

# Numerical analysis of the dynamic behavior of a rotor-bearing-brush seal system with bristle interference<sup>†</sup>

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## Abstract

To minimize leakage and maintain the efficiency of turbomachinery, brush seal can be installed with zero clearance or interference as the flexibility. This leads to contact between the rotor and bristle pack, and may cause self-excited vibration, even instability. In this study, to establishment a mathematical model of the rotor system, a seal force model with bristle interference is proposed and the nonlinear oil-film force is applied in view of short bearing assumption. The influences of main factors containing rotor rotational speed, installing interval, disc mass, and disk eccentricity on the nonlinear characteristics of the rotor-bearing-brush seal system are conducted by adopting bifurcation diagram, spectrum cascade, axis orbit, and Poincaré map. The results indicate that the vibration amplitude of the system with bristle interference is a bit larger than that without interference. The system stability is enhanced with smaller disk mass at a higher rotational speed. The proposed model could provide valuable reference for the design of a rotor-bearing-seal system.

*Keywords:* Brush seal; Bristle interference; Seal force; Nonlinear dynamics

## 1. Introduction

Due to lower leakage, lighter weight, and longer service life, brush seals could enhance the working efficiency and reliability of turbomachinery [1, 2]. As a type of flexible contact seal, brush seals have many advantages over traditional labyrinth seal [3]. Labyrinth seal usually needs to set a radial clearance to avoid collision with the rotor, but the clearance in brush seal can be zero or even interference. It leads to acting force between the rotor and brush seal, and this may cause self-excited vibration and instability. So the development of a mathematical model for analyzing the rotor dynamic characteristics of rotor-bearing-brush seal system is of great importance to the stable operation of rotating machinery.

It is hard to estimate the contact force of brush seal due to the elastic deformation of bristles. Flower [4] adopted a simple cantilever beam formula to calculate the bristle tip force. Long and Marras [5] used a non-rotating experimental device for the force measurement of brush seal. But the predicted theoretical and experimental results were not exact enough, as the authors claimed themselves. Sharatchandra and Rhode [6] analyzed the bristle force under the undeflected condition. Zhao and Stango [7] developed a mechanic model to evaluate the contact force of single bristle with interference and fluid force.

Demiroglu et al. [8] proposed an empirical model of seal tip force based on kinds of test results.

Until now, an abundant amount of work has been done and focused on the nonlinear behavior of a rotor system with consideration of the influence of nonlinear seal force and oil-film force [9-11], but most of the researches are focused on labyrinth seal using Muszynska model. The nonlinear performance analysis of rotor-bearing-brush seal system is still hardly known as lack of corresponding theory model for brush seal. In the present work, a nonlinear seal force model with bristle interference and eccentricity was established. The nonlinear oil-film force model with short bearing assumption was applied. The influence of rotational speed, installing interval, disk mass, and disk eccentricity on the dynamic characteristics of rotor system were presented.

## 2. Mathematical model of a rotor system

To investigate the seal force of brush seals and nonlinear behavior, lateral deflection of the disk is not considered here. Fig. 1 depicts the structural diagram of a rotor system.

### 2.1 Equations of motion

The dynamic equation of the 4-DOF rotor system could be derived as:

$$M\ddot{Q} + C\dot{Q} + KQ = -F_g + F_b + F_s + F_e, \quad (1)$$

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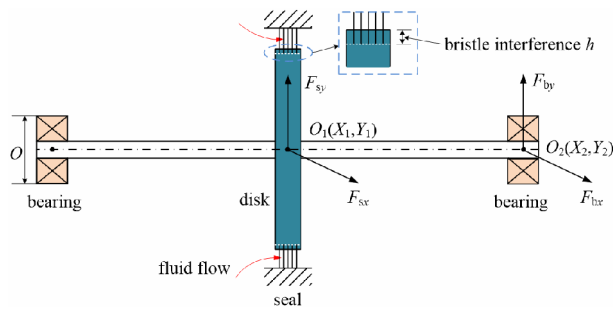


Fig. 1. Structure diagram of a rotor-bearing-brush seal system.

where  $M$  is the mass matrix of the system,  $M = \begin{pmatrix} M_x & 0 \\ 0 & M_y \end{pmatrix}$ ,  $M_x = M_y = \text{diag}[M_d, M_b]$ ,  $M_d$  and  $M_b$  are the mass of disk and bearing, respectively.  $C$  is the system damping matrix,  $C = \begin{pmatrix} C_x & 0 \\ 0 & C_y \end{pmatrix}$ ,  $C_x = C_y = \text{diag}[C_1, C_2]$ ,  $C_1$  and  $C_2$  are the damping of disk and bearing, respectively.  $K$  is the rotor stiffness matrix,  $K = \text{diag}[K, K/2, K, K/2]$ ,  $Q$  are the displacements vectors of geometry center  $O_1$  and  $O_2$  in the  $X$  and  $Y$  directions, respectively,  $Q = [X_1 - X_2, X_2 - X_1, Y_1 - Y_2, Y_2 - Y_1]^T$ ,  $F_g$  is the gravity vector of the rotor system,  $F_g = [0, 0, M_d g, M_b g]^T$ ,  $F_b$  is the bearing oil-film force vector,  $F_b = [0, F_{bx}, 0, F_{by}]^T$ ,  $F_s$  is the seal force vector,  $F_s = [F_{sx}, 0, F_{sy}, 0]^T$ ,  $F_e$  is the unbalanced force vector,  $F_e = [M_d r_d \omega^2 \cos(\omega t), 0, M_d r_d \omega^2 \sin(\omega t), 0]^T$ ,  $r_d$  is the eccentricity of disk.

