

## Oxidation and thermal shock behavior of thermal barrier coated 18/10CrNi alloy with coating modifications<sup>†</sup>

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### Abstract

In this study, substrates of 18/10CrNi alloy plates were initially sprayed with a Ni-21Cr-10Al-1Y bond coat and then with an yttria stabilized zirconia top coat by plasma spraying. Subsequently, plasma-sprayed Thermal barrier coatings (TBCs) were treated with two different modification methods, namely, vacuum heat treatment and laser glazing. The effects of modifications on the oxidation and thermal shock behavior of the coatings were evaluated. The effect of coat thickness on the bond strength of the coats was also investigated. Results showed enhancement of the oxidation resistance and thermal shock resistance of TBCs following modifications. Although vacuum heat treatment and laser glazing exhibited comparable results as per oxidation resistance, the former generated the best improvement in the thermal shock resistance of the TBCs. Bond strength also decreased as coat thickness increased.

**Keywords:** Laser glazing; Oxidation; Thermal barrier coating; Thermal shock; Vacuum heat treatment

### 1. Introduction

Thermal barrier coatings (TBCs) are used on blades and vanes of gas turbines to lower the surface temperature of base metals. TBCs also protect components from damages, such as oxidation, abrasion, and corrosion under service conditions [1]. Development of TBCs is significant for prolonging the lifetime of heat-resistant components.

TBC applications are performed through various techniques, such as air plasma spray, electron beam-physical vapor deposition, and high-velocity oxygen fuel. Modification methods can also be applied to the as-coated components for improving mechanical and thermal properties. TBCs exhibit a relatively short lifetime because of the thermal contrast between coating and base metal. Thermal residual stresses generated during the coating also deteriorate the thermal and mechanical properties of TBCs. Coating modifications enhance the strain tolerance of ceramic coats and reduce the residual stresses using various mechanisms [2, 3]. Vacuum heat treatment (VHT) and Laser glazing (LG) are preferable modification methods investigated in the literature. Previous studies [4-6] exhibited the improvement in the strength of bond coats and oxidation resistance using pre-oxidation methods including VHT. Park et al.

[1] demonstrated the lengthened lifetime of plasma-sprayed TBCs after LG. Tsai et al. [7] and Lee et al. [8] revealed the improvement of microstructure and thermal cyclic performance of laser-glazed TBCs. Lee et al. [9] and Ding et al. [10] analyzed the residual stresses in plasma-sprayed TBCs, and they showed that residual stresses significantly affect the failure of TBCs. Damircheli et al. [11] investigated the influences of temperature and thickness on the thermal and mechanical stresses of functionally graded materials. Choi et al. [12] studied the effects of TBC thickness on bond strength and thermal fatigue behavior.

In this study, we focused on the oxidation and thermal shock resistance of TBCs after two different modification methods, namely, VHT and LG. The effects of these methods were evaluated by comparing the results with those of the as-coated TBCs without any modifications. During experiment, substrates of 18/10CrNi alloy plates were initially sprayed with a Ni-21Cr-10Al-1Y bond coat and then with an Yttria stabilized zirconia (YSZ) top coat by plasma spraying. Subsequently, plasma-sprayed TBCs were treated with VHT and LG. The effect of coat thickness on the bond strength of the coats was also investigated. The results showed enhancement of the oxidation resistance and thermal shock resistance of TBCs after the modifications. Although VHT and LG achieved comparable results in oxidation resistance results, the former provided the best improvement in thermal shock resis-

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Table 1. Properties of coating powders.

Powder	Coating type	Particle size range ( $\mu\text{m}$ )	Chemical composition (wt%)
Amdry 962	Bond coat	-106+52	Ni-21Cr-10Al-1Y
Metco 204NS	Top coat	-106+11	ZrO <sub>2</sub> -8Y <sub>2</sub> O <sub>3</sub>

Table 2. Processing parameters of plasma spray for coating operations.

