



Evolution and analysis of gas sorption-induced coal fracture strain data

Zhanghao Liu^{1,2,3} · Jishan Liu⁴ · Pengzhi Pan¹ · Derek Elsworth⁵ · Mingyao Wei⁶ · Rui Shi⁷

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Abstract

Although coal swelling/shrinking during coal seam gas extraction has been studied for decades, its impacts on the evolution of permeability are still not well understood. This has long been recognized, but no satisfactory solutions have been found. In previous studies, it is normally assumed that the matrix swelling/shrinking strain can be split between the fracture and the bulk coal and that the splitting coefficient remains unchanged during gas sorption. In this study, we defined the fracture strain as a function of permeability change ratio and back-calculated the fracture strains at different states. In the equilibrium state, the gas pressure is steady within the coal; in the non-equilibrium state, the gas pressure changes with time. For equilibrium states, the back-calculated fracture strains are extremely large and may be physically impossible in some case. For non-equilibrium states, two experiments were conducted: one for a natural coal sample and the other for a reconstructed one. For the fractured coal, the evolution of permeability is primarily controlled by the transition of coal fracture strain or permeability from local matrix swelling effect to global effect. For the reconstituted coal, the evolution of pore strain or permeability is primarily controlled by the global effect.

Keywords Coal seam gas · Fracture strain · Experimental data · Equilibrium state · Non-equilibrium state

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✉ Jishan Liu
jishan.liu@uwa.edu.au

- ¹ State Key Laboratory of Geomechanics and Geotechnical Engineering, Institute of Rock and Soil Mechanics, Chinese Academy of Sciences, Wuhan 430071, China
- ² University of Chinese Academy of Sciences, Beijing 100049, China
- ³ School of Energy and Mining Engineering, China University of Mining and Technology (Beijing), Beijing 100083, China
- ⁴ School of Mechanical and Chemical Engineering, The University of Western Australia, 35 Stirling Highway, Crawley, WA 6009, Australia
- ⁵ Department of Energy and Mineral Engineering, G3 Centre and Energy Institute, The Pennsylvania State University, University Park, PA 16802, USA
- ⁶ IoT Perception Mine Research Center, China University of Mining and Technology, Xuzhou 221116, Jiangsu, China
- ⁷ Key Laboratory of Tectonics and Petroleum Resources, Ministry of Education, China University of Geosciences, Wuhan 430074, China

List of symbols

b_0	Initial fracture aperture (m)
C_f	Fracture compressibility (Pa^{-1})
$C_{b\sigma}$	Bulk compressibility with respect to stress (Pa^{-1})
$C_{p\sigma}$	Fracture compressibility with respect to stress (Pa^{-1})
C_m	Compressibility of the matrix (Pa^{-1})
E	Young's modulus of rock (Pa)
E_f	Young's modulus of fracture (Pa)
E_m	Young's modulus of matrix (Pa)
f	A fraction (0–1)
k	Current permeability of rock (m^2)
k_0	Initial permeability of rock (m^2)
k_{is}	Permeability of the i pressure point measured with adsorbent gas
k_{1s}	Permeability of the first pressure point measured with adsorbent gas
k_{ins}	Permeability of the i pressure point measured with non-adsorbing gas
k_{1ns}	Permeability of the first pressure point measured with non-adsorbing gas
K	Bulk modulus of rock (Pa)
K_f	Bulk modulus of fracture (Pa)
K_m	Bulk modulus of matrix (Pa)
K_n	Stiffness of void (Pa/m)

M	Constrained axial modulus (Pa)
p	Pore pressure (Pa)
p_0	Pore pressure at infinite (Pa)
p_f	Pressure in the fracture (Pa)
p_{f0}	Initial pressure in the fracture (Pa)
p_m	Pressure in the matrix (Pa)
p_{m0}	Initial pressure in the matrix (Pa)
p_L	Langmuir pressure (Pa)
s	Fracture spacing (m)
S	The mass of adsorbate per unit volume of coal (kg/m ³)
V_b	The volume of bulk rock (m ³)
V_f	The volume of fractures (m ³)
V_m	The volume of matrix (m ³)
α_m	Biot coefficient for matrix
α_f	Biot coefficient for fracture
γ	The ratio of fracture strain caused by adsorption to the bulk strain caused by adsorption
ϵ_b	Bulk strain
ϵ_{b0}	Initial bulk strain
ϵ_f	Fracture strain
ϵ_{f0}	Initial fracture strain
ϵ_{mb}	Bulk strain caused by the mechanistic tractions
ϵ_{mf}	Fracture strain caused by the mechanistic tractions
ϵ_{sb}	Bulk strain caused by adsorption
ϵ_{sb0}	Initial bulk strain caused by adsorption
ϵ_{sf}	Fracture strain caused by adsorption
ϵ_{sf0}	Initial fracture strain caused by adsorption
ϵ_{sL}	Langmuir volumetric strain
ϵ_v	Volumetric strain
ξ	Volumetric swelling coefficient
σ	Total stress (Pa)
σ_c	Confining pressure (Pa)
σ_h	Axial pressure (Pa)
ν	Poisson's ration of rock
ϕ_0	Initial porosity of rock
ϕ	Porosity of rock
ϕ_{f0}	Initial porosity of fracture

1 Introduction

Coal permeability has been widely studied due to its vital importance for the effective extraction of coal seam gas. Coal is a typical dual porosity/permeability system containing porous matrix surrounded by fractures (Liu et al. 2011a). In the coal matrix, there are a large number of interconnected pores that serve as the storehouse for methane in adsorbed form which can cause coal swelling/shrinkage (Mitra et al. 2011). Coal swelling/shrinkage due to gas adsorption/desorption is a well-known phenomenon (Pan and Connell 2011), which changes the coal cleat apertures (Liu et al. 2011b; Wei et al. 2019a) and plays an important

role in the alteration of permeability (Zang et al. 2015; Liu and Harpalani 2013). In some experiments, the permeability variation due to coal swelling/shrinkage may exceed 70% (Pan et al. Pan et al. 2010, Harpalani and Schraufnagel 1990) and even more than 90% (Wei et al. 2019b). Therefore, understanding how to quantitatively describe this influence is crucial for the evaluation of both primary gas production from coal reservoirs and for CO₂-enhanced coalbed methane recovery (ECBM) (Bergen et al. 2009b).

The first reported study of coal-matrix volumetric response to sorption of gas can be traced back to Moffat and Weale (1955). After that, the coal-matrix swelling/shrinkage has been quantified in the laboratory by several researchers (Ottiger et al. 2008; Pini et al. 2009a; Bergen et al. 2009a; Liu and Harpalani 2013). Levine (1996) used a Langmuir-type model to fit the strain data and reservoir pressure. Since then, many permeability models have used the Langmuir equation to represent the bulk sorption strain. In addition, matrix permeability is typically eight orders of magnitude lower than the permeability of the fracture system (Robertson 2005; Gamson et al. 1996). Thus, the permeability depends on its fracture system.

Significant experimental efforts have been made to investigate coal permeability and its evolution (Chen et al. 2013). Based on experimental observations, a variety of coal permeability models have been formulated to define the impact of shrinkage/swelling and match experimental data. In the review of interaction of multiple processes (Liu et al. 2011a), these permeability models are classified into two groups: permeability models under uniaxial strain conditions and permeability models under variable stress conditions.

For uniaxial strain conditions, Gray (1987) firstly attempted to quantify the role of stresses on the evolution of coal-reservoir permeability, and then incorporated swelling/shrinkage effects into the estimation of effective stress by the elastic relation between stress and strain changes within the coal. In this research, it was assumed that reservoir pressure-induced coal-matrix shrinkage is directly proportional to changes in the equivalent sorption pressure. Harpalani and Chen (1997) measured the methane permeability and volumetric strain of a cylindrical specimen under constant effective stress. The results showed that sorption-induced permeability change was linearly proportional to volumetric strain. Seidle and Huitt (1995) assumed matrix swelling and shrinkage are proportional to the amount of gas adsorbed on the coal matrix, not the gas pressure, and that in situ coal deposits can be represented by a matchstick geometry. Under this assumption, a permeability model as a function of sorption-induced volumetric strain was developed, in which simply considered that a change in the length of a matrix block (resulting from swelling or shrinkage) causes an equal, but opposite change in the fracture aperture. Palmer and Mansoori (1996) used the relationship between porosity

and pore volume strain and established the permeability evolution model with elastic moduli, initial porosity, sorption isotherm parameters, and pressure drawdown as variable under the assumptions of uniaxial strain and constant overburden stress. Shi and Durucan (2004) followed the research idea that the desorption of methane changes the volumetric strain, the horizontal stress, and the permeability, converted the adsorption expansion strain into the change in effective stress, and established the relationship between permeability and adsorption expansion strain (Gu and Chalaturnyk 2006).

