

Coenzyme Q and respiratory supercomplexes: physiological and pathological implications

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Abstract

It was discovered over 60 years ago that the mitochondrial respiratory chain is constituted of a series of protein complexes imbedded in the inner mitochondrial membrane. Experimental evidence has more recently ascertained that the major respiratory complexes involved in energy conservation are assembled as supramolecular units (supercomplexes, SCs) in stoichiometric ratios. The functional role of SCs is less well defned, and still open to discussion. Several lines of evidence favour the concept that electron transfer from Complex I to Complex III operates by channelling of electrons through Coenzyme Q molecules bound to the SC I1III2IV*n*, in contrast with the previously accepted hypothesis that the transfer of reducing equivalents from Complex I to Complex III occurs via random difusion of the Coenzyme Q molecules in the lipid bilayer. On the contrary, electron transfer from Complex III to Complex IV seems to operate, at least in mammals, by random difusion of cytochrome c molecules between the respiratory complexes even if assembled in SCs. Furthermore, another property provided by the supercomplex assembly is the control of generation of reactive oxygen species by Complex I, that might be important in the regulation of signal transduction from mitochondria. This review discusses physiological and pathological implications of the supercomplex assembly of the respiratory chain.

Keywords Mitochondria · Respiratory chain · Supercomplexes · Channelling · Reactive oxygen species

The advancement of Science is long and tortuous: it often happens that novel discoveries, rather than implementing the background of established knowledge, introduce uncertainties and controversies. This is exactly the case of the discovery and function of respiratory supercomplexes (SCs). To this purpose it is useful to perform a brief historical outline of the respiratory chain, since some discoveries of the past have anticipated some of the present knowledge, although they were surprisingly neglected at the time.

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1 The discovery of the respiratory chain

The frst real understanding of the respiratory chain is due to Britton Chance at the beginning of the 50's of the last century. It had just been discovered that respiration takes place in mitochondria, where also the enzymes of fatty acid oxidation and Krebs cycle are localized (Kennedy and Lehninger [1949\)](#page-11-0), when Chance and Williams [\(1955\)](#page-10-0) on the basis of sophisticated spectroscopic studies depicted the respiratory chain as a solid-state assembly of favins and cytochromes in a protein matrix. Though aware that respiration relies on enzymes, they formulated the following *respiratory chain* for substrate oxidation [note the unusual order of the components in the sequence (1) originally published by the authors, stressing oxidation of cytochromes a_3/a , *c*, *b*, flavoprotein (fp) and nicotinamide adenine dinucleotide, formerly known as diphosphopyridine nucleotide (DPN), rather than substrate dehydrogenation]:

 $O_2 \rightarrow a_3 \rightarrow a \rightarrow c \rightarrow b \rightarrow fp \rightarrow DPN \rightarrow substrate$ (1)

Hatefi et al. [\(1962a](#page-11-1)) in David Green's laboratory in Wisconsin, accomplished the systematic resolution and

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reconstitution of four respiratory complexes from mito-chondria, thus leading Green (Green and Tzagoloff [1966\)](#page-11-2) to postulate that the overall respiratory activity (Fig. [1\)](#page-1-0) is the result of both intra-complex electron transfer in the 'solid' state of redox components (e.g. favins, FeS clusters, cytochromes) having fxed steric relation and, in addition, of inter-complex electron transfer ensured by rapid difusion of mobile components acting as co-substrates, i.e. ubiquinone (Coenzyme Q, CoQ), a lipophilic quinone inserted in the membrane lipid bilayer (cf. below), and cytochrome c (cyt. c), a hydrophilic heme protein confned to the water phase of the mitochondrial intermembrane space, in close contact with the external surface of the inner membrane.

In fact, Hatefi et al. $(1962a)$ $(1962a)$ $(1962a)$ had been able to isolate, from mitochondria of beef heart, four primary enzyme complexes that are operative in the oxidation of succinate and NADH, namely NADH-Coenzyme Q reductase (Complex I, CI), succinate-Coenzyme Q reductase (Complex II, CII), ubiquinol-cytochrome c reductase (Complex III, CIII or cytochrome bc1 Complex) and cytochrome c oxidase (Complex IV, CIV).

These enzyme complexes are functionally connected by their partner substrates, CoQ and cyt. c, acting as two mobile redox-active molecules.

Indeed, Coenzyme Q was discovered by Fred Crane (Crane et al. [1957](#page-10-1)) in the laboratory of David Green: its redox activity made it a plausible candidate for a respiratory chain component, as also demonstrated by Crane in extraction/reconstitution studies in bovine mitochondria.

About the same period Morton ([1958\)](#page-12-0) in UK isolated from mitochondria a lipophlic quinone and named it *ubiquinone.* According to Redfearn and Pumphrey ([1960\)](#page-12-1), the kinetics of CoQ reduction in the respiratory chain seemed too slow to account for a central position. Nevertheless, Crane et al. ([1959\)](#page-10-2) demonstrated that CoQ extraction from mitochondria abolishes respiration, that can be restored by CoQ addition. In Crane's studies, the essentiality of CoQ for electron transfer was limited to succinate oxidation, since organic solvents destroyed NADH oxidation activity. Only almost 10 years later Ludmilla Szarkowska, visiting

Fig. 1 Scheme of the respiratory chain. Sequence, composition, and stoichiometry of the four complexes of the mitochondrial electron transfer chain, as originally drawn by Green and Tzagoloff [\(1966](#page-11-2)). nHFe, nonheme iron; Fd, acid-extractable favin; Fs, acid-nonextract-able flavin. Reprinted from Green and Tzagoloff ([1966\)](#page-11-2) with permission from Elsevier

professor in Madison, demonstrated the role of CoQ in NADH oxidation using lyophilized pentane-extracted mitochondria (Szarkowska [1966](#page-12-2)).

In the current view, the respiratory chain functions with the operation of the respiratory enzyme complexes in the following sequence of redox reactions between the partner components:

$$
NADH \rightarrow CI \rightarrow CoQ \rightarrow CIII \rightarrow cyt.c \rightarrow CIV \rightarrow O_2,
$$
\n(2)

or

$$
\text{Succinate} \rightarrow \text{CII} \rightarrow \text{CoQ} \rightarrow \text{CIII} \rightarrow \text{cyt.c} \rightarrow \text{CIV} \rightarrow \text{O}_2. \tag{3}
$$

The best ft unit stoichiometry between complexes in bovine heart mitochondria is: 1:1.3:3:6.7 for CI:CII:CIII:CIV (Schägger and Pfeifer [2001\)](#page-12-3).

