

Looping Directions and Integrals of Eigenfunctions over Submanifolds

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Abstract Let (M, g) be a compact n -dimensional Riemannian manifold without boundary and e_λ be an L^2 -normalized eigenfunction of the Laplace–Beltrami operator with respect to the metric g , i.e.,

$$-\Delta_g e_\lambda = \lambda^2 e_\lambda \quad \text{and} \quad \|e_\lambda\|_{L^2(M)} = 1.$$

Let Σ be a d -dimensional submanifold and $d\mu$ a smooth, compactly supported measure on Σ . It is well known (e.g., proved by Zelditch, Commun Partial Differ Equ 17(1–2):221–260, 1992 in far greater generality) that

$$\int_\Sigma e_\lambda d\mu = O\left(\lambda^{\frac{n-d-1}{2}}\right).$$

We show this bound improves to $o\left(\lambda^{\frac{n-d-1}{2}}\right)$ provided the set of looping directions,

$$\mathcal{L}_\Sigma = \{(x, \xi) \in \text{SN}^*\Sigma : \Phi_t(x, \xi) \in \text{SN}^*\Sigma \text{ for some } t > 0\}$$

has measure zero as a subset of $\text{SN}^*\Sigma$, where here Φ_t is the geodesic flow on the cosphere bundle S^*M and $\text{SN}^*\Sigma$ is the unit conormal bundle over Σ .

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1 Introduction

In what follows, (M, g) will denote a compact, boundaryless, n -dimensional Riemannian manifold. Let Δ_g denote the Laplace–Beltrami operator and e_λ an L^2 -normalized eigenfunction of Δ_g on M , i.e.,

$$-\Delta_g e_\lambda = \lambda^2 e_\lambda \quad \text{and} \quad \|e_\lambda\|_{L^2(M)} = 1.$$

In [7], Sogge and Zelditch investigate which manifolds have a sequence of eigenfunctions e_λ with $\lambda \rightarrow \infty$ which saturate the standard sup-norm bound

$$\|e_\lambda\|_{L^\infty(M)} = O\left(\lambda^{\frac{n-1}{2}}\right).$$

They show this bound necessarily improves to $o\left(\lambda^{\frac{n-1}{2}}\right)$ if at each x , the set of looping directions through x ,

$$\mathcal{L}_x = \{\xi \in S_x^*M : \Phi_t(x, \xi) \in S_x^*M \text{ for some } t > 0\}$$

has measure zero¹ as a subset of S_x^*M for each $x \in M$. Here, Φ_t denotes the geodesic flow on the unit cosphere bundle S^*M after time t . The hypotheses were later weakened by Sogge et al. [8], where they showed

$$\|e_\lambda\|_{L^\infty(M)} = o\left(\lambda^{\frac{n-1}{2}}\right)$$

provided the set of *recurrent* directions at x has measure zero for each $x \in M$.

We are interested in extending the result in [7] to integrals of eigenfunctions over submanifolds. Let Σ be a submanifold of dimension d with $d < n$ and a measure $d\mu(x) = h(x)d\sigma(x)$ where $d\sigma$ is the surface measure on Σ and h is a smooth function supported on a compact subset of Σ . In his 1992 paper [12], Zelditch proves, among other things, a Kuznecov asymptotic formula

$$\sum_{\lambda_j \leq \lambda} \left| \int_\Sigma e_j d\mu \right|^2 \sim \lambda^{n-d} + O\left(\lambda^{n-d-1}\right), \tag{1.1}$$

where e_j for $j = 0, 1, 2, \dots$ form a Hilbert basis of eigenfunctions on M with corresponding eigenvalues λ_j . From (1.1) follows the standard bound

$$\int_\Sigma e_\lambda d\mu = O\left(\lambda^{\frac{n-d-1}{2}}\right) \tag{1.2}$$

¹ Let $\psi_j : U_j \subset \mathbb{R}^n \rightarrow M$ be coordinate charts of a general manifold M . We say a set $E \subset M$ has measure zero if the preimage $\psi_j^{-1}(E)$ has Lebesgue measure 0 in \mathbb{R}^n for each chart ψ_j . Sets of Lebesgue measure zero are preserved under transition maps, ensuring this definition is intrinsic to the C^∞ structure of M .

which is sharp² in general. However, it should be noted that (1.1) implies that generic eigenfunctions satisfy much better bounds. Indeed for any function $R(\lambda) \rightarrow +\infty$, an extraction argument shows there exists a density one sequence of eigenfunctions satisfying

$$\int_{\Sigma} e_{\lambda} \, d\mu = O\left(\lambda^{-\frac{d}{2}} R(\lambda)\right).$$

Though (1.2) is already well known and has been proven in stronger terms, we state it here as a theorem. We do this for two reasons. First, it provides a baseline with which to compare our main result. Second, we end up providing a direct proof in the form of Proposition 2.1, which we will need for our main argument anyway.

Theorem 1.1 *Let Σ be a d -dimensional submanifold with $0 \leq d < n$, and $d\mu(x) = h(x)d\sigma(x)$ where h is a smooth, real-valued function supported on a compact neighborhood in Σ . Then,*

$$\sum_{\lambda_j \in [\lambda, \lambda+1]} \left| \int_{\Sigma} e_j \, d\mu \right|^2 = O\left(\lambda^{n-d-1}\right).$$

(1.2) follows.

We let $\text{SN}^* \Sigma$ denote the unit conormal bundle over Σ . We define the set of looping directions through Σ by

$$\mathcal{L}_{\Sigma} = \{(x, \xi) \in \text{SN}^* \Sigma : \Phi_t(x, \xi) \in \text{SN}^* \Sigma \text{ for some } t > 0\}.$$

A covector in \mathcal{L}_{Σ} is the initial data of a geodesic which departs Σ conormally and eventually arrives again at Σ conormally. Our main result is as follows.