In order to more readily represent the routine conditions for laboratory testing, a series of permeability models under variable stress conditions were established. Zhang et al. (2008) developed an effective strain-based coal permeability model, which can be applied to any boundary conditions. In this article, it was assumed that the sorption-induced matrix strain is the same as the sorption-induced fracture strain. Connell et al. (2010) distinguished the sorption strain of the coal matrix, the pores (or the cleats) and the bulk coal and derived several different forms of permeability models for the laboratory tests (Liu et al. 2018; Cui et al. 2018). However, the fracture strain and the matrix strain are more difficult to measure. Liu et al. (2010) innovatively introduced a new concept of internal swelling stress to consider fracture–matrix interaction during coal deformation processes and concluded that only a fraction of matrix strain resulting from swelling (or shrinkage) contributed to fracture aperture change under certain conditions. A parameter, f , which is the ratio of the strain corresponding to the internal swelling stress to internal swelling strain, was introduced. f is a constant between zero and one and associated with matrix block connectivity within coal seams. Similarly, Chen et al. (2012) thought only a part of total swelling strain contributes to fracture aperture change and the remaining portion of the swelling strain contributes to coal bulk deformation, and a partition factor is also introduced to estimate this contribution.

As reviewed above, there is a large collection of coal permeability models from empirical ones to theoretical ones. Table 1 shows some representative ones. The key to solve the permeability evolution is to establish the relationship between the adsorption expansion strain and fracture strain. Bulk strain is easy to measure by a strain gauge, while fracture strain is difficult to measure by direct experiments due to its small size and its location in the sample. Therefore, simplified models (such as capillary tubes, matchstick model and cube model) or assumptions are used for quantitative expression of fracture strain. Depending on assumptions, fracture strains can be roughly estimated:

- (1) Permeability models are developed under uniaxial strain boundary conditions or constant volume boundary conditions (Robertson and Christiansen 2007; Zang

et al. 2015; Chen et al. 2012; Liu and Rutqvist 2010). For constant volume boundary conditions, 100% of coal swelling due to the adsorbed gas injection should contribute to the decrease in cleat apertures and coal permeability (Qu et al. 2014). For uniaxial strain conditions, the fracture strain can be calculated based on the evolution of permeability. However, these assumptions may not always be satisfied within the reservoir as discussed by Durucan and Edwards (1986). Furthermore, most of the samples at the laboratory scale are under stress-controlled conditions rather than under constant volume or uniaxial strain conditions (Shi et al. 2018). These assumptions may overestimate the effect of gas sorption on permeability under stress control conditions where the coal sample can expand outward (Robertson and Christiansen 2007; Zang et al. 2015; Chen et al. 2012; Liu and Rutqvist 2010).

- (2) Permeability models are developed under conditions of variable stress. In these cases, a partition factor is normally introduced (Chen et al. 2012, Liu and Rutqvist 2010). The partition factor is used to estimate the fracture strain. However, there are two drawbacks to this treatment: (1) This approach fails to fully resolve the problem of fracture strain because it does not consider the true matrix–fracture interactions (Zhang et al. 2018). In addition, this value is usually fitted to an optimal solution through the permeability data without considering the influence of external factors; (2) the initial porosity of the cleat is required for the calculation of fracture strains. However, the fracture porosity cannot be directly measured (Shi et al. 2014).
- (3) It was assumed that the sorption-induced strain for the coal is the same as for the fracture strain (Zhang et al. 2008; Liu et al. 2010, 2011b; Wu et al. 2011). Under this assumption, the adsorption strain will have no effect on the porosity change and permeability evolution, which is not completely consistent with the experimental results.

In this study, we developed fracture strain models to back-calculate the evolution of fracture strain based on the measured permeability data. The back-calculated strains were analyzed both at the equilibrium and non-equilibrium states and discussed their implication on the validity of coal permeability models.

2 Model formulation of fracture strain data

In this section, we first derive a permeability model applicable to any boundary conditions starting from volumetric balance. Then the fracture strain due to matrix adsorption under general conditions is calculated according to the permeability data.

Table 1 The summary of permeability expressions

Assumption	Author	Permeability model	γ
Constant volume condition	Seidle and Huit (1995)	$\frac{k}{k_0} = \left\{ 1 + \left(1 - \frac{2}{\phi_0} \right) \epsilon_{mb} \right\}^3$	$\frac{2}{\phi_0}$
	Qiang et al. (2011)	$\frac{k}{k_0} = \frac{\left\{ 1 + \frac{2 \times \left(-1 + \sqrt{1 + (\epsilon_{sb} - \epsilon_{sb0}) + \frac{1-\nu}{E} \Delta p} \right)}{\phi_0} \right\}^3}{2 - \sqrt{1 + (\epsilon_{sb} - \epsilon_{sb0}) - \frac{1-\nu}{E} \Delta p}}$	$\frac{1 - \phi_0}{\phi_0}$
	Wang et al. (2012)	$\frac{k}{k_0} = \left\{ 1 + \frac{\alpha_f - \alpha_m}{(1 + K_m s/E_m)} \frac{s \Delta p}{b_0 E_m} \right\}^3 + \left\{ 1 - \frac{3}{\phi_0} (\epsilon_{sb} - \epsilon_{sb0}) \right\}^3$	$\frac{3}{\phi_0}$
Uniaxial strain condition	Palmer and Mansoori (1996)	$\frac{k}{k_0} = \left\{ 1 - \frac{1}{M \phi_0} (p - p_0) + \frac{1}{\phi_0} \left(\frac{K}{M} - 1 \right) \Delta \epsilon_{sb} \right\}^3$	$\frac{1}{\phi_0} \left(\frac{K}{M} - 1 \right) - 1$
	Gilman and Beckie (2000)	$\frac{k}{k_0} = \exp \left\{ -\frac{3}{E_f} \left(\frac{\nu}{1-\nu} \Delta p + \frac{E}{1-\nu} \Delta S \right) \right\}$	–
	Shi and Durucan (2004)	$\frac{k}{k_0} = \exp \left\{ -3 \left[\frac{C_f \times \nu}{1-\nu} \Delta p + \frac{C_f \times E}{3(1-\nu)} \Delta \epsilon_{sb} \right] \right\}$	$\frac{C_f \times E}{3(1-\nu)} - 1$
	Cui and Bustin (2005)	$\frac{k}{k_0} = \exp \left\{ -\frac{3}{K_f} \left[\frac{1+\nu}{3(1-\nu)} \Delta p + \frac{2E}{9(1-\nu)} \Delta \epsilon_{sb} \right] \right\}$	$\frac{2E}{9K_f(1-\nu)} - 1$
	Robertson and Christiansen (2006)	$\frac{k}{k_0} = \exp \left\{ 3 \left(C_f \Delta p + \frac{3}{\phi_0} \left[\frac{(1-2\nu)}{E} \Delta p - \frac{\epsilon_L p_L}{(p_L - p_{f0})} \ln \left(\frac{p_L + p_f}{(p_L - p_{f0})} \right) \right] \right) \right\}$	$\frac{3}{\phi_0} - 1$
Stress boundary conditions	Connell et al. (2010)	$\frac{k}{k_0} = \left\{ 1 - C_f (\Delta \sigma - \Delta p) - (1 - \gamma) \Delta \epsilon_{sb} \right\}^3$	γ
	Liu et al. (2010)	$\frac{k}{k_0} = \exp \left\{ -3 C_f \left[(\Delta \sigma - \Delta p) + \frac{f}{\phi_0} \Delta \epsilon_{sb} \right] \right\}$	$\left(\frac{f}{\phi_0} - 1 \right) / 3$
	Chen et al. (2012)	$\frac{k}{k_0} = \left\{ \exp \left(C_f (\Delta \sigma - \Delta p) \right) - \frac{f}{\phi_0} \Delta \epsilon_{sb} \right\}^3$	$\frac{f}{\phi_0} - 1$
	Lu et al. (2016)	$\frac{k}{k_0} = \exp \left\{ -3 C_f \left[(\Delta \sigma - \Delta p_f) + f \frac{E}{(1-2\nu)} \Delta \epsilon_{sb} \right] \right\}$	$f \frac{C_f E}{(1-2\nu)}$
	Liu et al. (2014)	$\frac{k}{k_0} = \left\{ 1 - \frac{1}{M \phi_{f0}} \left[\alpha_f (p_f - p_{f0}) + \alpha_m (p_m - p_{m0}) \right] + \frac{1}{\phi_{f0}} \left(\frac{K}{M} - 1 \right) \Delta \epsilon_{sb} \right\}^3$	$\frac{1}{\phi_0} \left(\frac{K}{M} - 1 \right) - 1$
	Guo et al. (2014)	$\frac{k}{k_0} = \left\{ 1 - \frac{\alpha}{\phi_0 K} (\Delta \sigma - \Delta p) - \frac{f}{\phi_0} \Delta \epsilon_{sb} \right\}^3$	$\frac{f}{\phi_0} - 1$
All boundary conditions	Zhang et al. (2008)	–	1
	Wu et al. (2011)	$\frac{k}{k_0} = \left\{ 1 - \frac{3}{\phi_{f0} + \frac{3K_f}{K}} [\Delta \epsilon_{sb} - \epsilon_v] \right\}$	1
Fit experimental data	Harpalani and Chen (1997)	$\frac{k}{k_0} = \alpha \epsilon_{mb}$	–

Finally, the fracture strain calculation methods are developed under a spectrum of boundary conditions.

