Shunt hybrid active power filter for harmonic mitigation: A practical design approach

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MS received 9 June 2014; revised 13 October 2014; accepted 23 January 2015

Abstract. The increasing importance of Power Quality problems has been responsible for several improvements in Active Power Filter (APF) typologies in the last decade. The increased cost and switching losses make a pure shunt APF economically impractical for high power applications. In higher power levels shunt Hybrid Active Power Filter (HAPF) has been reported to be a useful approach to eliminate current harmonics caused by nonlinear loads. This paper presents a control strategy and design criteria for transformer-less shunt HAPF with special attention to the integration of series passive filter. The paper also compares the performance improvement of passive harmonic filter when modified as shunt HAPF. Experimental results obtained verify the viability and effectiveness of the proposed design criteria and control algorithm.

Keywords. Power quality (PQ); harmonic compensation; passive power filter (PPF); active power filter (APF); hybrid active power filter (HAPF); PWM converter.

1. Introduction

As technology advances, nonlinear loads are multiplying in numbers and the resulting complexity throws tremendous challenge to the quality of power supply. Because of the strict requirement of power quality at the input a.c. mains, various harmonic standards and engineering recommendations such as IEC 1000-3-2, IEEE 519 (USA), AS 2279, D.A.CH.CZ, EN 61000-3-2/EN 61000-3-12, and ER G5/4 (UK) are employed to limit the level of distortion at the PCC. To comply with these harmonic standards, installations utilizing power electronic and nonlinear loads often use one of the growing numbers of harmonic mitigation techniques (Hussein Kazem 2013).

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Conventionally, the Passive Power Filter (PPF), consisting of a bank of tuned LC filters connected in shunt with the load, is used to solve the problems of harmonic pollution. At a tuned harmonic frequency, Shunt PPF exhibits lower impedance than the source impedance; hence, it bypasses major part of the harmonic currents to reduce the harmonic currents flowing into the source. In principle, filtering characteristics of the shunt PPF are determined by the impedance ratio of the source and the shunt PPF. This introduces strong influence of source impedance in its filtering characteristics. Other inherent problems, which discourage the use of PPF are that they cannot modify their compensation characteristics by following the dynamic changes of the nonlinear load harmonics spectrum and are also susceptible to sinking the harmonics injected by other loads in the grid. Also the probability of generating series resonances with the power system's equivalent reactance reduces power system stability. Another disadvantage of PPF is related to the small design tolerances acceptable in the values of L or C, which can modify significantly the filter resonant frequency. For example, a 5% difference in the selected value of L or C in a second-order filter, tuned at 250 Hz (5th harmonics) modifies the designed resonant frequency by 7% with respect to the selected design value. This change in the value of the resonant frequency affects the filter current harmonic compensation performance. In particular, for industrial loads connected to stiff supply (low source impedance), it is difficult to achieve PPF with sharp tuning and high quality factor (Makram et al 1993), so that it diverts a significant part of the load harmonic current (Das 2004; Wu et al 1998). In summary since PPF is not a current controlled system, the amount and order of harmonics sinking/sourcing is uncontrollable. To overcome these shortcomings of PPF, various Active Power Filter (APF) configurations have been reported. At the same time, the following problems of shunt APF have been pointed out (Peng 1998; Akagi et al 2003). The Volt Ampere (VA) rating of the PWM converter in these APF becomes very large because they must withstand the line-frequency source voltage and supply the harmonic currents. Realizing such a large rated PWM converter with rapid current response with limited d.c. bus voltage is difficult. As an alternative to overcome the problems of PPF and pure APF, and inheriting the advantages of both, hybrid active power filters (HAPF) have been proposed (Akagi 1996). They are realized by combining both passive and active power filters. Two types of HAPF were proposed in 1988 (Akagi 1996) and in 1990 (Fujita & Akagi 1991), respectively. Simplified circuit configurations of Series HAPF (Akagi 1996; Peng et al 1993) and Shunt HAPF (Rastogi et al 1995; Bhattacharya et al 1997) were established. In series HAPF, physical isolation for maintenance becomes difficult and it must handle full load currents. In shunt HAPF, APF is connected in series with PPF and the whole system is connected in parallel to the load. This is a more implementation friendly topology. It is shown that shunt HAPF does not require any transformer for connecting even up to 6.6 kV grid (Inzunza & Akagi 2005).