Theorem 1.2 *Assume the hypotheses of Theorem 1.1 and additionally that \mathcal{L}_{Σ} has measure zero as a subset of $\text{SN}^* \Sigma$. Then,*

$$\sum_{\lambda_j \in [\lambda, \lambda+\delta]} \left| \int_{\Sigma} e_{\lambda} \, d\mu \right|^2 \leq C\delta\lambda^{n-d-1} + C_{\delta}\lambda^{n-d-2},$$

where C is a constant independent of δ and λ , and C_{δ} is a constant depending on δ but not λ .

Sogge and Zelditch’s result [7] implies the theorem for $d = 0$. We adapt their strategy to provide the proof for the remaining cases $d \geq 1$. The following theorem is an immediate corollary of Theorem 1.2 and shows (1.2) cannot be saturated whenever \mathcal{L}_{Σ} has measure zero in $\text{SN}^* \Sigma$.

² The standard examples take place on the sphere S^n . In the $d = 0$ case, this bound is saturated by the highest weight spherical harmonics. In the $d = n - 1$ situation, the bound is saturated by zonal functions around the ‘equator.’ In this section we show that, for any submanifold Σ in S^n , there exists some sequence of eigenfunctions saturating (1.2).

Theorem 1.3 *Assume the hypotheses of Theorem 1.2. Then,*

$$\int_{\Sigma} e_{\lambda} \, d\mu = o\left(\lambda^{\frac{n-d-1}{2}}\right).$$

We illustrate Theorem 1.3 in three settings: the torus, the sphere, and surfaces with negative sectional curvature.

The torus Let $\mathbb{T}^n = \mathbb{R}^n / 2\pi\mathbb{Z}^n$ denote the flat, n -dimensional torus. Let Σ be a small patch of a sphere centered at 0 in \mathbb{T}^n . Since all geodesics passing through Σ in the conormal direction intersect the origin, the set of looping directions \mathcal{L}_{Σ} is countable by the countability of \mathbb{Z}^n and hence has measure 0. The conclusion of Theorem 1.3 is easily verified by the result [3, Proposition 3.5], which provides an essentially optimal bound on the integrals of eigenfunctions over hypersurfaces in the torus.

Proposition 1.4 ([3]) *Suppose Σ has nonvanishing Gaussian curvature in the torus. Then,*

$$\left| \int_{\Sigma} e_{\lambda} \, d\mu(x) \right| = O\left(\lambda^{-1/2+\varepsilon}\right)$$

for all $\varepsilon > 0$, where we may set $\varepsilon = 0$ if $n \geq 5$.

Applying this result to spheres yields a much better bound than suggested by Theorem 1.3.

On the other hand if Σ is a closed hyperplane in \mathbb{T}^n , neither the hypotheses nor the conclusion of Theorem 1.3 are satisfied. All geodesics departing Σ conormally arrive again conormally after some fixed, uniform time. At the same time, one can construct a sequence of exponentials which are all identically 1 along Σ .

The sphere Let S^n denote the n -dimensional sphere equipped with the standard metric. Every geodesic in S^n is periodic, so $\mathcal{L}_{\Sigma} = \text{SN}^* \Sigma$ for every submanifold Σ . Hence, no submanifold of S^n satisfies the hypotheses of Theorem 1.3. As we will find, no submanifold of S^n enjoys the little- o improvement of Theorem 1.3 either.

The functional ideas here are Zelditch’s–Kuznecov formula (1.1) and the fact that all eigenvalues are of the form

$$\lambda = \sqrt{k(k+n-1)} \quad \text{for some } k = 0, 1, 2, \dots$$

(see [5, Sect. 3.4] or [2, Theorem 3.1]). Let e_j for $j = 0, 1, 2, \dots$ denote some Hilbert basis of eigenfunctions on M with corresponding eigenvalues λ_j . For each distinct eigenvalue λ , we construct an eigenfunction e_{λ} by

$$e_{\lambda} = \frac{\sum_{\lambda_j=\lambda} \left(\int_{\Sigma} \bar{e}_j \, d\sigma \right) e_j}{\left(\sum_{\lambda_j=\lambda} \left| \int_{\Sigma} \bar{e}_j \, d\sigma \right|^2 \right)^{1/2}}.$$

Note $\|e_\lambda\|_{L^2(M)} = 1$ and

$$\int_\Sigma e_\lambda \, d\sigma = \left(\sum_{\lambda_j=\lambda} \left| \int_\Sigma e_j \, d\sigma \right|^2 \right)^{1/2}. \tag{1.3}$$

By Zelditch’s–Kuznecov formula (1.1), we have

$$\sum_{\lambda_j \in [\lambda, \lambda + C]} \left| \int_\Sigma e_j \, d\sigma \right|^2 \geq \lambda^{n-d-1}$$

for some large enough constant C . Moreover there are no more than $C + 1$ distinct eigenvalues in the interval $[\lambda, \lambda + C]$ for each λ . Hence in every interval of length C , there exists λ such that the right-hand side of (1.3) is bounded below by $\lambda^{\frac{n-d-1}{2}} / \sqrt{C + 1}$, i.e., the bound in (1.2) is saturated.

Negatively curved surfaces We can use recent results to verify Theorem 1.3 for some examples where M is a surface ($n = 2$) with negative sectional curvature. Chen and Sogge [1] proved that if Σ is a geodesic in such a manifold M ,

$$\int_\Sigma e_\lambda \, d\mu = o(1).$$

They consider a lift $\tilde{\Sigma}$ of Σ to the universal cover of M . Using the Gauss–Bonnet theorem, they show for each deck transformation α , there is at most one geodesic which intersects both $\tilde{\Sigma}$ and $\alpha(\tilde{\Sigma})$ perpendicularly. Since there are only countably many deck transformations, \mathcal{L}_Σ is at most a countable subset of $\text{SN}^*\Sigma$ and so satisfies the hypotheses of Theorem 1.3. Since [1], Sogge et al. [9] have obtained an explicit decay of $O(1/\sqrt{\log \lambda})$ while also allowing the sectional curvature of M to vanish of finite order. Recently the author [10, 11] obtained Chen and Sogge’s $o(1)$ bound, and more recently the explicit bound $O(1/\sqrt{\log \lambda})$, if M has nonpositive sectional curvature and the geodesic curvature of Σ avoids that of circles of infinite radius. These curves similarly have countable \mathcal{L}_Σ provided they are sufficiently short.