2.1 A fracture-strain-based permeability model

The volumetric balance between the volume of bulk rock (V_b), the matrix volume (V_m), and fracture (the cleat for coal in this work) volume (V_f), is $V_b = V_m + V_f$. The porosity of the rock ϕ is defined as $\phi = V_f / V_b$, and can be differentiated,

$$d\phi = \frac{V_f}{V_b} \left(\frac{dV_f}{V_f} - \frac{dV_b}{V_b} \right) = \phi (d\epsilon_f - d\epsilon_b) \tag{1}$$

In Eq. (1), $d\epsilon_f = dV_f / V_f$ is the fracture strain, $d\epsilon_b = dV_b / V_b$ is the bulk strain. ϕ_0 is the porosity of the coal at a reference state. Integration of Eq. (1) leads to the following,

$$\frac{\phi}{\phi_0} = \exp[(\epsilon_f - \epsilon_{f0}) - (\epsilon_b - \epsilon_{b0})] \tag{2}$$

Total fracture strain ε_f and total bulk strain ε_b can be decomposed into two parts (Connell et al. 2010; Pan and Connell 2012); one that is caused by the mechanistic tractions (denoted by ε_{mb} and ε_{mf} , respectively) and the other by the gas sorption (denoted by ε_{sb} and ε_{sf} , respectively), so Eq. (2) can be written as

$$\varepsilon_f - \varepsilon_{f0} = \Delta\varepsilon_{mf} + \Delta\varepsilon_{sf} \text{ and } \varepsilon_b - \varepsilon_{b0} = \Delta\varepsilon_{mb} + \Delta\varepsilon_{sb} \quad (3)$$

where ε_{mb} and ε_{mf} denote the mechanistic strains of rock and fracture, respectively; ε_{sb} and ε_{sf} are the strains of rock and fracture introduced by matrix swelling due to gas sorption.

The mechanistic strains of rock ε_{mb} and mechanistic strains of fracture ε_{mf} in Eq. (3) can be expressed as (Zimmerman and Bodvarsson 1996; Pan and Connell 2012).

$$\Delta\varepsilon_{mb} = C_{b\sigma}(\Delta\sigma - \Delta p) + C_m \Delta p \quad (4)$$

and

$$\Delta\varepsilon_{mf} = C_{p\sigma}(\Delta\sigma - \Delta p) + C_m \Delta p \quad (5)$$

where $C_{b\sigma} = -(\partial V_b / \partial \sigma)_p / V_b$, $C_{p\sigma} = -(\partial V_f / \partial \sigma)_p / V_f$, σ is the mean stress.

Substituting Eq. (3), Eq. (4) and Eq. (5) into Eq. (2), one obtains

$$\frac{\phi}{\phi_0} = \exp\left\{(C_{b\sigma} - C_{p\sigma})(\Delta\sigma - \Delta p) + (\Delta\varepsilon_{sf} - \Delta\varepsilon_{sb})\right\} \quad (6)$$

Experiments show that the coal permeability varies with porosity as follows (Wu et al. 2010; Cui and Bustin 2005):

$$k = k_0 \left(\frac{\phi}{\phi_0}\right)^3 \quad (7)$$

Substituting Eq. (6) into Eq. (7), one obtains

$$\frac{k}{k_0} = \exp\left\{3[(C_{b\sigma} - C_{p\sigma})(\Delta\sigma - \Delta p) + (\Delta\varepsilon_{sf} - \Delta\varepsilon_{sb})]\right\} \quad (8)$$

Similarly, if we use the cubic form, Eq. (8) can be written as

$$\frac{k}{k_0} = \left\{1 - (C_{b\sigma} - C_{p\sigma})(\Delta\sigma - \Delta p) + (\Delta\varepsilon_{sf} - \Delta\varepsilon_{sb})\right\}^3 \quad (9)$$

When considering the gas diffusion process and the dynamic permeability in the process of gas injection, p in Eq. (9) is a function of time and is labeled $p(t)$, so Eq. (9) can be written as

$$\frac{k}{k_0} = \exp\left\{3[(C_{b\sigma} - C_{p\sigma})(\Delta\sigma - \Delta p(t) + (\Delta\varepsilon_{sf}(t) - \Delta\varepsilon_{sb}(t))]\right\} \quad (10)$$

2.2 A general model of fracture strains

The sorption-induced volumetric strain ε_{sb} in Eq. (3) is fitted into Langmuir-type curves and has been verified through experiments (Levine 1996; Pan and Connell 2007). A Langmuir equation used to calculate this volumetric strain ε_{sb} is defined as

$$\varepsilon_{sb} = \varepsilon_{sL} \frac{p}{p + p_L} \quad (11)$$

and

$$\Delta\varepsilon_{sb} = \varepsilon_{sL} \left(\frac{p}{p + p_L} - \frac{p_0}{p_0 + p_L} \right) \quad (12)$$

where the Langmuir volumetric strain, ε_{sL} , is a constant representing the volumetric strain at infinite pore pressure and the Langmuir pressure constant, p_L , representing the pore pressure at which the measured volumetric strain is equal to $0.5\varepsilon_{sL}$.

The fracture strain ε_{sf} represents the compression strain of fracture during matrix adsorption and expansion of coal sample, which directly affects the opening change in cleat and plays an important role in permeability evolution. However, it cannot be measured directly. The bulk strain caused by adsorption is usually expansion strain, but the fracture strain is usually compression strain. In order to facilitate the comparison of the two strain values, we define the compressive strain is positive. So Eq. (8) can be written as:

$$\frac{k}{k_0} = \exp\left\{3[(C_{b\sigma} - C_{p\sigma})(\Delta\sigma - \Delta p) + (-\Delta\varepsilon_{sf} - \Delta\varepsilon_{sb})]\right\} \quad (13)$$

Therefore, we can define a parameter γ , the ratio of fracture strain and bulk strain, which is used to represent the relationship between fracture strain and bulk strain. It is defined as

$$\gamma = \frac{\varepsilon_{sf}}{\varepsilon_{sb}} \quad (14)$$

Substituting Eq. (14) into Eq. (13) leads to

$$\frac{k}{k_0} = \exp\left\{3(C_{b\sigma} - C_{p\sigma})(\Delta\sigma - \Delta p)\right\} \times \exp\left\{-3(1 + \gamma)\Delta\varepsilon_{sb}\right\} \quad (15)$$

The first term on the right-hand side of Eq. (15) represents the poromechanical effects on permeability, and the second term on the right-hand side of Eq. (15) represents the effects of matrix swelling/shrinking on permeability

Transforming Eq. (13) yields

$$\Delta \epsilon_{sf} = - \left\{ \frac{1}{3} \ln \left(\frac{k}{k_0} \right) - (C_{b\sigma} - C_{p\sigma})(\Delta \sigma - \Delta p) + \epsilon_{sL} \left(\frac{p}{p + p_L} - \frac{p_0}{p_0 + p_L} \right) \right\} \quad (16)$$

2.3 Fracture strain models under different boundary conditions

Equations (13), (14) and (15) can be applied to specific boundary conditions. At present, boundary conditions are usually classified into two groups: uniaxial strain boundary conditions and variable stress boundary conditions. Variable stress boundary conditions can be further divided into three cases: constant pore pressure and varying confining pressure (abbreviation for CPP), constant confining pressure and varying pore pressure (abbreviation for CCP), varying confining pressure and varying pore pressure by a constant difference (abbreviation for CES). In this study, we focus on the effect of matrix adsorption expansion on fracture strain.

2.3.1 Fracture strain under constant effective stress conditions

Under CES boundary conditions, since $\Delta \sigma - \Delta p = 0$, Eq. (13) can be simplified:

$$\frac{k_{is}}{k_{1s}} = \exp \left\{ 3(-\epsilon_{sf} - \epsilon_{sb}) - (-\epsilon_{sf0} - \epsilon_{sb0}) \right\} \quad (17)$$

where k_{is} and k_{1s} , respectively, refer to the core permeability of the i pressure point and the first pressure point, which were measured with adsorbent gas.

As shown in Eq. (17), the change in coal permeability is defined only by the swelling strain, that is why the primary goal of CES tests is to measure the influence of gas adsorption/desorption on the evolution of coal permeability and the associated processes (Shi et al. 2018).

