

## Optimum path-tracking control for inverse problem of vehicle handling dynamics†

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#### **Abstract**

A method based on optimal control theory is presented in this paper to solve path-tracking problems in inverse vehicle handling dynamics. The idea behind is to identify the optimal steering torque input along a prescribed path to generate an expected trajectory that guarantees minimum clearance. Based on this purpose, the path-tracking problem, treated as an optimal control problem, is first converted into a nonlinear programming problem by Gauss pseudospectral method (GPM) and is then solved with Sequential quadratic programming (SQP). Finally, a real vehicle test is executed to verify the rationality of the proposed model and methodology. Results show that the minimum lateral position error of the generated path-tracking trajectory can be a good solution for path-tracking problem in inverse vehicle handling dynamics for GPM. The algorithm has higher calculation accuracy compared with other methods to solve pathtracking problems. The study could help drivers identify safe lane-keeping trajectories and areas easily.

*--*

*Keywords*: Vehicle handling dynamics; Path-tracking; Optimum control; Inverse problem

#### **1. Introduction**

Human driving characteristics are complex combinations of physical and mental processes in response to perceived motion, visual, and acoustic cues. With different motion perceptions, drivers perform as a controller to satisfy key guidance and control requirements for vehicle system [1].

The growing mobility of people and goods has a significantly high societal cost. Several studies show that drivers are responsible for most accidents, which occur mainly due to distraction, and wrong perception and judgment of the traffic and environmental situations around vehicles [2].

The study of inverse vehicle handling dynamics plays an important role in stability research, with advantage of ignoring the driver model. Thus, the study of inverse vehicle handling dynamics is proposed in this paper.

The general path-tracking control for inverse problem of vehicle handling dynamics scenario is shown in Fig. 1, where a vehicle travels along a prescribed path. The vehicle is expected to generate trajectory with minimum lateral distance error while tracking the prescribed path. Then, the optimal steering torque, steering rate, and as yaw rate are calculated.

A brief review of path-tracking problems in literatures is presented in this section.

Toshihiro et al. [3] proposed an automatic path-tracking

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Fig. 1. Double lane change test road (● stands for stake).

controller of a four-wheel steering (4WS) vehicle based on the sliding mode control theory.

Bektache et al. [4] developed a module that focused on the estimation of vehicle parameters, such as speed, direction, and position, using a kinematic model of each vehicle to generate trajectory estimations.

Ju [5] proposed a Linear-matrix-inequality (LMI)-based H ∞ control algorithm and utilized the fusion of look-ahead and look-down sensors to solve lateral control problems of autonomous vehicles.

Kim et al. [6] utilized a Model predictive controller (MPC) method to solve path-tracking problems of autonomous vehicles. Calculation results indicate that the proposed MPC structure better matches the target criteria.

Taehyun et al. [7] described a development of a collision avoidance controller for autonomous vehicles to track the desired collision avoidance path. Simulation results confirm that the control system can perform collision-free maneuvers effectively.

Xu et al. [8] proposed a pedestrian localization method

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based on extended Kalman filter to estimate the possibility of pedestrian-vehicle collision, which includes collision prediction, pedestrian detection, and localization.

Anderson et al. [9] described a method to deal with semiautonomous hazard avoidance problems in the presence of unknown moving obstacles and unpredictable driver inputs. The method was used to predict the motion and to anticipate intersection of the host vehicle with both static and dynamic hazards, excluding projected collision states from a traversable corridor.

Amir et al. [10] presented a non-linear adaptive dynamic surface sliding control method for a simultaneous vehiclehandling and path-tracking improvement.

Xu et al. [11] developed a receding-horizon formulation that reduced the overall burden of path-planning computations and made it suitable for highly large domains.

Ghaffari et al. [12] selected lane change maneuver as the object behavior and proposed novel, adaptive neuro-fuzzy inference models. The models were able to simulate and predict the behavior of a driver-vehicle-unit in a lane change maneuver for various time delays.

Jin et al. [13] redefined the equation of Time-to-collision (TTC) using visual angle information, taking lateral separation into account.

The Gauss pseudospectral method in its current form is one of the newest numerical approaches in today's literature [14], although it bears resemblance to work done in 1979 [15]. The method has the potential of solving real-time optimal control problems with fewer parameters and higher accuracy advantages [16, 17].

This paper aims to present a method based on optimal control theory for path-tracking problems in inverse vehicle handling dynamics. The method is used to calculate optimal control input, such as steering torque for driving in a desired path without striking neighboring obstacles or deviating from the prescribed path. The rest of the paper is organized as follows: Sec. 2 presents the model of vehicle path-tracking problem; Sec. 3 presents the solution for the proposed model; Sec. 4 illustrates the numerical simulation and experimental verificafuture research directions.

#### **2. Model of vehicle path-tracking problem**

#### *2.1 Mathematical model of vehicle path-tracking problem*

The longitudinal force acting on the front wheels is assumed to be small. The influence on the tire cornering characteristics affected by ground tangential force is ignored within linear range. The vehicle movement can be simplified as a 4-DOF vehicle model, depicted in Fig. 2. The vehicle model has the following rotary motion of the steering system, longitudinal and lateral motion and yawing motion degree-of-freedom. The main model composed by the rotary motion of the steering system, the lateral and longitudinal motion, and the yawing motion can be manifested in the simplified model. Thus, the model has greater practical significance for theoretical analy-



Fig. 2. 4-DOF vehicle model.

sis. In state space form, it is:

y  
\n
$$
\frac{y'}{\omega} = \frac{1}{\sqrt{2\pi}} \int_{\gamma}^{2\pi} \frac{1}{\zeta_1} \frac{1}{\zeta_2} F_{\gamma f}
$$
\n
$$
= \frac{1}{\sqrt{2\pi}} \int_{0}^{2\pi} \frac{1}{u} \frac{1}{\zeta_1} F_{\gamma f}
$$
\ng. 2.4-DOF vehicle model.  
\n  
\n
$$
\frac{1}{\omega} = v\omega + \frac{F_{x} \cos \delta - F_{y} \sin \delta + F_{x} - F_{f} - F_{w}}{m}
$$
\n
$$
\frac{1}{\omega} = \frac{aF_{y} \cos \delta - bF_{y} + aF_{x} \sin \delta}{m}
$$
\n
$$
\frac{1}{\omega} = \frac{1}{\omega} \int_{\frac{1}{\omega}}^{2\pi} \frac{1}{\omega} \frac{1}{\omega}
$$
\nwhere *m* is vehicle mass;  $T_z$  is moment of inertia around the axis;  $v$  and  $u$  are lateral and longitudinal speed, respectively;  $\omega$  is yaw rate of the vehicle,  $\theta$  is heading angle of the vehicle,  $a$  and  $b$  are distances of front and rear axes  
\nom the center of gravity, respectively;  $I_w$  is moment of

