and polynomials $A(z) = \sum_{i=0}^{4} a_i z^{4-i}$ and $B(z) = \sum_{i=0}^{4} b_i z^{4-i}$ satisfying

$$a_0 + b_0 = a_2 + b_2 = a_4 + b_4 = 0, (87)$$

and the positivity and boundary conditions (84)–(85). The momentum coordinates of (86) then become

$$x_1 = -\frac{1}{x+y}, \ x_2 = \frac{xy}{x+y}$$
(88)

so that the image of the interval $[\alpha_0, \alpha_\infty] \times [\beta_0, \beta_\infty]$ under (88) is a quadrilateral Δ determined by the affine lines

$$\ell_{\alpha,k} = -\alpha_k^2 x_1 + x_2 - \alpha_k = 0, \ \ \ell_{\beta,k} = -\beta_k^2 x_1 + x_2 - \beta_k = 0, \ k = 0, \infty,$$

whose normals are $p_{\alpha,k} = (-\alpha_k^2, 1)$ and $p_{\beta,k} = (-\beta_k^2, 1)$, respectively. It follows that Δ is a trapezoid iff $\beta_{\infty} = -\beta_0 = b > 0$, see Figure 2.

As observed in [21], each Hirzebruch surface \mathbb{F}_m can be obtained from a labelled trapezoid (Δ , **L**) as above, by taking inward normals $e_{\alpha,k} = p_{\alpha_k}/r_{\alpha,k}$ and $e_{\beta,k} = p_{\beta_k}/r_{\beta,k}$ satisfying

$$e_{\beta,0} = -e_{\beta,\infty}, \ e_{\alpha,\infty} + me_{\beta,0} = -e_{\alpha,0}.$$

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Equivalently, the labelling $\mathbf{L} = \{L_{\alpha,k} = \frac{1}{r_{\alpha,k}}\ell_{\alpha,k}, L_{\beta,k} = \frac{1}{r_{\beta,k}}\ell_{\beta,k}, k = 0, \infty\}$ satisfies

$$r_{\beta,0} = -r_{\beta,\infty} = r > 0, \ r_{\alpha,0} = \frac{r}{m} \left(\frac{\alpha_0^2 - \alpha_\infty^2}{\alpha_\infty^2 - b^2} \right), \ r_{\alpha,\infty} = \frac{r}{m} \left(\frac{\alpha_\infty^2 - \alpha_0^2}{\alpha_0^2 - b^2} \right).$$
(89)

The positive constant *r* is just a scale factor for the Kähler class and can be taken r = 1. Thus, by considering the lattice generated by $e_{\alpha,k}$, $e_{\beta,k}$ as above, the corresponding labelled trapezoid corresponds to a toric Hirzebruch surface \mathbb{F}_m .

We now take $F_{\alpha,\infty}$ (defined by $\ell_{\alpha,\infty} = 0$) be the facet of Δ corresponding to a fibre of \mathbb{F}_m fixed by the torus action. We are thus looking for extremal metrics given by (86) for polynomials

$$A(x) = -c(x - \alpha_0)(x - \alpha_\infty)^2(x - \alpha_3), \ B(y) = c(y - b)(y + b)(y^2 + py + q)$$
(90)

where $0 < b < \alpha_0 < \alpha_\infty$ and α_3, c, p, q are real parameters (which we are going to express as functions of $(b, \alpha_0, \alpha_\infty)$).

The extremality conditions (87) then read as

$$\alpha_3(2\alpha_\infty + \alpha_0) + \alpha_\infty^2 + 2\alpha_0\alpha_\infty = q - b^2$$

$$\alpha_3\alpha_\infty^2\alpha_0 = -qb^2$$
(91)

from which we get

$$\alpha_{3} = -\left(\frac{b^{2} + \alpha_{\infty}^{2} + 2\alpha_{0}\alpha_{\infty}}{2\alpha_{\infty} + \alpha_{0} + \frac{\alpha_{\infty}^{2}\alpha_{0}}{b^{2}}}\right),$$

$$q = \frac{\alpha_{\infty}^{2}\alpha_{0}}{b^{2}}\left(\frac{b^{2} + \alpha_{\infty}^{2} + 2\alpha_{0}\alpha_{\infty}}{2\alpha_{\infty} + \alpha_{0} + \frac{\alpha_{\infty}^{2}\alpha_{0}}{b^{2}}}\right).$$
(92)

From the boundary condition (85) at α_0 we obtain

$$A'(\alpha_0) = -c(\alpha_0 - \alpha_3)(\alpha_0 - \alpha_\infty)^2 = -2r_{\alpha,0} = \frac{2}{m} \left(\frac{\alpha_\infty^2 - \alpha_0^2}{\alpha_\infty^2 - b^2} \right)$$

so that we determine

$$c = -\frac{2}{m} \left(\frac{(\alpha_{\infty} + \alpha_0)}{(\alpha_0 - \alpha_3)(\alpha_{\infty} - \alpha_0)(\alpha_{\infty}^2 - b^2)} \right)$$

$$= -\frac{2}{m} \left(\frac{(\alpha_{\infty} + \alpha_0)(2\alpha_{\infty} + \alpha_0 + \frac{\alpha_{\infty}^2 \alpha_0}{b^2})}{(\alpha_0^2 + \alpha_{\infty}^2 + 4\alpha_0 \alpha_{\infty} + b^2 + \frac{\alpha_0^2 \alpha_{\infty}^2}{b^2})(\alpha_{\infty} - \alpha_0)(\alpha_{\infty}^2 - b^2)} \right).$$
 (93)

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Consider first the case when $F = F_{\alpha,\infty}$ consists of only one facet. The boundary conditions (85) at $\pm b$ read as

$$B'(b) = 2cb(b^2 + pb + q) = 2r_{\beta,\infty} = -2, \ B'(-b) = -2cb(b^2 - pb + q) = 2r_{\beta,0} = 2.$$

We then have p = 0 and the additional relation $-1 = cb(b^2 + q)$ which can be used in order to express α_0 as a function of (α_{∞}, b) . This last step, however, is not obvious (and is implicit) as α_0 appears to be a real root of a polynomial of degree 4, which also needs to satisfy $0 < b < \alpha_0 < \alpha_{\infty}$. The existence of such a root is thus guaranteed by Propositions 5.7 and 5.8, so we shall not develop this step any further. We also notice that homotheties in (x, y) preserve the form (86) (but change A and B by scale) so we can assume b = 1. Thus, on a fixed Hirzebruch surface \mathbb{F}_m we obtain a one-dimensional family of complete extremal Kähler metrics (defined on $\mathbb{F}_m \setminus Z$) parametrized by $a = \alpha_{\infty} > 1$, which is precisely the dimension of the Kähler cone of \mathbb{F}_m modulo scales.

Notice that, by (92), the third root α_3 of *A* is negative, and thus α_∞ has always multiplicity 2. Using (83) with q(x, y) = x + y and *A*, *B* given by (90), we observe that up to smooth terms on Δ , the symplectic potential is of the form

$$u = \left(A\frac{(2\alpha_{\infty} - (x+y))}{(x+y)} + BL_{\alpha,\infty}\right)\log L_{\alpha,\infty} + \frac{1}{2}\sum_{k=0,\infty}L_{\alpha,k}\log L_{\alpha,k} + L_{\beta,k}\log L_{\beta,k}$$
$$= \left(A(-2\alpha_{\infty}x_1 - 1) + BL_{\alpha,\infty}\right)\log L_{\alpha,\infty} + \frac{1}{2}\sum_{k=0,\infty}L_{\alpha,k}\log L_{\alpha,k} + L_{\beta,k}\log L_{\beta,k}$$

for some real constants $A \neq 0$, B. As $\alpha_{\infty} > 0$, the affine function $(A(-2\alpha_{\infty}x_1 - 1) + BL_{\alpha,\infty})$ is *not* constant when restricted to the facet $F_{\alpha,\infty}$ (on this facet $x = \alpha_{\infty}$ and $y \in [\beta_0, \beta_{\infty}]$).

Similarly, when $F = F_{\alpha,\infty} \cup F_{\beta,\infty}$ say, we must have $y^2 + py + q = (y-b)(y-\beta_3)$, so that $q = b\beta_3$ and $p = -(b + \beta_3)$, and from (92) we determine

$$\beta_3 = \frac{\alpha_\infty^2 \alpha_0}{b^3} \left(\frac{b^2 + \alpha_\infty^2 + 2\alpha_0 \alpha_\infty}{2\alpha_\infty + \alpha_0 + \frac{\alpha_\infty^2 \alpha_0}{b^2}} \right)$$

The above formula together with the inequalities $0 < b < \alpha_0 < \alpha_\infty$ show that $\beta_3 > b$, and thus *b* is double root of *B*(*y*). Similarly to the previous case, the symplectic potential of the extremal metric then takes the form

$$u = f_{\alpha,\infty} \log L_{\alpha,\infty} + f_{\beta,\infty} \log L_{\beta,\infty} + \frac{1}{2} \left(L_{\alpha,0} \log L_{\alpha,0} + L_{\beta,0} \log L_{\beta,0} \right) + \text{smooth}$$

where $f_{\alpha,\infty}$ and $f_{\beta,\infty}$ are affine functions in momenta which are not constant on the corresponding facets in *F*. One can also check that in this case too the condition (42) fails.

We notice also

Proposition 5.11 Let X be a Hirzebruch surface \mathbb{F}_m or $\mathbb{C}P^1 \times \mathbb{C}P^1$, viewed as a toric variety endowed with a Kähler class $[\omega]$ corresponding to a Delzant polytope (Δ, \mathbf{L}) . Let $Z \subset X$ be the toric divisor corresponding to the union F of 3 facets of Δ . Then (Δ, F) is unstable and $X \setminus Z$ admits neither a Donaldson extremal Kähler metric nor an extremal Kähler metric of Poincaré type in $[\omega]$.

Proof The proof of instability of (Δ, F) follows from the arguments in Appendix B, see in particular Remark A.6. Thus (Δ, F) cannot admit a Donaldson metric by Proposition 4.9.

To rule out the existence of a (non-toric) complete extremal metric of Poincaré type, we can use [9, Thm. 5] which asserts that each rational curve corresponding to the pre-image of a facet in F must admit a complete Poincaré type extremal Kähler metric. Taking the $\mathbb{C}P^1$ corresponding to the facet in F which intersects the other two facets in F, we conclude that $\mathbb{C}P^1 \setminus (\{p\} \cup \{q\})$ admits a complete extremal metric of Poincaré type. But if it did, it would have to be scalar-flat, as the Poincaré–Futaki invariant vanishes and the average scalar curvature is 0. This would then violate the numerical constraint in [7, Thm. 1.2] for Poincaré type metrics of constant scalar curvature. So no such metric can exist.