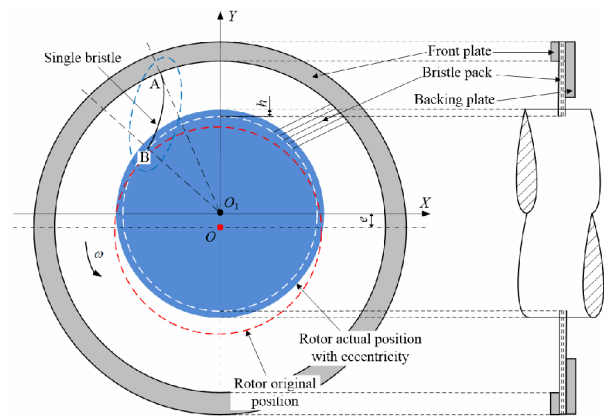
2.2 Nonlinear seal force

To maintain sealing performance, the bristle tip is generally installed with an angle  $\theta$  on the rotor. As the bristle is elastic, the radial interference of the bristle is an inevitable and significant phenomenon. Bristle interference is a kind of interference fit, which would affect the acting force between the rotor and bristle pack. It is assumed that the fluid flow is evenly distributed along a single bristle and the flow induced load in the axial direction is ignored. Fig. 2 depicts the force distributing diagrammatic sketch between rotor and bristle, and the bristle is treated as a cantilever beam.

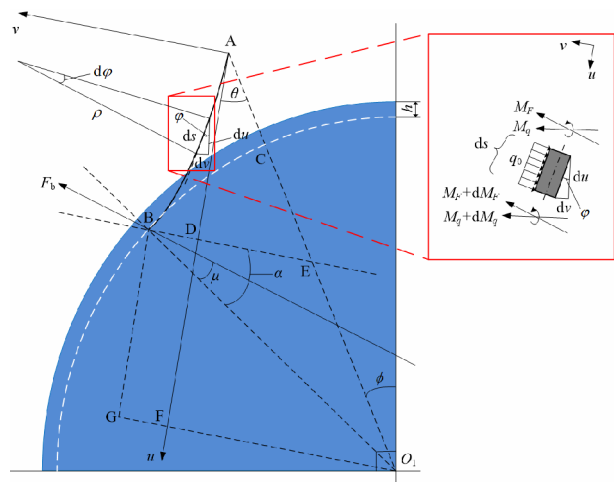
As can be seen from Fig. 2(b), for a single bristle  $AB$ , bending deformation is mainly induced by flow force and rotor acting force. And the influence of shear stress is neglected as the length of bristle is much greater than the diameter of bristle. Then the expression of bristle bending can be obtained by using Euler-Bernoulli equation:

$$EI \frac{d\varphi}{ds} = M_r + M_q \tag{2}$$

Through deduction of the bending moments induced by



(a) Rotor and bristle contact schematic drawing



(b) Bending analysis of bristle with interference

Fig. 2. Force distributing diagrammatic sketch between the rotor and bristle.

contacting force  $F_b$  and uniformly distributed load of fluid flow  $q_0$ , Eq. (2) then can be rewritten as:

$$EI \frac{d^2\varphi}{ds^2} = -F_b \sin(\alpha - \mu + \varphi) + q_0(L_1 - s)\sin(\theta + \varphi) \tag{3}$$

The value of bristle deflection  $\Delta v$  induced by  $F_b$  and  $q_0$  at contact point B can be derived by superposition method:

$$\Delta v = \frac{F_b L_1^3}{3EI} \cos(\alpha - \mu) - \frac{q_0 L_1^4}{8EI} \sin \theta \tag{4}$$

with

$$L_1 = AD = (R_b + h - e \cos \phi - \frac{e^2}{2R} \sin^2 \phi) \cos \theta - (R - h) \sin \alpha \tag{5}$$

As observed in the right-angled trapezoid  $O_1GBE$ ,

$$BD = (R - h) \cos \alpha - (R_b + h - e \cos \phi - \frac{e^2}{2R} \sin^2 \phi) \sin \theta \tag{5}$$

Obviously,  $\Delta v = BD$ , so the expression of  $F_b$  can be obtained by solving Eqs. (4) and (5):

$$F_b = [(R - h) \cos \alpha - (R_b + h - e \cos \phi - \frac{e^2}{2R} \sin^2 \phi) \sin \theta + \frac{q_0 L_1^4}{8EI} \sin \theta] \frac{3EI}{L_1^3 \cos(\alpha - \mu)} \tag{6}$$

It is assumed that all bristles are very tightly arranged in the bristle pack. As  $F_b$  is the contact force of a single bristle with an arbitrary position angle  $\Phi$ , then the seal force in the  $x$  and  $y$  directions could be integrated by following expression [12]:

$$F_{sx} = \int_0^{2\pi} F_b \cos(\alpha - \mu + \theta - \phi) d\phi, \tag{7}$$

$$F_{sy} = \int_0^{2\pi} F_b \sin(\alpha - \mu + \theta - \phi) d\phi. \tag{8}$$

**2.3 Nonlinear oil-film force**

The expressions of oil-film force of bearing in the  $x$  and  $y$  directions could be deduced as follows by adopting the hypothesis of short bearing theory [13, 14]:

$$\begin{bmatrix} F_{bx} \\ F_{by} \end{bmatrix} = S_0 \begin{bmatrix} f_{bx} \\ f_{by} \end{bmatrix}. \tag{9}$$

