

## Development of a two-frequency, elliptical-vibration texturing device for surface texturing<sup>†</sup>

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### Abstract

This study presents the design of a Two-frequency, elliptical-vibration texturing (TFEVT) device. The device was designed to be used in a surface texturing process, and its functionality is based on a combination of ultrasonic ( $> 20$  kHz) and low vibration frequencies ( $< 100$  Hz). The device consists of two parts: The Ultrasonic elliptical motion transducer (UEMT) and the Low frequency displacement amplifier (LFDA). A modal analysis simulation and dynamic experiments were conducted to investigate the dynamic characteristics of the device. The modal simulation was carried out using finite element analysis and the dynamic experiment was evaluated using Frequency response function (FRF) analysis. The working principle of the UEMT is based on a resonance transducer, and the angle between the two Langevin transducers was set as  $90^\circ$ . The UEMT has two vibration modes, symmetric and asymmetric, and according to experimental data, its working frequency is 24 kHz at the 6<sup>th</sup> resonance vibration mode when a Polycrystalline diamond (PCD) tool is attached. The UEMT is able to generate an elliptical locus that has a vertical amplitude of  $1.4 \mu\text{m}$  and a horizontal amplitude of  $0.6 \mu\text{m}$ , under a phase-shift of  $90^\circ$ . The design of the LFDA is based on a double parallel four-bar flexure hinge, and the displacement output ratio is set to 5. The working principle of the LFDA is based on a non-resonance transducer. The working frequency of the LFDA is below its first of natural frequencies ( $\approx 1060$  Hz), and it is able to generate sinusoidal motion with a maximum peak-to-peak amplitude of  $9 \mu\text{m}$ . Finally, to investigate the feasibility of the TFEVT device for use in a surface texturing process, several micro-groove cutting tests were performed on an AISI 1045 alloy steel.

**Keywords:** Modal analysis; Frequency; Ultrasonic; Transducer; Piezo actuator; Surface texturing

### 1. Introduction

In this study, the development of a Two-frequency, elliptical-vibration texturing (TFEVT) device is proposed for use in a surface texturing process. The TFEVT method incorporates Ultrasonic elliptical-vibration cutting (UEVC) into a Conventional texturing (CT) method. In the CT method [1, 2], a single frequency of sinusoidal motion is generated in the tool tip along the direction of the depth of the cut, creating relative motion between the tool tip and the workpiece surface; this results in the formation of a micro-dimple. The UEVC method is widely known among researchers as a promising cutting method, especially for hard materials ( $H_{RC} > 39$ ) [3], and the method excels at reducing cutting forces [4], improving surface roughness [5], increasing tool life [6], and suppressing

side burrs [7] of micro-grooves. The principle of UEVC [8] is that it creates elliptical motion in the tool tip, which adds secondary motion in the direction of the cut depth, into one-directional vibration cutting (1D-VC). Fundamentally, the TFEVT method combines both methods (UEVC and CT), and the tool tip motion in the TFEVT method can be drawn as shown in Fig. 1.

For instance, the relative motion of the tool tip in the TFEVT method can be expressed as follows:

$$x(t) = a \cdot \cos(2\pi f_h \cdot t + \phi) + V_f \cdot t \quad (1)$$

$$y(t) = b \cdot \cos(2\pi f_h \cdot t) + B \cdot \cos(2\pi f_l \cdot t) \quad (2)$$

where  $x(t)$  and  $y(t)$  are the tool positions on the  $x$ - $y$  plane in the Cartesian coordinate system,  $f_h$  is the ultrasonic frequency of the elliptical tool motion ( $> 20$  kHz),  $f_l$  is the low vibration frequency of the sinusoidal wave,  $\phi$  is the phase shift,  $V_f$  is

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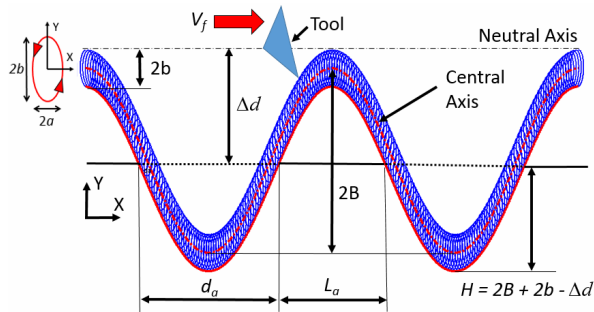


Fig. 1. Illustration of TFEVT method.

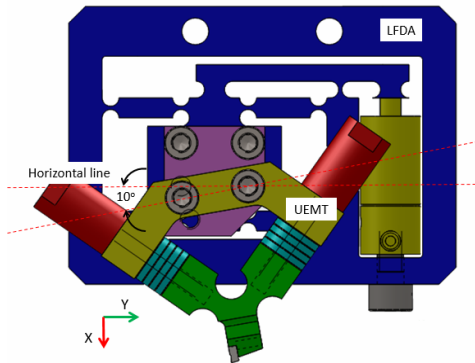


Fig. 2. Assembly of the TFEVT device.

the nominal relative cutting velocity, and  $t$  is time.

