

Primary pineal tumors: outcome and prognostic factors—a study from the Rare Cancer Network (RCN)

Salvador Villà · Robert C. Miller · Marco Krengli · Huda Abusaris ·
Brigitta G. Baumert · Stephanie Servagi-Vernat · Sefik Igdem ·
Anna Lucas · Susanna Boluda · René O. Mirimanoff

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Abstract

Purpose To better define outcome and prognostic factors in primary pineal tumors.

Materials and methods Thirty-five consecutive patients from seven academic centers of the Rare Cancer Network diagnosed between 1988 and 2006 were included. Median age was 36 years. Surgical resection consisted of biopsy in 12 cases and resection in 21 (2 cases with unknown resection). All patients underwent radiotherapy and 12 patients received also chemotherapy.

Results Histological subtypes were pineoblastoma (PNB) in 21 patients, pineocytoma (PC) in 8 patients and pineocytoma with intermediate differentiation in 6 patients. Six patients with PNB had evidence of spinal seeding. Fifteen

patients relapsed (14 PNB and 1 PC) with PNB cases at higher risk ($p = 0.031$). Median survival time was not reached. Median disease-free survival was 82 months (CI 50 % 28–275). In univariate analysis, age younger than 36 years was an unfavorable prognostic factor ($p = 0.003$). Patients with metastases at diagnosis had poorer survival ($p = 0.048$). Late side effects related to radiotherapy were dementia, leukoencephalopathy or memory loss in seven cases, occipital ischemia in one, and grade 3 seizures in two cases. Side effects related to chemotherapy were grade 3–4 leucopenia in five cases, grade 4 thrombocytopenia in three cases, grade 2 anemia in two cases, grade 4 pancytopenia in one case, grade 4 vomiting in one case and renal failure in one case.

S. Villà (✉)

Radiation Oncology, Catalan Institute of Oncology,
H. Universitari Germans Trías, Badalona,
Barcelona, Catalonia, Spain
e-mail: svilla@iconcologia.net

S. Villà · A. Lucas

Radiation Oncology, H. Duran Reynals, Catalan Institute
of Oncology, Hospitalet, Catalonia, Spain
e-mail: alucas@iconcologia.net

R. C. Miller

Radiation Oncology, Mayo Clinic, Rochester, MN, USA
e-mail: miller.robert@mayo.edu

M. Krengli

Radiation Oncology,
University Hospital Maggiore della Carità, Novara, Italy
e-mail: Krengli@med.unipmn.it

H. Abusaris

Radiation Oncology, Erasmus MC, Rotterdam, The Netherlands
e-mail: abusaris@hotmail.com

B. G. Baumert

Radiation Oncology (MAASTRO), GROW (School for
Oncology and Development Biology), Maastricht University
Medical Center (MUMC), Maastricht, The Netherlands
e-mail: brigitta.baumert@maastro.nl

S. Servagi-Vernat

Radiation Oncology, CHU Jean Minjoz, Besançon, France
e-mail: stephanie.servagivernat@orange.fr

S. Igdem

Radiation Oncology, Istanbul Bilim University, Istanbul, Turkey
e-mail: sigdem@suuperonline.com

S. Boluda

Pathology, HU Bellvitge, L'Hospitalet, Catalonia, Spain
e-mail: 34883@comb.es

R. O. Mirimanoff

Radiation Oncology, Centre Hospitalier Universitaire Vaudois,
Lausanne, Switzerland
e-mail: rene-olivier.mirimanoff@chuv.hospvd.ch

Conclusions Age and dissemination at diagnosis influenced survival in our series. The prevalence of chronic toxicity suggests that new adjuvant strategies are advisable.

Keywords Primary pineal tumors · Prognostic factors · Rare Cancer Network

Introduction

Pineal regional tumors account for <1 % of primary brain tumors, and primary tumors of the pineal gland (PPTs) represent <30 % of all pineal neoplasms [1, 2]. They are more frequent in the middle-eastern populations in the USA [3].

