

Toric Kähler–Einstein Metrics and Convex Compact Polytopes

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Abstract We show that any compact convex simple lattice polytope is the moment polytope of a Kähler–Einstein orbifold, unique up to orbifold covering and homothety. We extend the Wang–Zhu Theorem (Wang and Zhu in Adv Math 188:47–103, 2004) giving the existence of a Kähler–Ricci soliton on any toric monotone manifold on any compact convex simple labeled polytope satisfying the combinatoric condition corresponding to monotonicity. We obtain that any compact convex simple polytope $P \subset \mathbb{R}^n$ admits a set of inward normals, unique up to dilatation, such that there exists a symplectic potential satisfying the Guillemin boundary condition (with respect to these normals) and the Kähler–Einstein equation on $P \times \mathbb{R}^n$. We interpret our result in terms of existence of singular Kähler–Einstein metrics on toric manifolds.

Keywords Kähler–Einstein structures · Kähler–Ricci solitons · Toric geometry

Mathematics Subject Classification Primary 32Q20 · Secondary 53C99

1 Introduction

The question of existence of Kähler–Einstein metrics on compact complex manifold has been subject of intense investigations for the last decades. This problem makes sense on a compact complex manifold (M^{2n}, J) with a given Kähler class $\Omega \in H^2_{dR}(M)$ for which there is $\lambda \in \mathbb{R}$ such that $\lambda \Omega = 2\pi c_1(M)$. The case $\lambda \leq 0$ is non-obstructed and the existence of a Kähler–Einstein metric (g, ω) , with $\omega \in \Omega$ was proved forty years ago [4,41]. The case $\lambda > 0$ proved to be a more difficult question, recently related to a certain notion of stability [10–12,37] and for which there are

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various known obstructions, notably the Futaki invariant [19]. In the toric case (the Kähler structure is invariant by the Hamiltonian action of a real torus of dimension $n = \dim_{\mathbb{C}} M$), it follows from the Wang–Zhu Theorem [40] which has been extended to orbifolds [35], that the only obstruction to the existence of Kähler–Einstein metrics on monotone symplectic toric orbifolds (in the sense that there exists $\lambda > 0$ such that $\lambda[\omega] = c_1(M)$) is the vanishing of the Futaki invariant. Through the toric correspondence, finding such orbifolds is a combinatorial problem on labeled polytopes. In the first part of this paper, we prove that any polytope can be labeled to satisfy these two conditions. To give a precise statement, we now recall the main lines of the correspondence.

Symplectic toric compact orbifolds are classified by *rational* labeled polytopes via the Delzant–Lerman–Tolman correspondence [13,28]. A labeled polytope is a pair (P, v) where P is a simple bounded convex polytope, open in a n-dimensional vector space \mathfrak{t}^* , $v = \{v_1, \ldots, v_d\} \subset \mathfrak{t}$ is a set of vectors, inward to P, such that if we denote F_1, \ldots, F_d the facets (codimension 1 face) of P, the vector v_k is normal to F_k for $k = 1, \ldots, d$ where d is the number of facets. The *defining functions* of a labeled polytope (P, v) are the affine-linear functions L_1, \ldots, L_d on \mathfrak{t}^* such that¹ $P = \{p \in \mathfrak{t}^* | L_k(p) > 0\}$ and $dL_k = v_k$. A rational labeled polytope (P, v, Λ) is a labeled polytope (P, v) and Λ a lattice in \mathfrak{t} such that $v \subset \Lambda$.

Remark 1.1 If (P, v, Λ) is rational, there are (uniquely determined) positive integers m_1, \ldots, m_d such that $\frac{1}{m_i}v_i$ are primitive elements of Λ . Then (P, m_1, \ldots, m_d) is a *rational labeled polytope* in the sense of Lerman–Tolman [28].

For a given symplectic toric compact orbifold (M, ω, T) , t is the Lie algebra of the torus $T = t/\Lambda$ and the closure \overline{P} is the image of the moment map. The symplectic properties are encoded in the data (P, ν) . Notably, see [14], monotone symplectic toric orbifolds correspond to what we will call *monotone labeled polytopes*.

Definition 1.2 We say that (P, v) is *monotone* if there exists $p \in P$ such that $L_1(p) = L_2(p) = \cdots = L_d(p)$. In that case, we call p the preferred point of (P, v).

The space of invariant Kähler metrics on M is parameterized by a subspace of convex functions on P, the set of symplectic potentials $S(P, \nu)$, see [2,3,15], whose definition we precisely recall in Sect. 2.3. The scalar curvature of the metric g_u , associated to $u \in S(P, \nu)$, is given by the Abreu formula

$$S(u) = -\sum_{i,j=1}^{n} \frac{\partial^2 u^{ij}}{\partial x_i \partial x_j} \tag{1}$$

where (x_1, \ldots, x_n) are coordinates on \mathfrak{t}^* and $u^{ij} = (\text{Hess } u)^{-1}$, see [1,2].

The *extremal affine function* of (P, v), denoted $A_{(P,v)}$, is an affine–linear function on t* which corresponds to the Futaki invariant [19] restricted to the (real) Lie algebra of the torus (the symplectic counterpart of the Futaki invariant as introduced in [26]).

¹ Our convention is that P is open and we denote $\overline{P} = \{p \in \mathfrak{t}^* \mid L_k(p) \ge 0\}$. \overline{P} is compact.

In particular, $A_{(P,\nu)}$ is constant if and only if the Futaki invariant vanishes on t. The extremal affine function is a useful invariant of (P, ν) since it satisfies

- g_u is extremal, in the sense of Calabi [8], if and only if $S(u) = A_{(P,v)}$
- $A_{(P,v)}$ is constant, should a constant scalar curvature *T*-invariant compatible Kähler (cscK) metric exist.

In this paper, we prove the following statement.

Theorem 1.3 Given a compact simple convex polytope \overline{P} , there exists a set of normals ν , unique up to dilatation, such that (P, ν) is monotone and has a constant extremal affine function.

In dimension 2, the existence of such labeling follows from elementary considerations [15].

Remark 1.4 As noticed in [16], labeled polytopes with constant extremal affine function are those for which the centers of mass of $(P, d\varpi)$ and $(\partial P, d\sigma_v)$ coincide, where $d\sigma_v$ is the volume form on ∂P such that $v_k \wedge d\sigma_v = -d\varpi$ on the facet F_k . The set of normals v given by Theorem 1.3 is characterized by the fact that the preferred point of (P, v) (as a monotone labeled polytope) coincides with the center of mass of $(\partial P, d\sigma_v)$. This last characterization was proved by Mabuchi [31] and used to classify toric complex surfaces admitting a compatible Kähler–Einstein metric: \mathbb{CP}^2 , $\mathbb{CP}^1 \times \mathbb{CP}^1$ and \mathbb{CP}^2 #3 \mathbb{CP}^2 .

We will see that the set of normals ν given by Theorem 1.3 can be included in a lattice if and only if *P* is a lattice polytope (i.e., whose vertices lie in a lattice) and thus, using the Theorem of Wang–Zhu/Shi–Zhu [35,40] we get

Corollary 1.5 Every (simple convex compact) lattice polytope is the moment polytope of a compact Kähler–Einstein toric orbifold, unique up to dilatation or orbifold covering.

The case where the set of normals ν given by Theorem 1.3 is not rational motivates us to extend the Wang–Zhu Theorem for general labeled polytopes. More precisely, Wang and Zhu showed in [40] that any Fano toric manifold (M^{2n}, J, T) admits a Kähler–Ricci soliton (g, Z), that is, a Kähler metric g and a holomorphic vector field Z such that

$$\rho^g - \lambda \omega = \mathcal{L}_Z \omega \tag{2}$$

where ρ^g is the Ricci form of g, ω the Kähler form $\omega = g(J, \cdot, \cdot)$ and $\lambda = \frac{1}{2n} \overline{\text{Scal}}$ with $\overline{\text{Scal}} = \int_M \text{Scal} \, \omega^n / \int_M \omega^n$. In that case, $2\pi c_1(M) = \lambda[\omega]$. The vector field Z is uniquely determined by the data $(M, [\omega], T)$ as follows: denoting p the preferred point of the monotone labeled polytope (P, ν) associated to $(M, [\omega], T)$, there is a unique linear function on $\mathfrak{t}^*, a \in \mathfrak{t}$, such that

$$\int_{P} e^{2a} (f - f(p)) d\varpi = 0$$
(3)

for all $f \in Aff(P, \mathbb{R})$. If a holomorphic vector field Z satisfies (2), then $Z = JX_a - iX_a$, see [39,40]. The case a = 0 implies that Z = 0 and the Kähler-Ricci soliton of Wang–Zhu is a Kähler–Einstein metric.

According to the work of Donaldson [14], a symplectic potential $u \in S(P, v)$ corresponds to a Kähler–Ricci soliton with respect to $\lambda > 0$ and $a \in t$ if and only if

$$\frac{1}{2}\log\det(\text{Hess }u)_x + \lambda h(x) = a(x) \tag{4}$$

where *h* is the Legendre transform of *u* (seen as a function on *P*, via the change of variable $x \mapsto (du)_x \in \mathfrak{t}$) and the preferred point of (P, v) is the origin.

In fact, the argument of Wang-Zhu holds for any labeled polytope without any deep modification. In order to find appropriate scope for extending their proof in the case when (P, v) is not necessarily rational, we consider $P \times t$ with its symplectic structure (that is, $P \times \mathfrak{t} \subset \mathfrak{t}^* \times \mathfrak{t} \simeq T^*\mathfrak{t}$) and the t-Hamiltonian action by translation on the second factor, the moment map being the projection on the first factor. The invariant Kähler metric g_u , for a symplectic potential $u \in \mathcal{S}(P, \nu)$, is simply a t-invariant Kähler metric on $P \times \mathfrak{t}$ with specific behavior along $\partial P \times \mathfrak{t}$. As introduced in [18], see also [14,15] and Sect. 2.1, for each vertex p of P there is an open toric symplectic manifold (M_p, ω_p, T_p) depending only on (P, ν) . We point out that, in the rational case, (M_p, ω_p, T_p) is a uniformizing chart for the orbifold and the boundary condition on symplectic potentials corresponds to the fact that g_u defines a smooth metric on each of the manifolds (M_p, ω_p, T_p) , see Sect. 2.3. In Sect. 5, we notice that the test functions appearing in the proof of Wang-Zhu, behave as functions defined on the compact set P while the boundary condition, suitably interpreted, allows us to apply the (local) computations of Yau [41] and Tian–Zhu [39] on each chart (M_n, ω_n, T_n) . Along the way, we have to show that both Yau's Theorem [41] and Zhu's Theorem [42]hold, suitably interpreted, in this extended setting.

Theorem 1.6 Let (P, v) be a monotone labeled polytope with preferred center $0 \in \mathfrak{t}^*$ and compact closure \overline{P} . There exists a solution $u \in S(P, v)$ of Eq. (4), so that g_u is a \mathfrak{t} -invariant Kähler–Ricci soliton on $P \times \mathfrak{t}$. This solution u is unique in S(P, v) up to addition of an affine-linear function and g_u is Kähler–Einstein if and only if $A_{(P,v)}$ is constant.