Corollary 5.12 Conjecture 4.14, with respect to the stability of pairs introduced in Definition 4.10, holds true on the Hirzebruch surface \mathbb{F}_m and on $\mathbb{C}P^1 \times \mathbb{C}P^1$.

Proof Using Corollaries 5.3, 5.5 and 5.9 together with Proposition 4.9 at one hand, and Propositions 5.4 and 5.11 at the other hand, we conclude that the conditions (i) and (ii) of Conjecture 4.14 limit the possibilities as follows:

- (a) $X = \mathbb{C}P^1 \times \mathbb{C}P^1$ and Z is the union of the pre-image of one or two adjacent facets;
- (b) $X = \mathbb{F}_m$ and Z consist of either the zero section, the infinity section, or the the union of both;
- (c) $X = \mathbb{F}_m$ and Z consist of a single fibre or the union of such a fibre and either the zero section or the infinity section.

In the cases (a) and (b), there exists an explicit extremal Poincaré type metric by the Corollaries 5.3 and 5.5. In the case (c), there exists a Donaldson complete extremal metric which is not of Poincaré type, but in this case the condition (iii) of Conjecture 4.14 fails, as shown in Example 5.10.

5.5 Triangles as a Limiting Case

This case is already treated in [11] (see also [2]), but it can also be viewed as a limiting case of the ambitoric ansatz with $\pi = 0$ (i.e. A = -B). The corresponding extremal metrics (g_+, J_+, ω_+) provide solutions of (35) on labelled triangles, and compactify on weighted projective planes as extremal Bochner–flat (i.e. self-dual) orbifold metrics, see [2,11].

Indeed, putting $\pi = 0$ and $P(z) = -\prod_{j=0}^{3} (z - \beta_j)$ with $\beta_0 \le \beta_1 < \beta_2 < \beta_3$ in (77) and (78), the degree 4 polynomial B(y) = -P(y) is positive on (β_1, β_2) while A(x) = P(x) on (β_2, β_3) . When $\beta_0 < \beta_1$, the Kähler metric (g_+, ω_+) defines an extremal Bochner-flat Kähler metric on a labelled simplex (Δ , **L**), while taking $\beta_0 = \beta_1$ gives rise to a solution to the Abreu equation (35) on a labelled simplex minus one facet (corresponding to the image of $y = \beta_1$ under the momentum map (80)). One can always take the two normals to form a basis of a lattice, so that the metric extends smoothly over the corresponding faces and has a complete end towards the third, see [21]. We get, in fact, one of the complete Bochner-flat metrics described in [11, Thm. 4.2.7] (see also [19]).

To see this explicitly, let us identify (by an affine map) the simplex Δ with the standard simplex of \mathbb{R}^2 (with vertices at (0, 0), (1, 0) and (0, 1)) and assume (without loss) that *F* corresponds to the facet defined by the equation $L_3(x) = a_3(1-x_1-x_2) = 0$ whereas the other labels are $L_1(x) = a_1x_1$ and $L_2(x) = a_2x_2$ with $a_i > 0$. The Bryant complete extremal Bochner-flat metric has symplectic potential in $S(\Delta, \mathbf{L}, F)$, given by

$$u_B = \frac{1}{2} \Big(a_1 x_1 \log(x_1) + a_2 x_2 \log(x_2) - (a_1 x_1 + a_2 x_2) \log(1 - x_1 - x_2) \Big).$$
(94)

If we take (Δ, \mathbf{L}) be a labelled simplex and $F = F_1 \cup F_2$ the union of two facets, then by identifying Δ with the standard simplex of \mathbb{R}^2 and F_i with the affine line $x_i = 0, i = 1, 2$, respectively, one sees that the reflection along the line $x_1 = x_2$ is a symmetry of (Δ, \mathbf{L}, F) . By uniqueness, $s_{(\Delta, \mathbf{L}, F)}$ must be invariant under this reflection, i.e. $s_{(\Delta, \mathbf{L}, F)} = r(x_1 + x_2) + c$ for some real numbers r, c. Using the definition (3.1) with $f = 1 - (x_1 + x_2)$ (which vanishes on F_3), one gets r = -2cfor a real number c (which must be inverse proportional to the normal e_3). It follows that $s_{(\Delta, \mathbf{L}, F)}$ vanishes at the affine line parallel to F_3 and passing though the midpoint m = (1/4, 1/4) of its median d. Let f_d be a simple crease function with crease along d and non-zero on the sub-triangle $\Delta' \subset \Delta$ (cut from Δ by d). Two of the facets of Δ' inherit the measures of the facets of Δ and we put measure zero to the facet along d. Thus, $(\Delta', d\nu_{\partial \Lambda'})$ and $(\Delta, d\nu_{\partial \Delta})$ are equivalent under an affine transformation of \mathbb{R}^2 . From the affine characterization of $s_{(\Delta, \mathbf{L}, F)}$, it follows that the extremal affine linear function of Δ' is a multiple of $s_{(\Delta, \mathbf{L}, F)}$; it is not hard to see (e.g. by using the definition (3.1) with $f = 1 - (x_1 + x_2)$ and $f \equiv 1$) that the extremal affine linear function of Δ' equals to $s_{(\Delta, \mathbf{L}, F)}$. Thus, $\mathcal{L}_{(\Delta, \mathbf{L}, F)}(f_d)$ also computes the Donaldson–Futaki invariant of the affine linear function f_d over Δ' , and hence is zero. It follows that (Δ, \mathbf{L}, F) is unstable. We thus conclude

Theorem 5.13 Let (Δ, \mathbf{L}, F) be a labelled simplex in \mathbb{R}^2 . Then (Δ, \mathbf{L}, F) is stable if and only if F consist of a single facet. In this case (35) admits an explicit solution u_B in $S(\Delta, \mathbf{L}, F)$ given by

$$u_B = \frac{1}{2} \Big(L_1 \log L_1 + L_2 \log L_2 - (L_1 + L_2) \log L_3 \Big), \tag{95}$$

where L_3 vanishes on F. The corresponding metric (27) extends to the complete Bochner-flat metric on \mathbb{C}^2 found in [11]. In particular, $\mathbb{C}P^2 \setminus \mathbb{C}P^1$ admits a complete extremal Donaldson metric, which is of Poincaré type (and conformal to the Taub-NUT metric).

Appendix A: Proof of Theorem 4.18 and Proposition 4.19

Appendix A.1: Proof of Theorem 4.18

We follow the notation of Sects. 3 and 4. Thus, X is a smooth compact toric variety classified by the labelled Delzant polytope (Δ, \mathbf{L}) . We fix once and for all a T-invariant Kähler metric ω_0 on X with momentum map $\mu_0 : X \to \Delta \subset \mathfrak{t}^*$. To simplify the discussion, we can take ω_0 to be the Kähler quotient metric on X obtained from the flat Kähler structure on \mathbb{C}^d via the Delzant construction, see Eq. (28). We denote by $X^\circ = \mu_0^{-1}(\Delta^0)$ the pre-image of the interior of Δ , which is also the subspace of regular points for the action of T. Complexifying the T-action, we obtain a holomorphic action of the complex *n*-torus $\mathbb{T}^c = (\mathbb{C}^{\times})^n$ with X° being the principal orbit for the \mathbb{T}^c -action. Choosing (once for all) a reference point $z^\circ \in X^\circ$, for each fixed point for the T-action, corresponding to a vertex $v \in \Delta$, we introduce a $(\mathbb{C}^{\times})^n$ -equivariant chart $\mathbb{C}_v^n \cong \mathbb{C}^n$ as follows. Using a basis of t obtained by the inward normals of the facets of Δ meeting at v, we identify \mathbb{T}^c with $(\mathbb{C}^{\times})^n$ and consider the equivariant map $\Phi_v : (\mathbb{C}^{\times})^n \to X^\circ$

$$\Phi_v\left(r_1e^{\sqrt{-1}t_1},\ldots,r_ne^{\sqrt{-1}t_n}\right):=\left(r_1e^{\sqrt{-1}t_1},\ldots,r_ne^{\sqrt{-1}t_n}\right)\cdot z^\circ,$$

where $r_i e^{\sqrt{-1}t_i} \in \mathbb{C}^{\times}$ stand for the polar coordinates on each factor. It follows by the holomorphic slice theorem that $\Phi_v : X^{\circ} \to (\mathbb{C}^{\times})^n$ extends equivariantly to a holomorphic embedding of \mathbb{C}_v^n to X, thus defining an equivariant atlas of affine charts \mathbb{C}_v^n of X (where v runs among the vertices of Δ).

The theory of toric varieties (see e.g. [30]) yields that in such a chart, the (smooth) divisor *Z* corresponding to the pre-image under μ_0 of a facet *F* meeting *v* has the equation $z_j = 0$ where $(z_1, \ldots, z_n) = (r_1 e^{\sqrt{-1}t_1}, \ldots, r_n e^{\sqrt{-1}t_n})$ are the affine coordinates on \mathbb{C}_n^n . In what follows, we will suppose without loss that

$$Z \cap \mathbb{C}_{v}^{n} = \{(z_{1}, \dots, z_{n}) \in \mathbb{C}^{n} : z_{1} = 0\}.$$
(96)

To connect with the description (27) of the Kähler metric ω_0 , one needs to apply the Legendre transform to the strictly convex smooth function u_0 on Δ^0 , given by (28). More precisely, if $x^0 = \mu_0(z^\circ) \in \Delta^0$ and $u \in S(\Delta, \mathbf{L})$ is any symplectic potential, we let

$$y(x) := du(x) - du(x^0) = (u_{,1}(x), \dots, u_{,n}(x)) - (u_{,1}(x^0), \dots, u_{,n}(x^0))$$

and define a smooth function $\varphi_u(y)$ by

$$\varphi_u(y) + u(x) = \sum_{i=1}^n y_i x_i.$$
 (97)

We notice the following elementary:

Lemma A.1 Let Ω be a non-empty bounded convex open subset of a finite-dimensional affine space \mathfrak{t}^* , and $u : \Omega \to \mathbb{R}$ a smooth strictly convex function such that |du| tends to ∞ near $\partial \Omega$, where $|\cdot|$ is any Euclidean norm on \mathfrak{t} . Then du is a diffeomorphism from Ω onto \mathfrak{t} .