The random distribution of the respiratory complexes embedded in the lipid bilayer of the inner mitochondrial membrane was reinforced over the following years, thanks to the careful kinetic analysis of Kröger and Klingenberg $(1973a, b)$ $(1973a, b)$ $(1973a, b)$ $(1973a, b)$ $(1973a, b)$ (cf. Sect. [3\)](#page-3-0), and the reconstitution and freezefracture electron microscopy studies of Hackenbrock et al. ([1986](#page-11-5)) leading this latter author to postulate the *Random Collision Model of Electron Transfer*. According to Hackenbrock, electron transport is a difusion-coupled kinetic process. Electron transport is a multicollisional, obstructed, long-range difusional process. Moreover, the rates of diffusion of the redox components have a direct infuence on the overall kinetic process of electron transport and can be rate limiting, as in difusion control. In particular, it was the lateral difusion of CoQ to be considered the rate-limiting step in substrate oxidation up to CIII.

At the same time, our research group, though not contrasting the role of CoQ difusion in establishing useful interactions between CI and CIII or between CII and CIII, provided experimental evidence that CoQ difusion is not rate-limiting in mitochondrial electron transfer (Lenaz and Fato [1986\)](#page-11-6).

2 An unexpected shift in understanding the respiratory chain: respiratory supercomplexes

The organization of the respiratory chain has represented a major research subject in the 1970–1980's, culminating with acceptation of the random collision model (Hackenbrock et al. [1986](#page-11-5)) by the majority of investigators in the feld.

The accumulation of recent experimental evidence obtained with newly developed techniques and the instauration of a holistic approach has led to the proposal of a diferent model of supramolecular organisation of the respiratory chain based upon specifc, though dynamic, interactions between individual respiratory components, and leading to the acquisition of new properties (substrate channelling, assembly, morphological organisation) that were unpredictable in the previous reductionist approach.

Paradoxically, evidence against a random distribution of respiratory complexes also derived from the same early investigations in Green's laboratory, reporting isolation of $CI + CIII$ units (Hatefi et al. [1962b](#page-11-7)), indicating that such units may be preferentially associated in the native membrane. The authors of these studies were well aware that these preferential associations were physiological and indicated the existence of supramolecular units of electron transfer. Hatefi et al. ([1962b\)](#page-11-7) in their paper on the reconstitution of the electron transfer system stated that

"the four primary enzyme systems may be suitably combined to form secondary units capable of catalysing the sums of the reactions catalysed by the respective subunits".

A careful examination of these pioneering papers allows one to conclude that respiratory SCs, as well as the entire DPNH oxidase (the *respirasome,* see later) had been isolated and reconstituted. The appearance of the fuid mosaic model of membranes (Singer and Nicolson [1976\)](#page-12-4) strongly infuenced the researchers involved in the study of mitochondrial membranes, and this is probably the reason why the random collision model of Hackenbrock (Hackenbrock et al. [1986](#page-11-5)) was so well and uncritically accepted, obscuring in such way Hatef's ([1962b](#page-11-7)) concept of intercomplex specific associations.

There were, however, a few reports before the year 2000 on the possible presence of specifc associations between respiratory complexes, either fxed (Ozawa et al. [1987\)](#page-12-5) or dynamic (Hochman et al. [1985](#page-11-8)).

A real breakthrough occurred only in 2000 when Schägger applied the previously introduced technique of Blue-Native Polyacrylamide Gel Electrophoresis (BN-PAGE) to digitonin-solubilized yeast and mammalian mitochondria (Schägger and Pfeifer [2000](#page-12-6)). The newly discovered associations were considered to represent the physiological state of the respiratory complexes. In the same paper, the authors also described a dimeric state for the ATPsynthase complex.

The structural evidence of supercomplex association of the respiratory chain is nowadays well consolidated (Wittig and Schägger [2005](#page-12-7); Enríquez [2016](#page-11-9); Lenaz et al. [2016\)](#page-11-10) and also supported by X-ray analysis (cf. Letts and Sazanov [2017](#page-12-8) for recent review), although its functional role is still debated and a possible relation with a random distribution of the individual complexes is not completely clarifed (Acin-Perez and Enriquez [2014;](#page-10-3) Genova and Lenaz [2013](#page-11-11), [2014](#page-11-12); Milenkovic et al. [2017\)](#page-12-9).

Most evidence presently favours the view that SCs are formed by the three "core" complexes of the respiratory chain, i.e. complexes I, III, and IV (Fig. [2\)](#page-2-0), whereas the other respiratory enzymes may be free in the lipid bilayer (Genova and Lenaz [2010](#page-11-13)). These "core" complexes have the common feature of carrying out proton translocation and of having subunits encoded by mitochondrial DNA.

Fig. 2 The supercomplexes (SCs) of the mitochondrial respiratory chain. Left, BN–PAGE analysis of digitonin-extracted mitochondrial membranes from ovine heart. Assignment of bands is based on molecular weight and comparison to proteomic and western blot, according to Letts and Sazanov ([2017\)](#page-12-8). The experimentally determined structural models of the diferent respiratory complexes and SCs, with their approximate molecular weights and architecture, are indicated at right. The atomic structures of complex I [CI, ovine, PDB 5LNK (Fiedorczuk et al. [2016](#page-11-14))], complex II [CII, porcine, PDB 1ZOY (Sun et al. [2005](#page-12-10))]. Complex III [CIII, bovine, PDB 1NTM (Gao et al. [2003](#page-11-15))], complex IV [CIV, bovine, PDB 5B1A (Yano et al. [2016\)](#page-12-11)] and the medium-resolution structure of complex V [CV, bovine, PDB 5ARA (Zhou et al. [2015\)](#page-12-12)] are shown shaded by subunit with CI dark blue to light blue, CII cyan to light green, CIII_2 green to yellow, CIV magenta to red and CV red to yellow. Atoms from the models are shown as spheres. Reprinted from Letts and Sazanov ([2017\)](#page-12-8) with permission from Springer Nature

3 The role of supercomplexes: substrate channelling?

After the discovery of respiratory SCs it was proposed that the natural consequence of such assemblies is substrate channelling or enhanced catalysis in inter-complex electron transfer. Substrate channelling is the direct transfer of an intermediate between the active sites of two enzymes catalysing consecutive reactions (Ovádi [1991](#page-12-13)); in the case of electron transfer, this means direct transfer of electrons between two consecutive enzymes by successive reduction and re-oxidation of the intermediate without its difusion in the bulk medium. In such a case, inter-complex electron transfer becomes indistinguishable from intra-complex electron transfer, so that ubiquinone and cyt.c (i.e. the socalled mobile intermediates predicted to exhibit substratelike behaviour in the classic view of the random collision model, Hackenbrock et al. [1986](#page-11-5)) would rather be buried with restraints between two consecutive components of the SC.