The dimensionless oil-film force could be transformed as:

$$\begin{bmatrix} f_{bx} \\ f_{by} \end{bmatrix} = - \frac{[(x_2 - 2\dot{y}_2)^2 + (y_2 + 2\dot{x}_2)^2]^{-1/2}}{1 - x_2^2 - y_2^2} \times \begin{bmatrix} 3x_2 V(x_2, y_2, \alpha) - G(x_2, y_2, \alpha) \sin \alpha - 2 \cos \alpha S(x_2, y_2, \alpha) \\ 3y_2 V(x_2, y_2, \alpha) + G(x_2, y_2, \alpha) \cos \alpha - 2 \sin \alpha S(x_2, y_2, \alpha) \end{bmatrix} \tag{10}$$

where

$$\alpha = \arctan \frac{y_2 + 2\dot{x}_2}{x_2 - 2\dot{y}_2} - \frac{\pi}{2} \text{sign} \left( \frac{y_2 + 2\dot{x}_2}{x_2 - 2\dot{y}_2} \right) - \frac{\pi}{2} \text{sign}(y_2 + 2\dot{x}_2) \tag{11}$$

$$G(x_2, y_2, \alpha) = \frac{2}{(1 - x_2^2 - y_2^2)^{1/2}} \left[ \frac{\pi}{2} + \arctan \frac{y_2 \cos \alpha - x_2 \sin \alpha}{(1 - x_2^2 - y_2^2)^{1/2}} \right] \tag{12}$$

$$V(x_2, y_2, \alpha) = \frac{2 + (y_2 \cos \alpha - x_2 \sin \alpha) G(x_2, y_2, \alpha)}{1 - x_2^2 - y_2^2} \tag{13}$$

$$S(x_2, y_2, \alpha) = \frac{x_2 \cos \alpha + y_2 \sin \alpha}{1 - (x_2 \cos \alpha + y_2 \sin \alpha)^2}. \tag{14}$$

For easier calculation and derivation, dimensionless transformations are adopted:

Table 1. Structure and operating parameters of the rotor system.

Parameters	Value
$E$ (Pa)	$2.07 \times 10^{11}$
$I$ (m <sup>4</sup> )	$1.278 \times 10^{-18}$
$\theta$ (°)	45
$M_d$ (kg)	70
$M_b$ (kg)	38
$\mu_0$ (Pa.s)	0.2
$r_d$ (m)	0.0005
$\delta_1$ (m)	0.0004
$\delta_2$ (m)	0.0002
$R_2$ (m)	0.03

$$X_i = \frac{x_i}{\delta_i}, Y_i = \frac{y_i}{\delta_i}, q = \left[ \frac{X_1}{\delta_1}, \frac{X_2}{\delta_2}, \frac{X_2}{\delta_2}, \frac{X_1}{\delta_1}, \frac{Y_1}{\delta_1}, \frac{Y_2}{\delta_2}, \frac{Y_2}{\delta_2}, \frac{Y_1}{\delta_1} \right]^T,$$

$\omega t = \tau, \frac{d}{d\tau} = \frac{d}{\omega dt}, \frac{d^2}{d\tau^2} = \frac{d^2}{\omega^2 dt^2}$ , where  $\delta_1$  is the moving range of the rotor,  $\delta_2$  is the bearing radial clearance,

$S_0 = \mu_0 \omega R_2 L_2 \left( \frac{R_2}{\delta_2} \right)^2 \left( \frac{L_2}{2R_2} \right)^2$ ,  $\mu_0$  is the absolute viscosity of lubricate,  $\omega$  is the rotor rotational speed.

Then, Eq. (1) can be transformed as:

$$\omega^2 \delta_j M \ddot{q} + \omega \delta_j C \dot{q} + Kq = -f_g + f_b + f_s + f_e \quad (i, j = 1, 2). \tag{15}$$

**3. Numerical analysis and discussion**

Numerical integration method is used to integrate Eq. (15). For describing the nonlinear dynamic responses of the rotor system, a bifurcation diagram, spectrum cascade, axis orbit, and Poincaré map are employed. Bifurcation occurs depending on the bifurcation parameter  $\gamma$ ; when  $\gamma$  varies from the state branches to another state at a critical value  $\gamma_0$ , the dynamic behavior of the rotor system will change the motion states from stable to unstable. Power spectrum shows the frequency content of the time variation of the dynamical variables and helps to identify quasi-periodic and chaos motion. Axis orbit and Poincaré map can also valuably define the behavior of a system [15]. If the axis orbit is a regular circle and there exist  $n$  points in the Poincaré map, the system is in periodic- $n$  motion or quasi-periodic motion. If the axis orbit is irregular and Poincaré map is discrete points, the system is in chaotic motion.

Table 1 lists the structure and operating parameters of the rotor system.

**3.1 Effect of the rotor rotational speeds**

Rotational speed is a main factor predicting the nonlinear behavior of a rotor system. Fig. 3 exhibits the bifurcation diagram and spectrum cascade of the system adopting rotor rotational speed as the controlling parameter; the rotational speed varies from 0 to 1200 rad/s. As can be seen from Fig. 3,