For simplicity, the lowest pressure point of each test with adsorbing gas is selected as the reference point, with the initial pressure as p_{01} and the initial permeability as k_{01} , and i as the number of the test points. If assuming $\epsilon_{sb0} = \epsilon_{sf0} = 0$, then the above equation can be derived

$$\epsilon_{sb} = \epsilon_{sL} \times \left(\frac{p}{p + p_L} - \frac{p_0}{p_0 + p_L} \right) \quad (18)$$

$$\epsilon_{sf} = - \left\{ \frac{1}{3} \times \ln \left(\frac{k_{is}}{k_{1s}} \right) + \epsilon_{sL} \times \left(\frac{p}{p + p_L} - \frac{p_0}{p_0 + p_L} \right) \right\} \quad (19)$$

2.3.2 Fracture strain under constant confining pressure conditions

Under CCP boundary conditions, since $\Delta \sigma = 0$, Eq. (13) can be simplified:

$$\frac{k_{is}}{k_{1s}} = \exp \left\{ 3 \left[(C_{b\sigma} - C_{p\sigma})(-\Delta p) + (-\Delta \epsilon_{sf} - \Delta \epsilon_{sb}) \right] \right\} \quad (20)$$

As shown in Eq. (20), the coal permeability can change significantly due to the effect of pore pressure changes and sorption strain during adsorbing gas injection (e.g., CH₄ and CO₂). However, when the non-adsorbing gas (e.g., He and Ar) is injected, the adsorption strain of matrix can be regarded as negligible, and Eq. (20) can be reduced to Eq. (21)

$$\frac{k_{ins}}{k_{1ns}} = \exp \left\{ 3(C_{b\sigma} - C_{p\sigma})(-\Delta p) \right\} \quad (21)$$

where k_{ins} and k_{1ns} , respectively, refer to the core permeability of the i pressure point and the first pressure point, which were measured with non-adsorbing gas.

Comparing Eqs. (20) and (21), the difference of coal permeability between injected adsorbing gas and non-adsorbing gas is caused by matrix strain at the same pore pressure. Therefore, the ratio of Eqs. (20) and (21) can be written as

$$\frac{k_{is}}{k_{ins}} = \exp \left\{ 3 \left[(-\epsilon_{sf} - \epsilon_{sb}) - (-\epsilon_{sf0} - \epsilon_{sb0}) \right] \right\} \quad (22)$$

If the initial conditions are set where the pore pressure in coal is zero, then $\epsilon_{sb0} = \epsilon_{sf0} = 0$. The ϵ_{sf} can be calculated by

$$\epsilon_{sf} = - \left\{ \frac{1}{3} \times \ln \left(\frac{k_{is}}{k_{ins}} \right) + \epsilon_{sL} \times \left(\frac{p}{p + p_L} - \frac{p_0}{p_0 + p_L} \right) \right\} \quad (23)$$

2.3.3 Fracture strain under uniaxial strain conditions

Considering the characteristics of actual reservoir production, Geertsma (1966) holds that the reservoir with high transverse size is mainly deformed in the vertical direction during the production process and it can be approximated by $\epsilon_{xx} = \epsilon_{yy} = 0$. Currently, the commonly used S-D model is based on the assumption of uniaxial strain and the permeability evolution formula is as follows (Shi and Durucan 2004):

$$\frac{k_{is}}{k_{1s}} = \exp \left\{ -3 \left[\frac{c_f \times v}{1 - v} (p - p_0) + \frac{c_f \times E}{3(1 - v)} (\epsilon_{sb} - \epsilon_{sb0}) \right] \right\} \quad (24)$$

Similar to the case of constant confining pressure, permeability is affected by both effective stress change and

gas adsorption. When injected with He, Eq. (24) can be simplified:

$$\frac{k_{ins}}{k_{0ns}} = \exp\left\{-3\left[\frac{c_f \times v}{1-v}(p-p_0)\right]\right\} \quad (25)$$

Therefore, the same treatment method is adopted to obtain the following formula:

$$\varepsilon_{sf} = -\left\{\frac{1}{3} \times \ln\left(\frac{k_{is}}{k_{ins}}\right) + \varepsilon_{sL} \times \left(\frac{p}{p+p_L} - \frac{p_0}{p_0+p_L}\right)\right\} \quad (26)$$

2.4 A dynamic fracture strain model

When gas is injected into the sample, gas instantly invades the fractures due to its relatively high permeability and continues to diffuse into the matrix. In the process of dynamic equilibrium of pore pressure, the change in effective stress and the adsorption strain of matrix act on the change in permeability. For injected absorbed gas, we have

$$\frac{k_s}{k_0} = \exp\left\{3\left[(C_{b\sigma} - C_{p\sigma})(\Delta\sigma - \Delta p(t)) + (-\Delta\varepsilon_{sf}(t) - \Delta\varepsilon_{sb}(t))\right]\right\} \quad (27)$$

For injected non-adsorbing gases, it can be simplified

$$\frac{k_{ns}}{k_0} = \exp\left\{3\left[(C_{b\sigma} - C_{p\sigma})(\Delta\sigma - \Delta p(t))\right]\right\} \quad (28)$$

So, fracture strain can be written as

$$\Delta\varepsilon_{sf}(t) = -\left\{\frac{1}{3} \ln\left(\frac{k_s}{k_{ns}}\right) + \Delta\varepsilon_{sb}(t)\right\} \quad (29)$$

3 Analysis of fracture strains

At present, a large number of scholars have carried out a series of permeability experiments. In this section, fracture strains are calculated by Eqs. (19), (23), (26) and (29) according to the permeability data and adsorption parameters. These data are derived from published literature or from our own experiments. From these equations, it can

be seen that the Langmuir constants and permeability data measured using non-absorbent and absorbent gas of the same coal sample are indispensable.

Some experimental data are tested around 1 MPa to study the influence of gas slip on permeability (Niu et al. 2014; Wang et al. 2019). When the gas pressure is greater than 1 MPa, slip has little effect on permeability. For the sake of simplification, the coupling effects of adsorption expansion and slippage are not considered for the moment, and only the effect of adsorption expansion on permeability is studied. Therefore, the gas injection pressure selected is generally greater than 1 MPa to simplify this problem.

3.1 Calculation of fracture strains under different boundary conditions

3.1.1 Fracture strains of CES tests

Meng and Li (2018) conducted permeability tests on two different rank coals under a fixed effective stress of 3.5 MPa. The properties of coal and experimental details are listed in Table 2. When the pore pressure changes from 1 to 5 MPa (CH₄), the permeability of lignite coal and anthracite coal decreased by 57% and 82%, respectively. Fracture strain at CES boundary conditions can be calculated by Eq. (19), and the results are as shown in Fig. 1a. With the increase in pore pressure, the matrix has an obvious compression effect on the fracture and the fracture strain increases.

Figure 1b illustrates the ratio of fracture strain to bulk strain as a function of pore pressure under CES conditions. As the pore pressure increases, the value of γ increases roughly. When the pore pressure changes from 2 to 5 MPa, the value of γ changes from 42.6 to 51.2 for the high-rank coal sample and from 24.5 to 31.3 for the low-rank coal.

The effect of Biot's coefficient on permeability and fracture strain is worth noting. Pan et al. (2010) conducted the experiment of injecting non-adsorbed gas He under CES boundary conditions. The gas pressure changed from 2 to 10 MPa, and the permeability changed by about 10%. Compared with the permeability change caused by adsorbed gas injected under the same conditions, the permeability change can be completely ignored. Lin and Kovscek (2014) did a similar experiment and came to the conclusion that helium permeability of the core only increased slightly with the increase in pore pressure under constant effective stress. It

Table 2 The properties of coal and experimental details for CES tests (Meng and Li 2018)

Authors	Coal rank	Injected gas	Pore pressure p , MPa	Effective stress, MPa	Permeability, mD	p_L , MPa	ε_{sL} , %	γ
Meng and Li 2018	Lignite	CH ₄	1–5	3.5	1.2–3	5.69	1.33	42–51
	Anthracite coal	CH ₄	1–5	3.5	0.1–2.22	7.49	4	25–31

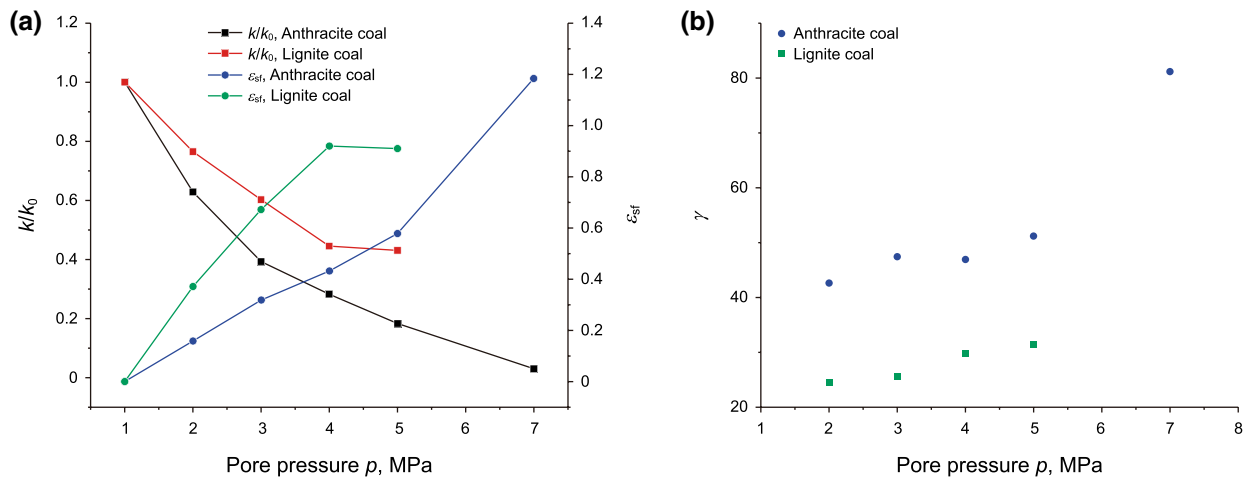


Fig. 1 Relations between the fracture strain and the ratio of fracture strain to bulk strain and its pore pressure using methane at CES boundary conditions (experimental data from Meng and Li 2018). **a** Fracture strain ϵ_{sf} . **b** Ratio of fracture strain to bulk strain γ