PPTs are a heterogeneous group of mass lesions originating in or adjacent to the pineal gland located on the diencephalic roof at the posterior extremity of the third ventricle [4] and represent a spectrum of neoplasms ranging from benign to malignant. Approximately, three-fourth of the tumors of the pineal region is malignant with a propensity of seeding in cerebral space fluid (CSF). PPTs have their origin in the pineocyte, a cell with photosensory and neuroendocrine functions. PPTs are classified as pineocytoma (PC) (WHO grade II), pineocytoma with intermediate differentiation (PID) (provisional WHO grade II–III) and pineoblastoma (PNB) (WHO grade IV) [2]. A fourth entity has been described recently: the so-called papillary tumor of the pineal region which has a higher risk of local recurrence [5]. PNB can arise at any age, but occurs most often in children. PC is most frequently encountered in adults [4, 6]. Clinically, it is not possible to differentiate PC from other pineal region lesions. The clinical signs and symptoms relate to increased intracranial pressure, neuro-ophthalmologic dysfunction (particularly Parinaud's syndrome), changes in mental status, dysfunction of the brain stem and cerebellum and, sometimes, hypothalamic-based endocrine abnormalities [5].

Because of the rarity of these tumors, the study of their biological characteristics and clinical outcome is difficult. The outcome may depend on several factors including histological subtype, staging at diagnosis and type of surgery, type and dose of radiotherapy, and administration of chemotherapy. For all pineal tumors, histologic subtype had the greatest impact on outcome [7]. The Rare Cancer Network (RCN) (<http://www.rarecancer.net>) has the aim to collect, analyze and publish data on either rare types of cancers or rare presentations of common cancers. We present a multicentric retrospective study on PPTs. The purpose of this report is to better define outcome and possible prognostic factors in the era of modern imagery (magnetic resonance imaging), surgery and adjuvant treatment of PPTs.

Patients and methods

This is a multicentric retrospective study of the RCN. All consecutive patients affected with PPTs from seven academic centers were analyzed following local ethics board approval. A questionnaire for recording clinical, treatment and outcome data was sent to participating centers. There were 35 patients with PPTs diagnosed between 1988 and 2006. All diagnoses were histopathologically confirmed and treated in the era of magnetic resonance imaging. The patients' workups are displayed in Table 1.

Overall survival and disease-free survival rates were calculated from the date of histological diagnosis by the Kaplan–Meier method [8]. The log-rank test was used to compare different survival functions according to clinical (age, gender, duration of symptoms, metastatic disease at diagnosis) and therapeutic factors (type of resection, radiotherapy, chemotherapy). A Cox model was used for continuous variables. Multivariate analysis was performed by Cox stepwise regression analysis to define the independent contribution of each factor [9]. All *p* values were derived from log-rank tests: a *p* value of ≤ 0.05 was considered to indicate statistical significance.

Results

There were 23 females and 12 males (F/M ratio 2:1). The median age was 36 years (range 2–76 years). Duration of symptoms ranged from 2 days to 5 years (median of 60 days) (Table 2). Two patients were corticosteroid dependant during all adjuvant treatment and at follow-up. At diagnosis, six patients with PNB had evidence of central nervous system seeding. In one patient, MRI showed synchronous brain metastases and leptomeningeal seeding. MRI of the spine was positive for metastatic disease in four patients with PNB. In addition, malignant cells in CSF were found in three patients with PNB, and one of them had evidence of leptomeningeal implants. Histological subtypes were PNB in 21 patients, PC in 8 patients and PID in 6 patients. One patient with definitive PNB at relapse was initially diagnosed with a pure germinoma. In 15 cases, immuno-histochemical analysis was available (10 PNB, 2 PC, 3 PID). All samples showed positivity for synaptophysin, five (3 PNB, 1 PC, and 1 PID) for neuron-specific enolase and three for glial fibrillary acidic protein (GFAP), cd5leu7 and *Ulex europaeus* lectin, respectively. In 11 cases, the tissue was reviewed by a neuropathologist (SB).