tion; and Sec. 5 summarizes the conclusions and suggests  $F_f = mgf$ , g is gravity acceleration and f is coefficient of where  $m$  is vehicle mass;  $I<sub>z</sub>$  is moment of inertia around the z axis; *v* and *u* are lateral and longitudinal speed, respectively;  $\omega$  is yaw rate of the vehicle,  $\theta$  is heading angle of the vehicle, *a* and *b* are distances of front and rear axles from the center of gravity, respectively;  $I_w$  is moment of inertia of the steering system;  $i$  is transmission ratio of the steering system;  $\xi_1$  is front wheel aligning arm of force;  $c_w$ is drag coefficient;  $T_{sw}$  is steering torque, and  $F_{yt}$  and  $F_{yt}$ are lateral forces of front and rear tires, respectively. In *F*<br> *F*  $\frac{dF_y}{dt} = \frac{aF_y \cos \delta - bF_y + aF_x \sin \delta}{I_z}$  (1)<br>  $\dot{\delta} = p$ <br>  $\dot{\rho} = -\frac{k_1 \xi_1}{I_x u} v - \frac{k_1 \xi_1 a}{I_x u} \omega + \frac{(k_1 \xi_1 - k_x)}{I_x} \delta - \frac{c_x}{I_x} p + \frac{T_{xx}l}{I_x}$ <br>
where *m* is vehicle mass; *I* is moment of inertia around the<br> *z* a rolling resistance. In  $F_w = \frac{C_D A u^2}{21.15}$ ,  $C_D$  is coefficient of air  $\omega + \frac{(k_1\xi_1 - k_w)}{I_w} \delta - \frac{c_w}{I_w} p + \frac{T_{ns}i}{I_w}$ <br>
nass;  $I_z$  is moment of inertia around the<br>
lateral and longitudinal speed, respec-<br>
of the vehicle,  $\theta$  is heading angle of<br>
are distances of front and rear axles<br>
avity resistance and *A* is frontal area. *p* is steering rate,  $F_{\text{xf}}$  and  $F_{\rm x}$  are the traction or brake forces of front and rear wheels, respectively,  $k_{w}$  is synthesized cornering stiffness,  $\delta$  is front steering angle, and  $k_1$  is synthesized stiffness of front tires. Find the traction or brake forces of front and real<br>tively,  $k_w$  is synthesized cornering stiffnes<br>teering angle, and  $k_1$  is synthesized stiffnes<br>sidering the effect of the traction/brake force, of the front and rear ti increase the steering system; *i* is transmission ratio of the steering system; *i* is transmission ratio of the stem;  $\xi_i$  is front wheel aligning arm of force;  $c_w$  fficient;  $T_w$  is steering torque, and  $F_{st}$  and  $F_{$ fficient;  $T_{\text{av}}$  is steering torque, and  $F_{\text{st}}$  and  $F_{\text{st}}$ <br>forces of front and rear tires, respectively. In<br>g is gravity acceleration and f is coefficient of<br>stance. In  $F_{\text{w}} = \frac{C_b A u^2}{21.15}$ ,  $C_b$  is coeffic *z* **i** the center of gravity, respectively;  $I_w$  is moment of ia of the steering system;  $\zeta_i$  is transmission ratio of the ang system;  $\xi_i$  is from twheel algining arm of force;  $c_w$  ang coefficient;  $T_w$  is steering *ing* system,  $\xi_1$  is nont whect anging ann or coct,  $c_w$ <br>ag coefficient;  $T_{sw}$  is steering torque, and  $F_{yt}$  and  $F_{yt}$ <br>lateral forces of fort and rear tries, respectively. In<br>*rmgf*, *g* is gravity acceleration and ient,  $I_{sw}$  is steeling torque, and  $F_{yt}$  and  $F_{yt}$ <br>ces of front and rear tires, respectively. In<br>is gravity acceleration and f is coefficient of<br>ce. In  $F_w = \frac{C_D A u^2}{21.15}$ ,  $C_D$  is coefficient of air<br>A is frontal ar intria of the steering system; *i* is transmission ratio of the steering system; *i* is transmission ratio of the erring system; *i* is transmission ratio of the erring system; *i*, is front wheel aligning arm of force; coefficient;  $T_{\text{av}}$  is steering torque, and  $F_{\text{st}}$  and  $F_{\text{st}}$ <br>ral forces of front and rear tires, respectively. In<br>ff, g is gravity acceleration and f is coefficient of<br>resistance. In  $F_{\text{av}} = \frac{C_D A u^2}{21.15}$ ,

Considering the effect of the traction/brake force, the lateral forces of the front and rear tires are:

because 
$$
f_1, \, h_w = 3
$$
 *Simthesized concerning sim*,  $v_w$  is *symthesized stiffness*,  $v = 3$ 

\nas.

\nConsidering the effect of the traction/brake force, the lateral  
ces of the front and rear tires are:

\n
$$
\left\{ F_{yt} = k_1 \left( \frac{v + a\omega}{u} - \delta \right) \sqrt{1 - \left( \frac{F_{xt}}{\mu F_{xt}} \right)^2 + \left( \frac{F_{xt}}{k_1} \right)^2} \right\}
$$
\n
$$
\left\{ F_{yt} = k_2 \left( \frac{v - b\omega}{u} \right) \sqrt{1 - \left( \frac{F_{xt}}{\mu F_{xt}} \right)^2 + \left( \frac{F_{xt}}{k_2} \right)^2} \right\}
$$
\n(2)

where  $k_2$  is synthesized stiffness of rear tires and  $\mu$  is coefficient of friction.

Considering the longitudinal load transfer on the front axle and the rear one, the vertical forces of the front and rear

*Y. Liu and J. Jiang / Journal of Mechanical Science and Technology 30 (8) (2016) 3433-3440*  
\nwhere *k*<sub>2</sub> is synthesized stiffness of rear tires and *µ* is co-  
\nefficient of friction.  
\nConsidering the longitudinal load transfer on the front axle  
\nand the rear one, the vertical forces of the front and rear  
\nwhees are assumed in lock-  
\nand the rear one, the vertical forces of the front and rear  
\nwhees are as follows:  
\n
$$
F_{xf} \ge -\frac{\mu mg(b + \mu h_g)}{a + b}
$$
\n
$$
F_{xf} \ge -\frac{\mu mg(b + \mu h_g)}{a + b}
$$
\n
$$
F_{xf} \ge -\frac{a - \mu h_g}{b + \mu h_g} F_{xf}
$$
\n
$$
F_{xx} = \frac{a - \mu h_g}{b + \mu h_g} F_{xx}
$$
\nThe boundary constraint of the co-  
\nwhere *h*<sub>l</sub> is height of the center gravity.  
\nThe state variables are lateral and longitudinal velocity in  
\nthe body frame, yaw rate, front steering angle, steering rate,  
\nthe body frame, yaw rate, front steering angle, steering rate,  
\n10 real value the electric positions defined by *x* and *y*  
\nto calculate the vehicle positions defined by *x* and *y*  
\nto calculate the vehicle positions defined by *x* and *y*  
\nto calculate the vehicle positions defined by *x* and *y*  
\nprojected as:  
\n
$$
\int x = \mu cos \theta - v sin \theta
$$
\n
$$
\int y = v cos \theta + u sin \theta
$$
\n
$$
\int z = \mu cos \theta - v sin \theta
$$
\n
$$
\int z = \mu cos \theta - v sin \theta
$$
\n
$$
\int z = \mu cos \theta - v sin \theta
$$
\n
$$
\int z = \mu cos \theta - v sin \theta
$$
\n
$$
\int z = \mu cos \theta - v sin \theta
$$
\n
$$
\int z = \mu cos \theta - v sin \theta
$$
\n
$$
\int z = \mu cos \theta - v sin \theta
$$
\n
$$
\int z = \mu cos \theta - v sin \theta
$$
\n
$$
\int z = \mu cos \theta - v sin \theta
$$
\n
$$
\int z = \mu cos \theta - v sin \theta
$$
\n
$$
\int z = \mu cos \theta
$$

where  $h_{\rho}$  is height of the center gravity.

The state variables are lateral and longitudinal velocity in the body frame, yaw rate, front steering angle, steering rate, lateral and longitudinal vehicle coordinates in the inertial frame, and heading angle. *x*  $F_x = \frac{f_x - f_y}{f_y}$  The boundary constraint by the driver's physiological<br>
For  $h_x$  is height of the center gravity.<br>
However's physiological<br>
How the state variables are lateral and longitudinal velocity in<br>
the driver is body frame, yaw rate, front steering angle, steering rate,<br>
terail and longitudinal vehicle coordinates in the inertial<br>
To calculate the vehicle positions defined by x and y<br>
To calculate the vehicle positions defined *swere the body frame, yaw rate, front steering angle, steering rate,<br>
<i>lateral and longitudinal vehicle coordinates in the inertial where*  $z_{\text{max}}$  *and*  $z_{\text{max}}$ *<br>
<i>To* calculate the vehicle positions defined by x and y<br>

To calculate the vehicle positions defined by *x* and *y* coordinates, the vehicle velocity in the body coordinate is projected as:

$$
\begin{cases}\n\dot{x} = u\cos\theta - v\sin\theta \\
\dot{y} = v\cos\theta + u\sin\theta\n\end{cases}
$$
\n(4)

