

# **Height and Area Estimates for Constant Mean Curvature Graphs in** E*(κ, τ )***-Spaces**

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Received: 15 December 2015 / Published online: 20 February 2017 © Mathematica Josephina, Inc. 2017

**Abstract** We obtain area growth estimates for constant mean curvature graphs in  $\mathbb{E}(\kappa, \tau)$ -spaces with  $\kappa \leq 0$ , by finding sharp upper bounds for the volume of geodesic balls in  $\mathbb{E}(\kappa, \tau)$ . We focus on complete graphs and graphs with zero boundary values. For instance, we prove that entire graphs in  $\mathbb{E}(\kappa, \tau)$  with critical mean curvature have at most cubic intrinsic area growth. We also obtain sharp upper bounds for the extrinsic area growth of graphs with zero boundary values, and study distinguished examples in detail such as invariant surfaces, *k*-noids, and ideal Scherk graphs. Finally, we give a relation between height and area growth of minimal graphs in the Heisenberg space  $(k = 0)$ , and prove a Collin–Krust type estimate for such minimal graphs.

**Keywords** Minimal surfaces·Constant mean curvature · Homogeneous 3-manifolds· Heisenberg group · Area estimates · Height estimates

**Mathematics Subject Classification** Primary 53A10 · Secondary 53C30

# **1 Introduction**

Constant mean curvature surfaces in simply connected homogeneous 3-manifolds have been the object of study of many authors in the last decade. Special attention has been

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given to those 3-manifolds with isometry group of dimension at least 4, which are classified in a 2-parameter family  $\mathbb{E}(\kappa, \tau)$ ,  $\kappa, \tau \in \mathbb{R}$ , with the exception of the hyperbolic space  $\mathbb{H}^3$ . Also  $\mathbb{E}(\kappa, \tau)$  admits a Riemannian submersion with bundle curvature  $\tau$  over  $\mathbb{M}^2(\kappa)$ , the simply connected 2-dimensional manifold with constant curvature  $\kappa$ , such that the fibers of the submersion are the integral curves of a distinguished unit Killing vector field  $[10,24]$  $[10,24]$  $[10,24]$ . It arises the natural question of studying graphs (i.e., sections of the Riemannian submersion) with constant mean curvature, over domains of  $\mathbb{M}^2(\kappa)$ , as a non-parametric version of the constant mean curvature condition (see Sect. [3\)](#page-10-0).

A fundamental tool in the comprehension of surfaces in  $\mathbb{E}(\kappa, \tau)$ -spaces is Daniel correspondence [\[10](#page-32-0)], which couples isometric constant mean curvature surfaces in different  $\mathbb{E}(\kappa, \tau)$ -spaces with different constant mean curvatures, and respects locally the graphical condition.

We will actually focus on the case of constant mean curvature graphs in  $\mathbb{E}(\kappa, \tau)$ , for any  $\tau \geq 0$  and  $\kappa \leq 0$ . If  $\tau \neq 0$ , this restriction leads to the Heisenberg group the graphical condition.<br>We will actually focus of or any  $\tau \ge 0$  and  $\kappa \le 0$ .<br>Nil<sub>3</sub>( $\tau$ ) =  $\mathbb{E}(0, \tau)$  and SL  $\text{Nil}_3(\tau) = \mathbb{E}(0, \tau)$  and  $\widetilde{\text{SL}}_2(\mathbb{R})$  for  $\kappa < 0$ . If  $\tau = 0$ , one has  $\mathbb{E}(0, 0) = \mathbb{R}^3$  and  $\mathbb{E}(\kappa, 0) = \mathbb{H}^2(\kappa) \times \mathbb{R}$  for  $\kappa < 0$ . If  $\kappa > 0$ , the submersion is over the round sphere  $\mathbb{S}^2(\kappa)$  and the only complete graphs with constant mean curvature in  $\mathbb{E}(\kappa, \tau)$  are the horizontal sections  $\mathbb{S}^2(\kappa) \times \{t_0\}$  in  $\mathbb{S}^2(\kappa) \times \mathbb{R}$ , so the kind of results we are looking for is not natural for  $\kappa > 0$  and we will skip this case.

Our first aim is to evaluate how fast the area of a minimal graph in  $\mathbb{E}(\kappa, \tau)$  can grow. Up to our knowledge, questions related to area growth of surfaces in  $\mathbb{E}(\kappa, \tau)$ have not been tackled yet.

Here we will propose three different notions of area growth of a surface  $\Sigma \subset \mathbb{E}(\kappa, \tau)$ as the growth of the function  $R \mapsto \text{area}(\Sigma \cap A_R)$ , where  $A_R$  is either the geodesic ball in  $\Sigma$  of radius *R* (intrinsic area growth), or the extrinsic geodesic ball in  $\mathbb{E}(\kappa, \tau)$  of radius *R* (extrinsic area growth), or a solid cylinder of radius *R* (i.e., the preimage of a disk of radius *R* in  $\mathbb{M}^2(\kappa)$  by the submersion) in  $\mathbb{E}(\kappa, \tau)$  (cylindrical area growth). These three notions are independent of the point where the sets  $A_R$  are centered, and it is easy to see that intrinsic area growth is always slower than the extrinsic one, which is in turn slower than the cylindrical one.

Our first estimate on the area will rely on a detailed study of the volume of geodesic balls in  $\mathbb{E}(\kappa, \tau)$ . For instance, we obtain that geodesic balls  $B_R$  of radius  $R$  in Nil<sub>3</sub>( $\tau$ ) have quartic area growth, in the sense that  $R^{-4}$  vol $(B_R)$  remains bounded between two positive constants, when *R* is bounded away from zero (Proposition [1\)](#page-7-0), in contrast to the case of  $\mathbb{R}^3$ , where this growth is cubic. Moreover, we give explicit expressions for the geodesics of  $\mathbb{E}(\kappa, \tau)$ ,  $\kappa \leq 0$ , in terms of initial conditions.

The key idea in the extrinsic estimates of the area is to relate the area of the intersection of the surface with an extrinsic ball  $B_R$  and geometric quantities computed on the base  $\mathbb{M}^2(\kappa)$  (Lemmas [4,](#page-12-0) [5\)](#page-14-0). The main result (Theorem [1\)](#page-14-1) states that, if  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  is a minimal graph over a domain  $\Omega \subset M^2(\kappa)$  such that either  $\Sigma$  extends to  $\partial \Omega$  with zero boundary values, or length( $\partial(\Omega \cap D_R)$ ) is suitably controlled, being  $D_R = \pi(B_R)$  a disk of radius *R* in  $\mathbb{M}^2(\kappa)$ , then:

- (a) If  $\mathbb{E}(\kappa, \tau) = \mathbb{R}^3$ , then  $\Sigma$  has at most quadratic extrinsic area growth.
- (b) If  $\mathbb{E}(\kappa, \tau) = \text{Nil}_3(\tau)$ , then  $\Sigma$  has at most cubic extrinsic area growth.

(c) If  $\kappa < 0$ , then  $\Sigma$  has at most extrinsic area growth of order  $R \mapsto Re^{\sqrt{-\kappa}R}$ .

Items (a) and (b) in Theorem [1](#page-14-1) are sharp as many examples show (e.g., non-vertical planes in  $\mathbb{R}^3$  and invariant surfaces in Nil<sub>3</sub>(τ) show, see Proposition [2\)](#page-17-0). If  $\kappa < 0$ , horizontal umbrellas have extrinsic area growth of order  $R \mapsto e^{\sqrt{-\kappa}R}$  (see Sect. [4.1\)](#page-16-0), but no example is hitherto known of order  $R \mapsto R e^{\sqrt{-\kappa}R}$  so Theorem [1](#page-14-1) might not be sharp in this case.

As a first consequence of the extrinsic estimate, we are able to analyze the intrinsic area growth of a complete graph  $\Sigma$  with constant mean curvature *H* in  $\mathbb{E}(\kappa, \tau)$  (The-orem [2\)](#page-15-0). If  $4H^2 + \kappa > 0$ , then it is proved in [\[25](#page-32-2)] that  $\kappa > 0$  and  $\Sigma = \mathbb{S}^2(\kappa) \times \{t_0\}$  in  $S^{2}(\kappa)\times\mathbb{R}$ . If  $4H^{2}+\kappa = 0$  (i.e.,  $\Sigma$  has critical mean curvature), then  $\Sigma$  has at most cubic intrinsic area growth. Finally, if the mean curvature is subcritical  $(4H^2 + \kappa < 0)$ , then the intrinsic area grows at most exponentially as  $Re^{R\sqrt{-\kappa-4H^2}}$ . The same estimates hold for extrinsic area growth if  $H = 0$ .

As a second application, we get some intrinsic and extrinsic properties of important examples in the theory, such as horizontal umbrellas, minimal graphs in  $\text{Nil}_3(\tau)$ invariant by a one-parameter family of ambient isometries (classified by Figueroa– Mercuri–Pedrosa [\[18](#page-32-3)]), symmetric *k*-noids with subcritical constant mean curvature in  $\mathbb{H}^2(\kappa) \times \mathbb{R}$  [\[28](#page-32-4)[,30](#page-32-5)[,31](#page-32-6)], and ideal Scherk graphs (i.e., graphs on unbounded domains of  $\mathbb{H}^2(\kappa)$  bounded by ideal polygons with a finite number of sides, taking  $\pm \infty$ -values alternately along the boundary) with subcritical constant mean curvature [\[9](#page-32-7)[,17](#page-32-8)[,27](#page-32-9)]. In the case of *k*-noids and ideal Scherk graphs, we conclude that they have quadratic intrinsic area growth, so they are parabolic (i.e., the only non-positive subharmonic functions on the surface are the constant ones) by a classical result of Cheng and Yau  $[6]$  $[6]$ .

As a byproduct of our technique we obtain some intermediate results of interest by themselves. On the one hand, we get that the area of the projection to  $\mathbb{M}^2(\kappa)$ ,  $\kappa \leq 0$ , of a complete graph with constant mean curvature *H* is finite if and only if it is an ideal Scherk graph (Proposition [3\)](#page-19-0), which allows us to prove that the class of ideal Scherk graphs is preserved by the Daniel correspondence (Corollary [1\)](#page-21-0). On the other hand, we discover that Figueroa–Mercuri–Pedrosa examples are parabolic, though their intrinsic area growth is exactly cubic (Proposition [2\)](#page-17-0). We employ this to correct a small mistake in the Bernstein-type theorem for parabolic horizontal graphs given by the first author, Pérez and Rodríguez [\[25,](#page-32-2) Theorem 3]: we deduce that a complete parabolic minimal surface in  $Nil_3(\tau)$  which is transversal to a non-vertical right-invariant Killing vector field is either a plane or congruent to an invariant surface (Theorem [3\)](#page-18-0).

Lower bounds on the area come from analyzing the cylindrical area growth (see Sect. [5\)](#page-23-0), which is a suitable tool to study the area of entire graphs. We obtain that an (Theorem 3).<br>
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Sect. 5), which is a suitable tool to study the area of entire graphs. We obtain that an<br>
entire graph in Nil<sub>3</sub>(τ) has at least it has at least cylindrical area growth of order  $e^{R\sqrt{-\kappa}}$  (Corollary [2\)](#page-24-0). We emphasize that these estimates do not have assumptions on the mean curvature of the graph, and follow from a classical application of the divergence theorem.

It is worthwhile noticing that the cylindrical area growth of entire minimal graphs in Nil<sub>3</sub>( $\tau$ ) is at least cubic, and the extrinsic one is at most cubic. Hence proving that they coincide for some entire minimal graph  $\Sigma$ , would ensure that  $\Sigma$  has exactly cubic extrinsic area growth. Here height estimates come in handy and tell us that the slower the height of the surface grows, the better the extrinsic area growth is controlled. In particular, if the height of an entire minimal graph  $\Sigma \subset Nil_3(\tau)$  grows at most quadratically with respect to the distance to the origin in the base  $\mathbb{R}^2$ , then  $\Sigma$  has exactly cubic extrinsic area growth (Corollary [3\)](#page-24-1). Height is always measured with respect to the usual zero section in  $Nil_3(\tau)$ , so this situation applies to many known explicit examples of entire minimal graphs (e.g., see  $[5,11,18,29]$  $[5,11,18,29]$  $[5,11,18,29]$  $[5,11,18,29]$  $[5,11,18,29]$  $[5,11,18,29]$ ). In this sense, the last part of the paper deals with height estimates for minimal graphs in  $\mathrm{Nil}_3(\tau)$ .

On the one hand, we obtain that the height of an entire minimal graph  $\Sigma \subset Nil_3(\tau)$ grows at most cubically, which ensures that the extrinsic area growth of  $\Sigma$  is between quadratic and cubic (Theorem  $6$ ). This is achieved by getting a global gradient estimate for entire spacelike graphs in the Lorentz–Minkowski space  $\mathbb{L}^3$  with positive constant mean curvature (Lemma [6\)](#page-25-0), based on the work of Cheng and Yau [\[7](#page-31-2)] and Treibergs [\[33](#page-32-12)] through the Calabi-type correspondence by Lee [\[22](#page-32-13)]. It is worth emphasizing that our gradient estimate for  $\Sigma$  is sharper than the general estimates for the angle function in Killing submersions given by Rosenberg et al. [\[32](#page-32-14)]. As a byproduct, we improve a result of Espinar [\[15](#page-32-15), Corollary 5.2] by showing that a complete orientable stable surface with constant mean curvature *H* in  $\mathbb{E}(\kappa, \tau)$ , with  $\tau \neq 0$  and  $4H^2 + \kappa \ge 0$ , whose angle function is square integrable must be a vertical cylinder (Corollary [4\)](#page-26-0).

On the other hand, we complete the study of the height by getting a sharp Collin– Krust type estimate [\[8\]](#page-32-16), which establishes that the height of a minimal graph in Nil<sub>3</sub>( $\tau$ ) with zero boundary values over an unbounded domain cannot grow less than linearly (Theorem [7](#page-27-0) and Corollary [5\)](#page-31-3). Essentially, we prove that all such graphs grow at least as catenoids (i.e., the situation is similar to  $\mathbb{R}^3$ , where Collin and Krust proved at least logarithmic height growth [\[8\]](#page-32-16); see also the generalization by Leandro and Rosenberg [\[21\]](#page-32-17)).

As a final remark we point out that our results also yield a new estimate for minimal surfaces in  $\mathbb{R}^3$  (Theorem [1\)](#page-14-1) and that some of them can be directly generalized to the setting of Killing submersions (Remark [3\)](#page-10-1).

The paper is organized as follows. In the second section, we deal with geodesic balls in  $\mathbb{E}(\kappa, \tau)$  and describe their shape and volume. In the third section, we recall the equation of a minimal graph in  $\mathbb{E}(\kappa, \tau)$ , and describe many known examples. The fourth and fifth sections contain the most important results of the paper about the intrinsic, extrinsic, and cylindrical area growth of constant mean curvature surfaces in  $E(\kappa, \tau)$ -spaces. In the sixth section, we obtain the height estimates à la Collin–Krust. Table [1](#page-4-0) summarizes our principal results about area growth.