Parameters	Coating condition	
	Bond coating	Top coating
Primary plasma gas, pressure and flow rate (mbar-l/min)	Ar 690-37.8	Ar 690-37.8
Secondary plasma gas, pressure and flow rate (mbar-l/min)	H <sub>2</sub> 345-7.1	H <sub>2</sub> 345-7.1
Powder carrier gas, pressure and flow rate (mbar-l/min)	Ar 345-8.3	Ar 345-8.3
Powder feed rate (g/min)	30	40
Torch to specimen distance (mm)	115	115
Number of pass	2	3
Current (A)	500	450
Voltage (V)	60	60

tance of the TBCs. Bond strength also decreased as coat thickness increased.

## 2. Materials and method

In this study, 18/10CrNi plates were used as the base material for the coatings. Three different specimen sizes were employed ( $30 \times 25 \times 4 \text{ mm}^3$  for thermal shock,  $25 \times 25 \times 4 \text{ mm}^3$  for oxidation, and  $\text{Ø}25 \times 4 \text{ mm}^3$  for bond strength tests). Before coating, specimens were grit-blasted to prepare the substrate surfaces. Bond coat was deposited using a nickel-based powder onto specimens. Powders with hollow sphere particles were selected for the top coating. Table 1 lists the properties of the powders used in coating.

Coating operations were performed using a 9 MB plasma spray gun (Sulzer Metco) modified with the ABB robotic system to enhance its precision. Table 2 provides the process parameters for bond and top coating operations.

After coating, a set of specimens was kept as As-coated (AC) samples and two modification methods were applied to the remaining coated specimens. The first method, VHT, was a type of pre-oxidation method. For this treatment, specimens were placed in an industrial vacuum furnace at 1080 °C for 30 min. The second modification method, LG, was carried out with a Nd:YAG laser (Huffman HP-8S8). To obtain the optimum process parameters for LG, a coated disk with a diameter of 100 mm was laser glazed with various parameters (Fig. 1). After the microstructural investigation of the sample disk, parameters for LG were selected (Table 3).

## 3. Experimental details

In the experimental stage of the study, bond strength, oxida-

Table 3. Processing parameters for LG.

Parameters	
Average laser power	240 W
Wavelength	1.06 $\mu\text{m}$
Spot size	4.1 mm
Track shift	2 mm
Scanning speed	120 mm/min
Pulse frequency	30 Hz

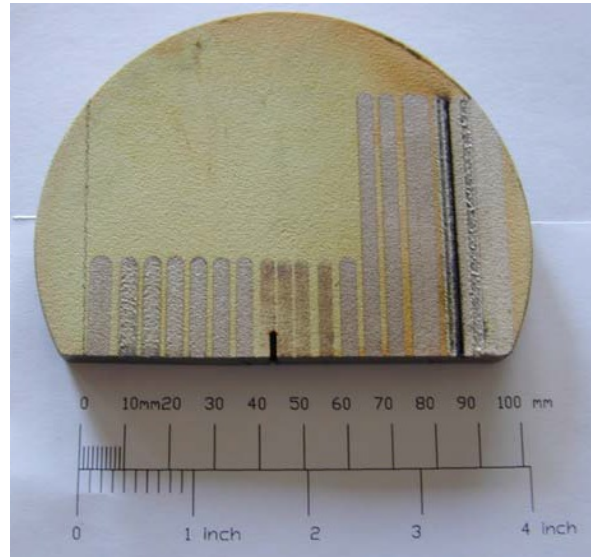


Fig. 1. Laser-glazed sample disk after various operations.

tion resistance, and thermal shock resistance of the TBCs were investigated. In the microstructural analyses, images were obtained using optical microscopy with Nikon Optiphot-100 and scanning electron microscopy with Leo-S440. In the post-processing stage, NIS-elements and Leica image analyzer software programs were used to calculate the areal percentage of Thermally grown oxide (TGO) in the cross-sectional area of the specimens. One cross-sectional view was insufficient to reveal the true results because TGO formation occurred at the dendritic and rough interfaces between the coats. The results were evaluated using 20 cross-sectional views along each specimen, thereby achieving a good distribution that considered various regions in the specimens. Prior to the microstructural analyses, specimens were prepared with a metallographic sample preparation procedure, as listed in Table 4. The sectioning step was performed using a Struers Secotom-10 cut-off machine at a reduced cutting speed to minimize plastic deformation and heat generation. Grinding steps were completed with a Buehler Phoenix-4000 sample preparation system. Prior to grinding, specimens were vacuum cold molded with epoxy resin.