A result of Donaldson [15] implies that the set of normals for which there exists a solution of the Abreu equation is open in the set of inward normals of a fixed polytope, see Sect. 6.1. Together with Theorems 1.3 and 1.6, it gives

Corollary 1.7 For each n-dimensional polytope P, there exists a non-empty open set $\mathbf{E}(P)$ of inward normals v for which there exists an extremal toric Kähler metric g_u with $u \in S(P, v)$. Moreover, $\mathbf{E}(P)$ contains a codimension n subset corresponding to cscK metrics and contains the 1-dimensional cone of toric Kähler–Einstein metrics. In particular, if there exists a lattice for which P is rational then there exist extremal toric Kähler orbifolds with moment polytope \overline{P} .

A compact toric symplectic orbifold (M, ω, T) associated to a rational labeled polytope (P, ν, Λ) is a compactification of $P \times T$ where $T = t/\Lambda$ and $P \subset t^*$. Consequently, as a straightforward application of Theorems 1.3 and 1.6, we obtain in Sect. 6.3, for any smooth compact toric symplectic manifold, the existence of a toric Kähler–Einstein metric g_{KE} on the open dense subset where the torus acts freely. The singular behavior of g_{KE} along the pre-image of the interior of a facet F_k is conical of angle $2\pi a_k$ where a_k is the ratio between the normal v_k and the normal to F_k found in Theorems 1.3. Here, the complex structure and the metric are singular while the symplectic structure is smooth. Using the standard procedure (with Legendre transform) to complexify $P \times T$ the singularity lies along the preimage of the boundary and we can pass to the more usual setting in the study of conical singularity of metrics (e.g., [17,38]) where the symplectic form and the metric are singular but not the complex structure. This interpretation is valid for any labeling η of P and any toric Kähler metric, in the sense that to any $u \in S(P, \eta)$ corresponds a T-invariant Kähler metric g_u which is smooth on a open dense subset of M and conical along the divisor corresponding to the boundary of P. Therefore, Corollary 1.7 provides families of conical extremal Kähler metrics of various type (cscK or not) on each toric symplectic manifold. See Sect. 6.3 for more details.

Theorem 1.6 provides an alternative proof of the Futaki–Ono–Wang Theorem [20]. This theorem establishes the existence of toric Sasaki–Ricci soliton on contact toric manifolds with a (fixed) Reeb vector field satisfying the two conditions:

- the basic first Chern form of the normal bundle of the Reeb foliation is positive,
- the first Chern class of the contact bundle is trivial.

Compact contact toric manifolds with a fixed Reeb vector field are in one-to-one correspondence with labeled polytopes whose defining functions lie in a lattice and satisfy a certain weaker condition than the Delzant condition, see [5,25,27]. In this correspondence as well, a compatible toric Sasaki metric is given by a symplectic potential and the scalar curvature is given by the Abreu formula (1), up to an additive constant depending only on the dimension. The hypothesis of the Futaki–Ono–Wang Theorem corresponds to the fact that the associated labeled polytope is monotone, see [20,32].

For the purpose of this paper, we need to slightly reinterpret the geometry associated to a labeled polytope based on the approach [14, 15, 18]. We do that in the next section. We prove Theorem 1.3 in Sect. 3. In Sect. 4, we introduce the basic analytical tools needed to do geometric analysis in the non-rational case (Sobolev norms, Maximum principle, cohomology, ...). With these tools in hand, in Sect. 5, we explain why some classical theorems in Kähler geometry, due Yau, Zhu and Tian–Zhu, are valid in this context. Finally, Sect. 6 gathers the geometric applications of our results which are Corollaries 1.5 and 1.7 and the existence of conical extremal Kähler metrics on toric manifold.

2 Labeled Polytopes and Toric Geometry

In what follows, polytopes always refers to *simple bounded polytope* where *simple* means that each vertex is the intersection of no more than n facets.

2.1 Symplectic Toric Orbifolds as Compactifications

Let (M, ω, T, ϱ) be a compact symplectic toric orbifold, that is, $\varrho : T \hookrightarrow \text{Ham}(M, \omega)$ embeds T as a subgroup and $2 \dim T = \dim M$. We denote $\mathfrak{t} = \text{Lie } T$. There is a moment map

$$x: M \to \mathfrak{t}^*$$

which is *T*-invariant and uniquely determined, up to addition of a constant, by the relation $d\langle x, a \rangle = -\omega(X_a, \cdot)$ where $X_a = d_{id}\varrho(a)$ is the vector field induced by the infinitesimal action of $a \in t$. The image of the moment map $\overline{P} = \text{Im } x$ is a compact convex simple polytope.² The weights of the action of the torus on the tangent spaces of fixed points determine a set of vectors $\nu = \{\nu_1, \ldots, \nu_d\} \subset t$ normal to the facets of *P* and lying in Λ , the lattice of circle subgroups of *T*, and thus makes (P, ν) a rational labeled polytope with respect to Λ as defined in the introduction. The Delzant–Lerman–Tolman correspondence [13,28] states that the data (P, ν, Λ) characterizes (M, ω, T) up to a *T*-equivariant symplectomorphism.

In [18], Duistermaat and Pelayo gave a way (alternative to the so-called Delzant construction [13]) to build (M, ω, T) from the data (P, ν, Λ) in the smooth case, see also [14]. The idea is based on the fact that M can be seen as a compactification of $P \times T$ prescribed by the combinatorial data of P. We slightly adapt this construction here to cover the case of orbifolds and to see where it fails in the non-rational case.

Given a labeled polytope (P, v), we denote the set of (closed) faces of P by $\mathcal{F}(P)$. The facets of P are still denoted $F_1, \ldots, F_d \in \mathcal{F}(P)$. For $F \in \mathcal{F}(P)$, denote $I_F \subset \{1, \ldots, d\}$, the set of indices such that $F = \bigcap_{k \in I_F} F_k$. For example, $\overline{P} \in \mathcal{F}(P)$ and $I_{\overline{P}} = \emptyset$. For a vertex $p, I_{\{p\}}$ has n elements and $\Lambda_p = \operatorname{span}_{\mathbb{Z}}\{v_k \mid k \in I_{\{p\}}\}$ is a lattice in t. For a face $F \in \mathcal{F}_p(P), T_F = \operatorname{span}_{\mathbb{R}}\{v_k \mid k \in I_F\} / \Lambda_p \cap \operatorname{span}_{\mathbb{R}}\{v_k \mid k \in I_F\}$ is a subtorus of $T_p = t/\Lambda_p$ if $p \in F$.

Given a vertex p of P, we call $\mathcal{F}_p(P)$ the set of faces containing p. For $F \in \mathcal{F}_p(P)$, we denote $s_p(F)$ the subset of F obtained by removing all the subfaces which do not contain p, that is $s_p(F) = \{x \mid x \in \mathring{E}, p \in E, E \subset F\}$ where \mathring{E} is the interior of the face E (in E). In particular, the interior of a vertex is the vertex itself. Thus, $\bigcup_{F \in \mathcal{F}_p(P)} s_p(F) = \bigcup_{F \in \mathcal{F}_p(P)} \mathring{F}$ is an open neighborhood of p in \overline{P} . Set

$$M_p = \bigsqcup_{F \in \mathcal{F}_p(P)} (s_p(F) \times T_p/T_F) / \sim$$

where, for $(x, \theta) \in F \times T_p/T_F$ and $(x', \theta') \in F' \times T_p/T_{F'}, (x, \theta) \sim (x', \theta')$ if (1) x = x', and

(2) the equivalence classes of θ and θ' in $T_p/T_{F \cap F'}$ coincide.

Here, the first condition implies that $F \cap F' \neq \emptyset$, so $F \cap F' \in \mathcal{F}_p(P)$ and $T_{F \cap F'}$ contains T_F and $T_{F'}$ as subgroups. The second condition refers to the fact that $T_p/T_{F \cap F'}/T_{F'}$ is the quotient of T_p/T_F by $T_{F \cap F'}/T_F$ and the quotient of $T_p/T_{F'}$ by $T_{F \cap F'}/T_{F'}$.

² We denote *P* the interior of the polytope and \overline{P} its closure. In this text, polytopes are always assumed to be convex and simple with compact closure.

Ordering the normals v_{k_1}, \ldots, v_{k_n} $(k_i \in I_{\{p\}})$, we get an identification $T_p \simeq \mathbb{T}^n = \mathbb{R}^n / \mathbb{Z}^n$ via which T_p acts on \mathbb{C}^n . For an equivariant neighborhood U_p of $0 \in \mathbb{C}^n$, the map $\phi_p : U_p \to M_p$, defined by

$$\phi_p(z) = \left[\left(p + \frac{1}{2} |z_i|^2 v_{k_i}^*, \left(e^{2\pi\sqrt{-1}\theta_1}, \dots, e^{2\pi\sqrt{-1}\theta_n} \right) \right) \right]$$
(5)

where $z = (|z_1|e^{2\pi\sqrt{-1}\theta_1}, \dots, |z_n|e^{2\pi\sqrt{-1}\theta_n})$, is a well-defined (i.e., does not depend on the choice of $e^{2\pi\sqrt{-1}\theta_i}$ when $|z_i| = 0$) equivariant homeomorphism. The chart (U_p, ϕ_p) provides a (smooth) differential structure to M_p .

Now, the cotangent space of T_p is naturally equipped with an exact symplectic form, the differential of the Liouville 1-form, for which the action of T_p on itself pullbacks to a Hamiltonian action. Given an equivariant trivialization $T^*T_p \simeq \mathfrak{t}^* \times T_p$, the product $P \times T_p$ inherits of the structure of Hamiltonian T_p -space whose moment map is simply the projection on the first factor. The chart above extends this structure to give a (non-compact) symplectic toric manifold (M_p, ω_p, T_p) with moment map $x : M_p \to \mathfrak{t}^*$ so that Im $x = \bigcup_{F \in \mathcal{F}_p(P)} \mathring{F}$, see [14,28].

When (P, ν, Λ) is rational, $\Lambda_p \subset \Lambda$ for all vertex p and the quotient of T_p by the finite subgroup Λ/Λ_p is the torus $T = t/\Lambda$. The quotient map $q_p : T_p \to T$ gives a way to glue M_p to $P \times T$ providing an orbifold uniformizing chart with structure group Λ/Λ_p . Doing that on all vertices, we obtain the compact symplectic toric orbifold, (M, ω, T) , associated to (P, ν, Λ) with moment map $x : M \to t^*$.

Definition 2.1 [13] A rational labeled polytope (P, v, Λ) is *Delzant* if, for each vertex $p, \Lambda_p = \Lambda$. In particular, (P, v, Λ) is Delzant if and only if the associated symplectic toric orbifold is a manifold (all orbifold structure groups are trivial).

Remark 2.2 A bigger lattice $\Lambda' \supset \Lambda$ in t corresponds to the global quotient by the finite subgroup Λ' / Λ of $T = t / \Lambda$, see [3].

Remark 2.3 If (P, ν, Λ) is rational, we can replace Λ_p by Λ in the definition of T_F and set

$$|M| = \bigsqcup_{F \in \mathcal{F}(P)} (F \times T/T_F) / \sim$$

with the same equivalence relation as above. The topological space |M| is the underlying topological space of M and is a compactification of $P \times T$. The choice of a labeling specifies an orbifold structure on |M| but |M| does not depend on it.

2.2 Action-Angle Coordinates

To a convex polytope $P \subset \mathfrak{t}^*$ one can associate a symplectic manifold $(P \times \mathfrak{t}, dx \wedge d\theta)$ where $x = (x_1, \ldots, x_n) : \mathfrak{t}^* \to \mathbb{R}^n$ and $\theta = (\theta_1, \ldots, \theta_n) : \mathfrak{t} \to \mathbb{R}^n$ are any sets of affine coordinates and $dx \wedge d\theta = \sum_{i=1}^n dx_i \wedge d\theta_i$ is (trivially) a symplectic form. More intrinsically, one could consider T^*P , the cotangent of the polytope itself (recall that *P* is open in \mathfrak{t}^*), endowed with its canonical symplectic structure. The action of \mathfrak{t} on $P \times \mathfrak{t}$ by translation on the second factor is Hamiltonian with moment map $x : P \times \mathfrak{t} \to \mathfrak{t}^*$.