#### **2 Geodesics in** E*(κ, τ )***-Spaces**

Given  $\kappa$ ,  $\tau \in \mathbb{R}$ , we will consider the model for the 3-manifold  $\mathbb{E}(\kappa, \tau)$  as

$$
\mathbb{E}(\kappa,\tau) = \left\{ (x, y, z) \in \mathbb{R}^3 : 1 + \frac{\kappa}{4}(x^2 + y^2) > 0 \right\},\,
$$

 $\circled{2}$  Springer

<span id="page-4-0"></span>

Surface	Curvature	Space	EAG	CAG	IAG	<b>CT</b>
Umbrellas	$H=0$	$\mathrm{Nil}_3(\tau)$	$R^3$	$R^3$	$R^3$	Hyp.
		$\kappa < 0$	$e^{R\sqrt{-\kappa}}$	$e^{R\sqrt{-\kappa}}$	$R\sqrt{-\kappa}$	
<b>FMP</b> surfaces	$H = 0$ Nil <sub>3</sub> ( $\tau$ )		$R^3$	$R^3$	$R^3$	Par.
	Ideal Scherk $4H^2 + \kappa < 0$ $\mathbb{E}(\kappa, \tau) \leq R^2$				$\leq R^2$	
$k$ -noids		$\mathbb{H}^2(\kappa) \times \mathbb{R}$ $(H=0)$				
Entire graphs	$H = 0$ Nil <sub>3</sub> ( $\tau$ ) $\ge R^2$ , $\le R^3$ $\ge R^3$ , $\le R^4$				$\leq R^3$	
	$4H^2 + \kappa = 0 \quad \kappa < 0$			$\geq e^{R\sqrt{-\kappa}}$	$\leq R^3$	
	$4H^2 + \kappa < 0$				$\geq e^{R\sqrt{-\kappa}}$ $\leq Re^{R\sqrt{-\kappa-4H^2}}$ $\geq e^{R\sqrt{-\kappa}}$ $\leq Re^{R\sqrt{-\kappa}}$	
	$H=0$			$\leq Re^{R\sqrt{-\kappa}} > e^{R\sqrt{-\kappa}}$		
Graphs with $H = 0$ zero boundary values		$\mathbb{R}^3$	$\langle R^2 \rangle$		$\leq R^2$	
		$\mathrm{Nil}_3(\tau)$				
		$\kappa < 0$	$\leq R^3$ $\leq Re^{R\sqrt{-\kappa}}$		$\leq R^3$ $\leq Re^{R\sqrt{-\kappa}}$	

**Table 1** Summary of the results on area growth in terms of the radius *R*

*EAG* extrinsic area growth, *CAG* cylindrical area growth, *IAG* intrinsic area growth, *CT* conformal type (hyperbolic or parabolic)

endowed with the only Riemannian metric such that

$$
E_1 = \frac{\partial_x}{\lambda} - \tau y \, \partial_z, \qquad E_2 = \frac{\partial_y}{\lambda} + \tau x \, \partial_z, \qquad E_3 = \partial_z,
$$

defines a global orthonormal frame, where

$$
\lambda(x, y, z) = \left(1 + \frac{\kappa}{4}(x^2 + y^2)\right)^{-1}, \quad (x, y, z) \in \mathbb{E}(\kappa, \tau).
$$

The projection to the first two components  $(x, y, z) \mapsto (x, y)$ , is a Riemannian submersion with bundle curvature  $\tau$  onto  $\mathbb{M}^2(\kappa)$ , the simply connected surface with constant curvature  $\kappa$ . The fibers of the submersion are geodesics, and coincide with the integral curves of the unit Killing vector field  $E_3$ . The Levi-Civita connection  $\overline{\nabla}$ on  $\mathbb{E}(\kappa, \tau)$  in the frame  $\{E_1, E_2, E_3\}$  is given by

<span id="page-4-1"></span>
$$
\overline{\nabla}_{E_1} E_1 = \frac{\kappa}{2} y E_2, \n\overline{\nabla}_{E_2} E_1 = -\frac{\kappa}{2} x E_2 - \tau E_3, \quad \overline{\nabla}_{E_2} E_2 = \frac{\kappa}{2} x E_1 + \tau E_3, \quad \overline{\nabla}_{E_1} E_3 = -\tau E_2, \n\overline{\nabla}_{E_2} E_1 = -\frac{\kappa}{2} x E_2 - \tau E_3, \quad \overline{\nabla}_{E_2} E_2 = \frac{\kappa}{2} x E_1, \quad \overline{\nabla}_{E_2} E_3 = \tau E_1, \n\overline{\nabla}_{E_3} E_3 = 0.
$$
\n(2.1)

Let us describe the equations of the geodesics in  $\mathbb{E}(\kappa, \tau)$ . Given a curve  $\gamma$ :  $\mathbb{R} \to \mathbb{E}(\kappa, \tau)$ , it can be expressed as  $\gamma(t) = (x(t), y(t), z(t)) \in \mathbb{R}^3$  so  $\gamma'(t) =$  $x'(t)\partial_x + y'(t)\partial_y + z'(t)\partial_z = \sum_{k=1}^3 a_k(t)E_k$ , for some functions  $a_k : \mathbb{R} \to \mathbb{R}$ . It is  $\nabla_E$ <br> *z* equations<br>
be expresse<br>  $(t)∂z = ∑^3_k$ straightforward to check that

$$
a_1 = \frac{x'}{1 + \frac{\kappa}{4}(x^2 + y^2)}, \quad a_2 = \frac{y'}{1 + \frac{\kappa}{4}(x^2 + y^2)}, \quad a_3 = z' + \tau \frac{yx' - xy'}{1 + \frac{\kappa}{4}(x^2 + y^2)}.
$$

By means of the Levi-Civita connection [\(2.1\)](#page-4-1), the condition  $\nabla_{\gamma'}\gamma' = 0$  is easily developed. We conclude that  $\gamma$  is a geodesic if and only if  $(a_1, a_2, a_3)$  is a solution to the following ODE system:  $\ddot{\phantom{a}}$ 

<span id="page-5-0"></span>
$$
\begin{cases}\na'_1 = -\frac{\kappa}{2}xa_2^2 + \frac{\kappa}{2}ya_1a_2 - 2\tau a_2a_3, \\
a'_2 = -\frac{\kappa}{2}ya_1^2 + \frac{\kappa}{2}xa_1a_2 + 2\tau a_1a_3, \\
a'_3 = 0.\n\end{cases}
$$
\n(2.2)

On the other hand, we know that if  $\gamma$  is a geodesic, then  $\pi \circ \gamma : \mathbb{R} \to \mathbb{M}^2(\kappa)$  has constant geodesic curvature and constant speed, and the angle function  $a_3 = \langle \gamma', E_3 \rangle$ is also constant  $[24]$  $[24]$ , which allows us to obtain the explicit solutions of  $(2.2)$  given below.

In the sequel, we will denote by  $B_R(p)$  (resp.  $D_R(x)$ ) the geodesic ball of  $\mathbb{E}(\kappa, \tau)$ (resp.  $\mathbb{M}^2(\kappa)$ ) of radius  $R \geq 0$  centered at  $p \in \mathbb{E}(\kappa, \tau)$  (resp.  $x \in \mathbb{M}^2(\kappa)$ ).

#### **2.1 Geodesics Balls in Nil<sub>3</sub>** $(\tau)$

Given  $\phi \in [0, \pi]$ ,  $\phi \neq \frac{\pi}{2}$ , and  $\theta \in \mathbb{R}$ , it is straightforward to check that

<span id="page-5-1"></span>
$$
x(t) = \frac{\tan(\phi)}{2\tau} \left(\cos(2\tau \cos(\phi)t + \theta) - \cos(\theta)\right),
$$
  
\n
$$
y(t) = \frac{\tan(\phi)}{2\tau} \left(\sin(2\tau \cos(\phi)t + \theta) - \sin(\theta)\right),
$$
  
\n
$$
z(t) = \frac{1 + \cos^2(\phi)}{2\cos(\phi)}t - \frac{\tan^2(\phi)}{4\tau}\sin(2\tau \cos(\phi)t),
$$
\n(2.3)

defines a complete geodesic in Nil<sub>3</sub>( $\tau$ ) such that  $x(0) = y(0) = z(0) = 0$ , and  $x'(0) = -\sin(\theta)\sin(\phi)$ ,  $y'(0) = \cos(\theta)\sin(\phi)$  and  $z'(0) = \cos(\phi)$ . This shows that these are all the geodesics in Nil<sub>3</sub>( $\tau$ ) passing through the origin with unit length, except for the horizontal ones, which are straight lines given by  $t \mapsto (\cos(\theta)t, \sin(\theta)t, 0)$ and correspond to the limit value of the parameter  $\phi = \frac{\pi}{2}$ .

Given  $R > 0$ , we are interested in calculating the maximum height of  $B_R(0)$ , the geodesic ball of radius *R* in  $Nil<sub>3</sub>(\tau)$  centered at the origin. This is equivalent to find a value of  $\phi \in [0, \pi]$  maximizing  $z(R)$ , where  $z(t)$  is the function given by [\(2.3\)](#page-5-1). It is not difficult to prove that  $z(R) > 0$  if and only if  $\phi \in [0, \frac{\pi}{2}]$ . Since  $\lim_{\phi \to \frac{\pi}{2}} z(R) = 0$ , we conclude that the maximum is attained for some value of  $\phi \in [0, \frac{\pi}{2}]$ , and we will restrict ourselves to this interval. After considering the change of variable  $s = 2\tau R \cos(\phi) \in [0, 2\tau R]$ , we can reduce the problem to maximize the real-valued function  $\zeta_R : ]0, 2\tau R] \rightarrow \mathbb{R}$  given by

$$
\zeta_R(s) = z(R) = \frac{s(s^2 + 4\tau^2 R^2) + (s^2 - 4\tau^2 R^2) \sin(s)}{4\tau s^2}.
$$

In order to get the critical points of  $\zeta_R$ , we calculate

<span id="page-6-0"></span>
$$
\zeta_R'(s) = \frac{s(s^2 - 4\tau^2 R^2)(1 + \cos(s)) + 8\tau^2 R^2 \sin(s)}{4\tau s^3}.
$$
 (2.4)

The equation  $\zeta_R'(s) = 0$  has two different kinds of solutions:

- On the one hand, the values  $s \in [0, 2\tau R]$  satisfying  $\cos(s) = -1$ . Observe that for such a value *s*, one gets that  $sin(s) = 0$  so  $\zeta_R(s) = \frac{s^2 + 4\tau^2 R^2}{4\tau s}$ . This last expression is a decreasing function of *s* for  $s \in [0, 2\tau R]$ , which implies that, among all critical values of  $\zeta_R$  with  $\cos(s) = -1$ , the one where  $\zeta_R$  has a greater value is the smaller one, i.e.,  $s = \pi$ . Note that this only makes sense for  $2\tau R > \pi$ ; otherwise there are no critical values of  $\zeta_R$  with  $\cos(s) = -1$ .
- On the other hand, if  $s \in ]0, 2\tau R]$  is a critical value of  $\zeta_R$  such that cos( $s$ )  $\neq -1$ ,<br>we can deduce from making (2.4) equal zero that<br> $\tan\left(\frac{s}{2}\right) = \frac{\sin(s)}{1 + \cos(s)} = \frac{s(4\tau^2 R^2 s^2)}{8\pi^2 R^2}$ . (2.5) we can deduce from making [\(2.4\)](#page-6-0) equal zero that

<span id="page-6-1"></span>
$$
\tan\left(\frac{s}{2}\right) = \frac{\sin(s)}{1 + \cos(s)} = \frac{s(4\tau^2 R^2 - s^2)}{8\tau^2 R^2}.
$$
 (2.5)

Note that equation [\(2.5\)](#page-6-1) has many solutions for *R* big enough. Moreover, it allows us to work out

that equation (2.5) has many solutions for *R* big enough. Moreover, it allows  
\nwork out  
\n
$$
\sin(s) = \frac{2 \tan(\frac{s}{2})}{1 + \tan^2(\frac{s}{2})} = \frac{16\tau^2 R^2 s (4\tau^2 R^2 - s^2)}{16\tau^4 R^4 (s^2 + 4) - 8\tau^2 R^2 s^4 + s^6},
$$
\n
$$
\cos(s) = \frac{1 - \tan^2(\frac{s}{2})}{1 + \tan^2(\frac{s}{2})} = \frac{128\tau^4 R^4}{16\tau^4 R^4 (s^2 + 4) - 8\tau^2 R^2 s^4 + s^6} - 1.
$$
\n(2.6)

<span id="page-6-2"></span>Taking derivatives in [\(2.4\)](#page-6-0) and using [\(2.6\)](#page-6-2), the second derivative of  $\zeta_R$  at a critical point *s* such that  $cos(s) \neq -1$  can be written as in (2.4) a<br>  $cos(s) \neq$ <br>  $4\tau^2 R^2$  (

$$
\zeta_R''(s) = \frac{\left(4\tau^2 R^2 \left(s^2 - 6\right) - s^4\right) \sin(s) + 8\tau^2 R^2 s (2\cos(s) + 1)}{4\tau s^4}
$$

$$
= \frac{2\tau R^2 (4\tau^2 R^2 - s^2)^2 + 96\tau^3 R^4}{s^3 (4\tau^2 R^2 - s^2)^2 + 64\tau^4 R^4 s} > 0.
$$

In particular, ζ*<sup>R</sup>* does not have a (local) maximum at *s*.

As a consequence of this discussion, the maximum value of  $\zeta_R(s)$  is attained either at  $s = \pi$  (for  $2\tau R > \pi$ ) or at the extremal value  $s = 2\tau R$  (we recall that the other extremal value  $s = 0$  is discarded since  $\lim_{s\to 0} \zeta_R(s) = 0$ ). Taking into account that  $\zeta_R(\pi) = \frac{\pi^2 + 4\tau^2 R^2}{4\tau \pi}$  and  $\zeta_R(2\tau R) = R$ , we realize that  $\zeta_R(\pi) > \zeta_R(2\tau R)$  if and only if  $2\tau R > \pi$ . Hence we get the following sharp approximation of the spheres by cylinders.

<span id="page-6-3"></span>**Lemma 1** *Given*  $R > 0$ *, let*  $B_R(0)$  *be the geodesic ball in* Nil<sub>3</sub>( $\tau$ ) *centered at the origin and let*  $D_R(0) = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < R^2\}.$ 

(a) *If*  $R \le \frac{\pi}{2\tau}$ , then  $B_R(0) \subset D_R(0) \times ]-R$ , R[. (a) *If*  $R \le \frac{\pi}{2\tau}$ , then  $B_R(0) \subset D_R(0) \times ]-R$ , R[.<br>
(b) *If*  $R > \frac{\pi}{2\tau}$ , then  $B_R(0) \subset D_R(0) \times ]-\frac{\pi^2+4\tau^2 R^2}{4\tau\pi}, \frac{\pi^2+4\tau^2 R^2}{4\tau\pi}$ .

Using this estimate, we are able to give an upper bound on the volume growth of geodesic balls in  $Nil<sub>3</sub>(\tau)$ . We would like to point out that it seems hard to get an explicit computation of their volume due to the difficulties coming from conjugate values along geodesics. Some explicit results for small radii are given in [\[20](#page-32-18)] and the references therein.

Let us consider the function  $d : \mathbb{R}^3 \to \mathbb{R}$  such that  $d(p)$  is the distance in Nil<sub>3</sub>(τ) from *p* to the origin, i.e.,  $B_R(0) = \{p \in \mathbb{R}^3 : d(p) < R\}$ . Given  $\alpha > 0$ , we will also define the function der the function  $d : \mathbb{R}^3 \to \mathbb{R}$  such that  $d(p)$  is the rigin, i.e.,  $B_R(0) = \{p \in \mathbb{R}^3 : d(p) < R\}$ . Given<br>tion<br> $\delta_{\alpha} : \mathbb{R}^3 \to \mathbb{R}, \quad \delta_{\alpha}(p) = \max \left\{ \sqrt{x^2 + y^2}, \frac{1}{\alpha} \sqrt{\alpha} \right\}$ 

<span id="page-7-1"></span>
$$
\delta_{\alpha}: \mathbb{R}^3 \to \mathbb{R}, \qquad \delta_{\alpha}(p) = \max \left\{ \sqrt{x^2 + y^2}, \frac{1}{\alpha} \sqrt{|z|} \right\}. \tag{2.7}
$$

Next lemma shows that *d* and  $\delta_{\alpha}$  are equivalent, away from the origin, in the sense of distances. Note that the ball of radius *R* for  $\delta_{\alpha}$  is given by {*p*  $\in \mathbb{R}^3 : \delta_{\alpha}(p)$  <  $R$ } =  $D_R(0) \times$  ] –  $\alpha^2 R^2$ ,  $\alpha^2 R^2$ [, which motivates this definition in view of item (b) in Lemma [1.](#page-6-3)

<span id="page-7-2"></span>**Lemma 2** *Given*  $\alpha > 0$ *, there exist constants*  $M, m > 0$  *such that* 

$$
m d(p) \leq \delta_{\alpha}(p) \leq M d(p),
$$

*for all*  $p \in Nil_3(\tau)$  *with*  $d(p) > \frac{\pi}{2\tau}$ *.* 

*Proof* Let us suppose that  $p = (x, y, z) \in \mathbb{R}^3$  is such that  $R = d(p) > \frac{\pi}{2\tau}$ . Then Lemma [1](#page-6-3) ensures that  $p \in D_R(0) \times ] - \frac{\pi^2 + 4\tau^2 R^2}{4\tau \pi}, \frac{\pi^2 + 4\tau^2 R^2}{4\tau \pi} [$ , so  $x^2 + y^2 < R^2$  and  $|z| < \frac{\pi^2 + 4\tau^2 R^2}{4\tau\pi}$ . From [\(2.7\)](#page-7-1), we get that  $\delta_{\alpha}(p) \le MR = M d(p)$ , for some constant *M* not depending on *p*.

In order to prove the other inequality, let us consider the Carnot–Carathéodory distance  $d_{\text{CC}}(p)$ , defined as the infimum of the lengths of horizontal curves in Nil<sub>3</sub>(τ) joining *p* and the origin. As the infimum is taken over horizontal curves, it is obvious that  $d \leq d_{CC}$ , so we will prove that there exists  $K > 0$  such that  $d_{CC}(p) \leq K \delta_{\alpha}(p)$ for all *p*, and we will be done. Observe that both  $d_{\text{CC}}$  and  $\delta_{\alpha}$  are homogeneous of degree 1 with respect to the dilations  $(x, y, z) \mapsto (\lambda x, \lambda y, \lambda^2 z)$ , i.e., they satisfy  $d_{\text{CC}}(\lambda x, \lambda y, \lambda^2 z) = \lambda d_{\text{CC}}(x, y, z)$  and  $\delta_{\alpha}(\lambda x, \lambda y, \lambda^2 z) = \lambda \delta_{\alpha}(x, y, z)$  for all  $(x, y, z) \in \mathbb{R}^3$  and  $\lambda \ge 0$ . Hence, using such dilations, we only need to prove that  $d_{\text{CC}}(p) \leq K \delta_{\alpha}(p)$  for all points  $p \in \mathbb{R}^{3}$  with  $d_{\text{CC}}(p) = 1$ . This last assertion easily follows from the compactness of the unit sphere of  $d_{\text{CC}}$  and the continuity of  $\delta_{\alpha}$  (this is a standard argument, see [\[4,](#page-31-4) Section 2.2]).

<span id="page-7-0"></span>*Remark 1* An inequality of the type  $m d(p) \leq \delta_{\alpha}(p)$  is true for all  $p \in Nil_3(\tau)$ , but the inequality  $\delta_{\alpha}(p) \leq M d(p)$  is not valid in general since the quotient  $\frac{\delta_{\alpha}}{d}$  is not bounded from above when one approaches to the origin. In fact, the statement of Lemma [2](#page-7-2) is still valid after substituting  $\frac{\pi}{2\tau}$  by any other positive real number.