2 Microlocal Tools

The hypotheses on the looping directions in Theorem 1.2 ensure that the wavefront sets of μ and $e^{it\sqrt{-\Delta_g}}\mu$ have minimal intersection for any given t away from 0. We can then use pseudodifferential operators to break the measure μ into two parts, the first which has small essential support and the second whose wavefront set is disjoint from that of $e^{it\sqrt{-\Delta_g}}\mu$. The following propositions will allow us to handle these cases, respectively. The first proposition generalizes both [5, Lemma 5.2.2] and the standard Theorem 1.1 and is our main technical result.

Before we proceed, we lay out some Fermi local coordinates which we will return to repeatedly. Fix $p \in \Sigma$, and consider local coordinates $x = (x_1, \dots, x_n) = (x', \bar{x})$

centered about p , where x' denotes the first d coordinates and \bar{x} the remaining $n - d$ coordinates. We let $(x', 0)$ parametrize Σ on a neighborhood of p in such a way that dx' agrees with the surface measure on Σ . Let g denote the metric tensor with respect to our local coordinates. We require

$$g = \begin{bmatrix} * & 0 \\ 0 & I \end{bmatrix} \quad \text{wherever } \bar{x} = 0,$$

where I here is the $(n - d) \times (n - d)$ identity matrix. This is ensured after inductively picking smooth sections $v_j(x')$ of $SN\Sigma$ for $j = d + 1, \dots, n$ with $\langle v_i, v_j \rangle = \delta_{ij}$, and then using

$$(x_1, \dots, x_n) \mapsto \exp(x_{d+1}v_{d+1}(x') + \dots + x_nv_n(x')) \tag{2.1}$$

as our coordinate map. In these coordinates we write $d\mu(x) = h(x') dx'$ where h is a smooth, compactly supported function on \mathbb{R}^d .

Proposition 2.1 *Let $b(x, \xi)$ be smooth for $\xi \neq 0$ and homogeneous of degree 0 in the ξ variable. We define $b \in \Psi_{cl}^0(M)$ by*

$$b(x, D)f(x) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{i(x-y,\xi)} b(x, \xi) dy d\xi$$

for x, y , and ξ expressed locally according to our coordinates (2.1). Then,

$$\begin{aligned} & \sum_{\lambda_j \in [\lambda, \lambda+1]} \left| \int_{\Sigma} b e_j d\mu \right|^2 \\ & \leq C \left(\int_{\mathbb{R}^d} \int_{S^{n-d-1}} |b(x', \omega)|^2 h(x')^2 d\omega dx' \right) \lambda^{n-d-1} + C_b \lambda^{n-d-2}, \end{aligned}$$

where C is a constant independent of b and λ and C_b is a constant independent of λ but which depends on b .

Note Theorem 1.1 follows by setting $b \equiv 1$. The proof is based largely on that of [5, Lemma 5.2.2]. We will come to a point in our argument where it seems like we may have to perform a stationary phase argument involving an eight-by-eight Hessian matrix. Instead, we appeal to [4, Theorem 7.7.6] to break this process into two steps involving two four-by-four Hessian matrices.

Proof For simplicity, we assume without loss of generality that $d\mu$ is a real measure. Let χ be a nonnegative Schwartz-class function on \mathbb{R} with $\chi(0) = 1$ and $\hat{\chi}$ supported on a small neighborhood of 0.³ It suffices to show

³ This reduction is standard and appears in [1, 7], proofs of sup-norm estimates of eigenfunctions and the sharp Weyl law as presented in [5, 6], and in many other related problems.

$$\sum_j \chi(\lambda_j - \lambda) \left| \int_{\Sigma} b e_j \, d\mu \right|^2 \sim \left(\int_{\mathbb{R}^d} \int_{S^{n-d-1}} |b(y', \omega)|^2 h(y')^2 \, d\omega \, dy' \right) \lambda^{n-d-1} + O_b(\lambda^{n-d-2}).$$

We may by a partition of unity assume that $b(x, D)$ has small x -support. The left-hand side is

$$\begin{aligned} &= \sum_j \int_{\Sigma} \int_{\Sigma} \chi(\lambda_j - \lambda) b(x, D) e_j(x) \overline{b(y, D) e_j(y)} \, d\mu(x) \, d\mu(y) \\ &= \frac{1}{2\pi} \sum_j \int_{\Sigma} \int_{\Sigma} \int_{-\infty}^{\infty} \hat{\chi}(t) e^{it(\lambda_j - \lambda)} b(x, D) e_j(x) \overline{b(y, D) e_j(y)} \, dt \, d\mu(x) \, d\mu(y) \\ &= \frac{1}{2\pi} \int_{\Sigma} \int_{\Sigma} \int_{-\infty}^{\infty} \hat{\chi}(t) e^{-it\lambda} b e^{it\sqrt{-\Delta_g}} b^*(x, y) \, dt \, d\mu(x) \, d\mu(y), \end{aligned} \tag{2.2}$$

where here $b e^{it\sqrt{-\Delta_g}} b^*(x, y)$ is the kernel

$$\sum_j e^{it\lambda_j} b(x, D) e_j(x) \overline{b(y, D) e_j(y)}$$

of the half-wave operator $e^{it\sqrt{-\Delta_g}}$ conjugated by b . Set $\beta \in C_0^\infty(\mathbb{R})$ with small support and where $\beta \equiv 1$ near 0. Then,

$$\begin{aligned} &\int_{\mathbb{R}^d} b(x', D) f(x') h(x') \, dx' \\ &= \frac{\lambda^n}{(2\pi)^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^d} e^{i\lambda(x'-w, \eta)} b(x', \eta) f(w) h(x') \, dx' \, dw \, d\eta \\ &= \frac{\lambda^n}{(2\pi)^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^d} e^{i\lambda(x'-w, \eta)} \beta(\log |\eta|) b(x', \eta) f(w) h(x') \, dx' \, dw \, d\eta \\ &\quad + O(\lambda^{-N}), \end{aligned} \tag{2.3}$$