The control algorithms of APF are in continuous development (Uyyuru *et al* 2009). The first one was proposed on the basis of the Instantaneous Reactive Power Theory (Herrera *et al* 2008; Akagi *et al* 1986); other ones are based on the Synchronous Reference Frame (Bhattacharya *et al* 1991); also some extensions of them have been developed (Komatsu & Kawabata 1997; Soares *et al* 1997). Recently, ANN based Predictive and Adaptive controller is proposed (Avik Bhattacharya & Chandan Chakraborty 2011).

The authors could not find a literature which gives the design methodology of shunt HAPF. This paper presents design criterion and control strategy for transformer-less shunt HAPF with special attention to the integration of series passive filter with the support of theoretical analysis. Experimental results also obtained to compare the performance of existing passive filter when reconfigured as shunt HAFP. The design criteria and control algorithm were validated on a 415 V, 50 Hz, 50 kVA laboratory system.

2. Shunt hybrid active power filter implementation

2.1 Working principle

The shunt HAPF topology used in this paper, shown in figure 1, is implemented with a threephase PWM voltage-source converter connected in series to the passive filter built using inductor, L_f , and capacitor, C_f . The filter capacitor, C_f , is designed to offer high impedance to the line frequency and drops most of the grid voltage across it. Hence effectively no or negligible fundamental voltage appears across the a.c. terminals of the APF used in the shunt HAPF. This reduces the d.c. voltage requirement to a much lower level and allows it to connect to grid directly without transformer. This in turn reduces the VA rating of APF and makes shunt HAPF topology suitable for high power applications. It may be noted that since grid voltage appears across the LC filter, it will force a fundamental reactive current to flow into it.

To compensate the harmonics present in the grid current the scheme will force all the harmonic currents present in the load current to flow into shunt HAPF, whereas it restricts the sinking of other harmonic currents present in the grid. This improves the filtering performance of the passive filter, and prevent from overloading. Moreover, compensation characteristics of already installed PPF can also be significantly improved by connecting an APF in series with PPF, giving more flexibility and adding insensitivity to grid parameter variations.

(a) Starting procedure

Following are the practical operational sequence of the Shunt HAPF (SHAPF).

Figure 1 shows the one line diagram of the SHAPF connected to a distribution feeder. T_1 is a distribution transformer and the non-linear load is represented by a diode bridge connected to a d.c. capacitor *C* and load resistor *R*. SHAPF consists of an L-C series filter L_f , C_f tuned for a dominant lower harmonics frequency, connected to the 3 phase IGBT based PWM converter through a combination of *contactor*, Resistor R_1 and solid state relay *SSR*.

Before starting SHAPF, a.c. capacitor, C_f , should be pre-charged to prevent over-currents during startup. After powering the control supply the start action is initiated. Now, the three



Figure 1. Shunt hybrid active power filter.

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upper IGBTs of the converter are turned on while the bottom three IGBTs are turned off. Then the *SSR* will be made on bringing the resistance, R_1 of value 50 Ω , into the power circuit, forming wye-connected LCR filter. The resistor R_1 prevents over-currents from flowing into the shunt HAPF at startup. After a time of 400 ms, the *contactor* will be turned on, bypassing the resistor. After this, the PWM signal will be provided to IGBTs based on the modulating signals generated by controller.

2.2 Design procedure

The criteria used for the design of major components present in the shunt HAPF are described below.