Table 3 The properties of coal and experimental details during gas injection for CCP tests

Authors	Coal rank	Injected gas	Pore pressure p , MPa	Confining pressure σ_c , MPa	Permeability, mD	p_L , MPa	ϵ_{sL} , %	γ
Pini et al. (2009b)	Bituminous coal	CO ₂	0.93–7.75	10	80–600	3.8	4.9	27–30
		N ₂	0.93–7.75	10	220–2420	1.7	5.9	104–108
Wang et al. (2011)	Anthracite coal	CH ₄	1.7–4.8	6	0.063–0.58	19.5	2.4	224–443
		CO ₂	1.4–4.8	6	0.03–0.37	8.97	1.95	161–345
		CH ₄	1.6–5.6	12	0.015–0.031	8.097	1.08	917–1216
		CO ₂	1.1–4.6	12	0.007–0.0048	10.2	0.4	346–564

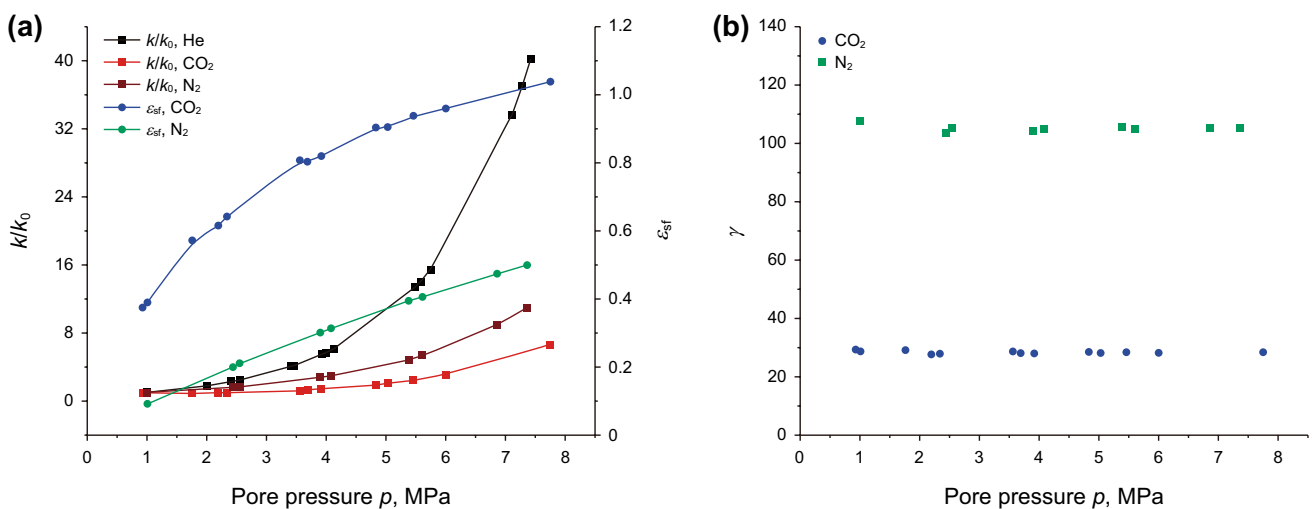


Fig. 2 Relations between the fracture strain and the ratio of fracture strain to bulk strain and its pore pressure at CCP (experimental data from Pini et al. 2009b). **a** Fracture strain ϵ_{sf} . **b** Ratio of fracture strain to bulk strain γ

is shown that the matrix-adsorbed gas plays a major role in permeability evolution under constant effective stress, and

when analyzing the fracture strain due to bulk adsorption, the influence of Biot’s coefficient can be ignored.

3.1.2 Fracture strains of CCP tests

We selected the permeability data of using He, N₂ and CO₂ by Pini et al. (2009b) under a CCP boundary condition of 10 MPa. The properties of coal and experimental details are listed in Table 3. The results show that as the pore pressure varies from 0.93 to 7.42 MPa, the permeability decreases and then increases during CO₂ injection. However, the permeability increases during the helium injection. As shown in Fig. 2a, as the pore pressure increases, the fracture strain increases and better conforms to the Langmuir equation. If we choose the p_L of the matrix as a parameter to the Langmuir equation, the correlation coefficient of both gases is up to 98%. Figure 2b shows that the magnitude of γ varies between 28 and 29.3 for CO₂ and between 104 and 108 for the nitrogen under CCP conditions, respectively.

However, the fracture strain calculated according to Wang et al.'s (Wang et al. 2011) data shows a completely different rule and the results of fracture strain are shown in Fig. 3. With the increase in pore pressure, the fracture strain experiences either continuously increases or increases and then decreases. When the second condition occurs, it means that when swelling reaches a certain point, swelling increases cleat aperture and is beneficial to the increase in permeability, which contradicts the previous conclusion that adsorption expansion reduces permeability. This anomaly may be due to that the interaction between the fracture and the matrix was mutated when the adsorption expansion of matrix reaches a certain stage. However, this explanation is just a conjecture that needs to be discussed in future research on this abnormal phenomenon.

3.1.3 Fracture strains of uniaxial strain tests

Feng et al. (2017) conducted permeability tests using He and CH₄ under uniaxial strain conditions. The initial reservoir pressure was estimated to be ~8.3 MPa, and the vertical and horizontal stresses were estimated at ~20.7 and ~13.8 MPa. With the flowing gas pressure varying from 1.4 to 8.3 MPa, the permeability using helium keeps increasing and increases by three times, while when methane is injected, the permeability keeps decreasing to 95%. The fracture strains with respect to pore pressure are shown in Fig. 4a. Since only giving $p_L = 5$ MPa without giving specific value of ε_{sL} , we assume $\varepsilon_{sL} = 0.01$ to calculate γ (Table 4).

It should be noted that the two boundary conditions, uniaxial strain condition and CES condition, were tested with the same coal. Because the permeability data measured in the laboratory are usually in a stress boundary condition while the field data are usually in the uniaxial strain condition, we can further analyze the difference between these two boundary conditions. As the pore pressure increases from 1.4 to 8.3 MPa, the permeability using methane decreases by only 45% under CES boundary conditions, which is significantly less than the decrease under uniaxial strain boundary conditions. As shown in Fig. 4a, the fracture strain under CES is lower than that under uniaxial strain, which indicates that only a fraction of the matrix adsorption strain about 52.8% acted on the compression fracture under CES boundary conditions.

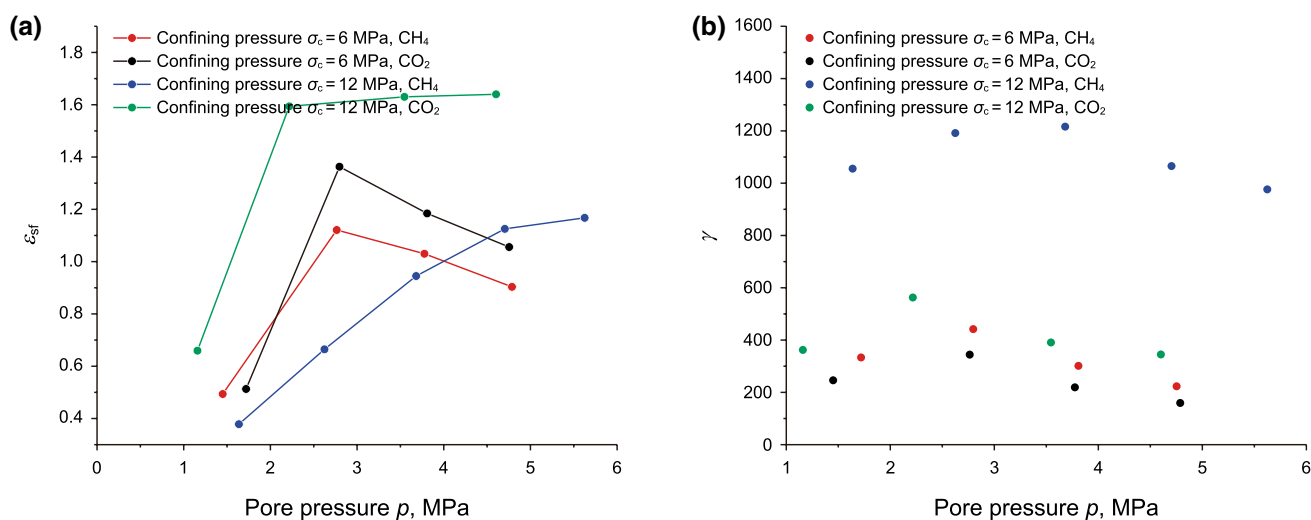


Fig. 3 Relations between the fracture strain and the ratio of fracture strain to bulk strain and pore pressure at CCP (experimental data from Wang et al. 2011). **a** Fracture strain ε_{sf} . **b** Ratio of fracture strain to bulk strain γ