The type of surgical resection is displayed in Table 1. In a case without upfront surgery, pathology diagnosis was established at tumor relapse. In 15 cases, postoperative imaging was available within the first 72 h (in 20 patients within the first 29 days). All patients underwent RT. In

Table 1 Workup and surgical procedures for patients with primary pineal tumors

Workup	No metastases cases	Metastases cases	Not done cases
Brain CT scan	28	0	7
Brain MRI scan	31	1	3
Spinal cord MRI	12	4	19
CSF cytology	21	3	11
Thoracic X-ray/CT	24	0	11
Bone scan	2	0	33
Surgical procedures			
Suboccipital/subtentorial	14 p		
Transcallosal	1 p		
Ventriculostomy (stereotactic biopsy)	11 p		
Interhemispherical	1 p		
Brotschi	1 p		
Lumbar laminectomy	1 p		
Unknown	5 p		
No surgery at first time	1 p		
Type of resection			
Biopsy	13 p		
Partial resection	13 p		
Complete resection	8 p		
Unknown	1 p		

p patients

nine cases, RT doses to the tumor bed were lower than 54 Gy (median 54 Gy, range 7–59.4). One patient (PNB) only received 7 Gy because of early progression. In 13 patients, RT was only focal to the primary tumor site; 10 of them had PC (6) or PID (4) histology. Only a patient received tetra-ventricular RT (VRT) (PNB case), without whole brain irradiation (WBI) (50 Gy in 25 fractions).

Table 2 Clinical presentation of the 35 Rare Cancer Network primary pineal tumor patients

Clinical symptoms and signs	Number of cases (%)
Isolated headache	17 cases (49)
Ocular disturbances (Parinaud inclusive)	16 cases (46)
Instability/ataxia	9 cases (26)
Increased intracranial pressure	6 cases (17)
Lethargy/somnolence	5 cases (12)
Neurocognitive problems	4 cases (11)
Dizziness	3 cases (9)
Backache	2 cases (6)
Seizure	1 case (3)
Anorexia	1 case (3)
Urinary incontinence	1 case (3)
Aphasia	1 case (3)
Hydrocephalus	1 case (3)
Polydipsia	1 case (3)
Facial palsy	1 case (3)

WBI was delivered to 16 patients (range 25–45 Gy), 13 with PNB and 3 with PID. Craniospinal irradiation (CSI) was delivered to 13 patients (all with PNB) (range 25–43 Gy). The median daily dose was 1.8 Gy (range 1.5–2.0 Gy) for all volumes treated. Five patients received fractionated radiotherapy with stereotactic conditions.

Chemotherapy was administered in 12 patients (platinum-based in 8 patients) and was prescribed to PNB patients (11 cases) and for 1 case of PID.

For all patients, the median follow-up was 44 months (range 2.4–275), and for alive patients 68.2 months (range 7–275). Two patients with disease (one PC and one PNB) were lost of follow-up at 7 months and 23 years, respectively. Fifteen patients relapsed (14 PNB and 1 PC) with a median time of 18 months (range 1–82). Sites of relapse were inside RT volumes in 16 locations, outside in 6 locations and both in 3 locations. Three of them received <54 Gy on GTV, but total dose did not result as a significant prognostic factor for tumor relapse. Significantly, patients with PNB were more prone to relapse ($p = 0.031$). At the time of analysis, 13 patients were alive without evidence of disease, 9 patients were alive with stable disease, 11 patients died because of tumor progression and 2 patients died without evidence of disease. Median survival time for patients who died from disease was 25 months (range 2–138). The overall median survival time was not reached. The median of disease-free survival was 82 months (CI 50 %, 28–275).

In univariate analysis, age younger than 36 years was an unfavorable prognostic factor (log rank = 8.49, $p = 0.003$) (Fig. 1). Furthermore, patients with metastases at diagnosis had poorer survival (log rank = 3.88, $p = 0.048$) (Fig. 2). A trend for poorer survival was seen in patients with PNB. In multivariate analyses, no variable resulted as independent prognostic factor.

The most severe late effects possibly related to radiotherapy were dementia, leukoencephalopathy and memory loss in seven cases, grade 3 seizures in two cases, occipital ischemia in one case, long-term leucopenia in one case and grade 4 thrombocytopenia in one case (this case was only treated with RT). No relation with RT volumes could be established with toxicity. More serious late effects probably related to chemotherapy were grade 3 neutropenia in four cases, grade 4 pancytopenia in one case, grade 4 vomiting in one case and renal failure in one case.