**Proposition 1** *Geodesic balls in*  $\text{Nil}_3(\tau)$  *have quartic volume growth in the sense that, fixing p* ∈ Nil<sub>3</sub>( $\tau$ )*, the function R*  $\mapsto$  *R*<sup>-4</sup> vol( $B_R(p)$ ) *is bounded between two positive constants independent of p, when R is bounded away from zero.*

*Proof* Since Nil<sub>3</sub>( $\tau$ ) is homogeneous, we can assume that  $p = 0$ . For any  $R > 0$ , let us define  $C_R = D_R(0) \times [-R^2, R^2]$  $C_R = D_R(0) \times [-R^2, R^2]$  $C_R = D_R(0) \times [-R^2, R^2]$ . Lemma 2 with  $\alpha = 1$  yields the existence of *M*,  $m > 0$  such that  $C_{mR} \subset B_R(0) \subset C_{MR}$  for all  $R > \frac{\pi}{2\tau}$ . Since the volume form in Nil<sub>3</sub>(τ) coincides with the Euclidean volume form in  $\mathbb{R}^3$  (i.e., the identity map Nil<sub>3</sub>( $\tau$ )  $\rightarrow \mathbb{R}^3$  is volume-preserving) we conclude that vol( $C_R$ ) =  $2\pi R^4$  for all  $R > 0$  so  $2\pi m^4 R^4 <$  vol( $B_B(0)$ )  $\lt 2\pi M^4 R^4$  and the statement follows  $R > 0$ , so  $2\pi m^4 R^4 \le \text{vol}(B_R(0)) \le 2\pi M^4 R^4$ , and the statement follows.  $\Box$ <br>**2.2 Geodesic Balls in**  $\widetilde{\mathbf{SL}}_2(\mathbb{R})$ 

# <span id="page-8-0"></span>2.2 Geodesic Balls in  $\widetilde{SL}_2(\mathbb{R})$

Let us first observe that there exist four kinds of geodesics in  $\mathbb{E}(\kappa, \tau)$ ,  $\kappa < 0$ ,  $\tau \neq 0$ , depending on the nature of  $\pi \circ \gamma$ , i.e., the curve  $\pi \circ \gamma$  can be a geodesic, a circle, a horocycle, or a hypercycle in  $\mathbb{H}^2(\kappa)$ . Up to a rotation about the *z*-axis, we will choose the geodesic  $\gamma = (x, y, z)$  with unit speed and  $\gamma(0) = (0, 0, 0)$  so that  $x'(0) = 0$  and  $y'(0) \ge 0$ . Hence  $\gamma$  lies in one of the following families of examples:

(1) Horizontal geodesics (projecting onto a geodesic of  $\mathbb{H}^2(\kappa)$ )

$$
\gamma(t) = \left(0, \frac{2}{\sqrt{-\kappa}} \tanh(\frac{\sqrt{-\kappa}}{2}t), 0\right),\,
$$

from where  $\gamma'(0) = (0, 1, 0)$ .

(2) Elliptic geodesics (projecting onto a circle of  $\mathbb{H}^2(\kappa)$ )

$$
x(t) = \frac{4a(\kappa a^2 - 4)(1 - \cos(mt))}{16 + \kappa^2 a^4 + 8\kappa a^2 \cos(mt)},
$$
  
\n
$$
y(t) = \frac{4a(\kappa a^2 + 4) \sin(mt)}{16 + \kappa^2 a^4 + 8\kappa a^2 \cos(mt)},
$$
  
\n
$$
z(t) = \frac{4 + a^2(8\tau^2 - \kappa)}{\sqrt{(4 - \kappa a^2)^2 + 64a^2 \tau^2}} t + \frac{4\tau}{\kappa} \arctan\left(\frac{-\kappa a^2 \sin(mt)}{4 + \kappa a^2 \cos(mt)}\right),
$$

where  $0 \le a < \frac{2}{\sqrt{-\kappa}}$  is arbitrary, and we take the auxiliary parameter

$$
m = \frac{2(4 + \kappa a^2)\tau}{\sqrt{(4 - \kappa a^2)^2 + 64a^2\tau^2}}.
$$

Note that the initial condition  $\gamma'(0)$  is given by

$$
\gamma'(0) = \left(0, \frac{8a\tau}{\sqrt{(4 - \kappa a^2)^2 + 64a^2\tau^2}}, \frac{4 - \kappa a^2}{\sqrt{(4 - \kappa a^2)^2 + 64a^2\tau^2}}\right).
$$

We remark that the vertical geodesic  $\gamma(t) = (0, 0, t)$  is obtained for  $a = 0$ .

<sup>2</sup> Springer

(3) Parabolic geodesics (projecting onto a horocycle of  $\mathbb{H}^2(\kappa)$ )

$$
x(t) = \frac{-2\sqrt{-\kappa \tau^2 t^2}}{4\tau^2 - \kappa (1 + \tau^2 t^2)},
$$
  
\n
$$
y(t) = \frac{2\tau \sqrt{4\tau^2 - \kappa t}}{4\tau^2 - \kappa (1 + \tau^2 t^2)},
$$
  
\n
$$
z(t) = \frac{\sqrt{4\tau^2 - \kappa}}{\sqrt{-\kappa}} t + \frac{4\tau}{\kappa} \arctan\left(\frac{\tau \sqrt{-\kappa}}{\sqrt{4\tau^2 - \kappa}} t\right).
$$

If follows that the tangent vector at the origin is

$$
\gamma'(0) = \left(0, \frac{2\tau}{\sqrt{4\tau^2 - \kappa}}, \frac{\sqrt{-\kappa}}{\sqrt{4\tau^2 - \kappa}}\right)
$$

(4) Hyperbolic geodesics (projecting onto a hypercycle of  $\mathbb{H}^2(\kappa)$ )

$$
x(t) = \frac{4a \sinh^2(mt)}{4 + \kappa a^2 \cosh^2(mt)},
$$
  
\n
$$
y(t) = \frac{a\sqrt{-\kappa a^2 - 4} \sinh(2mt)}{4 + \kappa a^2 \cosh^2(mt)},
$$
  
\n
$$
z(t) = \frac{4\tau^2 - \kappa}{\kappa \sqrt{1 + a^2 \tau^2}} t + \frac{4\tau}{\kappa} \arctan\left(\frac{2 \tanh(mt)}{\sqrt{-\kappa a^2 - 4}}\right),
$$

for any choice of  $a > \frac{2}{\sqrt{-\kappa}}$ , where  $m = \frac{\tau \sqrt{-\kappa a^2 - 4}}{2\sqrt{a^2 \tau^2 + 1}}$ . Note that

$$
\gamma'(0) = \left(0, \frac{a\tau}{\sqrt{1+a^2\tau^2}}, \frac{1}{\sqrt{1+a^2\tau^2}}\right).
$$

*Remark 2* This classification does not extend to the case  $\kappa < 0$  and  $\tau = 0$ , because the geodesics of  $\mathbb{H}^2(\kappa) \times \mathbb{R}$  are just the product of geodesics of each factor.

<span id="page-9-0"></span>We shall now estimate the maximum height of the geodesic ball  $B_R(0)$  in  $\mathbb{E}(\kappa, \tau)$ when  $\kappa < 0$ .

**Lemma 3** *Given*  $R > 0$ *, let*  $B_R(0)$  *be the geodesic ball of radius*  $R$  *in*  $\mathbb{E}(\kappa, \tau)$ *,*  $\kappa < 0$ *, centered at the origin, and let*  $D_R(0) = \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < \frac{4}{-\kappa} \tanh^2(\frac{\sqrt{-\kappa}}{2}R)\}$ *be the corresponding disk in*  $\mathbb{H}^2(\kappa)$ *. Then there exists*  $M > 0$  *such that*  $B_R(0) \subset$  $D_R(0) \times ]-MR, MR[$ , for R big enough.

*Proof* Reasoning in the same way we have done in  $\text{Nil}_3(\tau)$ , this is equivalent to estimate *z*(*R*) for a unit-speed geodesic  $\gamma = (x, y, z)$  with  $\gamma(0) = (0, 0, 0)$ . If  $\tau = 0$ , then the statement is trivial (clearly we can take  $M = 1$ ), so we will suppose that  $\tau \neq 0$ . Taking into account the explicit expressions for  $z(t)$  above, it is clear that the geodesic maximizing  $z(R)$  cannot be horizontal and, in the other cases, we can

estimate the arctan term by  $\pm \frac{\pi}{2}$ . Since the remaining term is linear in *t*, it suffices to get a bound on its coefficient not depending on the parameter *a*. It is not difficult to check that term by  $\pm \frac{2}{2}$ <br>coefficient r<br>(8 $\tau^2 - \kappa$ )

$$
z(R) \le \left(1 - \frac{1}{\kappa}(8\tau^2 - \kappa)\right)R - \frac{2\pi\tau}{\kappa},
$$
 (Elliptic case)  

$$
z(R) \le \frac{\sqrt{4\tau^2 - \kappa}}{\sqrt{-\kappa}}R - \frac{2\pi\tau}{\kappa},
$$
 (Parabolic and hyperbolic cases)

from where the statement follows.

#### <span id="page-10-0"></span>**3 Minimal Graph Equation in** E*(κ, τ )* **and Examples**

Given a domain  $\Omega \subset \mathbb{M}^2(\kappa)$  and a function  $u \in C^2(\Omega)$  we define the graph of *u* (with respect to the zero section  $(x, y) \mapsto (x, y, 0)$  as the surface

$$
\Sigma = \{(x, y, u(x, y)) : (x, y) \in \Omega\}.
$$

It is well known that the mean curvature  $H(u)$  of the graph  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  is given as a function on  $\mathbb{M}^2(\kappa)$  by the following divergence-type expression: are *H*<br>divergend èn

<span id="page-10-2"></span>
$$
H(u) = \frac{1}{2} \operatorname{div} \left( \frac{Gu}{\sqrt{1 + |Gu|^2}} \right),\tag{3.1}
$$

where the divergence and the norm are computed in  $\mathbb{M}^2(\kappa)$ , and *Gu* is a vector field on Ω given in coordinates by  $Gu = (\frac{u_x}{\lambda} + \tau y) \frac{\partial_x}{\lambda} + (\frac{u_y}{\lambda} - \tau x) \frac{\partial_y}{\lambda}$ . The most important feature of *Gu* is the fact that it can be expressed as  $Gu = \nabla u + Z$ , where  $\nabla u$  is the gradient of *u* in  $\mathbb{M}^2(\kappa)$ , and  $Z = \tau y \frac{\partial_x}{\lambda} - \tau x \frac{\partial_y}{\lambda}$  is a vector field in  $\mathbb{M}^2(\kappa)$  independent on  $\Omega$  given in coordinates by  $Gu =$ <br>feature of  $Gu$  is the fact that it can<br>gradient of u in  $M^2(\kappa)$ , and  $Z = \tau$ <br>of u. We will also denote  $W_u = \sqrt{2\pi}$  $1 + |Gu|^2$ , as usual.

<span id="page-10-1"></span>*Remark 3* Equation [\(3.1\)](#page-10-2) is one of the keystones of our arguments below, and it is still valid in the more general scenario of Killing submersions, i.e., in an orientable 3-manifold E that admits a Riemannian submersion  $\pi : \mathbb{E} \to M$ , being M a surface, such that the fibers of  $\pi$  are the integral curves of a unit Killing vector field  $\xi$ . After choosing an initial smooth section  $F_0 : M \to \mathbb{E}$  transversal to the fibers, we can understand graphs as surfaces parametrized by  $F_u : \Omega \subset M \to \mathbb{E}$ , given by  $F_u(p) =$  $\phi_{u(p)}(F_0(p))$ , being  $u \in C^{\infty}(\Omega)$  and  $\{\phi_t\}_{t \in \mathbb{R}}$  the 1-parameter group of isometries associated to  $\xi$ . It turns out that the mean curvature  $H(u)$  of  $F_u$ , as a function on *M*, satisfies [\(3.1\)](#page-10-2), where  $Gu = \nabla u + Z$  for some vector field *Z* on  $\Omega$  [\[23\]](#page-32-19). In that sense, some of our results extend without changes to the Killing submersion setting (see Lemmas [4,](#page-12-0) [5\)](#page-14-0).

Next we will briefly describe some examples of minimal surfaces in Nil<sub>3</sub>( $\tau$ ).

- (1) *Planes* In our model of Nil<sub>3</sub>( $\tau$ )  $\equiv \mathbb{R}^3$ , all affine planes are minimal. On the one hand, vertical planes (i.e., those projecting to a geodesic in  $\mathbb{R}^2$ ) are flat and admit two foliations: one by vertical geodesics and the other by horizontal geodesics. On the other hand, if  $\Sigma$  is a non-vertical plane, then  $\Sigma$  is a horizontal umbrella (i.e., the union of all horizontal geodesics passing through a point  $p \in \Sigma$ ), and has negative Gauss curvature.
- (2) *Vertical catenoids* Let us briefly explain how the equation of catenoids is deduced (see also [\[18](#page-32-3)]). Given the parametrization of a rotationally invariant surface

$$
\Phi(t,s)=(r(t)\cos(s),r(t)\sin(s),h(t)), \qquad (t,s)\in\Omega\subset\mathbb{R}^2,
$$

we can reparametrize it in such a way that there exists an auxiliary function  $\alpha(t)$ satisfying  $h'(t) = \cos(\alpha(t))$  and  $r'(t)\sqrt{1 + \tau^2 r(t)^2} = \sin(\alpha(t))$ . Using this,  $\Phi$  has constant mean curvature *H* if and only if the following system of ODE is has constant mean curvature *H* if and only if the following system of ODE is satisfied:

<span id="page-11-0"></span>
$$
\begin{cases}\nh'(t) = \cos(\alpha(t)), \\
r'(t) = \frac{\sin(\alpha(t))}{\sqrt{1 + \tau^2 r(t)^2}}, \\
\alpha'(t) = \frac{\cos(\alpha(t)) + 2Hr(t)}{r(t)\sqrt{1 + \tau^2 r(t)^2}}.\n\end{cases}
$$
\n(3.2)

The quantity  $E = r \cos(\alpha) + Hr^2$  is constant along any solution of [\(3.2\)](#page-11-0). If  $H = E = 0$ , we get that *z* must be constant. If  $H = 0$  and  $E \neq 0$ , we The quantity  $E = r \cos(\alpha) + H r^2$ <br>  $H = E = 0$ , we get that z mus<br>
reparametrize [\(3.2\)](#page-11-0) by taking  $r = \sqrt{\frac{2}{\alpha}}$ *x*<sup>2</sup> + *y*<sup>2</sup> as a variable to obtain a 1-parameter *ds* depending on the parameter *E* > 0, given by:<br>*h*(*r*) =  $\pm \int_{r_1}^{r} \frac{E\sqrt{1 + \tau^2 s^2}}{\sqrt{s^2 - \tau^2}} ds$ ,  $r \ge E$ . family of *catenoids* depending on the parameter  $E > 0$ , given by:

$$
h(r) = \pm \int_E^r \frac{E\sqrt{1+\tau^2 s^2}}{\sqrt{s^2 - E^2}} ds, \qquad r \ge E.
$$

This means that half of the catenoid is a graph over the exterior domain  $r \geq E$ with zero boundary values. We also observe that  $r$  is the arc-length parameter in  $\mathbb{R}^2$ , so the height growth of the catenoids is linear. Moreover, catenoids have negative Gauss curvature.

- (3) *Graphs* Fernández and Mira [\[16\]](#page-32-20) showed that there exists a vast family of entire minimal graphs in  $Nil_3(\tau)$ , namely, they associate with any holomorphic quadratic differential *Q* on  $\mathbb{C}$  or  $\mathbb{D} = \{z \in \mathbb{C} : |z| < 1\}$  a 2-parameter family of entire minimal graphs with Abresch-Rosenberg differential *Q*. The only restriction is  $Q \neq 0$  if the domain is  $\mathbb{C}$ .
	- Figueroa, Mercuri, and Pedrosa [\[18](#page-32-3)] classified minimal graphs in  $Nil_3(\tau)$ invariant by a 1-parameter group of left-invariant isometries. Such surfaces are given by the graph of the function

<span id="page-11-1"></span>
$$
f_{\theta}(x, y) = \tau xy + \frac{\sinh(\theta)}{4\tau} \left[ 2\tau y \sqrt{1 + 4\tau^2 y^2} + \operatorname{arcsinh}(2\tau y) \right], \quad (3.3)
$$

for any  $\theta \in \mathbb{R}$  (see also [\[2,](#page-31-5)[3\]](#page-31-6)).

- Cartier [\[5,](#page-31-1) Corollary 3.8] proved that there are non-zero minimal graphs on any wedge of  $\mathbb{R}^2$  of angle  $[0, \pi]$ , with zero boundary values. The techniques in the construction involve the deformation of a horizontal umbrella. The second author, Sa Earp and Toubiana [\[29\]](#page-32-11) proved that, for any wedge *S* with vertex at the origin and angle  $\theta \in ]\frac{\pi}{2}, \pi[$ , there exists a non-zero minimal graph over *S*, with zero boundary value. Here the proof is based on classical PDE's theory joint with a suitable construction of barriers.
- Daniel [\[11](#page-32-10), Examples 8.4 and 8.5] constructed entire parabolic minimal graphs of the form  $z = xf(y)$  for some real function f growing linearly at  $\pm \infty$ .

## <span id="page-12-1"></span>**4 Extrinsic (Spherical) Area Growth**

Let us consider a minimal graph  $\Sigma \subset \mathbb{E}(\kappa, \tau)$ ,  $\kappa \leq 0$ , over an unbounded domain  $\Omega \subset \mathbb{M}^2(\kappa)$  given by a function *u* :  $\Omega \to \mathbb{R}$ . We will assume that  $\partial \Omega$  is piecewise regular and in each of its regular arcs, the function *u* either takes continuous boundary values or has  $\pm \infty$  limit value. It is well known that if *u* takes  $\pm \infty$  limit value along a curve  $\gamma$ , then  $\gamma$  must be a geodesic arc.