(a) Selection of resonant frequency (f_r) of the LC filter

The resonant frequency of passive LC filter present in the shunt HAPF is given by,

$$f_r = \frac{1}{2\pi\sqrt{L_f C_f}}\tag{1}$$

Sensibly the resonant frequency should be selected close to the predominant load current harmonic frequency which needs to be compensated. Since the passive filter selected is a single tuned filter, while selecting the resonant frequency, the focus should be to minimize the impedance offered to the load current harmonics that are being compensated. In this work the load harmonics considered for compensation are 5^{th} , 7^{th} , 11^{th} and 13^{th} order. Hence the resonant frequency is chosen as 7^{th} harmonic order, since it offers less impedance to the 11^{th} and 13^{th} harmonic components, compared to that tuned for 5^{th} harmonic frequency. Hence, if f is nominal grid frequency,

$$\frac{f_r}{f} = 7\tag{2}$$

(b) Design of filter inductor, L_f and filter capacitor, C_f

The selection of inductance, L_f , and capacitance, C_f , has many criteria that should be considered simultaneously. The PPF should have minimum impedance at the frequencies of harmonic that are being compensated, such as 5th, 7th, 11th and 13th, which can be achieved by increasing bandwidth by reducing the characteristic impedance given by,

$$Z = \sqrt{L_f/C_f} \tag{3}$$

Characteristic impedance, from (3), can be reduced by increasing C_f and reducing L_f . But large value of C_f will introduce a large capacitive reactive current to flow through the shunt HAPF, which will have more impact on source p.f. and increase the current rating. On the other hand, reducing the value of L_f increases the switching ripple in the shunt HAPF current. Hence, selection of L_f and C_f is a trade-off between various conditions, which is represented in inequalities detailed below:

(i) The upper limit of the filter capacitor value is fixed such that capacitive reactive current drawn by it is only 10% of the rated load current. In such condition, even if the load feeder p.f. is

unity the grid is degraded only by 0.5%, whereas for lagging load p.f. it will improve the situation. If the resistance of passive filter and the relatively small fundamental pole voltage of converter are neglected, this capacitive reactive current is given by

$$I_{conv(fund)} = \frac{V_{grid}}{\left| \left(2\pi f L_f - \frac{1}{2\pi f C_f} \right) \right|} \le 0.1 I_L \tag{4}$$

where V_{grid} is the rated phase to neutral grid voltage, f is the nominal grid frequency and I_L is the rated load current.

Rewriting (4) by applying (1) and (2),

$$L_f \ge \frac{V_{grid}}{9.6\pi f I_L} \tag{5}$$

(ii) Choosing a smaller value of L_f increases the switching voltage ripple at Point of Common Coupling (PCC). By considering high frequency equivalent circuit of figure 1, the source inductance L_s and passive filter inductance L_f will form a voltage divider circuit at the switching frequency. Hence, the ratio of switching ripple voltage at PCC to the active power filter terminal is given by,

$$\frac{V_{sw(PCC)}}{V_{sw(APF)}} \approx \frac{L_s}{L_s + L_f} \tag{6}$$

where L_s is the source inductance, which is equal to the leakage inductance of transformer T₁ shown in figure 1. Limiting the maximum switching ripple appearing at PCC voltage due to APF voltage to 10%, (6) can be rewritten as,

$$L_f \ge 9L_s \tag{7}$$

(iii) Consider a half switching cycle. It can be shown from the Space vector PWM generation technique, that when the magnitude of B-phase modulating signal is highest among the three phases and that of Y-phase is lowest, R-phase terminal switches between 0 and $\pm V_{dc}/3$, with respect to the fictitious neutral point. Since, maximum peak to peak ripple in the active filter current occurs when switches are in the active vector stage and duty ratio is 50%,

$$\Delta i_{pk-pk} = \frac{V_{dc}}{3} \frac{T_{on}}{L_f} = \frac{V_{dc}}{3} \frac{T_s/2}{L_f} = \frac{V_{dc}}{6L_f f_s}$$
(8)

where V_{dc} is the d.c. voltage, T_{on} is ON time of the switch, T_s is the switching period and f_s is the switching frequency of active power filter.