Remark 2.4 One can choose (x, θ) to be *dual coordinates* (or *canonical coordinates*) on $\mathfrak{t}^* \times \mathfrak{t}$, defined for a given basis e_1, \ldots, e_n of \mathfrak{t} as $x_i = \langle x, e_i \rangle$ and $\theta_i = \langle \theta, e_i^* \rangle$ where e_1^*, \ldots, e_n^* is the dual basis. Doing so would imply that $dx \wedge d\theta$ is a *canonical* symplectic form on $\mathfrak{t} \times \mathfrak{t}^*$ corresponding to the differential of the Liouville form on $T^*\mathfrak{t} = \mathfrak{t} \times \mathfrak{t}^* = T^*\mathfrak{t}^*$. However, this is not essential for our purpose since the obvious change of coordinates, say from θ to θ' , on the second factor identifies the structures $dx \wedge d\theta$ and $dx \wedge d\theta'$.

Given a compact symplectic toric orbifold (M, ω, T, ϱ) associated to (P, ν, Λ) , the *action-angle coordinates* are local coordinates on $\mathring{M} = x^{-1}(P)$ (the subset of Mwhere T acts freely) identifying locally $(\mathring{M}, \omega_{|})$ with $(P \times \mathfrak{t}, dx \wedge d\theta)$. Usually, the existence of such coordinates is proved using a compatible toric Kähler structure which is known to exist by the Delzant construction, see [2,3,9]. In view of the construction presented in Sect. 2.1, it is obvious that there is a T-equivariant symplectomorphism between $(\mathring{M}, \omega_{|\mathring{M}})$ and $(P \times T, dx \wedge d\theta)$. The universal cover of \mathring{M} , endowed with the symplectic form induced from $\omega_{|\mathring{M}}$, is symplectomorphic to $(P \times \mathfrak{t}, dx \wedge d\theta)$. The action-angle coordinates are (x, θ) but seen as local coordinates on \mathring{M} on which they satisfies $d\theta_i(X_j) := d \ \theta_i(d_{id}\varrho(e_j)) = \delta_{ij}$.

Remark 2.5 Two compact symplectic toric orbifolds $(M', \omega', T', \varrho')$, (M, ω, T, ϱ) associated to the same polytope *P* (assuming the Lie algebra t and t' are identified but not the lattices) share the same action-angle coordinates in the sense that the symplectic manifolds $(\mathring{M}', \omega'_{|\mathring{M}'})$ and $(\mathring{M}, \omega_{|\mathring{M}})$ have a common universal cover on which ω' and ω pull back as the same symplectic structure (up to a diffeomorphism).

Proposition 2.6 [1] For any strictly convex function $u \in C^{\infty}(P)$, the metric

$$g_u = \sum_{i,j} G_{ij} dx_i \otimes dx_j + H_{ij} d\theta_i \otimes d\theta_j,$$
(6)

with $(G_{ij}) = Hess \ u$ and $(H_{ij}) = (G_{ij})^{-1}$, is a smooth Kähler structure on $P \times \mathfrak{t}$ compatible with the symplectic form $dx \wedge d\theta$. Conversely, any \mathfrak{t} -invariant compatible Kähler structure on $(P \times \mathfrak{t}, dx \wedge d\theta)$ is of this form.

2.3 The Boundary Condition

Here again (P, ν) is a labeled polytope (with \overline{P} compact, convex and simple) and the functions L_1, \ldots, L_k are the affine-linear functions defining (P, ν) as $dL_k = \nu_k$ and $P = \{x \in \mathfrak{t}^* | L_k(x) > 0, k = 1, \ldots, d\}.$

Definition 2.7 A symplectic potential of (P, v) is a continuous function $u \in C^0(\overline{P})$ whose restriction to *P* or to any face's interior (except vertices), is smooth and strictly

convex, and $u - u_o$ is the restriction of a smooth function defined on an open set containing \overline{P} where

$$u_o = \frac{1}{2} \sum_{k=1}^d L_k \log L_k$$

is the *Guillemin potential*. We denote by S(P, v), the set of symplectic potentials.

The Guillemin potential is a symplectic potential corresponding to the *Guillemin metric* [22]. Denote Aff(P, \mathbb{R}) the space of real valued affine-linear functions on P.

Proposition 2.8 [3,15] The set of smooth compatible toric (orbifold) Kähler metrics on (M, ω, T) is in one-to-one correspondence with the quotient of S(P, v) by Aff (P, \mathbb{R}) , acting by addition. The correspondence is explicit and given by (6).

The smooth compactification of a metric is a local issue. Even though (P, v) might not be rational (for any lattice), a symplectic potential $u \in S(P, v)$ defines, via (6), a Kähler metric g_u on $P \times t$ which is t-invariant and thus, for any vertex p, defines a Kähler metric, still denoted g_u , on $P \times T_p$. The boundary condition implies that g_u is the restriction to $P \times T_p$ of a smooth T_p -invariant Kähler metric on (M_p, ω_p, T_p) . Recall that $(M_p, \Lambda/\Lambda_p)$ is an orbifold uniformizing chart near the pre-image of a vertex in the rational case and that a smooth orbifold metric is defined as a metric which, on each chart, may be lifted as smooth metric.

Apostolov–Calderbank–Gauduchon–Tønnesen-Friedman gave the following alternative description of the boundary condition.

Proposition 2.9 [3] Given a labeled polytope (P, v), a strictly convex function $u \in C^{\infty}(P)$ is a symplectic potential of (P, v) if and only if, denoting $\mathbf{H} = (Hess \ u)^{-1}$,

- **H** is the restriction to P of a smooth $S^2 \mathfrak{t}^*$ -valued function on \overline{P} ,
- for every k = 1, ..., d, for every y in the interior of the facet F_k ,

$$\mathbf{H}_{\mathbf{v}}(\mathbf{v}_k, \cdot) = 0 \quad and \quad d\mathbf{H}_{\mathbf{v}}(\mathbf{v}_k, \mathbf{v}_k) = 2\mathbf{v}_k, \tag{7}$$

• the restriction of **H** to the interior of any face $F \subset P$ is a positive definite $S^2(\mathfrak{t}/\mathfrak{t}_F)^*$ -valued function.

2.4 The Curvature and the Extremal Affine Function

Fixing any Euclidean volume form $d\varpi$ on \mathfrak{t}^* , Donaldson [16] pointed out that the $L^2(P, d\varpi)$ -projection of the scalar curvature S(u), given by (1), on the space of affine linear functions, Aff (P, \mathbb{R}) , is independent of the choice of $u \in S(P, \nu)$. The resulting projection $A_{(P,\nu)} \in Aff(P, \mathbb{R})$ is the *extremal affine function* of (P, ν) . Indeed, starting from formula (1) for the scalar curvature, integrating by part twice and using the boundary condition 7, we get

$$\frac{1}{2}\int_{P} S(u)x_{i}d\varpi = \int_{\partial P} x_{i}d\sigma_{\nu} =: Z_{i}(P,\nu)$$

where $d\sigma_{\nu}$, when restricted to any facet F_k , is defined by $\nu_k \wedge d\sigma_{\nu} = -d\varpi$.

Choose a basis (e_1, \ldots, e_n) of t and set $x_0 = 1, x_1 = \langle e_1, \cdot \rangle, \ldots, x_n = \langle e_n, \cdot \rangle$. The extremal affine function of (P, ν) is $A_{(P,\nu)} = \sum_{i=0}^{n} A_i x_i$ where the vector $A = (A_0, \ldots, A_n) \in \mathbb{R}^{n+1}$ is the unique solution of the linear system:

$$\sum_{j=0}^{n} W_{ij}(P) A_j = 2Z_i(P, \nu), \quad i = 0, \dots, n$$

with $W_{ij}(P) = \int_P x_i x_j d\varpi$ and $Z_i(P, \nu) = \int_{\partial P} x_i d\sigma_{\nu}.$ (8)

2.5 Complex Coordinates

For a Kähler structure $(g_u, dx \land d\theta, J_u)$ given by (6) on $P \times \mathfrak{t}$, the set $\{J_u X_1, \ldots, J_u X_n, X_1, \ldots, X_n, \}$ is a frame of real holomorphic commutative vector fields providing an identification $\mathfrak{t} \oplus \sqrt{-1}\mathfrak{t} \simeq T_{(x,\theta)}(P \times \mathfrak{t})$ and local holomorphic coordinates $z = t + \sqrt{-1}\theta$ where $dt_i = -d^c \theta_i$.

In the rational case, the complex coordinates $z = t + \sqrt{-1\theta}$ are only local on \mathring{M} and are given by the exponential map, see [14]. Actually, in this context, for a point $y \in \mathring{M}$, the tangent space $T_y \mathring{M} \simeq \mathfrak{t} \oplus \sqrt{-1}\mathfrak{t} \simeq \mathbb{C}^n$ is naturally identified with the universal cover of \mathring{M} where the covering map is the exponential

$$\mathring{M} \simeq \mathfrak{t} \oplus \sqrt{-1}\mathfrak{t}/2\pi\sqrt{-1}\Lambda \simeq (\mathbb{C}^*)^n.$$

As explained in the literature (see, e.g., [9, 14]) we can write $dx \wedge d\theta$ in *z* coordinates and we find a Kähler potential $\phi : \mathfrak{t} \to \mathbb{R}$

$$dx \wedge d\theta = \sum_{i,j=1}^{n} \frac{\partial^2 \phi}{\partial t_i \partial t_j} dt_i \wedge d\theta_j = dd^c \phi.$$
(9)

In the rational case, ϕ is a globally defined function on t via the identification provided by the exponential near a point of \mathring{M} . Changing the base point corresponds to translate ϕ by an affine-linear function of t. The correspondence between symplectic potentials and Kähler potentials is done via the Legendre transform:

$$u(x) = \langle x, t \rangle - \phi(t) \tag{10}$$

where t is the unique point of t such that $d\phi_t = x$ or inversely x is the unique point of t^{*} such that $du_x = t$. The image of the differential of the Kähler potential is the (open) polytope P (i.e., $P = \text{Im}(t \mapsto d\phi_t)$).

A symplectic potential $u \in S(P, v)$ provides an identification

$$\Phi_u: P \times \mathfrak{t} \to \mathfrak{t} \oplus \sqrt{-1}\mathfrak{t}$$

via its differential $du : P \to t$ (which is a diffeomorphism since u is strictly convex on \mathring{M}) so the coordinates $z = t + \sqrt{-1}\theta$ are globally defined on $P \times t$. In the rational case, this identification fits with the fact that both spaces are identified with the universal cover of \mathring{M} .

Remark 2.10 The common boundary behavior of symplectic potentials is equivalent to the common asymptotic behavior of Kähler potentials via the identification du: $P \xrightarrow{\sim} t$ or the inverse $d\phi$: $t \xrightarrow{\sim} P$ (recall that $\frac{\partial^2 \phi}{\partial t_i \partial t_j}(t) = (H_{ij}(x))$ for $du_x = t$). The Guillemin potential u_o gives $du_o = \frac{1}{2} \sum_{k=1}^d (\log L_k + 1)v_k$. In particular, the normals determine the rate of divergence of du_o when $x \to \partial P$.