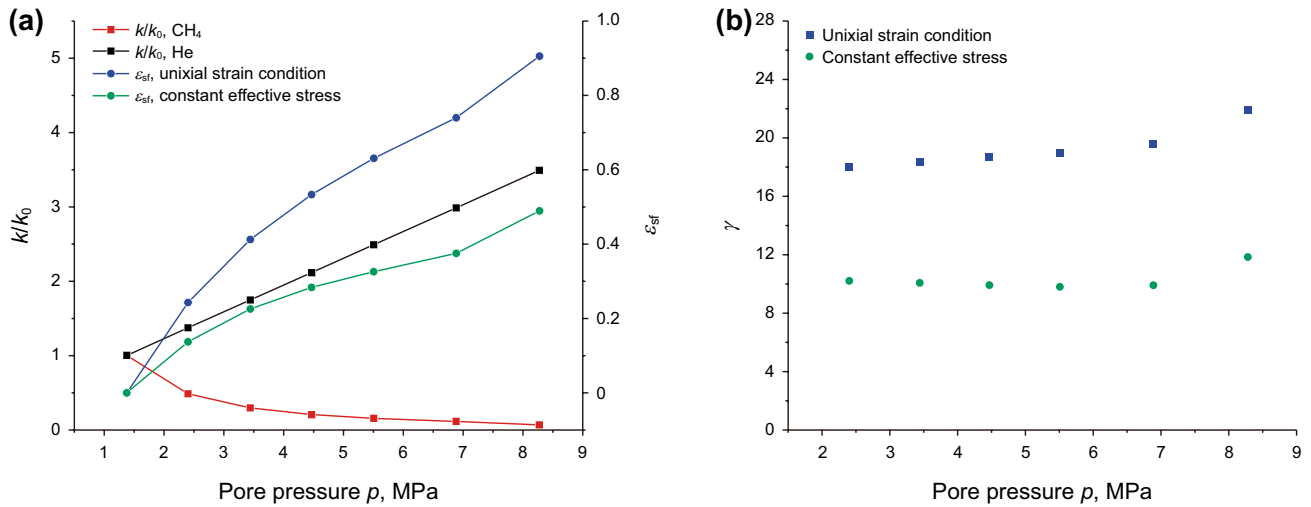


Fig. 4 Relations between fracture strain and the ratio of fracture strain to bulk strain and pore pressure using methane at uniaxial strain (experimental data from Feng et al. 2017). **a** Fracture strain ϵ_{sf} . **b** Ratio of fracture strain to bulk strain γ

Table 4 The properties of coal and experimental details during gas injection

Authors	Coal rank	Injected gas	Pore pressure p , MPa	Boundary condition	Permeability, mD	p_L , MPa	ϵ_{sL} , %	γ
Feng et al. (2017)	n/a	CH_4	1–8.5	CES (3.5 MPa)	1–23.1	2.5	1	10–12
				Uniaxial strain	1–14	2.5	1	18–21

3.2 Evolution of fracture strains during gas injection

When the gas is injected into the coal sample, the original gas equilibrium in the matrix and fracture is broken to attain a new gas pressure equilibrium, which can last for hours or even days at the laboratory scale (Danesh et al. 2017; Liu et al. 2016; Seidle and Huitt 1995). In the process of reaching the new equilibrium, the gas pressure in the cleat reaches equilibrium quickly while the gas pressure and adsorption strain in the matrix constantly change. During this process, the matrix interacts with fractures, and these interactions change permeability and volumetric strain (Liu et al. 2016). Therefore, the volumetric strain evolution characteristics and the permeability evolution characteristics should be continuously measured during the gas injection process.

Researchers normally measured the permeability of coal under the assumption that the adsorption equilibrium state had been reached. Only a few sets of experimental data (Wang et al. 2009, 2010; Liu et al. 2016, Siriwardane et al. 2009) have measured permeability changes throughout the process. Siriwardane et al. (2009) measured the CO_2 permeability of Pittsburgh coals under constant confining stress and constant pore pressure conditions by using the pressure transient method. The measured permeability changed notably with the CO_2 exposure time, and the permeability

variation exhibited an evident kinetic feature. Unfortunately, they did not measure the change in the volumetric strain over time. In this study, we conducted our own experiments to analyze the dynamic evolution process.

3.2.1 Coal core collection and preparation

We selected two different structures of coal samples for the experiment, a natural sample and a reconstituted sample. The natural sample was obtained from the exposed surface of an underground mine located in Henan Province in China. The reconstituted one was made by compressing coal particles from a lump of bituminous coal in Shanxi Province and with a size range of 0.154–0.25 mm. The physical dimensions of the coal cores were both 50 mm in diameter by 100 mm in length.

3.2.2 Experimental apparatus and experimental approach

An unconventional gas (UG) permeability test system was used, as shown in Fig. 5. It primarily consisted of three units: (1) a loading module consisting of a high-pressure chamber, a servo-system, a pressure pump and a temperature control unit; (2) a pore pressure control unit containing a vacuum pump, a cylinder, various pipes and

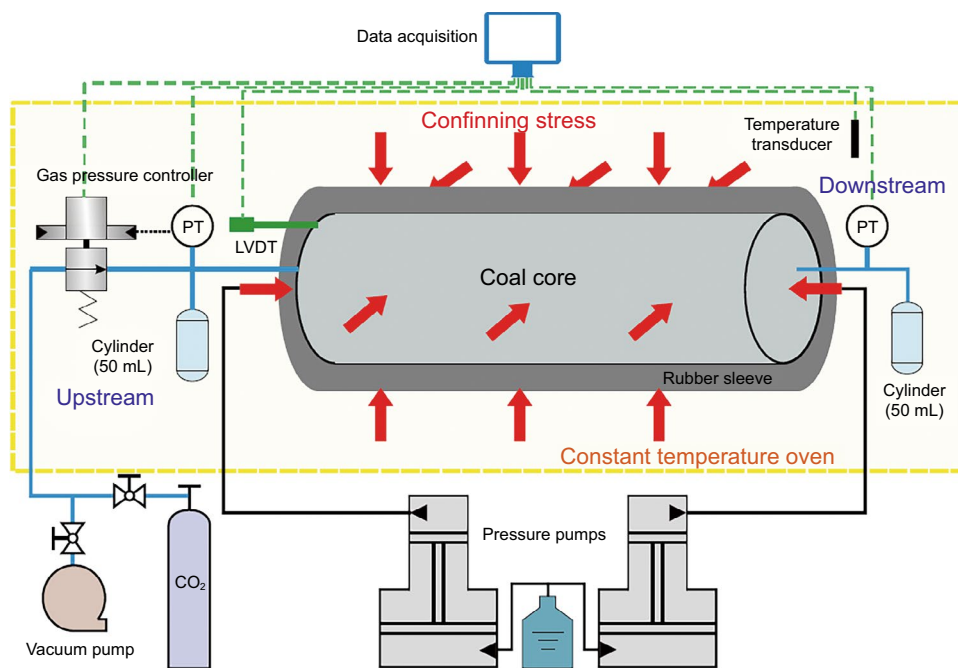


Fig. 5 Schematic of experimental apparatus for measurement of permeability and volumetric strain (after Wei et al. 2019b)

a gas pressure controller; and (3) a data measurement and recording system. Upstream pressure was controlled by an electro-pneumatic actuator connected to a pneumatically actuated regulator. The gas pressure controller had an accuracy of 0.1% of full scale. Pulse decay method was used as coal permeability measuring method due to its shorter test durations compared to steady-state measurements (Yang et al. 2019; Wei et al. 2019b). The volumetric strain was calculated by measuring two axial strain gauges and two radial strain gauges.

3.2.3 Experimental procedure

The primary experimental processes are as follows: (1) The coal core was placed in an oven at 45 °C for 12 h before being placed in the core holder. (2) The coal core was installed in the core holder, and a set confining pressure was applied. After that, the sample was placed in a vacuum desiccator for 24 h to remove the residual gas. (3) Methane was injected into the coal, and the upstream pressure was controlled to generate pressure difference between upstream and downstream. In the whole period of experiment, the confining pressure was kept a constant and the temperature was maintained at 20 ± 0.5 °C. The strain at the starting gas injection point was taken as the initial point, and the initial strain was set as 0.

