## **PROGRESS IN HEMATOLOGY**

**Cancer associated thrombosis and bleeding**

# **Dysregulated hemostasis in acute promyelocytic leukemia**

# **Yohei Hisada[1](http://orcid.org/0000-0001-9157-0524)**

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## **Abstract**



Acute promyelocytic leukemia (APL) is associated with a high incidence of early death, which occurs within 30 days of diagnosis. The major cause of early death in APL is severe bleeding, particularly intracranial bleeding. Although APL is known to be associated with activation of coagulation, hyperfbrinolysis, and thrombocytopenia, the precise mechanisms that cause bleeding have not yet been elucidated. I propose that a combination of four pathways may contribute to bleeding in APL: (1) tissue factor, (2) the urokinase plasminogen activator/urokinase plasminogen activator receptor, (3) the annexin A2/S100A100/tissue plasminogen activator, and (4) the podoplanin/C-type lectin-like receptor 2. A better understanding of these pathways will identify new biomarkers to determine which APL patients are at high risk of bleeding and allow the development of new treatments for APL-associated bleeding.

**Keywords** Acute promyelocytic leukemia · Bleeding · Coagulopathy · Disseminated intravascular coagulation · Thrombocytopenia

# **Introduction**

Acute promyelocytic leukemia (APL) is a subtype of acute myeloid leukemia (AML) with an annual incidence of 600–800 cases in the United States [[1\]](#page-3-0). It is characterized by a translocation between chromosomes 15 and 17 that leads to fusion of promyelocytic leukemia (*PML*) gene with the retinoic acid receptor-α (*RARα*) gene to create a *PML-RARα* gene. This fusion gene is detected in approximately 90% of APL patients  $[2]$  $[2]$  $[2]$ . PML-RAR $\alpha$  inhibits myeloid diferentiation at the promyelocytic stage of myelopoiesis [\[3\]](#page-3-2). The development of diferentiation therapies, such as all-trans retinoic acid (ATRA) and arsenic trioxide (ATO), has signifcantly improved prognosis of APL patients, and APL is currently considered to be a curable type of cancer. However, early death within 30 days of diagnosis remains a major problem, with rates of  $4-11\%$  in clinical trials  $[4-11]$  $[4-11]$ and 17–26% in registry studies [[12](#page-3-5)[–17](#page-4-0)]. Most early deaths are caused by severe bleeding, particularly intracranial bleeding [[13,](#page-4-1) [15](#page-4-2), [17](#page-4-0)]. Notably, nearly 90% of APL patients demonstrate some evidence of bleeding at presentation [\[18](#page-4-3)]. Consistent with the high incidence of bleeding, APL patients also exhibit higher rates of disseminated intravascular coagulation (DIC,  $60-85\%$ ) [\[19–](#page-4-4)[21](#page-4-5)] than other types of acute leukemia (6–22%) [[22–](#page-4-6)[27\]](#page-4-7). The high incidence of bleeding and DIC in APL, as compared to other hematologic malignancies, suggests that APL causes a unique disruption of the hemostatic system. However, the mechanisms that cause bleeding in APL have not been elucidated yet. APL is known to be associated with activation of coagulation, hyperfbrinolysis, and thrombocytopenia [\[28](#page-4-8), [29\]](#page-4-9). The aim of this review is to summarize the current knowledge of pathways that lead to activation of coagulation, hyperfbrinolysis, and thrombocytopenia. This review will focus on tissue factor (TF) and the activation of coagulation, the urokinase plasminogen activator (uPA)/uPA receptor (uPAR) and the annexin A2 (AA2)/S100A10 (S100)/ tissue plasminogen activator (tPA) pathways and hyperfbrinolysis, and the podoplanin (PDPN)/C-type lectin-like receptor 2 (CLEC-2) pathway and thrombocytopenia (Fig. [1\)](#page-1-0).