According to Eqs. (1) and (4), state equation can be described as:

$$
\dot{\mathbf{x}} = f[\mathbf{x}(t), \mathbf{z}(t)] \tag{5}
$$

 $f[x(t), x(t)]$  (5) The cost function is:<br>  $x(t)$  and  $z(t)$  are state and input, respectively,<br>  $\begin{aligned}\n&[u(t), v(t), \varphi(t), \vartheta(t), x(t), y(t), \vartheta(t)]^T\n\end{aligned}$  (5) The cost function is:<br>  $\begin{aligned}\n&[u(t), v(t), \varphi(t), \vartheta(t), x(t), y(t), \vartheta(t)]^T\n\end{aligned}$  (5)  $\begin{aligned}\n$ 

$$
\mathbf{x}(t_0) = [u_0, 0, 0, 0, 0, 0, 0, 0]^T
$$
 (6)

$$
[x_2(t_f), x_3(t_f), x_4(t_f), x_5(t_f), x_8(t_f)]^T = [0, 0, 0, 0, 0]^T. \tag{7}
$$

Since it is required that rollover should be avoided for the vehicle, the path constraint is imposed as [18]:

$$
\frac{u^2 \delta}{(a+b)(1+Ku^2)g} \le \frac{D}{2h_g}
$$
 (8) 3. GPM of solving vehicle path-tracking problem  
For the sake of convenience, the path-tracking problem

where *D* is wheel base and *K* is stability factor.

When the vehicle is driven by the front wheels, the con straints on  $F_{\text{xf}}$  and  $F_{\text{xf}}$  are imposed as [19]:

The initial and terminal states are described as:  
\n
$$
x(t_0) = [u_0, 0, 0, 0, 0, 0, 0, 0]^T
$$
\n
$$
x(t_0) = [u_0, 0, 0, 0, 0, 0, 0]^T
$$
\n
$$
[x_2(t_f), x_3(t_f), x_4(t_f), x_5(t_f), x_8(t_f)]^T = [0, 0, 0, 0, 0]^T
$$
\n(6) The double lane change the  
\nwhere  $s_0 = s_1 = s_2 = s_4 = 2u$ ,  
\nis the distance of lane-chang  
\ndistances between the stake  
\nSince it is required that rollover should be avoided for the  
\n1.2L+0.25 = 2.29 m,  $B_3$  =  
\nwide( $a + b)(1 + Ku^2)g \le \frac{D}{2h_g}$   
\n(8) 3. GPM of solving vehicle,  
\nFor the sake of convenience  
\ntransformed into a Bolza pro  
\nBolza cost function is:  
\nWhen the vehicle is driven by the front wheels, the con-  
\nants on  $F_{st}$  and  $F_{st}$  are imposed as [19]:  
\n
$$
F_{st} \le \frac{\mu mgb}{a+b+\mu h_g}
$$
\n
$$
F_{st} = 0
$$
\nThe boundary constraint is:

When the brakes are applied to decelerate the vehicle and all the wheels are assumed in lock-brake, the constraints on  $F_{\rm xf}$  and  $F_{\rm xf}$  are as follows:

*and Technology 30 (8)* (2016) 3433-3440 3435  
\nWhen the brakes are applied to decelerate the vehicle and  
\nthe wheels are assumed in lock-brake, the constraints on  
\n
$$
F_{xx} = \frac{\mu mg(b + \mu h_g)}{a + b}
$$
\n
$$
F_{xx} = \frac{a - \mu h_g}{b + \mu h_g} F_{xf}
$$
\nThe boundary constraint of the control variable is decided  
\nthe driver's physiological limit as:  
\n
$$
z_{min} \le z \le z_{max}
$$
\n(11)  
\nhere  $z_{min}$  and  $z_{max}$  are the lower and upper limit values of  
\n
$$
z_{min} = \frac{a}{b + \mu h_g}
$$
\n(12)  
\n130

The boundary constraint of the control variable is decided by the driver's physiological limit as:

$$
z_{\min} \le z \le z_{\max} \tag{11}
$$

where  $z_{\text{min}}$  and  $z_{\text{max}}$  are the lower and upper limit values of the steering torque, respectively.

#### *2.3 Optimal control object of path tracking problem*

one, the vertical forces of the front and rear<br>  $F_{st} \ge \frac{\mu mg(b + \mu h_b)}{a + b}$ <br>  $\frac{a + (F_{st} + F_{ss})h_b}{a + b}$ <br>  $\frac{a + (F_{st} + F_{ss})h_c}{a + b}$ <br>
(3)  $F_x = \frac{a - \mu h_b}{b + \mu h_b} F_{st}$ <br>
The boundary constraint of the bundary constraint of the bunda  $F_{st} \ge \frac{\mu mg(b + \mu h_k)}{a + b}$ <br>  $\frac{a + (F_{st} + F_{st})h_k}{a + b}$ <br>  $\frac{a + (F_{st} + F_{st})h_k}{a + b}$ <br>
(3)<br>  $F_x = \frac{a - \mu h_k}{b + \mu h_k} F_{st}$ <br>
(3)<br>
The boundary constraint of the enter gravity.<br>
variables are lateral and longitudinal velocity in<br>
me, y where *x*<sub>(</sub> is height of the center gravity.<br>
The baste variables are lateral and longitudinal velocity in<br>
the body frame, yaw rate, front steering angle, steering torque, respectively,<br>
Itarus, and  $z_{nm}$  are the lower The initial and terminal states are described as:<br>  $\dot{x} = f[x(t), z(t)]$  and (4), state equation can be described as the inerital state as in the interior of the  $\dot{x} = u \cos \theta - v \sin \theta$ <br>  $\dot{y} = v \cos \theta + u \sin \theta$ <br>  $\dot{y} = v \cos \theta + u \sin \theta$ <br>  $\dot{x} = f[x(t), z(t)]$ <br>
body frame, yaw rate, from steering angle, and logitudinal vehicle coording to Eqs. (1) and (4), state equation can be de-<br>
becading angle are Vehicle path-tracking problem can be regarded as an optimal control problem. Lateral and longitudinal velocity in the body frame, yaw rate, front steering angle, steering rate, lateral and longitudinal vehicle coordinates in the inertial frame, and heading angle are determined as the state variables. Steering torque is set as the control variable. Minimum lateral distance error throughout the process of tracking the prescribed path is determined as control object. (11)<br>  $\int_{\text{cm}} \cos z \, dz = \int_{\text{cm}} \cos z \, dz$  (11)<br>  $\int_{\text{cm}} \cos z \, dz = \int_{\text{cm}} \cos z \, dz = 0$ <br>  $\int_{\text{cm}} \cos z \, dz$  $z_{min} \le z \le z_{max}$  (11)<br>  $z_{min} \le z \le z_{max}$  (11)<br>  $\therefore$  **Copimal control object of path tracking problem**<br>  $\therefore$  **Optimal control object of path tracking problem**<br>  $\therefore$  **Optimal control problem** can be regarded as an opti-<br>  $\$ (11)<br>  $z_{\text{max}}$  are the lower and upper limit values of<br>
e, respectively.<br> *rol object of path tracking problem*<br>
racking problem can be regarded as an opti-<br>
lem. Lateral and longitudinal velocity in the<br>
rate, front s (11)<br>  $z_{\text{min}}$  and  $z_{\text{max}}$  are the lower and upper limit values of<br>  $z_{\text{min}}$  and  $z_{\text{max}}$  are the lower and upper limit values of<br>
timal control object of path tracking problem<br>
ele path-tracking problem can be regar *z*<sub>*x<sub>ma</sub>* **a** *z*<sub>*xma</sub>* **(11)**<br> *z z*<sub>*x<sub>ma</sub>* **and** *z*<sub>*xma*</sub> are the lower and upper limit values of<br> **evering torque, respectively.**<br> **ptimal control object of path tracking problem**<br>
hicle path-tracking problem </sub></sub></sub> **2.3 Optimal control object of path tracking problem**<br>Vehicle path-tracking problem can be regarded as an optimal control problem. Lateral and longitudinal velocity in the body frame, yaw rate, front steering angle, steer mal control problem. Lateral and longitudinal velocity in the<br>body frame, yaw rate, front steering angle, steering rate, lateral<br>and longitudinal vehicle coordinates in the inertial frame, and<br>heading angle are determined

The cost function is:

$$
J(z) = \int_{t_0}^{t_f} \left( \frac{y - y_d}{\hat{E}} \right)^2 + \left( \frac{z}{\hat{T}_{sw}} \right)^2 dt = \int_{t_0}^{t_f} L(x, z, t) dt \tag{12}
$$

where  $t_0$  is initial time,  $t_f$  is final time, and  $y_d$  is prescribed path.  $\hat{E}$  is standard threshold of lateral distance error of  $y - y_d$ ,  $\hat{E} = 0.3$  m.  $\hat{T}_{sw}$  is standard threshold of the steering torque,  $\hat{T}_{sw} = 8 \text{ N} \cdot \text{m}$ .