where the second line is obtained by a change of variables $\eta \mapsto \lambda\eta$, and the third line is obtained after multiplying in the cutoff $\beta(\log |\eta|)$ and bounding the discrepancy by $O(\lambda^{-N})$ by integrating by parts in x' . Additionally,

$$\begin{aligned} b^*(z, D) d\mu(z) &= \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^d} e^{i(z-y', \zeta)} b(y', \zeta) h(y') \, dy' \, d\zeta \\ &= \frac{\lambda^n}{(2\pi)^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^d} e^{i\lambda(z-y', \zeta)} b(y', \zeta) h(y') \, dy' \, d\zeta \\ &= \frac{\lambda^n}{(2\pi)^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^d} e^{i\lambda(z-y', \zeta)} \beta(\log |\zeta|) b(y', \zeta) h(y') \, dy' \, d\zeta + O(\lambda^{-N}) \end{aligned}$$

$$\begin{aligned}
 &= \frac{\lambda^n}{(2\pi)^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^d} e^{i\lambda\langle z-y', \zeta \rangle} \beta(\log |\zeta|) \beta(|z - y'|) b(y', \zeta) h(y') \, dy' \, d\zeta \\
 &\quad + O\left(\lambda^{-N}\right),
 \end{aligned}
 \tag{2.4}$$

where the second and third lines are obtained similarly as before and the fourth line is obtained after multiplying by $\beta(\log |z - y'|)$ and integrating the remainder by parts in ζ .

We will use Hörmander’s parametrix of the half-wave operator $e^{it\sqrt{-\Delta_g}}$ on M to treat the integral in t . In local coordinates (2.1), we have

$$e^{it\sqrt{-\Delta_g}}(x, y) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} e^{i(\varphi(x,y,\xi) + t p(y,\xi))} q(t, x, y, \xi) \, d\xi$$

modulo a smooth kernel where

$$p(y, \xi) = \sqrt{\sum_{j,k} g^{ij}(y) \xi_i \xi_j},$$

where q is a symbol in ξ satisfying bounds

$$\left| \partial_{t,x,y}^\alpha \partial_\xi^\beta q(t, x, y, \xi) \right| \leq C_{\alpha,\beta} (1 + |\xi|)^{-|\beta|}$$

for all multiindices α and β , and where φ is homogeneous of degree 1 in ξ and smooth for $\xi \neq 0$ and satisfies

$$\varphi(x, y, \xi) = \langle x - y, \xi \rangle + O\left(|x - y|^2 |\xi|\right).
 \tag{2.5}$$

This parametrix is valid only when $|t|$ and $|x - y|$ are small. In fact, we may take q to be supported on an arbitrarily small neighborhood of the diagonal $x = y$ of our choosing provided we only consider times t on a correspondingly small neighborhood of 0. (See [6, Chap. 4] for a treatment of Hörmander’s parametrix.)

Using Hörmander’s parametrix,

$$\begin{aligned}
 &\frac{1}{2\pi} \int_{-\infty}^\infty \hat{\chi}(t) e^{-it\lambda} e^{it\sqrt{-\Delta_g}}(w, z) \, dt \\
 &= \frac{1}{(2\pi)^{n+1}} \int_{-\infty}^\infty \int_{\mathbb{R}^n} e^{i(\varphi(w,z,\xi) + t p(z,\xi) - t\lambda)} \hat{\chi}(t) q(t, w, z, \xi) \, d\xi \, dt \\
 &= \frac{\lambda^n}{(2\pi)^{n+1}} \int_{-\infty}^\infty \int_{\mathbb{R}^n} e^{i\lambda(\varphi(w,z,\xi) + t(p(z,\xi) - 1))} \hat{\chi}(t) q(t, w, z, \lambda\xi) \, d\xi \, dt \\
 &= \frac{\lambda^n}{(2\pi)^{n+1}} \int_{-\infty}^\infty \int_{\mathbb{R}^n} e^{i\lambda(\varphi(w,z,\xi) + t(p(z,\xi) - 1))} \beta(\log p(z, \xi)) \hat{\chi}(t) q(t, w, z, \lambda\xi) \, d\xi \\
 &\quad + O\left(\lambda^{-N}\right).
 \end{aligned}
 \tag{2.6}$$

Here the third line comes from a change of coordinates $\xi \mapsto \lambda\xi$. The fourth line follows after applying the cutoff $\beta(\log p(z, \zeta))$ and integrating the discrepancy by parts in t . Combining (2.3), (2.4), and (2.6), we write (2.2) as

$$\lambda^{3n} \int \dots \int e^{i\Phi(t,x',y',w,z,\eta,\zeta,\xi)} a(\lambda; t, x', y', w, z, \eta, \zeta, \xi) dx' dy' dw dz d\eta d\zeta d\xi + O(\lambda^{-N}) \tag{2.7}$$

with amplitude

$$a(\lambda; t, x', y', w, z, \eta, \zeta, \xi) = \frac{1}{(2\pi)^{3n+1}} \hat{\chi}(t)q(t, w, z, \lambda\xi)\beta(\log p(z, \xi))\beta(\log |\eta|)\beta(\log |\zeta|)\beta(|z - y'|)b(x', \eta)b(y', \zeta)h(x')h(y')$$

and phase

$$\Phi(t, x', y', w, z, \eta, \zeta, \xi) = \langle x' - w, \eta \rangle + \varphi(w, z, \xi) + t(p(z, \xi) - 1) + \langle z - y', \zeta \rangle.$$