The elliptical motion has minor and major amplitudes,  $a$  and  $b$ , respectively, and  $B$  is the amplitude of the sinusoidal wave at low frequency. In UEVC [9], to achieve intermittent cutting so that the tool tip separates from the workpiece in each cut cycle, the maximum ultrasonic vibration velocity must be higher than the nominal relative cutting velocity,  $V_f$ . This intermittent cutting requirement remains valid when the tool tip reaches the bottom of the micro-dimple.

$$(2\pi f_h \cdot a) > V_f \quad (3)$$

A Double-frequency, elliptical vibration cutting (DFEVC) method was first proposed by Zhou et al. [10] for freeform surface profile generation in diamond turning. By applying low-frequency vibration of an elliptical locus at approximately 100 Hz and at a spindle rotational speed of 6 rpm, the freeform surface profile was created and the vibration marks were clearly observed [10]. In addition, Zhou et al. [11] developed a DFEVC apparatus in which the elliptical locus vibration frequency was generated at 100 Hz. The sinusoidal frequency was lower than 5 Hz. The apparatus operated at relatively low vibration frequencies of approximately 100 Hz. This apparatus might be suitable for profile generation of freeform surfaces in diamond turning. In contrast to Zhou's design, the TFEVT device operates in the ultrasonic frequency range ( $>20$  kHz). A comprehensive study of surface roughness conducted using the TFEVT method has been published recently by Kurnia-

wan et al. [12].

In this study, the design of a TFEVT device is proposed. The TFEVT device consists of two components: The UEMT and the LFDA. The UEMT operates in the ultrasonic frequency range ( $>20$  kHz) and generates two-dimensional motion in the tool tip. It operates at the 6<sup>th</sup> resonance normal vibration mode and the 6<sup>th</sup> resonance tangential vibration mode. The LFDA operates in frequency ranges lower than its 1<sup>st</sup> natural frequency, and generates relatively large amplitudes of sinusoidal motion. The performance of the two components was analyzed separately using analytical and experimental methods. Finally, the feasibility of the TFEVT method for a surface texturing process was determined using experimental assessments. Based on the experiments, the TFEVT method showed improved micro-groove surface roughness quality compared to the CT method.

## 2. Design of TFEVT device

In the TFEVT design, the UEMT is fixed at  $10^\circ$  with respect to the horizontal line, as shown in Fig. 2. This positioning is required to increase the nominal clearance angle ( $\approx 17^\circ$ ) to avoid contact between the flank face of the cutting tool and the machined surface during the surface texturing process. This arrangement causes the rake angle to become slightly negative ( $\approx -3^\circ$ ).

### 2.1 UEMT design

The UEMT design presented in this study was inspired by Guo's transducer design [13], which in turn adopted Kurosawa's ultrasonic motor conceptual design [14]. A transducer design based on this idea could produce considerably high mechanical power. Kurosawa's transducer was developed specifically for ultrasonic motor applications; thus, maximal output speed, force, and mechanical power were prioritized during the design process [14]. The novelty of the UEMT design is that the output amplitude of the elliptical locus was designed carefully to be less than  $1 \mu\text{m}$  and the working frequency is approximately 24 kHz.

The UEMT design consists of two bolt-clamped Langevin transducers. Langevin transducers were chosen since they work ultrasonically ( $>20$  kHz). Each transducer incorporates four O-ring shaped piezoelectric actuators (PZT). Each PZT has a 15 mm outer diameter, 6 mm inner diameter, and is 2 mm thick. The piezo-crystal material is type S-44 and is produced by SunnyTec. Detailed material properties of the PZT are shown in Table 1.

The Computer aided design (CAD) model of the UEMT design is shown in Fig. 3. Each Langevin transducer is connected at the end of the  $90^\circ$  tool holder (flexure hinge structure). The angle between the two Langevin transducers is set to  $90^\circ$ , following Kurosawa's design [14]. The two end masses on both ends of the transducer provide a preload to the piezoelectric actuators. The length of the end mass was designed to be approximately 25 mm, and the diameter is similar

Table 1. Piezoelectric actuator, S-44 specification.

$\rho$ g/cm <sup>3</sup>	$T_c$ °C	$\epsilon_{33}^T$	$\text{tg } \delta$	$Q_m$	$d_{33}$ M/V	$g_{33}$ Vm/N	$E$ MPa
7.7	300	1550	0.4	1400	$330 \times 10^{-12}$	$23.4 \times 10^{-3}$	80000

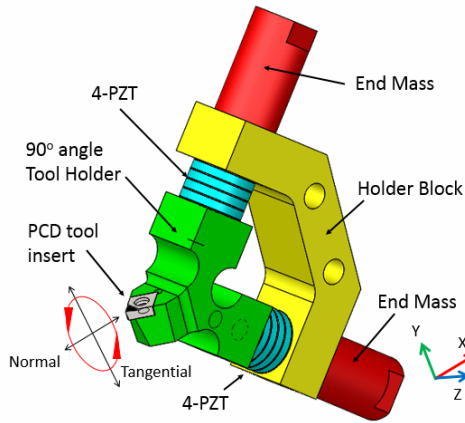


Fig. 3. CAD model of the UEMT design [13].

to the O-ring PZT diameter, approximately 15 mm. The 90° tool holder is composed of aluminum to provide a light weight or load to the PZT so that its optimum amplitude vibration could be achieved. The end mass material is medium alloy steel (AISI 1045). The holder block is considered to be a nodal point of the Langevin transducer, where the vibration due to resonance does not occur at the fixed nodal point.

Two main vibration modes are considered for the UEMT: Symmetrical (normal direction) and asymmetrical (tangential direction). The symmetrical mode shape occurs when the longitudinal direction of the Langevin transducer is in the in-phase condition. The asymmetrical mode shape occurs when the longitudinal direction of the Langevin transducer is in the out-of-phase condition. The symmetrical and asymmetrical mode shapes must have a similar natural frequency in order to vibrate the tool tip simultaneously in two directions.