Distinct symplectic potentials $u, u_o \in S(P, v)$ lead to distinct Kähler structures on $\mathfrak{t} \oplus \sqrt{-1}\mathfrak{t}$

$$\left((\Phi_{u_o}^{-1})^*g_{u_o},\ \omega_o=(\Phi_{u_o}^{-1})^*dx\wedge d\theta\right)\quad\text{and}\quad\left((\Phi_u^{-1})^*g_u,\ \omega=(\Phi_u^{-1})^*dx\wedge d\theta\right)$$

compatible with the same complex structure. Denoting ϕ and ϕ_o the Legendre transform of u and u_o respectively, we have $\omega - \omega_o = dd^c(\phi - \phi_o)$. Going back on $P \times \mathfrak{t}$, using $\Phi_{u_o}^{-1}$ we get

$$(g_{u_o}, dx \wedge d\theta, J_{u_o})$$
 and $((\Phi_u^{-1} \circ \Phi_{u_o})^* g_u, (\Phi_u^{-1} \circ \Phi_{u_o})^* dx \wedge d\theta, J_{u_o}).$
(11)

The map $\Phi_u^{-1} \circ \Phi_{u_o}$ is a t-invariant smooth diffeomorphism of $\overline{P} \times \mathfrak{t}$ fixing the boundary, thanks to the boundary condition on u and u_o . In particular, the function $x \mapsto (\phi - \phi_o)(d(u_o)_x)$ is the restriction to P of a smooth function on \overline{P} .

3 Proof of Theorem 1.3

The proof of Theorem 1.3 relies on the following lemma.

Lemma 3.1 Let (P, v) be a labeled polytope and let $d\varpi$ be a volume form on \mathfrak{t}^* . The linear map Ψ : Aff $(P, \mathbb{R}) \longrightarrow \mathfrak{t}$ defined as

$$\Psi_{(P,d\varpi)}(f) = \sum_{k=1}^{d} \left(\int_{F_k} f d\sigma_{\nu} \right) \nu_k \tag{12}$$

does not depend on the set of normals v and $\Psi_{(P,d\varpi)}(f) = 0$ as soon as f is constant. Moreover, seen as an endomorphism of \mathfrak{t} ,

$$\Psi_{(P,d\varpi)} = -\operatorname{vol}(P,d\varpi) \operatorname{Id}$$
(13)

Proof The first claim (no dependence on v) is straightforward. Suppose that $\overline{P} = \overline{P}' \cup \overline{P}''$ such that $F = \overline{P}' \cap \overline{P}''$ is a facet in both P' and P''. Note that P' and P'' induce opposite orientations on F. If we choose $v \in \mathfrak{t}$ a normal vector to F inward to

P' and denote $d\sigma'$ the form on F such that $v \wedge d\sigma' = -d\varpi$ then $-v \in \mathfrak{t}$ is inward to P'' and $-v \wedge -d\sigma' = -d\varpi$. Therefore,

$$\Psi_{(P,d\varpi)}(f) = \Psi_{(P',d\varpi)}(f) + \Psi_{(P'',d\varpi)}(f).$$

By using a triangulation, that is, *n*-simplices P_1, \ldots, P_N such that $\overline{P} = \bigcup_{\alpha=1}^N \overline{P}_{\alpha}$ and $P_{\alpha} \cap P_{\beta} = \emptyset$ if $\alpha \neq \beta$ we get

$$\Psi_{(P,d\varpi)}(f) = \sum_{\alpha=1}^{N} \Psi_{(P_{\alpha},d\varpi)}(f).$$
(14)

Consider the simplex $\Sigma = \{x \in \mathbb{R}^n \mid x_i > 0, \sum_{i=1}^n x_i < 1\}$ together with the set of normals $e = \{e_1, \ldots, e_n, e_0 = -\sum_{i=1}^n e_i\}$. Let $f \in Aff(\Sigma, \mathbb{R})$, we have

$$\Psi_{(\Sigma, dx_1 \wedge \dots \wedge dx_n)}(f) = \sum_{i=1}^n \left(\int_{E_i} f d\sigma - \int_{E_0} f d\sigma \right) e_i$$
(15)

where for k = 0, ..., n, E_k denotes the facet normal to e_k . Thus, $\int_{E_i} d\sigma = \int_{E_0} d\sigma = \frac{1}{(n-1)!}$ implies that $\Psi_{(\Sigma, dx_1 \land \dots \land dx_n)}(f) = 0$ as soon as f is constant.

On the other hand, for each i = 1, ..., n, the invertible affine-linear map

$$\psi_i : \mathbb{R}^n \longrightarrow \mathbb{R}^n$$
$$x \longmapsto \left(x_1, \dots, x_{i-1}, 1 - \sum_{j=1}^n x_j, x_{i+1}, \dots, x_n \right)$$

reverses the orientation of \mathbb{R}^n , sends E_i to E_0 and satisfies $\psi_i^* e_0 = e_i$. It follows that $\psi_i^* d\sigma|_{E_0} = -d\sigma|_{E_i}$ and in particular $\int_{E_0} f d\sigma = -\int_{E_i} \psi_i^* (f d\sigma) = \int_{E_i} (f \circ \psi_i) d\sigma$. Thus, by writing f in coordinates $f(x) = f_0 + \sum_{i=1}^n f_i x_i$, Eq. (15) becomes

$$\Psi_{(\Sigma,dx_1\wedge\cdots\wedge dx_n)}(f) = \sum_{i,j=1,\,j\neq i}^n f_j \left(\int_{E_i} x_j d\sigma - \int_{E_0} x_j d\sigma \right) e_i - \sum_{i=1}^n f_i e_i \int_{E_0} x_i d\sigma$$
$$= -\sum_{i=1}^n f_i e_i \int_{E_0} x_i d\sigma.$$

Observe that for any i = 1, ..., n the (n - 1)-form $(-1)^{i+1}x_i dx_1 \wedge \cdots \wedge dx_i \wedge dx_i$ $\cdots \wedge dx_n$ vanishes identically when restricted to the facets E_1, \ldots, E_n and coincides with $x_i d\sigma$ on E_0 . Hence, we have

$$\int_{E_0} x_i d\sigma = (-1)^{i+1} \int_{\Sigma} d(x_i dx_1 \wedge \dots \wedge \widehat{dx_i} \wedge \dots \wedge dx_n) = \int_{\Sigma} dx_1 \wedge \dots \wedge dx_n$$

and, seen as an endomorphism of \mathbb{R}^n , $\Psi_{(\Sigma, dx_1 \wedge \cdots \wedge dx_n)} = -\text{vol}(\Sigma, dx_1 \wedge \cdots \wedge dx_n)$ Id.

There is only one class of affinely equivalent *n*-simplices: for any *n*-simplex $P_{\alpha} \subset \mathfrak{t}^*$ there is an affine-linear map $\phi_{\alpha} : \mathfrak{t}^* \to \mathbb{R}^n$ such that $\phi_{\alpha}(P_{\alpha}) = \Sigma$. Hence,

$$\Psi_{(P_{\alpha},d\varpi)} = \phi_{\alpha}^{*} \circ \Psi_{(\Sigma,(\phi_{\alpha}^{-1})^{*}d\varpi)} \circ (\phi^{-1})^{*}$$

$$= -\frac{(\phi_{\alpha}^{-1})^{*}d\varpi}{dx_{1} \wedge \dots \wedge dx_{n}} \operatorname{vol}(\Sigma, dx_{1} \wedge \dots \wedge dx_{n}) \operatorname{Id}$$

$$= -\operatorname{vol}(P_{\alpha}, d\varpi) \operatorname{Id}.$$
(16)

The lemma follows then from (14).

Let *P* be a *n*-dimensional polytope in a vector space t^* of dimension *n* and denote by F_1, \ldots, F_d its facets. Up to translation, one can assume that *P* contains the origin and denote v the (unique) set of inward normals for which the defining functions of (P, v) satisfies $L_1(0) = \cdots = L_d(0) = 1$. The following observation will be useful.

Lemma 3.2 Let P be a polytope of dimension n, there is a (n + 1)-dimensional cone of normals v for which (P, v) is monotone. Moreover, this cone is parameterized by $\mathbb{R}_{>0} \times P$ via the map

$$(\lambda, p) \mapsto \left\{ \frac{\lambda v_1}{L_1(p)}, \dots, \frac{\lambda v_d}{L_d(p)} \right\}$$

where v is any given set of normals for P with defining function L_1, \ldots, L_d .

To prove Theorem 1.3, we have to show that there exists only one point $p \in P$ such that $\left(P, \left\{\frac{v_1}{L_1(p)}, \ldots, \frac{v_d}{L_d(p)}\right\}\right)$ has a constant extremal affine function, see Lemma 3.2.

Notice that any set of normals v(r) on *P* corresponds to a $r = (r_1, \ldots, r_d) \in \mathbb{R}^d_{>0}$ via the variation of normals:

$$\nu(r) = \left\{\frac{1}{r_1}\nu_1, \ldots, \frac{1}{r_d}\nu_d\right\}.$$

Choose a basis e_1, \ldots, e_n of t and corresponding coordinates (x_1, \ldots, x_n) on t*. By considering the linear system (8), we know that, for a given set of normals v(r), (P, v(r)) has a constant extremal affine function if and only if

$$A_{(P,\nu(r))} = A_0 = \frac{Z_0(P,\nu(r))}{W_{00}(P)}$$

which happens if and only if

$$W_{i0}(P)Z_0(P,\nu(r)) = W_{00}(P)Z_i(P,\nu(r)) \qquad i = 1,\dots,n.$$
(17)

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Since $(d\sigma_{\nu(r)})|_{F_k} = r_k (d\sigma_{\nu})|_{F_k}$, the system of Eq. (17) is linear in r and reads,

$$W_{i0}(P)\left(\sum_{l} \int_{F_{l}} d\sigma_{\nu} r_{l}\right) = W_{00}(P) \sum_{l} \int_{F_{l}} x_{i} d\sigma_{\nu} r_{l} \qquad i = 1, \dots, n.$$
(18)

Notation Indices *i* and *j* run from 1 to *n* while indices *k* and *l* run from 1 to *d*.

Observe that, since $\langle v_k, x \rangle = -1$ on F_k , the vector field $X = \sum_{i=1}^n x_i \frac{\partial}{\partial x_i}$ satisfies

$$\iota_X d\varpi = d\sigma_{\nu}$$
 div $X = n$ and div $x_j X = (n+1)x_j$.