Limiting the maximum peak to peak ripple to 15% of the rated active filter current, (8) can be rewritten as

$$L_f \ge \frac{V_{dc}}{0.9f_s I_{conv}} \tag{9}$$

where I_{conv} is the peak value of rated shunt HAPF current.

As discussed earlier, for better bandwidth of passive filter, L_f should be minimised. The minimum value of L_f is given by the minimum of (5), (7) and (9) which also give the upper limit of C_f . Once L_f is fixed, C_f can be calculated from (1).

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(c) d.c. voltage of shunt HAPF

Since the fundamental frequency grid voltage is dropped across C_f , the d.c. voltage is required only to inject the harmonic current required by the load, by the help of the converter. The harmonic voltage appearing across the passive filter when active power filter inject this current is given by,

$$v_{filter} = R_f i_{conv} + L_f \frac{di_{conv}}{dt} + \frac{1}{C_f} \int i_{conv} dt \tag{10}$$

where R_f is the equivalent resistance of the passive filter. Here the d.c. bus voltage is fixed as 300V which is sufficient to generate harmonic voltage v_{filter} .

(d) d.c. bus capacitance, C_{dc} , and its ripple current

If the internal losses in the shunt HAPF is neglected the power balance equation between d.c. and a.c. variable is given by,

$$V_{dc}I_{dc} = V_{Rgrid}I_{Rconv} + V_{Ygrid}I_{Yconv} + V_{Bgrid}I_{Bconv}$$
(11)

where V_{Rgrid} , V_{Ygrid} and V_{Bgrid} are the R-phase, Y-phase and B-phase grid voltages respectively, I_{Rconv} , I_{Yconv} and I_{Bconv} are the R-phase, Y-phase and B-phase shunt HAPF current respectively and I_{dc} is the averaged d.c. current of shunt HAPF.

For a balanced system the average value of the d.c. current will result into zero. But due to switching action the instantaneous current through the capacitor is not zero and exact analytical method to derive d.c. current is complex in nature. An empirical formula derived for the r.m.s. value of ripple current in the d.c. capacitor, C_{dc} , shown in figure 1, using simulation by taking various conditions is given by,

$$I_{c(rms)} = \frac{I_{conv}}{2} \tag{12}$$

For the worst case design, considering the frequency of ripple current as 6^{th} harmonic, capacitance of the d.c. bus capacitor, C_{dc} , is given by,

$$C_{dc} = \frac{I_{c(rms)}}{3\sqrt{2\pi}f\,\Delta V_{dc(pk-pk)}}\tag{13}$$

where $\Delta V_{dc(pk-pk)}$ is the peak to peak ripple in the d.c. voltage.

3. Control algorithm

To mitigate the harmonics present in the grid currents, most of the APF's generate and supply the harmonics demanded by the non-linear loads at any instant. This is achieved by a control algorithm which carries out two basic functions as described below.

3.1 Extraction of current reference

Synchronous d-q reference frame (Bhattacharya *et al* 1991) based method is used for the extraction of load current harmonics. Unit vectors required for this method is constructed using the method explained in (Subhash Joshi *et al* 2003). Both shunt HAPF and load currents, shown in figure 1, are oriented along the grid voltage using the unit vectors. After orientation, on d-axis and q-axis, fundamental components become d.c. quantities, 5th and 7th order harmonics become 6th order and so on. Load current harmonics can be extracted from the above by removing the d.c. quantities from the oriented load currents using a first order high pass filter (HPF). HPF can be easily implemented using low pass filter (LPF) as.

$$HPF = 1 - LPF = 1 - \frac{\omega_C}{(s + \omega_C)} \tag{14}$$

where ω_c is the corner frequency of LPF.

The corner frequency (ω_c) of LPF is selected to pass the d.c. and remove the a.c. components. The corner frequency is set as 5 Hz, which is much less than the next harmonic, 600 Hz.