A modal simulation was performed to predict the dynamic characteristics of the UEMT device (natural frequency and mode shape were of particular importance). ANSYS commercial software was used to identify the natural frequencies of each of the symmetrical and asymmetrical mode shapes. Table 2 shows the modal simulation results for three different end mass lengths (20, 25 and 30 mm). The natural frequency of each mode depends on the length of the end mass [13]. By increasing the length of the mass, the natural frequency of each mode reduces. Since the desired working frequency of the UEMT design is approximately 30 kHz, the 25 mm end mass length was used in the current design. Based on the modal simulation results, the 4<sup>th</sup> symmetrical and 4<sup>th</sup> asymmetrical mode shapes have similar natural frequencies: 30.67 and 30.5 kHz, respectively. Figs. 4(a) and (b) show the symmetrical and asymmetrical 4<sup>th</sup> mode shapes, respectively.

In a previous study, Shi et al. [15] proposed a mathematical

Table 2. Modal simulation analysis of the UEMT design.

$N^{\text{th}}$	End mass: 20 mm		End mass: 25 mm		End mass: 30 mm	
	Symmetric (Hz)	Asymmetric (Hz)	Symmetric (Hz)	Asymmetric (Hz)	Symmetric (Hz)	Asymmetric (Hz)
1 <sup>st</sup>	9487	11833	8066.9	10348	6674.9	8969.3
2 <sup>nd</sup>	14653	20327	12722	18623	11853	17797
3 <sup>rd</sup>	24918	27897	24537	27686	24496	27710
4 <sup>th</sup>	<b>31738</b>	<b>31852</b>	<b>30677</b>	<b>30501</b>	<b>28743</b>	<b>29509</b>
5 <sup>th</sup>	37649	43342	35225	42174	33400	37234

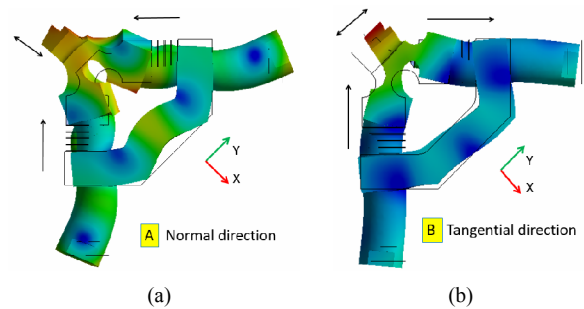


Fig. 4. UEMT mode shapes: (a) 4<sup>th</sup> symmetrical mode shape; (b) 4<sup>th</sup> asymmetrical mode shape.

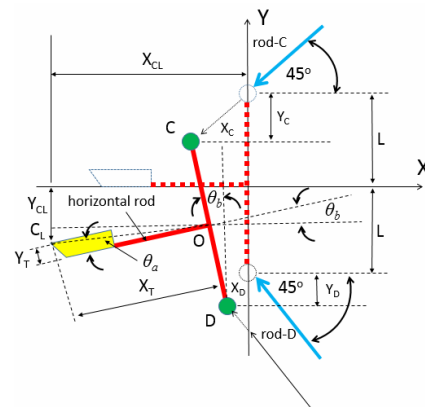


Fig. 5. Kinematic analysis of the UEMT design [15].

model to analyze the trajectory of the tool tip when it vibrates in two dimensions. A schematic of the kinematical model is shown in Fig. 5. The 90° tool holder (flexure hinge structure) is simplified as a T-shaped bar. Points C and D indicate where the independent PZT pushes the T-shaped bar. The PZTs that push in the longitudinal direction are called rod-C and rod-D. The angle between the two Langevin transducers was set to 90°. In the schematic, the angle between rod-C and rod-D is set to 90°. In the equations described below, the amplitudes generated by each PZT are defined as C and D,  $f$  is the frequency of vibration,  $\phi$  is the phase shift, and  $t$  is time. The displacement generated by each PZT actuator in the  $x$  (normal) and  $y$  (tangential) directions can be described as follows:

$$X_c = -C \cdot \sin(2\pi f \cdot t) \cdot \cos(45^\circ) \tag{4}$$

$$X_D = -D \cdot \sin(2\pi f \cdot t + \phi) \cdot \cos(45^\circ) \quad (5)$$

$$Y_C = L - C \cdot \sin(2\pi f \cdot t) \cdot \sin(45^\circ) \quad (6)$$

$$Y_D = -(L + D \cdot \sin(2\pi f \cdot t + \phi) \cdot \sin(45^\circ)). \quad (7)$$

$X_C$  and  $X_D$  are the sinusoidal displacements of the rod-C and rod-D PZT actuators, respectively, along the  $x$ -axis.  $Y_C$  and  $Y_D$  are the sinusoidal displacements of rod-C and rod-D, respectively, along the  $y$ -axis.  $L$  is half of the total length between points C and D.