We pause here to make a couple of observations. First, a has compact support in all variables, support which we may adjust to be smaller by controlling the supports of $\hat{\chi}$, β , b , and the support of q near the diagonal. Second, the derivatives of a are bounded independently of $\lambda \geq 1$. We are now in a position to use the method of stationary phase—not in all variables at once, though. First, we fix t, x', y' , and ξ , and use stationary phase in w, z, η , and ζ . We have

$$\begin{aligned} \nabla_w \Phi &= -\eta + \nabla_w \varphi(w, z, \xi), \\ \nabla_z \Phi &= \nabla_z \varphi(w, z, \xi) + t \nabla_z p(z, \xi) + \zeta, \\ \nabla_\eta \Phi &= x' - w, \\ \nabla_\zeta \Phi &= z - y' \end{aligned}$$

which all simultaneously vanish if and only if

$$(w, z, \eta, \zeta) = (x', y', \nabla_x \varphi(x', y', \xi), -\nabla_y \varphi(x', y', \xi) - t \nabla_y p(y', \xi)). \tag{2.8}$$

At such a critical point we have the Hessian matrix

$$\nabla_{w,z,\eta,\zeta}^2 \Phi = \begin{bmatrix} * & * & -I & 0 \\ * & * & 0 & I \\ -I & 0 & 0 & 0 \\ 0 & I & 0 & 0 \end{bmatrix},$$

which has determinant -1 . By [4, Theorem 7.7.6], (2.7) is equal to a complex constant times

$$\begin{aligned} &\lambda^n \int_{-\infty}^{\infty} \int_{\mathbb{R}^n} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} e^{i\lambda\Phi(t,x',y',\xi)} a(\lambda; t, x', y', \xi) dx' dy' d\xi' dt \\ &+ \lambda^{n-1} \int_{-\infty}^{\infty} \int_{\mathbb{R}^n} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} e^{i\lambda\Phi(t,x',y',\xi)} R_N(\lambda; t, x', y', \xi) dx' dy' d\xi' dt \\ &+ O\left(\lambda^{-N}\right) \end{aligned} \tag{2.9}$$

where we have phase

$$\Phi(t, x', y', \xi) = \varphi(x', y', \xi) + t(p(y', \xi) - 1),$$

amplitude

$$a(\lambda; t, x', y', \xi) = a(\lambda; t, x', y', w, z, \eta, \zeta, \xi)$$

with $w, z, \eta,$ and ζ subject to the constraints (2.8), where R_N is a compactly supported smooth function in $t, x', y',$ and $\xi,$ whose derivatives are bounded uniformly with respect to $\lambda,$ and where N can be taken to be as large as desired.

Write $\xi = (\xi', \bar{\xi})$ and write $\bar{\xi} = r\omega$ in polar coordinates with $r \geq 0$ and $\omega \in S^{n-d-1}.$ The first integral in (2.9) is then written

$$\begin{aligned} &\lambda^n \int_{-\infty}^{\infty} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \int_{\mathbb{R}^d} \int_{S^{n-d-1}} \int_0^{\infty} e^{i\lambda\Phi(t,x',y',\xi)} a(\lambda; t, x', y', \xi) \\ &r^{n-d-1} dr d\omega d\xi' dx' dy' dt. \end{aligned}$$

We will fix y' and ω and use the method of stationary phase in the remaining variables $t, x', \xi',$ and r (a total of $2d + 2$ dimensions). We assert that, for fixed y' and $\omega,$ there is a nondegenerate stationary point at $(t, x', \xi', r) = (0, y', 0, 1).$ $\Phi = 0$ at such a stationary point, and after perhaps shrinking the support of a we apply [4, Theorem 7.7.6] again to write the first integral in (2.9) as constant times

$$\lambda^{n-d-1} \int_{\mathbb{R}^d} \int_{S^{n-d-1}} a(\lambda; 0, y', y', \omega) dy' d\omega + O\left(\lambda^{n-d-2}\right).$$

The proposition will follow after noting $a(\lambda; 0, y', y', \omega) = |b(y', \omega)|^2 h(y')^2$ and applying the same stationary phase argument to the second integral in (2.9).

We have

$$\begin{aligned} \partial_t \Phi &= p(y', \xi) - 1, \\ \nabla_{x'} \Phi &= \nabla_{x'} \varphi(x', y', \xi), \\ \nabla_{\xi'} \Phi &= \nabla_{\xi'} \varphi(x', y', \xi) + t \nabla_{\xi'} p(y', \xi), \\ \partial_r \Phi &= \partial_r \varphi(x', y', \xi) + t \partial_r p(y', \xi). \end{aligned}$$

Note for fixed y' and $\omega, (t, x', \xi', r) = (0, y', 0, 1)$ is a critical point of $\Phi.$ Now we compute the second derivatives at this point. We immediately see that $\partial_t^2 \Phi, \partial_t \nabla_{x'} \Phi,$

$\nabla_{\xi'}^2 \Phi$, $\partial_r \nabla_{\xi'} \Phi$, and $\partial_r^2 \Phi$ all vanish. Moreover, $\partial_r \partial_r \Phi = 1$ since $p(y', \xi) = r$, where $\xi' = 0$. By our coordinates (2.1) and the fact that $[g^{ij}]_{i,j \leq d}$ is necessarily positive definite,

$$p(y', \xi) = \sqrt{\sum_{j,k} g^{jk} \xi_j \xi_k} = \sqrt{r^2 + \sum_{j,k \leq d} g^{jk} \xi'_j \xi'_k} \geq r = p(y', r\omega).$$

Hence, $\partial_t \nabla_{\xi'} \Phi = \nabla_{\xi'} p(y', \xi) = 0$. Since φ is homogeneous of degree 1 in ξ , at $\xi' = 0$ and $t = 0$,

$$\nabla_{x'} \partial_r \Phi = \nabla_{x'} \partial_r \varphi(x', y', \xi) = \nabla_{x'} \varphi(x', y', \omega) = 0$$

since $\varphi(x', y', \omega) = O(|x' - y'|^2)$ by (2.5) and the fact that $\langle x' - y', \omega \rangle = 0$. Finally by (2.5),

$$\nabla_{\xi'} \varphi(x', y', \xi' + \omega) = x' + O(|x' - y'|^2)$$

whence at the critical point

$$\nabla_{x'} \nabla_{\xi'} \Phi = I,$$

the $d \times d$ identity matrix. In summary, the Hessian matrix of Φ at the critical point $(t, x', \xi', r) = (0, y', 0, 1)$ is

$$\nabla_{t,x',\xi',r}^2 \Phi = \begin{bmatrix} 0 & 0 & 0 & 1 \\ 0 & * & I & 0 \\ 0 & I & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix}$$

which has full rank. This concludes the proof of Proposition 2.1. □

The second proposition, below, allows us to deal with the partition of μ whose wavefront set is disjoint from that of $e^{it\sqrt{-\Delta_g}} \mu$ for $t > 0$.

