



Deferasirox for the treatment of iron overload after allogeneic hematopoietic cell transplantation: multicenter phase I study (KSGCT1302)

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Abstract

The aim of this study was to assess the safety and optimal dose of deferasirox for the treatment of iron overload after allogeneic hematopoietic cell transplantation (HCT). The primary endpoint was the maximum tolerated dose of deferasirox that was determined by the inpatient dose escalation methods. A total of 16 patients with post-HCT iron overload were enrolled in the study. After excluding one case of early relapse, 15 remained evaluable. Their median age was 42 years (range 22–68). Median time from HCT to deferasirox administration was 9 months (range 6–84). Deferasirox was started at a dose of 5 mg/kg, and the dose was increased to 7.5 and 10 mg/kg every 4 weeks unless there were no grade ≥ 2 of adverse events. Achievement rates of planned medication were 80% in 5 mg/kg (12 of 15), 73% in 7.5 mg/kg (11 of 15), and 60% in 10 mg/kg (9 of 15), respectively. The reasons for discontinuation of the drug were grade 2 of adverse events ($n = 4$), late relapse ($n = 1$), and self-cessation ($n = 1$). None of the patients developed grade ≥ 3 of adverse events or exacerbation of GVHD. Among 11 evaluable cases, mean value of ferritin decreased from 1560 ng/ml pre-treatment to 1285 ng/ml post-treatment. These data suggested that 10 mg/kg of deferasirox may be maximum tolerated dose when given after HCT. Our dose escalation method of deferasirox is useful to identify the optimal dosage of the drug in each patient.

Trial Registration UMIN000011251

Keywords Iron overload · Deferasirox · Post transplantation · Optimal dose

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Introduction

Iron overload after allogeneic hematopoietic cell transplantation (HCT) remains as a major clinical issue. Iron overload is frequently observed prior to HCT and associated with increased morbidity and mortality after HCT. Several studies have also demonstrated the prognostic impact of post-transplant hyperferritinemia and its association with iron overload [1, 2]. Therefore, interventions to reduce excess body iron may be beneficial both before and after HCT. To this end, many studies have investigated iron chelation therapy after HCT [3–8]. Deferasirox is a well-known oral iron chelator and used in iron chelation therapy after HCT. However, the efficacy of deferasirox in this setting remains to be elucidated, and its toxicities prevent its use in transplant patients [3–5]. This could be explained in part by the lack of sufficient data on the optimal dosage of deferasirox, duration of therapy. Therefore, we designed a multicenter phase I study of deferasirox to evaluate the safety in patients with iron overload after HCT.

Methods

Study design

KSGCT1302 (UMIN000011251) was a multicenter, single-arm, open-label phase I study. The objectives were to evaluate the safety and optimal dosage of deferasirox in patients with iron overload after allo-HCT.

Eligibility criteria included time from HCT to enrollment ≥ 6 month after HCT, serum ferritin level $\geq 1,000$ ng/ml, red blood cell (RBC) transfusions ≥ 20 units, disease remission, creatinine (Cr) within upper limit of normal, within grade 1 of aspartate aminotransferase (AST) and alanine aminotransferase (ALT), performance status of ≤ 1 , and unfit for phlebotomy. The exclusion criteria were history of iron chelating therapy after HCT, presence of moderate or severe GVHD, uncontrollable complications such as infection, and history of hepatitis B or C virus infection. Safety of deferasirox was assessed by inpatient dose escalation methods (Fig. 1). Following study registration, deferasirox was administered at a dose of 5 mg/kg for 4 weeks. Then, the dose was increased to 7.5 and 10 mg/kg every 4 weeks. For safety evaluation, patients were followed-up every 2 weeks. Assessment of liver iron content (LIC) was performed at selected sites and patients were examined on the basis of gradient echo techniques reported by Gandon et al. [9]. Treatment failure criteria were defined by the presence of any grade 2–4