The view that electron transfer occurs by channelling of CoQ between adjacent complexes I and III was opposed to the previous concept of CoQ pool behaviour. The functional signifcance of a random distribution of mitochondrial complexes connected by CoQ was supported in the early times by the kinetic analysis of Kröger and Klingenberg [\(1973a\)](#page-11-3); they showed that steady-state respiration in submitochondrial particles from beef heart, could be modelled as a simple two-enzyme system, the frst causing reduction of ubiquinone (V_{red}) and the second causing oxidation of ubiquinol (V_{ox}) , behaving kinetically as a homogeneous pool. According to this assumption the total CoQ molecules must efectively link any number of the dehydrogenase with any other number of the oxidase, and the activities of all the reducing and oxidizing enzymes determine the overall steady-state activity (V_{obs}) that is related to V_{red} and V_{ox} as indicated in the so-called *pool equation* (Eq. [4\)](#page-3-1).

$$
V_{\text{obs}} = (V_{\text{red}} \times V_{\text{ox}})/(V_{\text{red}} + V_{\text{ox}}). \tag{4}
$$

The view that SCs enhance mitochondrial respiration by channelling the intermediate substrates is still challenged by some debated structural and functional evidence, as we discuss in the following sections.

3.1 Structural evidence for channelling: Molecular structure of supercomplexes

If CoQ-channelling occurs between CI and CIII, the redox groups involved in CoQ reduction by CI and $CoQH₂$ reoxidation in CIII must be located in such way to provide a pre-defned conducting pathway that contains CoQ itself. To ascertain this condition, it is required to have a high resolution knowledge of the molecular structure of the SCs.

At first, some SCs have been purified and analysed by negative-stain electron microscopy (Schäfer et al. [2006\)](#page-12-14) and single-particle cryo-EM (Althoff et al. [2011;](#page-10-4) Dudkina et al. [2011\)](#page-10-5). Pseudo-atomic models of the 1.7 MDa bovine respirasome consisting of one copy of CI, one CIII dimer and one CIV monomer were produced by ftting the X-ray structures of the component complexes to the 3D maps of the respirasome (Fig. [3](#page-4-0)).

Some early evidence for possible channelling came from the observation of a unique arrangement of the three component complexes showing that the CoQ-binding sites in CI and in CIII face each other and are separated by a gap within the membrane core of the SC. In 2011, Althoff et al. (2011) (2011) proposed that CoQ is likely to run a trajectory through such a 13 nm-long gap, which lies within the membrane imbedded domains of the SC and is, therefore, most likely flled with lipids.

The recent high resolution studies by cryo-EM of mammalian mitochondrial SCs (Letts et al. [2016;](#page-12-15) Letts and Sazanov [2017](#page-12-8); Wu et al. [2016](#page-12-16); Sousa et al. [2016](#page-12-17)) allow to make some new predictions on the pathway taken by CoQ between CI and CIII, as shown in Fig. [3.](#page-4-0) However, understanding the mechanism of CoQ-channelling still requires more detailed knowledge of the molecular structure of the interacting sites of CI and CIII in the SC.

In theory, within the SC we may have the extremes from close docking of the active sites with real interprotein tunnelling of substrates, up to relatively long distances that may be covered either by important conformation changes of the protein itself or by restricted difusion (*microdifusion*) of the substrates within the space between the two active sites; all of these alternatives have in common obligate channelling between two fxed sites of the same SC, so that even microdifusion would be kinetically distinguishable from random collisions as exploited through bulk difusion, i.e. where the interaction of the substrate molecules may stochastically occur with a great number of possible sites in distinct respiratory complexes/SCs reached by random difusion in the membrane bilayer. However, steady state kinetic analysis cannot distinguish among the above mentioned three possible mechanisms of channelling.

Notably, the respirasome structure recently published by Letts et al. [\(2016](#page-12-15)) provides specifc information about the protein contacts in the SC, but also indicates that the CoQ reduction site in CI and the $CoQH₂$ oxidation site in CIII are separated and both easily accessible to the membrane, thus the same authors interpreted that no limit to free difusion of CoQ in the bilayer exists. On the contrary, the group of Kuhlbrandt (Sousa et al. [2016\)](#page-12-17) recently took in account the dimeric structure of CIII by showing that the CoQ reduction site of CI in the SC is separated by 11 nm from the proximal CIII monomer, and 18 nm from the distal one, thus suggesting that the latter is functionally **Fig. 3** Model of electron fow in the respirasome. Breaking of the inherent symmetry of CIII₂ through interactions with CI and CIV may result in the formation of a CI-proximal QH2-oxidation cavity and a CI-distal Q-reduction cavity. The presence of CIV capping the Q-reduction cavity may help shield the Q· intermediate from reacting with oxygen. One possibility for symmetry breaking is steric hindrance of motion of the distal UQCRFS1 subunit (indicated by yellow star; FeS cluster shown in the distal position and colored red)34,36. Both proximal (orange FeS cluster) and distal (red FeS cluster) are shown for the proximal UQCRFS1 indicating free motion (doubleheaded arrow) of this subunit. Reprinted from Letts and Sazanov ([2017\)](#page-12-8) with permission from Springer Nature

inactive whereas the linear arrangement of CI with the active monomer of CIII and also with CIV is strongly in the favour of channelling for electron transfer between complexes by substrate microdifusion.

