



The Impact of Spine Pathology on Posterior Ligamentous Complex Structure and Function

Bradley Anderson¹ · Bahar Shahidi¹

Accepted: 6 October 2023 / Published online: 23 October 2023

© The Author(s), under exclusive licence to Springer Science+Business Media, LLC, part of Springer Nature 2023

Abstract

Purpose of Review Spinal ligament is an important component of the spinal column in mitigating biomechanical stress. Particularly the posterior ligamentous complex, which is composed of the ligamentum flavum, interspinous, and supraspinous ligaments. However, research characterizing the biomechanics and role of ligament health in spinal pathology and clinical context are scarce. This article provides a comprehensive review of the implications of spinal pathology on the structure, function, and biomechanical properties of the posterior ligamentous complex.

Recent Findings Current research characterizing biomechanical properties of the posterior ligamentous complex is primarily composed of cadaveric studies and finite element modeling, and more recently incorporating patient-specific anatomy into finite element models. The ultimate goal of current research is to understand the relative contributions of these ligamentous structures in healthy and pathological spine, and whether preserving ligaments may play an important role in spinal surgical techniques.

Summary At baseline, posterior ligamentous complex structures account for 30–40% of spinal stability, which is highly dependent on the intrinsic biomechanical properties of each ligament. Biomechanics vary widely with pathology and following rigid surgical fixation techniques and are generally maladaptive. Often secondary to morphological changes in the setting of spinal pathology, but morphological changes in ligament may also serve as a primary pathology. Biomechanical maladaptations of the spinal ligament adversely influence overall spinal column integrity and ultimately predispose to increased risk for surgical failure and poor clinical outcomes. Future research is needed, particularly in living subjects, to better characterize adaptations in ligaments that can provide targets for improved treatment of spinal pathology.

Keywords Posterior ligamentous complex · Spinal ligament · Biomechanics · Pathology · Spine surgery · Spine

Introduction

Spinal ligaments represent an important component of the spinal column involved in neural control, dynamic stability, and protection of anatomic structures of the spine [1••]. Spinal ligament dysfunction is hypothesized to play a role in various pathologies, such as segmental instability, adult spinal deformity (ASD), proximal junctional kyphosis (PJK), and failure (PJF) following instrumented fusion, low back pain, and other degenerative conditions. It is even thought that repeated sub-failure injury of the ligament may lead

to spinal muscle dysfunction, resulting in a vicious loop of instability and injury, proposed to be a mechanism of non-specific chronic lower back pain [1••]. Healthy ligaments may also play a role in reducing complications following instrumented spinal fusion, such as vertebral fractures, subluxation, degenerative disc disease, implant failure, facet joint disruption, and PJK/PJF [2–5].

Particularly, the spinal ligament is hypothesized to play an important role in mitigating biomechanical stress especially following fusion where there is an abrupt transition from rigid implants to native soft tissues at the upper instrumented vertebrae (UIV) and the level above (UIV + 1). Ligament augmentation techniques employed in an attempt to reduce risks of complication are becoming more popular, as they are thought to provide a more gradual transition between rigid implant and soft tissue, and additionally are thought to help replace ligamentous structures that may be

✉ Bahar Shahidi
bshahidi@health.ucsd.edu

¹ Department of Orthopaedic Surgery, The University of California San Diego, 9500 Gilman Dr., MC0863, La Jolla, San Diego, CA 92093, USA

resected during spine surgery [6, 7•, 8•, 9–12, 13••, 14, 15]. Other techniques elect to use more dynamic implants, often termed non-fusion devices, or combinations of fusion with a non-fusion structure to top off the fusion construct, which is likewise thought to provide less rigid stabilization to the spinal column [6, 7•, 8•, 9–12, 13••, 14, 15]. We do know that muscle [16] and bone [17] health are important predictors of fusion outcomes, particularly in ASD [2, 18]. However, it is unclear what role ligament health plays in other pathology, if any [19]. Research characterizing the biomechanics and role of ligament health in spinal pathology and in a clinical context are scarce [19]. Thus, the purpose of this paper is to give an overview of the current literature and future directions for research.

Structure

The posterior ligamentous complex (PLC) is a group of three spinal ligaments thought to be especially pertinent in the thoracolumbar spine and in reducing the risk of PJK/PJF [2, 20••]. These three ligaments, from posterior to anterior, are the supraspinous ligament (SSL), the interspinous ligament (ISL), and the ligamentum flavum (LF), as shown in Fig. 1.

The LF arises from the anterior surface of the superior lamina and extends caudally from C2 to S1 and is classically described as having two layers, superficial and deep [21], while more recent histological studies suggest that the LF is one continuous layer [22]. The LF is composed of 80% elastin surrounded by about 20% loose and disorganized type III collagen with interfibrillar proteoglycan oriented cranio-caudally, which transition to orient more parallel to

the spinous processes as they extend dorsally and become confluent with the ISL [23–25].

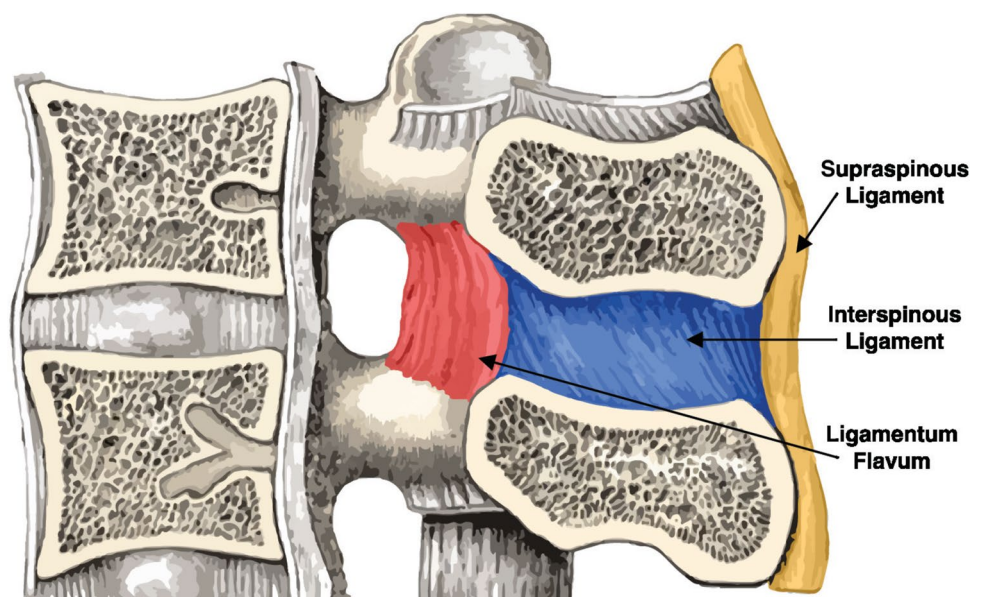
In contrast, the ISL traverses between each vertebral level from C1 to S1 where ventrally, its fibers are confluent with the LF and insert at the facet joints, and dorsally its fibers attach to the inferior spinous process and are confluent with the SSL [22, 26]. Fibers of the ISL are oriented parallel to the spinous processes, ventrally containing a higher density of elastin due to integration of the LF and centrally and dorsally primarily composed of type III collagen in a crimped pattern with interfibrillar proteoglycan [23–25, 27].