By orienting the shunt HAPF current on d-axis and q-axis, the fundamental components are represented by d.c. quantities. Here, d-axis component represents active component of the current and q-axis component represents capacitive current drawn by the passive filter. Since grid voltage needs to be dropped across the passive filter, this capacitive reactive current should be left as it is by the current controller. To achieve this, the d.c. quantities on d-axis and q-axis are extracted using first order LPF of corner frequency 5 Hz and are added with d-axis and q-axis components of load current harmonics already obtained. This results into the total d-axis and q-axis current references.

3.2 Shunt HAPF current and d.c. voltage controllers

The current controller is implemented using PI-controller in $\alpha -\beta$ plane. Hence, the estimated total d-axis and q-axis current references are transformed to $\alpha -\beta$ plane using unit vectors and compared with $\alpha -\beta$ component of shunt HAPF currents. The error is fed to the PI-controller, where its output represents the voltage required to push the current to the reference value.

To generate the above current, shunt HAPF requires a regulated d.c. bus voltage which is achieved using d.c. voltage controller implemented with PI-controller in d-q plane. The d.c. bus voltage is compared with the reference value and fed to the PI-controller. It can be noted that the angle between grid voltage and capacitive reactive current flowing through the passive filter is always less than 90°, because of the presence of resistances of L_f and C_f . In actual condition, the capacitor current is decided by the difference between the grid voltage and the converter output a.c. voltage. The angle of this current, which decides the active power flow, can be further controlled by controlling the a.c. voltage of the converter which is aligned along q-axis, whereas the grid voltage is aligned along d-axis. Hence the active power drawn by the converter, which is responsible for charging the d.c. capacitor, can be controlled by controlling the converter a.c. output voltage which is aligned along q-axis. Hence PI-controller output will be a q-axis quantity while keeping d-axis quantity to zero. The output of PI-controller is then transformed to $\alpha - \beta$ plane and added with the output from the current controller to get the total modulating signal. The resulting $\alpha - \beta$ modulating signal is then transformed to three-phase plane. The complete block diagram of the control algorithm is shown in figure 2. Space vector modulation technique is used for generating the PWM pulses. The whole control algorithm is implemented on a digital signal processor, TMS320F2812, based controller. The PIcontroller constant for d.c. voltage controller and current controller is derived in the following sections.



Figure 2. Block diagram of control strategy.

(a) Current controller PI-constants

Single phase equivalent circuit of shunt HAPF is shown in figure 3, where v_{conv} and i_{conv} are its output voltage and current. Closed loop block diagram of shunt HAPF with current controller is shown in figure 4. Its closed loop step response is given by

$$i_{conv}(t) = \frac{k_I}{L_f} \frac{1}{(a^2 + b^2)} + \frac{k_P}{bL_f} e^{at} K \sin(bt - \phi)$$
(15)

where

$$K = \sqrt{\frac{(k_I/k_P)^2 + 2a (k_I/k_P) + (a^2 + b^2)}{(a^2 + b^2)}}$$

$$\tan \phi = \frac{bk_I}{k_P (a^2 + b^2) + k_I a},$$

$$a = -\left(\frac{R_f + k_P}{2L_f}\right) \text{ and}$$

$$b = \sqrt{\left(\frac{R_f + k_P}{2L_f}\right)^2 - \left(\frac{1 + C_f k_I}{L_f C_f}\right)}$$
(16)



Figure 3. Single phase equivalent circuit of Shunt HAPF.



Figure 4. Block diagram of Shunt HAPF current.

The transient part settles in one current controller time constant (τ_i) if '*at*' equals to -1 at $t = \tau_i$. Using (16), k_P can be solved as,

$$k_P = \left(\frac{2L_f}{\tau_i}\right) - R_f \tag{17}$$

Using block diagram given in figure 4, the zero of the transfer function is given by, k_I/k_P . Dynamic characteristic can be improved by pushing zero far from real part of the complex pole ('n' times), given by

$$\frac{k_I}{k_P} = -na \tag{18}$$

The closed loop step response to various values of 'n' is given in figure 5.