In fact, information about the molecular structure of the SC must be compatible with the recognized mechanisms of CoQ reduction by CI and its re-oxidation by dimeric CIII. In particular, the latter occurs via a complicated mechanism known as the *Q*-*cycle* (Mitchell [1975](#page-12-18); Sarewicz and Osyczka [2015](#page-12-19)). In the Q-cycle there are two sites in CIII where CoQ interacts, one located near the intermembrane space (Qo) where ubiquinol is sequentially oxidized by the Rieske iron-sulphur protein and by the b_L heme, and one located near the matrix space (Qi) where ubiquinone is reduced. Apparently if CoQ channelling occurs in the SC by microdifusion, there should exist at least two CoQ molecules trapped in the interstices between CI and CIII to fulfl the Q-cycle mechanism. However, considering the functional asymmetry of the CIII dimer (Covian et al. [2007\)](#page-10-6), it is also suggestive to consider that in the bound CIII dimer (Fig. [3](#page-4-0)) only one monomer is active for NADHdependent respiration and CoQ-channelling due to neighbourhood of its (proximal) Qo cavity and the CoQ-binding site in CI whereas the other monomer, not having such constrictions, might be easily available to interact with the CoQ pool at the (distal) Qi site.

3.2 Dynamic nature of supercomplexes: the plasticity model

In 2003, when we frst provided kinetic evidence by fux control analysis in favour of metabolic channelling in the CoQ region, we also postulated (Bianchi et al. [2003](#page-10-7)) that the SC I_1III_2 may be in equilibrium with free complexes, depending on metabolic conditions, to explain the presence of NADH-cyt. c reductase activity both in the absence and presence of channelling, depending on the protein to phospholipid ratio of the examined proteoliposome samples.

Acín-Pérez et al. [\(2008\)](#page-10-8) demonstrated that purified respirasomes (i.e. SC $I_1III_2IV_1$) are able to respire in a Clark's electrode, and they also observed other types of associations, as $I + III$ or $III + IV$, thus concluding that a variety of associations between respiratory complexes likely co-exists in vivo with free complexes. In this context, they proposed an integrated model, *the plasticity model*, for the organization of the mitochondrial electron transport chain. The previous opposed models, solid vs. fuid (cf. Sect. [1](#page-0-0)), would be two extreme and functional situations of a dynamic range of molecular associations between respiratory complexes (Acín-Pérez et al. [2008](#page-10-8)). Intrinsic to its dynamic nature, the plasticity model proposes that segregation of both CoQ and cyt. c into distinct sub-domains is incomplete and that their respective functional pools are promiscuous (i.e. the intermediate substrates are both trapped into SCs and freely difusible). According to Enríquez [\(2016](#page-11-9)) this promiscuity can be generated either by the dissociation of SCs or by the escape of CoQ or cyt. *c* from the supramolecular assembly. As also discussed by Milenkovic et al. ([2017\)](#page-12-9), the diferent crystal structures of the respirasome (see before) may depict the respirasome in various stages of disassembly, either following its extraction from the membrane or resembling diferent physiological conditions with respirasomes constantly dissociating, reforming and reorganizing in the membrane.

The plasticity model and the dynamics of mitochondrial SCs (Acin-Perez and Enriquez [2014\)](#page-10-3) are still debated in the literature. Possible factors involved in association/dissociation of SCs, such as membrane potential and posttranslational changes, are reviewed by Genova and Lenaz [\(2014\)](#page-11-12). However, despite the popularity of the model and the indirect evidence supporting it, no direct demonstration exists that SCs are cyclically formed and dissociated during physiological events.

3.3 Evidence for channelling by metabolic fux control analysis

By exploiting the fux control analysis (Kholodenko and Westerhoff [1993](#page-11-16)) using specific inhibitors to define the extent of metabolic control exerted by each individual complex over the entire NADH-dependent respiration in isolated bovine heart mitochondria, our laboratory achieved the frst demonstration that CI and CIII behave as a single enzymatic unit (e.g. SC I_1III_2) and that electron transfer through CoQ is accomplished by channelling between the two redox enzymes (Bianchi et al. [2004](#page-10-9)). Flux control analysis is based on the principle that the sum of the flux control coefficients (FCCs) of the individual enzymes in an integrated pathway must equal 1 unless these enzymes form supramolecular units and establish substrate channelling. In the latter case, these enzymes would be all equally rate-limiting and the sum of the control coefficients would be higher than 1 (Kholodenko and Westerhoff [1993](#page-11-16)).

Using the same method for succinate-dependent respiration in the same bovine heart mitochondria samples we found that CII, but not CIII, is rate-limiting, supporting the notion that CII does not form SCs and that the oxidation of succinate follows pool behaviour (cf. below).

Ten years later, Blaza et al. [\(2014](#page-10-10)) criticized the evidence for channelling in the respiratory chain deriving from flux control analysis (Bianchi et al. [2004](#page-10-9)): they reasoned that rotenone is competitive with CoQ and, therefore, the extent of its inhibition of CI is afected by the additional presence of the exogenous quinone employed in CI assay but absent in the assay of NADH aerobic oxidation. Indeed, using rotenone and CoQ_1 , Blaza et al. [\(2014](#page-10-10)) find a FCC for CI that is certainly not valid, since it exceeds 1. However, the discrepancy may be ascribed to the diferent exogenous substrates used for CI assay in the two studies, i.e. $CoQ₁$ and decylubiquinone (DB), respectively. In fact, DB has much lower affinity than CoQ_1 for CI (Fato et al. [1996](#page-11-17)), thus exerting lower competition with rotenone and lower infuence on the inhibition efficiency measured by Bianchi et al. (2004) (2004) . Moreover, we note that the FCC values found by Blaza et al. ([2014\)](#page-10-10) using alternative inhibitors of CI (e.g. piericidin and diphenyleneiodonium, not competitive with CoQ) are oddly low and it is likely that such low FCC for CI is caused by the limiting amount of cyt.c in their samples, that would shift the major control of the chain to the cyt.c region. Signifcantly, in Blaza's study, addition of exogenous cyt.c to the mitochondrial samples raised the FCC from 0.19 to 0.67.