Given a point  $p_0 \in \mathbb{E}(\kappa, \tau)$ , we are interested in estimating the area of the intersection of  $\Sigma$  with  $B_R(p_0)$ , the geodesic ball of  $\mathbb{E}(\kappa, \tau)$  of radius  $R$ , centered at  $p_0$ . Given a continuous positive increasing function  $f : \mathbb{R} \to \mathbb{R}^+$ , the surface  $\Sigma$  has *extrinsic area growth* of order at least  $f(R)$  (resp. at most  $f(R)$ ) if

$$
\liminf_{R\to\infty}\frac{\text{area}(\Sigma\cap B_R(p_0))}{f(R)}>0\qquad\left(\text{resp. }\limsup_{R\to\infty}\frac{\text{area}(\Sigma\cap B_R(p_0))}{f(R)}<\infty\right).
$$

This definition does not depend on  $p_0$ , so we will assume that  $p_0 = 0$ . When  $f(R)$  is a polynomial of degree *k*, we say that the extrinsic area growth is of order at least (or at most) *k*.

The following results will give estimates of the extrinsic area growth in terms of quantities computed in the base  $\Omega \subset M^2(\kappa)$ . Given  $R > 0$ , for simplicity we will denote  $\Omega(R) = \Omega \cap D_R(0)$  and  $\Omega(R_1, R_2) = \Omega(R_2) \setminus \overline{\Omega(R_1)}$ . The proof of Lemma [4](#page-12-0) is inspired by the work of Elbert and Rosenberg (see [\[14,](#page-32-21) Lemma 4.1]).

**Lemma 4** *Let*  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  *be a minimal graph given by a function*  $u \in C^{\infty}(\Omega)$ *, where*  $\Omega \subset \mathbb{M}^2(\kappa)$ . Given  $R > 0$ , let us suppose that we can decompose  $\partial \Omega(R) =$  $\Lambda(R) \cup \Gamma(R) \cup \Theta(R)$ , where u takes limit values  $\pm \infty$  along  $\Lambda(R) \subset \partial \Omega$ , u has *continuous boundary values along*  $\Gamma(R) \subset \partial \Omega$ *, and*  $\Theta(R) = \Omega \cap \partial \Omega(R)$ *. We will also assume that*  $B_R(0)$  ⊂  $D_R(0)$  × [−*h*(*R*), *h*(*R*)]*, for some positive function h. Then the following area estimate holds:*

<span id="page-12-0"></span>area(
$$
\Sigma \cap B_R(0)
$$
)  $\leq$  area( $\Omega(R)$ )  
+  $\int_{\Omega(R)} |Z| + h(R) \operatorname{length}(\Theta(R) \cup \Lambda(R)) + \int_{\Gamma(R)} |u|$ .

*Proof* Since  $W = \sqrt{1 + |Gu|^2} \in C^\infty(\Omega)$  is the area element of  $\Sigma$  in the base domain  $\Omega$  through the projection  $\pi : \mathbb{E}(\kappa, \tau) \to \mathbb{M}^2(\kappa)$ , we get that <u>and</u> a state of the f,

<span id="page-13-0"></span>
$$
\text{area}(\Sigma \cap B_R(0)) = \int_{\pi(\Sigma \cap B_R(0))} W \le \int_{\Omega(R) \cap \{|u| \le h(R)\}} W
$$

$$
= \int_{\Omega(R) \cap \{|u| \le h(R)\}} \frac{|Gu|^2}{W} + \int_{\Omega(R) \cap \{|u| \le h(R)\}} \frac{1}{W}.
$$
(4.1)

Since *W* ≥ 1 and  $\Omega(R) \cap \{|u| \le h(R)\}$  ⊂  $\Omega(R)$ , the second term in the RHS of [\(4.1\)](#page-13-0) satisfies

<span id="page-13-3"></span>
$$
\int_{\Omega(R)\cap\{|u|\leq h(R)\}} \frac{1}{W} \leq \int_{\Omega(R)} 1 = \text{area}(\Omega(R)).
$$
\n(4.2)

In order to estimate the first term in the RHS of [\(4.1\)](#page-13-0), we fix  $\delta > 0$  and define the following auxiliary functions over  $\Omega$ :

$$
u_R(x) = \begin{cases} h(R) & \text{if } u(x) > h(R), \\ u(x) & \text{if } |u(x)| \le h(R), \\ -h(R) & \text{if } u(x) < -h(R), \end{cases} \quad \phi_R(x) = \begin{cases} 1 & \text{if } r(x) < R, \\ \frac{(1+\delta)R - r(x)}{\delta R} & \text{if } R \le r(x) \le (1+\delta)R, \\ 0 & \text{if } (1+\delta)R < r(x), \end{cases}
$$

where  $r(x)$  denotes the distance to the origin in  $\mathbb{M}^2(\kappa)$ . Observe that the cut-off function  $\phi_R$  is such that  $0 \le \phi_R \le 1$ . By decomposing  $Gu = \nabla u + Z$  and using that  $\nabla u_R = \nabla u$  if  $|u| < h(R)$ , and  $\nabla u_R = 0$  if  $|u| > h(R)$ , we get

<span id="page-13-1"></span>
$$
\int_{\Omega(R)\cap\{|u|\le h(R)\}} \frac{|Gu|^2}{W} \le \int_{\Omega((1+\delta)R)\cap\{|u|\le h(R)\}} \phi_R \frac{|Gu|^2}{W}
$$
\n
$$
= \int_{\Omega((1+\delta)R)\cap\{|u|\le h(R)\}} \phi_R \frac{\langle Z, Gu \rangle}{W}
$$
\n
$$
+ \int_{\Omega((1+\delta)R)} \phi_R \frac{\langle \nabla u_R, Gu \rangle}{W}
$$
\n
$$
\le \int_{\Omega((1+\delta)R)} \left(|Z| + \phi_R \frac{\langle \nabla u_R, Gu \rangle}{W} \right). \tag{4.3}
$$

In the last step we have used the Cauchy–Schwarz inequality, as well as the fact that  $\phi_R \frac{|Gu|}{W} \leq 1$ . To get rid of the last summand in [\(4.3\)](#page-13-1), we integrate the following identity in  $\Omega((1 + \delta)R)$ : n the last step we have used the<br>  $\frac{|Gu|}{W} \leq 1$ . To get rid of the last<br>  $\Omega \Omega((1 + \delta)R)$ :<br>  $0 = \phi_R u_R$  div  $\left(\frac{Gu}{W}\right) = \text{div}\left(\frac{Q}{W}\right)$ 

$$
0 = \phi_R u_R \operatorname{div}\left(\frac{Gu}{W}\right) = \operatorname{div}\left(\phi_R u_R \frac{Gu}{W}\right) - \frac{\phi_R \langle \nabla u_R, Gu \rangle}{W} - \frac{u_R \langle \nabla \phi_R, Gu \rangle}{W}.
$$
 (4.4)

Stokes theorem yields

<span id="page-13-2"></span>
$$
\int_{\Omega((1+\delta)R)} \frac{\phi_R \langle \nabla u_R, Gu \rangle}{W} = \int_{\partial \Omega((1+\delta)R)} \phi_R u_R \frac{\langle Gu, \eta \rangle}{W} - \int_{\Omega((1+\delta)R)} \frac{u_R \langle \nabla \phi_R, Gu \rangle}{W},\tag{4.5}
$$

where  $\eta$  denotes an outer unit conormal vector field to  $\Omega((1+\delta)R)$  along its boundary. We will now estimate the two terms in the RHS of  $(4.5)$ . For the first one, we notice that the integral over  $\partial \Omega((1+\delta)R)$  can be decomposed in integrals over  $\Lambda((1+\delta)R)$ and  $\Gamma((1 + \delta)R)$ , because  $\phi_R$  vanishes at the rest of points of  $\partial\Omega((1 + \delta)R)$ . Hence, using Cauchy–Schwarz inequality and the fact that  $|\phi_R| \leq 1$ , we obtain

<span id="page-14-2"></span>
$$
\int_{\partial\Omega((1+\delta)R)} \phi_R u_R \frac{\langle Gu, \eta \rangle}{W} = \int_{\Lambda((1+\delta)R)} \phi_R u_R \frac{\langle Gu, \eta \rangle}{W} + \int_{\Gamma((1+\delta)R)} \phi_R u_R \frac{\langle Gu, \eta \rangle}{W}
$$
\n
$$
\leq \int_{\Lambda((1+\delta)R)} |u_R| + \int_{\Gamma((1+\delta)R)} |u_R|
$$
\n
$$
\leq h(R) \operatorname{length}(\Lambda((1+\delta)R)) + \int_{\Gamma((1+\delta)R)} |u|,
$$
\n(4.6)

where we have used that  $|u_R| = h(R)$  along  $\Lambda((1 + \delta)R)$  since *u* takes unbounded values there, and  $|u_R| \le |u|$  along  $\Gamma((1 + \delta)R)$ . In order to get a bound on the second term in the RHS of [\(4.5\)](#page-13-2) we will use again Cauchy–Schwarz inequality and the fact that  $|\nabla \phi_R| = \frac{1}{\delta R}$  on  $\Omega(R, (1 + \delta)R)$  and  $|\nabla \phi_R| = 0$  in  $\Omega(R)$ . We get that

<span id="page-14-3"></span>
$$
-\int_{\Omega((1+\delta)R)} u_R \frac{\langle \nabla \phi_R, Gu \rangle}{W} \le \int_{\Omega(R,(1+\delta)R)} \frac{|\nabla \phi_R||u_R||Gu|}{W}
$$
  

$$
\le \frac{h(R)}{\delta R} \operatorname{area}(\Omega(R,(1+\delta)R)).
$$
 (4.7)

Plugging  $(4.6)$  and  $(4.7)$  into  $(4.5)$ , and combining the result with  $(4.3)$  and  $(4.2)$ , it suffices to take limits for  $\delta \to 0$  to get the inequality in the statement. The only non-trivial limit is  $\lim_{\delta \to 0} \frac{1}{\delta R} \operatorname{area}(\Omega(R, (1 + \delta)R))$ , but it equals length( $\Theta(R)$ ) by the coarea formula. suffices to take limits for the term of  $\lim_{\delta \to \infty}$ <br>coarea formula.<br>Observe that the term formula.

Observe that the term  $\int_{\Gamma(R)} |u|$  may be useful, for instance, in the case we know that *u* has zero (or bounded) boundary values along some components of the boundary, or when  $\Gamma(R) = \emptyset$ . Nonetheless, we can slightly simplify the inequality in Lemma [4](#page-12-0) by estimating  $|u_R| \leq h(R)$  along  $\Gamma(R)$  in [\(4.6\)](#page-14-2), to obtain the following.

<span id="page-14-0"></span>**Lemma 5** *Under the assumptions of Lemma [4,](#page-12-0)*

area
$$
(\Sigma \cap B_R(0)) \le \int_{\Omega(R)} (1 + |Z|) + h(R)
$$
 length $(\partial \Omega(R))$ .

These estimates could be adapted to many particular situations to obtain upper bounds on the extrinsic area growth, but now we will focus on the cases we will need in the sequel. Lemmas [4](#page-12-0) and [5](#page-14-0) can be clearly generalized to the Killing submersion setting (see Remark [3\)](#page-10-1).

<span id="page-14-1"></span>**Theorem 1** *Let*  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  *be a minimal graph over a domain*  $\Omega \subset \mathbb{M}^2(\kappa)$ *, whose boundary is piecewise regular and consists of curves along which the graph either extends continuously or takes infinite limit values. Suppose that (at least) one of the following conditions holds:*

- (i) *The graph extends continuously to* ∂ *with zero boundary values.*
- (ii) *There exists*  $K > 0$  *such that* length( $\partial \Omega(R)$ )  $\leq K$  length( $\partial D_R(0)$ ) for R suffi*ciently large.*

*Then*  $\Sigma$  *admits the following area estimate:* 

- (a) *If*  $\mathbb{E}(\kappa, \tau) = \mathbb{R}^3$ , then  $\Sigma$  has at most quadratic extrinsic area growth.
- (b) *If*  $\mathbb{E}(\kappa, \tau) = \text{Nil}_3(\tau)$ *, then*  $\Sigma$  *has at most cubic extrinsic area growth.*
- (c) If  $\kappa$  < 0, then  $\Sigma$  has at most extrinsic area growth of order  $R \mapsto R e^{R\sqrt{-\kappa}}$ .

*Proof* If  $\kappa = 0$  and  $\tau \neq 0$ , then  $\Omega(R) \subset \{(x, y) \in \mathbb{R}^2 : x^2 + y^2 < R^2\}$ . As the metric in  $\Omega \subset \mathbb{R}^2$  is the Euclidean one and  $Z = \tau y \partial_x - \tau x \partial_y$ ,

$$
\int_{\Omega(R)} (1 + |Z|) \le \int_{x^2 + y^2 < R^2} \left( 1 + \tau \sqrt{x^2 + y^2} \right) = \pi R^2 + \frac{2\pi \tau}{3} R^3. \tag{4.8}
$$

Lemma [1](#page-6-3) implies that we can choose  $h(R) = CR^2$  for some  $C > 0$  and  $R > \frac{\pi}{2\tau}$ . If (i)  $\int_{\Omega(R)} (1 + |Z|) \le \int_{x^2 + y^2 < R^2} \left( 1 + \tau \sqrt{x^2 + y^2} \right) = \pi R^2 + \frac{2\pi \tau}{3} R^3.$  ([4](#page-12-0).8)<br>Lemma 1 implies that we can choose  $h(R) = CR^2$  for some  $C > 0$  and  $R > \frac{\pi}{2\tau}$ . If (i)<br>holds then we can apply Lemma 4 with  $\Lambda(R) = \emptyset$  a  $\partial D_R(0)$ , we also have that  $h(R)$  length $(\Theta(R) \cup \Lambda(R)) \leq 2C\pi R^3$ , giving at most cubic area growth. If (ii) holds, then we directly apply Lemma [5](#page-14-0) with  $h(R)$  length(∂ $\Omega(R)$ ) ≤  $2CK\pi R^3$ , and we are done. Note that the case of  $\mathbb{E}(\kappa, \tau) = \mathbb{R}^3$  is similar to this one, but taking into account that  $Z = 0$  and  $h(R) = R$ , so the estimate only gives quadratic terms.

Let us now consider the case  $\kappa < 0$ . Likewise we compare  $\Omega(R)$  and  $D_R(0) \subset$  $\mathbb{H}^2(\kappa)$ , given by the inequality  $x^2 + y^2 \le \frac{4}{-\kappa} \tanh^2(\frac{\sqrt{-\kappa}}{2}R)$ . Moreover,  $Z = \tau y \frac{\partial_x}{\partial x}$  $\tau x \frac{\partial_y}{\lambda}$  so  $|Z| = \tau \sqrt{x^2 + y^2}$  in  $\mathbb{H}^2(\kappa)$ . Using polar coordinates, it is not difficult to show that<br>  $\int_{\Omega(R)} (1 + |Z|) \leq \frac{4\pi}{-\kappa} \sinh^2 \left( \frac{\sqrt{-\kappa}}{2} R \right) + \frac{2\pi \tau}{-\kappa} \left( \frac{1}{\sqrt{-\kappa}} \sinh \left( \sqrt{-\kappa} R \right) - R \right).$ show that

$$
\int_{\Omega(R)} (1+|Z|) \leq \frac{4\pi}{-\kappa} \sinh^2\left(\frac{\sqrt{-\kappa}}{2}R\right) + \frac{2\pi\tau}{-\kappa} \left(\frac{1}{\sqrt{-\kappa}} \sinh\left(\sqrt{-\kappa}R\right) - R\right).
$$

It is also straightforward to compute length( $\partial D_R(0)$ ) =  $\frac{2\pi}{\sqrt{-\kappa}} \sinh(\sqrt{-\kappa} R)$ , and Lemma [3](#page-9-0) allows us to consider  $h(R)$  as a linear function. We conclude by the same argument as in the case  $\kappa = 0$ , and applying either Lemmas [4](#page-12-0) or [5.](#page-14-0)

As a first consequence of Theorem [1,](#page-14-1) we will use the Daniel correspondence  $[10]$  $[10]$  to obtain some intrinsic area estimates for a complete constant mean curvature *H* graph in  $\mathbb{E}(\kappa, \tau)$ . We recall that, if  $4H^2 + \kappa > 0$ , then the only complete graphs with constant mean curvature *H* are the horizontal slices  $\mathbb{S}^2(\kappa) \times \{t_0\}$  in  $\mathbb{S}^2(\kappa) \times \mathbb{R}$  (see [\[25](#page-32-2)]), so the next result cover all non-trivial cases.

<span id="page-15-0"></span>**Theorem 2** *Let*  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  *be a graph with constant mean curvature H such that*  $4H^2 + \kappa \leq 0$ , and suppose that  $\Sigma$  is complete.

- (a) If  $\kappa + 4H^2 = 0$ , then  $\Sigma$  has at most cubic intrinsic area growth.
- (b) If  $\kappa + 4H^2 < 0$ , then  $\Sigma$  has at most intrinsic area growth of order  $R \mapsto$  $\int R e^{R\sqrt{-\kappa-4H^2}}$ .