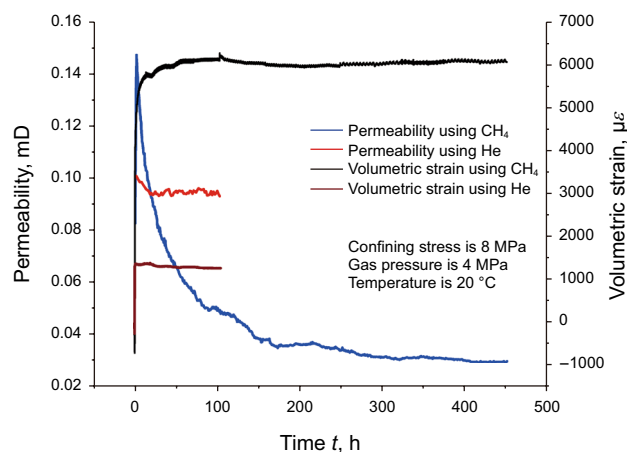


Fig. 6 The experimental data of permeability and volumetric strain using CH₄ and He for the natural sample

3.2.4 Experimental results and analysis

The experimental data of permeability and volumetric strains are shown in Figs. 6 and 7, respectively. In Fig. 6, the permeability and volumetric strain both varied significantly, although the confining pressure and gas pressure are kept constant in the experiment. When methane is injected, the permeability first increases, then decreases and finally stabilizes as the gas adsorption progresses. Specifically, when the injection time is 2 h, the permeability value is the highest, which is 0.147 mD. Taking

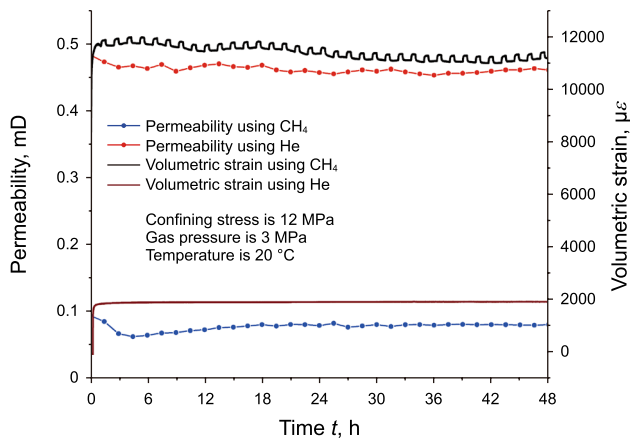


Fig. 7 The experimental data of permeability and volumetric strain using CH₄ and He for the reconstituted sample

the permeability at this time as the reference point, when the injection time is 24 h, the permeability decreases by 32%, and when the injection time is 48 h, the permeability decreases by 54%. When the injection time is nearly 10 days, the permeability tends to stabilize at about 0.031 mD, which is 78.9% lower than the reference point and 53% lower than the 48-h permeability. In comparison, the evolution of permeability using He is totally different and just needs 48 h to reach the stability. For the case of CH₄ injection, the volumetric strain increases rapidly with time—decreases slowly—and tends to be stable in 3 days; for the case of He injection, the volumetric strain increases rapidly and tends to be stable in ~ 10 h. Furthermore, when the volumetric strain reaches a stable state, the permeability is still in a rapid decline stage for the case of CH₄ injection as shown in Fig. 6. The volumetric strain

and permeability changes for the reconstituted coal sample during CH₄ and He injections are shown in Fig. 7. For the case of CH₄ injection, the volumetric strain rises rapidly and tends to reach the equilibrium after 6 h. Similarly, the volumetric strain also reaches a stable value after 3 h during the helium injection. During the whole process of gas injection, the permeability values of both gases remain relatively stable.

According to Eq. (29), we calculated the fracture strain and γ with respect to the adsorbed time and the calculated results are shown in Fig. 8. The fracture strains of the natural and the reconstituted samples show two different patterns. For the natural sample, the fracture strain decreases rapidly and then increases slowly until it becomes stable. For the reconstituted sample, the fracture strain increases first, then falls and then stabilizes.

As shown in Figs. 6 and 7, the evolutions of permeability for the natural and reconstituted coals are very different. The equilibration time of the reconstituted coal is far less than that of the natural coal. The reason for the difference is that the natural coal behaves as a fractured medium while the reconstituted coal as a porous medium. For a fractured medium, gas instantly invades the fracture because of its relatively high permeability and then diffuses into the coal matrix. As the diffusion progress, the fracture may shrink due to matrix swelling. For a reconstituted coal, gas spreads all over the sample and the whole sample behaves as the coal matrix. The different behavior is illustrated in Fig. 9a, b. For the natural coal, the evolution of permeability is primarily controlled by the transition of coal fracture deformation from local matrix swelling effect to global effect. For the reconstituted coal, the evolution of permeability is primarily controlled by the global effect.

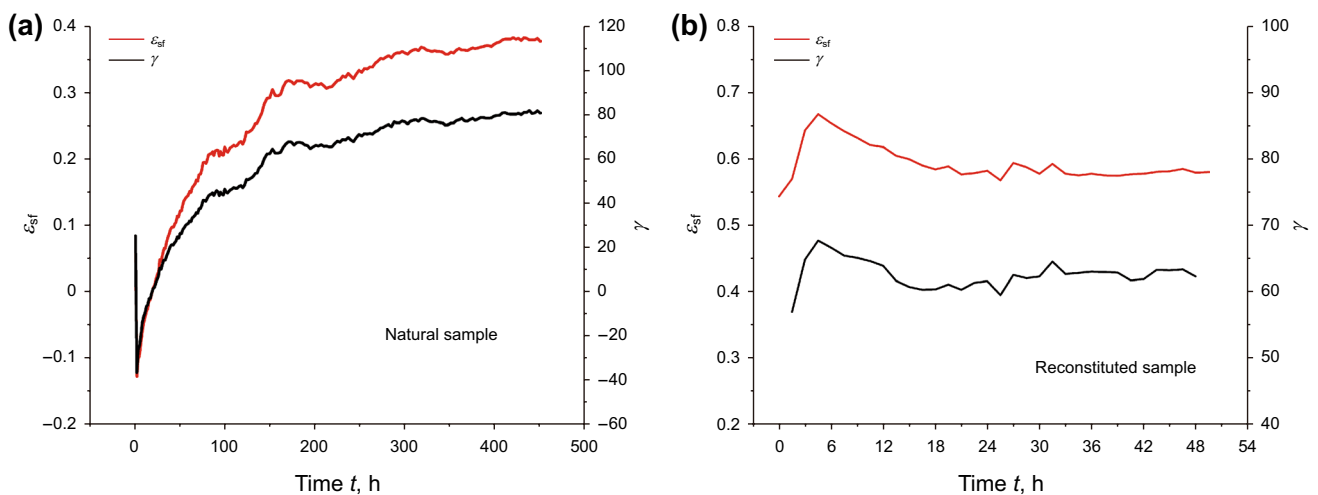


Fig. 8 The fracture strain ϵ_{sf} and the ratio of fracture strain to bulk strain γ with respect to the adsorbed time. **a** Natural sample. **b** Reconstituted sample

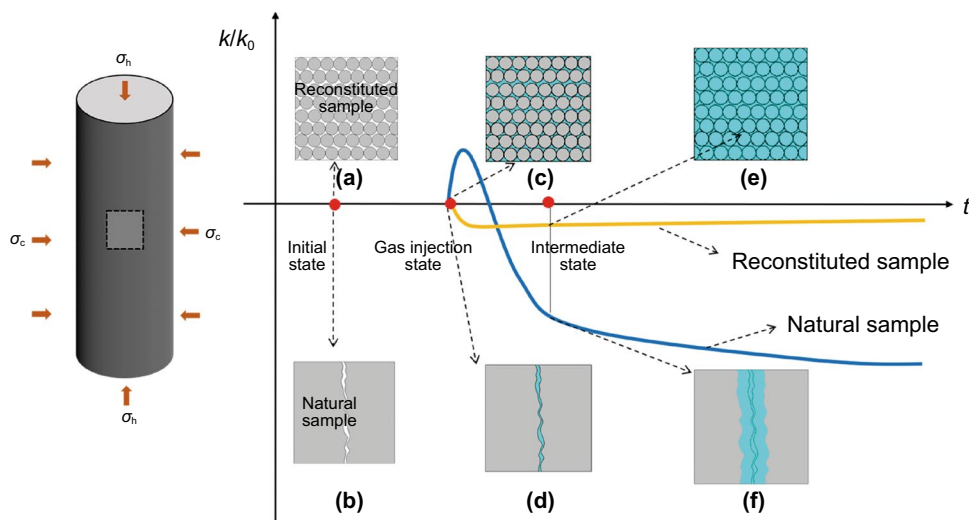


Fig. 9 Illustration of the difference between the permeability evolution of the natural coal and that of the reconstituted one under the influence of CH_4 injection

3.3 Influencing factors on the fracture strain

According to previous studies (Tan et al. 2019, Liu et al. 2017), many factors affect coal permeability, including effective stress, pore pressure and gas types. These factors may also affect the fracture strain and the ratio of fracture strain to bulk strain, γ .

3.3.1 Impact of effective stress

In order to illustrate the relationship between effective stress, fracture strain and γ , it is necessary to select permeability data containing different effective stress conditions in the experiment. We take Pan et al.'s (2010) and Wu et al.'s (2017) experimental data as an example. The properties of coal samples and experimental details are listed in Table 5. Wu et al. (2017) measured methane permeability at effective pressures of 1 MPa to 5 MPa in four groups (we select case 3), and Pan et al. (2010) measured the methane permeability

at effective pressure of 2, 4 and 6 MPa in one group. The calculated fracture strains and γ are shown in Fig. 10.