Proposition 2.2 *Let u and v be distributions on M for which*

$$\text{WF}(u) \cap \text{WF}(v) = \emptyset.$$

Then

$$t \mapsto \int_M e^{it\sqrt{-\Delta_g}} u(x) \overline{v(x)} \, dx$$

is a smooth function of t on some neighborhood of 0.

Proof Using a partition of unity, we write

$$I = \sum_j A_j$$

modulo a smoothing operator where $A_j \in \Psi_{cl}^0(M)$ with essential supports in small conic neighborhoods. We then write, formally,

$$\int_M e^{it\sqrt{-\Delta_g}u(x)\overline{v(x)}} dx = \sum_{j,k} \int_M A_j e^{it\sqrt{-\Delta_g}u(x)\overline{A_k v(x)}} dx.$$

We are done if for each i and j ,

$$\int_M A_j e^{it\sqrt{-\Delta_g}u(x)\overline{A_k v(x)}} dx \text{ is smooth for } |t| \ll 1. \tag{2.10}$$

If the essential supports of A_j and A_k are disjoint, then $A_j^* A_k$ is a smoothing operator, and so $A_j^* A_k v$ is a smooth function and the contributing term

$$\int_M u(x) e^{it\sqrt{-\Delta_g}A_j^* A_k v(x)} dx$$

is smooth in t . Assume the essential support of A_j is small enough so that for each j there exists a small conic neighborhood Γ_j which fully contains the essential support of A_k if it intersects the essential support of A_j . We in turn take Γ_j small enough so that for each j , $\overline{\Gamma_j}$ either does not intersect $\text{WF}(u)$ or does not intersect $\text{WF}(v)$. In the latter case, $A_k v$ is smooth and we have (2.10) as before. In the former case,

$$\overline{\Gamma_j} \cap \text{WF}\left(e^{it\sqrt{-\Delta_g}u}\right) = \emptyset \text{ for } |t| \ll 1$$

since both sets above are closed and the geodesic flow is continuous. Then $A_j e^{it\sqrt{-\Delta_g}u(x)}$ is smooth as a function of t and x , and we have (2.10). \square

3 Proof of Theorem 1.2

We make a few convenient assumptions. First, we take the injectivity radius of M to be at least 1 by scaling the metric g . Second, we assume the support of $d\mu$ has diameter less than $1/2$ by a partition of unity. We reserve the right to further scale the metric g and restrict the support of $d\mu$ as needed, finitely many times.

As before, we set $\chi \in C^\infty(\mathbb{R})$ with $\chi(0) = 1$, $\chi \geq 0$, and $\text{supp } \hat{\chi} \subset [-1, 1]$. It suffices to show

$$\sum_j \chi(T(\lambda_j - \lambda)) \left| \int_\Sigma e_\lambda d\mu \right|^2 \leq CT^{-1}\lambda^{n-d-1} + C_T\lambda^{n-d-2}$$

for $T > 1$. Similar to the reduction in the proof of Proposition 2.1, the left-hand side is equal to

$$\begin{aligned} & \sum_j \int_{\Sigma} \int_{\Sigma} \chi(T(\lambda_j - \lambda)) e_j(x) \overline{e_j(y)} \, d\mu(x) \, d\mu(y) \\ &= \frac{1}{2\pi} \sum_j \int_{-\infty}^{\infty} \int_{\Sigma} \int_{\Sigma} \hat{\chi}(t) e^{itT(\lambda_j - \lambda)} e_j(x) \overline{e_j(y)} \, d\mu(x) \, d\mu(y) \, dt \\ &= \frac{1}{2\pi T} \sum_j \int_{-\infty}^{\infty} \int_{\Sigma} \int_{\Sigma} \hat{\chi}(t/T) e^{-it\lambda} e^{it\lambda_j} e_j(x) \overline{e_j(y)} \, d\mu(x) \, d\mu(y) \, dt \\ &= \frac{1}{2\pi T} \int_{-\infty}^{\infty} \int_{\Sigma} \int_{\Sigma} \hat{\chi}(t/T) e^{-it\lambda} e^{it\sqrt{-\Delta_g}(x, y)} \, d\mu(x) \, d\mu(y) \, dt. \end{aligned}$$

Hence, it suffices to show

$$\left| \int_{-\infty}^{\infty} \int_{\Sigma} \int_{\Sigma} \hat{\chi}(t/T) e^{-it\lambda} e^{it\sqrt{-\Delta_g}(x, y)} \, d\mu(x) \, d\mu(y) \, dt \right| \leq C\lambda^{n-d-1} + C_T\lambda^{n-d-2}. \tag{3.1}$$

Let $\beta \in C_0^\infty(\mathbb{R})$ be supported on a small interval about 0 with $\beta \equiv 1$ near 0. We cut the integral in (3.1) into $\beta(t)$ and $1 - \beta(t)$ parts. Since $\beta(t)\hat{\chi}(t/T)$ and its derivatives are all bounded independently of $T \geq 1$,

$$\left| \int_{-\infty}^{\infty} \int_{\Sigma} \int_{\Sigma} \beta(t) \hat{\chi}(t/T) e^{-it\lambda} e^{it\sqrt{-\Delta_g}(x, y)} \, d\mu(x) \, d\mu(y) \, dt \right| \leq C\lambda^{n-d-1}$$

by the arguments in Proposition 2.1. Hence, it suffices to show

$$\left| \int_{-\infty}^{\infty} \int_{\Sigma} \int_{\Sigma} (1 - \beta(t)) \hat{\chi}(t/T) e^{-it\lambda} e^{it\sqrt{-\Delta_g}(x, y)} \, d\mu(x) \, d\mu(y) \, dt \right| \leq C\lambda^{n-d-1} + C_T\lambda^{n-d-2}. \tag{3.2}$$