Fig. 5 shows the condition when the T-shaped bar is pushed by PZT actuators in both longitudinal directions.  $C_L$  is the cutter location of the tool tip, where the initial position is located at  $(X_T, Y_T)$  in the Cartesian  $(x, y)$  coordinate system.  $C_L$  refers to point O, in which O (0,0) is the center point of the T-shaped bar located between points C and D.  $\theta_a$  is a constant angle of  $C_L$ , which refers to the O center point.  $\theta_a$  can be calculated by Eq. (8).

$$\theta_a = \tan^{-1} \left( \frac{Y_T}{X_T} \right). \quad (8)$$

$\theta_b$  describes the angle between the horizontal rod of the T-shaped bar and the  $x$ -axis.  $\theta_b$  can be described by Eq. (9).

$$\theta_b = \tan^{-1} \left( \frac{X_C - X_D}{\sqrt{(2L)^2 + (X_C - X_D)^2}} \right). \quad (9)$$

Point O ( $O_x, O_y$ ) can be formulated into Eqs. (10) and (11) because the T-shaped bar is symmetrical.

$$O_x = \frac{X_C + X_D}{2} \quad (10)$$

$$O_y = \frac{Y_C + Y_D}{2}. \quad (11)$$

According to Fig. 5, when the T-shaped bar vibrates, the position of the cutter  $C_L$  can be formulated into Eqs. (12) and (13).

$$X_{CL} = O_x - \sqrt{X_T^2 + Y_T^2} \cos(\theta_b - \theta_a) \quad (12)$$

$$Y_{CL} = O_y - \sqrt{X_T^2 + Y_T^2} \sin(\theta_b - \theta_a). \quad (13)$$

The final equation of  $C_L$  could be obtained by substituting Eqs. (10) and (11) into Eqs. (12) and (13), respectively. The final equations of the cutter location can be described as follows:

$$X_{CL} = \frac{-C \cdot \sin(2\pi f \cdot t) \cdot \cos(45^\circ) - D \cdot \sin(2\pi f \cdot t + \phi) \cos(45^\circ)}{2} - \sqrt{X_T^2 + Y_T^2} \cos(\theta_b - \theta_a) \quad (14)$$

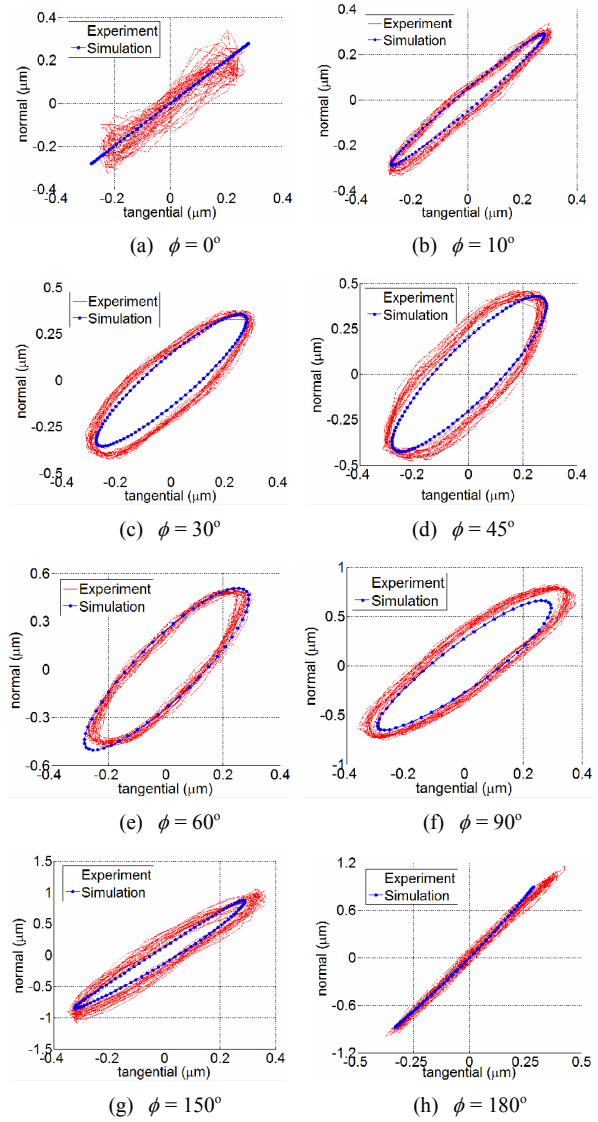


Fig. 6. Elliptical locus generated by the UEMT with different phase shifts.

$$Y_{CL} = \frac{-C \cdot \sin(2\pi f \cdot t) \cdot \sin(45^\circ) - D \cdot \sin(2\pi f \cdot t + \phi) \cdot \sin(45^\circ)}{2} - \sqrt{X_T^2 + Y_T^2} \sin(\theta_b - \theta_a). \quad (15)$$

In case of amplitudes  $C$  and  $D$ , a generated amplitude of both PZT actuators can be estimated by using Eq. (16).  $N$  is the quantity of PZT actuators;  $d_{33}$  is the coefficient direction; and  $V_{p-p}$  is the peak-to-peak voltage amplitude. Based on Table 1, the estimated amplitude of the PZT actuator is approximately  $0.396 \mu\text{m}$ , where  $d_{33} = 330 \times 10^{-6} \mu\text{m/V}$ ,  $V_{p-p} = 300 \text{ V}$  and  $N = 4$ .

$$U = N \cdot d_{33} \cdot V_{p-p}. \quad (16)$$

$C_L$  was simulated and the comparison with the measured results is presented in Fig. 6. It shows the trajectories of ellipti-

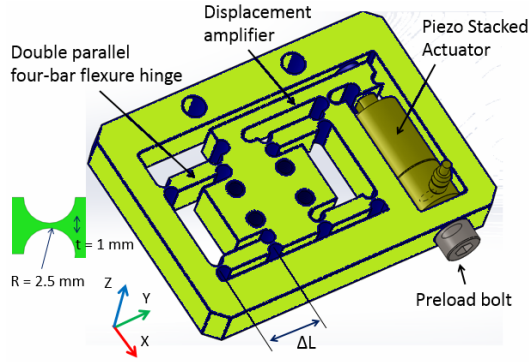


Fig. 7. Design of the LFDA.

cal loci that are generated by the UEMT with different phase shifts. The elliptical locus shape changes as the phase shift,  $\phi$ , ranges from  $0^\circ$ - $180^\circ$ . A good agreement between the simulated displacement and the measurement has been achieved. The input parameters of the simulated displacement are similar to the input parameters when the two Langevin transducers were driven. During the measurement, the UEMT was driven at 24 kHz frequency with voltage input ( $V_{p-p}$ ) of 300 V. The details of the dynamic characteristics of the UEMT are presented in Sec. 3. The UEMT generates a relatively small ( $< 1 \mu\text{m}$ ) amplitude of elliptical locus, because the generated amplitude of the UEMT requires less than the generated amplitude of the LFDA.