Finally, we emphasize that the major point discussed by Bianchi et al. ([2004](#page-10-9)) to demonstrate the existence of a SC $I_1III_2IV_n$ was not so much the high FCC of CI but the high FCCs of both CI and CIII in NADH oxidation (the latter not measured, on the contrary, by Blaza et al. [2014](#page-10-10)), which is incompatible with a CoQ-pool model postulating CI and CIII as independent molecules in the membrane. Such high FCC value of CIII over NADH-dependent respiration, as calculated by inhibitor titration with mucidin, is clearly not suspect of artefact because the corresponding FCC of CIII measured using the same inhibitor in succinate oxidation is low (as expected from the rate-limiting role of CII and the lack of CII-containing SCs). In other words, the FCC of CIII can be close to 1 if CIII is assembled together with the quinone reductase that precedes in the electron pathway and, in our hands, this condition is experimentally observed only for the NADH-dependent pathway involving CI whereas it does not occur in the case of CII in succinate-dependent respiration. In addition, the high FCC that CIII shows over NADH-cyt.c oxidoreductase activity in reconstituted proteoliposomes is drastically decreased after dissociation of the SC I_1III_2 (Genova et al. [2008\)](#page-11-18) by reconstitution in excess phospholipids or in peroxidized phospholipids.

Very few other studies were addressed to the functional aspects of SCs using metabolic control analysis (Quarato et al. [2011](#page-12-20); Kaambre et al. [2012](#page-11-19), [2013\)](#page-11-20); these studies confrmed that the respiratory chain is organized in functionally relevant supramolecular structures.

3.4 Separate compartments of Coenzyme Q?

The effect on respiration of the simultaneous addition of NADH and succinate was examined in an early study (Gutman and Silman [1972](#page-11-21)) showing incomplete additivity and partial mutual competition between succinate oxidation and NADH oxidation; this effect was considered unlikely to be due to competition of the dehydrogenases for a single CoQ pool. Also on the basis of other observations (Gutman et al.

[1971\)](#page-11-22), a compartmentalization of the CoQ pool was suggested, in which two sub-pools were able to partially interact through a spill-over difusion-mediated mechanism.

Gutman ([1985\)](#page-11-23) investigated the properties of the NADH and succinate oxidation in submitochondrial particles in relation to the rates of energy-dependent reverse electron transfer from succinate to NAD+ and of forward electron transfer from NADH to fumarate, concluding that the electron fux from succinate dehydrogenase to oxygen (i.e. forward electron transfer towards CIII) or to NADH dehydrogenase (i.e. reverse electron transfer from CII to CI, excluding CIII) employs the same carrier (i.e. CoQ pool) and is controlled by the same reaction whereas the electron transfer from NADH to oxygen (i.e. forward electron transfer from CI to CIII) does not share the same pathway through which electrons fow in the NADH-fumarate reductase. In other words, CI and CII are linked by a diferent CoQ-mediated pathway with respect to CI and CIII. The non-homogeneity of the ubiquinone pool with respect to succinate and NADH oxidation may be interpreted today in terms of compartmentalization of CoQ trapped in the SC $I_1III_2IV_n$ in contrast with the free CoQ molecules (i.e. the membrane CoQ pool, properly speaking) used for connecting CII and CIII.

Several other reports have suggested in the past that the CoQ pool is not homogeneous, thus raising doubts on its universal validity (Ragan and Cottingham [1985\)](#page-12-21); even Kröger and Klingenberg ([1973a](#page-11-3)) observed that an aliquot of CoQ cannot be reduced by either NADH or succinate. Later, Jørgensen et al. ([1985](#page-11-24)) noticed that three pools of ubiquinone appeared to be present in heart mitochondria: a metabolically inactive pool consisting of reduced as well as oxidized ubiquinone, a pool coupled to oxidation of added (cytoplasmic) NADH, and the well-known pool coupled to citric acid cycle oxidations. The latter pool, however, could not distinguish NADH-dependent from succinate-dependent reduction of CoQ.

Benard et al. [\(2008](#page-10-11)) described the existence of three different pools of CoQ during succinate-dependent steady-state respiration in rat liver and muscle mitochondria: one pool is directly utilised, another (approx. 8% in muscle and 23% in liver) is mobilized as a reserve in case of a perturbation to maintain the energy fuxes at normal values (e.g. due to inhibition of the respiratory complexes or in case of mitochondrial diseases), and a third one (approx. 79% in muscle and 21% in liver) cannot be mobilized at all. These results are compatible with CoQ compartmentalization, although similar results with NADH oxidation were not provided to functionally prove that the fraction of CoQ that is not utilisable for succinate oxidation is channelled within SC $I_1III_2IV_n$.

Lapuente-Brun et al. ([2013\)](#page-11-25) demonstrated that the physical assembly between CI and CIII determines a preferential pathway for electrons mediated by a dedicated subset of CoQ molecules. According to their results, this compartmentalization prevents signifcant cross talk between NADH oxidation (CI-dependent) and succinate oxidation (dependent on CII) or other favoenzyme-dependent oxidations. Moreover, CIII molecules bound in the SC $I_1III_2IV_n$ and free CIII molecules are, respectively, and exclusively dedicated to oxidation of NADH and other substrates using the free CoQ pool.

On the contrary, the already quoted paper by Blaza et al. ([2014\)](#page-10-10) showed that the steady-state rates of aerobic NADH and succinate oxidation were not additive in bovine heart submitochondrial particles (cf. Sect. [3.3\)](#page-5-0); moreover, the extents of cytochromes b_H , b_L , c and c1 reduction in the same cyanide-inhibited particles were similar if the reductant was either NADH or succinate or a mixture of the two substrates. Blaza et al. interpreted the results as a demonstration that a single homogeneous pool of CoQ molecules exists that receives electrons indiferently from CI and CII. Consequently, free CIII and SC-bound CIII are able to equally receive electrons from the CoQ pool. However, on the basis of those mere data, which considered the whole pathway of electrons from ubiquinol to oxygen and, therefore, comprised the redox cycling of cyt.c and CIV, it is not possible to discriminate whether the pool behaviour is due to the homogeneity of the CoQ-pool, as claimed by the same authors, or to the homogeneity of the cyt.c pool. In fact, under such conditions, cyt.c may be rate-limiting provoking a bottleneck step both in NADH and in succinate oxidation when electrons compete for a common pool of cyt.c and CIV molecules in free form. Indeed, most cyt.c and CIV are free and able to receive electrons from both NADH and succinate with only a small portion of CIV dedicated to NADH (i.e. forming the respirasome I_1III_2IV), as demonstrated by our fux control analysis data (Bianchi et al. [2004\)](#page-10-9) that showed no channelling in the cyt.c region.