Here we shrink the support of μ so that $\beta(d_g(x, y)) = 1$ for $x, y \in \text{supp } \mu$. We now state and prove a useful decomposition based off of those in [7, 8], and [5, Chap. 5]. We let $\mathcal{L}_{\Sigma}(\text{supp } \mu, T)$ denote the subset of \mathcal{L}_{Σ} relevant to the support of μ and the timespan $[1, T]$, specifically

$$\mathcal{L}_{\Sigma}(\text{supp } \mu, T) = \{(x, \xi) \in \text{SN}^* \Sigma : \Phi_t(x, \xi) = (y, \eta) \in \text{SN}^* \Sigma \text{ for some } t \in [1, T] \text{ and where } x, y \in \text{supp } \mu\}.$$

Lemma 3.1 Fix $T > 1$ and $\varepsilon > 0$. There exists $b, B \in \Psi_{\text{cl}}^0(M)$ supported on a neighborhood of $\text{supp } \mu$ with the following properties.

- (1) $b(x, D) + B(x, D) = I$ on $\text{supp } \mu$.

(2) Using coordinates (2.1),

$$\int_{\mathbb{R}^d} \int_{S^{n-d-1}} |b(x', \omega)|^2 d\omega dx' < \varepsilon,$$

where $b(x, \xi)$ is the principal symbol of $b(x, D)$.

(3) The essential support of $B(x, D)$ contains no elements of $\mathcal{L}_\Sigma(\text{supp } \mu, T)$.

Proof As shorthand, we write

$$\text{SN}_{\text{supp } \mu}^* \Sigma = \{(x, \xi) \in \text{SN}^* \Sigma : x \in \text{supp } \mu\}.$$

We first argue that $\mathcal{L}_\Sigma(\text{supp } \mu, T)$ is closed for each $T > 1$. However, $\mathcal{L}_\Sigma(\text{supp } \mu, T)$ is the projection of the set

$$\left\{ (t, x, \xi) \in [1, T] \times \text{SN}_{\text{supp } \mu}^* \Sigma : \Phi_t(x, \xi) \in \text{SN}_{\text{supp } \mu}^* \Sigma \right\} \tag{3.3}$$

onto $\text{SN}_{\text{supp } \mu}^* \Sigma$, and since $[1, T]$ is compact it suffices to show that (3.3) is closed. However, (3.3) is the intersection of $[1, T] \times \text{SN}_{\text{supp } \mu}^* \Sigma$ with the preimage of $\text{SN}_{\text{supp } \mu}^* \Sigma$ under the continuous map

$$(t, x, \xi) \mapsto \Phi_t(x, \xi).$$

Since $\text{SN}_{\text{supp } \mu}^* \Sigma$ is closed, (3.3) is closed.

Since $\mathcal{L}_\Sigma(\text{supp } \mu, T)$ is closed and has measure zero, there is $\tilde{b} \in C^\infty(S^*M)$ supported on a neighborhood of $\text{SN}_{\text{supp } \mu}^* \Sigma$ with $0 \leq \tilde{b}(x, \xi) \leq 1$, $\tilde{b}(x, \xi) \equiv 1$ on an open neighborhood of $\mathcal{L}_\Sigma(\text{supp } \mu, T)$, and

$$\int_{\mathbb{R}^d} \int_{S^{n-d-1}} |\tilde{b}(x', \omega)|^2 d\omega dx' < \varepsilon. \tag{3.4}$$

We set $\psi \in C_0^\infty(\Sigma)$ to be a cutoff function supported on a neighborhood of $\text{supp } \mu$ in M with $\psi \equiv 1$ on $\text{supp } \mu$. We use the coordinates in (2.1) and define symbols

$$b(x, \xi) = \psi(x) \tilde{b}(x, \xi/|\xi|)$$

and

$$B(x, \xi) = \psi(x)(1 - \tilde{b}(x', \xi/|\xi|)),$$

along with their associated operators

$$b(x, D)f(x) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{i\langle x-y, \xi \rangle} b(x, \xi) f(y) dy d\xi$$

and

$$B(x, D)f(x) = \frac{1}{(2\pi)^n} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} e^{i(x-y, \xi)} B(x, \xi) f(y) \, dy \, d\xi.$$

By construction,

$$B(x, D) + b(x, D) = \psi(x),$$

whose restriction to $\text{supp } \mu$ is 1, yielding (1). (2) Follows from the definition of $b(x, D)$ and (3.4). We have (3) since the support of $1 - \tilde{b}(x, \xi)$ contains no elements of $\mathcal{L}_\Sigma(\text{supp } \mu, T)$. □

Returning to the proof of Theorem 1.2, let X_T denote the function with

$$\hat{X}_T(t) = (1 - \beta(t))\hat{\chi}(t/T),$$

and let $X_{T,\lambda}$ denote the operator with kernel

$$X_{T,\lambda}(x, y) = \frac{1}{2\pi} \int_{-\infty}^{\infty} \hat{X}_T(t) e^{-it\lambda} e^{it\sqrt{-\Delta_g}}(x, y) \, dt.$$