### 2.2 LFDA design

The LFDA design was based on the non-resonance frequency mode and was carefully planned to have a longer displacement amplitude than the locus amplitude generated by the UEMT. The design was inspired by Lee’s design [16, 17] for an atomic microscope application, in which a displacement amplifier was incorporated. The LFDA should have a sufficient displacement to cut the workpiece material, the displacement amplification ratio,  $r$ , was set to 5. The LFDA consists of the displacement amplifier, the double parallel four-bar flexure hinge structure, and the piezo stack actuator, as shown in Fig. 7. A flexure hinge mechanism was used because of the absence of friction. The piezo stack type P-212.10 actuator (manufacturer - Physik Instrumente) is used in this study. The piezo stack actuator is able to generate a maximum displacement of  $15 \mu\text{m}$  and maximum force of 2000 N under the condition of no external load.

The estimation of the equivalent stiffness of the double-parallel four-bar flexure hinge is important for determining the displacement output generated by the LFDA in the  $x$ -direction. Based on Refs. [18, 19], the equivalent stiffness,  $K_{eqv}$ , is a function of the bending stiffness of the flexure hinge and the length between two flexure bars. Since the effect of the linear stiffness of the flexure bar,  $K_S$ , is relatively small in this case, the linear stiffness effect can be neglected. The estimation of  $K_{eqv}$  is presented in Eq. (17).

$$K_{eqv} = \frac{8K_B}{(\Delta L)^2} \quad (17)$$

where  $K_{eqv}$  is the equivalent stiffness,  $K_B$  is the bending stiffness,  $\Delta L$  is the distance between two flexure bars measured from the center of the flexure hinge rotation. To estimate the value of  $K_B$ , Lobontiu [20] developed a precise equation, as shown in Eq. (18). In this equation,  $R$  is the radius of the flexure hinge,  $t$  is the thickness of the flexure hinge,  $w$  is the width of the flexure hinge, and  $E$  is the modulus of elasticity.  $K_B$  is the inverse of compliance of symmetric circular flexure hinge  $C_{\theta,z}$ .

$$K_B = \frac{1}{C_{\theta,z}} \quad (18)$$

The compliance of the symmetric circular flexure hinge  $C_{\theta,z}$  is formulated as follows.

$$C_{\theta,z} = \frac{24R}{Ewt^3(2R+t)(4R+t)^3} \left[ t(4R+t)(6r^2 + 4Rt + t^2) + 6R(2R+t)^2 \sqrt{t(4R+t)} \tan^{-1} \sqrt{1 + \frac{4R}{t}} \right] \quad (19)$$

$K_{eqv}$  is approximately  $2.63 \text{ N}/\mu\text{m}$ , where  $t$  is 1 mm,  $R$  is 2.5 mm,  $w$  is 15 mm,  $E$  of AISI 1045 is approximately 205000 MPa, and  $\Delta L$  is approximately 21 mm.

The estimation of the displacement output could be predicted using Eq. (20), based on the displacement output estimation of the atomic force microscope. Using the maximum force of the piezo actuator, 2000 N, and the maximum displacement,  $15 \mu\text{m}$ , the estimated displacement output,  $x$ , was calculated to be approximately  $8.947 \mu\text{m}$ . This was accomplished by neglecting the effect of external forces (cutting force). The preload displacement is approximately  $125 \mu\text{m}$ , which was obtained by measurement using an optical displacement sensor when a preload force was given by a preload bolt.

$$x = \left( \frac{r \cdot x_{max} \cdot F_{max}}{F_{max} + r^2 \cdot K_{eqv} \cdot x_{max}} - \frac{r^2 \cdot x_{max} \cdot K_{eqv} \cdot x_{preload}}{F_{max} + r^2 \cdot K_{eqv} \cdot x_{max}} \right) - \left( \frac{F_{ext}}{K_{eqv}} \right) \quad (20)$$

where  $x_{max}$  is the maximum displacement output of the piezo actuator without a blocked force,  $F_{max}$  is the maximum force of the piezo actuator without a blocked force,  $K_{eqv}$  is the stiffness equivalent of the double parallel flexure hinge,  $r$  is the displacement amplifier ratio, and  $F_{ext}$  is the given external force. In general,  $F_{ext}$  is the cutting force during the surface texturing process, and it significantly affects the displacement output. In previous work [21], the maximum cutting force during the micro-dimpling process is approximately 2 N, and it can be predicted that the displacement output will reduce to



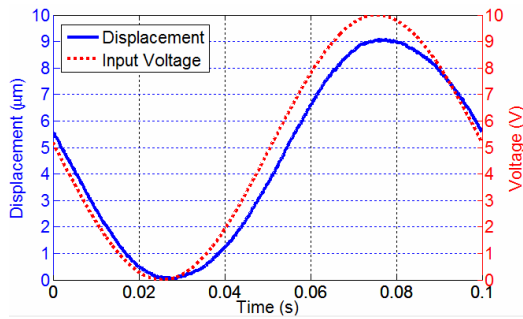


Fig. 8. Displacement output measurement of the LFDA at  $f_i$  10 Hz.

approximately 8  $\mu\text{m}$ . If a micro-groove pattern is established, it might increase the cutting resistance; thus the displacement output could be approximately 6–7  $\mu\text{m}$ . Fig. 8 shows the measured results of displacement output when the piezo actuator was driven under a voltage input range of 0–1100 V with a vibration frequency  $f_i$  of 10 Hz, in which voltage input from the function generator was approximately 0–10 V. The displacement output between peaks is approximately 9  $\mu\text{m}$ , which corresponds well with the 8.947  $\mu\text{m}$  approximate prediction.