To overcome the problem of possible kinetic interferences due to the presence of the cyt. c pool, we recently performed a study of succinate and NADH oxidation by excess exogenous cyt.c in KCN-inhibited mitochondria (Lenaz et al. [2016\)](#page-11-10); this experimental approach shortens the electron transfer pathway for both oxidation reactions by including only CoQ and CIII as redox partners, and thus excluding cyt. c re-oxidation kinetics by CIV. Under such condition, we found that NADH and succinate oxidation by cyt.c are completely or almost completely additive and close to the theoretical summation of the two single activities (Table [1\)](#page-7-0), once again suggesting the existence of two separate CoQ-compartments.

Interestingly, similar results were also obtained in preliminary experiments from our laboratory (Tioli G, Falasca AI, Lenaz G and Genova ML, data communicated at EBEC2016-Session P4) using proteoliposomes where the additivity of NADH and succinate oxidation decreased when CIII was progressively inhibited with mucidin. This is in

Table 1 NADH and succinate oxidation by exogenous cytochrome *c* in cyanide-inhibited BHM. Reprinted from Lenaz et al. [\(2016](#page-11-10)) with permission from Elsevier

Substrate as electron donor	Rate of cytochrome c reduction ^a
NADH	$0.356 \pm 0.031(5)$
Succinate	$0.591 \pm 0.060(5)$
$NADH + Succinate$	$0.883 \pm 0.071(5)$

NADH-cytochrome c oxidoreductase activity and succinatecytochrome c oxidoreductase activity were assayed spectrophotometrically in frozen and thawed BHM at 30 °C by monitoring the reduction of 50 μ M cytochrome *c* in the presence of 1 mM KCN and 75 μ M NADH or/and 26 mM succinate, as described in Lenaz et al. [\(2016](#page-11-10))

^aValues are referred to two-electron transfer activity (umoles electron couple/min/mg protein). Data are mean values \pm standard deviation of separate experiments, as indicated by the numbers in round brackets

accordance with the idea that the increasing number of inactive CIII units forces ubiquinol produced by succinate and CII-reduction to access the binding sites of residual active units of CIII even if assembled in the CI-containing SC, thus implying that the CoQ molecules in the SC $I_1III_2IV_n$ are in a dissociation equilibrium with the molecules in the membrane pool (Lenaz and Genova [2007](#page-11-26)). The recent structural model of Letts et al. [\(2016\)](#page-12-15) strongly supports our kinetic observations, since the neighbourhood of the CoQ sites in CI and CIII to one another, as mentioned in Sect. [3.1](#page-3-2) and Fig. [3](#page-4-0), is consistent with preferred channelling of reduced CoQ within the SC $I_1III_2IV_n$ itself, while the exposure of the same sites to the membrane lipids supports difusion in the pool. To this purpose it is suggestive to consider that in the bound CIII dimer only one monomer is active for NADH oxidation due to the neighbourhood of the active sites (Sousa et al. [2016](#page-12-17)), whereas the other monomer not having such constrictions might be easily available to receive electrons from the CoQ pool.

It is intuitive that channelling should be largely predominant during high turnover of the respiratory chain, whereas CoQ difusion in the pool would preferentially take place at low turnover.

4 The role of the Coenzyme Q pool

4.1 Dissociation equilibrium of bound Coenzyme Q

As described in the previous sections of this chapter, CI is almost totally associated in a SC with CIII, and CoQ channelling is likely to occur in the boundary between the two complexes. However, this does not exclude that free CoQ in the pool is also necessary for proper channelling. In fact, there is evidence suggesting that the bound inter-complex

quinone that may allow electron fow directly from CI to CIII within the $\text{SCI}_1\text{III}_2\text{IV}_n$ is in dissociation equilibrium with the membrane CoQ pool, so that the amount of bound CoQ, at steady state, would be dictated by the size of the pool itself. In particular, the existence of this equilibrium is suggested by the saturation kinetics for total ubiquinone exhibited by the integrated activity of CI and CIII (NADH-cyt.c oxidoreductase) (Estornell et al. [1992\)](#page-11-27) and by the decrease of respiratory activity in mitochondria fused with phospholipids causing subsequent dilution of the CoQ pool (Schneider et al. [1982\)](#page-12-22). To be in agreement with the experimental observations in the favour of channelling, this proposition requires that the dissociation rate constants (k_{off}) of bound CoQ be considerably slower than the rates of inter-complex electron transfer via the same bound quinone molecules.

By this way, free CoQ acts as the difusible substrate of various redox enzymes not involved in respiratory supercomplex organization (cf. next section) and at the same time as a reservoir for binding to the SC $I_1III_2IV_n$. A different question is whether electron transfer between CI and CIII can occur via the CoQ pool when in the absence of any supercomplex organization; reconstitution studies (Ragan and Heron [1978](#page-12-23); Heron et al. [1978;](#page-11-28) Genova et al. [2008](#page-11-18)) indicated that electron transfer is still possible. In a proteoliposome system where CI was reconstituted together with an alternative oxidase and CoQ_{10} in the absence of CIcontaining SCs, Jones et al. [\(2016](#page-11-29)) also found high rates of NADH oxidation, which demonstrates that $CoQ₁₀$ was able to shuttle electrons from CI by following pool behaviour.

4.2 Electron transfer between individual complexes not involved in supercomplex organization

The CoQ pool is required for electron transfer from CII to CIII. Indeed, CII kinetically follows pool behaviour after extraction and reconstitution (Kroger and Klingenberg [1973a](#page-11-3), [b](#page-11-4)) and in intact mitochondria (Stoner [1984\)](#page-12-24) in accordance with the lack of SCs found by both BN-PAGE and fux control analysis as described in the previous sections.

Other enzymes such as glycerol-3-phosphate dehydrogenase, ETF dehydrogenase, dihydroorotate dehydrogenase, choline dehydrogenase, sulphide dehydrogenase, that are likely to be in minor amounts and strongly rate-limiting in integrated electron transfer, are probably inserted in the respiratory chain by interaction through the CoQ pool (Genova and Lenaz [2010](#page-11-13)).