*Proof* Under the assumption of completeness,  $\Sigma$  is the graph of a function defined on a domain of  $\mathbb{M}^2(\kappa)$  whose boundary (possibly empty) consists of complete curves of constant geodesic curvature  $\pm 2H$  (see [\[26](#page-32-22), Theorem 1]). In particular,  $\Sigma$  is simply connected and Daniel correspondence [\[10](#page-32-0)] yields the existence of a sister minimal surface  $\Sigma^*$  immersed in  $\mathbb{E}(\kappa + 4H^2, \sqrt{H^2 + \tau^2})$ . The surface  $\Sigma^*$  is isometric to  $\Sigma$ and has the same angle function, so it is also complete and transversal to the vertical Killing vector field. By means of [\[26,](#page-32-22) Theorem 1], this implies that  $\Sigma^*$  is also a complete minimal vertical graph over some domain of  $\mathbb{M}^2(\kappa + 4H^2)$ .

- (a) If  $\kappa + 4H^2 = 0$ , then  $\Sigma^*$  is a complete minimal graph in Nil<sub>3</sub>( $\sqrt{H^2 + \tau^2}$ ), and we deduce from [\[12\]](#page-32-23) that  $\Sigma^*$  is entire, so it has at most cubic extrinsic area by Theorem [1.](#page-14-1)
- (b) If  $\kappa + 4H^2 < 0$ , then  $\Sigma^*$  is the graph on a domain of  $\mathbb{H}^2(\kappa + 4H^2)$  bounded by geodesic curves. Given  $R > 0$ , the set  $\partial \Omega(R)$  consists of finitely many geodesic segments and finitely many arcs in  $\partial D_R(0)$ . Since geodesics in  $\mathbb{H}^2(\kappa + 4H^2)$ minimize length, it turns out that each of the geodesic segments in  $\partial \Omega(R)$  has smaller length than the arc in  $\partial D_R(0)$  connecting its two endpoints. It follows that length( $\partial \Omega(R)$ ) ≤ length( $\partial D_R(0)$ ), so  $\Sigma^*$  has extrinsic area growth of order at most  $R \mapsto R e^{\sqrt{-\kappa - 4H^2}} R$  by Theorem [1.](#page-14-1)

These estimates also hold for the intrinsic area growth, since it is always bounded from above by the extrinsic one. Since the correspondence is isometric, we deduce that  $\Sigma$ has the same intrinsic area growth as  $\Sigma^*$ , which finishes the proof.  $\square$ 

In the following subsections, we will apply our results about extrinsic area growth, in order to estimate the area of some known examples.

#### <span id="page-16-0"></span>**4.1 Area of Horizontal Umbrellas in** E*(κ, τ )*

Let us consider  $\Sigma$  to be the plane  $z = 0$  in the model for  $\mathbb{E}(\kappa, \tau)$ , which is nothing but the horizontal umbrella centered at the origin (i.e., it is the union of all horizontal geodesics in  $\mathbb{E}(\kappa, \tau)$  passing through the origin) and it is minimal.

• If  $\mathbb{E}(\kappa, \tau) = \text{Nil}_3(\tau)$ , since horizontal geodesics are Euclidean geodesics, it follows that  $Σ ∩ B<sub>R</sub>(0) = { (x, y, 0) : x<sup>2</sup> + y<sup>2</sup> < R<sup>2</sup>}$  for all  $R > 0$ . It is easy to compute

area
$$
(\Sigma \cap B_R(0)) = \frac{2\pi}{3\tau^2} \left( (1 + \tau^2 R^2)^{3/2} - 1 \right) = \frac{2\pi}{3} \tau R^3 + O(R).
$$

• In the case  $\kappa < 0$  (for any  $\tau$ ), the expression of horizontal geodesics in Sect. [2.2](#page-8-0) gives

$$
\Sigma \cap B_R(0) = \left\{ (x, y, 0) \in \mathbb{D}\left(\frac{2}{\sqrt{-\kappa}}\right) \times \mathbb{R} : x^2 + y^2 \le \frac{4}{-\kappa} \tanh^2\left(\frac{\sqrt{-\kappa}}{2}R\right) \right\}.
$$

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The area of  $\Sigma \cap B_R(0)$  can be computed easily via the parametrization  $(x, y) \mapsto$  $(x, y, 0)$  and using polar coordinates. After some computations we get

area
$$
(\Sigma \cap B_R(0)) = 2\pi \int_0^{\frac{2}{\sqrt{-\kappa}} \tanh(\frac{\sqrt{-\kappa}}{2}R)} \frac{r\sqrt{1+\tau^2r^2}}{(1+\frac{\kappa}{4}r^2)^2} dr
$$
  
=  $\pi \frac{\sqrt{4\tau^2-\kappa}}{-\kappa\sqrt{-\kappa}} e^{\sqrt{-\kappa}R} + O(R).$ 

Note that in both cases the intrinsic ball of radius *R* centered at the origin is given by  $B_R^{\Sigma}(0) = \Sigma \cap B_R(0)$ . This is due to the fact that horizontal geodesics are always minimizing, and proves that intrinsic and extrinsic area growths coincide for horizontal umbrellas.

It is worth mentioning that umbrellas in  $Nil_3(\tau)$  are hyperbolic surfaces (i.e., conformally equivalent to the unit disk  $\mathbb{D}$ ), see [\[11,](#page-32-10) Example 8.1]. We can generalize this idea for  $\kappa \leq 0$  and  $\tau \neq 0$ . In fact, it is easy to check that the global parameterization

$$
\Phi: \mathbb{D}(\sigma) \to \mathbb{E}(\kappa, \tau), \qquad \Phi(u, v) = \left(\frac{2u}{\tau(1 - u^2 - v^2)}, \frac{2v}{\tau(1 - u^2 - v^2)}, 0\right)
$$

is well defined and conformal, where  $\mathbb{D}(\sigma) \subset \mathbb{R}^2$  is the disk of radius  $\sigma =$  $\frac{1}{2\tau}(\sqrt{-\kappa + 4\tau^2} - \sqrt{-\kappa})$  < 1 with center at the origin. If  $\tau = 0$ , then horizontal umbrellas are nothing but horizontal sections  $\mathbb{M}^2(\kappa) \times \{t_0\}$ , which are parabolic for  $\kappa \geq 0$  and hyperbolic otherwise.

#### **4.2 Area of Figueroa–Mercuri–Pedrosa Examples**

<span id="page-17-0"></span>Let  $\theta \in \mathbb{R}$ , and let  $\Sigma_{\theta}$  be the entire minimal graph of the function  $f_{\theta}$  given by [\(3.3\)](#page-11-1). In this case, we are able to compute the exact intrinsic and extrinsic area growths.

**Proposition 2** *The minimal graph*  $\Sigma_{\theta} \subset \text{Nil}_{3}(\tau)$  *satisfies the following properties:* 

- (a)  $\Sigma_{\theta}$  has extrinsic and intrinsic cubic area growth.
- (b)  $\Sigma_{\theta}$  *is a parabolic surface.*

*Proof* First of all, we observe that the global parametrization

is a parabolic surface.  
\nrst of all, we observe that the global parametrization  
\n
$$
(u, v) \in \mathbb{R}^2 \mapsto \left(\frac{1}{2\tau}(\cosh(\theta)u + \sinh(\theta)\cosh(v)), \frac{1}{2\tau}\sinh(v), \frac{1}{4\tau}(\cosh(\theta)u\sinh(v) - \sinh(\theta)v)\right)
$$

is conformal and the metric of  $\Sigma_{\theta}$  reads  $\frac{1}{4\tau^2}$  cosh<sup>2</sup>( $\theta$ ) cosh<sup>2</sup>( $v$ )( $du^2 + dv^2$ ) in these coordinates (see [\[22](#page-32-13), Example 7]). Hence  $\Sigma_{\theta}$  is globally conformally  $\mathbb{C}$ , so it is parabolic (see also [\[11](#page-32-10), Example 8.2]). Moreover, all the surfaces  $\Sigma_{\theta}$  are intrinsically homothetic. We will prove that  $\Sigma_0$  has at least cubic intrinsic area growth. From that, it follows that  $\Sigma_{\theta}$  has at least cubic intrinsic area growth for all  $\theta$ , and then  $\Sigma_{\theta}$  will have exactly cubic intrinsic and extrinsic area growths, since the extrinsic area grows faster than the intrinsic one, and it is at most cubic by Theorem [1.](#page-14-1)

Via the parametrization  $(x, y) \mapsto (x, y, \tau xy)$ , the surface  $\Sigma_0$  is isometric to  $\mathbb{R}^2$ endowed with the metric  $ds^2 = (1 + 4\tau^2 y^2) dx^2 + dy^2$ . Given  $(x, y) \in \mathbb{R}^2$ , let us consider the curve  $\alpha$  joining (0, 0) and (*x*, *y*) consisting in two straight segments,  $\alpha_1$ (joining  $(0, 0)$  and  $(x, 0)$ ), and  $\alpha_2$  (joining  $(x, 0)$  and  $(x, y)$ ). It is easy to see that length( $\alpha_1$ ) = |*x*| and length( $\alpha_2$ ) = |*y*| with respect to d*s*<sup>2</sup>. This means that the d*s*<sup>2</sup>distance from (0, 0) and (*x*, *y*) is smaller than  $|x| + |y|$ , so the geodesic ball  $B_R^{\Sigma_0}(0)$ in  $\Sigma_0 \equiv (\mathbb{R}^2, ds^2)$  centered at (0, 0) of radius *R* contains the square *S*(*R*) of vertexes  $(0, \pm R)$  and  $(\pm R, 0)$ . Since the area element for  $ds^2$  in the  $(x, y)$ -coordinates is  $\sqrt{1 + 4\tau^2 y^2}$ , we obtain the following lower bound for the area of  $B_R^{\Sigma_0}(0)$ :

$$
\text{area}(B_R^{\Sigma_0}(0)) \ge \int_{S(R)} \sqrt{1 + 4\tau^2 y^2} \, dx \, dy = 4 \int_0^R \int_0^{R-y} \sqrt{1 + 4\tau^2 y^2} \, dx \, dy
$$

$$
= \frac{1}{3\tau^2} \left( 1 + (2\tau^2 R^2 - 1)\sqrt{1 + 4\tau^2 R^2} + 3\tau R \arcsinh(2\tau R) \right).
$$

Hence,  $\text{area}(B_R^{\Sigma_0}(0)) \ge \frac{4}{3}\tau R^3 + O(R^2)$  and we are done.

Using this result, we will correct a mistake in the Bernstein theorem for horizontal minimal multigraphs in Nil<sub>3</sub>( $\frac{1}{2}$ ) given in [\[25\]](#page-32-2). Using this result, we will correct a mistake in the Bern<br>nimal multigraphs in  $Nil_3(\frac{1}{2})$  given in [25].<br>Let us take the surface  $\hat{\Sigma}_{\theta} \subset Nil_3(\frac{1}{2})$  parametrized by

\n
$$
\text{upbs in Nil}_3(\frac{1}{2}) \text{ given in [25].}
$$
\n

\n\n $\text{surface } \widehat{\Sigma}_{\theta} \subset \text{Nil}_3(\frac{1}{2}) \text{ parametrized by}$ \n

\n\n $(u, v) \in \mathbb{R}^2 \mapsto \left( g_{\theta}(u, v), u, v + \frac{1}{2} u g_{\theta}(u, v) \right),$ \n

where  $g_{\theta} : \mathbb{R}^2 \to \mathbb{R}^2$  is given by

$$
g_{\theta}(u, v) = v + \frac{\sinh(\theta)}{2} \left( (1+u)\sqrt{1 + (1+u)^2} + \text{arcsinh}(1+u) \right).
$$

Hence  $\widehat{\Sigma}_{\theta}$  is an entire graph in the direction of the Killing vector field  $X = E_1 + yE_3$ (see [\[25,](#page-32-2) Section 5]) and the isometry  $F : Nil_3(\frac{1}{2}) \rightarrow Nil_3(\frac{1}{2})$  given by  $F(x, y, z) =$ Hence  $\hat{\Sigma}_{\theta}$  is an entire graph in the direction of the Killing vector field  $X = E_1 + yE_3$ <br>(see [25, Section 5]) and the isometry  $F : Nil_3(\frac{1}{2}) \rightarrow Nil_3(\frac{1}{2})$  given by  $F(x, y, z) = (x, y + 1, z - \frac{1}{2}x)$  satisfies  $F(\hat{\Sigma}_{\theta}) = \$ minimal graph in the direction of *X*, and it is parabolic by Proposition [2.](#page-17-0) Theorem 3 in [\[25\]](#page-32-2) contains a subtle mistake in the way  $\Sigma_{\theta}$  is discarded as a horizontal graph (in the proof, both the surface and the Killing vector field were normalized under an ambient isometry, but this yields a loss of generality). It is fixed as follows:

**Theorem 3** (Correction of Theorem 3 in [\[25\]](#page-32-2)) *Let*  $\Sigma \subset \text{Nil}_3(\frac{1}{2})$  *be a complete minimal surface transversal to the Killing vector field*  $X = E_1 + yE_3$ *. If*  $\Sigma$  *is parabolic, then it is either a vertical plane or an invariant surface*  $\Sigma_{\theta}$ *, up to an ambient isometry.* 

<span id="page-18-0"></span>
$$
\Box
$$

#### **4.3 Area of Ideal Scherk Graphs (***κ <* **0)**

Let  $\Omega \subset \mathbb{H}^2(\kappa)$  be an unbounded domain whose boundary is an ideal polygon consisting of finitely many complete curves with alternating constant geodesic curvature  $\pm 2H$ , meeting at some points at the ideal boundary  $\partial_{\infty} \mathbb{H}^2(\kappa)$ . Let  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  be the graph of a function *u* defined over  $\Omega$ , with constant mean curvature *H* satisfying  $4H^2 + \kappa < 0$ , and such that *u* has boundary values  $\pm \infty$  along each curve in ∂ $\Omega$ . Such surface  $\Sigma$  is known as an ideal Scherk graph. The existence of minimal ideal Scherk graphs in  $\mathbb{H}^2 \times \mathbb{R}$  was proven by Collin and Rosenberg in [\[9\]](#page-32-7), under some conditions on the shape of the domain  $\Omega$ . In fact, the conditions were inspired by those found by Jenkins and Serrin for a minimal graph in  $\mathbb{R}^3$  with infinite boundary values. Analogous existence results were given by Folha and Melo [\[17](#page-32-8)] ( $0 < H < \frac{1}{2}$  in  $\mathbb{H}^2 \times \mathbb{R}$ ) and on the shape of the doma<br>Jenkins and Serrin for a n<br>existence results were g<br>Melo [\[27\]](#page-32-9) ( $H = 0$  in SL Melo [27]  $(H = 0$  in  $\widetilde{\text{SL}}_2(\mathbb{R})$ ).

The aim of this section is to show that the area growth of ideal Scherk graphs is similar to the area growth of the vertical surfaces they are asymptotic to (Theorem [4\)](#page-21-1).

*Remark 4* Let  $\Sigma = \pi^{-1}(\Gamma) \subset \mathbb{E}(\kappa, \tau)$ , being  $\Gamma \subset \mathbb{M}^2(\kappa)$  a geodesic. Then  $\Sigma$  is minimal and has quadratic intrinsic area growth provided that  $\kappa \leq 0$ , for it is isometric to  $\mathbb{R}^2$  endowed with the Euclidean flat metric. Let us suppose that  $\Sigma$  is given by the equation  $x = 0$  in our model.

- If  $\kappa = 0$ ,  $\mathbb{E}(\kappa, \tau) = \text{Nil}_3(\tau)$ , we get by Lemma  $2(\alpha = 1)$  $2(\alpha = 1)$  that there exist *m*,  $M > 0$ such that  $\Sigma \cap C_{mR} \subset \Sigma \cap B_R(0) \subset \Sigma \cap C_{MR}$  for  $R > \frac{\pi}{2\tau}$ . Here we have considered the cylinders  $C_R = D_R(0) \times [-R^2, R^2]$  that satisfy area( $\Sigma \cap C_R$ ) = 4 $R^3$  for all  $R > 0$ , which implies that  $\Sigma$  has cubic extrinsic area growth.
- If  $\kappa < 0$ , then  $B_R(0) \subset D_R(0) \times ] MR$ , MR for some  $M > 0$  by Lemma [3,](#page-9-0) so area( $B_R(0)$  ∩  $\Sigma$ ) ≤ area( $\Sigma$  ∩ ( $D_R(0) \times$ ] − *MR*, *MR*[)) ≤ 4*MR*<sup>2</sup> and  $\Sigma$  has at most quadratic extrinsic area growth. Since the intrinsic area growth is quadratic and it represents a lower bound for the extrinsic one, we deduce that the extrinsic area growth of  $\Sigma$  is also quadratic.

Next we will analyze the area of the projection of a complete graph  $\Sigma$  in  $\mathbb{E}(\kappa, \tau)$ , which will be the key step in the proof of Theorem [4.](#page-21-1) Let us mention that the angle function  $v = \langle E_3, N \rangle$ , being N the upward-pointing unit normal to  $\Sigma$ , is such that  $\int_G v = \text{area}(\pi(G))$  for any region  $G \subset \Sigma$ , where  $\pi : \mathbb{E}(\kappa, \tau) \to \mathbb{H}^2(\kappa)$  denotes the usual projection. This assertion follows from the fact that the Jacobian of  $\pi_{\Sigma}$  equals |*v*|, and  $\nu > 0$  because of our choice of the unit normal N.

<span id="page-19-0"></span>**Proposition 3** Let  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  be a complete graph with constant mean curvature *H* such that  $4H^2 + \kappa < 0$ , and projecting onto a domain  $\Omega \subset \mathbb{H}^2(\kappa)$ .

(a) If  $\Sigma$  is an ideal Scherk graph and  $\Omega$  has 2n ideal vertexes, then  $\Omega$  has finite area *given by*

$$
\operatorname{area}(\Omega) = \frac{2(n-1)\pi}{-\kappa - 4H^2}.
$$

(b) If  $\Sigma$  is not an ideal Scherk graph, then  $\Omega$  has infinite area.