In particular, $W_{00} = \frac{1}{n} \sum_k \int_{F_k} d\sigma_v$, $W_{i0} = \frac{1}{n+1} \sum_k \int_{F_k} x_i d\sigma_v$ and the system of Eq. (17) for (P, v(r)) becomes, i = 1, ..., n,

$$\sum_{k,l} \left(n \int_{F_k} x_i d\sigma_{\nu} \int_{F_l} d\sigma_{\nu} - (n+1) \int_{F_k} d\sigma_{\nu} \int_{F_l} x_i d\sigma_{\nu} \right) r_l = 0.$$
(19)

Since we seek monotone polytopes, by Lemma 3.2, we can restrict our attention to those $r = (r_1, ..., r_d) \in \mathbb{R}^d_{>0}$ such that there exists $p \in \mathring{M}$ with

$$r = (L_1(p), \ldots, L_d(p)).$$

The system of Eq. (19) becomes, i = 1, ..., n,

$$\sum_{k,l} \left(n \int_{F_k} x_l d\sigma_{\nu} \int_{F_l} d\sigma_{\nu} - (n+1) \int_{F_k} d\sigma_{\nu} \int_{F_l} x_l d\sigma_{\nu} \right) (\langle \nu_l, p \rangle + 1) = 0.$$
 (20)

Lemma 3.1 implies that $\sum_{k=1}^{d} \int_{F_k} d\sigma_{\nu} \nu_k = 0$, thus Eq. (20) reads

$$\sum_{l} \int_{F_l} x_i d\sigma_{\nu} \langle \nu_l, p \rangle = \frac{-1}{n+1} \sum_{l} \int_{F_l} x_i d\sigma_{\nu}.$$
 (21)

The left hand side is just $\langle \Psi_{(P,d\varpi)}(e_i^*), p \rangle$ where $\Psi_{(P,d\varpi)} = -\text{vol}(P, d\varpi)$ Id thanks to Lemma 3.1. This ensures that there is a unique solution $p \in \mathfrak{t}^*$ of the linear system (21) given as

$$p = \frac{1}{(n+1)\operatorname{vol}(P, d\varpi)} \left(\sum_{l} \int_{F_{l}} x_{1} d\sigma_{\nu}, \dots, \sum_{l} \int_{F_{l}} x_{n} d\sigma_{\nu} \right)$$
$$= \frac{n}{(n+1) \int_{\partial P} d\sigma_{\nu}} \left(\int_{\partial P} x_{1} d\sigma_{\nu}, \dots, \int_{\partial P} x_{n} d\sigma_{\nu} \right).$$
(22)

This solution lies in a segment between the origin and the center of mass of $(\partial P, d\sigma_v)$ and thus lies in \mathring{P} . In conclusion, the labeled polytope (P, \tilde{v}) with $\tilde{v}_i = \frac{v_i}{L_i(p)}$ where p is the barycenter of $(P, d\varpi)$ is monotone and has a constant extremal affine function. This prove Theorem 1.3.

Corollary 3.3 *The labeled polytope* (P, v) *is monotone with preferred point* p_v *and has a constant extremal affine function* $A_{(P,v)}$ *if and only if*

$$p_{\nu} = \frac{1}{\int_{P} d\varpi} \left(\int_{P} x_{1} d\varpi, \dots, \int_{P} x_{n} d\varpi \right) = \frac{1}{\int_{\partial P} d\sigma} \left(\int_{\partial P} x_{1} d\sigma, \dots, \int_{\partial P} x_{n} d\sigma \right).$$

Another simple corollary of Theorem 1.3 is that the linear space of $r \in \mathbb{R}^d$ such that $A_{(P,\nu(r))}$ is constant meets the interior of the positive quadrant of \mathbb{R}^d and thus:

Corollary 3.4 Given a polytope P, there is a cone of dimension d - n of inward normals v such that the extremal affine function $A_{(P,v)}$ is constant.

4 Analysis in the Non-rational Case

4.1 Norms and Integration

Remark 4.1 In what follows, any function f, defined on P or on \overline{P} , is identified with its pull-back on $\overline{P} \times \mathfrak{t}$ and is also denoted f. On suitable subsets, we even identify f with the corresponding T_p -invariant function on the chart (M_p, ω_p, T_p) of a vertex p.

Fixing an orientation on \mathfrak{t}^* , a lattice $\Lambda \subset \mathfrak{t}$ naturally provides a volume form, say $d\varpi_\Lambda$, on \mathfrak{t}^* since $\operatorname{Gl}(\Lambda) \subset \operatorname{Sl}(\mathfrak{t})$. With the dual volume form $d\varpi_\Lambda^*$ on \mathfrak{t} , given by the dual lattice, the volume of the torus $T = \mathfrak{t}/\Lambda$ is $(2\pi)^n$. As noticed in [22], given a rational labeled polytope (P, ν, Λ) associated to the compact symplectic orbifold (M, ω, T) with moment map $x : M \to \mathfrak{t}^*$, Fubini's Theorem implies that the integration of a *T*-invariant function on $V \subset M$ is the integration of the corresponding function on $x(V) \subset \overline{P}$ times the constant $(2\pi)^n$. Precisely, given an integrable function f on $U \subset P$, assuming $x^{-1}(U)$ is covered by the orbifold uniformizing chart $(M_p, \Lambda/\Lambda_p, \psi_p)$, see Sect. 2.1, we have

$$\int_{U} f d\varpi_{\Lambda} = \frac{1}{(2\pi)^{n}} \int_{x^{-1}(U)} f \frac{\omega^{n}}{n!} = \frac{1}{|\Lambda/\Lambda_{p}|} \frac{1}{(2\pi)^{n}} \int_{\psi_{p}^{-1}(x^{-1}(U))} f \frac{\omega_{p}^{n}}{n!}$$
$$= \frac{1}{\int_{T_{p}} d\varpi_{\Lambda}^{*}} \int_{\psi_{p}^{-1}(x^{-1}(U))} f \frac{\omega_{p}^{n}}{n!}.$$
(23)

If we consider only a labeled polytope (P, ν) , there is no preferred lattice but we can arbitrarily choose a volume form $d\varpi = dx_1 \wedge \ldots \wedge dx_n$. Formula (23) still holds: for an integrable function *f* defined on a neighborhood *U* of a vertex *p* of *P*, denoting $x : M_p \to t^*$ the moment map of the chart (M_p, ω_p, T_p) ,

$$\int_{U} f d\varpi = \frac{1}{c_p} \int_{x^{-1}(U)} f \frac{\omega_p^n}{n!}$$
(24)

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where $c_p = \int_{T_p} d\varpi^* \in \mathbb{R}_{>0}$ is a constant depending on p, on ν and on $d\varpi$. The value (24) does not depend on the vertex p.

On the other hand, given $u \in \mathcal{S}(P, \nu)$, the norm of any derivative $|\nabla^{g_u} \nabla^{g_u} \cdots \nabla^{g_u} \psi|_{g_u}$ is a smooth function on \overline{P} as soon as ψ is a smooth t-invariant function on $\overline{P} \times \mathfrak{t}$. Indeed, $|\nabla^{g_u} \nabla^{g_u} \cdots \nabla^{g_u} \psi|_{g_u}$ is then a T_p -invariant function on M_p for each vertex p of P and thus, a smooth function on the image of the moment map of (M_p, ω_p, T_p) which is $\bigcup_{F \in \mathcal{F}_p(P)} \mathring{F}$, see Sect. 2.1. These smooth continuations (one for each vertex) coincide when overlapping and thus $|\nabla^{g_u} \nabla^{g_u} \cdots \nabla^{g_u} \psi|_{g_u} \in C^{\infty}(\overline{P})$.

The above comments provide a scope for extending standard norms on functional spaces on \overline{P} . Namely, given $u \in S(P, v)$ and $d\varpi$, we take the pointwise norms of the derivatives on $P \times \mathfrak{t}$ (or on M_p if applicable) with respect to the Kähler metric g_u while we integrate over \overline{P} using the volume form $d\varpi$. Therefore, we define L^p -norms, C^k -norms, Hölder norms on suitable spaces of functions on \overline{P} giving rise to the definition of L^p -space, C^k -space and Sobolev spaces. These spaces do not depend on $d\varpi$ and coincide respectively with their (*T*-invariant) namesake on toric Kähler orbifolds in the rational case. Moreover, even when (P, v) is non-rational, they behave as if they were defined on a Kähler compact manifold: Sobolev inequalities, Hölder inequalities, Schauder estimates (for smooth operators on \overline{P}), Kondrakov Theorem hold as well, see [23]. Because (24) is (23) in the rational case, a lot of proofs are formally the same.

Remark 4.2 The boundary condition on symplectic potentials recalled in Sect. 2.3, implies that $H = (\text{Hess } u)^{-1}$ is the restriction of a smooth $S^2 \mathfrak{t}^*$ -valued function on \overline{P} . Thus, as an example of what has been said above, for $f \in C^{\infty}(\overline{P})$, $g_u(\nabla^{g_u} f, \nabla^{g_u} f) = \sum_{i,j=1}^{n} H_{ij} f_{,i} f_{,j} \in C^{\infty}(\overline{P})$ (with notation (6)).

4.2 Maximum Principle

The Laplace operator of g_u when restricted to the space of t-invariant functions on $P \times \mathfrak{t}$ is

$$\Delta_{\parallel}^{u} = \sum_{i,j=1}^{n} H_{ij} \frac{\partial^{2}}{\partial x_{j} \partial x_{i}} + \frac{\partial H_{ij}}{\partial x_{j}} \frac{\partial}{\partial x_{i}}.$$
 (25)

Thanks to the boundary behavior of H, $\Delta^{u}_{|}$ is a smooth operator on $C^{\infty}(\overline{P})$ satisfying

$$\int_{P} h\Delta^{u} f d\varpi = \int_{P} \sum_{i,j=1}^{n} H_{ij} f_{,i} h_{,j} d\varpi =: \langle df, dh \rangle_{u}.$$

Moreover, Δ^u is a symmetric operator on $C^2(\overline{P})$ whose kernel consists in constant functions. It is elliptic on P (but not uniformly elliptic). Let see why the Maximum Principle holds in this context as well. If L_1, \ldots, L_k denote the defining affine-linear functions of P, the operator Δ^u is uniformly elliptic on

$$P_{\epsilon} = \{x \in \mathfrak{t}^* \mid L_k(x) > \epsilon, \ k = 1, \dots, d\}$$

for any $\epsilon > 0$. The classical Maximum Principle tells us that a function $f \in C^2(\overline{P})$ satisfying $-\Delta^u f \ge 0$ on P_{ϵ} attains a maximum on the boundary of P_{ϵ} . Passing to the limit, we get that f must reach a maximum at a point, say p, of ∂P whenever $-\Delta^u f \ge 0$ on P. But if q is a vertex of the face in which lies p (or is p itself if p is a vertex) then $f \in C^2(M_q)^{T_q}$ and reaches a maximum at a point in M_q while $-\Delta^u f \ge 0$ as a function on M_q . But Δ^u is the Laplacian for the Kähler metric g_u on M_q . Then, f is constant. In sum, we have the following lemma.

Lemma 4.3 Let $f \in C^2(\overline{P})$ if there exists $u \in S(P, v)$ such that $\Delta^u f \leq 0$ on P then f is constant.

Remark 4.4 Of course the same principle holds for any symmetric operator which is elliptic on P and corresponds to an elliptic operator on each chart M_p .

Remark 4.5 Whenever (P, v) is rational, to $u \in S(P, v)$ is associated a toric compact Kähler manifold $(M, \omega, g_u T), \Delta^u$ is self-adjoint on the Hilbert space where it is defined, that is $W^{1,1}(M)$. Now the subset $W^{1,1}(M)^T$ of *T*-invariant functions is a closed Hilbert subspace and thus, the restriction of Δ^u is still self-adjoint. This means that the operator $\Delta^u_{||}$, as written in (25), is self-adjoint on $W^{1,1}(\overline{P})$. There is no reason for that to fail in the non-rational case.

4.3 A Cohomology Group

A classical approach adopted in Kähler geometry of compact manifolds, is to fix a complex structure *J* on a compact manifold *M* and a Kähler class $\Omega \in H^{1,1}(M, \mathbb{R})$ and study the space of compatible Kähler structures (g, ω, J) with $\omega \in \Omega$. (This is equivalent to fix ω instead, by Moser's Theorem.) This approach makes sense in our setting as well even though the cohomology of $P \times t$ is trivial because of the following important fact, explained in [3], due to the combination of a result of Schwarz [34] and the Slice theorem.