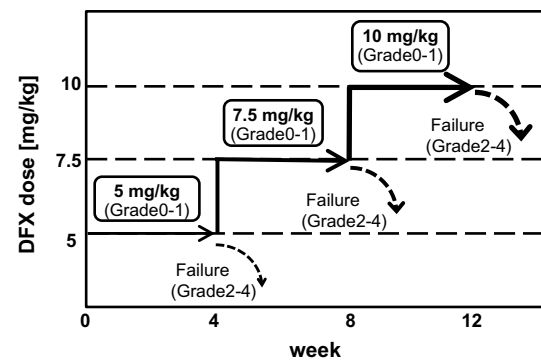


Fig. 1 Inpatient dose escalation method. DFX indicates deferasirox

adverse events and/or disease relapse. Namely, grade 1 adverse events within 4 weeks of treatment were defined as tolerable and successful medication for the planned dose. Security of adverse event severity was graded according to the National Cancer Institute Common Terminology Criteria for Adverse Events (version 4.0). Primary endpoint was the maximum tolerated dose of deferasirox. Secondary endpoints contained the change of biomarkers and the profile of adverse events.

This study was conducted in accordance with the Declaration of Helsinki. The protocol was approved by the institutional review board of each attended site. All patients provided written informed consent prior to enrollment.

Statistical analysis

Analyses were performed with EZR version 1.24 statistical software, which is a graphical user interface for R version 2.13.0. [10]. Biomarkers were examined with repeated-measures ANOVA. Mauchly's test was used for evaluating the sphericity of biomarkers. Maximum tolerated dose was defined from the inpatient maximum dose, in which 50–80% of the eligible patients experienced successful treatment. From a one-sided significant level of $\alpha = 0.05$ and 80% statistical power, the number of patients required was calculated to be 15. The registration target was set at 20 patients, including 5 ineligible patients.

Results

A total of 16 patients were enrolled to the present study between March 2013 and January 2016. One patient was excluded from the analysis due to early relapse and 15 were deemed eligible. Patient characteristics are shown in Table 1. The median age was 42 years (range 22–68). Diseases included acute myeloid leukemia ($n = 6$), acute lymphoblastic leukemia ($n = 2$), aplastic anemia ($n = 2$),

Table 1 Patient characteristics

Case	Age (year)	Sex	Disease	No. of HCT	Disease status at HCT	Conditioning	Donor	HCT-CI	Duration from HCT (month)	PS	Prior acute GVHD	Present chronic GVHD	History of RBC trans. (unit)	History of PC trans. (unit)	Ferritin (ng/ml)	LIC ($\mu\text{mol/g}$)	Concomitant drug
1	22	Male	AA	1	Stage5	Flu/CY/ ATG	UBM	0	8	1	None	No	156	280	7655	> 350	CyA, PSL, PPI, FLCZ, ST, ACV
2	68	Male	MDS overt AML	1	RCMD	Flu/Bu	UBM	4	30	1	None	No	96	110	1348		PSL, PPI, etc.
3	38	Female	ALL	1	CR1	TBI/CY/ VP	UBM	0	9	0	Grade II	No	32	360	1109		PPI, ST, ACV, ITCZ, etc.
4	51	Female	PTCL- NOS	1	PIF	TBI/CY/ VP	UBM	2	6	1	Grade I	No	54	300	1546		PPI, ST, ACV, ITCZ, VitB12, etc.
5	39	Male	CML-BP	1	CP1	TBI/CY	UBM	0	7	1	Grade II	No	42	550	1079		PPI, ST, ACV, etc.
6	67	Male	MDS- RAEB2	1	RAEB2	Flu/Bu	UBM	0	38	1	Grade I	Mild	66	490	3985	> 350	PSL, PPI, ST, ACV
7	64	Female	MDS overt AML	1	CR1	Flu/Bu	RPB	2	15	1	None	Mild	56	380	2799	260	PSL, H2B, ST, ACV, etc.
8	55	Female	AA	1	Stage4	Flu/CY/ ATG	UBM	0	26	0	None	Mild	≥ 72	770	3150		ITCZ, ACV, etc.
9	24	Male	ALL	1	CR1	TBI/CY/ VP	UBM	0	7	0	grade I	No	42	120	1220		FK, PPI, ITCZ, ACV, etc.
10	35	Male	T-LBL	1	CR2	TBI/CY/ VP	CB	5	10	0	Grade III	No	≥ 20	830	4690		FK, PPI, ACV, ITCZ, etc.
11	24	Female	EBV- HLH	2	NR	Flu/Mel	RPB	2	38	0	None	No	148	3880	1560		FK, PPI, ACV, etc.