The SSL is the most posterior, beginning at C7 and extending caudally superficial to the spinous processes to L4 [28]. It is primarily composed of loose type III collagen and interfibrillar proteoglycan oriented cranio-caudally perpendicular to the vertebral column, with some studies suggesting a higher ratio of adipose tissue as compared to the other spinal ligaments, which would make it less resistant to biomechanical loads [23–25, 27], although it is also believed to serve as anchorage for the erector spinae tendons [29].

Function

Functions of the PLC are likewise not very well characterized. What we do know is that the thoracolumbar range of motion (ROM) is highly coordinated via the coupling of movement at individual spinal levels between the thoracic and lumbar spine, where each functional spinal unit contributes a small portion to overall ROM [30, 31••]. As such, disruptions of osteoligamentous stabilizers like the PLC at a given functional spinal unit (FSU) will have consequences not only in that spinal segment but in overall spinal function

Fig. 1 Diagram depicting a midline sagittal cut of vertebrae with intact ligamentous structures. Ligaments of interest are outlined. The ligamentum flavum (red) forms the posterior wall of the vertebral column with the laminae. Just posterior, the interspinous ligament (blue) spans between adjacent spinous processes, while the supraspinous ligament (yellow) traverses over the most superior aspect of the spinous processes longitudinally



as well [31••]. Anatomically, the PLC sits at the posterior spinal column and is thus important in flexion [32•]. Beyond passive roles, the ligaments of the PLC are highly innervated which suggests a role in the spinal control system, in proprioception and posture, and as a potential pain generator [33].

Biomechanically, the PLC behaves similarly to other human ligaments, where its physical properties are influenced by temperature, time, and loading rate. Higher loading rates result in stiffer-load displacement, and relaxation rates dependent on the initial amount of stretch [34–37]. Uniquely, the PLC follows a non-linear load–displacement curve in both the thoracic and lumbar spine and is stiffer in flexion than in extension [38, 39, 40••, 41]. Collectively, resection of all three ligaments results in a loss of over 25% of passive stability to the human lumbar spine in flexion [42]. Cutting the confluent fibers between ligaments has been shown to reduce the stability and resistance to flexion of the PLC by up to 40% [40••].

Importantly, data in the literature on the tensile properties of ligaments is difficult to interpret due to differences in resection and testing methodology (i.e., strain rate, loading, humidity, FSUs vs isolated ligaments), ligament type (i.e., living subjects vs. cadavers vs. animal models), age, gender, weight, height, whether or not the samples come from a pathological source, and whether or not the authors

reported the data in the same units or measures. The parameters reported below are peak force (a measure of ligament strength) which also coincides with force at ligament failure (rupture), tensile stress (a measure of internal force per unit area), tensile strain (a measure of deformation relative to original length), and elastic modulus (a measure of stiffness). Many studies do not report in the same units or parameters, with many describing stiffness in terms of a spring constant (N/mm). So, when possible and if necessary, units were recalculated, or raw data were extracted and used to calculate the aforementioned parameters for comparison (Tables 1, 2, 3 and 4). It should be noted that many discrepancies in ligament testing methodology exist [43], and as such, comparisons between biomechanical parameters from differing studies should be carefully evaluated in context.

The Ligamentum Flavum

In human lumbar FSUs carried through physiologic ROM, the LF accounts for roughly 22% of overall resistance to flexion, while application of smaller loads in flexion places an increasing load on the LF [42]. Additionally, the LF is subject to the highest strain of PLC ligaments in lateral bending [32•]. Resection studies have demonstrated that the LF is the most restrictive ligament of the posterior column in

Table 1 Tensile properties of human ligamentum flavum derived from isolated bone ligament bone complexes or from functional spinal units

Author	Type	N	Level	Stress (N/mm ²)	Strain (mm/mm)	Elastic modulus (N/mm ²)	Peak force (N)
Nachemson and Evans [44]	Iso; C	10	L3/4	4.3±3.6	0.5±0.2	9.9±8.8	–
Adams et al. [45]	FSU; C	27	L1-S1	1.9±1.5	0.3±0.1	11.7±8.3	216.1±214.2
Panjabi et al. [32•]	FSU; C	–	Lumbar	–	–	–	150–200 ^a
Chazal et al. [39]	Iso; C	7	T4-L4	15.3±5.0	0.2±0.04	76.3±30.0	414.3±69.5
Dumas et al. [40••]	FSU; C	25	T11-L5	–	–	–	170 ^a
Pintar et al. [46•]	FSU; C	132	T12-S1	3.0±1.0	0.71±0.2	4.3±1.3	–
Mihara et al. [47]	Iso; LS	42	Lumbar	–	–	4.4±1.6	–

Iso, isolated ligament specimens; FSU, functional spinal unit specimens; C, cadaveric origin; LS, living subject origin

^aUnable to calculate but data provided by authors via text or graphical interpretation

Table 2 Tensile properties of human interspinous ligament derived from isolated bone ligament bone complexes or from functional spinal units

Author	Type	N	Level	Stress (N/mm ²)	Strain (mm/mm)	Elastic modulus (N/mm ²)	Peak force (N)
Panjabi et al. [32•]	FSU; C	–	Lumbar	–	–	–	50–100 ^a
Myklebust et al. [53]	Iso; C	41	T1-S1	–	–	–	83.4±47.3
Pintar et al. [46•]	FSU; C	132	T12-S1	3.5±1.9	0.8±0.3	5.0±3.3	100 [†]
Dickey et al. [54]	Iso; C	–	Lumbar	–	–	–	45 [†]
Iwanaga et al. [26]	Iso; C	17	L1-L4	–	–	–	109.0±46.3 ^a

Iso, isolated ligament specimens; FSU, functional spinal unit specimens; C, cadaveric origin; LS, living subject origin

^aUnable to calculate but data provided by authors via text or graphical interpretation

Table 3 Tensile properties of human supraspinous ligament derived from isolated bone ligament bone complexes or from functional spinal units

Author	Type	N	Level	Stress (N/mm ²)	Strain (mm/mm)	Elastic modulus (N/mm ²)	Peak force (N)
Panjabi et al. [32•]	FSU; C	–	Lumbar	–	–	–	50–100 ^a
Myklebust et al. [53]	Iso; C	41	T2-S1	–	–	–	309.3 ± 205.1
Pintar et al. [46•]	FSU; C	132	T12-S1	12.3 ± 2.5	0.9 ± 0.2	13.4 ± 2.7	300 ^a

Iso, isolated ligament specimens; *FSU*, functional spinal unit specimens; *C*, cadaveric origin; *LS*, living subject origin

^aUnable to calculate but data provided by authors via text or graphical interpretation

Table 4 Tensile properties of human Interspinous and Supraspinous Ligament together derived from isolated bone ligament bone complexes or from functional spinal units

Author	Type	N	Level	Stress (N/mm ²)	Strain (mm/mm)	Elastic modulus (N/mm ²)	Peak force (N)
^b Adams et al. [45]	FSU; C	27	L1-S1	1.0 ± 0.6	0.3 ± 0.1	3.7 ± 2.9	159.8 ± 95.4
^b Chazal et al. [39]	Iso; C/LS	5/9	T1-S1	8.6 ± 3.0	0.4 ± 0.1	25.7 ± 13.3	183.2 ± 89.9
^b Dumas et al. [40••]	FSU; C	25	T11-L5	–	–	–	65–82 ^a
^b Hindle et al. [55]	FSU; C	13	L3/4	–	–	–	65.2 ± 24.1
^b Tida et al. [56•]	Iso; LS	24	Lumbar	1.2 ± 0.6 ^a	–	3.3 ± 2.1 ^a	203.0 ± 102.9 ^a

Iso, isolated ligament specimens; *FSU*, functional spinal unit specimens; *C*, cadaveric origin; *LS*, living subject origin

^aUnable to calculate but data provided by authors via text or graphical interpretation

^bISL and SSL were studied as one ligamentous complex

ROM at the thoracolumbar junction, particularly, resection of the LF results in significant increases in flexion at this level [40••]. Furthermore, the LF has been shown to pre-stress the intervertebral discs (IVDs) ranging from about 15 N in younger patients to about 4 N in elderly patients [44]. This is believed to counteract the internal swelling pressure of the disc and provide some intrinsic stability to the upright spine [44].