Discussion

PPTs are rare in the context of clinical oncology. Modern series using MRI, immunohistochemistry, more advanced surgical techniques and more precise radiotherapy are more illustrative to demonstrate the real current outcome of these tumors [1, 10–16]. However, the rarity of PPTs makes the possibility of conducting prospective clinical trials a very difficult task. Our RCN series is subject to the same limitations of any retrospective study. However, we intended this study for increasing knowledge of these patients in the modern era.

The gender ratio of 2:1 in our study is in favor of female patients which confirm data initially reported by Fauchon et al. [1].

On the other hand, age seems to be significantly linked to the degree of malignancy and is related to the different histologies [1, 4].

Completeness of staging is crucial to define prognosis for these patients. In the CCG trial, four children with PNB had disseminated disease at diagnosis and developed progression of disease with a median of 4 months, in contrast to higher significant overall survival in patients with localized disease [17]. Currently, initial staging should include MRI of the spinal cord [1] and CSF cytology [18]. Both procedures were performed in 33 cases of our series. This figure is higher than those of previous series [1]. Meningeal spread was observed in six patients (17%), all with PNB. In previous pediatric series, 16–45% of patients presented with spinal spread [18–21].

Different surgical approaches [15, 22] and aggressiveness of surgery did not improve survival significantly in our study, as reported by others [1]. Consequently, residual disease after surgery does not adversely affect outcome,

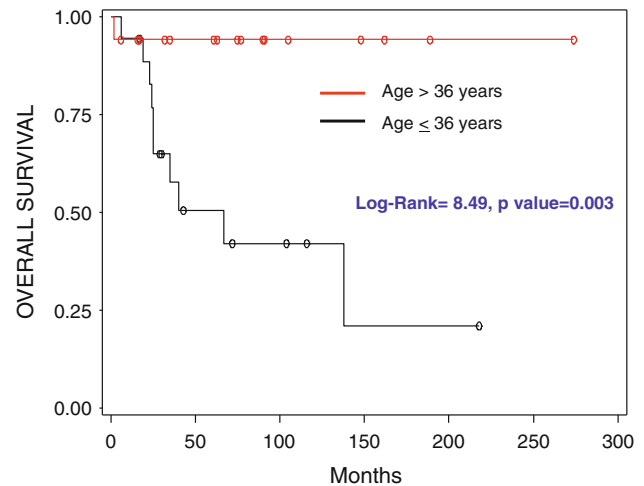


Fig. 1 Significant difference in overall survival by age. RCN primary pineal tumor study

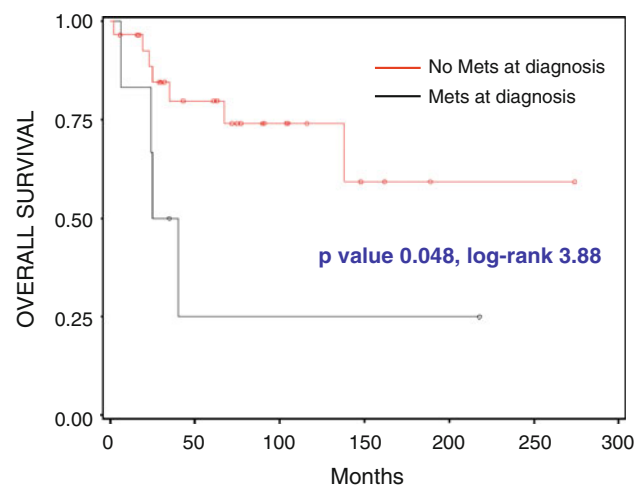


Fig. 2 Significant difference in overall survival in patients without metastases at diagnosis. RCN primary pineal tumor study

although its presence can be used to monitor response to treatment [12]. Of our 35 patients, 20 (57%) in contrast to 77% in the French series [1] had postoperative neuroimaging, but information regarding the extent of residual disease was incomplete.

The knowledge of histological subtypes should allow us to determine the guidelines for adjuvant therapy because of the varying level of aggressiveness of such lesions, but the literature is scarce regarding this.