For $n \neq 0$, step response is having a steady state d.c. value, which implies that if a d.c. quantity appears in the current reference or in the feedback due to ADC offset, sensor offset, etc., the shunt HAPF current will have a d.c. quantity, which eventually charge the a.c. filter capacitor, which is to be avoided. Hence it is desirable to keep the value of n = 0, which implies the integral constant, k_I , is zero. The sampling frequency and the switching frequency are the same value and the value is fixed as 10 kHz, to suit the harmonic spectrum. τ_i value shall be selected more than this period to avoid oscillations. Hence τ_i is selected as 120 µs.



Figure 5. Variation of step response for different values of 'n'.

(b) d.c. Bus controller PI-constants

It can be assumed that the rate at which inductor current varies is one order higher than the rate at which capacitor voltage varies. Therefore, the controller for capacitor voltage and inductor current can be decoupled. Hence, the variation of d.c. capacitor voltage can be assumed as a first order equation, and is given by,

$$\tau_v \frac{dv_{dc}}{dt} + v_{dc} = V_{dc\text{Ref}} \tag{19}$$

where v_{dc} is the d.c. bus voltage, V_{dcRef} is the d.c. bus voltage reference and τ_v is the time constant chosen for the d.c. bus controller.

The lowest order of d.c. bus ripple is 300 Hz. Hence, τ_v is selected one order higher than its period and fixed as 30 ms. This will eliminate the effect of d.c. bus ripple in the controller output. If C_{dc} is the capacitance of d.c. bus, then voltage equation for the d.c. bus is given by,

$$C_{dc}\frac{dv_{dc}}{dt} = i_{dc} \tag{20}$$

where i_{dc} is the current flowing into the capacitor.

Since converter to grid direction of current is taken as positive and controller output is the q-axis quantity, i_{dc} should be positive in (20). Combining (19) and (20),

$$i_{dc} = k_{pvdc} \left(V_{dc\text{Ref}} - v_{dc} \right) \tag{21}$$

where $k_{pvdc} = C_{dc}/\tau_v$ is the proportional constant of the d.c. bus controller.

The k_I of the PI-controller is designed based on the ESR of the capacitor. Using power balance equation between a.c. and d.c. variable, the relation between fundamental peak value of shunt HAPF output voltage in α - β plane and the d.c. current can be derived as,

$$v_{conv(\alpha-\beta)pk} = \frac{(3/2) V_{dcRef}}{i_{conv(\alpha-\beta)pk}} \times i_{dc}$$
(22)

where $i_{conv(\alpha\beta)pk}$ is the peak value of fundamental component of shunt HAPF current in $\alpha -\beta$ plane, which is fixed by passive filter value. $v_{conv(\alpha\beta)pk}$ represents the q-axis quantity of d.c. bus PI-controller while d-axis quantity is set to zero as shown in figure 2. While implementing, the multiplication factor in (22) is combined with the actual value k_{pvdc} given in (21).

4. The hardware configuration

The schematic of the test circuit is shown in figure 1. Three-phase diode bridge rectifier of 150A rating is built using SanRex diode DD240KB160 which is used as the polluting load. The rectifier d.c. side capacitor, C, is 9400 μ F (4700 μ F, 450V two in series of four parallel circuits) and the resistor, R, is 7 Ω . After pre-charging the d.c. capacitor, load is connected to the grid of 415V line to line r.m.s, 50 Hz, as shown in figure 1. The source inductance, L_s, of the grid is 53.6 μ H. Three phase shunt HAPF of 50kVA, 415V input, 50 Hz is built using IGBTs. The d.c. bus voltage of shunt HAPF is set to 300V and its peak to peak ripple is chosen as 2% of rated voltage. The selection of the IGBT is based on various parameters described below:

4.1 Switching frequency (f_s)

Minimum value of f_s is decided by the highest order harmonics intended to compensate by shunt HAPF, which is 650 Hz. Hence f_s should be one order higher than 650 Hz, and here f_s chosen as 10 kHz. Hence IGBT should be able operate at this frequency.