The generated force from the piezo actuator is presented in Eq. (21). The generated force was estimated to be approximately 117.5 N. This force is sufficient for the surface texturing process, because it requires cutting forces less than 5 N.

$$F_p = r \cdot K_{eqv} \cdot x. \quad (21)$$

### 3. Dynamic characteristic results

To investigate the dynamic characteristics of the TFEVT device, a Frequency response function (FRF) analysis was carried out. The excitation input signals are generated from a data acquisition device (NI DAQ USB-6251) manufactured by National Instruments. The output displacement was recorded using an optical displacement sensor, and then the output signal was sent back to the DAQ apparatus. In the UEMT case, the excitation voltages have a maximum peak of approximately 300 V, which is generated by an ultrasonic generator manufactured by Hybrid Precision. In the LFDA case, the excitation voltages have a maximum peak of approximately 1000 V, which is generated by an E.508 model linear amplifier (manufactured by Physik Instrumente). During the impact test experiment, which was only conducted for the LFDA, the FRF signal was analyzed up to 100 kHz by an HP digital signal analyzer and accelerometer (manufactured by B & K Instruments).

In the UEMT case, the FRF was performed by applying a sine sweep, in which sinusoidal excitation signals were applied with continuously varying frequencies. In this case, the frequency range was chosen to range from 100 Hz to 100 kHz. The two Langevin actuators were energized simultaneously by a sinusoidal signal in zero phase. The FRF function in the normal ( $x$ -direction) and tangential ( $y$ -direction) directions

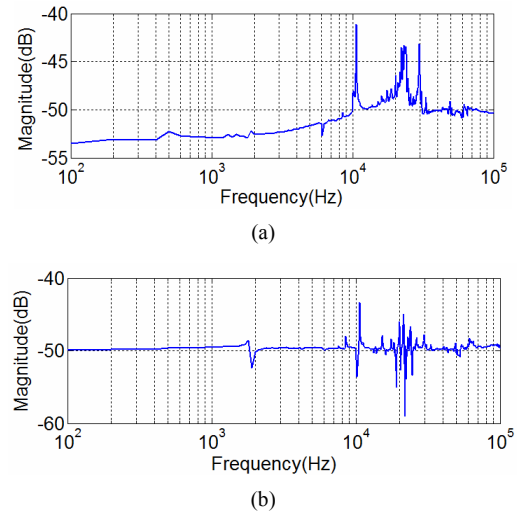


Fig. 9. UEMT FRF graphs for (a) the normal; (b) tangential directions (PCD tool attached).

were captured and investigated. Fig. 9 shows the captured UEMT FRF graphs for the normal and tangential directions where the effect of the PCD tool tip has been investigated. By adding a mass on the tip, such as the Polycrystalline diamond (PCD) tool or the Cubic boron nitride (CBN) tool, the natural frequency of the UEMT shifts slightly lower than its natural frequency without a tool tip installed. In the case without a tool tip installed, the results show that the 1<sup>st</sup> natural frequency occurs at 10.7 kHz for both the normal and tangential directions. The strongest magnitudes for the normal direction occur at the 1<sup>st</sup>, 4<sup>th</sup> and 6<sup>th</sup> natural frequencies, which correspond to values of 10.7 kHz, 24.1 kHz (approximate), and 31.1 kHz (approximate). In the 6<sup>th</sup> natural frequency, the normal direction is dominant and the tangential direction does not appear. When the PCD tool is installed, the natural frequency reduces slightly; the 1<sup>st</sup> natural frequency becomes 10.6 kHz. In addition, new natural frequency peaks appear in the range of 20–24 kHz (without tool). Based on the results in the case with the PCD tool installed, the similar frequencies for the normal and tangential directions are approximately 10.6 kHz for the 1<sup>st</sup> natural frequency, 24 kHz for the 6<sup>th</sup>, and 29.9 kHz for the 7<sup>th</sup>. In the case with the CBN tool installed, the similar frequencies for the normal and tangential directions are only 10.6 kHz for the 1<sup>st</sup> and 24 kHz for the 6<sup>th</sup>. A summary of the results from the FRF experiments is shown in Table 3.

In the LFDA case, the sine sweep was applied to identify the FRFs associated with the vibration behavior of the LFDA in the  $x$ -direction, under various vibration frequencies (1 Hz to 3 kHz). According to the FRF results in Fig. 10, the stable amplitude range is lower than approximately 400 Hz. Subsequently increasing the vibration frequencies greater than 400 Hz, the amplitude arbitrarily decreases and vibrates unsteadily. In addition, an impact test was conducted to analyze the natural frequency of each mode shape in the  $x$ ,  $y$  and  $z$  directions. The results for the simulation and experiment are summarized in Table 4. Based on this table, the results from

Table 3. Summary of UEMT FRF results.