 $\boxtimes$  Yohei Hisada yohei\_hisada@med.unc.edu

<sup>&</sup>lt;sup>1</sup> UNC Blood Research Center, Division of Hematology, Department of Medicine, University of North Carolina at Chapel Hill, 116 Manning Drive, 8004 Mary Ellen Jones Bldg, Campus Box #7035, Chapel Hill, NC 27599, USA



<span id="page-1-0"></span>**Fig. 1** Proposed pathways to bleeding in acute promyelocytic leukemia. Acute promyelocytic leukemia (APL) cells express tissue factor (TF), urokinase plasminogen activator (uPA), uPA receptor (uPAR), annexin A2 (AA2), S100A10 (S100) and podoplanin (PDPN). APL cell-derived and monocyte cell-derived TF activate coagulation, followed by consumption of coagulation factors that leads to bleeding. The uPA/uPAR complex and the AA2/S100/tissue plasminogen activator (tPA) complex generate plasmin that cause aberrant degradation of fbrin resulting in bleeding. APL cell PDPN binds to C-type lectin-like receptor 2 (CLEC-2) on platelets, causes activation and consumption of platelets, and contributes to bleeding. The fgure was created with Biorender.com

## **TF**

TF is a transmembrane receptor for FVII/VIIa. The TF/ FVIIa complex initiates the coagulation cascade [[30](#page-4-10)]. TF is also released in the form of extracellular vesicles (EVs) from either cancer cells or host cells, such as activated monocytes [[31\]](#page-4-11).

# **TF in APL patients**

APL patients have high levels of thrombin–antithrombin complex (TAT), which is a biomarker of activation of coagulation [\[32,](#page-4-12) [33\]](#page-4-13). TF has been proposed as an initiator of coagulation in APL patients. Indeed, high levels of TF were reported in mononuclear cells from bone marrow and peripheral blood of APL patients [[34](#page-4-14), [35](#page-4-15)]. Interestingly, peripheral blood mononuclear cells (PBMC) from APL/AML patients with DIC had higher levels of TF compared to PBMC from APL/AML patients without DIC [[35](#page-4-15)]. These data suggest that TF is associated with DIC in APL and AML patients. Studies also showed that ATRA treatment signifcantly reduces TF mRNA and protein expression in bone marrow cells from APL patients [[33](#page-4-13), [34\]](#page-4-14). These data suggest that TF expression in APL cells is reduced after diferentiation therapy.

We and others found high levels of EVTF activity in plasma from APL patients [\[36–](#page-4-16)[39\]](#page-4-17). Two studies reported that APL patients with DIC had high levels of EVTF activity that were reduced after the resolution of DIC [\[36,](#page-4-16) [37\]](#page-4-18). These data suggest that EVTF activity is associated with DIC in APL patients. Our study included 29 APL, 253 non-APL AML and 76 acute lymphoblastic leukemia (ALL) patients. There were a total of 41 major bleeding and clinically relevant non-major bleeding cases with 7 in APL, 31 in non-APL AML, 3 in ALL patients. We found that high levels of EVTF activity were associated with bleeding in these acute leukemia patients [hazard ratio (HR): 2.32, 95% confdence interval (95% CI1.08–4.99) in an univariable model and HR: 2.33 (95% CI 1.08–5.04) in a multivariable model adjusted for age, sex, race/ethnicity] [\[39](#page-4-17)]. Our interpretation of this data is that  $TF$ -positive  $(+)$  EVs activate coagulation, followed by consumption of coagulation factors that leads to bleeding in these patients. Since the numbers of APL patients and bleeding cases were small in this study, we have not performed a sub-analysis for APL patients. Future studies need to investigate the association between EVTF activity and bleeding in a larger cohort of patients with APL.

### **TF in preclinical studies**

We studied the role of TF using mouse xenograft and allograft models of APL [\[40\]](#page-4-19). We used a human APL cell line called NB4 to establish the xenograft model. We and others found that NB4 cells express high levels of TF [\[40](#page-4-19)[–42](#page-4-20)]. To establish the allograft model, we injected splenocytes containing APL cells from a transgenic mouse that develops spontaneous APL [[43](#page-4-21)] into C57BL6 mice. Both mouse models exhibited activation of coagulation, hyperfbrinolysis, thrombocytopenia and bleeding phenotype similar to APL patients.