*Proof* Given  $R > 0$ , let us consider  $\Omega(R) = \Omega \cap D_R(0)$ . Then  $\partial \Omega(R)$  can be decomposed in three finite families of arcs, namely, those with geodesic curvature 2*H* (along which  $\Sigma$  takes  $+\infty$  limit boundary value), those with geodesic curvature  $-2H$  (with  $-\infty$  limit boundary value) and those in  $\Omega \cap \partial D_R(0)$ . Geodesic curvature is always computed with respect to the inner conormal vector field to  $\Omega(R)$  along its boundary. Let us call  $\alpha(R)$ ,  $\beta(R)$  and  $\ell(R)$  the lengths of the segments in the first, second, and third family, respectively. Gauss–Bonnet theorem applied to  $\Omega(R)$  ⊂  $\mathbb{H}^2(\kappa)$  yields the following identity:

<span id="page-20-0"></span>
$$
\kappa \operatorname{area}(\Omega(R)) = 2\pi - 2H(\alpha(R) - \beta(R)) - \kappa_g(R)\ell(R) - \Theta(R),\tag{4.9}
$$

where  $\kappa_g(R) > 1$  is the geodesic curvature of  $\partial D_R(0)$ , and  $\Theta(R)$  denotes the sum of all exterior angles at the vertexes of  $\partial \Omega(R)$ . Now, let us consider  $T = E_3 - vN$  to be the tangent part of the vertical Killing vector field  $E_3$ , which satisfies div $\sum(T) = 2H\nu$ . By a classical application of the divergence theorem to *T* (also known as flux formula) on  $\Sigma(R) = \pi_{|\Sigma}^{-1}(\Omega(R))$ , it follows that

<span id="page-20-1"></span>
$$
2H \operatorname{area}(\Omega(R)) = \int_{\Sigma(R)} \operatorname{div}_{\Sigma}(T) = \alpha(R) - \beta(R) + \int_{\partial \Sigma(R)} \langle T, \eta \rangle, \qquad (4.10)
$$

where  $\eta$  stands for an unit conormal to  $\Sigma(R)$  along its boundary. The term  $\alpha(R) - \beta(R)$ appears since we indeed apply the divergence theorem to compact subdomains of  $\Sigma(R)$ uniformly converging to  $\Sigma(R)$ , and the angle function uniformly tends to 0 along the boundary curves with geodesic curvature  $\pm 2H$ . Hence, combining [\(4.9\)](#page-20-0) and [\(4.10\)](#page-20-1), we get that

<span id="page-20-2"></span>
$$
(-\kappa - 4H^2)\operatorname{area}(\Omega(R)) = -2\pi + \Theta(R) + \kappa_g(R)\ell(R) - 2H \int_{\partial\Sigma(R)} \langle T, \eta \rangle. \tag{4.11}
$$

Observe that  $\partial \Sigma(R)$  consists of curves of infinite length, but the last integral in [\(4.11\)](#page-20-2) is finite. If we parametrize one of these curves by  $\gamma : \mathbb{R} \to \mathbb{E}(\kappa, \tau)$  with unit speed and consider *J* to be the  $\frac{\pi}{2}$ -rotation in  $T\Sigma$ , we have that  $\langle T, \eta \rangle = \langle JT, \gamma' \rangle$ . Since {*T*, *JT*} is an orthogonal frame on  $\Sigma$  with  $|T|^2 = |JT|^2 = 1 - \nu^2$ , we can express  $1 - \nu^2 = \langle T, \gamma' \rangle^2 + \langle JT, \gamma' \rangle^2$ , and hence  $\gamma$  /  $\gamma$  ,  $\gamma$  /  $\gamma$  , and noise

<span id="page-20-3"></span>
$$
\left| \int_{\gamma} \langle T, \eta \rangle \right| \le \int_{\gamma} |\langle JT, \gamma' \rangle|
$$
  
=  $\int_{\gamma} \sqrt{1 - \nu^2 - \langle T, \gamma' \rangle^2} \le \int_{\gamma} \sqrt{1 - \langle T, \gamma' \rangle^2} = \text{length}(\pi \circ \gamma).$  (4.12)

The last equality in [\(4.12\)](#page-20-3) follows from the fact that  $\langle T, \gamma' \rangle = \langle E_3, \gamma' \rangle$  (note that  $\gamma'$  is tangent), and the fact that  $|(\pi \circ \gamma)'|^2 + \langle E_3, \gamma' \rangle^2 = 1$ , which follows from decomposing  $\gamma'$  in vertical and horizontal components. Hence, the absolute value of the last integral in  $(4.11)$  is at most  $\ell(R)$ .

Let us now take limits in [\(4.11\)](#page-20-2) when  $R \to \infty$  and distinguish two cases:

 $\Box$ 

- (a) If  $\Sigma$  is an ideal Scherk graph, then it is easy to prove that  $\lim_{R\to\infty} \ell(R) = 0$ , since two successive components of  $\partial \Omega$  approach exponentially in *R*. Moreover,  $\lim_{R\to\infty}$   $\kappa_\varrho(R) = -\kappa$ . On the other hand,  $\Theta(R) = 2n\pi$  for *R* sufficiently large (it suffices to take *R* such that  $\partial D_R(0)$  intersects transversally all the components of  $\partial\Omega$ ). Thus it follows from [\(4.11\)](#page-20-2) that ( $-\kappa - 4H^2$ ) area( $\Omega$ ) = 2(*n* − 1) $\pi$  and the statement follows.
- (b) Let us now suppose that  $\Sigma$  is not an ideal Scherk graph. Then we have two possible situations: either  $\Omega$  contains an arc at infinity (so it is clear that area( $\Omega$ ) =  $\infty$  and we are done), or  $\partial \Omega$  consists of infinitely many curves of geodesic curvature the statement follows.<br>Let us now suppose that Σ is not an ideal Scherk graph. Then we have two possible<br>situations: either Ω contains an arc at infinity (so it is clear that area(Ω) = ∞ and<br>we are done), or  $\partial\Omega$  cons  $(\kappa_g(R) - 2H)\ell(R) > 0$ , and hence [\(4.11\)](#page-20-2) implies  $(-\kappa - 4H^2)$  area $(\Omega(R)) > 0$  $-2\pi + \Theta(R)$  for all  $R > 0$ . It suffices to check that  $\lim_{R\to\infty} \Theta(R) = \infty$ , but this is straightforward since  $\partial \Omega(R)$  contains eventually an arbitrarily large number of vertexes, and the exterior angle at each of these vertexes converges to some value, bounded away from zero, only depending on *H*.

<span id="page-21-0"></span>**Corollary 1** *If*  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  *and*  $\Sigma^* \subset \mathbb{E}(\kappa^*, \tau^*)$  *are sister surfaces by the Daniel correspondence, and*  $\Sigma$  *is an ideal Scherk graph, then so is*  $\Sigma^*$ *. Moreover, they are graphs over ideal polygons with the same number of ideal vertexes.*

*Proof* As mentioned in the proof of Theorem [2,](#page-15-0) if  $\Sigma$  is an ideal Scherk graph, then it follows from [\[26\]](#page-32-22) that  $\Sigma^*$  is a complete graph over a domain  $\Omega^* = \pi(\Sigma^*) \subset \mathbb{H}^2(\kappa^*)$ bounded by curves of geodesic curvature  $\pm 2H^*$ , where  $H^*$  is the mean curvature of  $\Sigma^*$ . Since  $\Omega = \pi(\Sigma)$  has finite area by Proposition [3.](#page-19-0)(a), the angle function is preserved by the correspondence, and the integral of the angle is the area of the projection, we get that  $\Omega^*$  also has finite area, so it is a Scherk graph by Proposition [3.](#page-19-0)(b). Since  $\kappa + 4H^2 = \kappa^* + 4H^{*2}$ , the number of vertexes is also preserved.

<span id="page-21-1"></span>Finally, we can prove the desired area estimate.

**Theorem 4** *If*  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  *is an ideal Scherk graph, then*  $\Sigma$  *has at most quadratic intrinsic area growth. In particular, the underlying conformal structure of*  $\Sigma$  *is parabolic.*

*If*  $\Sigma$  *is minimal, then it also has quadratic extrinsic area growth.* 

*Proof* Let  $\Sigma^* \subset \mathbb{E}(\kappa + 4H^2, \sqrt{\tau^2 + H^2})$  be the sister minimal surface by Daniel correspondence, and let us prove that  $\Sigma^*$  has at most quadratic extrinsic area growth. This implies that  $\Sigma^*$ , and hence  $\Sigma$ , has at most quadratic intrinsic area growth, so we will be done. Moreover, the assertion about the conformal structure follows from a result by Cheng and Yau [\[6,](#page-31-0) Corollary 1].

By Corollary [1,](#page-21-0) the surface  $\Sigma^*$  is also an ideal Scherk graph over some domain  $\Omega^* \subset$  $\mathbb{H}^2(\kappa+4H^2)$  with 2*n* ideal vertexes for some  $n \in \mathbb{N}$ . In order to apply Lemma [5](#page-14-0) to  $\Sigma^*$ , will be done. Moreover, the assertion about the conformal structure follows from a<br>result by Cheng and Yau [6, Corollary 1].<br>By Corollary 1, the surface  $\Sigma^*$  is also an ideal Scherk graph over some domain  $\Omega^* \subset \mathbb{H}^$ resu<br>E<br> $\mathbb{H}^2$ (<br>we<br>so  $\int$  $\int_{\Omega^*(R)} (1+|Z|)$  is bounded independently on *R*. Moreover, for *R* sufficiently large,  $\partial \Omega^*(\hat{R})$  consist of 2*n* geodesic segments as well as some arcs contained in  $\partial D_R(0)$ . On the one hand, the length of these arcs in  $\partial D_R(0)$  can be easily shown to converge to zero when  $R \to \infty$ . On the other hand, each geodesic segment in  $\partial \Omega^*(R)$  has length at most 2*R*, the diameter of  $D_R(0)$ , because geodesics minimize length in  $\mathbb{H}^2(\kappa + 4H^2)$ . Hence length( $\partial \Omega^*(R)$ ) grows linearly. As  $\kappa + 4H^2 < 0$ , Lemma [3](#page-9-0) allows us to take  $h(R)$  as a linear function. By applying Lemma [5,](#page-14-0) we can guarantee that area( $\Sigma^* \cap B_R(0)$ ) grows at most quadratically.

# **4.4 Area of Catenoids and** *k***-noids in**  $\mathbb{H}^2(\kappa) \times \mathbb{R}$

As a last family of examples, we will study symmetric *k*-noids in  $\mathbb{H}^2(\kappa) \times \mathbb{R}$  with constant mean curvature *H* such that  $4H^2 + \kappa < 0$ . Minimal *k*-noids were constructed independently by Morabito and Rodríguez [\[28](#page-32-4)], and Pyo [\[31\]](#page-32-6), though in [\[28\]](#page-32-4) the non-symmetric case is also considered. We emphasize that horizontal catenoids are recovered as 2-noids, and were first obtained by Daniel and Hauswirth [\[12\]](#page-32-23) for  $H = \frac{1}{2}$ in  $\mathbb{H}^2 \times \mathbb{R}$ , by means of a representation formula for the Gauss map of minimal surfaces in Nil<sub>3</sub>( $\frac{1}{2}$ ). For the rest of values of the mean curvature, symmetric *k*-noids were obtained by Plehnert [\[30\]](#page-32-5). They are complete embedded surfaces in  $\mathbb{H}^2 \times \mathbb{R}$ with genus zero and *k* ends, which are asymptotic to vertical cylinders over curves of geodesic curvature −2*H*. We will prove that they have at most quadratic intrinsic area growth, illustrating how our techniques can be easily adapted to conjugate Plateau constructions.

The key idea in the construction is to realize that such a *k*-noid  $\Sigma_k \subset \mathbb{H}^2(\kappa) \times \mathbb{R}$  can be decomposed in 4*k* pieces which are congruent by ambient isometries. By Daniel correspondence, each piece is isometric to a minimal graph  $\Sigma^*$  in  $\mathbb{E}(\kappa + 4H^2, H)$ , which is obtained by solving an improper Plateau problem. The graph  $\Sigma^*$  projects onto an ideal geodesic triangle  $\Delta \subset \mathbb{H}^2(\kappa + 4H^2)$  which has a vertex at infinity (so two of its sides have infinite length), and the other two vertexes having angles  $\frac{\pi}{2}$  and  $\frac{\pi}{2}$  (see [30, Section 3, 31). The surface  $\Sigma^*$  is obtained by solving the Dirichlet problem  $\frac{\pi}{k}$  (see [\[30,](#page-32-5) Section 3.3]). The surface  $\Sigma^*$  is obtained by solving the Dirichlet problem with zero boundary values along the sides sharing the  $\frac{\pi}{k}$ -angle, and  $+\infty$  limit value along the third side of  $\Delta$ . Hence it is clear that area( $\Delta$ ) <  $\infty$  and length( $\partial \Omega(R)$ ) grows linearly, so Lemma [4](#page-12-0) yields that  $\Sigma^*$  has at most quadratic extrinsic (and intrinsic) area growth. Since sister surfaces are isometric and  $\Sigma_k$  consists of 4*k* pieces isometric to  $\Sigma^*$ , we get the following result.

**Theorem 5** *Given k*  $\geq$  2*, the k-noid*  $\Sigma_k \subset \mathbb{H}^2(\kappa) \times \mathbb{R}$  *with constant mean curvature H* such that  $4H^2 + \kappa < 0$ , constructed in [\[30\]](#page-32-5), has at most quadratic intrinsic area *growth. In particular,*  $\Sigma_k$  *is parabolic, so it is conformally equivalent to*  $\mathbb{S}^2$  *minus k points.*

We remark that minimal *k*-noids and saddle towers constructed by Morabito and Rodríguez [\[28](#page-32-4)] are known to have finite total Gaussian curvature. Since their Gaussian curvature is negative, a classical result of Hartman [\[19\]](#page-32-24) implies that such surfaces have quadratic intrinsic area growth. Our arguments can be used to provide an alternative proof of this fact for the surfaces in [\[28](#page-32-4)]. Nonetheless, the case  $H > 0$  is more involved in principle, since it may happen that the Gaussian curvature does not have a global sign.

## <span id="page-23-0"></span>**5 Cylindrical Area Growth**

Now we will introduce a different concept related to the area growth of a surface in  $\mathbb{E}(\kappa, \tau)$ . Given  $x \in \mathbb{M}^2(\kappa)$  and  $R > 0$ , the cylinder centered at  $x_0$  of radius R is the open subset  $C_R(x_0) = \pi^{-1}(D_R(x_0))$ . In analogy to Sect. [4,](#page-12-1) we define the cylindrical area growth of  $\Sigma$  as follows. Given a positive increasing continuous function  $f : \mathbb{R} \to \mathbb{R}^+$ , the surface  $\Sigma$  has *cylindrical area growth* of order at least  $f(R)$  (resp. at most  $f(R)$ ) if

$$
\liminf_{R\to\infty}\frac{\text{area}(\Sigma\cap C_R(x_0))}{f(R)}>0\qquad\left(\text{resp. }\limsup_{R\to\infty}\frac{\text{area}(\Sigma\cap C_R(x_0))}{f(R)}<\infty\right).
$$

When  $f(R)$  is a polynomial of degree  $k$ , we say that the cylindrical area growth is of order at least (resp. at most) *k*. This definition does not depend on the choice of  $x_0 \in M^2(\kappa)$  either, and it is invariant under ambient isometries of  $\mathbb{E}(\kappa, \tau)$  (note that any isometry *F* of  $\mathbb{E}(\kappa, \tau)$  satisfies  $F(C_R(\pi(p))) = C_R(\pi(F(p)))$  for all  $p \in \mathbb{E}(\kappa, \tau)$ and  $R > 0$ ). The cylindrical area is appropriate to study the area growth of entire graphs in  $\mathbb{E}(\kappa, \tau)$ , as will shall see below.

In Example 4.1, it is proved that the horizontal umbrella  $\Sigma_0$  given by  $z = 0$  has cubic cylindrical area growth in Nil<sub>3</sub>(τ), whereas it grows as  $R \mapsto e^{R\sqrt{-\kappa}}$  in the case  $\kappa$  < 0. This follows from the fact that  $\Sigma_0 \cap C_R(0) = \Sigma_0 \cap B_R(0)$ , so both cylindrical and extrinsic area growths coincide over  $\Sigma$ . Next result proves that the graphical surfaces which minimize area with free boundary over vertical cylinders are precisely horizontal umbrellas.