Proof Clearly, $du(x) = (u_{,1}(x), \dots, u_{,n}(x))$ is a local diffeomorphism as its differential at *x* (represented by the matrix $(u_{,ij}(x))$ is invertible for all $x \in \Omega$ by the strict convexity assumption.

Moreover du is injective by using the convexity of Ω and the fact that du(p(t)) is strictly monotone in t on each linear segment $p(t) \in \Omega$ (which again follows from the strict convexity of u).

Finally, du is surjective: This can be proven by checking that $du(\Omega)$ is closed, and hence coincides with \mathbb{R}^n as it is also non-empty and open. Indeed, let (q_k) be a sequence in $\mathbb{R}^n \cong \mathfrak{t}$, with limit q, such that $q_k = du(p_k)$ for $p_k \in \Omega$ for all $k \ge 0$. There is a subsequence, still denoted by (p_k) , converging to some $p \in \overline{\Omega}$. Now if $p \in \partial \Omega$, then by assumption, $|q_k| = |du(p_k)| \to \infty$, a contradiction, and thus $p \in \Omega$, and q = du(p).

It follows that for each $u \in S(\Delta, \mathbf{L})$, we have a \mathbb{T}^c -equivariant biholomorphism $\Phi_u : (\Delta^0 \times \mathbb{T}, J_u) \to (\mathbb{C}^{\times})_v^n \cong X^\circ$, given by

$$\Phi_u(x_1, \dots, x_n, t_1, \dots, t_n) := (e^{y_1 + \sqrt{-1}t_1}, \dots, e^{y_n + \sqrt{-1}t_n}),$$
(98)

where, we recall, $y(x) = du(x) - du(x^0)$ is a diffeomorphism from Δ^0 to \mathbb{R}^n by virtue of Lemma A.1, and J_u is the \mathbb{T} -invariant ω_0 -compatible complex structure corresponding to $u \in S(\Delta, \mathbf{L})$. The central fact in this theory is the following identity on $(\mathbb{C}^{\times})_n^n$ (see [30]):

$$\omega_u := (\Phi_u^{-1})^*(\omega_0) = dd^c \Big(\Big(\varphi_u(\log |z_1|, \dots, \log |z_n|) \Big) \Big), \tag{99}$$

where (z_1, \ldots, z_n) are the complex coordinates associated to the chart \mathbb{C}_v^n , and d^c is taken with respect to the standard complex structure. The fact that $u \in S(\Delta, \mathbf{L})$ guarantees the smooth extension of the right-hand side to a positive definite (1, 1)-form on \mathbb{C}_v^n .

Let us now suppose $u \in S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ (instead of being in $S(\Delta, \mathbf{L})$). It is easily checked that such a *u* still verifies the condition that |du| tends to ∞ near $\partial \Delta$, so that, by using Lemma A.1, (98) and (99), we obtain a Kähler metric ω_u on $(\mathbb{C}^{\times})_v^n$, which can be written as

$$\omega_u = \omega_0 + dd^c \varphi, \tag{100}$$

where ω_0 is the (globally defined on \mathbb{C}_{ν}^n) Kähler metric corresponding to (28) and

$$\varphi(z_1, \dots, z_n) := (\varphi_u - \varphi_{u_0})(\log |z_1|, \dots, \log |z_n|)$$
(101)

is a smooth function on $(\mathbb{C}^{\times})_v^n \subset \mathbb{C}_v^n$. We notice that through this identification (which depends upon u!), $Z \cap \mathbb{C}_v^n$ still corresponds to a hyperplane in the \mathbb{C}_v^n affine chart, as it follows from the following:

Lemma A.2 Let u_0 be the symplectic potential in $S(\Delta, \mathbf{L})$ given by (28) and let $u \in S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$. Then,

$$(du_0)^{-1} \circ du : \Delta^0 \longrightarrow \Delta^0$$

extends continuously to a homeomorphism of Δ , inducing a diffeomorphism on every open face of the polytope Δ and preserving its vertices.

Proof The proof is elementary. Let $p \in \partial \Delta$, and consider some sequence (p_k) in Δ^0 converging to p. We want to see that

- (a) $\lim_{k\to\infty} ((du_0)^{-1} \circ du)(p_k)$ lies in the same open facet as p (or equals p if p is a vertex of Δ);
- (b) this limit does not depend on the sequence (p_k) .

The claimed regularity of $(du_0)^{-1} \circ du$ will follow from the proof (a) and (b) above. Let us assume that $p \in \mathbf{f} := (F_{i_1} \cap \cdots \cap F_{i_\ell}) \setminus (F_{i_{\ell+1}} \cup \cdots \cap F_{i_d}), \ell \leq n$, where

 $i_1 < \cdots < i_\ell$ and $\{i_1, \ldots, i_d\} = \{1, \ldots, d\}$, and also that $F_{i_1} \cap \cdots \cap F_{i_n}$ is not empty. As already noticed, $(du(p_k))$ tends to ∞ in t; thus, as du_0 is a diffeomorphism

 $\Delta \rightarrow t$ whose norm tends to ∞ near $\partial \Delta$,

$$(p_k) := \left((\mathrm{d} u_0)^{-1} \circ \mathrm{d} u(p_k) \right)$$

tends to $\partial \Delta$. We now prove that any limit point of (\underline{p}_k) must belong to **f**. For this, observe that if (\overline{p}_k) tends to $\partial \Delta$, then (\overline{p}_k) tends to **f** if and only if $u_{0,1}(\overline{p}_k), \ldots, u_{0,\ell}(\overline{p}_k)$ tend to $-\infty$ while $u_{0,\ell+1}(\overline{p}_k), \ldots, u_{0,n}(\overline{p}_k)$ remain bounded (we have set $u_{0,i}(x) = \frac{\partial u_0}{\partial x_i}(x)$). We thus want to prove that, as $k \to \infty$,

$$\begin{cases} u_{0,1}(\underline{p}_k), \dots, u_{0,\ell}(\underline{p}_k) \longrightarrow -\infty, \\ u_{0,\ell+1}(\underline{p}_k), \dots, u_{0,n}(\underline{p}_k) = O(1), \end{cases}$$

or equivalently

$$\begin{cases} u_{,1}(p_k), \dots, u_{,\ell}(p_k) \longrightarrow -\infty, \\ u_{,\ell+1}(p_k), \dots, u_{,n}(p_k) = O(1). \end{cases}$$
(102)

Since $u \in S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$, up to a smooth t-valued function near p we have

$$u_{,j} = \begin{cases} \left(-\frac{\alpha}{L_1} + \beta \log L_1 \right) & \text{if } j = 1, \\ \frac{1}{2} \log L_j & \text{if } j \ge 2, \end{cases}$$

which yield the estimates (102) and concludes the point (a) of our proof.

We now address (b). Let $p_k \to p$ and $\underline{p}_k \to \underline{p}$. Our task is to prove that \underline{p} does not depend on (p_k) ; if p is a vertex, this already follows from (a), so we assume that **f** is an open face of Δ . Letting $u_{0,\mathbf{f}} := (u_0)_{|\mathbf{f}}$, $u_{\mathbf{f}} := (u + \alpha \log L_1)_{|\mathbf{f}}$ if $\mathbf{f} \subset F = F_1$ and $u_{\mathbf{f}} = u_{|\mathbf{f}}$ if $\mathbf{f} \not\subset F$, the definitions of the spaces $S(\Delta, \mathbf{L})$ and $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ ensure that $u_{0,\mathbf{f}}$ and $u_{\mathbf{f}}$ are strictly convex on **f**. With the notations above, observe that the $u_{0,j}, j = \ell + 1, ..., n$, are smooth in a neighbourhood of **f**, and $u_{0,j} = (u_{0,\mathbf{f}})_{,j}$ along **f**; similarly, the $u_{,j}$ are smooth around **f** and $u_{,j} = (u_{\mathbf{f}})_{,j}$ along **f**. In this way, letting $k \to \infty$ in the equality $du_0(p_k) = du(p_k)$, we obtain

$$(\mathrm{d} u_{0,\mathbf{f}})(p) = (\mathrm{d} u_{\mathbf{f}})(p).$$

Using the strict convexity of $u_{0,\mathbf{f}}$ and $u_{\mathbf{f}}$ on \mathbf{f} , and that the norms of their differentials tend to ∞ near $\partial \mathbf{f}$, we conclude that $du_{0,\mathbf{f}}$ and $du_{\mathbf{f}}$ are diffeomorphisms $\mathbf{f} \rightarrow (\mathfrak{t}/\mathfrak{t}_{\mathbf{f}})^*$ (see Lemma A.1 above), and thus,

$$p = (\mathrm{d}u_{0,\mathbf{f}})^{-1} \circ \mathrm{d}u_{\mathbf{f}}(p)$$

does not depend on (p_k) .

This completes the proof of Lemma A.2.

The general theory [30] (which uses local arguments around the pre-image of each face) ensures that $\varphi(z)$ extends smoothly over $\mathbb{C}_v^n \setminus (\mathbb{C}_v^n \cap Z)$, and that ω_u defines a Kähler metric on $X \setminus Z$. We shall thus focus our analysis on \mathbb{C}_v^n in order to understand the behaviour of ω_u near $Z \cap \mathbb{C}_v^n$, see (96).

Let $p \in Z \cap \mathbb{C}_v^n$. We shall consider the following limiting cases:

- (a) p = (0, ..., 0) corresponds to the vertex v of Δ ;
- (b) μ₀(p) belongs to the relative interior of F, i.e. in the chart Cⁿ_v, p has coordinates (0, z₂, ..., z_n) with z_j ≠ 0, j = 2, ..., n.

The case when $\mu_0(p)$ belongs to an ℓ -codimensional face of F with $1 \le \ell \le n-1$ can be dealt with by combining the arguments for the cases (a) and (b). We shall also assume at first that $\beta = 0$.