 $\dot{x} = f[x(t), z(t)]$ <br>
(5) The cost function is:<br>
rec  $x(t)$  and  $z(t)$  are state and input, respectively,<br>  $J(z) = \int_{s}^{t/2} \left( \frac{y-y_{\ell}}{E} y^2 + (\frac{z}{T_{sw}})^2 \right) dz$ <br>  $= [T_{sw}(t)]^T$ .<br> **Constrains**<br>
The initial and terminal states are descr *a*  $f(x) = f(x(t), z(t))$ <br> **a**  $\mathbf{z}(t) = \frac{1}{2} \left[ \frac{y(t)}{k} + \frac{z}{2} \right]$ <br> **b**  $\mathbf{z} = \frac{1}{2} \left[ \frac{y(t)}{k} + \frac{z}{2} \right]$ <br> **constrains**<br> **b** a b  $\mathbf{z} = \frac{1}{2} \left[ \frac{y(t)}{k} + \frac{z}{2} \right]$ <br> **constrains**<br> **constrains**<br> **constrains**<br> **cons** The double lane change test road is described in Fig. 1 [19]. is the distance of lane-change,  $B = 3.5$  m.  $B_1$ ,  $B_2$ , and  $B_3$  are distances between the stakes,  $B_1 = 1.1L + 0.25 = 2.12$  m,  $B_2 =$ distances between the stakes,  $B_1 = 1.1L + 0.25 = 2.12$  m,  $B_2 = 1.2L + 0.25 = 2.29$  m,  $B_3 = 1.3L + 0.25 = 2.46$  m, where *L* is width of the vehicle,  $L = 1.7$  m. 9 (*B*  $L_{aw}$  )  $L_{w}$ <br>
1. is initial time,  $t_f$  is final time, and  $y_d$  is pre-<br>
ath.  $\vec{E}$  is standard threshold of lateral distance error<br>
1.  $\vec{E} = 0.3$  m.  $\vec{T}_{aw}$  is standard threshold of the steer-<br>
e,  $\vec{T}_{aw} =$ *f*  $\alpha$   $\beta$   $\alpha$  *f*  $\alpha$  *f*  $\alpha$  *f*  $\beta$  *f*  $\alpha$  *f*  $\beta$  *f*  $\beta$  *f*  $\beta$  *f*  $\beta$  *f*  $\beta$  *f*  $\alpha$  *f x*  $y - y_a$ ,  $\vec{E} = 0.3$  m.  $\vec{T}_m$  is standard threshold of the steer-<br>*x y - y<sub>z</sub>*,  $\vec{E} = 0.3$  m.  $\vec{T}_m$  is standard threshold of the steer-<br>*x*  $\hat{T}_w = 8$  *N*  $\cdot$  m.<br>The double lane change test road is described in

For the sake of convenience, the path-tracking problem is transformed into a Bolza problem.

Bolza cost function is:

$$
J = \psi(\mathbf{x}(t_0), t_0, \mathbf{x}(t_f), t_f) + \int_{t_0}^{t_f} g(\mathbf{x}(t), z(t), t) dt
$$
 (13a)

The dynamic constrain is:

$$
\dot{\mathbf{x}} = f(\mathbf{x}(t), z(t), t) \ t \in [t_0, t_f]. \tag{13b}
$$

The boundary constrain is:

$$
\varphi(\mathbf{x}(t_0), t_0, \mathbf{x}(t_f), t_f) = \mathbf{0}.\tag{13c}
$$

The inequality path constraint is:

3436  
\n7. Liu and J. Jiang / Journal of Mechanical Science and Technology 30 (8) (2016) 3433~3440  
\n
$$
\varphi(\mathbf{x}(t_0), t_0, \mathbf{x}(t_f), t_f) = \mathbf{0}.
$$
  
\n(13c) Problems in Eqs. (17a)-(17d) are refer  
\nformed continuous Bolza problem.  
\nThe inequality path constraint is:  
\n $C[\mathbf{x}(t), z(t), t] \leq \mathbf{0} \quad t \in [t_0, t_f]$   
\n $\mathbf{x}(t) \in R^n$  is state and  $z(t) \in R^m$  is input.  
\n27. If  $\mathbf{x}(t) = \mathbf{0}$  and  $\mathbf{x}(t) \in R^n$  is input.  
\n3.2 **Global interpolation polynomial appi**  
\n3.3 **Global interpolation polynomials**  
\n3.4 **Global interpolation polynomials**  
\n3.5 **Global interpolation polynomials**  
\n3.6 **EXAMPLE**  
\n3.7 **Global interpolation polynomials**  
\n3.8 **Method**  
\n3.9 **Method**  
\n3.1 **Method**  
\n3.1 **Method**  
\n3.2 **Global interpolation polynomials**  
\n3.3 **Problem**  
\n3.4 **Method**  
\n3.4 **Method**  
\n3.5 **Problem**  
\n3.6 **Problem**  
\n3.7 **Problem**  
\n3.8 **Problem**  
\n3.9 **Problem**  
\n3.1 **Problem**  
\n3.1 **Problem**  
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\n3.4 **Problem**  
\n3.5 **Problem**  
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\n3.1 **Problem**  
\n3.2 **Problem**  
\n3.3 **Problem**  
\n3.4 **Problem**  
\n3.5 **Problem**  
\n3.6 **Problem**  
\n3.7 **Problem**<

In Eqs. (13a)-(13d), functions  $\psi$ ,  $g$ ,  $f$ ,  $\varphi$  and *C* are defined as follows:

$$
\psi: R^n \times R \times R^n \times R \to R
$$
  
\n
$$
g: R^n \times R^m \times R \to R
$$
  
\n
$$
f: R^n \times R^m \times R \to R^n
$$
  
\n
$$
\varphi: R^n \times R \times R^n \times R \to R^q
$$
  
\n
$$
C: R^n \times R^m \times R \to R^c
$$

**Step 1:** Time discretization

The independent variable can be mapped to the general in-

$$
\tau = 2t / (t_f - t_0) - (t_f + t_0) / (t_f - t_0)
$$
\n(14)