4.2 Voltage and current rating

IGBT voltage rating should be higher than the selected d.c. voltage of 300V. By considering the voltage spike of 50% of rated value due to parasitic inductance between the d.c. capacitor and IGBT, the minimum voltage required is 450V. The peak current rating of shunt HAPF is 100A. Choosing an overload capacity of 20% of rated value, minimum current rating required for IGBT is 120A. Also, it is found that, by taking the thermal design in consideration, optimal design can be obtained by choosing the IGBT current rating as twice the required rated current. Hence 200A IGBT from Mitsubishi, CM200DU12NFH, is selected.

All three phase legs of the converter modules are placed in the same heatsink. The fan is placed in such a way that the airflow is vertical to the heatsink base for the shorter air length. In order to minimize the stray inductance of the converter stack sandwiched bus bar architecture is used. Substituting the above detailed parameters in the equations derived in Section 2, the major components of shunt HAPF are designed and the values are given in table 1. The full hardware setup is shown in figure 6. The digital controller for this system is built around Texas Instrument DSP TMS320F2812. The digital controller has simultaneous sampling, 12 bit ADC with a maximum conversion time of 6.6 μ s. PWMs are generated with a dead-time of 2.4 μ s.

5. Practical considerations

5.1 Operation when more loads are added

SHAPF is a current controlled active filter. As explained in Section 3: Control Algorithm, the reference to the current controller is derived from the sensed load current. And SHAPF is constrained to sink or source only the current which follows the reference fed to the current controller. Hence, if new load is added to the grid and if the load connection is such that the current sensed by the current sensor includes the additional load, SHAPF will continue to compensate for the harmonics content in the net load, within the capacity of the installed SHAPF. If the total harmonics demand exceeds the capacity of the installed SHAPF, it will supply the harmonics to the grid limited by its current rating. The PI-constants remain same since it is independent of load currents and normally PI-constant would be designed for maximum bandwidth. If the new load is connected in such a way that the additional load current is not sensed by the current sensor, then SHAPF will not source/sink the additional harmonics current to the grid. Even from the sensed load current, we can choose a particular harmonic will be compensated; however, this is not addressed in detail because it is outside the scope of the paper.

Table 1.	Major	components	of	shunt	HA	PF
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Figure 6. Shunt HAPF assembled in a panel.

Since SHAPF works based on current controller and its bandwidth is much higher than the low order harmonics that may exist in the grid, the chance of parallel resonance due to newly introduced load is remote.

5.2 Protections

Protections are provided against excessive increase of four parameters; grid voltage, SHAPF current, d.c. voltage and temperature. Software as well as hardware protections are given for the above four parameters. Various practical cases can be considered for which protections are required for the above parameters. Since SHAPF is operating as a boost rectifier, d.c. voltage can go to higher than the nominal value if controller fails to regulate. In such case, by using hardware protection (which is nothing but a comparator which compares the sensed value) as well as software protection, the pulses are blocked and SHAPF is isolated from grid by opening the contactor. Similarly, due to any reason, if resonance occurs in the system, the SHAPF current exceeding the nominal value is prevented by hardware protection as well as software protection.

6. Results

Shunt HAPF is tested in the laboratory to validate the performance of both hardware and control strategy. Figure 7 shows that most of the grid voltage is dropped across the capacitor, C_f , and the resulting capacitive reactive current is also shown. Voltage T.H.D. is measured with 1 decimal



Figure 7. Grid voltage (CH1), a.c. Capacitor voltage (CH2) and current (CH3).

and current T.H.D is measured with 2 decimals accuracy. Table 2 gives individual harmonics in the grid current when (1) filters are not connected (2) only passive filter is connected (3) APF along with transformer is connected (4) shunt HAPF is connected.