Case (a): p = (0, ..., 0). We can assume without loss that the vertex $\mu_0(p) = v$ of Δ is at the origin of $\mathfrak{t}^* \cong \mathbb{R}^n$, i.e. $L_j(x) = x_j$, j = 1, ..., n. We thus have, near $0 \in \Delta$,

$$u(x) = -\alpha \log(x_1) + \frac{1}{2} \left(\sum_{j=2}^m x_j \log(x_j) - x_j \right) + w(x)$$
(103)

with w(x) smooth on \mathbb{R}^n . We can further modify u by adding an affine linear function (which does not change neither the induced Kähler metric nor the belonging of u to $S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$) so that $du(x^0) = 0$. It then follows that in the chart \mathbb{C}_v^n the functions $y_j = u_{,j} = \log |z_j|$ are given by

$$y_1 = \log |z_1| = -\frac{\alpha}{x_1} + w_{,1}(x),$$

$$y_j = \log |z_j| = \frac{1}{2} \log x_j + w_{,j}(x), \ j = 2, \dots, n,$$

or equivalently,

$$\begin{cases}
-\frac{1}{\log |z_1|} = f_1(x) , \\
|z_j|^2 = f_j(x) \text{ for } j = 2, \dots, n,
\end{cases}$$
(104)

with $f_1(x) = \frac{x_1}{\alpha - x_1 w_{,1}(x)}$ and $f_j(x) = x_j e^{2w_{,j}(x)}$, j = 2, ..., n. We want to use (104) in order to express the momentum coordinates $x = (x_1, ..., x_n)$ of ω_u in terms of $|z_j|'s$. To this end, we notice that $f(x) = (f_1(x), ..., f_n(x))$ extends smoothly near the origin $v = 0 \in \Delta$. (Similarly, the LHS of (104) extends continuously on \mathbb{C}_v^n by letting $\frac{-1}{\log |z_1|}$ be 0 along $z_1 = 0$.) Computing the Jacobian of f(x) at 0, we conclude that the local inverse $h(\zeta)$ of f(x) is defined on a small neighbourhood of $0 \in \mathbb{R}^n$ and has the form

$$h(\zeta) = \left(\alpha\zeta_1\tilde{h}_1(\zeta), \zeta_2\tilde{h}_2(\zeta), \dots, \zeta_n\tilde{h}_n(\zeta)\right),\tag{105}$$

with $\tilde{h}_1(\zeta), \ldots, \tilde{h}_n(\zeta)$ smooth and non-vanishing near 0. It thus follows from (104) that

$$\begin{cases} x_1 = \left(\frac{-\alpha}{\log|z_1|}\right) \tilde{h}_1\left(\frac{-1}{\log|z_1|}, |z_2|^2, \dots, |z_n|^2\right), \\ x_j = |z_j|^2 \tilde{h}_j\left(\frac{-1}{\log|z_1|}, |z_2|^2, \dots, |z_m|^2\right) & \text{for } j = 2, \dots, n. \end{cases}$$
(106)

By (97), and using that $y_j = \log |z_j|$ together with (106), we find that

$$\varphi_{u}(z) = \frac{1}{2} \sum_{j=1}^{n} \log(|z_{j}|^{2}) x_{j} - u(x)$$

$$= -\alpha \log\left(-\log|z_{1}|\right) + W\left(\frac{-1}{\log|z_{1}|}, |z_{2}|^{2}, \dots, |z_{n}|^{2}\right)$$
(107)

where

$$W(\zeta) := \alpha \log \left[\alpha \tilde{h}_1(\zeta) \right] - \alpha \tilde{h}_1(\zeta) - \frac{1}{2} \left(\sum_{j=2}^m \zeta_j \tilde{h}_j(\zeta) \left(\log \tilde{h}_j(\zeta) - 1 \right) \right) - w \left[h(\zeta) \right]$$
(108)

for w(x) defined in (103). Thus, $W(\zeta)$ is a smooth function near 0.

A similar (and well-established) argument using u_0 instead of u shows that φ_{u_0} can be viewed as a smooth function $\psi_0(z)$ on \mathbb{C}_v^n , so that the relative potential $\varphi(z)$ in (101) is written as

$$\varphi(z) = -\alpha \log\left(-\log|z_1|\right) + \psi_0(z) + W\left(\frac{-1}{\log|z_1|}, |z_2|^2, \dots, |z_n|^2\right)$$

and thus has the required behaviour of a Poincaré type potential near $Z = \{z_1 = 0\}$, see Definition 1.1.

We now examine the asymptotic behaviour of the Kähler form $\omega_u = dd^c \varphi_u$ near the origin $0 \in Z \cap \mathbb{C}_v^n$. Using (107), we find that

$$\omega_u = dd^c \varphi_u = 2\alpha \frac{\sqrt{-1}dz_1 \wedge d\overline{z_1}}{|z_1|^2 \log^2(|z_1|^2)} + \Omega + \eta, \tag{109}$$

where $\Omega + \eta = dd^c \left[W\left(\frac{-1}{\log(|z_1|)}, |z_2|^2, \dots, |z_n|^2 \right) \right]$ are given by

$$\begin{split} \Omega &= \sum_{j=2}^{n} W_{j} \Big(\frac{-1}{\log(|z_{1}|)}, |z_{2}|^{2}, \dots, |z_{n}|^{2} \Big) \sqrt{-1} dz_{j} \wedge d\overline{z_{j}} \\ &+ \sum_{j,k=2}^{n} W_{jk} \Big(\frac{-1}{\log(|z_{1}|)}, |z_{2}|^{2}, \dots, |z_{n}|^{2} \Big) \overline{z_{j}} \overline{z_{k}} \sqrt{-1} dz_{j} \wedge d\overline{z_{k}}, \\ \eta &= W_{1} \Big(\frac{-1}{\log(|z_{1}|)}, |z_{2}|^{2}, \dots, |z_{n}|^{2} \Big) \frac{\sqrt{-1} dz_{1} \wedge d\overline{z_{1}}}{|z_{1}|^{2} \log^{2}(|z_{1}|)} \\ &+ \sum_{j=2}^{n} W_{1j} \Big(\frac{-1}{\log(|z_{1}|)}, |z_{2}|^{2}, \dots, |z_{n}|^{2} \Big) \Big(\frac{z_{j} \sqrt{-1} dz_{1} \wedge d\overline{z_{j}}}{|z_{1} \log(|z_{1}|)} + \frac{\overline{z_{j}} \sqrt{-1} dz_{j} \wedge d\overline{z_{1}}}{\overline{z_{1}} \log(|z_{1}|)} \Big), \end{split}$$
(110)

and we have put

$$W_{1}(\zeta) = \zeta_{1}^{2} \left(\frac{\partial^{2} W}{\partial \zeta_{1} \partial \zeta_{1}} \right)(\zeta) + \frac{1}{2} \zeta_{1} \left(\frac{\partial W}{\partial \zeta_{1}} \right)(\zeta), \quad W_{j}(\zeta) = \left(\frac{\partial W}{\partial \zeta_{j}} \right)(\zeta), \quad j \ge 2;$$
$$W_{1j}(\zeta) = -\zeta_{1} \left(\frac{\partial^{2} W}{\partial \zeta_{1} \partial \zeta_{j}} \right)(\zeta), \quad j \ge 2; \quad W_{jk}(\zeta) = \left(\frac{\partial^{2} W}{\partial \zeta_{j} \partial \zeta_{k}} \right)(\zeta), \quad j, k \ge 2.$$

Since $W_k(\zeta)$, $W_{pq}(\zeta)$ are smooth, $||\nabla^s \eta|| = O(\frac{1}{\log(|z_1|)})$ near Z for all $s \ge 0$, where ∇ is the Levi-Civita connection of the model Poincaré type metric

$$\omega_{\text{mod}} = \frac{\sqrt{-1}dz_1 \wedge d\overline{z_1}}{|z_1|^2 \log^2(|z_1|^2)} + \sum_{j=2}^n \sqrt{-1}dz_j \wedge d\overline{z_j}$$

on $\mathbb{C}_{v}^{n} \setminus Z$, and the norms are computed with the help of ω_{mod} .

It follows from (109)–(110) that ω_u has the Poincaré type behaviour in the normal z_1 -direction, as well as in the (z_1, z_j) -directions for $j \ge 2$.

We are thus left to examine the metric over the hyperplane $z_1 = 0$. Letting $\tilde{W}_j(\zeta)$ and $\tilde{W}_{jk}(\zeta)$ be the smooth functions determined near 0 by

$$W_j(\zeta) = W_j(0, \zeta_2, \dots, \zeta_n) + \zeta_1 \tilde{W}_j(\zeta); \quad W_{jk}(\zeta) = W_{jk}(0, \zeta_2, \dots, \zeta_n) + \zeta_1 \tilde{W}_{jk}(\zeta),$$

one has the decomposition $\Omega = \Omega_0 + \varepsilon$, where

$$\begin{split} \Omega_{0} &= \sum_{j=2}^{n} W_{j}(0, |z_{2}|^{2}, \dots, |z_{n}|^{2}) \sqrt{-1} dz_{j} \wedge d\overline{z_{j}} \\ &+ \sum_{j,k=2}^{n} W_{jk}(0, |z_{2}|^{2}, \dots, |z_{n}|^{2}) \overline{z_{j}} z_{k} \sqrt{-1} dz_{j} \wedge d\overline{z_{k}}, \\ \varepsilon &= \frac{-1}{\log(|z_{1}|)} \sum_{j=2}^{n} \tilde{W}_{j} \Big(\frac{-1}{\log(|z_{1}|)}, |z_{2}|^{2}, \dots, |z_{n}|^{2} \Big) \sqrt{-1} dz_{j} \wedge d\overline{z_{j}} \\ &- \frac{1}{\log(|z_{1}|)} \sum_{j,k=2}^{n} \tilde{W}_{jk} \Big(\frac{-1}{\log(|z_{1}|)}, |z_{2}|^{2}, \dots, |z_{n}|^{2} \Big) \overline{z_{j}} z_{k} \sqrt{-1} dz_{j} \wedge d\overline{z_{k}}. \end{split}$$

$$(111)$$

We notice that Ω_0 is smooth around the origin, whereas ε satisfies, for all $s \ge 0$, $||\nabla^s \varepsilon|| = O(\frac{1}{\log(|z_1|)})$ near Z (with covariant derivatives and norms taken with respect to the model Poincaré metric ω_{mod}). Computing the value of Ω_0 at z = 0, we get

$$(\Omega_0)_{|z=0} = \sum_{j=2}^m W_j(0) \sqrt{-1} \mathrm{d} z_j \wedge \mathrm{d} \overline{z_j}.$$