Table 1 (continued)

Case	Age (year)	Sex	Disease	No. of HCT	Disease status at HCT	Conditioning	Donor	HCT-CI	Duration from HCT (month)	PS	Prior acute GVHD	Present chronic GVHD	History of RBC trans. (unit)	History of PC trans. (unit)	Ferritin (ng/ml)	LIC (μmol/g)	Concomitant drug
12	45	Male	AML	1	CR1	Bu/CY	UBM	0	10	0	Grade III	No	20	310	1199		VitB12, etc.
13	24	Male	AML	1	RLPS1	TBI/CY	RBM	0	6	0	Grade I	Mild	56	645	1537		FK, PSL, PPI, FLCZ, ST, ACV
14	46	Male	AML	1	CR1	TBI/CY/CA	UBM	0	84	1	Grade II	Mild	54	660	1027	220	PPI, CAM, etc.
15	32	Male	AML	1	CR1	TBI/CY	UBM	0	6	0	None	Mild	24	400	1125	130	FK, PPI, ACV, FLCZ, etc.
16	45	Female	AML	2	RCMD	Flu/Mel/CA	RPB	3	1	0	Grade III	Mild	37	450	1158		FK, PSL, PPI, ACV, VRCZ, etc.

HCT indicates hematopoietic cell transplantation; AA aplastic anemia, MDS myelodysplastic syndrome, AML acute myeloid leukemia, ALL acute lymphoblastic leukemia, PTCL-NOS peripheral T-cell lymphoma not otherwise specified, CML-BP chronic myelogenous leukemia in blastic phase, MDS-RAEB myelodysplastic syndrome with excess of blast, T-LBL T-cell lymphoblastic lymphoma, EBV-HLA Epstein Barr virus hemophagocytic lymphohistiocytosis, HCT-CI hematopoietic cell transplantation comorbidity index, RCMD refractory cytopenia with multilineage dysplasia, CR complete remission, PIF primary induction failure, CP chronic phase, NR non-remission, RLPS relapse, Flu fludarabine, Cy cyclophosphamide, ATG anti-thymocyte globulin, Bu busulfan, TBI total body irradiation, VP etoposide, Mel melphalan, CA cytosine arabinoside, UBM unrelated bone marrow, RPB related peripheral blood, CB single unit of umbilical cord blood, RBM related bone marrow, PS performance status at registration, GVHD graft-versus host disease, RBC trans. red blood cell transfusion, PC trans. platelet concentration transfusion, LIC liver iron content, CyA cyclosporin A, PSL prednisolone, PPI proton pump inhibitor, FLCZ fluconazole, ST sulfamethoxazole-trimethoprim, ACV acyclovir, ITCZ itraconazole, VitB12 vitamin B12, H2B H2 blocker, FK tacrolimus, CAM clarithromycin, VRCZ voriconazole

non-Hodgkin's lymphoma ($n = 2$), and others ($n = 3$). The median duration from HCT to deferasirox administration was 9 months (range 6–84). The median RBC transfusion volume was 54 units (range 20–156). The median serum ferritin value was 1537 ng/ml (range 1027–7655). Only two cases (case 1, 7) were RBC transfusion dependent and the others were independent during the study. All the patients had concomitant drugs with deferasirox including immunosuppressant or preventative medication for infection.

Clinical course of all cases is shown in Fig. 2. One patient (case 16) relapsed 2 weeks after starting deferasirox and was excluded from further analysis. In the remaining 15 patients, 9 patients succeeded in reaching to the final 10 mg/kg dose. Six patients were withdrawn during the treatment due to: grade 2 of elevation of AST/ALT/total bilirubin after 5 mg/kg ($n = 3$), late relapse after 7.5 mg/kg ($n = 1$), self-cessation, and grade 2 of nausea/vomiting after 10 mg/kg ($n = 2$). Thus, achievement rates of receiving planned dose of deferasirox were 80% after 5 mg/kg (12/15), 73% after 7.5 mg/kg (11/15), and 60% after 10 mg/kg (9/15), respectively.

Changes in the mean value of biomarkers for evaluating iron overload were evaluated among 11 patients (Fig. 3). The mean value of serum ferritin decreased from 1560 ng/ml pre-treatment to 1,285 ng/ml post-treatment ($P = 0.007$). Changes in the other iron-related markers were as follows: unsaturated iron binding capacity from 90 to 142 μ g/dl, hemoglobin from 12.1 to 12.6 g/dl, and transferrin from 167 to 225 mg/ml. Changes in the markers for organ function were as follows: Cr from 0.80 to 1.05 mg/dl, and ALT from 26 to 28 IU/l. LIC between pre- and post-therapy was only measured in 3 cases, and the mean LICs before and after the treatment were 200 μ mol/g, and did not differ significantly.