<span id="page-23-1"></span>**Proposition 4** *Let*  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  *be the graph of a function*  $u : D_R(x_0) \subset \mathbb{M}^2(\kappa) \to \mathbb{R}$ *which extends continuously to*  $\overline{D}_R(x_0)$ *, and let*  $\Sigma_0$  *be the horizontal umbrella centered at p*<sup>0</sup> *such that*  $\pi$  (*p*<sup>0</sup>) = *x*<sup>0</sup>*. Then* 

$$
\text{area}(\Sigma) \ge \text{area}(\Sigma_0 \cap C_R(x_0)).
$$

*Equality holds if and only if*  $\Sigma = \Sigma_0 \cap C_R(x_0)$ *, up to a vertical translation.* 

*Proof* By applying an appropriate isometry of  $\mathbb{E}(\kappa, \tau)$  and choosing  $\Sigma_0$  up to a vertical translation, we may assume that  $x_0 = 0$ ,  $u > 0$  in  $D_R(0)$ , and also that  $\Sigma_0$  is given by the equation  $z = 0$ . The upward-pointing unit normal vector field to  $\Sigma_0$  is

$$
N_0 = \frac{-\tau y E_1 + \tau x E_2 + E_3}{\sqrt{1 + \tau^2 (x^2 + y^2)}}.
$$

This expression extends  $N_0$  to a global unit vector field in  $\mathbb{E}(\kappa, \tau)$  with zero divergence (it is the unit normal to a foliation of  $\mathbb{E}(\kappa, \tau)$  by minimal surfaces). Now, let  $U \subset$ E(κ, τ) be the bounded region with boundary  $\Sigma_0 \cap C_R(0)$ ,  $\Sigma$ , and the cylinder  $\partial C_R(0)$ , and apply the divergence theorem to  $N_0$  in U. Since  $N_0$  is orthogonal to  $\Sigma_0$  and tangent to  $\partial C_R(0)$ , we get

$$
\int_{\Sigma} \langle N_0, N \rangle = \text{area} \left( \Sigma_0 \cap C_R(0) \right),
$$

where *N* denotes the upward-pointing unit normal vector field to  $\Sigma$ . Since  $\langle N_0, N \rangle \leq$ Height and Area Estimates for Constant Mean Curvature Graphs 3465<br>
where *N* denotes the upward-pointing unit normal vector field to Σ. Since  $\langle N_0, N \rangle$  ≤<br>
1, Cauchy–Schwarz inequality yields that area(Σ) ≥  $\int_{\Sigma} \langle N_$ If equality holds, then  $\langle N_0, N \rangle = 1$ , so  $N = N_0$  and  $\Sigma$  differs from  $\Sigma_0$  by a vertical translation. translation.

<span id="page-24-0"></span>Combining Proposition [4](#page-23-1) with the explicit cylindrical area growth of horizontal umbrellas, we get a global estimate for entire graphs.

**Corollary 2** *Let*  $\Sigma \subset \mathbb{E}(\kappa, \tau)$  *be an entire graph.* 

(a) *If*  $\mathbb{E}(\kappa, \tau) = \text{Nil}_3(\tau)$ *, then*  $\Sigma$  *has at least cubic cylindrical area growth.* (b) *If*  $\kappa$  < 0, then  $\Sigma$  has at least cylindrical area growth of order  $R \mapsto e^{\sqrt{-\kappa}R}$ .

We observe that the cylindrical area growth and the extrinsic area growth of entire minimal graphs in  $Nil_3(\tau)$  admit estimates, from below and from above, respectively, of order 3. If we could guarantee that they coincide for some entire minimal graph  $\Sigma$ , then we would be able to prove that  $\Sigma$  has exactly cubic extrinsic area growth. Next result shows that this is the case when we assume a restriction on the growth of the height of the entire graph.

<span id="page-24-1"></span>**Corollary 3** *Let*  $\Sigma \subset \text{Nil}_3(\tau)$  *be an entire minimal graph given by a function*  $u \in$  $\mathcal{C}^{\infty}(\mathbb{R}^2)$ *, and assume that there exist constants*  $M > 0$  *and*  $\beta \geq 1$  *such that*  $|u| \leq$  $M(1 + r^2)^{\beta}$ , being r the distance to the origin in  $\mathbb{R}^2$ . Then the extrinsic area growth *of*  $\Sigma$  has order at least  $\frac{3}{\beta}$ .

*In particular, if*  $\beta = 1$ *, then*  $\Sigma$  *has exactly cubic extrinsic area growth.* 

*Proof* Let us consider the distance  $\delta_{\alpha}$  defined by [\(2.7\)](#page-7-1) for some  $\alpha > \sqrt{M}$ . The equivalence between *d* and  $\delta_{\alpha}$  established in Lemma [2](#page-7-2) implies that the growth of the function  $R \mapsto \text{area}(\Sigma \cap B_R(0))$  is asymptotically the same as the growth of  $R \mapsto \text{area}(\Sigma \cap (D_R(0) \times ]-\alpha^2 R^2, \alpha^2 R^2])$  (we recall that  $D_R(0) \times ]-\alpha^2 R^2, \alpha^2 R^2[$ is the ball of radius *R* for  $\delta_{\alpha}$ ). Since  $\beta \geq 1$ , we get that

area
$$
(\Sigma \cap (D_R(0) \times ] - \alpha^2 R^2, \alpha^2 R^2])
$$
 ≥ area $(\Sigma \cap (D_{R^{1/\beta}}(0) \times ] - \alpha^2 R^2, \alpha^2 R^2]).$ 

Now we observe that, by hypothesis,  $|u| \leq M(1 + R^{2/\beta})^{\beta}$  on  $D_{R^{1/\beta}}(0)$ , and  $M(1+R^{2/\beta})^{\beta} \leq \alpha^2 R^2$  for *R* sufficiently large. This implies that  $\Sigma \cap (D_{R^{1/\beta}}(0) \times ] \alpha^2 R^2$ ,  $\alpha^2 R^2$ [) =  $\Sigma \cap C_{R^{1/\beta}}(0)$  for *R* sufficiently large, so area( $\Sigma \cap C_{R^{1/\beta}}(0)$ ) grows at least as the function  $R \mapsto (R^{1/\beta})^3 = R^{3/\beta}$  by Corollary [2,](#page-24-0) and we get the desired estimate.

If  $β = 1$ , this estimate implies that  $Σ$  has at least cubic extrinsic area growth, and e conclude by Theorem 1 that the extrinsic area growth is exactly cubic.  $□$ we conclude by Theorem [1](#page-14-1) that the extrinsic area growth is exactly cubic.

<span id="page-24-2"></span>Corollary [3](#page-24-1) gives a relation between the area growth and height of the graph. In this direction we can prove the following gradient, height, and area estimates.

**Theorem 6** *Let*  $\Sigma \subset \text{Nil}_3(\tau)$  *be an entire minimal graph, given by a function u* ∈ Corollary 3 gives a relatio<br>this direction we can prove th<br>**Theorem 6** Let  $\Sigma \subset \text{Nil}_3(\tau)$ <br> $\mathcal{C}^{\infty}(\mathbb{R}^2)$ , and consider  $r = \sqrt{\frac{\sigma^2}{2\pi}}$  $\mathcal{C}^{\infty}(\mathbb{R}^2)$ , and consider  $r = \sqrt{x^2 + y^2}$ . Then

(a) *there exists a constant*  $B > 0$  *such that*  $|Gu| \leq B(1+r^2)$ *,* 

(b) *there exists a constant C > 0 such that*  $|u| < C(1 + r^2)^{3/2}$ .

*In particular, the extrinsic area growth of*  $\Sigma$  *is at least quadratic, and at most cubic, while the cylindrical area growth of*  $\Sigma$  *is at least cubic, and at most quartic.* 

The proof of Theorem [6](#page-24-2) will rely on the following gradient estimate for entire spacelike graphs in Lorentz–Minkowski 3-space  $\mathbb{L}^3$  with constant positive mean curvature, via the Calabi-type correspondence by Lee [\[22](#page-32-13), Corollary 2].

<span id="page-25-0"></span>**Lemma 6** *Let*  $\Sigma \subset \mathbb{L}^3$  *be an entire spacelike graph with constant mean curvature*  $H > 0$ , given by a global parametrization  $(x, y) \mapsto (x, y, v(x, y))$  for a certain *function*  $v \in C^{\infty}(\mathbb{R}^2)$ *. Then there exists a constant*  $A > 0$  *such that* 

$$
|\nabla v|^2 \le 1 - \frac{A}{(1+r^2)^2},
$$

*being r the distance to the origin in*  $\mathbb{R}^2$  *and*  $\nabla v$  *the usual gradient of* v *in*  $\mathbb{R}^2$ *.* 

*Proof* First we can assume that  $\Sigma$  is not a ruled surface, and apply a translation in  $\mathbb{L}^3$ such that the origin belongs to  $\Sigma$ , and no straight line through the origin is contained in  $\Sigma$ . Notice that this normalization does not affect the estimate we are looking for. Note also that, if  $\Sigma$  were a ruled surface, then the classification of ruled constant mean curvature surfaces in  $\mathbb{L}^3$  given in [\[13\]](#page-32-25) implies that  $\Sigma$  is either minimal, or a circular cylinder, or a hyperbolic cylinder or an isoparametric surface with null curves. Since we are dealing with  $H > 0$  and the surface is spacelike, we conclude that  $\Sigma$  is a hyperbolic cylinder, i.e., up to an isometry of  $\mathbb{L}^3$ , we can suppose that  $v(x, y) = \frac{1}{2H}$  $\sqrt{1 + 4H^2x^2}$ so  $|\nabla v|^2 = 1 - (1 + 4H^2x^2)^{-1}$  and the statement follows. Moreover, it follows from the work of Treibergs [\[33\]](#page-32-12) that  $\Sigma$  is the boundary a convex set in  $\mathbb{L}^3$  (see also [\[1\]](#page-31-7)) so, up to a mirror reflection with respect to  $z = 0$ , we may also assume that v is a convex function.

Following the arguments in [\[7\]](#page-31-2) (see also [\[33\]](#page-32-12)), the surface  $\Sigma$  is complete with respect to its induced Riemannian metric, and the Lorentzian support function  $\Phi : \mathbb{L}^3 \to \mathbb{R}$ given by  $\Phi(x, y, z) = x^2 + y^2 - z^2$  is a proper function on  $\Sigma$  satisfying the gradient estimate  $|\nabla^{\Sigma} \Phi|^2 \le C(1+\Phi)^2$ , where the gradient is computed on  $\Sigma$ . We will develop this inequality to get our result.

By taking into account that  $\nabla^{\Sigma} \Phi = \overline{\nabla} \Phi + \langle \overline{\nabla} \Phi, N \rangle N$ , where  $\overline{\nabla} \Phi = 2x \partial_x +$  $2y\partial_y + 2z\partial_z$  denotes the gradient of  $\Phi$  in the ambient space  $\mathbb{L}^3$ , and  $N = (1 - v_x^2 - 1)$  $v_y^2$ )<sup>-1/2</sup>( $v_x \partial_x + v_y \partial_y + \partial_z$ ) is a unit normal to  $\Sigma$ , we reach the following expression:

<span id="page-25-1"></span>
$$
|\nabla^{\Sigma}\Phi|^{2} = |\overline{\nabla}\Phi|^{2} + \langle \overline{\nabla}\Phi, N \rangle^{2} \ge \langle \overline{\nabla}\Phi, N \rangle^{2} = \frac{4(v - xv_{x} - yv_{y})^{2}}{1 - v_{x}^{2} - v_{y}^{2}}.
$$
 (5.1)

Next we estimate the numerator in the RHS of  $(5.1)$ , for what we observe that the intersection of the *z*-axis and the tangent line to  $\Sigma$  at  $(x, y, v)$  in the direction of the tangent vector  $(x, y, xv_x + yv_y)$  is precisely the point  $(0, 0, v - xv_x - yv_y)$ . Using this and the fact that  $\Sigma$  is convex and does not contain a line through the origin, we get that

<span id="page-26-1"></span>at  

$$
w(x, y) \le w \left( \frac{x}{\sqrt{x^2 + y^2}}, \frac{y}{\sqrt{x^2 + y^2}} \right) < 0, \quad \text{if } x^2 + y^2 \ge 1,
$$
 (5.2)

where  $w = v - xv_x - yv_y$ . Since w is continuous and the unit circle is compact, equation [\(5.2\)](#page-26-1) implies that there exists a constant *M* > 0 such that  $w^2 = (v - xv^2 - v^2)$  $yv_y$ <sup>2</sup> ≥ *M* provided that  $x^2 + y^2 \ge 1$ . Hence the gradient estimate for the support function by Cheng and Yau (see[\[7,](#page-31-2) Theorem 1] and [\[33](#page-32-12), Proposition 2]) yields

$$
\frac{4M^2}{1-|\nabla v|^2} \le |\nabla^{\Sigma} \Phi|^2 \le C(1+\Phi)^2 = C(1+x^2+y^2-v^2)^2 \le C(1+x^2+y^2)^2.
$$

Equivalently,  $|\nabla v|^2 \leq 1 - \frac{4M^2}{C} (1+r^2)^{-2}$ . Although this inequality is valid for  $r \geq 1$ , it trivially extends for all  $r \ge 0$  by possibly changing the constant *M*, so the statement follows. follows.  $\Box$ 

*Proof of Theorem [6](#page-24-2)* By taking into account the Calabi-type correspondence in [\[22](#page-32-13)], we can associate to  $\Sigma$  a function  $v \in C^{\infty}(\mathbb{R}^2)$  such that  $(x, y) \mapsto (x, y, v(x, y))$ defines an entire spacelike graph in  $\mathbb{L}^3$  with constant mean curvature  $\tau$ , and v satisfies the relation  $(1 - |\nabla v|^2)(1 + |Gu|^2) = 1$ . From Lemma [6](#page-25-0) we get that there exists *A* > 0 such that  $1 + |Gu|^2 = (1 - |\nabla v|^2)^{-1} \le A^{-1}(1 + r^2)^2 < 1 + A^{-1}(1 + r^2)^2$ , and we get item (a) by just taking  $B = A^{-1/2}$ .

Applying the Minkowski inequality to the expression  $\nabla u = Gu + Z$ , where  $Z =$  $\tau y \partial_x - \tau x \partial_y$ , we get that  $|\nabla u| \le |Gu| + |Z| \le B(1+r^2) + \tau r$ . Hence  $|\nabla u|$  grows at most quadratically in *r*, from where it is easy to see that there exists a constant  $C > 0$ satisfying item (b).

The assertion about extrinsic area growth in the statement is a consequence of Corollary [3](#page-24-1) ( $\beta = \frac{3}{2}$ ) and Theorem [1.](#page-14-1) Finally, the assertion about cylindrical area growth follows from Corollary [2](#page-24-0) and a simple integration in polar coordinates using item (b):

area
$$
(\Sigma \cap C_R(0)) = \int_{D_R(0)} \sqrt{1+|Gu|^2} \leq 2\pi \int_0^R r \sqrt{1+B^2(1+r^2)^2} dr \leq DR^4
$$
,

for *r* big enough and some constant  $D > 0$ .

<span id="page-26-0"></span>As mentioned above, our estimates of the gradient *Gu* give estimates on the angle function  $\nu = \langle N, E_3 \rangle = (1 + |Gu|^2)^{-1/2}$ , where *N* is a unit normal to the surface. This allows us to improve a result by Espinar [\[15](#page-32-15), Corollary 5.2] on complete stable surfaces with constant mean curvature. Here, stability is understood in a strong sense (i.e., a constant mean curvature *H* surface is said stable if it is a stable critical point of the functional  $\mathcal{J} = \text{area} - 2H$  vol, for all normal variations of  $\Sigma$  with compact support, see also [\[25\]](#page-32-2)).

**Corollary 4** *Let*  $\Sigma$  *be an orientable complete stable surface with constant mean curvature H immersed in*  $\mathbb{E}(\kappa, \tau)$ *, with*  $\tau \neq 0$  *and*  $4H^2 + \kappa > 0$ *. If*  $v^2 \in L^1(\Sigma)$ *, then*  $\kappa + 4H^2 = 0$  *and*  $\Sigma$  *is a vertical cylinder over a complete curve in*  $\mathbb{M}^2(\kappa)$  *with constant geodesic curvature* 2*H.*

*Proof* Under these hypothesis, [\[15,](#page-32-15) Corollary 5.2] implies that  $\kappa < 0$ ,  $\Sigma$  has critical mean curvature, and it is either a vertical cylinder or an entire graph. By the Daniel correspondence, we will suppose that  $\mathbb{E}(\kappa, \tau) = \text{Nil}_3(\tau)$  without loss of generality (stability is also preserved by the correspondence, see [\[10,](#page-32-0) Proposition 5.12]). Nonetheless, if Σ is an entire minimal graph in Nil<sub>3</sub>(τ) given by a function  $u \in C^{\infty}(\mathbb{R}^2)$ , then<br>  $\int u^2 \int u \int_0^1 u^2 \int_0^1 u^{2\pi} \int_0^{\infty} \frac{2\pi r dr}{r^{2\pi}}$  (5.3)

<span id="page-27-1"></span>
$$
\int_{\Sigma} v^2 = \int_{\mathbb{R}^2} v = \int_{\mathbb{R}^2} \frac{1}{\sqrt{1 + |Gu|^2}} \ge \int_0^\infty \frac{2\pi r \, dr}{\sqrt{1 + B^2 (1 + r^2)^2}} = \infty. \tag{5.3}
$$

In the first equality in [\(5.3\)](#page-27-1), we have transformed the integral over  $\Sigma$  into an integral over  $\mathbb{R}^2$  with the flat metric using the area element  $W = v^{-1}$ , and then the fact that  $\nu = (1 + |Gu|^2)^{-1/2}$ . Notice that we used Theorem [6](#page-24-2) and polar coordinates to get to the last integral. Hence  $\Sigma$  must be a vertical plane. As vertical surfaces are preserved by the correspondence, we are done.

Estimates on the growth of the height of minimal graphs seem to be quite useful in the comprehension of the area growth. As far as we know, there is no example whose height grows more than quadratically. In fact, we conjecture that the height of an entire minimal graph grows at most quadratically, for what it suffices to prove that the estimate in Lemma [6](#page-25-0) can be improved to  $|\nabla v| \leq 1 - A(1 + r^2)^{-1}$ .

In the next section, we will give a result in the opposite direction, by proving that the growth of the height of a minimal graph in  $Nil3(\tau)$  with zero boundary values over an unbounded domain is at least linear.