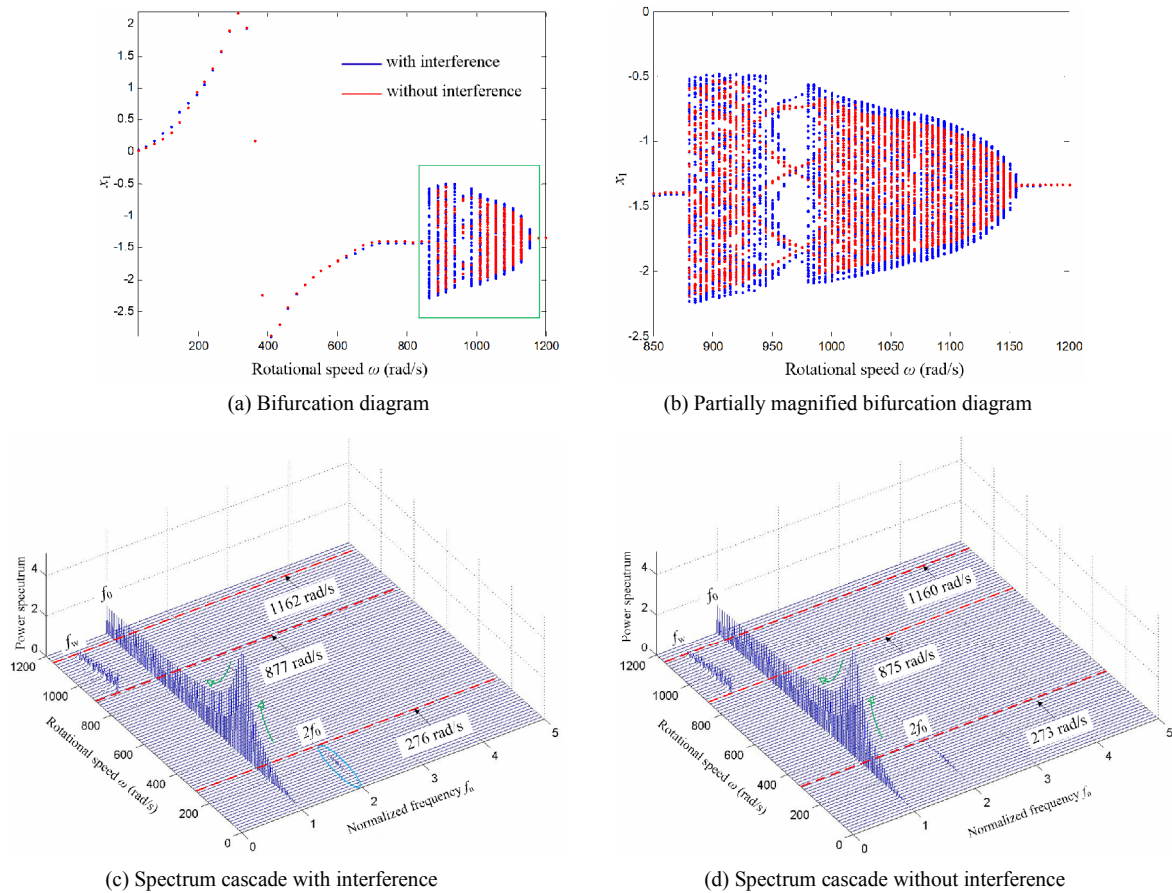


Fig. 3. Bifurcation diagram and spectrum cascade with varying rotational speed.

the change trend of the system in the situation with and without bristle interference is roughly identical, but the vibration amplitude with interference is a bit larger than that without interference. The motion of the system with interference can be concluded as: Period-1 motion ( $0 < \omega \leq 877$  rad/s)  $\rightarrow$  quasi-periodic motion ( $877 < \omega \leq 964$  rad/s)  $\rightarrow$  period-5 motion ( $964 < \omega \leq 979$  rad/s)  $\rightarrow$  quasi-periodic motion ( $979 < \omega \leq 1162$  rad/s)  $\rightarrow$  period-1 motion ( $1162 < \omega \leq 1200$  rad/s). And the system motion without bristle interference is: Period-1 motion ( $0 < \omega \leq 875$  rad/s)  $\rightarrow$  quasi-periodic motion ( $875 < \omega \leq 934$  rad/s)  $\rightarrow$  period-5 motion ( $934 < \omega \leq 987$  rad/s)  $\rightarrow$  quasi-periodic motion ( $987 < \omega \leq 1160$  rad/s)  $\rightarrow$  period-1 motion ( $1160 < \omega \leq 1200$  rad/s). As plotted in Fig. 3(c), in the situation of with interference some small frequency components two-times of fundamental frequency  $2f_0$  appear in the interval  $\omega \in [0, 276]$  rad/s. When  $\omega \in [877, 1162]$  rad/s, there are some frequency components  $f_w$  induced by self-excited synchronous vibration. And in the rest rotational speed the fundamental frequency  $f_0$  dominates the spectrum. As illustrated in Fig. 3(d), without considering the bristle interference, the rotational speed intervals of appearing frequency components  $f_w$  and  $2f_0$  decrease to  $\omega \in [875, 1160]$  rad/s and  $\omega \in [0, 273]$  rad/s, respectively.

For better understanding the vibration response of the rotor-bearing-seal system with and without bristle interference at

different rotational speed, the axis orbits and Poincaré maps of the rotor system are presented in Fig. 4. When the rotational speeds are 410, 600, and 1200 rad/s, the rotor axis orbits are regular ellipses and the Poincaré maps are single points, which represent that the system is stable and in synchronous period-1 motion. When  $\omega = 970$  rad/s, rotor axis is irregular ring and five single points arise in Poincaré map, which illustrates that the system is in period-5 motion. And the axis orbit forms a sectorial ring and the Poincaré map forms one closed circle at  $\omega = 1100$  rad/s, which represents it is unstable and in quasi-periodic motion.

### 3.2 Effect of the installing interval

As bristle interference is the main focus in this paper, so the interference is considered in the following discussion. The operation range of a bristle pack is directly decided by the installing interval between the front plate and rotor surface. Bifurcation diagram and spectrum cascade varied by installing interval are shown in Figs. 5 and 6. From Fig. 5, it is clear the bifurcation diagram is a smooth upward line, illustrating that it is in period-1 motion. And there is only an  $f_0$  in the spectrum cascade. As observed in Fig. 6, the bifurcation diagram is always in quasi-periodic motion when  $\omega = 1000$  rad/s, and combination of frequency components of  $f_w$  and  $f_0$  appear-