**Step 2:** Approximating state and control variables

where  $x(t) = K$  is that and  $x(t) \in R^{\infty}$  is the Gauss pseudospectral<br>
In Eqs. (13a)-(13a), functions  $\psi$ ,  $g$ ,  $f$ ,  $\varphi$  and  $C$  are by<br>shev methods, is based of<br>
defined as follows:<br>  $\psi: R^{\nu} \times R \times R^{\nu} \times R \rightarrow R$ <br>  $g: R^{\nu}$ The state and control variables are approximated by differ ent Lagrange interpolating a bass of N+1 Lagrange interpolating  $g : R^* \times R^* \times R \rightarrow R^*$ <br>  $g : R^* \times R^* \times R \rightarrow R^*$ <br>  $f : R^* \times R^* \times R \rightarrow R^*$ <br>  $g : R^* \times R^* \times R \rightarrow R^*$ <br>  $g : R^* \times R^* \times R \rightarrow R^*$ <br>  $g : R^* \times R^* \times R \rightarrow R^*$ <br>  $g : R^* \times R^* \times R \rightarrow R^*$ <br> *y*  $\therefore$  *R*  $\times$  *R* ere  $t \in [t_0, t_f]$ .<br> **Step 2:** Approximating state and control variables<br>
The state and control variables are approximated by differ-<br>
Lagrange interpolating polynomials  $L_i(\tau)$   $(i = 0, 1, \dots, N)$ <br>  $L_i^*(\tau)$   $(i = 0, 1, \dots, N)$  i  $t^n \times R \rightarrow R^n$ <br>  $R \rightarrow R^e$ .<br>  $R \rightarrow R^e$ .<br>
a of the GMP algorithm is given as:<br>
discretization<br>
dent variable can be mapped to the general in-<br>
J via affine transformation as [16]:<br>  $t_0$  -  $(t_f + t_0) / (t_f - t_0)$ <br>  $t_0$  -  $(t_f + t_0) / (t$  $R^m \times R \to R^{\epsilon}$ .<br>
Where  $\mathbf{X}(\tau_i) = \mathbf{x}(\tau_i)$  and  $(i = k \text{ and control is } 1 \text{.}$ <br>
Time discretization<br>
Time discretization<br>
the GMP algorithm is given as:<br>  $\text{Lagrange interpolating polyno}$ <br>
spendent variable can be mapped to the general in-<br>  $t_j - t_0$ e main idea of the GMP algorithm is given as:<br> **Example 11.** Time discretization<br>  $1 \tau \in [-1,1]$  via affline transformation as [16]:<br>  $1 \tau \in [-1,1]$  via affline transformation as [16]:<br>  $= 2t/(t_f - t_0) - (t_f + t_0)/(t_f - t_0)$ <br>
(14) wh **ji**  $\sum_{j=0, j \neq i}^{N} \frac{\tau - \tau_j}{\tau_i - \tau_j}$ .<br> **j**  $\int_{r_i}^{N} (\tau) (i = 0, 1, \dots, N)$  in each subinterval,<br>  $\int_{r_i}^{N} (\tau) (i = 0, 1, \dots, N)$  in each subinterval,<br>  $\int_{r_i}^{N} (\tau) = \prod_{j=0, j \neq i}^{N} \frac{\tau - \tau_j}{\tau_i - \tau_j}$ .<br>  $\int_{r_i}^{N} (\tau) = \prod_{j=1,$ a of the GMP algorithm is given as:<br>
Additionally, the control is<br>
discretization<br>
leading polynomials and control is<br>  $\iota$  there  $\mathbf{Z}(\tau_i) = \mathbf{z}(t_i)$ ,  $(i = 0, \ldots, k)$ <br>  $\iota_i(\tau)(i = 0, 1, \ldots, N)$  and  $\iota_i(\tau)(i = 0, 1, \ldots, N)$ idea of the GMP algorithm is given as:<br>
Lagrange interpolating polyno<br>
ignories exercization<br>
ignorial variable can be mapped to the general in-<br>  $t_f - t_0$  -  $(t_f + t_0)$  /  $(t_f - t_0)$ <br>  $(t_f - t_0) - (t_f + t_0)$  /  $(t_f - t_0)$ <br>  $(t_f - t_0) -$ 

$$
L_i(\tau) = \prod_{j=0, j \neq i}^N \frac{\tau - \tau_j}{\tau_i - \tau_j} \tag{15}
$$

$$
L_i^*(\tau) = \prod_{j=1, j\neq i}^N \frac{\tau - \tau_j}{\tau_i - \tau_j}.
$$
  
3.3 The kinematic differential equation  
by the algebraic constraint

**Step 3:** Determining the constrain conditions

The kinematic differential equation constraint is converted by the algebraic constraint, and the terminal state constraint is solved by including an additional constraint.

**Step 4:** Solving the nonlinear programming problem.

### *3.1 Interval change*

The minimization problem can be redefined by substituting Eq. (14) into Eqs. (13a)-(13d) as:

$$
\min J = \psi(x(-1), t_0, x(1), t_f) + \frac{t_f - t_0}{2} \int_{-1}^{1} g(x(\tau), z(\tau), \tau) d\tau
$$
\n
$$
\mathbf{D}_{ki}(\tau_k) =
$$

$$
(17a)
$$

subject to 
$$
\dot{\mathbf{x}} = \frac{\epsilon_f - \epsilon_0}{2} f(\mathbf{x}(\tau), z(\tau), \tau)
$$
 (17b)

$$
\varphi(x(-1), t_0, x(1), t_c) = 0 \tag{17c}
$$

$$
C[x(\tau), z(\tau), \tau] \le 0 \tag{17}
$$

Problems in Eqs. (17a)-(17d) are referred to as the transformed continuous Bolza problem.

#### *3.2 Global interpolation polynomial approximation of the state and control variables*

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2436<br>
25 E.*Liu and J. Jiang / Journal of Mechanical Science and Technology 30 (8) (2016) 3433-3440<br>
26 (17a)-(17d) are referred to<br>
26 C[x(t), z(t), 1] = 0.<br>
26 C[x(t), z(t), 1] = 0 to*  $\frac{1}{2}$  *for*  $\frac{1}{2}$ *<sup>n</sup> <sup>n</sup>* <sup>y</sup> *R R R R R* ´ ´ ´ ® *n m g R R R R* ´ ´ ® *n m <sup>n</sup> f R R R R* ´ ´ ® *<sup>n</sup> <sup>n</sup> <sup>q</sup>* <sup>j</sup> *R R R R R* ´ ´ ´ ® *n n n n n n n n n c (13c) n c (13c) n c (13c) e (17a)-(17d) formed continuous Bolza problems in Eqs. (17a)-(17d)* formed continuous Bolza problems in Eqs. (17a)-(17d) formed continu The inequality path constraint is:<br>  $C[x(t), z(t), t] \le 0 \ t \in [t_0, t_1]$ <br>
where  $x(t) \in R^n$  is state and  $z(t) \in R^n$  is input.<br>
The Gauss pseudospectral method, like Leg<br>
In Eqs. (13a)-(13d), functions  $\psi$ ,  $g$ ,  $f$ ,  $\varphi$  and  $C$  a The Gauss pseudospectral method, like Legendre and Chebyshev methods, is based on state and control trajectory approximations, using interpolating polynomials. The state is approximated using a basis of *N+1* Lagrange interpolating *ee and Technology 30 (8) (2016) 3433-3440*<br>
Problems in Eqs. (17a)-(17d) are referred to as the transformed continuous Bolza problem.<br>
3.2 *Global interpolation polynomial approximation of the state and control variable i rechnology* 30 (8) (2016) 3433-3440<br>
bblems in Eqs. (17a)-(17d) are referred to as the trans-<br>
ed continuous Bolza problem.<br> *libbal interpolation polynomial approximation of the***<br>** *tate and control variables***<br>**  $\epsilon$ <sup>=</sup> *x X*» = <sup>å</sup> *<sup>X</sup>* (18) *i ce and Technology 30 (8) (2016) 3433-3440*<br>
Problems in Eqs. (17a)-(17d) are referred to as the transformed continuous Bolza problem.<br>
3.2 Global interpolation polynomial approximation of the<br> *state and control vari* Problems in Eqs. (17a)-(17d) are referred to as the trans-<br>formed continuous Bolza problem.<br>3.2 *Global interpolation polynomial approximation of the*<br>*state and control variables*<br>The Gauss pseudospectral method, like Le Global interpolation polynomial approximation of the<br>
tate and control variables<br>
he Gauss pseudospectral method, like Legendre and Che-<br>
here methods, is based on state and control trajectory ap-<br>
imations, using interpo **Example 2 Followith polynomial approximation of the**<br> *idded interpolation polynomial approximation of the***<br>
<b>i** that *e and control variables*<br> **i** e Gauss pseudospectral method, like Legendre and Che-<br> **evaluation**, u 3.2 *Global interpolation polynomial approximation of the*<br>state and control variables<br>The Gauss pseudospectral method, like Legendre and Che-<br>byshev methods, is based on state and control trajectory ap-<br>proximations, usi byshev methods, is based on state and control trajectory ap-<br>proximations, using interpolating polynomials. The state is<br>approximated using a basis of  $N+1$  Lagrange interpolating<br>polynomials  $L_i(\tau)$  as follows [14]:<br> $\mathbf$ 

$$
\mathbf{x}(\tau) \approx X(\tau) = \sum_{i=0}^{N} L_i(\tau) X(\tau_i)
$$
\n(18)