Lemma 4.6 Let (M, ω, g, J, T) be a compact toric Kähler orbifold with moment polytope P and moment map $x : M \to \overline{P}$. Then each T-invariant C^k function on M is the pull-back by x of a C^k function on \overline{P} . In particular, x defines a isomorphism $C^{\infty}(M)^T \simeq C^{\infty}(\overline{P})$.

As a consequence of Lemma 4.6, we get a way to define the Dolbeaut (1, 1) cohomology group.

Lemma 4.7 Let (M, ω, g, J, T) be a compact toric Kähler orbifold. Two real closed (1, 1)-forms β , β' on M corresponding respectively to potentials $f, h \in C^{\infty}(P)$ (i.e., $\beta = dd^c f, \beta' = dd^c f'$ on \mathring{M}) are cohomologous if and only if $f - h \in C^{\infty}(\overline{P})$.

For a given symplectic potential $u \in S(P, \nu)$, the potential of the Ricci form associated to g_u has been computed in [6], to be

$$R_u(x) = \frac{1}{2} \log \det (\text{Hess } u)_x.$$
(26)

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Remark 4.8 Thus, using Lemma 4.7, (M, ω) is monotone with constant $\lambda > 0$ if and only if for any symplectic potential $u \in S(P, \nu)$, $R_u - \lambda \tilde{\phi} \in C^{\infty}(\overline{P})$ where $\tilde{\phi}(x) = \phi((du_o)_x)$. This condition makes sense in the non-rational case as well and is equivalent to the fact that (P, ν) is monotone in the sense of Definition 1.2, see [14].

4.4 Compactifiable Forms

In the rational case, the 1-forms dx_1, \ldots, dx_n are well-defined on the compact orbifold M and thus a k-form $\psi \in \Omega^k(\overline{P})$ is pulled-back to give a *basic* k-form on M. Here basic should be understood as t-basic, meaning that the contraction of ψ and $d\psi$ by any element X_v with $v \in \mathfrak{t}$ both vanish identically. However, not every t-invariant k-form on $\overline{P} \times \mathfrak{t}$ corresponds to a form that is the restriction of a smooth form on M. This is the case for $\psi \in \Omega^k(\overline{P} \times \mathfrak{t})$ if, for any $k \in \{1, \ldots, d\}$, the contraction of ψ with $\frac{1}{L_k}X_{v_k}$ is smooth on \overline{P} . To see this, we consider a chart M_p for a vertex $p \in F_k$ and observe that the 1-forms $|z_i|^2 d\theta_i$ are smooth. We call these forms. Let ψ be such a t-invariant (2n-1)-form. Thanks to invariance $d\psi = \sum_{i=1}^n \frac{\partial}{\partial x_i} \psi_i dx \wedge d\theta$ and

$$\int_{P} (d\psi)_{|P} = \int_{P} d\left(\sum_{i=1}^{n} (-1)^{i+1} \psi_{i} dx_{1} \wedge \dots \wedge \widehat{dx_{i}} \wedge dx_{n}\right) = \int_{\partial P} \widehat{\psi}$$

where $\widehat{\psi} = \sum_{i=1}^{n} (-1)^{i+1} \psi_i dx_1 \wedge \cdots \wedge \widehat{dx_i} \wedge dx_n$. The right hand side is zero since the condition that the contraction of ψ with $\frac{1}{L_k} X_{\nu_k}$ is smooth on $P \cup \mathring{F}_k$ implies that $\widehat{\psi}$ vanishes on ∂P . Hence, the integration of an exact compactifiable 2n-form is zero supporting the evidence that compactifiable forms behave as forms living on a compact manifold.

5 Some Classical Theorems

5.1 Yau's Theorem

Theorem 5.1 ([7,41]) Given a compact complex manifold (M, J) of Kähler type and a Kähler class Ω , for each (1, 1)-form $\rho \in 2\pi c_1(M)$ there exists a unique Kähler form $\omega \in \Omega$ such that ρ is the Ricci form of the Kähler structure (ω, J) .

Since the Ricci form only depends on the volume form, Yau's Theorem reads as: given a smooth function $F \in C^{\infty}(M)$, satisfying $\int_{M} e^{F} \omega_{o}^{n} = \int_{M} \omega_{o}^{n}$ there exists a unique $\psi \in C^{\infty}(M)$ such that $\int_{M} \psi \omega^{n} = 0$, $\omega_{o} + dd^{c}\psi > 0$ and

$$(\omega_o + dd^c \psi)^n = e^F \omega_o^n. \tag{27}$$

In terms of potentials, Eq. (27) furnishes a Monge–Ampère type equation. In [7], Calabi showed the uniqueness of solution of this pde and suggested a continuity method to

prove the existence. He proved the openness of the set of solutions of (27) while Yau [41] produced a priori estimates. Good references for this proof are also [23,33].

Let (P, v) be a labeled polytope with $P \subset \mathfrak{t}^*$. We fix a symplectic potential $u_o \in \mathcal{S}(P, v)$, thus the Kähler structure (g_o, ω_o, J) on $\mathfrak{t} \oplus i\mathfrak{t}(J)$ denote the endomorphism of the tangent bundle induced by i), we denote ρ_o its Ricci form and ϕ_o the Legendre transform of u_o . Thanks to Lemma 4.7 and (26), Yau's Theorem reads:

Theorem 5.2 Given $R \in C^{\infty}(P)$ such that $R - R_{u_o} \in C^{\infty}(\overline{P})$, there exists $u \in S(P, v)$ such that $R = \frac{1}{2}\log \det (\text{Hess } u)_x$ and this solution is unique up to addition by an affine-linear function.

The uniqueness follows from a simple use of the Maximum Principle. To see why this argument holds in our setting, we recall the explanation given in [21]. Denote Ψ the Hermitian endomorphism of the tangent defined by $\omega(X, \Psi(Y)) = dd^c \psi(X, Y)$ and $\lambda_1, \ldots, \lambda_n$ its real eigenvalues. Equation (27) is

$$\prod_{i=1}^{n} (1+\lambda_i) = 1 + \sigma_1(\lambda_1, \dots, \lambda_n) + \dots + \sigma_n(\lambda_1, \dots, \lambda_n) = e^F$$

where σ_i is the *i*-th symmetric elementary function. In particular, $\omega_o + dd^c \psi > 0$ if and only if $1 + \lambda_i > 0$ for each *i*. Now, since

$$\left(\prod_{i=1}^{n} (1+\lambda_i)\right)^{\frac{1}{n}} \leq \frac{1}{n} \sum_{i=1}^{n} ((1+\lambda_i)) = 1 + \sigma_1(\lambda_1, \dots, \lambda_n)$$

and that $\sigma_1 = -\Delta^{g_o} \psi$. We get

$$\Delta^{g_o}\psi \le n(1-e^{\frac{F}{n}}). \tag{28}$$

We conclude, using Sect. 4.2, that in our generalized setting as well if there is a solution of Eq. (27) this solution is unique.

With the discussion of Sect. 4, we should be convinced that the proofs of Calabi and Yau are still valid for non-rational labeled polytopes, but we check the details a little bit more. We pass to the complex side of the picture in order to rely on the existing literature on this topic. We consider the path of equations obtained by taking $e^{F_t} = \frac{e^{tF}}{\frac{1}{V_\Omega} \int_M e^{tF} d\varpi}$, where $V_\Omega = \int_M d\varpi$. The set *S* of $t \in [0, 1]$ such that there exists a solution of Eq. (27) with $F = F_t$ is non-empty, since, at t = 0, $\psi \equiv 0$ is a solution.

The linearization of Eq. (27) is

$$\dot{F} \mapsto \Delta^u \dot{F}$$

as explained in [21,23,33]. Hence, the fact that *S* is open follows the fact that the Laplacian defines an isomorphism of $C_{0,g}^{\infty}(M) = \{f \in C^{\infty}(M) \mid \int_{M} f d\varpi_{g} = 0\}$. The space of invariant functions

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$$C_{0,g}^{\infty}(M)^{T} = \left\{ f \in C^{\infty}(M)^{T} \mid \int_{M} f d\varpi_{g} = 0 \right\} = C_{0,d\varpi}^{\infty}(\overline{P})$$

is closed under this isomorphism and the Laplacian, when acting on $C_{0,g}^{\infty}(M)^T$, reduced the smooth elliptic operator (25). Thus, the argument is valid on non-rational polytopes as well, see Sect. 4.

To prove that *S* is a closed subset of [0, 1], one needs a priori estimates on solutions of the Monge–Ampère Eq. (27). The estimates were found by Yau in [41]. This is a great piece of work that inspired a lot of subsequent studies. In particular, in [39], Tian and Zhu used and adapted Yau's ideas to a more complicated equation corresponding to Kähler–Ricci solitons. In Sect. 5.3, we discuss how their study carries in our setting. The uniform bound (the C^0 estimate) follows a boot strapping method as explained in [23] and uses only Stokes's Theorem, Sobolev embedding Theorem, ...that hold in our setting as explained in Sect. 4.

5.2 Zhu's Theorem

In [42], Zhu considered the following problem: on a compact Fano manifold (M, J), given a Kähler form $\tau \in 2\pi c_1(M)$ and a holomorphic vector field Z on M, does there exist a Kähler metric (ω, g) such that

$$\rho^g - \tau = \mathcal{L}_Z \omega \tag{29}$$

and, if it exists, is it unique ? Zhu proved unicity of solution (up to automorphism) and exhibited necessary and sufficient conditions on the vector field Z for a solution to exist. In the toric case, for a T-invariant form τ , these conditions are fulfilled whenever $Z = JX_a - iX_a$ for some $a \in t$. Moreover, in this case, the solution is T-invariant. Via Lemma 4.7, with the same notation as before (picking a reference metric ω_o, \ldots) Zhu's result reads as follows

Theorem 5.3 Given a convex function $R \in C^{\infty}(P)$ such that $R - R_{u_o} \in C^{\infty}(\overline{P})$ and $a \in \mathfrak{t}$, there exists $u \in S(P, v)$ such that $R_u - R = a$ and this solution is unique up to addition by an affine-linear function.

In terms of potentials, a solution ψ of Eq. (29), corresponding to $\omega_{\psi} = \omega_o + dd^c \psi$, satisfies

$$\det(g_{1\bar{1}} + \psi_{1\bar{1}}) = e^{f_o - \theta_Z - Z.\psi} \det(g_{1\bar{1}}), \quad g_{1\bar{1}} + \psi_{1\bar{1}} > 0$$
(30)

where θ_Z , $f_o \in C^{\infty}(\overline{P})$ satisfies $\mathcal{L}_Z \omega_o = dd^c \theta_Z$ and $\rho_o - \tau = dd^c f_o$. He observed that $Z.\psi$ needs to be a real-valued function. In our case, working with t-invariant functions and holomorphic vector field induced from $\mathfrak{t} \oplus i\mathfrak{t}$ this condition is satisfied. Then using a continuity method Zhu proved uniqueness and existence of solutions assuming that τ is positive definite (1, 1)-form. The path of equations he considered starts at the pde corresponding to Yau's result. So we get the non-emptyness thanks to Yau's Theorem (which holds in our context by the last section). The proof of openness and uniqueness use standard arguments and facts on compact manifold (Stokes, integration by parts, Maximum Principle, ...). A key ingredient for estimates leading to the closeness part of Zhu's proof is an a priori bound on $|Z.\psi|$ on compact Kähler manifolds as soon as (Im Z). $\psi = 0$ and $\omega_o + dd^c \psi > 0$. The proof of Zhu use classification of complex surfaces and cannot be directly adapted to our generalized setting. However, we only need a weaker result: since $\psi(t) = \phi(t) - \phi_o(t)$ and $Z = JX_a - iX_a$ for some $a \in t$, with respect to the coordinates $t + i\theta$, we have

$$|(Z.\psi)_t| = |d\psi(JX_a - iX_a)_t| = \left|\sum_{i=1}^n a_i \left(\frac{\partial\phi}{\partial t_i} - \frac{\partial\phi_o}{\partial t_i}\right)\right| = |\langle a, x \rangle - \langle a, x_o \rangle|$$

$$\leq \max\{\langle a, x \rangle \,|\, x \in \overline{P}\} - \min\{\langle a, x_o \rangle \,|\, x_o \in \overline{P}\}.$$
(31)

This gives the desired bound. For the rest of the estimates, Zhu adapts Yau's argument and we will see a more complicated version in the next section.