### 3.1 Bond strength testing

To test bond strength, the effect of top coat thickness was

Table 4. Metallographic sample preparation procedure.

Step	Operation	Material	Load (N)	Time (min)
1	Sectioning	Al <sub>2</sub> O <sub>3</sub> disk	-	-
2	Cold molding	Epoxy resin	-	480
3	Grinding	SiC-220 grit	18	2
4	Grinding	SiC-320 grit	18	1
5	Grinding	SiC-500 grit	18	1
6	Grinding	SiC-800 grit	18	1
7	Grinding	SiC-1200 grit	18	1
8	Grinding	Diamond-3 $\mu$ m particle	22	6
9	Grinding	Diamond-1 $\mu$ m particle	20	3

investigated using AC specimens with different top coat thicknesses. Thickness variation was achieved by changing the number of gun passes during coating. The bond strength of the coatings were tested according to GE:E50TF60 [13]. Each coated specimen was placed between two axially symmetric rods, and the interfaces between the specimen and the rods were affixed using an epoxy-based structural adhesive (EC-2086, 3M). Specimens were then compressed on a fixture and cured in an industrial furnace at 185 °C for 2 h. After curing, specimens were cooled to room temperature in the furnace, and the rods were connected to a servo-hydraulic Instron 5985 testing machine for tensile testing performed with a constant crosshead speed of 2 mm/min. Tests were pursued until failure of the specimens and strength of the coatings were calculated with the load of failure per specimen area.

### 3.2 Oxidation testing

Oxidation resistance of the specimens was tested using the regular method for coated jet engine components. To expedite the testing, specimens were subjected to conditions more crucial than service conditions. Each specimen was placed in an industrial furnace at 1120 °C for 23 h and cooled to room temperature in the furnace. During evaluation, the interfaces between base metal/bond coat and bond coat/top coat were investigated using micrographs. The average thickness of the coats was measured according to ASTM B487 [14]. In addition, four specimens with only bond coats were tested at 1120 °C at various durations. The four specimens were removed from the furnace after 8, 24, 48 and 64 h to determine the percentage of oxidation with respect to oxidation time.

### 3.3 Thermal shock testing

Thermal shock testing was performed with a computer-controlled system. Specimens were placed between two ceramic grips, and coated surfaces were subjected to cyclic oxy-acetylene flame (Fig. 2). Each cycle lasted 60 s, that is, 30 s with activated flame and the subsequent 30 s with deactivated flame. The measurements showed that the maximum tempera-

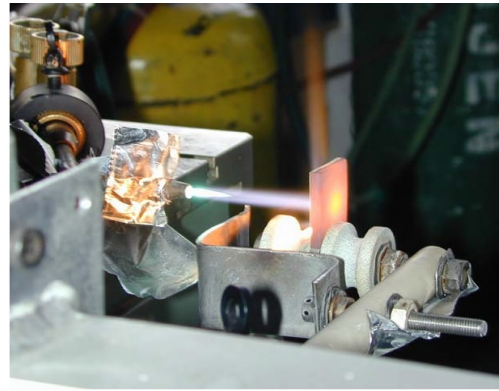


Fig. 2. A specimen during thermal cycle.

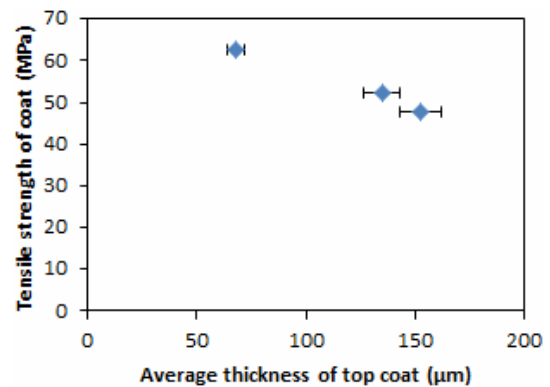


Fig. 3. Tensile strength vs. average thickness of top coat.

ture at the back surface of the specimens varied from 550 °C to 900 °C for each thermal cycle. Specimens were cooled to room temperature after 50 cycles, and tests were terminated after 350 cycles.