Additionally, the control is approximated using a basis of *N*

$$
z(\tau) \approx Z(\tau) = \sum_{i=1}^{N} L_i^*(\tau) Z(\tau_i)
$$
\n(19)

It can be seen from Eqs. (15) and (16) that erties: ynomials  $L_i(\tau)$  as follows [14]:<br>  $x(\tau) \approx X(\tau) = \sum_{i=0}^{N} L_i(\tau)X(\tau_i)$  (18)<br>
ere  $X(\tau_i) = x(\tau_i)$  and  $(i = 0, \dots, N)$ .<br>
Additionally, the control is approximated using a basis of N<br>
range interpolating polynomials  $L_i'(\tau)$   $(i = 0,$ *i*  $\mathbf{z} \times \mathbf{z}(\tau) = \sum_{i=0}^{N} L_i(\tau) \mathbf{X}(\tau_i)$  (18)<br>  $\mathbf{z} \times \mathbf{X}(\tau) = \mathbf{x}(\tau_i)$  and  $(i = 0, \dots, N)$ .<br>
ditionally, the control is approximated using a basis of *N*<br>
inge interpolating polynomials  $L_i(\tau)$   $(i = 0, 1, \dots, N)$  $x(\tau) \approx X(\tau) = \sum_{i=0}^{\infty} L_i(\tau)X(\tau_i)$  (18)<br>
ere  $X(\tau_i) = x(\tau_i)$  and  $(i = 0, \dots, N)$ .<br>
Additionally, the control is approximated using a basis of N<br>
dditionally, the control is approximated using a basis of N<br>  $z(\tau) \approx Z(\tau) = \sum_{i=1}$ *i*  $\frac{L}{\sqrt{1-x}}$ <br> *i*  $X(\tau_i) = x(\tau_i)$  and  $(i = 0, \dots, N)$ .<br>
ditionally, the control is approximated using a basis of *N*<br>
ign interpolating polynomials  $L_i(\tau)$   $(i = 0, 1, \dots, N)$  as:<br>  $\tau_i > \mathbf{Z}(\tau_i) = \sum_{i=1}^{N} L_i(\tau) \mathbf{Z}(\tau_i)$  (  $Z(\tau) = \sum_{i=1}^{N} L_i(\tau) Z(\tau_i)$  (19)<br>  $\tau_i$ ) =  $z(\tau_i)$ , (*i* = 0, ..., *N*).<br>
be seen from Eqs. (15) and (16) that<br>
be seen from Eqs. (15) and (16) that<br>  $L_i$ , ..., *N*) and  $L_i'(\tau)(i = 0, 1, ..., N)$  satisfy the prop-<br>  $\begin{cases} 1, &$ 

$$
L_i(\tau_j) = \begin{cases} 1, & i = j \\ 0, & i \neq j \end{cases}
$$
  

$$
L_i^*(\tau_j) = \begin{cases} 1, & i = j \\ 0, & i \neq j \end{cases}.
$$

# *3.3 The kinematic differential equation constraint converted*

Differentiating Eq. (18), Eq. (20) is expressed as:

$$
L_i^*(\tau_j) = \begin{cases} 1, & i = j \\ 0, & i \neq j \end{cases}
$$
  
**The kinematic differential equation constraint converted**  
Differentiating Eq. (18), Eq. (20) is expressed as:  

$$
\dot{\mathbf{x}}(\tau) \approx \dot{\mathbf{X}}(\tau) = \sum_{i=0}^N \dot{L}_i(\tau) \mathbf{X}(\tau_i) = \sum_{i=0}^N \mathbf{D}_{ki} \mathbf{X}(\tau_i).
$$
 (20)  
The derivative of each Lagrange polynomial on Legendre-

re  $\mathbf{Z}(\tau_i) = \mathbf{z}(\tau_i)$ ,  $(i = 0, \dots, N)$ .<br>
can be seen from Eqs. (15) and (16) that<br>  $\gamma(i = 0, 1, \dots, N)$  and  $L_i^*(\tau)(i = 0, 1, \dots, N)$  satisfy the prop-<br>
s:<br>  $\gamma(\tau_j) = \begin{cases} 1, & i = j \\ 0, & i \neq j \end{cases}$ <br>  $\tau_i^*(\tau_j) = \begin{cases} 1, & i = j \\ 0, & i \$ **i** *i*  $\overline{A}$ *<br> i i <i>z i*  $f(x) = \mathbf{z}(t, y)$ ,  $(i = 0, \dots, N)$ .<br> **can** be seen from Eqs. (15) and (16) that<br>  $(i = 0, 1, \dots, N)$  and  $L_i^*(r)(i = 0, 1, \dots, N)$  satisfy the prop-<br>
is:<br>  $\mathbf{z}$ <br>  $\mathbf{z}$   $\mathbf{z}$   $\mathbf{z}$   $\$  $x(\tau) \approx X(\tau) = \sum_{i=0}^{N} L_i(\tau)X(\tau_i)$  (18)<br>
ere  $X(\tau_i) = x(\tau_i)$  and  $(i = 0, \dots, N)$ .<br>
deditionally, the control is approximated using a basis of N<br>
ere  $Z(\tau_i) = x(\tau_i)$  and  $V_i(\tau_i)Z(\tau_i)$  ( $i = 0, 1, \dots, N$ ) as:<br>  $z(\tau) \approx Z(\tau) = \sum_{i=1}^{N} L_i$ The derivative of each Lagrange polynomial on Legendre- Gauss (LG) points can be represented in a differential approximation matrix,  $\mathbf{D}_{ki} \in R^{N \times (N+1)}$ . The elements of the differ-**5.3 The kinematic differential equation constraint converted**<br>by the algebraic constraint<br>Differentiating Eq. (18), Eq. (20) is expressed as:<br> $\dot{\mathbf{x}}(\tau) \approx \dot{\mathbf{X}}(\tau) = \sum_{i=0}^{N} \dot{L}_i(\tau) \mathbf{X}(\tau_i) = \sum_{i=0}^{N} \mathbf{D}_k \mathbf{X}$ 3.3 The kinematic differential equation constraint converted<br>by the algebraic constraint<br>Differentiating Eq. (18), Eq. (20) is expressed as:<br> $\dot{\mathbf{x}}(\tau) \approx \dot{\mathbf{X}}(\tau) = \sum_{i=0}^{N} \dot{L}_i(\tau) \mathbf{X}(\tau_i) = \sum_{i=0}^{N} \mathbf{D}_k \mathbf{X}(\tau$ 

$$
L_{i}(t) = \int_{j=0, j}^{N} \frac{t - t_{j}}{t - t_{j}} \int_{j=0, j}^{N} \frac{t - t_{j}}{t - t_{j}} \int_{j=0, j \neq j}^{N} (t_{j}) = \int_{j=0, j \neq j}^{N} \frac{t_{j}}{t_{j}} = \int_{j=0, j \neq j}^{N} \frac{t - t_{j}}{t_{j}} \int_{j=0}^{N} (15) \int_{j=0, j \neq j}^{N} \frac{t - t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} (16) \int_{j=0, j \neq j}^{N} \frac{t - t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} (16) \int_{j=0, j \neq j}^{N} \frac{t - t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} \frac{t - t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} (17) \int_{j=0, j \neq j}^{N} \frac{t - t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} \frac{t - t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} \frac{t - t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} \frac{t_{j}}{t_{j}} = \int_{j=0, j \neq j}^{N} \frac{t_{j}}{t_{j}} = \int_{j=0, j \neq j}^{N} \frac{t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} \frac{t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} \frac{t - t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} \frac{t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} \frac{t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N} \frac{t_{j}}{t_{j}} \int_{j=0, j \neq j}^{N}
$$

$$
\sum_{i=0}^{N} \bm{D}_{ki} X(\tau_i) - \frac{t_f - t_0}{2} f(X(\tau_k), \bm{Z}(\tau_k), \tau_k; t_0, t_f) = \bm{0} \ . \tag{22}
$$