No prospective series have addressed the issues of different RT techniques and dose levels for PPTs treatment. In the 1990s [23], most patients receiving <50 Gy to the primary tumor (86%) developed a local failure. In contrast, no patient receiving 50 Gy or more developed local relapse. Local failure occurred in one of four and four of nine in PC and PNB patients, respectively. Of the patients with potentially seeding tumors who received local-field or

Table 3 Series of more than ten patients with primary pineal tumors (period 1993–2010)

Series	N	PC	PID	Mix PPT	PNB	OS/MST/DFS	Surgery	Focal RT	WBI	CSI	Chemo	Responses/relapses
Fuller 93 [7]	208				11	DFS 66 %	NA			1	1	CR 1; 1 p AWD
Duffner 95 [20] POG	11				11	OS 9 months	NA			Delayed	Upfront	1 PR; 5 SD; 5 PD; 0 CR
Jakacki 95 [17] CCG-921	25				25					Delayed <18 months age; 17 p	8 drugs 1 day vs. 3 drugs	Median 4 months w/o RT; 61 PFS for p with RT
Chang 95 [18]	11	adults			11	MST 30 months + staged; MST 26 months (-) staged	R 9; BX 2	NA	NA	NA	NA	Median of relapses: 10 months + staged
Schild 96 [21]	135	global	9	4	2	OS 5 years PC 86 %; 49 % PID/MIX/PNB	NA	3	5	6	6 p PNB + PID + Mix	Relapses spine: 57 % PNB + PID + Mix
Tsumanuma 99 [4]	13	global	4	4	4	OS PNB: 9–14 months; mix: 9–168 months; PC 7–179 months	NA	NA	NA	NA	NA	NA
Timmermann 02 German trials [14]	11				11	OS 3 years: 48 %; DFS 67 %	NA	NA	NA	NA	Yes: all adjuvant, other NA	Significantly more P in pre-RT chemo patients. RT doses and volumes influenced PFS
Fauchon 00 [1]	76		19	28	Mix + PID	MST 85 months; OS 54 % 5 years, 35 % 10 years	R 31; BX 30	25	8	18	NA	NA
Lutterbach 02 [12]	101	adults	0	37	64	MST 100 months, 5 years 62 %, 10 years 41 %; median PFS 71 months	R 56; BX 44	56 postop. 45 primary RT, focal 22	16	61	34, four different schedules	18 relapsed pineal, 17 spine, 3 both, 3 outside CNS
Pizer 06 [27]	14	children			14	OS 3 years 93 %, 5 years 71 %; EFS 3 years 93 %, 5 years 71 %	NA			14	10	NA
Hinkes 07 [26] German trials	11	children		1	10	MST 8.8 years, old age; 0.9 years young age; PFS 7.9 years	Partial information			11	HIT91, HIT-SKK87/92	No full information
Gilheeny 08 [28]	13	children			13	Old age: 0.9 young age 0.6 years	TR 3; PR/BX 7	2 focal	1	8	All 13; 3 p high dose and stem cell rescue	NA

Table 3 continued

Series	N	PC	PID	Mix PPT	PNB	OS/MST/DFS	Surgery	Focal RT	WBI	CSI	Chemo	Responses/relapses
Stoiber [16]	14	4	1		9	NA	TR 4; PR/BX 10	4 p PC 1p PID	3 p PNB	6 p PNB	2 PNB pre-RT 2 HIT trials	PC 1 relapsed PID 1 NED PNB 7 relapsed
Current series	35	8	6		21	MST not reached PNB: 25 months; DFS 82 months	TR 8; PR 13; BX 12	13	16	13	12 p; 11 PNB; 1 PID	15 p relapsed; median 18 months

Lutterbach [12] review: patients from previous series and proper series

p patients, *PC* pineocytoma, *PID* PC with intermediate differentiation, *Mix PPT* mix histology of primary pineal tumor, *PNB* pineoblastoma, *OS* overall survival, *MST* median survival time

only WBI, four of eight had leptomeningeal failures, as opposed to patients who received CSI (14 %). The same group later defined volumes and doses of radiotherapy [21]. Patients with no risk of spinal metastases can be given WBI, because the spinal seeding appears to be no greater than after CSI. The primary tumor should receive 50.4–54 Gy, and spinal metastases should receive 45 Gy, in 1.8 Gy fractions. Prophylactic radiotherapy should deliver 30–45 Gy to uninvolved high-risk areas. Patients with PC can be irradiated to the local tumor alone. Furthermore, the CCG-921 trial report is the only one which suggests that CSI has a significant impact on survival, with a 3-year event-free survival of 61 % [17]. The authors stressed, however, the early delayed toxicity of the CSI. Changes of fractionation did not improve results [24]. In general, our radiation approach was similar to the reported series using higher doses than 50 Gy to the tumoral bed and CSI for patients with histologies of high-risk dissemination. Conventional techniques were the rule. However, five patients received radiotherapy with stereotactic conditions that could reduce late toxicity. Leptomeningeal seeding was observed in PNB cases, like other series [11, 13].