Tensile Properties of the Ligamentum Flavum

Tensile properties of human LF are reported in Table 1. There are roughly equal numbers of FSU and isolated ligament studies, while all except one used cadaveric ligament. Maximum stress (tensile strength) ranged from a mean of 1.9 ± 1.5 – 15.3 ± 5.0 N/mm² from Adams et al. and Chazal et al., respectively [39, 45]. Maximum strain varied among all studies, with a maximum mean deformation between 20 and 70% of resting LF length. Elastic modulus ranged from a mean of 4.3 ± 1.3 – 76.3 ± 30.0 N/mm² [39, 46•]. Peak force ranged from 216.1 ± 214.2 – 414.3 ± 69.5 N. Differences in methodology may help explain the wide range of numbers seen for some measures. Chazal et al. used bone ligament bone (BLB) complexes of the LF and laminae alone, while Adams et al. used FSUs with progressive disruption of ligaments from posterior to anterior [39, 45]. To our knowledge, only one study from Mihara et al. has evaluated LF derived

from living subjects undergoing decompression surgery for mainly lumbar spinal stenosis and disc herniations but did not report on all measures [47].

The Interspinous and Supraspinous Ligaments

Together the SSL and ISL are often studied as one entity [39, 45, 48] because it is difficult to anatomically differentiate the two ligaments [28] and they share many of the same functional characteristics. The ISL and SSL together contribute about 6% of overall resistance to flexion in human lumbar FSUs carried through physiologic ROM [42]. In another study of thoracic FSUs, resection of the ISL and SSL results in an approximate loss of 6.6% flexion stiffness [49] suggesting shared roles in both thoracic and lumbar flexion. While moving toward extremes of flexion subjects the ISL and SSL to the highest strains of any spinal ligament [32•, 42, 50]. Changes in ROM following ISL and SSL resection in human studies have shown inconsistent results. Some demonstrate no significant change in ROM [19]; others show increased flexion [51]; and some detail no significant change in ROM with resection of the ISL yet significant increases in flexion with resection of the SSL [52]. Nonetheless, the relationship between the thoracolumbar fascia and the ISL is believed to make it important in lifting motions and in providing anchorage of paraspinal muscles to vertebrae [29].

Tensile Properties of the Interspinous Ligament

Tensile properties of human ISL alone are summarized in Table 2. All reports on biomechanical properties of the ISL are derived from cadaveric samples with a focus on the thoracolumbar spine. Studies evaluating biomechanics of isolated ligament versus FSUs were roughly equivalent in prevalence. Importantly, the only study which reported all biomechanical properties evaluated FSUs and not isolated ligament [46•]. Peak force ranged from 83.4 ± 47.3 – 109.0 ± 46.3 N. Maximum stress, strain, and elastic modulus were only reported by one group. Maximum stress of the ISL was a mean of 3.5 ± 1.9 ; maximum strain was a mean of 80%, and elastic modulus was a mean of 5.0 ± 3.3 N/mm². From our review, no study has evaluated the full array of tensile properties in the isolated ISL, marking a need for further research.

Tensile Properties of the Supraspinous Ligament

Tensile properties of human SSL alone are summarized in Table 3. To our knowledge, very few have evaluated the SSL alone, and like the ISL, they are majorly derived from cadaveric samples with a focus on the thoracolumbar spine. There were roughly equal numbers of studies evaluating isolated ligament versus FSUs, with one reporting all biomechanical properties based on FSUs [46•]. Based on the data however, the SSL was stiffer, more deformable, and able to resist greater peak forces than the ISL [46•], despite its greater adiposity compared to the ISL [23–25, 27]. Maximum stress of the SSL was a mean of 12.3 ± 2.5 N/mm²; maximum strain was a mean of 90%; and elastic modulus was a mean of 13.4 ± 2.7 N/mm². From our review, none have evaluated the full array of tensile properties exhibited by the isolated SSL, marking a need for further research.

Tensile Properties of the Interspinous-Supraspinous Ligament Complex

Tensile properties derived from the ISL and SSL together as one ligamentous complex are summarized in Table 4. There are roughly equal numbers of isolated ligament versus FSU studies, with a focus on the thoracolumbar spine. Of those that reported on all biomechanical properties, two tested isolated ligaments and one tested FSUs, and of those, two evaluated ligaments from living subjects and two evaluated ligaments derived from cadaveric specimens. Peak force ranged from a mean of 65.2 ± 24.1 – 203.0 ± 102.9 N. Maximum stress ranged from a mean of 1.0 ± 0.6 – 8.6 ± 3.0 N/mm². Maximum strain ranged from a mean of 30–40%, considerably less than those values reported for the ISL and SSL separately. Finally, elastic modulus ranged from a mean of 3.3 ± 2.1 – 25.7 ± 13.3 N/mm². ISL and SSL have only been

evaluated from living subjects as one combined ligamentous complex, as such, there is obviously a need for further research in this area.

Finite element analyses

Finite element analyses (FEAs) are a popular tool for modeling the biomechanics of the spine. These models can be studied without the need for physical samples; however, they do rely on prior animal or human studies to inform biomechanical parameters of various tissues to generate accurate models. Importantly, these parameters often differ across models and research groups and there is no real consensus [57•, 58, 59]. Importantly, as seen above, many of the studies that inform FEA model parameters are derived from cadaveric samples and may or may not have application in living subjects. The contribution of the PLC to spinal stability using FEA has been explored in several studies, with varying assumptions and analytical methodologies. Zander et al. reported that the biomechanical properties of ligament were more important predictors of function when compared to bone, particularly when considering the extremes of ligament strain (i.e., 30° flexion for the PLC structures) or considering higher initial loading (i.e., body weight or applied load). However, disc and facet joint morphology were found to be most important—albeit highly patient-specific [60••].

Because of the influence of inter-individual anatomical variability on FEA outcomes, recent studies have incorporated CT imaging datasets to accommodate patient-specific morphology. For example, Naserkhaki et al. modeled hypolordotic, normo-lordotic, and hyper-lordotic spines using CT datasets [61•]. While load sharing remained the same across all spines, the SSL and ISL exerted higher resistance to flexion in the hyper-lordotic spine compared to the normo-lordotic spine, and lower resistance to flexion in the hypo-lordotic spine. Despite the recent use of CT datasets, most FEA studies are historically non-CT derived and generally show adequate concordance with prior animal and cadaveric studies from which they are modeled. Findings from this body of literature suggest that PLC ligaments contribute to overall spinal stability in a level-specific manner, and these contributions vary by ligament and by physiologic movement evaluated. Additionally, a common thread among FEA studies is that higher ligament stiffness predisposes ligament to premature rupture and places increasing biomechanical loads on adjacent bony and fibromuscular structures [62–64, 65•, 66•]. Likewise, decreasing ligament stiffness seems to place preferentially greater loads on adjacent muscular structures [67]. Across the thoracolumbar spine, the PLC is estimated to contribute approximately 30–40% of spinal stability which is suggested as primarily driven by the SSL [62–64, 65•, 66•, 67, 68].