Since no clear association was demonstrated between CI and CII and most authors agree that CII is not a signifcant part of SCs, also reverse electron transfer from succinate to NAD+, involving sequential interaction of CII and CI by means of CoQ, must take place by collisional interactions with molecules in the membrane CoQ pool. The hyperbolic relation experimentally found by Gutman ([1985\)](#page-11-23) between the rate of reverse electron transfer and succinate oxidase is in complete accordance with the pool equation.

This observation poses the puzzling question about how ubiquinol reduced in the membrane pool by CII interacts with the CoQ binding site in CI, since most CI is engaged in the SC with CIII and the interaction of CoQ in the pool with the quinone-binding site in common between the two enzymes is necessarily slow. In view of the recent progress in the knowledge of the detailed atomic structure of CI (Fiedorczuk et al. [2016](#page-11-14)), the previous view that two diferent routes may exist for forward and reverse electron transfer within CI (Grivennikova et al. [2003](#page-11-30)) is no longer tenable as such, unless we consider two diferent conformations of the enzyme, of which the conformation present during reverse electron transfer makes the CoQ site more accessible to the membrane pool. It must be noted that the ATP-driven reverse electron transfer from succinate to NAD+ occurs in the presence of a high mitochondrial transmembrane protonmotive force that, according to Piccoli et al. ([2006\)](#page-12-25), might be the physiological signal causing the structural reorganization of the respiratory chain leading to SC dissociation and this would no longer limit the access from the CoQ pool to the binding site in CI. The already mentioned analysis of SCs by cryo-EM (Letts et al. [2016](#page-12-15); Sousa et al. [2016\)](#page-12-17) provide strong support for the accessibility of the CoQ site in CI to the free CoQ in the membrane. Such accessibility, however, would be no proof against the existence of channelling between CI and CIII.

5 Concluding evidence about channelling in the Coenzyme Q region

In briefy recapitulating on the previous sections of this chapter, we point out that the major observations supporting the notion that supercomplex association determines channelling in the CoQ region are the following: (a) rate advantage of NADH-cyt.c reductase when CI-containing SCs are present; (b) both CI and CIII are rate-limiting as measured by fux control analysis; (c) evidence for two compartments of CoQ in the experiments of competition of NADH and succinate oxidation.

The dynamic character of CoQ bound within the SC, which is in dissociation equilibrium with the free pool of CoQ in the membrane, is one main point favouring controversy. It is tempting to speculate that some CoQ molecules are trapped in a lipid micro-domain within the SC $I_1III_2IV_n$ and are channelled from CI to CIII during electron transfer at steady state; however, the relevance of CoQ dissociation from the SC to the membrane pool becomes signifcant when electron transfer in the respiratory chain is slow or blocked by an inhibitor downhill of the CoQ region. Structural evidence pertaining the relevant sites where CoQ is reduced and re-oxidized strongly supports this idea. To this respect, the plasticity model (Acín-Pérez et al. [2008](#page-10-8)) would be a functional rather than structural feature of the respiratory chain, at least in the CoQ region, a possibility advanced by Enriquez himself (Enríquez [2016\)](#page-11-9). In other words, the SCs be stable supramolecular assemblies that do not readily dissociate, whereas CoQ behaves in a highly dynamic fashion in which channelling and difusion take place in proportions depending on the turnover rates of the respiratory chain.

6 Supercomplexes and reactive oxygen species

The capacity of mitochondria to produce reactive oxygen species (ROS) depends on the type of tissue as well as on the metabolic conditions of the cell.

The redox potential of the NAD⁺/NADH couple and the mitochondrial proton-motive force act as powerful regulators of the steady-state concentration of redox species responsible for electron leaking and ROS generation in the respiratory chain (Jezek and Hlavatá [2005](#page-11-31); Lenaz [2001](#page-11-32)). In turn, these forces are regulated by the redox supply (i.e. NADH/ NAD molar ratio) to the respiratory chain, by the degree of coupling and/or by physio-pathological constraints to electron transfer, such as enzyme phosphorylation, cyt.c removal, CIV inhibition and oxygen concentration. (cf. Lenaz [2012](#page-11-33) for extensive review).

The "Redox-Optimized ROS Balance hypothesis" (R-ORB) described by Aon et al. ([2010\)](#page-10-12) attempts to explain at a mechanistic level the link between mitochondrial respiration and ROS emission. The hypothesis is based on the observation that ROS levels (as the net result of production and scavenging) attain a minimum when mitochondria maximize their energetic output (i.e. maximal state-3 respiration) at intermediate values of the redox environment between fully oxidized and fully reduced redox couples such as NADH/NAD⁺ and GSH/GSSG. In state-3 respiration, these redox carriers are more oxidized than the corresponding values in state-4 respiration. On the other hand, ROS overfow will occur at both highly reduced or highly oxidized redox environment, albeit governed by the extent of proton leak and by the compromised scavenging capacity, respectively. By assessing mitochondrial respiration (i.e. forward electron transport), ROS emission and redox environment in isolated guinea pig heart mitochondria, Cortassa et al. ([2014](#page-10-13)) confrmed that mitochondria are able to keep ROS emission to a minimum likely compatible with signalling, while maximizing their energetic output.

In addition, mitochondrial ROS release is modulated by a series of nuclear encoded proteins such as by p53, p66Shc, the Bcl-2 family and Romo-1 and in response to external stimuli, such as TNFα, hypoxia, serum deprivation, oxidative stress (the so-called ROS-induced ROS release) (cf. Genova and Lenaz [2015\)](#page-11-34).

ROS production in mitochondria is a by-product of core metabolism of the cell that cannot be easily down-regulated without under-mining cell function (Andreyev et al. [2015\)](#page-10-14).

An implication of supercomplex organization as the missing link between oxidative stress and energy failure was frst suggested by our speculation (Lenaz and Genova [2007](#page-11-26)) that dissociation of SC I_1III_2 occurs under conditions of oxidative stress, with loss of facilitated CoQ channelling, thus causing electron transfer to depend upon difusive collisional encounters of the free ubiquinone molecules with their binding partner complexes, which is a random process and, therefore, much less efficient. As a consequence, the alteration of electron transfer may elicit high reducing pressure and further induction of ROS generation. Following this line of thought, the diferent susceptibility of diferent types of cells and tissues to ROS damage (Dencher et al. [2007](#page-10-15)) may be interpreted in terms of (1) extent and tightness of supercomplex organization in their respiratory chains and, related to the former, (2) capability of the respiratory enzymes to hinder reaction of their auto-oxidizable prosthetic groups with oxygen. Disorganization of the supramolecular architecture of the respiratory chain would both decrease NADlinked respiration and ATP synthesis and increase the capacity of producing superoxide by destabilised CI and CIII.