All adverse events observed in all eligible patients are listed in Table 2. Besides the grade 2 of adverse events described above, grade 1 of adverse events was observed

in 12 cases, including elevated Cr (60%), AST (40%), ALT (40%), and alkaline phosphatase (33%), diarrhea (27%), abdominal pain (20%), skin rash (20%), constipation (20%), and nausea (13%). None of the patients developed exacerbation of GVHD or grade ≥ 3 of adverse events.

Discussion

To the best of our knowledge, this the first report evaluating the maximum tolerated dose of deferasirox for transplant patients with iron overload in a multicenter prospective study. Using an inpatient dose escalation method, we observed that the rate of successful medication decreased with the increasing the dose of deferasirox, and we concluded the maximum tolerated dose of deferasirox as 10 mg/kg after HCT. In the previous studies, the average dose of deferasirox administrated was under 10 mg/kg, and some patients discontinued due to adverse events [3, 4]. Thus, our results seem consistent with those previous findings.

One of the characteristics of this protocol was the strict criteria for selecting patients with iron overload. Hyperferritinemia related to HCT is known to be influenced by several factors, including liver dysfunction, inflammatory disease, and GVHD [11]. Referring to the Japanese guideline for iron overload, the iron overload was defined according to both elevated serum ferritin ($\geq 1,000$ ng/ml) and a severe history of RBC transfusion (≥ 20 units) [12]. The evaluation of LIC is an ideal tool to define the status of iron overload, but it is not practical, because the technique is unavailable or limited to selected sites during post-transplant clinical care. We also excluded patients with moderate or severe chronic GVHD, non-remission disease, renal dysfunction, or grade 2 of liver dysfunction for precise evaluation. To examine the safety of deferasirox, the initiating time of iron chelating therapy has not established yet. The balance between early intervention and safety was discussed during the study planning. Finally, the starting time was set at 6 months after HCT. Along with the short interval of clinical care and the cost burden, it takes 3 years to enroll 16 patients in this study. Beginning at a low dose of deferasirox and performing inpatient dose escalation in the present study was designed to ensure safety and continuity to avoid early discontinuation. Although the clinical significance remains unresolved, we think that the study could provide important data on the timing, eligibility, and optimal dosage for iron chelating therapy in patients with iron overload after HCT.

Because of the study design, it may be inappropriate to evaluate the efficacy of deferasirox in the present study, as the duration of chelation is too short. Nevertheless, the mean value of ferritin decreased during the study period. In addition, related iron biomarkers, such as transferrin or unsaturated iron binding capacity, also improved. These