Pathology

Many theories exist to explain the interaction between ligament physiology and various pathological states. Repeated sub-failure stretching of spinal ligaments, increasing age, and concomitant non-ligamentous degenerative pathologies have all been associated with decreased ligament stiffness [56•, 69], while the association with bone mineral density is unclear [70]. Importantly, posterior spinal instrumentation and fusion have been associated with decreased PLC stiffness and tensile strength in a sheep model, which is thought to be due to stress shielding of ligament and adjacent muscle [71•]. Below, we aim to characterize physiological adaptations (or maladaptations) of ligament in the presence of some of the most common spinal pathologies.

Spinal Pathologies of Ligamentous Origin

Ligament hypertrophy is a common cause of spinal stenosis especially in the LF and can result in neural impingement and pain [72]. This process is thought to be driven by the ossification of the ligament in cases of high mechanical or other stressors [72]. Hypertrophic ossification of the LF involves replacement of fibroblasts with chondrocytes, necrosis, and alterations in collagen-proteoglycan content and structure [72]. The overall pathophysiology of these changes in LF is well studied as LF hypertrophy is a common condition which may result in functional and neurologic deficits [72]. It is considered a cytokine-mediated process as key players include transforming growth factor beta (TGF- β), bone morphogenetic protein (BMP), and alkaloid phosphatase (ALP) [73]. Mechanical stress is regarded as one of the main etiologies of LF hypertrophy, which has been shown in both animal and human models, ultimately resulting in higher ligament stiffness [74•, 75]. Although there is a paucity of literature regarding primary hypertrophy of the SSL and ISL, morphological and functional similarities between the LF, SSL, and ISL support the idea that all three ligaments may undergo similar pathologic changes in response to mechanical stress [22, 23, 76].

Influence of (Non-ligamentous) Degenerative Pathology on Spinal Ligament

There are strong associations between LF thickening in cadaveric samples of lumbar and thoracic spinal segments with IVD degeneration and facet joint osteoarthritis [77–79]. Thickening of the LF was not independently associated with changes in biomechanical properties but tends to be observed at the L4-5 level and ipsilateral to the side of major pathology [79]. Those same reports and others have found

that the ISL and SSL also undergo reductions in ultimate strength and stiffness in response to age-related and non-age-related IVD degeneration [78], facet joint osteoarthritis [56•], and aging [56•]. Other biomechanical studies found that the ISL becomes more functionally important in flexion, taking on higher strain nearly equal to the SSL as compared to non-degenerated lumbar spine; possibly due to anteriorly translated internal axes of rotation (IAR) [32•]. A similar experiment using cadaveric samples under close to physiologic conditions (loading, 100% humidity, body temperature) also found significantly higher spinal segment stiffness at levels with degenerated discs in axial rotation and lateral bending; however, they did not directly measure the ligament elastic modulus [80]. Like the LF, SSL and ISL from degenerative spines show evidence of secondary hypertrophic ossification involving replacement of fibroblasts with chondrocytes, necrosis, and alterations in collagen-proteoglycan content and structure, suggesting that the ISL and SSL are subject to dynamic morphological changes like the LF, in the context of degenerative spine pathology [23, 72, 76].

Scoliotic and lordotic spines also appear to place abnormal stresses on spinal ligaments; however, how this influences ligament tensile properties is not well understood. Prior studies have demonstrated that hyper-lordosis recruits the SSL and ISL to a higher degree particularly in flexion as compared to normo-lordosis, while hypo-lordosis recruits the SSL and ISL to a lesser degree in flexion [61•]. Scoliotic T7-8 FSUs modeled by Little and Adam in 2011 demonstrated abnormal ligament recruitment during a physiologic range of motion. However, it is unclear how this translates into living subjects, as researchers only modeled a single FSU level [81]. Prior biochemical studies have demonstrated no noticeable differences in composition between normal and scoliotic spine ligament [25], yet others have shown biomechanical differences such as increased ligament stiffness of the SSL and ISL in cases of idiopathic scoliotic, when compared to the broader literature [48].

The Impact of Surgical Intervention on Ligament Health

Literature provides a strong hypothesis that the PLC ligaments become stiffer in response to stress. However, surgical intervention, such as incorporation of rigid surgical constructs during spinal fusion, or partial ligamentous resection during a posterior surgical approach may result in significant unloading or non-physiological mechanical loads. In 1998, using a sheep model of bilateral facetectomy and anterior L4-5 discectomy, Kotani et al. found that spinal fixation with transpedicular screws and plates compared to a sham control group resulted in decreased ultimate load and elastic modulus, and histological and morphological changes at the level of operation, which were most pronounced in the posterior

ligaments [71•]. To our knowledge, no other study has evaluated ligament health in this way in the context of spinal fixation. However, animal models of spinal decompression surgery have supported the notion that resection of the ISL during surgery reduces adjacent segment stability and results in increased intervertebral motion [82••, 83••]. In response to these findings, more recent surgical techniques often include the preservation or augmentation of ligament in an effort to prevent degenerative changes and maintain spinal stability post-operatively. One report of posterior pedicle screw fixation from T2-7 in sheep compared three groups, a control with all posterior spinal structures protected, an experimental group with the ISL and SSL completely resected, and an experimental group with the facet joints resected at UIV + 1 [84••]. Their findings suggest that protecting the SSL and ISL during a posterior surgical approach may be the most important factor in reducing PJK risk following instrumentation [84••]. However, this idea is under contention following recent surgical advances incorporating ligament augmentation techniques which show inconsistent results, warranting further study [6, 12, 19, 85•].

Conclusions

Spinal ligaments are essential in the functioning of the spinal column and are involved in stability, neural control, and protection of spinal structures. The PLC is thought to be the most clinically relevant subset of spinal ligaments in the thoracic and lumbar spine, composed of the SSL, ISL, and LF. Together, these ligaments are reported to account for approximately 30–40% of spinal stability and can be impaired in the presence of spinal pathologies. Ligamentous adaptation in the presence of pathologies such as spinal stenosis, osteoarthritis, deformity, and surgical intervention includes hypertrophy and reduction of tensile strength and stiffness, further affecting the capacity for stabilizing the spine. As a result, more recent surgical techniques often include the preservation or augmentation of ligament in an effort to prevent degenerative changes and maintain spinal stability post-operatively. However, the effectiveness of these techniques is still under contention and requires further study. Further research should focus on clarifying the differences in tensile properties and morphology of the PLC, especially from living subjects. Understanding the interaction between spinal pathology and ligamentous properties will highlight targets for potential therapeutic interventions. Likewise, future research may look to understand how ligament properties can be modified surgically or non-surgically to improve patient care and outcomes. Overall, appreciating the role PLC ligaments play in maintaining a healthy and stable spine is crucial in improving our understanding,

recognition, and treatment of spinal conditions in this patient population.

Funding This research received funding from the Scripps Clinical Medical Group Research Award #2021–0223. No other funding was received from agencies in the public, commercial, or not-for-profit sectors.