The role of chemotherapy is still very controversial. It seems to be indicated in high-risk patients. All but one patient of our series who received chemotherapy had PNB. Although some series reported promising results in few cases [23, 25], this perspective was not confirmed by prospective clinical trials. In the Pediatric Oncology Group (POG) trial [20], postoperative chemotherapy delaying CSI ultimately failed for all children. A similar lack of efficacy of chemotherapy was seen in the CCG trial [17] for children younger than 18 months. The delay of radiotherapy seemed to yield worse results than the combination of radiotherapy and chemotherapy, as demonstrated by Hinkes et al. [26]. Their results showed that the five older patients who received chemotherapy and CSI were still alive (median overall and progression-free survival of 7.9 years). In contrast, all five children younger than 3 years, who deferred CSI, died of tumor progression (median overall survival of 0.9). In our series, no patient was scheduled to receive delayed radiotherapy. On the other hand, adding chemotherapy to radiotherapy was not more advantageous in the PNETs SIOP trial [27]. Specifically, however, for pineal region tumors, a better overall survival was observed compared to other locations. In a more recent series of 13 PNB patients [28], adequate surgery combined with CSI and chemotherapy appeared to be correlated with improved survival and event-free survival ($p = 0.05$ and $p = 0.03$, respectively). Event-free survival and overall survival rates for pineal tumors were 92.9 and 71.4 % at 3 and 5 years, respectively, but for non-pineal primary they were both 40.7 %, at 3 and 5 years.

Anecdotal reports have been observed in the literature concerning late side effects. In the series of Schild et al. [23], two patients were noted to have an impaired memory after only focal irradiation. In the French series [1], one case of radiation necrosis and one case of encephalitis were observed. In the CCG-921 trial [17], all patients had significant neurocognitive deficits, and two of them were considered as profoundly neurologically devastated. We consider that side effects in our series can also be related to other treatment options (surgery, radiotherapy and chemotherapy) and the sequelae of the tumor itself. However, side effects likely related to radiotherapy (dementia, leukoencephalopathy, memory loss, occipital ischemia, seizures) reported in our study are not negligible. Late side effects due to chemotherapy (severe pancytopenia, renal failure) are also noteworthy.

The site of relapse is closely related to the tumor grade [1]. Spinal deposits are common with PNB [17, 20, 21]. In the POG experience [20], all 11 reported children ultimately failed after chemotherapy, and all but one who had complete metastatic assessment presented with distant seeding at progression. In the French series [1], combining adult and pediatric PNB patients, spinal relapses were more frequent than previously reported [7]. In contrast, in our experience, in only one patient PC recurred, as in the French series [1]. This is an indication for primary surgical approach alone [1, 23]. Our series points out that the risk of local recurrence in PID patients and CSF metastases occurs in a minority, in contrast to others [29, 30]. In our series 15 patients relapsed (14 PNB cases, 1 PC case). All these relapses occurred inside the radiotherapy fields. Significantly, patients with PNB were more relapse prone.

Given the paucity of series, survival data on PPT patients are scarce. Table 3 shows the main series reported in the literature and their patients' outcome. In our series, the results are comparable. The median follow-up of patients alive was almost 6 years, probably not enough to define very late relapses.

In conclusion, the combined treatments for PPTs in this series achieved good overall survival. Age, dissemination at diagnosis and, probably, histological subtypes influenced survival in our series. The prevalence of chronic toxicity suggests that new strategies in radiotherapy and chemotherapy are advisable. Further studies are needed to really define different diseases of PPTs concerning histological subtypes and individualized therapeutic strategies.

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