It was observed that the reduction in harmonics with passive filter alone is not significant due to its inherent drawback. Due to the tolerance of LC component, where value of L_f is 1.59 mH and C_f is 143.8 µF, the actual tuned frequency is 332.8 Hz instead of designed 350 Hz. Also the 7th order grid frequency is found to be 347 Hz during the test condition. Due to the variation in tuned frequency the impedance offered at 7th harmonic by LC filter is 0.28 Ω , whereas grid impedance is 0.11 Ω . Hence major 7th order harmonics take the path of grid rather than passive filter. When shunt HAPF is connected, since its operation does not depend on variation in tuned frequency, the reduction in harmonics is found to be appreciable. Figure 8 shows the source current waveform before and after compensation. From table 2, another comparison is between the SHAPF and the APF, which clearly shows drastic reduction of 5th and 7th harmonics due to the presence of PPF in the SHAPF.

For the purpose of cost comparison, considering use of the same coupling inductor of the APF in the PPF of the SHAPF, it can be seen that there is a cost reduction of 18% in SHAPF compared to APF.

	Without any filter	With passive filter	With APF	With shunt HAPF
Fundamental	100.0	100.0	100.0	100.0
Harmonic 5	32.3	29.2	6.2	1.7
Harmonic 7	15.2	12.5	3.5	1.6
Harmonic 11	7.6	6.9	3.3	3.1
Harmonic 13	5.2	4.9	2.3	1.9
Total (I THD)	37.8	33.8	10.1	9.3

Table 2. Grid current harmonics as % of full load fundamental.



Figure 8. Un-compensated load current (CH1), Compensated grid currents (CH3) and Shunt HAPF current (CH2).

Table 3. R.M.S. grid voltages and currents with their fundamental.

	Without any filter	With passive filter	With shunt HAPF
$\overline{V_{RY}, V_{RY1} (in V)}$	426.5, 426.4	403.4, 403.2	407.6, 407.4
I _R , I _{R1} (in A)	81.39, 76.00	84.37, 79.90	81.39, 80.99
V _{YB} , V _{YB1} (in V)	409.9,409.7	401.5, 401.4	406.2,406.1
I _Y , I _{Y1} (in A)	77.40, 71.65	77.57, 72.43	75.26, 74.75
V _{BR} , V _{BR1} (in V)	406.2, 406.0	409.6, 409.5	406.6, 406.5
I _B , I _{B1} (in A)	73.90, 68.26	78.17, 73.00	74.66, 74.12

Table 4. Summary of various quantities defined as per - IEEE1459 standard.

	Without filter	With passive filter	With shunt HAPF
V _e , I _e	239.2V, 77.6A	233.7V, 80.1A	234.9V, 77.2A
V_{e1}, I_{e1}	239.1V, 72.0A	233.6V, 75.2A	234.8V, 76.6A
THD _{eI}	0.4011	0.3670	0.1120
THD _{eV}	0.0279	0.0262	0.0256
D _{eI}	20726.30	19342.58	6049.46
D _{eV}	1441.18	1379.28	1380.72
S _{eH}	578.06	506.20	154.64
SeN	20784.39	19398.30	6206.96
Harmonic pollution	0.4022	0.3681	0.1149

Table 3 lists the measured r.m.s. grid line to line voltages, currents and their fundamental components (suffix by 1) in R, Y and B phases. Using table 3 effective voltage (V_e), fundamental effective voltage (V_{e1}), effective current (I_e) and fundamental effective current (I_{e1}) are computed as per IEEE 1459 standard and are listed in table 4. Table 4 also gives various computed powers, current distortion power (D_{eI}), voltage distortion power (D_{eV}), harmonic apparent power (S_{eH}), non-fundamental apparent power (S_{eN}). Equivalent total harmonic distortion of voltage (THD_{eV}) and current (THD_{eI}) are given in table 4. Harmonic pollutions in various cases are calculated from the computed powers. Comparisons of harmonic pollution in various cases are given in table 4. It is found that using shunt HAPF the harmonic pollution is brought down to 0.1160 from 0.4022.

7. Conclusion

In this paper a practical design criteria for a transformer-less three phase shunt hybrid active power filter is explained. A control strategy is derived with special attention to the integration of series passive filter. Theoretical analysis based on transfer function is carried out to design the d.c. voltage and current controller with a proportional-plus-integral (PI) regulator. The effectiveness of the design and control method is verified using results from the laboratory prototype.

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