Using (108), we have

$$W_{j}(0) = \left(\frac{\partial W}{\partial \zeta_{j}}\right)(0)$$

$$= -\frac{1}{2}\tilde{h}_{j}(0)\log\left(\tilde{h}_{j}(0)\right) - \left(\frac{\partial h_{j}}{\partial \zeta_{j}}\right)(0)\left(w_{,j}(0) - \frac{1}{2}\right)$$
(112)
$$= -\frac{1}{2}\tilde{h}_{j}(0)\log\left(\tilde{h}_{j}(0)\right) - \tilde{h}_{j}(0)\left(w_{,j}(0) - \frac{1}{2}\right).$$

By the definition (105) (and inverting the diagonal Jacobian of f at 0) we have that for any j = 2, ..., n, $\tilde{h}_j(0) = e^{-c_j}$ where $c_j = 2w_{,j}(0)$. Substituting back to (112), we conclude

$$W_j(0) = e^{-c_j} \left(-\frac{1}{2}(-c_j) - \frac{c_j - 1}{2} \right) = \frac{e^{-c_j}}{2} > 0,$$

thus showing the positivity of ω_u in the directions parallel to Z. Case 2: $p = (0, z_2, ..., z_n)$ with $z_j \neq 0$ for j = 2, ..., n. Now

$$u(x) = -\alpha \log x_1 + w(x)$$

with w(x) smooth in a neighbourhood of $x_p = \mu(p) \in F^0$. By assumption, u is strictly convex on Δ^0 , and $u_F = w_{|F|}$ is strictly convex on F^0 . The relations (104) now become

$$\begin{cases} \frac{-1}{\log(|z_1|)} = f_1(x), \\ |z_j|^2 = f_j(x) \quad \text{for } j = 2, \dots, n, \end{cases}$$
(113)

with $f_1(x) = \frac{x_1}{\alpha - x_1 w_1(x)}$, and $f_j(x) = e^{2w_j(x)}$, j = 2, ..., n. Using the strict convexity of w along $F^0 = \{x_1 = 0\} \cap \Delta$, we see that $f(x) = (f_1(x), ..., f_n(x))$ is smooth and locally invertible around $x_p = (0, b_2, ..., b_n)$. We denote by $h(\zeta) = (h_1(\zeta), ..., h_n(\zeta))$ the local inverse of f around x_p , which must be of the form

$$h(\zeta) = \left(\alpha\zeta_1\tilde{h}_1(\zeta), \tilde{h}_2(\zeta), \dots, \tilde{h}_n(\zeta)\right)$$

with $\tilde{h}_1(0) > 0$. Thus,

$$x = h\left(\frac{-1}{\log|z_1|}, |z_2|^2, \dots, |z_n|^2\right),$$

(which extends along $z_1 = 0$ near p). We obtain again

$$\varphi_u(z) = -\alpha \log\left(-\log|z_1|\right) + W\left(\frac{-1}{\log|z_1|}, |z_2|^2, \dots, |z_m|^2\right), \quad (114)$$

with W smooth and given by

$$W(\zeta) = \alpha \log \left(\alpha \tilde{h_1}(\zeta) \right) - \alpha \tilde{h_1}(\zeta) + \frac{1}{2} \sum_{j=2}^m \tilde{h_j}(\zeta) \log \zeta_j - w \big[h(\zeta) \big],$$

(notice that $\zeta_j(p) = |z_j(p)|^2 > 0$ for j = 2, ..., n). Thus,

$$\varphi(z) = \varphi_u(z) - \varphi_{u_0}(z) = -\alpha \log(-\log |z_1|) - \psi(z) + W\left(\frac{-1}{\log |z_1|}, |z_2|^2, \dots, |z_n|^2\right),$$

with ψ and W are smooth near p. Consequently, φ has the right asymptotics near p.

We now address the positivity of ω_u near p. Writing

$$\omega_u = dd^c \varphi_u = \frac{2\alpha \sqrt{-1} dz_1 \wedge d\overline{z_1}}{|z_1|^2 \log^2(|z_1|^2)} + \Omega + \eta, \qquad (115)$$

with $\Omega + \eta = dd^c \left(W\left(\frac{-1}{\log |z_1|}, |z_2|^2, \dots, |z_n|^2 \right) \right)$ given by (110), we have that $\eta = O\left(\frac{1}{\log |z_1|}\right)$ at any order; it is thus enough to show the positivity of Ω in (115). Decomposing $\Omega = \Omega_0 + \varepsilon$ with $\varepsilon = O\left(\frac{1}{\log |z_1|}\right)$ as in (111), we need to establish the positivity of $(\Omega_0)_p$. By its very definition, $\Omega_0|_Z = dd^c \left(W(0, |z_2|^2, \dots, |z_n|^2) \right)$. A careful examination of the definition of W reveals that, up to additive pluriharmonic terms $\log |z_j|^2$, $W(0, |z_2|^2, \dots, |z_n|^2)$, seen as a function on the hypersurface

 $\{z_1 = 0\}$, coincides with the Kähler potential corresponding to the Legendre transform (97) of the strictly convex function $u_F(x) = \alpha \log x_1 + u(x) = w(x)$ restricted to the relative interior of *F*. Thus, $(\Omega_0)_p > 0$.

We finally comment on the case when $u \in S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ with $\beta \neq 0$. The main difficulty is that the equations (104) hold with

$$f_1(x) = \frac{x_1}{\alpha - \beta x_1 \log x_1 - x_1(\beta + w_{,1}(x))}$$
(116)

which is no longer smooth (nor even C^2) around $x_1 = 0$.

One way to bypass this difficulty is to use a suitable change of variables. We detail below the case n = 1, for the general case is treated similarly by considering the change of variables with respect to $|z_1|^2$ and leaving the variables $|z_j|^2$, j = 2, ..., n unchanged.

We set

$$s := \frac{-1}{\log x_1}, \ t_1 := \log(-\log |z_1|),$$

so that the first equation in (104) becomes

$$\frac{1}{t_1} = \frac{s}{1 + s \log\left(\alpha + \beta e^{-1/s}/s + e^{-1/s}(\beta + w_{,1}(e^{-1/s}))\right)} =: f(s).$$
(117)

As $w_{,1}$ is smooth in a neighbourhood of 0 and the functions

$$s \longmapsto \begin{cases} 0 & \text{if } s \leq 0, \\ e^{-1/s} & \text{if } s > 0, \end{cases} \quad \text{and} \quad s \longmapsto \begin{cases} 0 & \text{if } s \leq 0, \\ \frac{1}{s}e^{-1/s} & \text{if } s > 0, \end{cases}$$

are smooth on \mathbb{R} , we can extend f(s) as a smooth function in a neighbourhood of 0 by letting $f(s) = \frac{s}{1-s\log\alpha}$ for $s \le 0$.

As $\partial_s f(0) = 1$, we get that $s = h(\frac{1}{t_1})$ for some *h* smooth around 0, satisfying h(0) = 0, h'(0) = 1. Thus, $s = \frac{1}{t_1} \left(1 + \frac{\gamma}{t_1} + \tilde{h}(\frac{1}{t_1})\right)$ for some constant γ , and \tilde{h} a smooth function vanishing at order 2 at 0. In fact, one must have $\gamma = \log \alpha$, and thus

$$\frac{1}{s} = t_1 - \log \alpha + H\left(\frac{1}{t_1}\right),$$

with H a smooth function vanishing at order (at least) 1 at 0. We can be more precise, and rewrite (117) as

$$\frac{1}{t_1} = \frac{1}{1/s + \log \alpha + P(s)}, \quad \text{i.e.} \quad \frac{1}{s} = t_1 - \log \alpha - P(s)$$

with $P(s) = \log (1 + (\beta/\alpha)e^{-1/s}/s + e^{-1/s}(\beta + w_{,1}(e^{-1/s}))/\alpha)$. Replacing 1/s with $t_1 - \log \alpha + H(\frac{1}{t_1})$ in the explicit expression of P(s), we see by induction that $H(\frac{1}{t_1})$,

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as well as its derivatives with respect to t_1 at any order, are $O(t_1e^{-t_1})$ when $t_1 \to +\infty$. Therefore,

$$x = e^{-1/s} = \exp\left(-t_1 + \log \alpha + P(s)\right) = \frac{-\alpha}{\log|z_1|} \left(1 + O(t_1 e^{-t_1})\right),$$

at any order with respect to differentiation in t_1 . In particular, $x = \frac{-\alpha}{\log |z_1|} + O(t_1 e^{-2t_1})$ (instead of $O(e^{-2t_1})$ in the case $\beta = 0$) at any order with respect to $\frac{|dz_1|^2}{|z_1|^2 \log^2(|z_1|^2)}$. We thus get for the relative potential (101)

$$\varphi = -\log\left(-\log|z_1|\right) + \log\alpha + O(t_1e^{-t_1}),$$

and recover the asymptotic behaviour ω_u near Z with arguments identical with the ones in the case $\beta = 0$. This ends the proof of Theorem 4.18.

Appendix A.2: Proof of Proposition 4.19

The arguments are local, near a point $p \in \partial \Delta$, and not materially different from ones in [4, §1.3]. In fact, we need to only consider the case when $p \in F = F_1$ (otherwise the result follows from [4]). To this end, we fix a vertex $v \in F$ of Δ , which without loss can be taken to be at the origin of \mathfrak{t}^* , and consider a basis of t corresponding to the inward normals of the *n* facets F_1, \ldots, F_n meeting at *v*. We can also assume that $F = F_1$ is defined by the equation $x_1 = 0$, i.e. $p = (0, x_2, \ldots, x_n)$ with $x_j \ge 0$, and $L_j(x) = x_j$.

In one direction, we want to show that if $u \in S_{\alpha,\beta}(\Delta, \mathbf{L}, F)$ then *u* satisfies the four conditions of Proposition 4.19.