On the basis of their studies on rat brain mitochondria oxidizing diferent substrates, Panov et al. ([2007](#page-12-26)) suggested that the assembly of CI into SCs prevents excessive superoxide production during oxidation of NAD-linked substrates because efficient CoQ channelling helps maintaining the chain in the oxidized state (see also below).

Maranzana et al. ([2013\)](#page-12-27) obtained a direct demonstration that loss of SC I_1III_2 organization causes a dramatic enhancement of ROS generation by CI. In our model system of reconstituted binary CI/CIII proteoliposomes at high lipid to protein ratio (30:1), where formation of the SC I_1III_2 is prevented, the generation of superoxide is several-fold higher than in the same binary system reconstituted at a 1:1 ratio, which is conversely rich in SC I_1III_2 (Fig. [4\)](#page-9-0). In agreement with this finding, dissociation of the SC I_1III_2 after treatment with the detergent DDM induces a strong enhancement of ROS generation both in proteoliposomes and in mitochondrial membranes. It is worth noting that, in all these experiments, the production of ROS is investigated in the presence of inhibitors (mucidin and rotenone) that prevent electron transfer to any respiratory intermediate substrate, therefore, the redox centres in CI are maximally reduced both in the presence and in the absence of the supercomplex assembly. Consequently, the above mentioned reasoning by Panov et al., giving emphasis to the relief of the redox pressure in the super-assembled respiratory chain,

Fig. 4 Production of ROS by mitochondrial Complex I in diferent situations where supercomplexes are maintained or disassembled. Data of ROS production, measured in the presence of 1.8 μM mucidin and 4 μM rotenone and shown as percentage values of the corre-sponding reference samples as described in Maranzana et al. [\(2013](#page-12-27)), are plotted in the graph against the corresponding ratio of free Complex I vs total Complex I. The statistical analysis of the data using the Pearson's parametric test indicates a positive correlation (*r*=0.884, *p*<0.05) between ROS generation and relative amount of free Complex I in proteoliposomes and submitochondrial particles (SMP) (*black symbols*). The BHM samples (*gray symbols*) were not included in the correlation analysis because the existence of endogenous antioxidant systems operating to reduce ROS levels in the mitochondria might have counteracted the dramatic efects of the complete dissociation of Complex I, thus leading to a two-fold only increase of the measured ROS production. Reprinted from Maranzana et al. [\(2013](#page-12-27)) with permission from Mary Ann Liebert, Inc.

cannot be taken as the only explanation of the role of SC I_1III_2 in regulating ROS formation by CI.

If we consider the structure of Complex I, the destabilization of CI in the absence of SC observed by Maranzana et al. ([2013\)](#page-12-27) may render the 51 kDa subunit containing the FMN more prone to interact with oxygen. According to Milenkovic et al. [\(2017\)](#page-12-9), however, the localization of the 51 kDa subunit in the matrix, far from the protein interactions at the basis of SC association, seems incompatible with this assumption.

The established architecture of respiratory SCs may help in controlling ROS production also because, due to the high oxygen-consuming activity of CIV, the local concentration of O_2 would be low near the cavity in CIII adjacent to CIV, an ideal condition for shielding a reactive semiquinone intermediate (Q), and thus preventing ROS generation from CIII (Letts et al. [2016](#page-12-15)).

Several observations in cellular and animal models also link together SC dissociation and enhanced ROS production.

A strong decrease of high molecular weight SC correlating with higher ROS generation was observed in mouse fbroblasts expressing the activated form of the k-ras oncogene, in comparison with wild type fbroblasts (Baracca et al. [2010;](#page-10-16) Lenaz et al. [2010\)](#page-11-35).

Diaz et al. ([2012\)](#page-10-17) showed that diminished stability of SC is associated with increased levels of ROS in mouse lung fbroblasts lacking the Rieske iron-sulphur protein of CIII and hence devoid of CI-containing SC. Enriquez' group (Guarás et al. [2016\)](#page-11-36) suggested that the saturation of CoQ re-oxidation capacity by FAD-linked substrates induces reverse electron transport from reduced CoQ to CI, and the resulting local generation of superoxide oxidizes specifc CI subunits, triggering their degradation and the disintegration of the complex. For this reason, the $\text{SCI}_1\text{III}_2\text{IV}_n$ can be reconfigured through the degradation of respiratory CI, thus loosing CIII molecules in the membrane to facilitate electron fux via FAD-dependent substrates at the expense of NAD-dependent activity.

The cardiolipin defect in Barth syndrome, a cardio-skeletal myopathy with neutropenia which is characterized by respiratory chain dysfunction, results in destabilization of SC by weakening the interactions between CIII and CIV (McKenzie et al. [2006](#page-12-28)). Remarkably, hydroethidine staining revealed higher basal levels of superoxide production in lymphoblasts from patients, compared to control cells (McKenzie et al. [2006;](#page-12-28) Gonzalvez et al. [2013](#page-11-37)). The availability of cardiolipin-defcient yeast mutants, although constitutively lacking CI, provided the opportunity to demonstrate alterations in the stabilization of SC $III₂IV_n$; similar to those found in Barth syndrome and exhibited increased protein carbonylation, an indicator of ROS (Chen et al. [2008](#page-10-18)). The increase in ROS is most likely not due to defective oxidant defence systems, since the CL mutants do not display sensitivity to other oxidants such as paraquat, menadione or hydrogen peroxide (H_2O_2) .

The proposal that CI-containing SCs may physiologically exist in dynamic equilibrium with isolated CI (cf. Sect. [3.2\)](#page-4-1) raises the puzzling suspect that the production of ROS may consequently be subjected to physiological oscillations. It is tempting to suggest that these changes may be aimed to controlling ROS levels in the cell, in view of their well-documented role in cellular redox signalling.

Compliance with ethical standards

Conflict of interest The author declare that no confict of interest exists.

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