$f_n^{\text{th}}$	No tool tip		PCD tip		CBN tip	
	N	TG	N	TG	N	TG
1 <sup>st</sup>	10.7	10.7	10.6	10.6	10.6	10.6
2 <sup>nd</sup>	20.3	20.4	20.3	20.1	20.3	20.0
3 <sup>rd</sup>	22.3	22.3	22.3	20.3	22.3	20.3
4 <sup>th</sup>	24.1	24.4	22.9	21.5	22.9	21.5
5 <sup>th</sup>	26.3	26.4	23.3	23.1	23.3	23.0
6 <sup>th</sup>	31.1	-	24.0	24.0	24.0	24.0
7 <sup>th</sup>	-	-	29.9	29.9	29.8	33.2

N = Normal; TG = Tangential; Unit = kHz

Table 4. LFDA modal simulation and experimental results.

$f_n^{\text{th}}$	Simulation	Swept	Impact		
	Resultant (Hz)	x-dir (Hz)	x-dir (Hz)	y-dir (Hz)	z-dir (Hz)
1	1179	1060	1072	1072	-
2	1316.5	-	1200	1200	1200
3	2198.6	1777	2136	2136	-
4	2427.7	-	2320	2320	2320
5	3094.2	2909	2920	2920	-

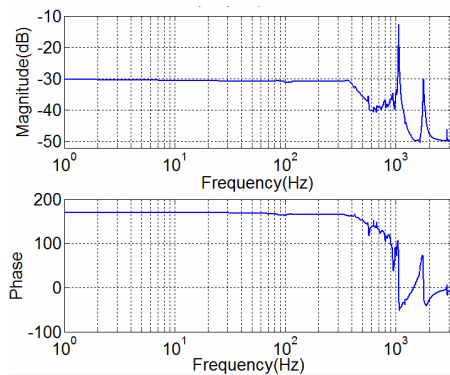


Fig. 10. FRF of the LFDA swept sine experiment.

the modal simulation and the actual experiment (sine sweep and impact) are in good agreement. Based on the impact test results, the 1<sup>st</sup> mode shape occurs when the double parallel flexure structure moves in the  $x$ -direction; the natural frequency value is approximately 1072 Hz. The natural frequency of the 1<sup>st</sup> mode shape is useful for amplification displacement, while the structure vibrates in a resonance condition in the  $x$ -direction. However, the working frequencies of LFDA are maintained lower than the natural frequency of the 1<sup>st</sup> mode shape. It can be understood that during resonance, the vibration and amplitude are not stable, which may affect the textured surface results.

#### 4. Preliminary surface texturing results

The TFEVT device was used to conduct preliminary surface texturing assessments to investigate the feasibility of the

Table 5. Preliminary sinusoidal micro-groove process.

Texturing parameters	CT method	TFEVT method
Low vibration frequency ( $f_l$ )	0, 10, 30, 50 Hz	0, 10, 30, 50 Hz
Ultrasonic vibration frequency ( $f_u$ )	-	24 kHz
Phase shift ( $\phi$ )	-	90°
Nominal cutting velocity ( $V_f$ )	500 mm/min	500 mm/min
Nominal depth of cut ( $H$ )	7 $\mu\text{m}$	7 $\mu\text{m}$
$\Delta d$	-10 $\mu\text{m}$	-10 $\mu\text{m}$
Cross-feed distance ( $F$ )	400 $\mu\text{m}$	400 $\mu\text{m}$
Minor amplitude ( $a$ )	-	0.3 $\mu\text{m}$
Major amplitude ( $b$ )	-	0.8 $\mu\text{m}$
Low vibration amplitude, $B$	4 $\mu\text{m}$	4 $\mu\text{m}$

device for use in such a process. The trial setup is shown in Table 5, where the sinusoidal micro-groove pattern was established on an AISI 1045 steel ( $H_{RC} = 30$ ). A PCD tool was used during the micro-grooving process, in which a nominal rake angle of  $-3^\circ$  and a nominal clearance angle of  $17^\circ$  were set. To establish a planar surface, where the nominal depth of cut ( $H$ ) is identical for each micro-groove, a pre-machining process using a milling method was performed first using a 50 mm/min feed rate and a 12000 rpm rotational speed. Then, the distance between the tool tip and the workpiece surface was adjusted.

In this case, the elliptical locus was generated at a constant working frequency of 24 kHz, and the phase shift was set at a constant  $90^\circ$ . Low vibration frequencies ( $f_l$ ) of 10, 30 and 50 Hz were applied to investigate the effects of the elliptical motion of the tool tip. The cross-feed distance ( $F$ ) is the distance between two sinusoidal micro-grooves and was set to a constant 400  $\mu\text{m}$ . The nominal relative cutting velocity ( $V_f$ ) was set to a constant value of 500 mm/min. The peak-to-peak amplitude of the sinusoidal wave ( $2B$ ) was set to a maximum value of 8  $\mu\text{m}$  (approximate). Then, to analyze the performance of the TFEVT method, a CT method with similar texturing conditions was performed as a comparison. The micro-groove pattern results were then captured by a 3D optical surface profiler, based on the White light scanning interferometry (WSI) principle, which was then used to analyze the 3D surface topography of the micro-groove results on the planar surface.