Declarations

Conflict of Interest Bradley Anderson and Bahar Shahidi declare no conflicts of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

References

Papers of particular interest, published recently, have been highlighted as:

- Of importance
 - Of major importance
- 1.●● Panjabi MM. A hypothesis of chronic back pain: ligament sub-failure injuries lead to muscle control dysfunction. *Eur Spine J Off Publ Eur Spine Soc Eur Spinal Deform Soc Eur Sect Cerv Spine Res Soc.* 2006;15:668–76. <https://doi.org/10.1007/s00586-005-0925-3>. **Review article describing a hypothesis of the role of spinal ligament in spinal pathology**
 2. Burke JF, Scheer JK, Lau D, Safaee MM, Lui A, Jha S, et al. Failure in adult spinal deformity surgery: a comprehensive review of current rates, mechanisms, and prevention strategies. *Spine.* 2022;47:1337–50. <https://doi.org/10.1097/BRS.0000000000004435>.
 3. Hart RA, McCarthy I, Ames CP, Shaffrey CI, Hamilton DK, Hostin R. Proximal junctional kyphosis and proximal junctional failure. *Neurosurg Clin N Am.* 2013;24:213–8. <https://doi.org/10.1016/j.nec.2013.01.001>.
 4. Kim HJ, Iyer S. Proximal junctional kyphosis. *J Am Acad Orthop Surg.* 2016;24:318–26. <https://doi.org/10.5435/JAAOS-D-14-00393>.
 5. Yagi M, Rahm M, Gaines R, Maziad A, Ross T, Kim HJ, et al. Characterization and surgical outcomes of proximal junctional failure in surgically treated patients with adult spinal deformity. *Spine.* 2014;39:E607–614. <https://doi.org/10.1097/BRS.0000000000000266>.
 6. Cho SK, Caridi J, Kim JS, Cheung ZB, Gandhi A, Inzana J. Attenuation of proximal junctional kyphosis using sublaminar polyester tension bands: a biomechanical study. *World Neurosurg.* 2018;120:e1136–42. <https://doi.org/10.1016/j.wneu.2018.08.244>.
 - 7.● Wang W, Sun X, Zhang T, Sun S, Kong C, Ding J, et al. Comparison between topping-off technology and posterior lumbar interbody fusion in the treatment of chronic low back pain: a meta-analysis. *Medicine (Baltimore).* 2020;99:e18885. <https://doi.org/10.1097/MD.00000000000018885>. **Meta-analysis describing benefit of topping-off techniques in preventing adjacent segment disease post-operatively compared to posterior lumbar interbody fusion alone.**

- 8.● Sun X, Chen Z, Sun S, Wang W, Zhang T, Kong C, et al. Dynamic stabilization adjacent to fusion versus posterior lumbar interbody fusion for the treatment of lumbar degenerative disease: a meta-analysis. *BioMed Res Int.* 2020;2020:9309134. <https://doi.org/10.1155/2020/9309134>. **Systematic review describing benefit of dynamic stabilization techniques at the upper instrumented vertebrae in reducing adjacent segment pathology compared to rigid posterior lumbar interbody fusion alone.**
9. Helgeson MD, Shah SA, Newton PO, Clements DH, Betz RR, Marks MC, et al. Evaluation of proximal junctional kyphosis in adolescent idiopathic scoliosis following pedicle screw, hook, or hybrid instrumentation. *Spine.* 2010;35:177–81. <https://doi.org/10.1097/BRS.0b013e3181c77f8c>.
10. Vercoulen TFG, Doodkorte RJP, Roth A, de Bie R, Willems PC. Instrumentation techniques to prevent proximal junctional kyphosis and proximal junctional failure in adult spinal deformity correction: a systematic review of clinical studies. *Glob Spine J.* 2022;12:1282–96. <https://doi.org/10.1177/21925682211034500>.
11. Safaee MM, Deviren V, Dalle Ore C, Scheer JK, Lau D, Osorio JA, et al. Ligament augmentation for prevention of proximal junctional kyphosis and proximal junctional failure in adult spinal deformity. *J Neurosurg Spine.* 2018;28:512–9. <https://doi.org/10.3171/2017.9.SPINE1710>.
12. Buell TJ, Bess S, Xu M, Schwab FJ, Lafage V, Ames CP, et al. Optimal tether configurations and preload tensioning to prevent proximal junctional kyphosis: a finite element analysis. *J Neurosurg Spine* 2019;30(5):574–84. <https://doi.org/10.3171/2018.10.SPINE18429>.
- 13.●● Safaee MM, Haddad AF, Fury M, Maloney PR, Scheer JK, Lau D, et al. Reduced proximal junctional failure with ligament augmentation in adult spinal deformity: a series of 242 cases with a minimum 1-year follow-up. *J Neurosurg Spine.* 2021;35:752–60. <https://doi.org/10.3171/2021.2.SPINE201987>. **Retrospective analysis of surgical ligament augmentation in 242 adult spinal deformity patients undergoing long spinal fusion that describes significant reductions in proximal junctional failure at 1-year follow up.**
14. Bess S, Harris JE, Turner AWL, LaFage V, Smith JS, Shaffrey CI, et al. The effect of posterior polyester tethers on the biomechanics of proximal junctional kyphosis: a finite element analysis. *J Neurosurg Spine.* 2017;26:125–33. <https://doi.org/10.3171/2016.6.SPINE151477>.
15. Wang W, Sun X, Zhang T, Sun S, Kong C, Lu S. Topping-off technology versus posterior lumbar interbody fusion in the treatment of lumbar disc herniation: a meta-analysis. *BioMed Res Int.* 2020;2020:2953128. <https://doi.org/10.1155/2020/2953128>.
16. Chang M-Y, Park Y, Ha JW, Zhang H-Y, Lee SH, Hong T-H, et al. Paraspinal lean muscle mass measurement using spine MRI as a predictor of adjacent segment disease after lumbar fusion: a propensity score-matched case-control analysis. *AJR Am J Roentgenol.* 2019;212(6):1310–17. <https://doi.org/10.2214/AJR.18.20441>.
17. Yagi M, Fujita N, Tsuji O, Nagoshi N, Asazuma T, Ishii K, et al. Low bone-mineral density is a significant risk for proximal junctional failure after surgical correction of adult spinal deformity: a propensity score-matched analysis. *Spine.* 2018;43:485–91. <https://doi.org/10.1097/BRS.0000000000002355>.
18. Anand N, Agrawal A, Ravinsky R, Khanderhoo B, Kahwaty S, Chung A. The prevalence of proximal junctional kyphosis (PJK) and proximal junctional failure (PJF) in patients undergoing circumferential minimally invasive surgical (cMIS) correction for adult spinal deformity: long-term 2- to 13-year follow-up. *Spine Deform.* 2021;9:1433–41. <https://doi.org/10.1007/s43390-021-00319-1>.
19. Kim JS, Cheung ZB, Arvind V, Caridi J, Cho SK-W. Role of posterior ligamentous reinforcement in proximal junctional kyphosis: a cadaveric biomechanical study. *Asian Spine J.* 2019;13:68–76. <https://doi.org/10.31616/asj.2018.0102>.
- 20.●● Bizdikian AJ, El Rachkidi R. Posterior ligamentous complex injuries of the thoracolumbar spine: importance and surgical implications. *Cureus.* 2021;13:e18774. <https://doi.org/10.7759/cureus.18774>. **Review article that describes the posterior ligamentous complex as the most important set of ligaments in thoracolumbar spine stability and the gaps in the literature regarding recognition and treatment of posterior ligamentous complex pathology.**
21. Olszewski AD, Yaszemski MJ, White AA. The anatomy of the human lumbar ligamentum flavum. New observations and their surgical importance. *Spine.* 1996;21:2307–12. <https://doi.org/10.1097/00007632-199610150-00001>.
22. Iwanaga J, Ishak B, Saga T, Singla A, Impastato D, Chapman JR, et al. The lumbar ligamentum flavum does not have two layers and is confluent with the interspinous ligament: anatomical study with application to surgical and interventional pain procedures. *Clin Anat N Y N.* 2020;33:34–40. <https://doi.org/10.1002/ca.23437>.
23. Yahia LH, Aktouf N. Lumbar spine ligaments: a quantitative ultrastructure study. *J Mater Sci Lett.* 1990;9:509–13. <https://doi.org/10.1007/BF00725859>.
24. Yahia H, Drouin G, Newman N. Structure-function relationship of human spinal ligaments. *Z Mikrosk Anat Forsch.* 1990;104:33–45.
25. Venn G, Mehta MH, Mason RM. Characterisation of collagen from normal and scoliotic human spinal ligament. *Biochim Biophys Acta.* 1983;757:259–67. [https://doi.org/10.1016/0304-4165\(83\)90116-2](https://doi.org/10.1016/0304-4165(83)90116-2).
26. Iwanaga J, Simonds E, Yilmaz E, Schumacher M, Patel M, Tubbs RS. Anatomical and biomechanical study of the lumbar interspinous ligament. *Asian J Neurosurg.* 2019;14:1203–6. https://doi.org/10.4103/ajns.AJNS_87_19.
27. Kirby MC, Sikoryn TA, Hukins DW, Aspden RM. Structure and mechanical properties of the longitudinal ligaments and ligamentum flavum of the spine. *J Biomed Eng.* 1989;11:192–6. [https://doi.org/10.1016/0141-5425\(89\)90139-8](https://doi.org/10.1016/0141-5425(89)90139-8).
28. Rissanen PM. The surgical anatomy and pathology of the supraspinous and interspinous ligaments of the lumbar spine with special reference to ligament ruptures. *Acta Orthop Scand Suppl.* 1960;46:1–100.
29. Hukins DW, Kirby MC, Sikoryn TA, Aspden RM, Cox AJ. Comparison of structure, mechanical properties, and functions of lumbar spinal ligaments. *Spine.* 1990;15:787–95.
30. Willems J, Jull G, Ng J-F. An in vivo study of the primary and coupled rotations of the thoracic spine. *Clin Biomech.* 1996;11:311–6. [https://doi.org/10.1016/0268-0033\(96\)00017-4](https://doi.org/10.1016/0268-0033(96)00017-4).
- 31.●● Panjabi MM, White AA. Basic biomechanics of the spine. *Neurosurgery.* 1980;7:76–93. <https://doi.org/10.1227/00006123-198007000-00014>. **Landmark study on spinal biomechanics, describing the importance of coupling motion of functional spinal units in overall spine function, and how disruption of osteoligamentous stabilizers at a given level has consequences across the spine.**
- 32.● Panjabi MM, Goel VK, Takata K. Physiologic strains in the lumbar spinal ligaments. An in vitro biomechanical study 1981 Volvo Award in Biomechanics. *Spine.* 1982;7:192–203. <https://doi.org/10.1097/00007632-198205000-00003>. **Biomechanical study of cadaveric lumbar spine ligaments detailing the importance of the posterior ligamentous complex ligaments in flexion.**