Let us define the mutually inverse matrices

$$\mathbf{G}_{\alpha,\beta}^{0} = \begin{pmatrix} \frac{\alpha + \beta x_{1}}{x_{1}^{2}} & 0 & \cdots & 0\\ 0 & \frac{1}{2x_{2}} & \ddots & \vdots\\ \vdots & \ddots & \ddots & 0\\ 0 & \cdots & 0 & \frac{1}{2x_{n}} \end{pmatrix} \text{ and } \mathbf{H}_{\alpha,\beta}^{0} = \begin{pmatrix} \frac{(x_{1})^{2}}{\alpha + \beta x_{1}} & 0 & \cdots & 0\\ 0 & 2x_{2} & \ddots & \vdots\\ \vdots & \ddots & \ddots & 0\\ 0 & \cdots & 0 & 2x_{n} \end{pmatrix}.$$

Given $u \in S_{\alpha,\beta}(\Delta, \mathbf{L}, F_1)$, we first prove that $\mathbf{G}^u = \operatorname{Hess}(u)$ and its inverse \mathbf{H}^u satisfy the property that $\mathbf{G}^u - \mathbf{G}^0_{\alpha,\beta}$ and $\mathbf{G}^0_{\alpha,\beta} + \mathbf{G}^0_{\alpha,\beta} - \mathbf{G}^0_{\alpha,\beta}$ extend smoothly through $(F_1 \cup \cdots \cup F_n) \setminus (F_{n+1} \cup \cdots \cup F_d)$ in the region $\{\alpha + \beta x_1 > 0\}$, see Figure 3. Then we will show that if $\mathbf{G}^u - \mathbf{G}^0_{\alpha,\beta}$ and $\mathbf{G}^0_{\alpha,\beta} + \mathbf{H}_u \mathbf{G}^0_{\alpha,\beta} - \mathbf{G}^0_{\alpha,\beta}$ extend smoothly, then the conditions of Proposition 4.19 must be satisfied.

We notice that $\mathbf{G}^{0}_{\alpha,\beta} = \operatorname{Hess}(u^{0}_{\alpha,\beta})$ with

$$u_{\alpha,\beta}^{0} = -(\alpha - \beta x_{1}) \log x_{1} + \frac{1}{2} \sum_{j=2}^{n} x_{j} \log x_{j}.$$

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Fig. 3 The polytope Δ and the domain near the face F_1 (grey)

Thus, $\mathbf{G}^u - \mathbf{G}^0_{\alpha,\beta}$ is the Hessian of a smooth function through the wall $(F_1 \cup \cdots \cup F_n) \setminus (F_{m+1} \cup \cdots \cup F_d)$. Writing $u = -(\alpha - \beta x_1) \log x_1 + \frac{1}{2} \sum_{j=2}^n x_j \log x_j + w(x)$, with *w* smooth, we get

$$\mathbf{H}_{\alpha,\beta}^{0}\mathbf{G}^{u} = I_{n} + \begin{pmatrix} \frac{x_{1}^{2}}{\alpha x_{1}+\beta}w_{,11} & \cdots & \frac{(x_{1})^{2}}{\alpha x_{1}+\beta}w_{,1n} \\ 2x_{2}w_{,21} & \cdots & 2x_{2}w_{,2n} \\ \vdots & & \vdots \\ 2x_{n}w_{,2n} & \cdots & 2x_{n}w_{,2n} \end{pmatrix}$$

which clearly extends smoothly (with positive determinant over the origin). Moreover, on $\mathbf{f} := (F_1 \cap \cdots \cap F_\ell) \setminus (F_{\ell+1} \cup \cdots \cup F_m)$, for some $\ell \in \{1, \dots, n\}$

$$\mathbf{H}_{\alpha,\beta}^{0}\mathbf{G}^{u} = I_{n} + \begin{pmatrix} 0 & \cdots & 0 \\ \vdots & & \vdots \\ 0 & \cdots & 0 \\ 2x_{\ell+1}w_{,(\ell+1)1} & \cdots & 2x_{\ell+1}w_{,(\ell+1)m} \\ \vdots & & \vdots \\ 2x_{n}w_{,2n} & \cdots & 2x_{n}w_{,2n} \end{pmatrix} = \begin{pmatrix} I_{\ell} & 0 \\ * & \mathbf{H}_{\mathbf{f}}^{0}\mathbf{G}u_{\mathbf{f}} \end{pmatrix}$$

where $\mathbf{H}_{\mathbf{f}}^{0} = \operatorname{diag}(2x_{\ell+1}, \ldots, 2x_{n})$ and, we recall, $u_{\mathbf{f}} := (u + (\alpha - \beta x_{1}) \log x_{1})_{|\mathbf{f}}$. Hence $\operatorname{det}[\mathbf{H}_{\alpha,\beta}^{0}\mathbf{G}^{u}] = 2^{m-\ell}x_{\ell+1}\cdots x_{n}\operatorname{det}[\mathbf{G}^{u_{\mathbf{f}}}] > 0$ along \mathbf{f} , as $\mathbf{G}^{u_{\mathbf{f}}}$ is positive definite by assumption. Since this holds for all \mathbf{f} and on the vertex $F_{1} \cap \cdots \cap F_{n}$, we conclude that $\mathbf{H}_{\alpha,\beta}^{0}\mathbf{G}^{u}$ admits a smooth inverse, i.e. $\mathbf{H}^{u}\mathbf{G}_{\alpha,\beta}^{0} = (\mathbf{H}_{\alpha,\beta}^{0}\mathbf{G}^{u})^{-1}$ can be extended smoothly through the wall too. Therefore, $(\mathbf{G}_{\alpha,\beta}^{0} - \mathbf{G}^{u})\mathbf{H}^{u}\mathbf{G}_{\alpha,\beta}^{0} = \mathbf{G}_{\alpha,\beta}^{0}\mathbf{H}^{u}\mathbf{G}_{\alpha,\beta}^{0} - \mathbf{G}_{\alpha,\beta}^{0}$ extends smoothly as well.

Set $\mathbf{Q}^{u} := \mathbf{G}_{\alpha,\beta}^{0} \mathbf{H}^{u} \mathbf{G}_{\alpha,\beta}^{0} - \mathbf{G}_{\alpha,\beta}^{0}$. It follows that $\mathbf{H}^{u} = \mathbf{H}_{\alpha,\beta}^{0} \mathbf{Q}^{u} \mathbf{H}_{\alpha,\beta}^{0} + \mathbf{H}_{\alpha,\beta}^{0}$, and hence \mathbf{H}^{u} is smooth through the wall. In fact, a direct computation tells us that

$$\mathbf{H}^{u} - \mathbf{H}^{0}_{\alpha,\beta} = \begin{pmatrix} x_{1}^{4} f_{11} & x_{1}^{2} x_{2} f_{12} & \cdots & x_{1}^{2} x_{n} f_{1n} \\ x_{1}^{2} x_{2} f_{21} & x_{2}^{2} f_{22} & x_{2} x_{3} f_{23} & \cdots & x_{2} x_{n} f_{2m} \\ \vdots & x_{2} x_{3} f_{32} & & \vdots \\ \vdots & \vdots & & x_{n-1} x_{n} f_{2n} \\ \vdots & x_{1}^{2} x_{n} f_{n1} & x_{2} x_{n} f_{n2} & \cdots & x_{n-1} x_{n} f_{2n} \\ \end{pmatrix}$$
(118)

with smooth f_{ab} , which allows us to see that all the boundary conditions of \mathbf{H}^{u} are satisfied along a face $\mathbf{f} \subset F_1$.

It remains to check the positivity assertion on the faces $\mathbf{f} \subset F_1$; as above, suppose $\mathbf{f} = (F_1 \cap \cdots \cap F_\ell) \setminus (F_{\ell+1} \cup \cdots \cup F_d)$. Along $\mathbf{f}, \mathbf{H}^0_{\alpha,\beta} \mathbf{G}^u$ can be written as $\binom{I_\ell \quad 0}{* \mathbf{H}^0_{\mathbf{f}} \mathbf{G}^{u_{\mathbf{f}}}}$. It thus follows that along $\mathbf{f} (\mathbf{H}^0_{\alpha,\beta} \mathbf{G}^u)^{-1}$ has the shape $\binom{I_\ell \quad 0}{* \mathbf{H}^{u_{\mathbf{f}}} \mathbf{G}_f^0}}$, with $\mathbf{G}^0_{\mathbf{f}} = (\mathbf{H}^0_{\mathbf{f}})^{-1} = \text{diag}(\frac{1}{2x_{\ell+1}}, \dots, \frac{1}{2x_n})$, where $\mathbf{H}^{u_{\mathbf{f}}} = (\mathbf{G}^{u_{\mathbf{f}}})^{-1}$. Thus, along $\mathbf{f}, \mathbf{H}^u = (\mathbf{H}^0_{\alpha,\beta} \mathbf{G}^u)^{-1} \mathbf{H}^0_{\alpha,\beta} = \begin{pmatrix} 0 & 0 \\ * \mathbf{H}^{u_{\mathbf{f}}} \end{pmatrix}$ (since $\mathbf{H}^0_{\alpha,\beta} = \begin{pmatrix} 0 & 0 \\ 0 & \mathbf{H}_f^0 \end{pmatrix}$) along \mathbf{f}). The desired positivity now readily follows from that of $\mathbf{H}^{u_{\mathbf{f}}}$ along \mathbf{f} , which in turn is a direct consequence of the convexity assumption of $u_{\mathbf{f}}$.

We now deal with the converse direction of Proposition 4.19, i.e. given a strictly convex $u \in C^{\infty}(\Delta^0)$ such that the associated \mathbf{H}^u verifies the conditions of Proposition 4.19, we have to show that $u \in S_{\alpha,\beta}(\Delta, \mathbf{L}, F_1)$. Again, as this is local and already known far from the Poincaré face F_1 , we focus on the same region as above. Arguments analogous to those in [4, pp. 290–291] allow one to show that the boundary conditions for \mathbf{H}^u yield that $\mathbf{G}^u - \mathbf{G}^0_{\alpha,\beta}$ and $\mathbf{H}^u \mathbf{G}^0_{\alpha,\beta}$ extend smoothly through $(F_1 \cup \cdots \cup F_n) \setminus (F_{n+1} \cup \cdots \cup F_d)$, the latter having positive determinant on F_1 ; the smooth extension of $\mathbf{G}^u - \mathbf{G}^0_{\alpha,\beta}$ ensures that u can be written as

$$-(\alpha - \beta L_1) \log L_1 + \frac{1}{2} \sum_{j=2}^d L_j \log L_j + w(x)$$

for some $w \in C^{\infty}(\Delta, \mathbb{R})$.