### **6 Height Growth Estimates à la Collin–Krust**

This section is devoted to study the behavior at infinity of the height of a minimal graph  $\Sigma$  in Nil<sub>3</sub>(τ) with zero boundary values over an unbounded domain  $\Omega \subset \mathbb{R}^2$ . The very first results on this subject are due to Collin and Krust [\[8](#page-32-16)] for minimal surfaces in  $\mathbb{R}^3$ . Some generalizations to the  $\mathbb{E}(\kappa, \tau)$ -setting have been obtained by Leandro and Rosenberg [\[21](#page-32-17), Theorem 2]. We shall give a sharper result by comparing  $\Sigma$  with the zero section, inspired by the linear height growth of catenoids (see Sect. [3\)](#page-10-0).

**Theorem 7** *Let*  $\Omega \subset \mathbb{R}^2$  *be an unbounded domain and let*  $u \in C^{\infty}(\Omega)$  *be a nonconstant function whose graph over*  $\Omega$  *is minimal with respect to the* Nil<sub>3</sub>( $\tau$ )*-metric, such that u extends continuously as zero to*  $\partial \Omega$ *. If we denote*  $M(r) = \sup_{\Omega \cap D_r(0)} |u|$ *, then*

<span id="page-27-0"></span>
$$
\liminf_{r \to \infty} \frac{M(r)}{r} > 0.
$$

*If additionally there exists*  $C > 0$  *such that* length( $\Omega \cap \partial D_r(0)$ ) < *C for all r* > 0*, then*

$$
\liminf_{r \to \infty} \frac{M(r)}{r^2} > 0.
$$

In order to prove Theorem [7,](#page-27-0) we need the following result that is proved in [\[21](#page-32-17)]. We will follow the notation of Sect. [3,](#page-10-0) and also denote by  $N_u$  the upward-pointing normal vector field to the graph given by the function *u*.

<span id="page-28-0"></span>**Lemma 7** *For any*  $u, v \in C^1(M)$ ,

$$
\left\langle \frac{Gu}{W_u} - \frac{Gv}{W_v}, Gu - Gv \right\rangle = \frac{1}{2}(W_u + W_v) |N_u - N_v|^2 \ge 0.
$$

*Equality holds at some point*  $p \in M$  *if and only if*  $\nabla u(p) = \nabla v(p)$ *.* 

*Proof of Theorem* [7](#page-27-0) We will assume that  $A = \{x \in \Omega : u(x) > 0\}$  is not bounded and connected (we can restrict to a connected component if necessary and changing the sign of *u* does not affect the arguments below). Given  $r > 0$ , we define the sets *Proof of Theorem* 7 We will assume that  $A = \{x \in \Omega : u(x) > 0\}$  is not bounded and connected (we can restrict to a connected component if necessary and changing the sign of *u* does not affect the arguments below). Given  $r$ being  $\rho$  the distance to the origin in  $\mathbb{R}^2$ . Moreover, the fact that *u* is positive on *A* and connected (we can restrict to a connected component if necessary<br>the sign of u does not affect the arguments below). Given  $r > 0$ , we<br> $A(r) = A \cap D_r(0)$  and  $\Lambda(r) = A \cap \partial D_r(0) \subset \partial A(r)$ . Notice that  $W_0$ <br>being  $\rho$  the dista  $L_r$ ) =  $A \cap D_r(0)$  and  $\Lambda$ <br>
ing  $\rho$  the distance to plies that there exists  $L$ <br>
Let us define  $\eta(r) = \Lambda$ 

 $\int_{\Delta(r)} \left| \frac{Gu}{W_{\mu}} - \frac{Z}{W_0} \right|$ , for all  $r \ge r_0$ . Using Lemma [7,](#page-28-0) the divergence Let us define  $\eta(r) = \int_{\Delta(r)} |\overline{w_u} - \overline{w_0}|$ , for an  $r \ge r_0$ . Osing Lemma 7, the divergence<br>theorem, the conditions  $u = 0$  along  $\partial \Omega$  and  $H(u) = 0$  in  $\Omega$ , and the fact that  $|N_u - N_0| \ge |\frac{Gu}{W_u} - \frac{Z}{W_0}|$ , we can estimate for all  $r \ge r_0$  $\frac{1}{1}$ or an r ≥ r<sub>0</sub>. Osing Lemit<br>
Ω and  $H(u) = 0$  in Ω<br>
e for all  $r \ge r_0$ <br>  $\int u \left( \frac{Gu}{W} - \frac{Z}{W} , x \right)$  $W_0$ .

$$
M(r)\eta(r) \ge \int_{\partial A(r)} u \left| \frac{Gu}{W_u} - \frac{Z}{W_0} \right| \ge \int_{\partial A(r)} u \left\langle \frac{Gu}{W_u} - \frac{Z}{W_0}, \chi \right\rangle
$$
  
= 
$$
\int_{A(r)} \operatorname{div} \left( u \left( \frac{Gu}{W_u} - \frac{Z}{W_0} \right) \right)
$$
  
= 
$$
\int_{A(r)} \left\langle Gu - Z, \frac{Gu}{W_u} - \frac{Z}{W_0} \right\rangle = \int_{A(r)} \frac{W_u + W_0}{2} \left| \frac{Gu}{W_u} - \frac{Z}{W_0} \right|^2,
$$

where  $\chi$  denotes a unit conormal vector field to  $A(r)$  along its boundary. We decompose the last integral in two integrals, one over  $A(r_0)$ , where we estimate  $W_u \ge 1$  and  $W_0 \ge$ 1, and another one over  $A(r) \setminus A(r_0)$ , where we estimate  $W_u \ge 1$  and  $W_0 \ge \tau \rho - 1$ . We obtain  $\overline{a}$  $\frac{1}{1}$  $\frac{1}{1}$ 

$$
M(r)\eta(r) \geq \int_{A(r_0)} \left| \frac{Gu}{W_u} - \frac{Z}{W_0} \right|^2 + \int_{A(r)\backslash A(r_0)} \frac{\tau \rho}{2} \left| \frac{Gu}{W_u} - \frac{Z}{W_0} \right|^2
$$
  
=  $\mu + \frac{\tau}{2} \int_{r_0}^r s \left( \int_{\Lambda(s)} \left| \frac{Gu}{W_u} - \frac{Z}{W_0} \right|^2 \right) ds \geq \mu + \frac{\tau}{2} \int_{r_0}^r \frac{s \eta(s)^2 ds}{\text{length}(\Lambda(s))}.$  (6.1)

<span id="page-28-1"></span> $\mathcal{D}$  Springer

As length( $\Lambda_s$ )  $\leq 2\pi s$ , we conclude that there exists  $m > 0$  such that, for all  $r \geq r_0$ ,

İ

$$
M(r)\eta(r) \geq \mu + m \int_{r_0}^r \eta(s)^2 \, \mathrm{d}s.
$$

The function  $r \mapsto M(r)$  is non-decreasing by definition. Given  $r_1 > r_0$ , let us write  $a = M(r_1)$  so  $a \eta(r) \geq M(r) \eta(r)$  for all  $r_0 < r < r_1$ . Hence  $\eta$  satisfies the integral inequality  $\eta(r) \geq \frac{\mu}{a} + \frac{m}{a}$  $\int_{r_0}^r \eta(s)^2 ds$ . Let us define the function  $\zeta : [r_0, L) \to \mathbb{R}$  as

$$
\zeta(r) = \frac{a\mu}{2a^2 - m\mu(r - r_0)}, \qquad L = r_0 + \frac{2a^2}{m\mu},
$$

and observe that  $\zeta(r) = \frac{\mu}{2a} + \frac{m}{a}$  $\int_{r_0}^r \zeta(s)^2 ds$ , so a simple comparison yields  $\eta \ge \zeta$ for all  $r_0 \le r \le L$ . Since  $\eta$  is well defined for all  $r \ge r_0$  and  $\zeta$  diverges when  $r \to L$ , we conclude that  $r_1 \leq L = r_0 + \frac{2a^2}{m\mu}$ . Equivalently,

<span id="page-29-1"></span>
$$
M(r_1) = a \ge \sqrt{\frac{m\mu}{2}(r_1 - r_0)}, \quad \text{for all } r_1 > r_0.
$$
 (6.2)

We claim that the function  $\eta$  is bounded away from zero at infinity. Note that, for any  $r > r_0$ , e function *η* is bounded<br>  $\int \left( \frac{Gu}{W} - \frac{Z}{W}, \chi \right)$ 

<span id="page-29-0"></span>
$$
\eta(r) \ge \left| \int_{\Lambda(r)} \left\langle \frac{Gu}{W_u} - \frac{Z}{W_0}, \chi \right\rangle \right|
$$
  
= 
$$
\left| \int_{\partial A(r)} \left\langle \frac{Gu}{W_u} - \frac{Z}{W_0}, \chi \right\rangle - \int_{\partial A(r)\setminus \Lambda(r)} \left\langle \frac{Gu}{W_u} - \frac{Z}{W_0}, \chi \right\rangle \right|
$$
 (6.3)

The first integral of the RHS of [\(6.3\)](#page-29-0) vanishes by Stokes Theorem. As for the second  $\left| \int_{\partial A(r)} \left\langle \overline{w_u} - \overline{w_0}, x \right\rangle \right| - \int_{\partial A(r) \setminus \Delta(r)} \left\langle \overline{w_u} - \overline{w_0}, x \right\rangle$  (6.3)<br>
The first integral of the RHS of (6.3) vanishes by Stokes Theorem. As for the second<br>
integral, we proceed as follows. We prove th any arc  $\Gamma$  contained in ∂A (different from one point). Notice that,  $Gu - Z = \nabla u \neq 0$ along ∂ *A*, except at isolated points, because *u* ≥ 0 in *A* by assumption. In particular, *Gu* − *Z* is oriented toward *A*, where it is not zero. Hence *Gu* − *Z* can be used to orient ∂A. Then, if  $\langle \frac{Gu}{W_u} - \frac{Z}{W_0}, Gu - Z \rangle$  has constant sign along ∂A, the same holds for  $\langle \frac{Gu}{W_u} - \frac{Z}{W_0}, \chi \rangle$ . By Lemma [7,](#page-28-0)

$$
\left\langle \frac{Gu}{W_u} - \frac{Z}{W_0}, Gu - Z \right\rangle = \frac{1}{2}(W + W_0)|N_u - N_0|^2
$$

is positive at any point where  $Gu - Z$  is not zero. Then there exists a constant *c* such  $\left\langle \frac{w}{W_u} - \frac{w}{W_0}, Gu - Z \right\rangle = \frac{1}{2}(W + W_0)|N_u - Y|$ <br>
is positive at any point where  $Gu - Z$  is not zero. Then there exert<br>
that  $\eta(r) \ge \int_{\Gamma} \left\langle \frac{Gu}{W_u} - \frac{Z}{W_0}, \chi \right\rangle \ge c > 0$ , which proves the claim.

Ι

<span id="page-30-0"></span>For any  $r_2 > r_0$  we deduce that  $r_0$  we deduc

Ι

For any 
$$
r_2 > r_0
$$
 we deduce that  
\n
$$
\mu(r_2) := \int_{A(r_2)} \left| \frac{Gu}{W_u} - \frac{Z}{W_0} \right|^2 \ge \frac{\tau}{2} \int_{r_0}^{r_2} \frac{s \eta(s)^2}{\text{length}(\Lambda(s))} ds \ge \frac{\tau c^2}{2} \int_{r_0}^{r_2} \frac{s}{\text{length}(\Lambda(s))} ds
$$
\n
$$
\ge \frac{\tau c^2}{4\pi} (r_2 - r_0), \tag{6.4}
$$

where the first inequality follows from  $(6.1)$ , the second one from the claim above, and the third one from the fact that length( $\Lambda(s)$ )  $\leq 2\pi s$ . Applying [\(6.2\)](#page-29-1) to  $r_2 = \frac{r_0 + r_1}{2} < r_1$ instead of  $r_0$  we get

$$
M(r_1) \ge \sqrt{\frac{m\mu(r_2)}{2}(r_1 - r_2)} \ge \frac{c\sqrt{m\tau}}{2\sqrt{\pi}}\sqrt{(r_1 - r_2)(r_2 - r_0)} = \frac{c\sqrt{m\tau}}{4\sqrt{\pi}}(r_1 - r_0)
$$
\n(6.5)

for all  $r_1 > r_0$ , which gives the desired estimate.

Assume now that there exists  $C > 0$  such that length( $\Lambda(r) \leq C$  for all  $r > 0$ . Proceeding as above, inequality [\(6.1\)](#page-28-1) now reads

$$
M(r)\eta(r) \ge \mu + n \int_{r_0}^r s\eta(s)^2 ds
$$

for some constant  $n > 0$ . Let us take  $r_3 > r_0$  and write  $b = M(r_3)$  so  $b \eta(r) \ge$ *M*(*r*) $\eta$ (*r*) for all  $r_0 < r < r_3$ . Hence,  $\eta$  satisfies the integral inequality  $\eta(r) \ge$  $\frac{\mu}{b} + \frac{n}{b}$  $\int_{r_0}^r s\eta(s)^2 ds$ . Let also be

$$
\xi : \left[ r_0, \sqrt{\frac{4b^2}{n\mu} + r_0^2} \right[ \to \mathbb{R}, \quad \xi(r) = \frac{2b\mu}{4b^2 - n\mu(r^2 - r_0^2)},
$$

which satisfies  $\xi(r) = \frac{\mu}{2b} + \frac{n}{b}$  $\int_{r_0}^r s \xi(s)^2 ds$ , so  $\eta \ge \xi$  for all  $r_0 \le r \le (\frac{4b^2}{n\mu} + r_0)^{1/2}$ by comparison. Since  $\xi$  diverges when  $r \to (\frac{4b^2}{n\mu} + r_0)^{1/2}$ , we conclude that  $(\frac{4b^2}{n\mu} + r_0)^{1/2}$  $r_0$ <sup>1/2</sup> >  $r_3$ . Equivalently,  $\frac{1}{2}$ 

<span id="page-30-1"></span>
$$
M(r_3) = b \ge \sqrt{\frac{n\mu}{4}(r_3^2 - r_0^2)} \quad \text{for all } r_3 > r_0. \tag{6.6}
$$

In this case, instead of inequality [\(6.4\)](#page-30-0), we have  $\mu(r_4) \ge \frac{\tau c^2}{4C} (r_4^2 - r_0^2)$  for all  $r_4 > r_0$ . Taking  $r_4 = (\frac{r_3^2 + r_0^2}{2})^{1/2}$  rather than  $r_0$  in [\(6.6\)](#page-30-1), it becomes

$$
M(r_3) \ge \frac{c\sqrt{\tau n}}{2\sqrt{C}}\sqrt{(r_3^2 - r_4^2)(r_4^2 - r_0^2)} = \frac{c\sqrt{\tau n}}{4\sqrt{C}}(r_3^2 - r_0^2)
$$
(6.7)

for all  $r_3 > r_0$ , which finishes the proof.

j.

*Remark 5* Theorem [7](#page-27-0) holds in a more general case. Our hypothesis that the function *u* has zero boundary value enables us to compare *u* with the zero section, and the key property for our improvement is that  $W_0$  is a radial function that grows linearly, which gives a sharper result than simply taking  $W_0 > 1$ . In fact, this technique can be adapted to Killing submersions having a rotational symmetry.

We conjecture that, given  $u, v \in C^{\infty}(\Omega)$  spanning minimal graphs and such that  $u = v$  along  $\partial \Omega$ , the same result as in Theorem [7](#page-27-0) holds for  $M(r) = \sup_{\Omega \cap D_r(0)} |u - v|$ , provided that  $u - v$  is not constant. Nevertheless, it does not seem that the proof of Theorem [7](#page-27-0) or the arguments in [\[21](#page-32-17)] can be easily adapted to this more general situation.

<span id="page-31-3"></span>As a consequence of Theorem [7,](#page-27-0) we generalize the fact that a bounded minimal graph with zero boundary values is unique (see [\[21](#page-32-17)]).

# **Corollary 5** *Let*  $\Omega \subset \mathbb{R}^2$  *be an unbounded domain.*

- (a) *If*  $\partial \Omega \neq \emptyset$ , then the only minimal graph over  $\Omega$  in Nil<sub>3</sub>(τ) with zero boundary *values and sublinear growth (i.e., such that*  $\limsup_{r\to\infty} \frac{M(r)}{r} = 0$ ) is given by the *constant zero*  $u \equiv 0$ *.*
- (b) *In the case*  $\partial \Omega = \emptyset$ *, it follows that the only entire minimal graphs in* Nil<sub>3</sub>( $\tau$ ) *with sublinear growth are the constant ones.*

Let us make a final remark about the height of a minimal graph in  $Nil_3(\tau)$ . In Theorem [6,](#page-24-2) we prove that the height of an entire minimal graph in Nil<sub>3</sub>( $\tau$ ) grows at most cubically, and Theorem [7](#page-27-0) shows that it is at least linear (unless the graph is constant). This result is sharp, as half of a catenoid or planes of the form  $u(x, y) = ax + by$ show. Other non-trivial examples of graphs over a sector with angle between  $\frac{\pi}{2}$  and  $\pi$ , with either linear or at least quadratic height growth, are given in [\[5](#page-31-1)[,29](#page-32-11)] (see also Sect. [3\)](#page-10-0).

**Acknowledgements** The authors would like to thank Massimiliano Pontecorvo for his kind hospitality during the preparation of this work, as well as the referee for reading thoroughly this manuscript pointing out some insightful remarks. This research was partially supported by PRIN-2010NNBZ78-009 and INdAM-GNSAGA. The first author was also partially supported by Spanish MCyT-Feder Research Project MTM2014-52368-P, and by the EPSRC Grant No. EP/M024512/1.

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