33. Yahia H, Newman N. A light and electron microscopic study of spinal ligament innervation. *Z Mikrosk Anat Forsch*. 1989;103:664–74.
34. Ambrosetti-Giudici S, G edet P, Ferguson SJ, Chegini S, Burger J. Viscoelastic properties of the ovine posterior spinal ligaments are strain dependent. *Clin Biomech Bristol Avon*. 2010;25:97–102. <https://doi.org/10.1016/j.clinbiomech.2009.10.017>.
35. Lucas SR, Bass CR, Crandall JR, Kent RW, Shen FH, Salzar RS. Viscoelastic and failure properties of spine ligament collagen fascicles. *Biomech Model Mechanobiol*. 2009;8:487–98. <https://doi.org/10.1007/s10237-009-0152-7>.
36. Bass CR, Planchak CJ, Salzar RS, Lucas SR, Rafaels KA, Shender BS, et al. The temperature-dependent viscoelasticity of porcine lumbar spine ligaments. *Spine*. 2007;32:E436–442. <https://doi.org/10.1097/BRS.0b013e3180b7fa58>.
37. Yahia LH, Audet J, Drouin G. Rheological properties of the human lumbar spine ligaments. *J Biomed Eng*. 1991;13:399–406. [https://doi.org/10.1016/0141-5425\(91\)90021-x](https://doi.org/10.1016/0141-5425(91)90021-x).
38. Tkaczuk H. Tensile properties of human lumbar longitudinal ligaments. *Acta Orthop Scand*. 1968;39:1–69. <https://doi.org/10.3109/ort.1968.39.suppl-115.01>.
39. Chazal J, Tanguy A, Bourges M, Gaurel G, Escande G, Guillot M, et al. Biomechanical properties of spinal ligaments and a histological study of the supraspinal ligament in traction. *J Biomech*. 1985;18:167–76. [https://doi.org/10.1016/0021-9290\(85\)90202-7](https://doi.org/10.1016/0021-9290(85)90202-7).
- 40.●● Dumas GA, Beaudoin L, Drouin G. In situ mechanical behavior of posterior spinal ligaments in the lumbar region. An in vitro study *J Biomech*. 1987;20:301–10. [https://doi.org/10.1016/0021-9290\(87\)90296-x](https://doi.org/10.1016/0021-9290(87)90296-x). **In vitro study of posterior thoracolumbar cadaveric spine ligaments that describes loss of roughly 40% of spinal resistance to flexion with resection of the ligamentum flavum, interspinous, and supraspinous ligaments.**
41. Edwards WT, Hayes WC, Posner I, White AA, Mann RW. Variation of lumbar spine stiffness with load. *J Biomech Eng*. 1987;109:35–42. <https://doi.org/10.1115/1.3138639>.
42. Widmer J, Cornaz F, Scheibler G, Spirig JM, Snedeker JG, Farshad M. Biomechanical contribution of spinal structures to stability of the lumbar spine—novel biomechanical insights. *Spine J*. 2020;20:1705–16. <https://doi.org/10.1016/j.spinee.2020.05.541>.
43. Costi JJ, Ledet EH, O’Connell GD. Spine biomechanical testing methodologies: the controversy of consensus vs scientific evidence. *JOR Spine*. 2021;4(1)e1138. <https://doi.org/10.1002/jsp2.1138>.
44. Nachemson AL, Evans JH. Some mechanical properties of the third human lumbar interlaminar ligament (ligamentum flavum). *J Biomech*. 1968;1:211–20. [https://doi.org/10.1016/0021-9290\(68\)90006-7](https://doi.org/10.1016/0021-9290(68)90006-7).
45. Adams MA, Hutton WC, Stott JR. The resistance to flexion of the lumbar intervertebral joint. *Spine*. 1980;5:245–53. <https://doi.org/10.1097/00007632-198005000-00007>.
- 46.●● Pintar FA, Yoganandan N, Myers T, Elhagediab A, Sances A. Biomechanical properties of human lumbar spine ligaments. *J Biomech*. 1992;25:1351–6. [https://doi.org/10.1016/0021-9290\(92\)90290-h](https://doi.org/10.1016/0021-9290(92)90290-h). **Only a cadaveric study to our knowledge describes all biomechanical parameters of each ligament using a consistent methodology as derived from 38 cadaveric models, many other studies only describe select biomechanical parameters of select ligaments.**
47. Mihara A, Nishida N, Jiang F, Ohgi J, Imajo Y, Suzuki H, et al. Tensile test of human lumbar ligamentum flavum: age-related changes of stiffness. *Appl Sci*. 2021;11:3337. <https://doi.org/10.3390/app11083337>.
48. Waters RL, Morris JM. An in vitro study of normal and scoliotic interspinous ligaments 1972:6.
49. Anderson AL, McIff TE, Asher MA, Burton DC, Glattes RC. The effect of posterior thoracic spine anatomical structures on motion segment flexion stiffness. *Spine*. 2009;34:441–6. <https://doi.org/10.1097/BRS.0b013e318198c62d>.
50. Akerblom B. Standing and sitting posture, with special reference to the construction of chairs. *Phys Ther*. 1949;29:486–486. <https://doi.org/10.1093/ptj/29.10.486c>.
51. Panjabi MM, Hausfeld JN, White AA. A biomechanical study of the ligamentous stability of the thoracic spine in man. *Acta Orthop Scand*. 1981;52:315–26. <https://doi.org/10.3109/17453678109050109>.
52. Hartmann F, Janssen C, B ohm S, Hely H, Rommens PM, Gercek E. Biomechanical effect of graded minimal-invasive decompression procedures on lumbar spinal stability. *Arch Orthop Trauma Surg*. 2012;132:1233–9. <https://doi.org/10.1007/s00402-012-1543-2>.
53. Myklebust JB, Pintar F, Yoganandan N, Cusick JF, Maiman D, Myers TJ, et al. Tensile strength of spinal ligaments. *Spine*. 1988;13:526–31.
54. Dickey JP, Bednar DA, Dumas GA. New insight into the mechanics of the lumbar interspinous ligament. *Spine*. 1996;21:2720–7. <https://doi.org/10.1097/00007632-199612010-00004>.
55. Hindle RJ, Percy MJ, Cross A. Mechanical function of the human lumbar interspinous and supraspinous ligaments. *J Biomed Eng*. 1990;12:340–4. [https://doi.org/10.1016/0141-5425\(90\)90010-K](https://doi.org/10.1016/0141-5425(90)90010-K).
- 56.● Iida T, Abumi K, Kotani Y, Kaneda K. Effects of aging and spinal degeneration on mechanical properties of lumbar supraspinous and interspinous ligaments. *Spine J Off J North Am Spine Soc*. 2002;2:95–100. [https://doi.org/10.1016/s1529-9430\(02\)00142-0](https://doi.org/10.1016/s1529-9430(02)00142-0). **Study of supraspinous and interspinous ligament derived from patients having undergone spinal surgery at the L4-5 level. Describes how aging and facet joint degeneration lead to maladaptations and diminished mechanical strength of the studied ligaments.**
- 57.● Damm N, Rockenfeller R, Gruber K. Lumbar spinal ligament characteristics extracted from stepwise reduction experiments allow for preciser modeling than literature data. *Biomech Model Mechanobiol*. 2020;19:893–910. <https://doi.org/10.1007/s10237-019-01259-6>. **Study of cadaveric ligament and finite element modeling which describes how stepwise reduction and study of each ligament individually leads to the generation of more accurate and predictive finite element modeling as compared to models which incorporate biomechanical parameters from larger datasets with mixed testing methodologies.**
58. Naserkhaki S, Arjmand N, Shirazi-Adl A, Farahmand F, El-Rich M. Effects of eight different ligament property datasets on biomechanics of a lumbar L4–L5 finite element model. *J Biomech*. 2018;70:33–42. <https://doi.org/10.1016/j.jbiomech.2017.05.003>.
59. Dreischarf M, Zander T, Shirazi-Adl A, Puttlitz CM, Adam CJ, Chen CS, et al. Comparison of eight published static finite element models of the intact lumbar spine: predictive power of models improves when combined together. *J Biomech*. 2014;47:1757–66. <https://doi.org/10.1016/j.jbiomech.2014.04.002>.
- 60.●● Zander T, Dreischarf M, Timm A-K, Baumann WW, Schmidt H. Impact of material and morphological parameters on the mechanical response of the lumbar spine—a finite element sensitivity study. *J Biomech*. 2017;53:185–90. <https://doi.org/10.1016/j.jbiomech.2016.12.014>. **Finite element modeling experiment which describes that biomechanical properties**