#### *3.4 The terminal state constraint in the discrete conditions*

 $\tau_f = 1$ . Since  $X_f$  is absent in the state approximation, it must be controlled by including an additional constraint that relates the final state to the initial state via a Gauss quadrature to meet the state dynamic equation of Eq. (17b). According to the state dynamics:France of controlled by including an additional constraint that<br>  $\tau_{\mu} = -1$ , Since  $X_f$  is absent in the state approximation, it<br>  $\tau_z \cdots \tau_{\mu}$ , the initial point,  $\tau_{\mu} = -1$ , and the final point<br>  $\tau_z \to \tau_{\mu}$ , the in

$$
\mathbf{x}(\tau_f) = \mathbf{x}(\tau_0) + \int_{-1}^{1} f(\mathbf{x}(\tau), \mathbf{z}(\tau), \tau) d\tau
$$
 (23)

$$
X(\tau_f) = \mathbf{X}(\tau_0) + \frac{t_f - t_0}{2} \sum_{k=1}^N \omega_k f(\mathbf{X}(\tau_k), \mathbf{Z}(\tau_k), \tau_k; t_0, t_f)
$$
 (24)

$$
\omega_{k}=\int_{-1}^{1}L_{k}(\tau)\,d\tau.
$$

$$
\varphi(\mathbf{X}(\tau_0), t_0, \mathbf{X}(\tau_f), t_f) = \mathbf{0} \,. \tag{25}
$$

Furthermore, Eq. (17d) is evaluated at the LG points as:

$$
C[\mathbf{X}(\tau_k), \mathbf{Z}(\tau_k), \tau_k; t_0, t_f] \le \mathbf{0} \quad (k = 1, \cdots, N) \tag{26}
$$

#### *3.5 Calculating border control variables*

Obtaining the accurate values of the border control variables is highly important. In the paper, they are solved by the method provided in Ref. [20]. g ine accurate values of the border control variables<br>
mportant. In the paper, they are solved by the<br>
firm<br>
vided in Ref. [20].<br>
mating performance index function<br>
ran<br>
ran<br>
mating performance index function<br>
ran<br>
ran<br>
m

#### *3.6 Approximating performance index function*

The integral term in the cost functional of Eq. (17a) can be approximated with a Gauss quadrature as before, resulting in:

$$
J = \psi(X_0, t_0, X_f, t_f) + \frac{t_f - t_0}{2} \sum_{k=1}^{N} \omega_k g(X_k, \mathbf{Z}_k, \tau_k; t_0, t_f).
$$
 (27)

The optimal control problem of vehicle path tracking is defined as a nonlinear programming problem by the cost function of Eq. (27) and the algebraic constrains of Eqs. (22) and

Table 1. Simulation parameters.

	Y. Liu and J. Jiang / Journal of Mechanical Science and Technology 30 (8) (2016) 3433~3440	3437		
The dynamic constraint is transcribed into algebraic con-	Table 1. Simulation parameters.			
straints, using the differential approximation matrix as fol-	Parameter	Value		
lows:	m/kg	1265		
	$\mathbf{I}_\mathbf{z}/\ \mathbf{kg}$ . $\mathbf{m}^2$	1800		
$\sum_{i=1}^{N} D_{ki} X(\tau_i) - \frac{t_f - t_0}{2} f(X(\tau_k), Z(\tau_k), \tau_k; t_0, t_f) = 0.$ (22)	a/m	1.170		
	b/m	1.195		
3.4 The terminal state constraint in the discrete conditions	$k_1/N$ . rad <sup>-1</sup>	60042		
	$k_2/N$ . rad <sup>-1</sup>	109295		
The set of nodes includes the $N$ interior LG points,	$\dot{i}$	20		
$\tau_1, \tau_2 \cdots, \tau_N$ , the initial point, $\tau_0 = -1$ , and the final point	$\mu$	0.8		
$\tau_f = 1$ . Since $X_f$ is absent in the state approximation, it must be controlled by including an additional constraint that	$I_w$ /kg.m <sup>2</sup>	16.38		
relates the final state to the initial state via a Gauss quadrature	$c_w / (N \cdot m \cdot s \cdot rad^{-1})$	140		
to meet the state dynamic equation of Eq. (17b). According to	$k_{w}$ / (N · m · rad <sup>-1</sup> )	$\overline{0}$		
the state dynamics:	$\xi_1/m$	0.021		
	$h_{\rm g}$ /m	0.53		
$\mathbf{x}(\tau_f) = \mathbf{x}(\tau_0) + \int_{0}^{1} f(\mathbf{x}(\tau), \mathbf{z}(\tau), \tau) d\tau$ (23)				
	$(24)-(26)$ . The solution of the nonlinear programming problem			
which can be discretized and approximated as:		is an approximate answer to the transformed continuous Bolza		
	problem.			
	Sequential quadratic programming (SQP) algorithm is used			
$\boldsymbol{X}(\tau_f) = \boldsymbol{X}(\tau_0) + \frac{t_f - t_0}{2} \sum_{k=1}^{N} \omega_k f(\boldsymbol{X}(\tau_k), \boldsymbol{Z}(\tau_k), \tau_k; t_0, t_f)$ (24)	to solve the nonlinear programming problem [21].			
	SQP method solves the nonlinearly constrained problem by			
where $\omega_k$ is Gauss weights.	a sequence of Quadratic programming (QP) subproblems. It is assumed that an approximate solution $x_k$ and a Lagrange			
	multiplier vector $\lambda_k$ are known when the $k^{\mu}$ iteration starts.			
$\omega_k = \int_{-k}^{1} L_k(\tau) d\tau.$	According to $x_k$ and $\lambda_k$ , the $k^{th}$ QP subproblem $P_k$ is			

meet the state dynamic equation of Eq. (17b). According to<br>
state dynamics:<br>  $\mathbf{x}(r_r) = \mathbf{x}(r_0) + \int_{-1}^{1} f(\mathbf{x}(r), \mathbf{z}(r), r) dr$ <br>
ich can be discretized and approximated as:<br>  $\mathbf{x}(r_r) = \mathbf{X}(r_0) + \frac{t_r - t_0}{2} \sum_{i=1}^{N} \omega_i f(\$ (24)-(26). The solution of the nonlinear programming<br>
is an approximated as:<br>  $X(r_x) = X(r_x) + \frac{t_x - t_u}{2} \sum_{k=1}^{k} \omega_k f(X(r_x), Z(r_x), r_x; t_y, t_x)$  (24) Sequential quadratic programming (SQP) algorithm<br>
sequence of purchast assume that SQP method solves the nonlinearly constrained problem by a sequence of Quadratic programming (QP) subproblems. It is assumed that an approximate solution  $x_k$  and a Lagrange multiplier vector  $\lambda_k$  are known when the  $k^h$  iteration starts. According to  $x_k$  and  $\lambda_k$ , the  $k^h$  QP subproblem  $P_k$  is obtained. Then, a new approximate solution  $x_{k+1}$  is attained by solving  $P_k$  and determining Lagrange multiplier vector correspondent  $\lambda_{k+1}$ . The process is repeated until the approximate optimal solution of the nonlinear programming problem is obtained.

#### **4. Numerical simulation and experimental verification**

#### *4.1 Simulation result*

Results of optimum path tracking of inverse problem of vehicle handling dynamics with the proposed method are confirmed by simulation. For the simulation, the calculation parameters are shown in Table 1.