5.3 The Theorem of Wang and Zhu

Theorem 5.4 ([40]) Given a compact Fano toric manifold (M, J, T) and Z_a the Kähler-Ricci vector field (where $a \in t$ is defined by (3)). For any $\lambda > 0$, there exists a unique T-invariant Kähler form $\omega \in 2\pi\lambda c_1(M)$ such that (g, ω, J) is a Kähler–Ricci soliton with respect to Z_a .

Let (P, v) be a monotone labeled polytope with preferred point $p \in P$ and $a \in \mathfrak{t}$ be defined by (3). Again, we fix a symplectic potential $u_o \in S(P, v)$ and use the same notation as before.

If (g, ω, J) is a Kähler–Ricci soliton with respect to $Z = JX_a - iX_a$ in the sense that it satisfies (2) with $\lambda > 0$, one can write

$$-dd^{c}\log\frac{\omega^{n}}{\omega_{o}^{n}} = dd^{c}(\theta_{Z} - f_{o} + \lambda\psi + Z.\psi).$$
(32)

Indeed, this is Eq. (2) with $\rho - \rho_o = -dd^c \log \frac{\omega^n}{\omega_o^n}$ and $n\lambda \int_P d\varpi = \int_{\partial P} d\sigma_{\nu}$. Wang and Zhu used a continuity method on the modified equation

$$(\omega_o + dd^c \psi)^n = e^{f_o - \theta_Z - s\psi - Z \cdot \psi} \omega_o^n \tag{33}$$

for a parameter $s \in [0, 1]$. The normalization imposed is

$$\int_{M} e^{f_o} \omega_o^n = \int_{M} \omega_o^n, \qquad \int_{M} e^{\theta_Z + Z \cdot \psi} \omega^n = \int_{M} e^{f_o - \psi} \omega_o^n = \int_{M} \omega_o^n.$$
(34)

For s = 0, the existence of a unique solution is due to Zhu's result [42], which holds in our context see Sect. 5.2. Again the proof of Wang and Zhu to prove uniqueness of solution and existence under small variation use standard stuff on compact manifold (Stokes, integration by parts, Maximum Principle, ...) that hold in our setting as explained in Sect. 4. The uniform estimate follows from some nice arguments of convexity on the potentials which do not rely on the geometry of M, it is clearly explained in [40, §3] and [14, §3.4]. The next lemma, stated and proved in [39] is crucial to get the higher estimates of Wang and Zhu. It is still valid in our generalized setting as we explain below.

Lemma 5.5 Fix $0 \le s \le 1$. If $\psi = \phi - \phi_o \in C^{\infty}(\mathfrak{t})$ is a solution of

$$(\omega_o + dd^c \psi)^n = e^{f_o - \theta_Z - s\psi - Z \cdot \psi} \omega_o^n \tag{35}$$

where ϕ , ϕ_o are the Legendre transforms of u, $u_o \in S(P, v)$ and ϕ_o is a potential for ω_o then a C^0 bound on ψ provides C^2 and C^3 bounds on ψ .

Remark 5.6 Note that the normalization (34) only affects ψ up to an additive constant, so the condition $\text{Im}(t \mapsto (d\phi)_t) = \text{Im}(t \mapsto (d\phi_o)_t) = P$ is not over determined.

Proof of Lemma 5.5 Equation (35) is (27) with *F* replaced by $f_o - \theta_Z - s\psi - Z.\psi$. The proof of Tian and Zhu is an adaptation of Yau's approach. Recall that Zhu gave an a priori bound on $|Z.\psi|$ that still hold in our context Sect. 5.2. Apart from this fact, the arguments of Tian–Zhu are essentially local, using the compactness of the manifold only to get bounds on various continuous functions (depending on $(\omega_o, g_{u_o}))$) appearing in the equations. Applying the principle explained in Sect. 4.1 is then enough to claim that the estimates hold in our setting.

We present below the details for getting the second order estimate.

As a first step, a local computation shows that, for solutions of (27), a priori bounds on |F| and $|\Delta^{g_o}\psi|$ give a priori bounds on $|dd^c\psi|_{g_o}$, see [23, Proposition 5.3.4]. Hence, for solutions of (35), it is sufficient to bound $|\Delta^{g_o}\psi|$ and $|s\psi + Z.\psi|$. A bound on $|Z.\psi|$ follows from (31). It remains to find a bound on $|\Delta^{g_o}\psi|$. We only have to find an upper bound to $\Delta^{g_o}\psi$ since

$$0 < \operatorname{tr}_{\omega_o}(\omega_o + dd^c \psi) = n + \Delta^{g_o} \psi$$

where tr_{ω_o} is the trace with respect to ω_o . Tian and Zhu computed that

$$\Delta^{g}((n+\Delta\psi)\exp(-c\psi)) \ge \exp(-c\psi)(c+\inf_{\substack{1\neq l}} R_{\underline{1}\overline{l}l\overline{l}})(n+\Delta\psi)\left(\sum_{\underline{1}}\frac{1}{1+\psi_{\underline{1}\overline{1}}}\right)$$
$$+\exp(-c\psi)\left((\Delta(f_{o}-\theta_{Z}-\lambda\psi-Z.\psi)-n^{2}\inf_{\underline{1}\neq l} R_{\underline{1}\overline{l}l\overline{l}})-cn(n+\Delta\psi)\right)(36)$$

at any point *p*, where $\Delta = \Delta^{g_o}$, $R_{1\bar{j}k\bar{l}}$ are components of the curvature tensor of the metric g_o with respect to holomorphic coordinates, say *z*, chosen at *p* so that $(g_o)_{1\bar{j}} = \delta_{1\bar{j}}$ and $\psi_{1\bar{j}} = \delta_{1\bar{j}}\psi_{1\bar{1}}$ (this convention is used in local computation mentioned above).

Note that each function appearing in the right hand side of (36) is smooth on \overline{P} . In particular, as a consequence of the boundary condition on symplectic potential (see Proposition 2.9), the function $x \mapsto R_{1\overline{1}l\overline{l}}(t_x)$ is smooth on \overline{P} . Indeed, changing the variables from t to x, one gets

$$R_{1\bar{1}l\bar{l}} = -\frac{\partial^2 (g_o)_{1\bar{1}}}{\partial z_l \partial \bar{z}_l} + \sum_{p,q} g_o^{p\bar{q}} \frac{\partial (g_o)_{p\bar{1}}}{\partial z_l} \frac{\partial (g_o)_{l\bar{q}}}{\partial \bar{z}_l}$$
$$= -\sum_{r,s} H_{ls} \frac{\partial}{\partial x_s} \left(H_{lr} \frac{\partial H_{il}}{\partial x_r} \right) + \sum_{r,s} H_{rs} \frac{\partial H_{il}}{\partial x_s} \frac{\partial H_{il}}{\partial x_r}.$$
(37)

Now, let $p \in \overline{P}$ be a point where the function $\exp(-c\psi)(n+\Delta\psi)$ attains its maximum. Then, using (31) and the compactness of \overline{P} , we can show, as Tian and Zhu did, that at this point p, there exist $C_1, C_2 > 0$ such that

$$\Delta(-f_o + \theta_Z + \lambda \psi + Z.\psi) \le C_1 + C_2(n + \Delta \psi).$$
(38)

Inserting this into (36) and using some local formulas (following [41]), there are constants C_3 , C_4 , C_5 independent of ψ such that

$$\Delta^{g}((n+\Delta\psi)\exp(-c\psi)) \ge -\exp(-c\psi)(C_{3}+C_{4}(n+\Delta\psi)) + C_{5}\exp(-c\psi+\frac{s}{n-1}\psi)(n+\Delta\psi)^{n/(n-1)}.$$
 (39)

Then, Yau applied the Maximum Principle: the left hand side of (39) is the Laplacian of a function at its maximum so it must be negative. This argument holds if the maximum is not attained on the boundary of a manifold (which was obviously the case in Yau and Tian–Zhu setting). Actually, it works in our setting as well: if $p \in F \subset \partial P$ where F is a face containing a vertex, say q, then the left hand side of (39) is the Laplacian (of a smooth metric g_u) of a function defined on M_q (see Sect. 2.3) which attains a local maximum at p so it must be negative. Hence, we get the Tian–Zhu estimate in our generalized setting:

$$(n + \Delta \psi_s) \le C(1 + \exp(-s \inf_M \psi_s)) \exp(-c(\psi_s + \inf_M \psi_s))$$
(40)

for constants c, C independent of ψ .

Following the same argument, the C^3 -estimate of Tian and Zhu holds as well. \Box

6 Applications

6.1 Extremal Kähler Equation

Consider the extremal Kähler equation

$$S(u) = A_{(P,\nu)} \tag{41}$$

for $u \in S(P, v)$ where S(u) is the scalar curvature of g_u , given by Abreu's formula (1). If u is solution of (41), then g_u is an extremal Kähler metric in the sense of Calabi [8]. In [15], Donaldson proved³ that the cokernel of the linearization of the map $u \mapsto S(u)$ in $C^{\infty}(\overline{P})$ is the set of affine linear functions on \overline{P} . In particular, denoting $\mathbf{N}(P)$ the cone of normals inward to P, the linearization of the extension of the scalar curvature map

$$S: \bigcup_{\nu \in \mathbf{N}(P)} \mathcal{S}(P, \nu) \longrightarrow C^{\infty}(\overline{P})$$
$$u \longmapsto S(u) \tag{42}$$

is surjective on $\{A_{(P,\nu)} | \nu \in \mathbf{N}(P)\} \oplus (C^{\infty}(\overline{P})/\operatorname{Aff}(P, \mathbb{R}))$. Thus, together with the fact that $\bigsqcup_{\nu \in \mathbf{N}(P)} S(P, \nu)$ is path connected, the set $\mathbf{E}(P)$ of inward normals ν for which there exists an extremal Kähler metric g_u with $u \in S(P, \nu)$ is open in $\mathbf{N}(P)$. Gathering this with Theorems 1.3, 1.6 and Corollary 3.4, we get Corollary 1.7.

6.2 Lattice Polytopes and Kähler-Einstein Orbifolds

Lemma 6.1 Let (P, v) be a monotone labeled polytope with a constant extremal affine function. Then, $span_{\mathbb{Z}}\{v\}$ is a lattice if and only if *P* is a lattice polytope.

Proof One direction is straightforward: if ν spans a lattice Λ there is a toric Kähler– Einstein orbifold with moment polytope P and the general theory tells us that P is a lattice polytope.