- of ligament are more important predictors of spinal function in comparison to biomechanical parameters of vertebral bone.**
61. ● Naserkhaki S, Jaremko JL, El-Rich M. Effects of inter-individual lumbar spine geometry variation on load-sharing: geometrically personalized finite element study. *J Biomech.* 2016;49:2909–17. <https://doi.org/10.1016/j.jbiomech.2016.06.032>. **Finite element modeling experiment which describes incorporation of patient-specific CT datasets in modeling spinal biomechanics. Additionally, describes that supraspinous and interspinous ligaments exert different levels of resistance to flexion depending on spinal lordosis.**
 62. Zander T, Rohlmann A, Bergmann G. Analysis of simulated single ligament transection on the mechanical behaviour of a lumbar functional spinal unit. *Biomed Tech (Berl).* 2004;49:27–32. <https://doi.org/10.1515/BMT.2004.006>.
 63. Zander T, Rohlmann A, Bergmann G. Influence of ligament stiffness on the mechanical behavior of a functional spinal unit. *J Biomech.* 2004;37:1107–11. <https://doi.org/10.1016/j.jbiomech.2003.11.019>.
 64. Wang J-L, Parnianpour M, Shirazi-Adl A, Engin AE. Viscoelastic finite-element analysis of a lumbar motion segment in combined compression and sagittal flexion: effect of loading rate. *Spine.* 2000;25:310–8. <https://doi.org/10.1097/00007632-20000210-00009>.
 65. ● Putzer M, Auer S, Malpica W, Suess F, Dendorfer S. A numerical study to determine the effect of ligament stiffness on kinematics of the lumbar spine during flexion. *BMC Musculoskelet Disord.* 2016;17:95. <https://doi.org/10.1186/s12891-016-0942-x>. **Finite element model of the lumbar spine that describes how alterations in ligament stiffness may predispose ligament to rupture, and place non-physiologic biomechanical loads on adjacent bony and fibromuscular structures.**
 66. ● Wu C-C, Jin H-M, Yan Y-Z, Chen J, Wang K, Wang J-L, et al. Biomechanical role of the thoracolumbar ligaments of the posterior ligamentous complex: a finite element study. *World Neurosurg.* 2018;112:e125–33. <https://doi.org/10.1016/j.wneu.2017.12.171>. **Finite element model which describes how rupture of the supraspinous ligament has the most significant effect on spinal stability in flexion.**
 67. Crisco JJ, Panjabi MM. The intersegmental and multisegmental muscles of the lumbar spine. A biomechanical model comparing lateral stabilizing potential. *Spine.* 1991;16:793–9. <https://doi.org/10.1097/00007632-199107000-00018>.
 68. Shirazi-Adl A, Ahmed AM, Shrivastava SC. Mechanical response of a lumbar motion segment in axial torque alone and combined with compression. *Spine.* 1986;11:914–27. <https://doi.org/10.1097/00007632-198611000-00012>.
 69. Panjabi MM, Yoldas E, Oxland TR, Crisco JJ. Subfailure injury of the rabbit anterior cruciate ligament. *J Orthop Res Off Publ Orthop Res Soc.* 1996;14:216–22. <https://doi.org/10.1002/jor.1100140208>.
 70. Neumann P, Keller TS, Ekström L, Hansson T. Effect of strain rate and bone mineral on the structural properties of the human anterior longitudinal ligament. *Spine.* 1994;19:205–11. <https://doi.org/10.1097/00007632-199401001-00016>.
 71. ● Kotani Y, Cunningham BW, Cappuccino A, Kaneda K, McAfee PC. The effects of spinal fixation and destabilization on the biomechanical and histologic properties of spinal ligaments: an in vivo study. *Spine.* 1998;23:672–82. <https://doi.org/10.1097/00007632-199803150-00006>. **Animal model of posterior spinal instrumentation and fusion that describes decreased biomechanical strength of the ligamentum flavum, interspinous and supraspinous ligaments, and resultant stress-shielding effect due to non physiologic mobilization which may serve as an impetus for low back pain.**
 72. Kłosiński M, Skrzat J, Walocha J, Mizia E. Contemporary views on the ossification of the ligamenta flava. *Ortop Traumatol Rehabil.* 2012;14:495–503. <https://doi.org/10.5604/15093492.1024716>.
 73. Hayashi K, Ishidou Y, Yonemori K, Nagamine T, Origuchi N, Maeda S, et al. Expression and localization of bone morphogenetic proteins (BMPs) and BMP receptors in ossification of the ligamentum flavum. *Bone.* 1997;21:23–30. [https://doi.org/10.1016/s8756-3282\(97\)00080-x](https://doi.org/10.1016/s8756-3282(97)00080-x).
 74. ● Tsukamoto N, Maeda T, Miura H, Jingushi S, Hosokawa A, Harimaya K, et al. Repetitive tensile stress to rat caudal vertebrae inducing cartilage formation in the spinal ligaments: a possible role of mechanical stress in the development of ossification of the spinal ligaments. *J Neurosurg Spine.* 2006;5:234–42. <https://doi.org/10.3171/spi.2006.5.3.234>. **Animal model which describes ossification and morphological alterations of spinal ligaments in response to repeated sub failure injury.**
 75. Furukawa K-I. Current topics in pharmacological research on bone metabolism: molecular basis of ectopic bone formation induced by mechanical stress. *J Pharmacol Sci.* 2006;100:201–4. <https://doi.org/10.1254/jphs.fmj05004x4>.
 76. Yahia H, Drouin G, Maurais G, Garzon S, Rivard CH. Degeneration of the human lumbar spine ligament. An ultrastructural study. *Pathol Res Pract.* 1989;184:369–75. [https://doi.org/10.1016/S0344-0338\(89\)80031-7](https://doi.org/10.1016/S0344-0338(89)80031-7).
 77. Yoshiiwa T, Miyazaki M, Notani N, Ishihara T, Kawano M, Tsumura H. Analysis of the relationship between ligamentum flavum thickening and lumbar segmental instability, disc degeneration, and facet joint osteoarthritis in lumbar spinal stenosis. *Asian Spine J.* 2016;10:1132–40. <https://doi.org/10.4184/asj.2016.10.6.1132>.
 78. Cornaz F, Widmer J, Farshad-Amacker NA, Spirig JM, Snedeker JG, Farshad M. Intervertebral disc degeneration relates to biomechanical changes of spinal ligaments. *Spine J Off J North Am Spine Soc.* 2021;21:1399–407. <https://doi.org/10.1016/j.spinee.2021.04.016>.
 79. Karavelioglu E, Kacar E, Gonul Y, Eroglu M, Boyaci MG, Eroglu S, et al. Ligamentum flavum thickening at lumbar spine is associated with facet joint degeneration: an MRI study. *J Back Musculoskelet Rehabil.* 2016;29:771–7. <https://doi.org/10.3233/BMR-160688>.
 80. Zirbel SA, Stolworthy DK, Howell LL, Bowden AE. Intervertebral disc degeneration alters lumbar spine segmental stiffness in all modes of loading under a compressive follower load. *Spine J.* 2013;13:1134–47. <https://doi.org/10.1016/j.spinee.2013.02.010>.
 81. Little JP, Adam CJ. Effects of surgical joint destabilization on load sharing between ligamentous structures in the thoracic spine: a finite element investigation. *Clin Biomech Bristol Avon.* 2011;26:895–903. <https://doi.org/10.1016/j.clinbiomech.2011.05.004>.
 82. ● Lee MJ, Bransford RJ, Bellabarba C, Chapman JR, Cohen AM, Harrington RM, et al. The effect of bilateral laminotomy versus laminectomy on the motion and stiffness of the human lumbar spine: a biomechanical comparison. *Spine.* 2010;35:1789–93. <https://doi.org/10.1097/BRS.0b013e3181c9b8d6>. **Cadaveric model comparing biomechanics of cadaveric spines post-laminotomy or post-laminectomy in the lumbar spine, which found that preservation of posterior ligaments with bilateral laminotomies results in significantly less hypermobility and less stiffness reduction compared with a full laminectomy.**
 83. ● Chen L-H, Lai P-L, Tai C-L, Niu C-C, Fu T-S, Chen W-J. The effect of interspinous ligament integrity on adjacent segment instability after lumbar instrumentation and laminectomy—an experimental study in porcine model. *Biomed Mater Eng.* 2006;16:261–7. **Animal model of posterior instrumentation in the lumbar spine which describes a greater likelihood of**