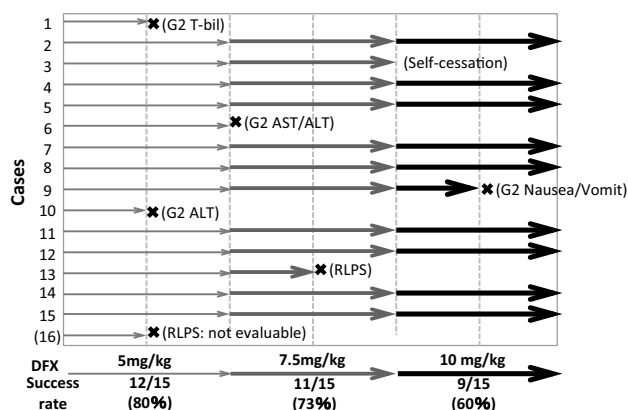
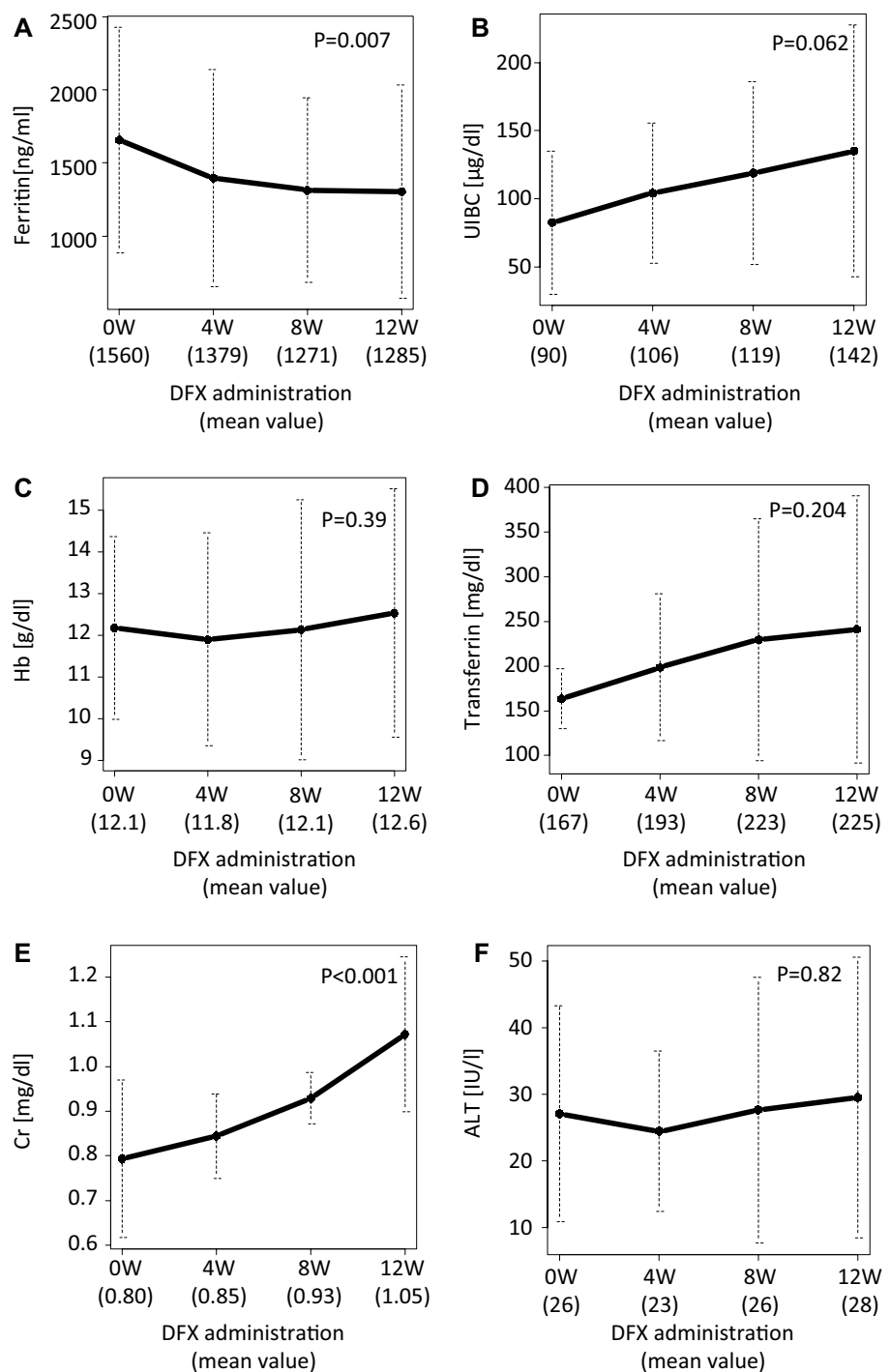


Fig. 2 Clinical courses of DFX treatment. DFX indicates deferasirox; G2 grade 2 of adverse events, *T-bil* total bilirubin, *AST* aspartate aminotransferase, *ALT* alanine aminotransferase, *RLPS* relapse

Fig. 3 Changes in biomarker levels during the treatment ($n = 11$): **a** serum ferritin (SF). **b** Unsaturated iron binding capacity (UIBC). **c** Hemoglobin (Hb). **d** Transferrin. **e** Creatinine. (Cr) **d** Alanine aminotransferase (ALT)



findings are similar to those reported in the previous studies [4, 5]. These findings suggest that deferasirox may be effective as a treatment for iron overload. However, accurate interpretation of the present results is difficult, as naturally excreting iron or an improvement in inflammation status may have contributed to the decrease in ferritin. To appropriately evaluate these findings, matched comparison with a non-chelation control group would be required.