To investigate the role of TF in coagulopathy and bleeding in APL mice, we inhibited TF in the 2 mouse models. We used an anti-human TF antibody (HTF-1) to inhibit APL cell-derived TF in the xenograft model. HTF-1 does not inhibit host-derived mouse TF. We used an anti-mouse TF antibody (1H1) to inhibit both APL cell-derived and host cell-derived TF in the allograft model. HTF-1 and 1H1 signifcantly reduced levels of TAT in their respective models. These data indicate that TF contributes to activation of coagulation in mouse models of APL. Strikingly, HTF-1 signifcantly shortened the tail bleeding time in the xenograft model. These data indicate that expression of TF by APL cells leads to bleeding in the xenograft model, presumably by inducing consumption of coagulation factors. Our study is the frst to investigate the role of TF in APL using mouse models. In future studies we will determine the role of host cell-derived TF in APL using these mouse models.

## **The uPA/uPAR pathway**

uPA is a serine protease that binds to its receptor uPAR to activate plasminogen to plasmin, which degrades fbrin [\[44](#page-4-22)].

## **The uPA/uPAR pathway in APL patients**

We and others reported that APL patients have high levels of plasmin–antiplasmin complex (PAP), a biomarker of activation of fbrinolysis [[39,](#page-4-17) [45](#page-4-23)]. One of the pathways considered to be involved in hyperactivation of fbrinolysis in APL is the uPA/uPAR pathway. An early study found high uPAR protein expression on PBMC from patients with APL [\[46](#page-4-24)]. More recently, RNAseq analysis revealed that both uPA and uPAR mRNA expression were higher in blast cells from APL patients compared to blast cells from non-APL AML patients [[47\]](#page-4-25). Conficting results on the efect of ATRA on uPA and uPAR expression in NB4 cells have been reported. Some studies showed that ATRA transiently increases uPA activity and uPAR mRNA and protein expression in NB4 cells [[48,](#page-5-0) [49\]](#page-5-1) whereas other studies showed that ATRA reduces uPAR mRNA expression in NB4 cells [[50,](#page-5-2) [51](#page-5-3)]. The effect of ATRA on uPA and uPAR expression should be further investigated using blast cells from APL patients.

High plasma levels of uPA [[33](#page-4-13), [52](#page-5-4)] and soluble uPAR [\[45\]](#page-4-23) were reported in APL patients. However, to date, there are no studies that investigated associations between plasma uPA or uPAR levels and DIC or bleeding in APL patients. Future studies should investigate these associations.

## **The AA2/S100/tPA pathway**

AA2 is a calcium- and anionic phospholipid-binding protein that forms a heterotetrameric complex with S100 [[53\]](#page-5-5). The AA2/S100 complex serves as a receptor for both tPA and plasminogen and facilitates the generation of plasmin [\[54](#page-5-6)].

#### **The AA2/S100/tPA pathway in APL patients**

The AA2/S100/tPA pathway may be a more specific fibrinolytic pathway for APL patients than the uPA/uPAR pathway. Mennel and colleagues frstly reported that AA2 protein expression in the leukocytes fraction from APL patients is higher than the leukocytes fraction from patients with other types of acute leukemia [[55](#page-5-7)]. These data were later confrmed with RNAseq analysis showing that blast cells from APL patients had higher levels of AA2 mRNA expression compared to blast cells from AML patients [[47\]](#page-4-25). Mennel and colleagues showed that NB4 cells, which express high levels of AA2, exhibit high plasmin generation in the presence

of tPA. This high plasmin generation activity was significantly reduced when either NB4 or tPA were absent, or when an anti-AA2 antibody was added [\[55\]](#page-5-7). Another study also found that NB4 cells express S100 and shRNA suppression of S100 mRNA expression resulted in a reduction of plasmin generation in NB4 cells [[56](#page-5-8)]. These data indicate that the AA2/S100/tPA pathway contributes to plasmin generation by NB4 cells. Interestingly, studies found that diferentiation therapies signifcantly reduced AA2 mRNA and protein expression and S100 mRNA expression in mononuclear cells from bone marrow and peripheral blood from patients with APL [[57,](#page-5-9) [58](#page-5-10)]. Similarly, diferentiation therapies reduced AA2 mRNA and protein expression and S100 protein expression in NB4 cells [\[55](#page-5-7), [56](#page-5-8)]. One study found that ATRA induces ubiquitin-independent proteasomal degradation of S100 protein in NB4 cells [[59](#page-5-11)]. These data suggest that diferentiation therapies reduce AA2 and S100 expression in APL cells. Further studies are needed to investigate if the AA2/S100/tPA pathway is associated with DIC and bleeding in APL patients.