The boundary conditions for \mathbf{H}^{u} also tell us that $\mathbf{H}^{u} = \mathbf{H}_{\alpha,\beta}^{0} + \mathbf{R}^{u}$, with \mathbf{R}^{u} a smooth matrix of shape given by (118) (the third-order boundary condition on the Poincaré face gives precisely the $O(x_{1}^{4})$ estimate for the upper left coefficient of \mathbf{R}^{u}). Hence, $\mathbf{H}^{u}\mathbf{G}_{\alpha,\beta}^{0} = I_{n} + \mathbf{R}^{u}\mathbf{G}_{\alpha,\beta}^{0}$ with

$$\mathbf{R}^{u}\mathbf{G}_{\alpha,\beta}^{0} = \begin{pmatrix} (x_{1})^{2}g_{11} & (x_{1})^{2}g_{12} & \cdots & \cdots & (x_{1})^{2}g_{1n} \\ x_{2}g_{21} & x_{2}g_{22} & x_{2}g_{23} & \cdots & x_{2}g_{2n} \\ \vdots & \vdots & & & \vdots \\ \vdots & \vdots & & & & \vdots \\ x_{n}g_{n1} & x_{n}g_{n2} & \cdots & x_{n}g_{n,(n-1)} & x_{n}g_{nn} \end{pmatrix}, \quad (119)$$

for smooth g_{ab} . It follows that along $\mathbf{f} = (F_1 \cap \cdots \cap F_\ell) \setminus (F_{\ell+1} \cup \cdots \cup F_d) \ (1 \le \ell \le n)$, $\mathbf{H}^0_{\alpha,\beta} \mathbf{G}^u = (\mathbf{H}^u \mathbf{G}^0_{\alpha,\beta})^{-1} = \begin{pmatrix} I_\ell & 0 \\ * & \mathbf{H}^0_{\mathbf{f}} \mathbf{G}^{u_{\mathbf{f}}} \end{pmatrix}$. As $\mathbf{H}^u \mathbf{G}^0_{\alpha,\beta}$ extends as a smooth and positive

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definite matrix over **f**, we conclude that $\mathbf{G}^{u_{\mathbf{f}}} > 0$, i.e. $u_{\mathbf{f}}$ is strictly convex in the relative interior of **f**.

Appendix B: Proof of Proposition 5.8 and Corollary 5.9

For the proof of Proposition 5.8 and Corollary 5.9, we will change point of view slightly and use explicit computations for quadrilaterals in \mathbb{R}^2 with the standard lattice \mathbb{Z}^2 . The moment polytope corresponding to a Hirzebruch surface has at least one pair of parallel edges. Therefore after possibly scaling the polytope, we can take it to be given as the intersection $\bigcap_{i=1}^{4} L_i^{-1}([0, \infty))$ of

$$L_{1}(x, y) = y,$$

$$L_{2}(x, y) = (1 - x),$$

$$L_{3}(x, y) = (q - k)x - y + k,$$

$$L_{4}(x, y) = x,$$
(120)

for some positive real numbers q and k. This will then correspond to a Hirzebruch surface exactly when $q - k \in \mathbb{Z}$.

We begin with the proof of Proposition 5.8 in the case of two edges, and then show that the case of one edge is a corollary of this, using the convexity of the set of stable weights. We end by proving Corollary 5.9.

Appendix B.1: The Case of Two Edges

For this we will use a criterion for stability found in [40] which used the ambitoric framework of [5,6] described above. We begin by recalling this result.

For a pair of edges F_1 , F_2 of a general 2-dimensional convex polytope Δ , one can parametrize the lines that meet both F_1 and F_2 by $[0, 1] \times [0, 1]$. Let the vertices of F_1 be v_0 and v_1 and let the vertices of F_2 be w_0 and w_1 . Then let $v_s = (1-s)v_0 + sv_1$ and $w_t = (1-t)w_0 + tw_1$. Picking an affine linear function $h_{s,t}$ whose zero set is the line containing v_s and w_t , one then obtains a corresponding simple piecewise linear function $f_{s,t} = \max\{0, h_{s,t}\}$. We can parametrize the Donaldson–Futaki invariant of these functions as a map

$$\phi: [0,1] \times [0,1] \longrightarrow \mathbb{R},$$

(s,t) $\longmapsto \mathcal{L}_{(\Delta,\mathbf{L},F)}(f_{s,t}).$

The positivity of this is independent of the scaling of $h_{s,t}$ chosen. Since all lines meeting F_1 and F_2 are traced out as (s, t) takes all values in $[0, 1] \times [0, 1]$, it suffices to check the positivity of ϕ in order to check whether or not there are any simple piecewise linear functions with crease meeting F_1 and F_2 violating stability. By choosing an appropriate scaling of $h_{s,t}$, ϕ can be taken to be polynomial in (s, t) of bidegree (3, 3). This was essentially shown in [22], see also [40, Lemma 2.10].

If F_1 and F_2 are adjacent to a common edge \tilde{F} , then each of the F_i has a vertex lying on \tilde{F} . Thus, up to reordering v_i and w_i , we have that v_0 and w_0 lie on \tilde{F} . In the domain $[0, 1] \times [0, 1]$ of ϕ the point (0, 0) will then correspond to the simple piecewise linear function $f_{0,0}$ whose crease is \tilde{F} ; $f_{0,0}$ is then actually affine linear on P and so $\phi((0, 0)) = 0$. Moreover, if \tilde{F} is one of the components of F, the facets along which we let the boundary measure vanish, then the point will be a critical point of ϕ .

In the case when $\Delta = Q$ is a quadrilateral, there are exactly two pairs of such edges that have common adjacent edges, namely the two pairs of opposite edges. As above, we then have functions ϕ_1 , ϕ_2 parametrizing the Donaldson–Futaki invariant of simple piecewise linear functions meeting opposite edges of Q. In the case when F consists of two edges of Q, there will then be exactly two critical points corresponding to two of the vertices of ϕ_1 and/or ϕ_2 .

Proposition A.3 Let Q be a quadrilateral and pick two edges F_1 , F_2 of Q. Then $(Q, \mathbf{L}, F_1 \cup F_2)$ is stable if and only if the determinant of the Hessian of the functions ϕ_1, ϕ_2 at the points corresponding to an affine linear function is

- non-negative if F_1 , F_2 are adjacent,
- *positive if F*₁, *F*₂ *are opposite.*

Moreover, the positivity of the determinant implies that the relative Székelyhidi numerical constraint is satisfied.

Note that the converse of the final statement is not true. If the determinant vanishes, the Hessian is positive semi-definite but not positive definite at the critical point of ϕ_i . This means that there is a family f_c of simple piecewise linear functions, with f_0 corresponding to the critical point of the domain of ϕ_i , such that $\frac{d^2}{dc^2}\Big|_{c=0} (\mathcal{L}_{(Q,\mathbf{L},F)}(f_c)) = 0$. However, it is not necessarily the case that the crease of this family can be taken to be parallel to relevant edge of Q. This would have to be the case if the positivity of the determinant was equivalent to the relative Székelyhidi numerical constraint.

For Q being the moment polytope of a Hirzebruch surface given by Eq. (120) and L the canonical scaling of the normals to Q, one can then compute the functions ϕ_1 and ϕ_2 of Proposition A.3 and hence their determinants directly in terms of q and k. The result of this computation is given in Lemmas A.4 and A.5.

Lemma A.4 Suppose F consists of two adjacent edges, which without loss of generality can be assumed to be the two edges not lying on the coordinate axes. Then the determinants of the Hessians of ϕ_1 , ϕ_2 at the two critical points are up to a positive constant given by

$$k^4 + 2k^2q^2 + q^4 - k^3 + 3k^2q + 3kq^2 - q^3$$
(121)

and

$$3k^{6}q + 3k^{5}q^{2} + 6k^{4}q^{3} + 6k^{3}q^{4} + 3k^{2}q^{5} + 3kq^{6} + 2k^{6} + 2k^{5}q + 6k^{4}q^{2} + 4k^{3}q^{3} + 6k^{2}q^{4} + 2kq^{5} + 2q^{6} - 2k^{5} - 2k^{4}q + 4k^{3}q^{2} + 4k^{2}q^{3} - 2q^{4}k - 2q^{5}.$$
(122)

Thus to complete the proof of Proposition 5.8 in the case when F consists of two adjacent edges, we have to show that both these numbers are always positive. This is not true for arbitrary positive q and k, but we will use that $q - k \in \mathbb{Z}$. In fact, having $|q - k| \ge 1$ ensures that both (121) and (122) are positive. Note that we do not have to consider the case q = k as this corresponds to a product.

So assume first that q > k, so that $q \ge k + 1$. We then use the substitution $q = k + 1 + \lambda$, where $\lambda \ge 0$ by assumption. The expression (121) is then given by

$$\begin{split} \lambda^4 + 4k\lambda^3 + 8k^2\lambda^2 + 8k^3\lambda + 4k^4 + 3\lambda^3 + 12k\lambda^2 \\ + 22k^2\lambda + 12k^3 + 3\lambda^2 + 12k\lambda + 14k^2 + \lambda + 4k. \end{split}$$

Since k > 0 and $\lambda \ge 0$, it therefore follows that the term in Eq. (121) is always positive.

Similarly, using the substitution $k = q + 1 + \lambda$ instead, one can show that (121) is always positive when q < k. The same technique also works to show that (122) is positive whenever $q - k \in \mathbb{Z}$. This completes the proof of Proposition 5.8 for the case of adjacent edges.

Though we have already proved this by different means, the above technique also works when F consists of two opposite edges. Székelyhidi showed in [46, Prop. 15] that if the two edges that are not in F are parallel, then (Q, \mathbf{L}, F) is always strictly semistable. It can also be verified directly that the determinant of the Hessian as in Proposition A.3 vanishes in this situation. With Q determined by positive numbers k, q as above, we can therefore assume that F consists of the two edges of Q contained in $L_2 = 0$ and $L_4 = 0$, respectively, since the edges lying in $L_1 = 0$ and $L_3 = 0$ are the only opposite edges that may not be parallel.

In the case of opposite edges, the two determinant conditions turn out to be equivalent. Thus we need to determine that this single number is non-negative and vanishes precisely if q = k. This is a consequence of the lemma below.

Lemma A.5 Suppose F consists of the two opposite edges lying on $L_2 = 0$ and $L_4 = 0$. Then the determinant of the Hessian of the function corresponding to the Donaldson– Futaki invariant of simple piecewise linear functions with crease meeting $L_1 = 0$ and $L_3 = 0$ at the critical point corresponding to $L_2 = 0$ is given by

$$\frac{(k-q)^2(k+q)^2k^2}{2(k^2+4kq+q^2)^2}.$$

The determinant is thus always non-negative and since k and q are positive it vanishes if and only if k = q, i.e. if and only if Q is a rectangle, as expected.

Remark A.6 The determinant condition Proposition A.3 holds regardless of the normals we use for the remaining two edges in F. In particular, it applies when we have a third facet in F. In this case similar formulae to the ones given above show that the determinant condition is violated, and so (Q, F) is always unstable when F consists of three edges of Q.