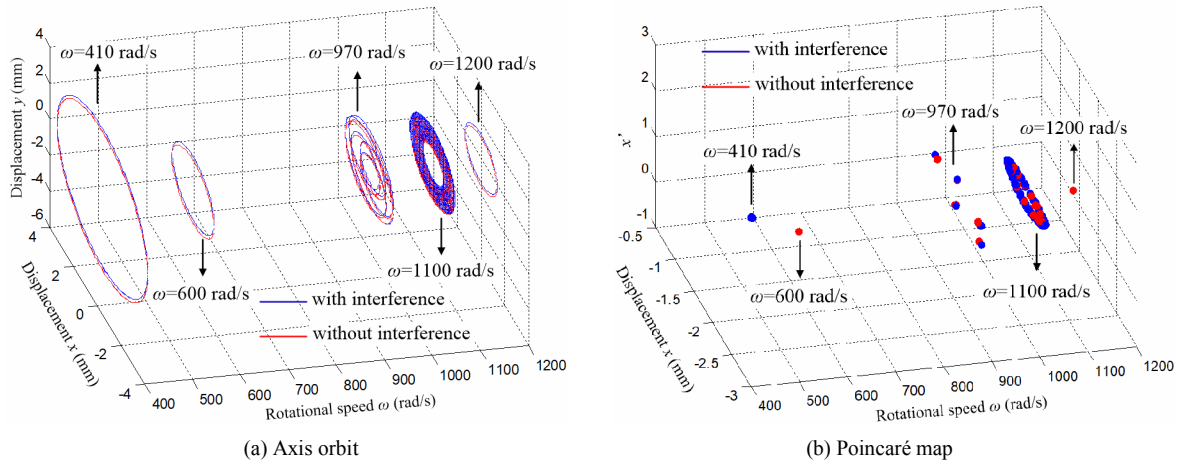


Fig. 4. Vibration response at different rotational speed with interference and without interference.

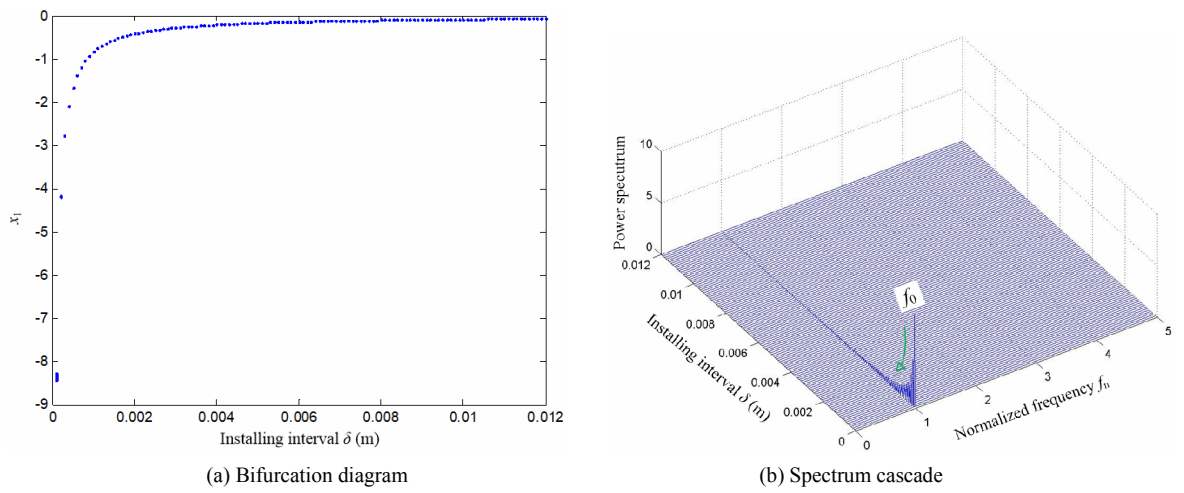


Fig. 5. Bifurcation diagram and spectrum cascade with varying installing interval at  $\omega = 500$  rad/s.

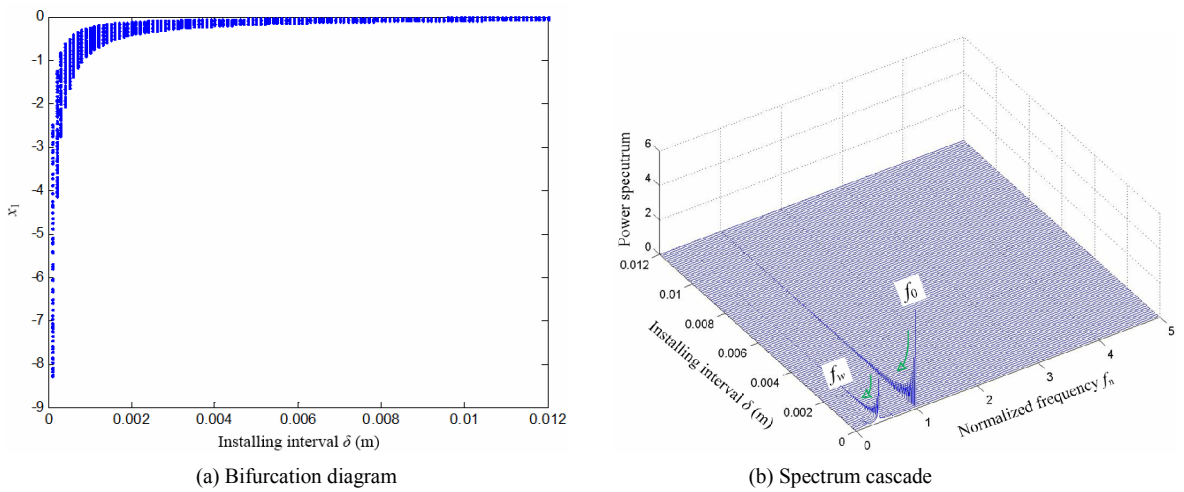
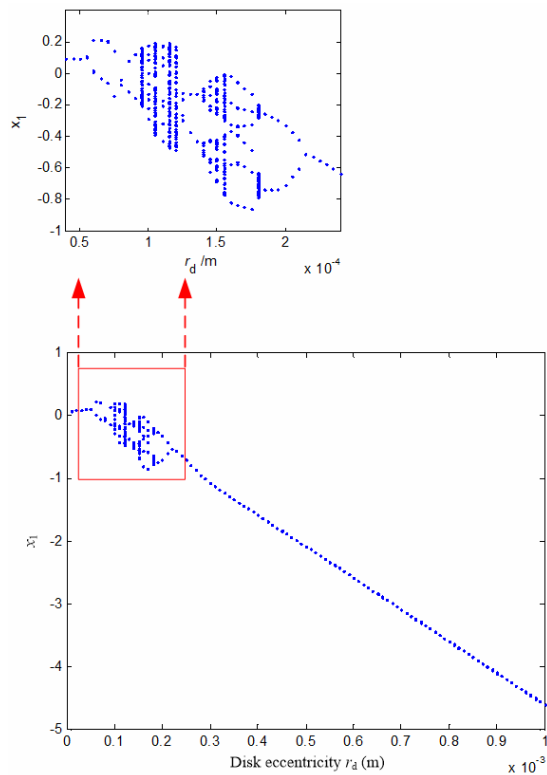
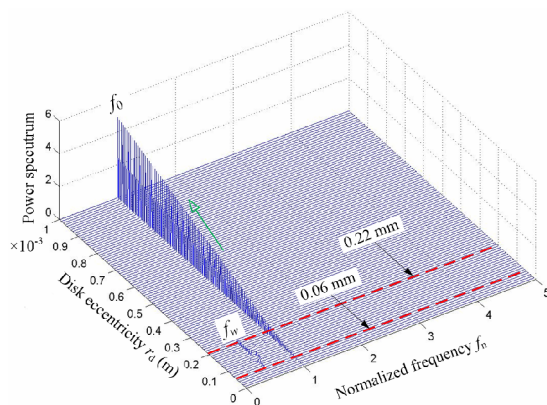