#### **The AA2/S100/tPA pathway in preclinical studies**

One study found that inhibition of AA2 activity by treatment with L-methionine, which increases homocysteine that competes with tPA for the same binding site of AA2, decreased plasma levels of tPA in transgenic mice that spontaneously develop APL [[60](#page-5-12)]. Future studies need to investigate the role of the AA2/S100/tPA pathway in hyperfbrinolysis and bleeding in mouse models of APL.

# **The PDPN/CLEC‑2 pathway**

PDPN is a transmembrane glycoprotein expressed in the kidney, liver and lymph nodes as well as cancer cells. It binds to CLEC-2 on platelets and induces aggregation [\[61](#page-5-13)].

## **The PDPN/CLEC‑2 pathway in APL patients**

Many papers have documented that patients with APL exhibit thrombocytopenia [[62](#page-5-14)–[64](#page-5-15)]. However, there are conficting results regarding the association between a low platelet count and early death in APL patients. One study reported that a low platelet count was associated with early death in APL patients [[14\]](#page-4-26), while another study reported that a low platelet count were not associated with early death in APL patients [[65](#page-5-16)]. Many studies have reported that a low platelet count was associated with bleeding in patients with APL [[65](#page-5-16)[–69\]](#page-5-17). However, three studies reported that a low platelet count was not associated with hemorrhagic death in patients with APL [[70](#page-5-18)[–72](#page-5-19)]. These data suggest that a low

platelet count was associated with bleeding but not hemorrhagic death.

Bone marrow infltration of blast cells and infection may contribute to thrombocytopenia in patients with APL [\[64](#page-5-15)]. A recent study also found that blast cells from patients with APL express higher levels of PDPN mRNA compared to blast cells from non-APL AML patients [[47\]](#page-4-25). Interestingly, ATRA strikingly reduced PDPN mRNA expression, whereas ATO modestly reduced PDPN mRNA expression in primary APL cells from patients APL [\[47](#page-4-25)]. More studies are needed to determine the role of the PDPN/CLEC-2 pathway in thrombocytopenia and bleeding in APL patients.

### **The PDPN/CLEC‑2 pathway in preclinical studies**

A recent study investigated the role of PDPN in thrombocytopenia and bleeding in a xenograft mouse model [\[47](#page-4-25)]. The investigators overexpressed PDPN in the human AML cell line called OCI-AML5 that does not express PDPN. NSG mice bearing PDPN expressing OCI-AML5 cells exhibited a lower platelet count and prolonged tail bleeding time compared to NSG mice bearing wildtype OCI-AML5 cells [\[47\]](#page-4-25). These data indicate that PDPN by AML cells induces thrombocytopenia and bleeding phenotype in this model. However, this study has some limitations. First, it employed immunodeficient mice that lack interaction between platelets and immune cells. Second, AML cells were used instead of APL cells. Third, PDPN was overexpressed in a human AML cell line, which is highly artifcial and may not represent the pathological level of PDPN in APL. Future studies need to investigate the role of the PDPN/CLEC-2 pathway in thrombocytopenia and bleeding in immunocompetent mice using APL cells that express PDPN.

# **Conclusion**

I propose that the TF, the uPA/uPAR, the AA2/S100/tPA, and the PDPN/CLEC-2 pathways contribute to bleeding in APL patients. However, to date, no studies have evaluated the association between these pathways and bleeding in patients with APL. Mouse models can be used to investigate the mechanisms of coagulopathy and bleeding in APL. However, mouse models have their limitations because no single mouse model mimics all characteristics observed in patients with APL. Further studies are needed to understand the mechanisms of APL-associated coagulopathy and bleeding in patients with APL. A better understanding of these mechanisms will develop new treatments to prevent APLassociated bleeding and death in patients with APL.

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#### **Declarations**

**Conflicts of interest** The author declares that he has no confict of interest.

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