The experimental results for the micro-groove pattern are shown in Fig. 11. Fig. 11 only shows a comparison between the CT and TFEVT methods, in which the micro-groove pattern was established using low vibration frequencies ( $f_l$ ) of 0 and 30 Hz.  $f_l = 0$  Hz means that the sinusoidal wave was not applied. Based on Fig. 11(a), a side burr was generated in the micro-groove during the continuous cutting process. This may have been caused by high cutting resistance due to the size effect at the small nominal depth of cut on the side [22]. This can result in plastic side flow deformation, which produces burrs at cutting side regions. Meanwhile, the micro-groove morphology is better when the TFEVT method is applied. The

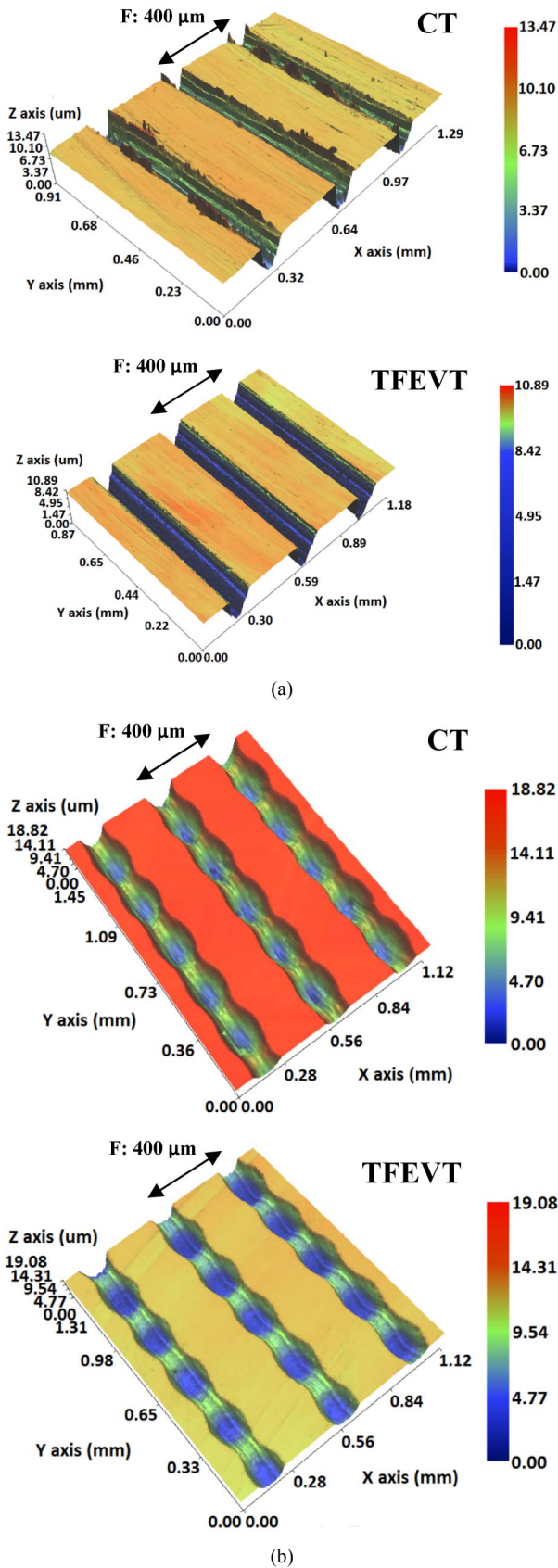


Fig. 11. Comparison of micro-groove pattern establish using CT and TFEVT methods: (a)  $f_i = 0$  Hz; (b)  $f_i = 30$  Hz.

TFEVT method reduces the frictional force between the tool rake face and the chip contact due to its intermittent cutting behavior (the tool tip moves elliptically in the ultrasonic range), yielding a low specific cutting energy that reduces and removes the side burr on the micro-groove.

The low frequency sinusoidal  $f_i = 30$  Hz shown in Fig. 11(b) illustrates the micro-groove pattern results using the CT and TFEVT methods. The quality of the micro-groove is better with the TFEVT method than the CT method. The surface roughness in particular showed a dramatic improvement. It can be understood that the rake angle varies during the sinusoidal micro-groove process when using the CT method. During climbing cutting, the rake angle approaches a negative value. Because the rake angle is a negative value, the machining performance is poor, which means the cutting resistance increases [23]. Another possible reason is that the relatively slow cutting velocity of the process ( $V_f = 500$  mm/min) causes high cutting load resistance and yields poor surface roughness of the micro-groove. By using the TFEVT method, the tool tip moves ultrasonically and the relative cutting speed is increased. Thus, the roughness of the micro-groove applied with the TFEVT method is reduced in comparison to the CT method.

### 5. Conclusion

In conclusion, in this study, a TFEVT device is proposed for surface texturing. Compared to previous TFEVT apparatuses, the novelty of the proposed TFEVT device is that it operates in the ultrasonic frequency greater than 20 kHz and the stable amplitude of low frequency vibration ( $f_i$ ) has a large range of approximately 400 Hz. The TFEVT device consists of two components, the UEMT and the LFDA, and their dynamic characteristics were evaluated separately. The experimental results show that the UEMT operates at the 6<sup>th</sup> resonance vibration mode of the normal direction and at the 6<sup>th</sup> resonance vibration mode of the tangential direction at 24 kHz. The UEMT can generate elliptical loci at small amplitudes less than 1  $\mu$ m. The LFDA operates in frequency ranges lower than its 1<sup>st</sup> natural frequency, and generates relatively large and stable sinusoidal wave amplitudes (approximately 9  $\mu$ m) at frequencies lower than approximately 400 Hz. Finally, several experimental assessments of micro-groove patterns were conducted to evaluate the performance of the developed TFEVT device. Based on the experimental results, it is evident that the developed TFEVT device is suitable for improving the surface texturing quality on a carbon steel surface using a diamond cutting tool.

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