Fig. 6. Bifurcation diagram and spectrum cascade with varying installing interval at  $\omega = 1000$  rad/s.



(a) Bifurcation diagram



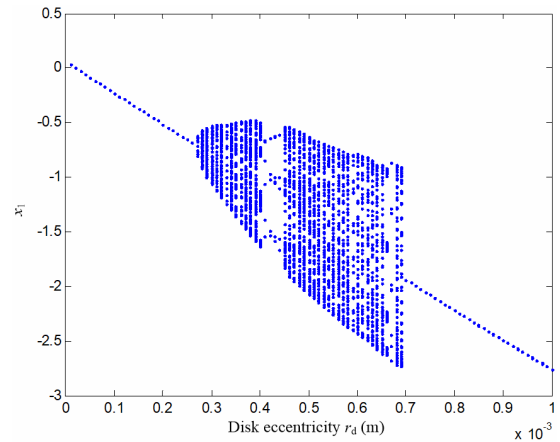
(b) Spectrum cascade

Fig. 7. Bifurcation diagram and spectrum cascade with varying disk eccentricity at  $\omega = 500$  rad/s.

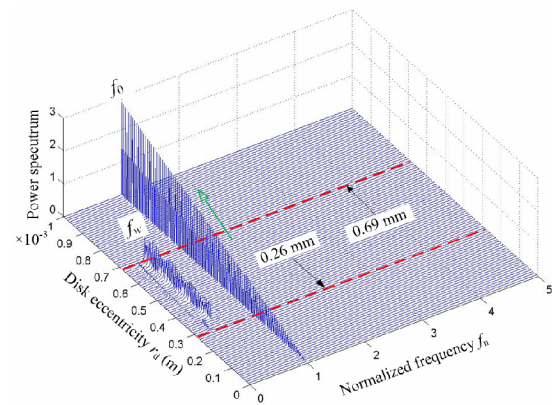
ances through all value of installing interval variation. And the vibration amplitude decreases sharply at lower installing interval.

### 3.3 Effect of the disk eccentricity

An unbalanced mass could cause excited force and affect the system stability and dynamic performance. The bifurcation diagram and spectrum cascade by increasing disk eccentricity are shown in Figs. 7 and 8. As observed in Fig. 7(a), the motion of the system at  $\omega = 500$  rad/s undergoes the following: Period-1 motion ( $0 < r_d \leq 0.06$  mm)  $\rightarrow$  period-2 motion (0.06



(a) Bifurcation diagram



(b) Spectrum cascade

Fig. 8. Bifurcation diagram and spectrum cascade with varying disk eccentricity at  $\omega = 1000$  rad/s.

$< r_d \leq 0.09$  mm)  $\rightarrow$  quasi-periodic motion ( $0.09 < r_d \leq 0.12$  mm)  $\rightarrow$  period-2 motion ( $0.12 < r_d \leq 0.14$  mm)  $\rightarrow$  quasi-periodic motion ( $0.14 < r_d \leq 0.16$  mm)  $\rightarrow$  period-6 motion ( $0.16 < r_d \leq 0.18$  mm)  $\rightarrow$  period-2 motion ( $0.18 < r_d \leq 0.22$  mm)  $\rightarrow$  period-1 motion ( $0.22 < r_d \leq 1$  mm). There exist combination frequency components of  $f_w$  and  $f_0$  when  $r_d \in [0.06, 0.22]$  mm, and the value of fundamental  $f_0$  increases the disk eccentricity  $r_d$  (see Fig. 7(b)). As illustrated in Fig. 8(a), the motion at  $\omega=1000$  rad/s can be concluded as: Period-1 motion ( $0 < r_d \leq 0.26$  mm)  $\rightarrow$  quasi-periodic motion ( $0.26 < r_d \leq 0.40$  mm)  $\rightarrow$  period-5 motion ( $0.40 < r_d \leq 0.44$  mm)  $\rightarrow$  quasi-periodic motion ( $0.44 < r_d \leq 0.69$  mm)  $\rightarrow$  period-1 motion ( $0.69 < r_d \leq 1$  mm). As shown in Fig. 8(b),  $f_w$  appears at 0.26 mm and disappears at 0.69 mm. The axis orbit and Poincaré map in Fig. 9 can further illustrate the changing process from synchronous motion to oil whirl, and reach a stable state with the increase of  $r_d$ .