Appendix B.2: The Case of One Edge

To prove that (Q, \mathbf{L}, F) is stable when Q corresponds to a Hirzebruch surface and F is a single edge of Q, we will use the notion of weighted stability and the convexity of the set of stable weights. In general, for a Delzant polytope Δ , we let $r_i \in \mathbb{R}_{\geq 0}$ be the reciprocal of the scaling of the *i*th defining function of Δ given by the data \mathbf{L} if this facet is not in F and $r_i = 0$ if it is. Then we can identify the triple with (Δ, \mathbf{L}, F) with (Δ, \underline{r}) where $\underline{r} \in \mathbb{R}_{\geq 0}^d$ is the *weight* of (Δ, \mathbf{L}, F) . The weight \underline{r} is *stable* if the corresponding triple $(\Delta, \overline{\mathbf{L}}, F)$ is.

For us the key property of weighted stability is that the set of non-zero stable weights, thought of as a subset of $\mathbb{R}^{d}_{\geq 0} \setminus \{0\}$, is a *convex cone*. Thus a positive linear combination of semistable weights is semistable, and moreover, if at least one of the weights is stable, then the linear combination is stable too.

Going back to the case when $\Delta = Q$ corresponds to a Hirzebruch surface, the notion of the stability of (Q, \mathbf{L}, F) where F is a single edge of Q is exactly the same as the stability of the weight (0, 1, 1, 1), where F_1 is the edge in F and F_2 , F_3 , F_4 are the remaining three edges of P.

We now note that this weight can be written as

$$(0, 1, 1, 1) = \frac{1}{2}(0, 0, 1, 1) + \frac{1}{2}(0, 1, 0, 1) + \frac{1}{2}(0, 1, 1, 0)$$

The stability of the weights (0, 0, 1, 1), (0, 1, 0, 1) and (0, 1, 1, 0) each correspond to the stability of some (Q, \mathbf{L}, F') where F' consists of exactly two edges of Q. Thus (0, 1, 1, 1) is a positive combination of semistable weights, and hence is semistable. Moreover, at least two of the weights are then in fact stable, since two of these weights corresponds to the case when F' consists of two adjacent sides. Hence (0, 1, 1, 1)must be stable as well. This means that (Q, \mathbf{L}, F) where Q is a Hirzebruch surface, \mathbf{L} are the canonical defining functions of Q and F is a single edge of Q, is always stable, and this completes the proof of Proposition 5.8.

Appendix B.3: The Proof of Corollary 5.9

The missing component in the proof is to show that the extremal metrics obtained cannot be of Poincaré type. For this we will show that in the above situation, the necessary condition in Eq. (42) for the existence of a toric extremal metric of Poincaré type is violated. In the Hirzebruch surface cases we are interested in, this condition becomes the following:

Lemma A.7 Let Q be the moment polytope of a Hirzebruch surface X and Kähler class Ω given by the data in equations (120). If X admits an extremal Poincaré type metric on the complement of a divisor Z corresponding to the a union F of facets of Q, then the associated affine linear function A satisfies that

- A is constant along F, and so in particular at its vertices, if F is a single edge,
- A is constant along the line with vertices (0, k) and $(\frac{1}{k}, 0)$, if F consists of the two edges lying in the x and y-axes.

Proof The only part that needs clarification is the last statement regarding the case of two adjacent edges. Let A be the associated affine linear function to Q given by the equations (120). We are taking the edges in F to be F_1 and F_4 .

Recall that for the moment polytope $I = [0, \lambda]$ with coordinate *z* and *F* being the end-point 0, the associated affine linear function is

$$B_{\lambda}(z) = \frac{6}{\lambda^2} z - \frac{2}{\lambda}.$$

Since F is $F_1 \cup F_4$ for Q, the condition of Eq. (42) then becomes that

$$A_{|y=0} = B_1(x) + c_1$$

 $A_{|x=0} = B_k(y) + c_2$

where the c_i are constants. Thus if A = ax + by + c, we have that

$$a = 6$$
$$b = \frac{6}{k^2}$$

Thus $A(0, k) = c + \frac{6}{k}$ and $A(\frac{1}{k}, 0) = c + \frac{6}{k}$, too.

We will change our parametrization of Q slightly from the beginning of this Appendix and the above proof, and instead take Q to have vertices

$$v_1 = (-d, 0),$$

 $v_2 = (k, 0),$
 $v_3 = (0, 1),$
 $v_4 = (-d, 1).$

Here d > 0 and $k \in \mathbb{Z}_{\geq 0}$. We can always take Q to be of this form up to scaling. We will let F_1 be the edge connecting v_1 and v_4 , F_2 to be the edge connecting v_1 and v_2 , F_3 to be edge connecting v_2 and v_3 and F_4 to be the edge connecting v_3 and v_4 .

First, we let A_i be the associated affine linear function the case when F consists of all edges but F_i . Then

$$A_{1} = -\frac{12}{2d^{2} + 2dk + k^{2}}x - \frac{24kd(k+d)}{(2d^{2} + 2dk + k^{2})(6d^{2} + 6dk + k^{2})}y - \frac{6(4d^{3} - 2d^{2}k - 6k^{2}d - k^{3})}{(2d^{2} + 2dk + k^{2})(6d^{2} + 6dk + k^{2})},$$

$$A_{2} = -\frac{12(3d^{2} + 4dk + k^{2})}{6d^{2} + 6dk + k^{2}}y - \frac{6(4d^{2} + 5dk + k^{2})}{6d^{2} + 6dk + k^{2}},$$

$$A_{3} = \frac{12}{2d^{2} + 2dk + k^{2}}x + \frac{12k(4d^{2} + 4dk + k^{2})}{(2d^{2} + 2dk + k^{2})(6d^{2} + 6dk + k^{2})}y$$

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$$+ \frac{6(8d^3 + 2d^2k - 4k^2d - k^3)}{(2d^2 + 2dk + k^2)(6d^2 + 6dk + k^2)},$$

$$A_4 = - \frac{12(3d + 2k)}{6d^2 + 6dk + k^2}y - \frac{6d(k + 2d)}{6d^2 + 6dk + k^2}$$

Since the associated linear functions depend linearly on the inverse normals, the associated linear function B_i to when F consists of a single edge F_i is therefore given by $B_i = \sum_{i \neq i} A_i$, which is

$$B_{1} = \frac{12}{2d^{2} + 2dk + k^{2}}x - \frac{12k(4d^{3} + 6d^{2}k + 4dk^{2} + k^{3} - 4d^{2} - 4dk - k^{2})}{(2d^{2} + 2dk + k^{2})(6d^{2} + 6dk + k^{2})}y + \frac{6(4d^{4} + 12d^{3}k + 12d^{2}k^{2} + 6dk^{3} + k^{4} + 8d^{3} + 2d^{2}k - 4dk^{2} - k^{3})}{(2d^{2} + 2dk + k^{2})(6d^{2} + 6dk + k^{2})},$$

$$B_{2} = \frac{12(3d^{2} + 2dk + k)}{6d^{2} + 6dk + k^{2}}y - \frac{6d(2d + k - 2)}{6d^{2} + 6dk + k^{2}},$$

$$B_{3} = -\frac{12}{2d^{2} + 2dk + k^{2}}x - \frac{12k(4d^{3} + 6d^{2}k + 4dk^{2} + k^{3} + 2d^{2} + 2dk)}{(2d^{2} + 2dk + k^{2})(6d^{2} + 6dk + k^{2})}y + \frac{6(4d^{4} + 12d^{3}k + 12d^{2}k^{2} + 6dk^{3} + k^{4} - 4d^{3} + 2d^{2}k + 6dk^{2} + k^{3})}{(2d^{2} + 2dk + k^{2})(6d^{2} + 6dk + k^{2})},$$

$$B_{4} = -\frac{12(3d^{2} + 4dk + k^{2} - k)}{6d^{2} + 6dk + k^{2}}y + \frac{6(4d^{2} + 5dk + k^{2} + 2d)}{6d^{2} + 6dk + k^{2}}.$$

Using Lemma A.7, it suffices to verify whether or not the linear part of B_i is equal at the two vertices of F_i . Let K_i be the difference of these two numbers. Then

$$K_{1} = \frac{12k(4d^{3} + 6d^{2}k + 4dk^{2} + k^{3} - 4d^{2} - 4dk - k^{2})}{(2d^{2} + 2dk + k^{2})(6d^{2} + 6dk + k^{2})},$$

$$K_{2} = 0,$$

$$K_{3} = -\frac{12k(4d^{3} + 6d^{2}k + 4dk^{2} + k^{3} - 4d^{2} - 4dk - k^{2})}{(2d^{2} + 2dk + k^{2})(6d^{2} + 6dk + k^{2})},$$

$$K_{4} = 0.$$

The cases of K_2 and K_4 are the cases when Z is the zero or infinity section in X. This is already treated in Corollary 5.5 where we know that there exists Poincaré type extremal metrics. The above computations then confirm that the condition of equation (42) holds, which we also know by general theory regarding extremal Poincaré type metrics.

To prove Corollary 5.9 in the case when F consists of a single edge using Lemma A.7, we need to show that K_1 and K_3 can never be 0 if d > 0 and $k \ge 1$. The requirement $k \ge 1$ comes from the fact that if k = 0, then $X = \mathbb{CP}^1 \times \mathbb{CP}^1$, which is the case we are not considering.

First note that denominator of K_1 is always positive, so it will have the same sign as

$$4d^3 + 6d^2k + 4dk^2 + k^3 - 4d^2 - 4dk - k^2$$

which equals

$$4d^{3} + 2d^{2}k + 4dk(k-1) + k^{2}(k-1) + 4d^{2}(k-1).$$

This is always positive as d > 0 and $k \ge 1$. For K_3 , note that it equals $-K_1$, and hence is always negative.

The remaining case is that of when *F* consists of two adjacent edges of *Q*, which we take to be F_1 and F_2 . The associated affine linear function *A* is then $A_3 + A_4$ and by Lemma A.7 we need to verify that $K = A(k, 0) - A(-d, \frac{1}{k+d})$ can never be 0. A computation shows that this quantity is given by

$$K = \frac{12(6d^4 + 18d^3k + 19d^2k^2 + 8dk^3 + k^4 + 6d^3 + 6d^2k + 3dk^2 + k^3)}{(k+d)(2d^2 + 2dk + k^2)(6d^2 + 6dk + k^2)}$$

which is clearly positive. In particular, it can never be 0.

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