adjacent segment instability with resection of posterior ligamentous structures.

84. ●● Korkmaz M, Akgul T, Sariyilmaz K, Ozkunt O, Dikici F, Yazicioglu O. Effectiveness of posterior structures in the development of proximal junctional kyphosis following posterior instrumentation: a biomechanical study in a sheep spine model. *Acta Orthop Traumatol Turc.* 2019;53:385–9. <https://doi.org/10.1016/j.aott.2019.01.003>. **Animal model of posterior instrumentation in the thoracic spine which describes that interspinosus and supraspinous ligament integrity is more important and effective in preventing proximal junctional kyphosis as compared to facet joint integrity.**
85. ● Gibson JNA, Depreitere B, Pflugmacher R, Schnake KJ, Fielding LC, Alamin TF, et al. Decompression and paraspinous tension band: a novel treatment method for patients with lumbar spinal stenosis and degenerative spondylolisthesis. *Spine J Off J North*

Am Spine Soc. 2015;15:S23-32. <https://doi.org/10.1016/j.spinee.2015.01.003>. **Animal model of posterior instrumentation in the thoracic spine which describes that interspinosus and supraspinous ligament integrity is more important and effective in preventing proximal junctional kyphosis as compared to facet joint integrity.**

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

Springer Nature or its licensor (e.g. a society or other partner) holds exclusive rights to this article under a publishing agreement with the author(s) or other rightsholder(s); author self-archiving of the accepted manuscript version of this article is solely governed by the terms of such publishing agreement and applicable law.