### 3.4 Effect of the disk mass

As the brush seal is a type of contact seal, the bristle pack would keep contact with the disk to guarantee the sealing effect. So the sealing performance largely depends on the disk

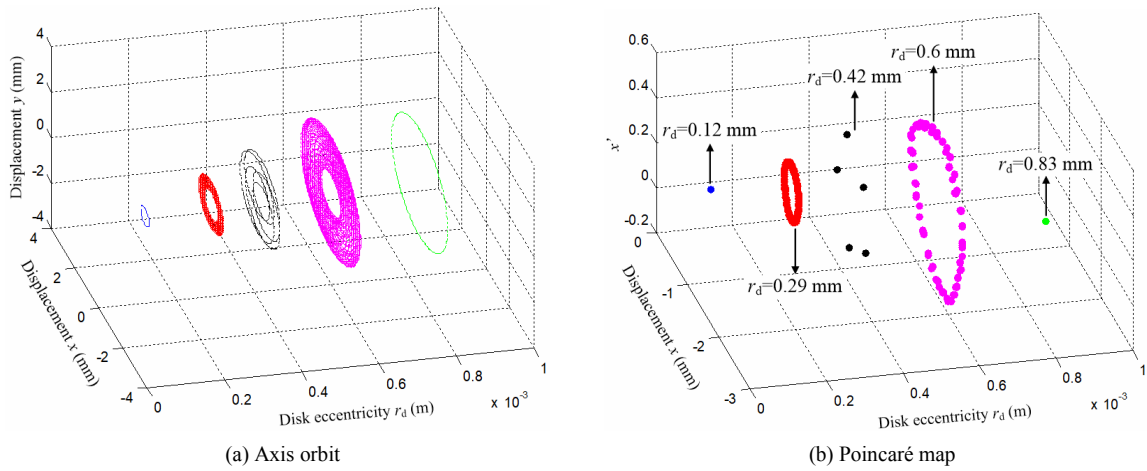


Fig. 9. Vibration response with different disk eccentricity at  $\omega = 1000$  rad/s.

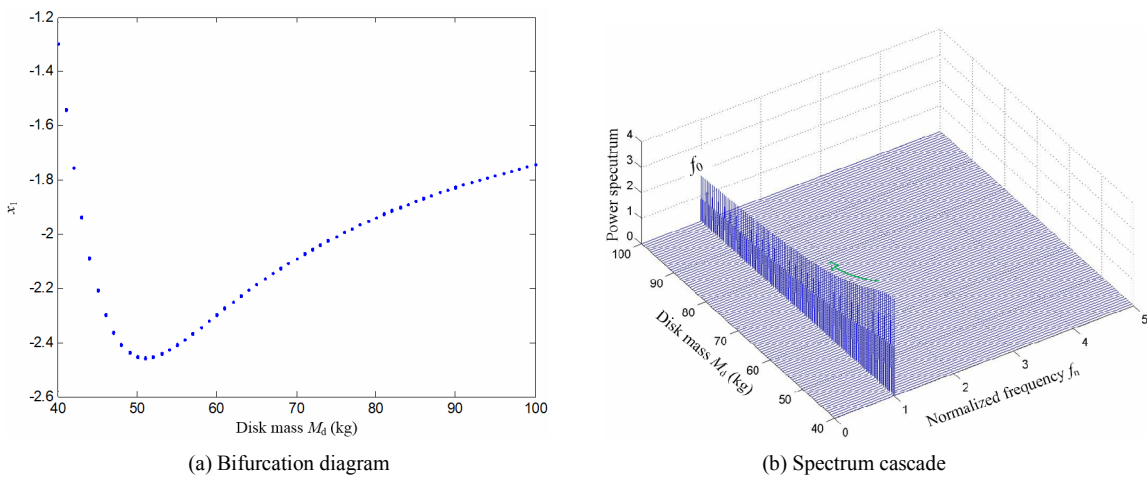


Fig. 10. Bifurcation diagram and spectrum cascade with varying disk mass at  $\omega = 500$  rad/s.

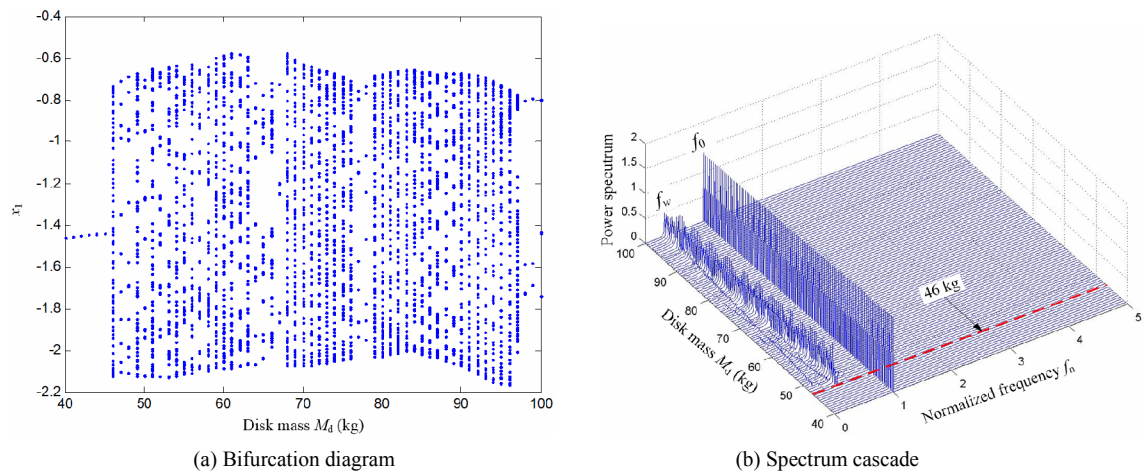


Fig. 11. Bifurcation diagram and spectrum cascade with varying disk mass at  $\omega = 1000$  rad/s.