Conversely, first observe that the assumption implies that there is a lattice containing both the set of vertices of P and the preferred point p_{ν} . To see this, one can use Corollary 3.3 once \mathfrak{t}^* identified with \mathbb{R}^n and the lattice spanned by the vertices with \mathbb{Z}^n . Denote by $\Lambda^* \subset \mathfrak{t}^*$ the lattice spanned by p_{ν} and the vertices of P. Use a translation to set $p_{\nu} = 0$ (the vertices are still in Λ^*) and, up to a dilatation of ν , assume that $L_l = \langle \nu_l, \cdot \rangle + 1, l = 1, \ldots, d$. Since the origin lies in the interior of the polytope, each facet contains a set of vertices of P which is a (real) linear basis of \mathfrak{t}^* . Such a basis lies in Λ^* by assumption and, thus, is a rational linear basis of $\Lambda^* \otimes \mathbb{Q}$. This implies that $\langle \nu_l, q \rangle$ is a rational number for each $q \in \Lambda^*$ and $l = 1, \ldots, d$. In particular, there exists $m \in \mathbb{N}$ such that the set $m\nu_1, \ldots, m\nu_d$ is included in the dual lattice of Λ^* .

Corollary 6.2 If *P* is a polytope with vertices in Λ^* , and ν is a set of normals given by Theorem 1.3 then there exists a real number s > 0 such that $\text{span}_{\mathbb{Z}}\{s\nu\}$ is a sublattice of Λ , the dual lattice of Λ^* .

³ The argument is stated for n = 2 but holds in general.

6.3 Singular Kähler–Einstein Metrics

Consider a Delzant labeled polytope (P, η, Λ) , see Definition 2.1. The associated compact symplectic toric orbifold (M, ω, T) is a smooth manifold (all the orbifold structure groups are trivial). Denote the moment map $x : M \to \mathfrak{t}^*$ and recall that $T = \mathfrak{t}/\Lambda$.

The construction of (M, ω, T) of Duistermaat–Pelayo [18], recalled in Sect. 2, allows us to see M as a smooth compactification of $P \times T$. There is an equivariant symplectomorphism between the open subset of M where the torus acts freely, say $\mathring{M} = x^{-1}(P)$, and $P \times T$.

Consider another set v of normals inward to P and a symplectic potential $u \in S(P, v)$. The Kähler metric g_u defined by (6) is a t-invariant smooth Kähler metric on $P \times t$ and, thus, defines a smooth Kähler metric, still denoted g_u , on $P \times T$, compatible with the symplectic form $dx \wedge d\theta$. Hence, via the equivariant symplectic embedding

$$(P \times T, dx \wedge d\theta, T) = (\mathring{M}, \omega_{|_{\mathring{M}}}, T) \subset (M, \omega, T),$$

 g_u is a smooth Kähler metric on \mathring{M} compatible with the symplectic form $\omega_{|_{\mathring{M}}}$. This metric g_u is not the restriction of a smooth metric on M unless $\nu = \eta$.

Remark 6.3 If v spans a sub-lattice of Λ , the Kähler structure $(g_u, \omega_{|_{\hat{M}}}, J_u)$ compactifies smoothly (in the orbifold sense) on the orbifold associated to (P, v, Λ') .

We now describe the singular behavior of g_u along the toric submanifolds corresponding to the pre-image of the interior of the facets of P. For each facet F_k , there is a real number $a_k > 0$ such that

$$a_k v_k = \eta_k. \tag{43}$$

The type of the singularity along $x^{-1}(\mathring{F}_k)$ only depends on a_k and to understand which types of singularity may occur we only need to study the possibilities on a sphere. To see this, we can use the alternative definition of $\mathcal{S}(P, \nu)$ of [3], recalled in Sect. 2.3.

Let $P = (0, 2) \subset \mathbb{R}$ and $\nu_1 = \frac{1}{a}\eta_1$ where η_1 is the generator of S^1 . Thus, $(P, \{\eta_1, -\eta_1\})$ is the labeled polytope of the sphere S^2 of volume 4π . Let a neighborhood of the origin $U \subset \mathbb{R}^2$ be a S^1 -equivariant chart around the south pole $x^{-1}(0)$. With respect to polar coordinates (r, θ) on U (with the period 2π given by η_1 as in (5)), we have $x = \frac{1}{2}r^2$ and $\theta = \theta$. On $(0, 2) \times S^1$ the metric g_u is

$$g_u = \frac{dx \otimes dx}{2ax + O(x^2)} + (2ax + O(x^2))d\theta \otimes d\theta.$$
(44)

The change of coordinates in the metric (44) gives

$$g_u = \frac{1}{a} \left(dr \otimes dr + a^2 r^2 d\theta \otimes d\theta \right) - \frac{r^2 dr \otimes dr}{a(a+O(r^2))} + O(r^4) d\theta \otimes d\theta$$
(45)

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as computed in [3]. The last two terms are smooth and vanish at r = 0. Therefore

if a < 1, g_u has a singularity of conical type and angle $2a\pi$, if a = 1, g_u is smooth,

if a > 1, g_u has a singularity characterized by a large angle $2a\pi > 2\pi$. (46)

Consequently, we obtain

Proposition 6.4 Let (M, ω, T) be a smooth compact symplectic toric manifold associated to the Delzant labeled polytope (P, η, Λ) . For any set v of normals inward to P, the symplectic potentials in S(P, v) define T-invariant, compatible, Kähler metrics on the open dense subset where the torus acts freely via formula (6). The behavior of these metrics along the pre-image of the interior of the facet \mathring{F}_k only depends on the real number $a_k > 0$, defined by $a_k v_k = \eta_k$, as in (46).

Proposition 6.5 Let (M, ω, T) be a smooth compact symplectic toric manifold associated to the Delzant labeled polytope (P, η, Λ) . Fix v, a set of inward normals such that (P, v) is monotone and has a constant extremal affine function equals to 2n.

For any $\lambda > 0$, there exists a *T*-invariant Kähler–Einstein metric g_{λ} smooth on the open dense subset where the torus acts freely, compatible with ω and with scalar curvature equals to $2n\lambda$. The type of singularity of g_{λ} along the pre-image of the interior of the facet \mathring{F}_k is one of the 3 cases of (46) with a_k defined by $\lambda a_k v_k = \eta_k$. In particular, for λ small enough, the singularity along the pre-image of the interior of any facet is of conical type.

Remark 6.6 Proposition 6.4 gives a way to construct plenty of singular metrics. For instance, a dilatation of the set of normals, sv with s > 0, corresponds in multiplying the volume (with respect to $g_u \in S(P, sv)$) of the orbits of T in M by a factor $s^{\frac{n}{2}}$.

Now, we interpret the Kähler metric g_u has a singular Kähler metric in the usual sense (smooth complex structure and singular symplectic form). Indeed, the singularity of $\Phi_u^{-1} \circ \Phi_{u_o} : P \times \mathfrak{t} \to P \times \mathfrak{t}$ (see notation (11) in Sect. 2.5) when $u \in S(P, \nu)$ and $u_o \in S(P, \eta)$ lies along the boundary ∂P and only depends on the ratio of η and ν . That is,

$$((\Phi_u^{-1} \circ \Phi_{u_o})^* g_u, (\Phi_u^{-1} \circ \Phi_{u_o})^* dx \wedge d\theta, J_{u_o})$$

is a smooth Kähler metric on $P \times \mathfrak{t} = \mathring{M}$ compatible with J_{u_o} and the complex structure J_{u_o} admits a smooth compactification on M. We take back the local setting: $U \subset \mathbb{R}^2$, a S^1 -equivariant chart around the south pole $x^{-1}(0)$ as above, with the polar coordinates (r, θ) and singular Kähler structure (g_u, J_u) given by (47) for some a > 0. For our purpose (which is analyzing the local singularity), the smooth Kähler structure $(g_{u_o}, dx \wedge d\theta, J_{u_o})$ can be identified to the standard one on $\mathbb{R}^2 \simeq \mathbb{C}$, namely $(dr^2 + r^2 d\theta^2, \omega = r dr \wedge d\theta, i)$, and the smooth part of g_u can be forgotten. We put $g_u = \frac{1}{a} (dr \otimes dr + a^2 r^2 d\theta \otimes d\theta)$. Moreover, we don't need to find explicitly $\Phi_u^{-1} \circ \Phi_{u_o}$ but only a diffeomorphism of $U \setminus \{0\}$ which takes g_u to a metric which is

Kähler with respect to the standard complex structure on $U \setminus \{0\}$. Consider $z = r^{1/a} e^{i\theta}$ as in [17] to see that

$$a|z|^{2(a-1)}dz \otimes d\bar{z} = \frac{1}{a} \left(dr \otimes dr + a^2 r^2 d\theta \otimes d\theta \right) - i dx \wedge d\theta = g_u - i\omega.$$
(47)

Up to the multiplicative factor a, the (1, 1)-form

$$\omega_a = a|z|^{2(a-1)}idz \wedge d\bar{z}$$

is either:

singular of conical type and angle
$$2a\pi$$
, when $a < 1$
smooth and positive definite, when $a = 1$
smooth but not positive definite, when $a > 1$. (48)

6.4 Singular Kähler-Einstein Metrics on the First Hirzebruch Surface

Let *P* be the convex hull of the points (1, 0), (1, 1), (2, 2), (2, 0) in \mathbb{R}^2 . Consider the two sets of inward normals:

$$\eta = \left\{ \eta_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \eta_2 = \begin{pmatrix} -1 \\ 0 \end{pmatrix}, \eta_3 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \eta_4 = \begin{pmatrix} 1 \\ -1 \end{pmatrix} \right\},$$
$$\nu(C) = \left\{ \nu_1 = C\frac{7}{5} \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \nu_2 = C\frac{7}{4} \begin{pmatrix} -1 \\ 0 \end{pmatrix}, \nu_3 = C \begin{pmatrix} 0 \\ 1 \end{pmatrix}, \nu_4 = C \begin{pmatrix} 1 \\ -1 \end{pmatrix} \right\}.$$

One can check that (P, η) satisfies the Delzant condition and corresponds to the first Hirzebruch surface $\mathbb{P}(\mathcal{O} + \mathcal{O}(-1))$. On the other hand, $(P, \nu(C))$ is monotone and has constant extremal affine function. Actually, see [24], we explicitly know the form of the Kähler–Einstein metric on quadrilaterals in terms of the inverse of the Hessian of the potential: using notation (6), it reads

$$(H_{ij}) = \frac{x_1}{x_1^2 - x_2} \begin{pmatrix} A(x_1) + B(x_2/x_1) & (x_2/x_1)A(x_1) + x_1B(x_2/x_1) \\ (x_2/x_1)A(x_1) + x_1B(x_2/x_1) & (x_2/x_1)^2A(x_1) + x_1^2B(x_2/x_1) \end{pmatrix}$$
(49)

with

$$A(x) = \frac{-2C}{7}(x-1)(x-2)(2+3x),$$

$$B(y) = -2Cy(y-1).$$
(50)

Remark 6.7 The case C = 1 gives a singularity of angle $2\pi 5/7$ along the section at infinity of $\mathbb{P}(\mathcal{O} + \mathcal{O}(-1))$ which is precisely the angle of singularity obtained by Székelyhidi [36] in the limit case of a construction (using Calabi ansatz) of metrics on $\mathbb{P}(\mathcal{O} + \mathcal{O}(-1))$ satisfying $\operatorname{Ric}(\omega) \ge \frac{6}{7}\omega$, see also [29,30].

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