(176),  $X(t_i, X(t_j), t_j) = 0$ .<br>
(25) proximate optimal solution of the nonlinear programming<br>
mmore, Eq. (17d) is evaluated at the LG points as:<br> **4. Numerical simulation and experimental verifica-**<br> **4. Numerical simulation an** *f*  $h(t, t) = 0$ . (25) proximate optimal solution of the notes is *n*<br> *f*  $f(t, t_0, t_0) = 0$ . (25) proximate optimal solution of the notation<br> *f*  $f(t, t_0, t_0) = 0$  *(k = 1, ··, N)*. (26) **tion**<br> *f <i>f . Isimulation result* **Fig. 3.**  $\varphi(\mathbf{X}(r_s), I_s, \mathbf{X}(r_s), I_s) = 0$ .<br>
Turker and Eq. (17d) is evaluated at the LG points as:<br>  $\mathcal{C}[X(r_s), Z(r_s), \tau_s; I_s, I_s] \leq 0$  ( $k = 1, \dots, N$ ).<br>  $\therefore$  (26) ton<br>  $\therefore$  **LA Numerical simulation and experimental verifica**  $X(\tau_j)$ , $\ell_{ij}, X(\tau_j)$ , $\ell_{ij}$ ,  $Z(\tau_i)$ ,  $\tau_i$ ,  $\tau_i$ ,  $\tau_j$  and is evaluated at the LG points as:<br> **4. Numerical simulation and experimental v**<br> **4. Numerical simulation and experimental v**<br> **4. Numerical simulation and ex** The simulation conditions such as initial and final conditions and boundary constraint are shown in Table 2. The optimization is calculated by SQP algorithm and MATLAB software, using a 2.8 GHz/Pentium IV computer and Window XP operating system.

Realistically, drivers' ideal target trajectory should be a low-level, continuous and smooth curve. The double lane change test road is described as a third-order curve, where first-order derivative is continuous, transformed with cubic splines fitting shown in Fig. 3.

Fig. 3 shows the simulation result of the lateral distance while tracking the prescribed path. The figure indicates that

3438			Y. Liu and J. Jiang / Journal of Mechanical Science and Techi		
	Table 2. Simulation conditions.				
	Initial conditions	Final conditions		Boundary constraint	Steering torque /N-m
$u$ (km/h)	105		$z_{\min} (N \cdot m)$	$-8$	
v(km/h)	$\mathbf{0}$	$\mathbf{0}$	$z_{\text{max}}(N \cdot m)$	8	
$\omega$ (rad/s)	$\mathbf{0}$	$\mathbf{0}$			$^{-2}$ $\!\! 0$
$p(^{\circ}/s)$	$\mathbf{0}$	$\mathbf{0}$			
x(m)	$\mathbf{0}$				Fig. 5. Stee
y(m)	$\mathbf{0}$				
$\theta$ (°)	$\mathbf{0}$	$\mathbf{0}$			50
distance/m 3	$\mathbf{C}$	$\mathbf D$	----lateral distance -double lane change test road		Steering rate/ $(e \cdot s^{-1})$

Table 2. Simulation conditions.



Fig. 3. Lateral distance.



Fig. 4. Absolute error of  $y - y_d$ .

the vehicle can track the double lane change test road well with an initial speed of 105 km/h.

Fig. 4 describes the calculation result of the absolute error between the simulation result of the lateral distance and the prescribed path. It shows that the maximum value of the absolute error is about 0.12 m. The absolute error calculated with optimum control method is very small. Thus, the vehicle can travel along the prescribed trajectory with good tracking performance under optimum control condition.

Fig. 5 shows the result of the steering torque with different longitudinal distance. It shows that the steering torque has peak values at 60 m, 100 m, 150 m and 190 m, which indicates the hard sledding for the driver to manipulate the car.

Fig. 6 describes the calculation result of the steering rate. From the figure it is shown that the steering rate has bigger response values at 75 m and 210 m, which indicates the higher busyness degree for the driver to manipulate the car.

#### *4.2 Evaluation of calculation accuracy*

To compare the calculation accuracy of GPM with the nu merical integration algorithm, the control variable obtained by calculating GPM algorithm is substituted into Eq. (1). Then,



Fig. 6. Steering rate.

another optimal trajectory is calculated by the numerical integration algorithm. Finally, the absolute error between the results of the two optimal trajectories, which are calculated by the numerical integration algorithm and GPM algorithm respectively, and the result of the prescribed path are acquired. It is shown that the maximum value of the absolute error given by the calculation of GPM is about 0.12 m. However, the same value given by the calculation of the numerical integration algorithm is about 0.21 m. Thus, the solution used has a higher accuracy advantage in GPM algorithm compared with other traditional methods in solving path-tracking problems .

#### *4.3 Experimental result*

#### *4.3.1 Test objectives*

The ground test is conducted to obtain the related test data such as lateral distance and steering torque, with the purpose of verifying the feasibility of the simulation results.

#### *4.3.2 Test ground and conditions*

The overall length of the ground is 2212 m. At each end of the ground, the U-turn ring has a radius of 36 m. The longitu dinal and lateral slopes of the ground are less than 2%. In or der to satisfy the experimental conditions, the wind velocity must be less than 5 m/s, and the ambient temperature should be between 0°C and 40°C.

### *4.3.3 Block diagram of test system and measurement equipments*

The block diagram of test system is shown in Fig. 7.

The measurement equipment is described as follows:

(1) RACELOGIC VBOX speed instrument, which is used to measure the vehicle speed precisely, is shown in Fig. 8(a);

(2) Steering torque/angle tester, which is used to measure the steering torque or the steering angle, is shown in Fig. 8(b);



Fig. 7. Block diagram of test system.



Fig. 8. Measurement equipments: (a) RACELOGIC VBOX speed instrument; (b) steering torque/angle tester; (c) angular rate gyroscope; (d) DEWESoft digital signal acquisition.

(3) Angular rate gyroscope, which is used to measure the yaw rate and the lateral and longitudinal acceleration, is shown in Fig. 8(c);

(4) DEWESoft digital signal acquisition, which is used to collect and record different types of data simultaneously, is shown in Fig. 8(d);

(5) Other auxiliary equipment, such as stopwatch, battery, and wire, are also required for the test.

#### *4.3.4 Test procedure*

The test procedure in accordance with ISO/TR3888-1999 is as follows:

Step 1: As shown in Fig. 1, stakes are arranged and a prescribed path is painted exactly on the ground according to the double lane change test road (solid line shown in Fig. 3).

Step 2: Equipment shown in Fig. 7 is warmed up to normal operating temperature.

Step 3: A water injector is installed at the center of the front axle to record the real travelling trajectory in the form of water trace. With an initial velocity of 105 km/h, the tested vehicle travels along the initial lane, which is marked as the solid line AB shown in Fig. 3. At the same time, the water injector is opened to report the vehicle trajectory. A rapid lane change maneuver is implemented, which is marked as the solid line



Fig. 9. Comparison of simulation and test value.

CD shown in Fig. 3. As the vehicle returns to the initial lane quickly and without touching any part of the stakes, a solid line EF shown in Fig. 3 is marked. The time history curves of the measured variables are recorded.

Step 4: Step 3 is repeated 12 times.

The test values are shown in Figs.  $9(a)-(c)$ . Figs.  $9(a)-(c)$ shows errors between simulation value and experimental value caused by the subjective feeling and driving skill of the driver. However, the trend of the simulation value is similar with the experimental value, verifying the accuracy of the optimal control model and the feasibility of simulation results.

#### **5. Conclusions**

In this paper, the path-tracking scenario is analyzed, while using the Gauss pseudospectral method, to identify steering torque input for driving a desired path. Accordingly, a 4-DOF simplified vehicle model is used to describe the motion of the vehicle. Then, the problem of the path-tracking maneuver is formulated as a nonlinear programming problem by GPM. Finally, the optimal control problem is solved via SQP method. The calculation accuracy of GPM is evaluated by comparing with the numerical integration algorithm. Simulation results, which are verified to be correct with test driving a vehicle, show that the maximum value of the absolute error between

the optimal trajectory and the prescribed trajectory is about 0.12 m. This indicates the errors through the optimal control are small. Furthermore, the results obtained in this paper demonstrate the viability of the Gauss pseudospectral method as a means of obtaining accurate solutions to path tracking optimal control problem.

The trajectory design is an important factor drafting control laws for lane changes in the future. The solution to the path tracking optimal control problem provides valuable insight into the lane changes design work.

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