Both the fracture strain and the strain ratio should remain unchanged under a constant effective stress. They all should be horizontal lines. Therefore, all changes (deviations from horizontal lines) shown in Fig. 10 are due to gas adsorption-induced swelling. The effect of effective stress on fracture strain may be attributed to two aspects: effect of effective stress on the fracture opening and the effect of matrix–fracture interactions. The increase in effective stress will decrease fracture opening while the matrix–fracture interactions may further narrow and open the fracture opening depending on the gas diffusion area.

3.3.2 Impact of gas characteristics on fracture strain and γ

Studies have been conducted on the adsorption capacity and adsorption strain of coal bulk to different gases (Ottiger et al. 2008; Pini et al. 2009a; Bergen et al. 2009a; Pone et al. 2009). The results show that CO_2 -induced matrix swelling

Table 5 The properties of coal samples and experimental details during gas injection for CES tests

Authors	Coal rank	Injected gas	Pore pressure p , MPa	Effective stress p_e , MPa	Permeability, mD	p_L , MPa	ε_{sL} , %	γ
Pan et al. (2010)	n/a	CH_4	0.9–12.8	2	0.40–0.84	2.96	1	32–47
			0.9–12.8	4	0.34–0.61	2.96	1	32–39
			0.9–12.8	6	0.26–0.45	2.96	1	31–37
Wu et al. (2017)	Bituminous coal (case 3)	CH_4	0.88–9.12	1	94.7–215	17.98	1.36	54–75
			0.88–9.12	3	66.3–191	17.98	1.36	63–89
			0.88–9.12	5	43.7–170	17.98	1.36	69–114

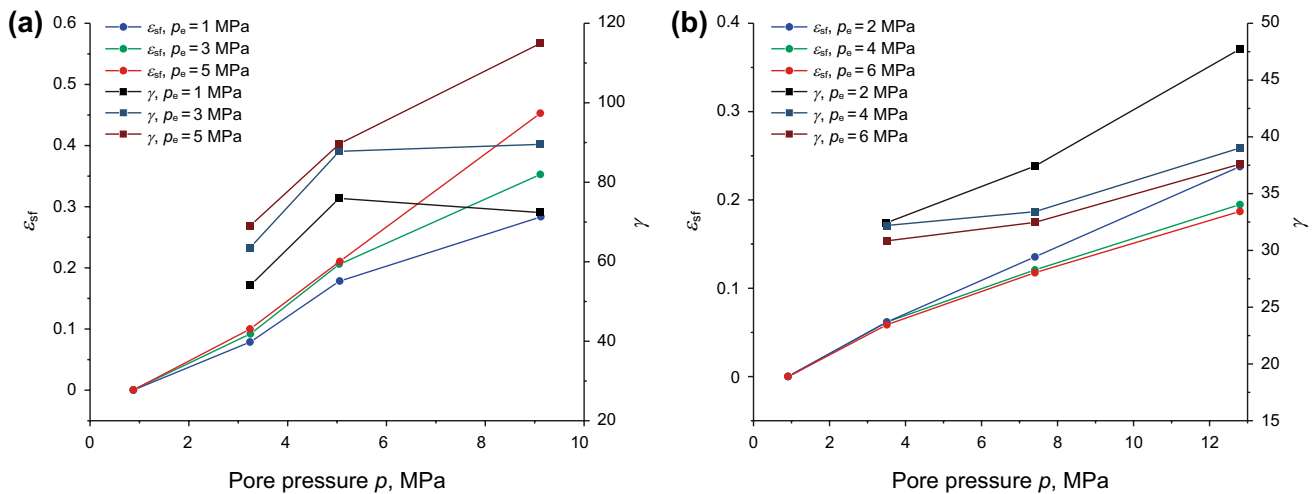


Fig. 10 Fracture strain ϵ_{sf} and the ratio of fracture strain to bulk strain γ as a function of pore pressure at different effective pressures p_e . **a** Case 3 of Wu (Wu et al. 2017). **b** Pan et al.'s data (Pan et al. 2010)

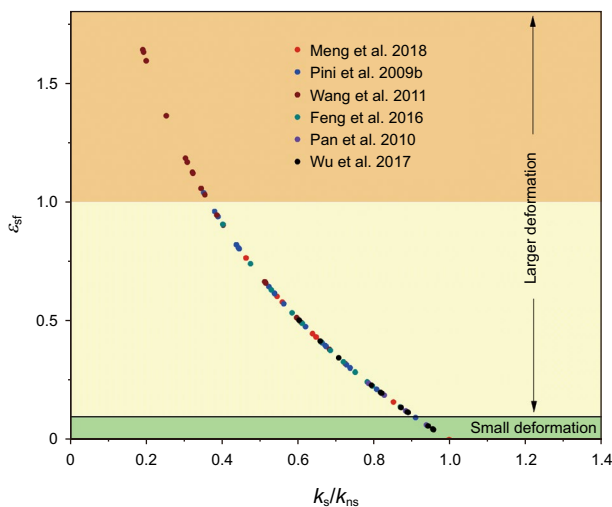


Fig. 11 Fracture strain data caused by matrix gas adsorption

is larger than the CH₄-induced one, while the CH₄-induced matrix swelling is larger than the N₂-induced one. The helium-induced matrix swellings are negligible. These studies rarely report the influence of gas species on the fracture strain. Figure 3 shows that, at the same pore pressure, the fracture strain caused by CO₂ adsorption is larger than that caused by methane adsorption. Similarly, as shown in Fig. 4, Pini et al. (2009b) injected helium, nitrogen and carbon dioxide into core samples, respectively, and found that the fracture strain caused by carbon dioxide adsorption was greater than that caused by nitrogen adsorption. These differences are reflected in the magnitudes of γ from ~30 to ~105 for different gases.

4 Implications on the validity of coal permeability models

In order to analyze fracture strain data caused by matrix adsorption systematically, the values calculated above are collected in Fig. 11. The horizontal axis represents the ratio of the permeability measured with adsorbent gas to the permeability measured with non-adsorbent gas under the same conditions. It can be seen clearly that the fracture strain is usually larger than 0.1. This indicates that the actual fracture strain is much larger than the bulk strain. In the previous permeability models, some assumptions related to fracture strain are made to simplify the permeability models, for example assuming that the fracture strain and bulk strain are equal (Zhang et al. 2008; Liu et al. 2010, 2011b; Wu et al. 2011), or strains are infinitesimal (Connell et al. 2010; Zhang et al. 2008; Izadi et al. 2011; Chen et al. 2010; Zhang et al. 2018). Obviously, our back-calculated results are not consistent with this fundamental assumption.

As shown in Fig. 3, the maximum fracture strain is 1.1, 1.2 for methane and 1.4, 1.6 for CO₂ under CCP conditions of 6 and 12 MPa, respectively. These maximum fracture strains (the compressive fracture strain is positive) are greater than 1. However, the phenomenon of compressive strain exceeding 1 is not realistic and physically impossible. This indicates a contradiction to the assumption of infinitesimal deformation in the derivation of coal permeability models. In permeability models (Zhang et al. 2008; Wang et al. 2012; Connell et al. 2010), the effects of poromechanical effects on the permeability and the effects of matrix swelling/shrinking on the permeability are usually assumed to be separable and investigated individually (Shi et al. 2018). In this assumption, the upper limit of fracture strain is 1 due to

the fracture wall cannot interpenetrate each other. However, when gas is injected into a dual-permeability system, the gas pressure in the cleat reaches equilibrium quickly and opens the fractures, and then diffuses into the matrix. The effects of poromechanical response precede the sorption-induced swelling on the change in fracture aperture and permeability, but not simultaneously (Wang et al. 2012). Figures 6 and 8 show the changes in bulk strain and fracture strain with the adsorption time. It can be clearly seen that the natural sample bulk strain tends to be stable on the third day, while the fracture strain needs a longer time (more than 10 days) to reach stability. This reflects that the fracture strain and bulk strain of natural sample are not completely synchronous and the fracture strain lags the bulk strain. The reason for this difference may be that although the bulk strain is potentially small, its impact on the fracture strain or permeability is much more significant.

5 Conclusions

In this study, we defined the fracture strain as a function of permeability change ratio and back-calculated the fracture strains both at the equilibrium and non-equilibrium states. In the equilibrium state, the gas pressure is steady within the coal; in the non-equilibrium state, the gas pressure changes with time. Based on the results of this study, the following conclusions can be drawn:

- (1) For equilibrium states, our results show that the back-calculated fracture strains are large. In some cases, the fracture strain may be larger than unity. This is physically impossible. This conclusion is not consistent with the assumption of infinitesimal strain in poroelasticity. This inconsistency suggests that the current strain-splitting approach may not be acceptable in permeability models.
- (2) For non-equilibrium states, both the fracture strain and the bulk strain evolve with time. However, the evolution of fractured (natural) coal is very different from that of intact (reconstituted) coal. For the fractured coal, the evolution of permeability is primarily controlled by the transition of the coal fracture strain or permeability from local matrix swelling effect to global effect. For the reconstituted coal, the evolution of pore strain or permeability is primarily controlled by the global effect. This conclusion suggests that the reconstituted coal samples cannot be used as substitutes of natural ones.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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