Regarding the safety of deferasirox, despite the initial 12 weeks of administration of deferasirox and the strict selection criteria, several grade 1 or 2 adverse events occurred. Those adverse events included gastrointestinal events, such as diarrhea, nausea or vomiting, skin rash, and liver and renal dysfunction. All the adverse events were also reported in the previous studies. Continuous elevation of Cr levels was observed in the late phase of the study, suggesting

Table 2 Adverse events observed during study period

Case	2 weeks (5 mg/kg)	4 weeks (5 mg/kg)	6 weeks (7.5 mg/kg)	8 weeks (7.5 mg/kg)	10 weeks (10 mg/kg)	12 weeks (10 mg/kg)
1	T-bil (G2)					
2	Hematuria				Cr	Diarrhea, rush, Cr
3						
4	Fever, myalgia					
5						Cr/AST/ALT
6	Diarrhea, constipation, nausea, Cr/AST/ALT/ALP	AST/ALT (G2)				
7	Stomachache, dysgeusia		Constipation	Constipation		
8	Rush, Cr/AST/ALT/ALP	Rush, AST/ALT/ALP	Rush, Cr/AST/ALT/ALP	Rush, Cr/ALT	Rush, Cr/ALT	rush, Cr/ALT
9	Nausea, stomachache, ALP	Stomachache, ALP	Stomachache, ALP	Nausea, stomachache, ALP	Vausea, vomiting (G2)	
10	ALT(G2)					
11			Cr	Cr	Cr	Cr
12					Stomachache, Cr	Cr
13	Rush, otitis media					
14	Diarrhea, dizziness, AST/ALP	Diarrhea, AST/ALP	Cr/AST/ALP	AST/ALT/ALP	Constipation, Cr/AST/ALT/ALP	Cr/AST/ALT/ALP
15		Diarrhea	Diarrhea, AST	AST/ALT	Cr	Cr

The grade of adverse events without grading was all 1

AST indicates aspartate aminotransferase, ALT alanine aminotransferase, ALP alkaline phosphatase, Cr creatinine, G2 grade 2

that the dose of deferasirox should not be increased over 10 mg/kg. Renal impairment has also been reported as the most frequent adverse events in the previous studies and this may be the highest barrier to maintaining deferasirox therapy [4, 5]. Indeed, all the patients had concomitant drugs with renal damage including immunosuppressant or preventative medication. These findings may explain the difference of adverse events among transplant and non-transplant patients. Nevertheless, no grade 3 adverse events were found in our study, and suggesting that the inpatient dose escalation method deemed to be reasonable approach for durable treatment with deferasirox.

There were several limitations to the present study. First, the patients were all Japanese and their characteristics were heterogeneous. Second, the dose and the duration of deferasirox were limited to 10 mg/kg and 12 weeks. Thus, higher doses and longer duration were not validated. From our pilot study, deferasirox over 10 mg/kg was estimated to be difficult to use for transplant patients due to adverse events. Moreover, patients after 6 month were almost transfusion independent and the effectiveness was sufficiently preserved even in 10 mg/kg of deferasirox. Third, the present evaluation of iron overload was insufficient. Other parameters to measure iron deposition, such as MRI, should be included during future investigations. Finally, although not included as endpoints, the evaluation of outcomes, including survival,

relapse, non-relapse mortality, or late complications, was lacking. A benefit has not been established for the therapeutic intervention of iron overload. Therefore, it is important to elucidate the purpose of the treatment for iron overload, be it controlling late complications, preserving organ function or outcome-based, including survival, disease relapse, or non-relapse mortality.

Conclusion

With the inpatient dose escalation method, we concluded that the maximum tolerated dose is likely to be 10 mg/kg of deferasirox when given after HCT. Further studies are warranted to assess the efficacy of iron chelation therapy with the optimal dose of deferasirox defined in each patient by the method used in this study.

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Author contribution TT is the primary investigator and designed the protocol, managed the clinical trial, and wrote the manuscript. JK, SMachida, MTanaka, and MTakeuchi contributed to the protocol design and patient enrollment. TS, YN, SK, TM, and EY contributed to case registration. SMorita contributed to biomedical statistics. YK

and HK contributed to study design and management. SO supervised the study.

Compliance with ethical standards

Conflict of interest All authors except the two below have no conflict of interest to declare: Yuho Najima: personal financial interest on speakers' bureaus from Novartis; Satoshi Morita: personal financial interest on speakers' bureaus from Novartis.

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