## 4. Results and discussion

### 4.1 Bond strength of the coatings

Fig. 3 shows tensile strength of the coats versus thickness of the top coats. Tensile strength changes inversely with coat thickness. This finding is explained by the residual stress perpendicular to the top coat, which increases as the thickness of coat increases [12, 15]. Choi et al. [12] verified this relation using finite element simulations and x-ray diffraction method. Notably, thin coats are slightly affected by non-axial loads during the tensile testing. Failure in the specimens is located at the top coats and interface of the bond and top coats. These findings indicate that bond coats are superior in strength than top coats. Metallic coatings onto metals (e.g., the bond coating in this study), are intensely strong [16]. Lima et al. [16] stated that metallic bond coatings on metal substrates significantly increase in bond strength with respect to ceramic coatings. Furthermore, the strength of the bond coat can be explained by a mechanically interlocking mechanism, which is defined as anchorage of the feedstock droplets to the irregularities on the

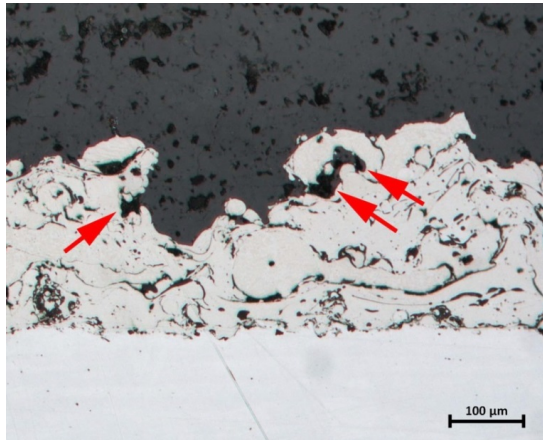


Fig. 4. Voids at the interface of bond and top coats in AC specimen.

substrate. Such irregularities are formed with any surface preparation method, such as grit-blasting, prior to coating [17]. After the solidification of the feedstock droplets, they shrink around the irregularities and adhere to the substrate with a strong force [18]. However, the surface of the bond coat that forms the interface of bond and top coats may include excessive irregularities, such as crooked overhangs. These regions are inaccessible cavities for the droplets of the top coat feedstock. Therefore, the strength of the bond coat/top coat interface reduces because of the increased number of voids formed during coating. Fig. 4 shows the voids at the bond coat/top coat interface.

#### 4.2 Oxidation resistance of the coatings

Fig. 5 shows the oxidation characteristics of the bond coated specimens with respect to the oxidation time. The graph clearly presents that oxidation in the bond coat increases progressively with time. At the beginning of the oxidation test, TGO formation rapidly occurs in the specimen. At the latter stages, TGO formation decelerates because of the oxide layers blocking the oxygen transfer into the specimen [19, 20]. During thermal exposure, TGO layers preferentially include alumina, which ensures good diffusion barrier for the coats [20, 21]. Oxides of Cr/Ni/Co, which represent a cluster of mixed formations, may be observed in the TGO layers. Such cluster groups are called spinels and found to be the preferred sites of crack nucleation in the coatings [22]. These detrimental oxides generate vertical stresses owing to the rapid local volume increase at the interface of the TGO and coats [22–24]. However, dense and continuous TGO layers of alumina hinder the formation of detrimental oxides during thermal exposure [5, 25, 26]. Alumina layers also exhibit higher fracture toughness than the spinel groups [24]. To identify the oxide layers in the specimens, AC and VHT specimens were investigated using energy dispersive spectroscopy. Measurements were performed with several spot analyses on the oxide layers. Aluminum content in the TGO layers increases after VHT because