We use part (1) of Lemma 3.1 to write the integral in (3.2) as

$$\begin{aligned} \int_{\Sigma} \int_{\Sigma} X_{T,\lambda}(x, y) \, d\mu(y) \, d\mu(x) &= \int_{\Sigma} \int_{\Sigma} B X_{T,\lambda} B^*(x, y) \, d\mu(y) \, d\mu(x) \\ &\quad + \int_{\Sigma} \int_{\Sigma} B X_{T,\lambda} b^*(x, y) \, d\mu(y) \, d\mu(x) \\ &\quad + \int_{\Sigma} \int_{\Sigma} b X_{T,\lambda} B^*(x, y) \, d\mu(y) \, d\mu(x) \\ &\quad + \int_{\Sigma} \int_{\Sigma} b X_{T,\lambda} b^*(x, y) \, d\mu(y) \, d\mu(x). \end{aligned}$$

We claim the first three terms on the right are $O_T(\lambda^{-N})$ for $N = 1, 2, \dots$ We will only prove this for the first term—the argument is the same for the second term and the bound for the third term follows since $X_{T,\lambda}$ is self-adjoint. Interpreting μ as a distribution on M , we write formally

$$\begin{aligned} &\int_{\Sigma} \int_{\Sigma} B X_{T,\lambda} B^*(x, y) \, d\mu(y) \, d\mu(x) \\ &= \int_M \int_M X_{T,\lambda}(x, y) B^* \mu(y) \overline{B^* \mu(x)} \, dx \, dy \\ &= \frac{1}{2\pi} \int_{-\infty}^{\infty} \hat{X}_T(t) e^{-it\lambda} \int_M e^{it\sqrt{-\Delta_g}}(B^* \mu)(x) \overline{B^* \mu(x)} \, dx \, dt. \end{aligned} \tag{3.5}$$

Once we show

$$\text{WF}\left(e^{it\sqrt{-\Delta_g}}B^*\mu\right) \cap \text{WF}(B^*\mu) = \emptyset \quad \text{for all } t \in \text{supp } \hat{X}_T, \tag{3.6}$$

the integral over M will be smooth in t by Proposition 2.2. Integration by parts in t then gives the desired bound of $O_T(\lambda^{-N})$. By the calculus of wavefront sets and pseudodifferential operators,

$$\text{WF}(B^*\mu) \subset \text{esssupp } B \cap N_{\text{supp } \mu}^* \Sigma.$$

To prove (3.6), suppose (x, ξ) is a unit covector in $\text{WF}(B^*\mu)$. By part (3) of Lemma 3.1, $\Phi_t(x, \xi)$ is not in $\text{SN}_{\text{supp } \mu}^* \Sigma$ for any $1 \leq |t| \leq T$. By propagation of singularities,

$$\text{WF}\left(e^{it\sqrt{-\Delta_g}}B^*\mu\right) = \Phi_t \text{WF}(B^*\mu),$$

hence

$$\text{WF}\left(e^{it\sqrt{-\Delta_g}}B^*\mu\right) \cap \text{WF}(B^*\mu) = \emptyset \quad \text{for } 1 \leq |t| \leq T. \tag{3.7}$$

Since the support of μ has been made small, if there is $(x, \xi) \in \text{SN}_{\text{supp } \mu}^* \Sigma$ and some $t > 0$ in the support of $(1 - \beta(t))\hat{\chi}(t/T)$ for which $\Phi_t(x, \xi) \in \text{SN}_{\text{supp } \mu}^* \Sigma$, then $t \geq 1$ since the diameter of $\text{supp } \mu$ is small and the injectivity radius of M is at least 1. We now have (3.6), from which follows (3.5) as promised.

What remains is to bound

$$\left| \int_{\Sigma} \int_{\Sigma} bX_{T,\lambda}b^*(x, y) \, d\mu(x) \, d\mu(y) \right| \leq \lambda^{n-d-1} + C_{T,b}\lambda^{n-d-2}. \tag{3.8}$$

We have

$$bX_{T,\lambda}b^*(x, y) = \sum_j X_T(\lambda_j - \lambda) b e_j(x) \overline{b e_j(y)},$$

and so we write the integral in (3.8) as

$$\sum_j X_T(\lambda_j - \lambda) \left| \int_{\Sigma} b(x, D) e_j(x) \, d\mu(x) \right|^2. \tag{3.9}$$

Note X_T satisfies bounds

$$|X_T(\tau)| \leq C_{T,N}(1 + |\tau|)^{-N} \quad \text{for } N = 1, 2, \dots \tag{3.10}$$

We dominate $|X_T|$ by a step function

$$\sum_{k \in \mathbb{Z}} a_{T,k} \chi_{[k,k+1)}$$

satisfying similar bounds as $|X_T|$ with coefficients

$$a_{T,k} = \sup_{[k,k+1)} |X_T|.$$

Now,

$$\begin{aligned} & \left| \sum_j X_T(\lambda_j - \lambda) \left| \int_{\Sigma} b(x, D) e_j(x) \, d\mu(x) \right|^2 \right| \\ & \leq \sum_{k \in \mathbb{Z}} a_{T,k} \sum_{\lambda_j - \lambda \in [k,k+1)} \left| \int_{\Sigma} b(x, D) e_j(x) \, d\mu(x) \right|^2. \end{aligned} \quad (3.11)$$

Using Proposition 2.1 and part (2) of Lemma 3.1, we write

$$\begin{aligned} & \sum_{\lambda_j - \lambda \in [k,k+1)} \left| \int_{\Sigma} b(x, D) e_j(x) \, d\mu(x) \right|^2 \\ & \leq \varepsilon(|\lambda + k| + 1)^{n-d-1} + C_b(|\lambda + k| + 1)^{n-d-2}. \end{aligned}$$

Hence, (3.11) is bounded by

$$\begin{aligned} & \leq C_T \sum_{k \in \mathbb{Z}} a_{T,k} \left(\varepsilon(|\lambda + k| + 1)^{n-d-1} + C_b(|\lambda + k| + 1)^{n-d-2} \right) \\ & \leq \varepsilon C_T \lambda^{n-d-1} + C_{T,b} \lambda^{n-d-2} \quad \text{for } \lambda \geq 1 \end{aligned}$$

by the bounds (3.10). Taking ε in part (2) of Lemma 3.1 small enough so that $\varepsilon C_T \leq 1$ yields (3.8). This concludes the proof of Theorem 1.2.

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