mass. As observed in Fig. 10, the bifurcation diagram is a single line at  $\omega = 500$  rad/s, which represents the system is in period-1 motion. The vibration amplitude decreases with the increases of disc mass at first when  $M_d$  is less than 51 kg, then increases with disc mass when  $M_d \geq 51$  kg. And there is only

one frequency component  $f_0$  dominating the spectrum cascade.

As plotted in Fig. 11, the system is in period-1 motion in lower disk mass range of  $M_d \in [40, 46]$  kg at  $\omega = 1000$  rad/s. But the system is in quasi-periodic motion and period-n motion when  $M_d \geq 46$  kg. The frequency component  $f_w$  is about

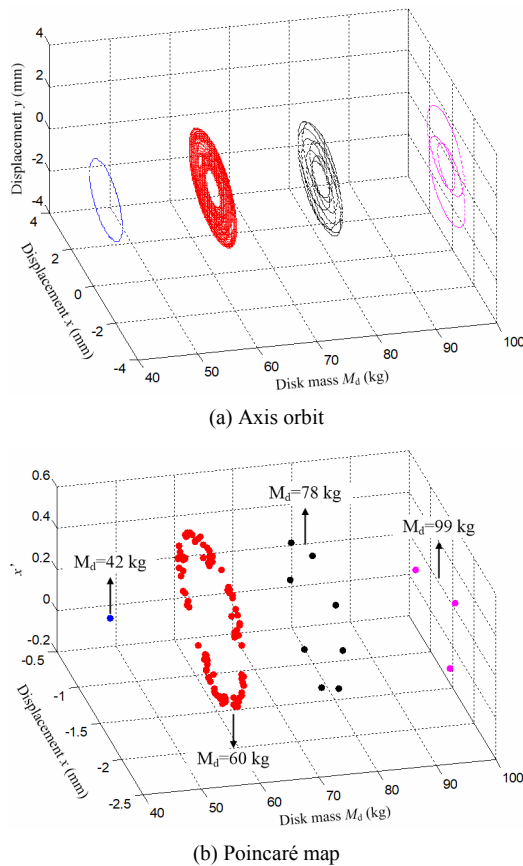


Fig. 12. Axis orbit and Poincaré map of the system at  $\omega = 1000$  rad/s.

half fundamental frequency components  $0.5f_0$ , and oil whip phenomena may arise at this time. It is obvious that the system stability is enhanced with smaller disk mass at  $\omega = 1000$  rad/s. Axis orbit and Poincaré map in Fig. 12 represent that while disk mass is 60, 78, and 99 kg, the system is in quasi-periodic, period-8, and period-3 motion, respectively.

#### 4. Conclusions

For the investigation of dynamic characteristics of a rotor-bearing-seal system, a nonlinear seal force with bristle radial interference is presented by adopting superposition method, and the short bearing theory is used to build the nonlinear oil-film force model. The effects of main parameters containing rotor rotational speed, installing interval, disc eccentricity, and disk mass on the dynamic behaviors of the rotor system are discussed. The conclusions from this study can be summarized:

- (1) The vibration amplitude of the system with bristle interference is a bit larger than that without interference.
- (2) The vibration amplitude of the system drops sharply at lower installing interval.
- (3) When disc eccentricity is varying from 0 to 1 mm at  $\omega = 500$  rad/s, bifurcation diagram branching at small disc

eccentricity values undergoes period-n and quasi-periodic motion.

- (4) The combination frequency components triggered by oil-film force and system stability are enhanced with smaller disk mass at a higher rotational speed.

#### Acknowledgments

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#### Nomenclature

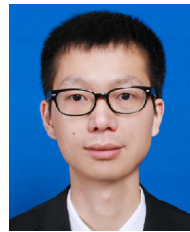
$e$	: Eccentricity of rotor
$E$	: Modulus of elasticity
$F_{sx}$	: Seal force in the $x$ direction
$F_{sy}$	: Seal force in the $y$ direction
$h$	: Bristle radial interference
$I$	: Moment of inertia of bristle
$L_1$	: Projection of the bristle $AB$ on $u$ axis
$L_2$	: Length of bearing
$M_d$	: Disk mass
$M_b$	: Bearing mass
$M_q$	: Bending moment induced by distributed load of flow
$M_F$	: Moment induced by contact force
$O$	: Bearing geometric center
$O_1$	: Disk geometric center
$O_2$	: Journal geometric center
$F_b$	: Contact force between the bristle and rotor
$q_0$	: Uniformly distributed load of fluid flow
$r_d$	: Eccentricity of disc
$R$	: Rotor radius
$R_b$	: Distance between $O_1$ and the bottom of the front plate
$s$	: Arc-length coordinate along the bristle
$\alpha$	: Angle of $BO_1F$
$\mu$	: Angle between $O_1B$ and reverse extending line of $F_b$
$\rho$	: Bristle radius of curvature
$\omega$	: Rotor rotational speed
$\delta$	: Installing spacing between the rotor surface and housing
$\Phi$	: Included angle between $O_1A$ and $y$ coordinate
$\varphi$	: Bristle slope angle
$\theta$	: Bristle lay angle

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