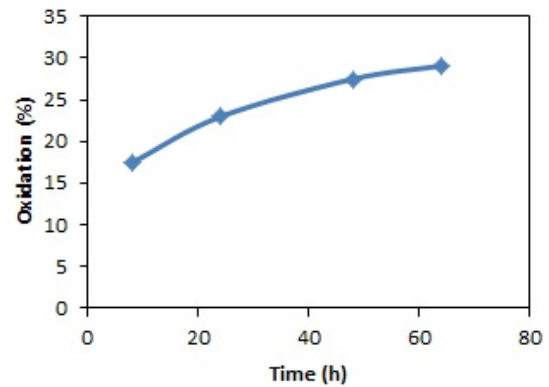


Fig. 5. Oxidation percentage vs. time in bond coated specimens.

the average aluminum concentration is 20.46 % and 24.07 % for AC and VHT specimens, respectively. This result suggests that VHT suppresses the formation of detrimental oxides by developing alumina layers. VHT enriches the preferred alumina formation in the oxide layers, and this outcome is consistent with the results from previous experiments [5, 6, 25–28].

Table 5 provides the average areal percentage of TGO in the bond coat of the specimens before and after the oxidation tests. The graph indicates that final oxide percentage is higher in AC specimens than in other specimens. The lowest percentage is found in LG specimens. To consider the initial oxide percentage, the Oxidation growth rate (OGR %) is defined in Eq. (1).

$$OGR\% = \frac{Final\ oxide\% - Initial\ oxide\%}{Final\ oxide\%}. \quad (1)$$

Based on the OGR% results, AC specimens exhibit quicker response to the oxidation affinity in the bond coat than other specimens do. VHT and LG specimens are less prone to oxidation, although they achieved comparable results. Both VHT and LG positively influence oxidation resistance of the coated specimens. VHT contributes to the development of an oxide layer during pre-oxidation that acts as a barrier for oxygen penetration, thereby increasing oxidation resistance with respect to the AC specimen [19, 20]. On the contrary, oxidation resistance in LG specimens is explained by the remelted layer on the surface of the top coat. After LG, the remelted layer provides a continuous and non-porous surface segment at the top coat, thereby hindering the penetration of oxygen into the coating [1, 7]. The segmented surface morphology is formed owing to the vertical cracks connected to each other with a net-shaped formation on the surface (Fig. 6). These cracks are formed during cooling after LG, and the crack sizes increase as the laser power increases. Unlike in the non-porous surface, vertical cracks allow oxygen infiltration to the lower coating layers, but the overall protection against the infiltration is increased; therefore, oxidation resistance of the coating is improved after LG [1].



Table 5. Percentage of TGO.

Measurement	Specimen		
	AC	VHT	LG
Initial oxidation	12.80 %	14.15 %	12.76 %
Final oxidation	34.66 %	33.14 %	30.68 %
OGR%	63.07 %	57.30 %	58.41 %

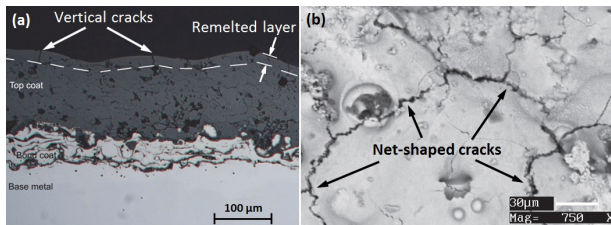


Fig. 6. (a) Cross-sectional; (b) surface view of LG specimen.

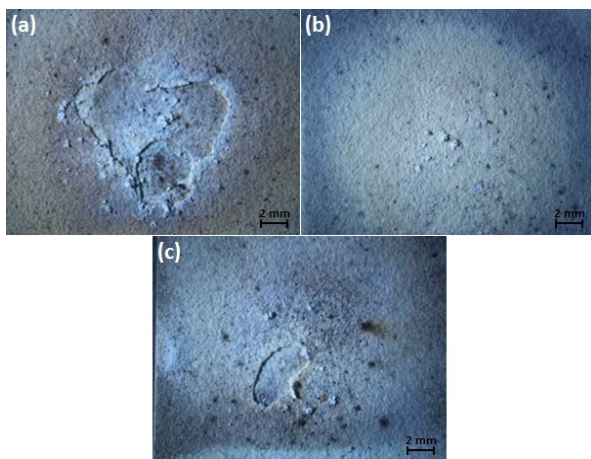


Fig. 7. Surface of (a) AC; (b) VHT; (c) LG after thermal shock test.

### 4.3 Thermal shock resistance of the coatings

Fig. 7 shows the damaged area of each specimen after thermal shock testing. Clearly, thermal shock is more destructive for AC specimens than other specimens. LG enhances the thermal shock resistance, but VHT provides the best thermal shock resistance for the specimens because no visible delamination or cracking is observed on the surface following vacuum heat application. Under cyclic thermal exposure, base metal and coats exhibit different expansion and shrinkage responses caused by the different coefficients of thermal expansion. Consequently, this contradiction creates the mismatch between the base metal and the top coat, thereby accelerating the evolution of microcracks in the ceramic top coat. Thermal residual stresses are also generated in the structure during TBC. Accumulation of thermal residual stresses stimulates the propagation of microcracks in the ceramic coat; therefore, the AC specimens exhibit a relatively short lifetime under loading conditions [3]. However, following a stress relief operation such as VHT, the residual stresses are eliminated and the structure improves the mechanical properties,

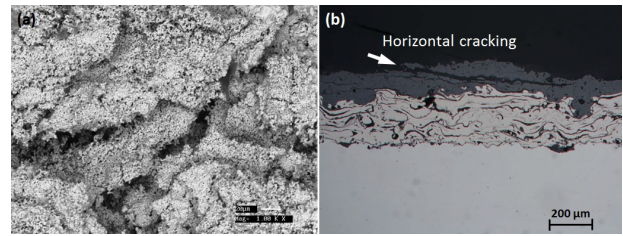


Fig. 8. (a) Maximum damaged point on AC specimen surface; (b) horizontal cracking inside the top coat.

thus explaining the improved thermal shock resistance of VHT specimens. Apart from the failure mechanism under cyclic thermal loading, YSZ is generally preferable for the top coating to eliminate the divergence in the thermal expansion of the base metal and ceramic coat because its high coefficient of thermal expansion closely matches that of the base metal [29]. Nevertheless, YSZ is not an exact solution for the removal of said effect in the structure. The thermal shock resistance of LG specimens improves through the presence of net-shaped cracks. Stresses during the thermal cycle do not directly accumulate into the coating in the macro scale because the net-shaped cracks provide stress relief for the segmented structure and increase the strain tolerance of the top coat [30]. Young's modulus of the top coat also lessens as the density of the vertical cracks increases [31]. The mismatch of thermal stress between the base metal and the top coat is reduced [7, 8]. Consequently, LG increases the thermal shock resistance of TBCs by forming vertical cracks in the top coat.

Fig. 8(a) shows the maximum damaged point on the surface of AC specimens. The maximum damage is located at the central point of the surface, which is directly subjected to the flame during the thermal shock testing. Within this vicinity, the top coat layers are partially melted and sintered because of excessive thermal exposure. Thus, sintering enhances the strain accumulation in the top coat and induces horizontal cracking within the top coat and at the interface of the bond coat/top coat (Fig. 8(b)). At the latter stages, these cracks reach the free surfaces and pull out the layers from the coat in the form of flakes [18, 32]. This type of delamination arises from the thermal stresses without mechanical loadings on the coated surfaces.

## 5. Conclusion

This study investigated the effects of VHT and LG on TBCs deposited on 18/10CrNi alloy plates by plasma spraying. Influences were evaluated in terms of oxidation and thermal shock resistance of the modified coatings in comparison with the AC specimens. The effect of coat thickness on the bond strength of the coats was also investigated. The results indicate that VHT causes pre-oxidation inside the coats, thereby hindering the diffusion of oxygen into the lower layers of the coat and increasing the oxidation resistance in the structure. Conversely, LG forms remelted surface layers on the top and

provides non-porous and segmented morphology for the surface. Oxygen penetration is prevented and oxidation resistance is increased in the VHT and LG specimens compared with the AC specimens in which even the vertical cracks on the surface allow the oxygen transfer into the coats. Regarding thermal shock resistance, VHT provides stress relief for TBCs. Vertical cracks due to the cooling period following LG generate the stress relief regions in the structure, thereby enhancing thermal shock resistance. Notably, bond strength of the coats inversely changes with coat thickness.

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