

Gene Expression Profiling Stratifies IDH1-Mutant Glioma with Distinct Prognoses

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Abstract Isocitrate dehydrogenase (IDH)1 mutation is one of the most important genetic aberrations in glioma. Even several genetic events have refined its prognostic value, the genome-wide expression alteration has not been systematically profiled. In this work, RNA-seq expression data from 310 patients in the Chinese Glioma Genome Atlas database were included as training set, while another 297 patients with microarray data were used as internal validation set. An independent cohort of GSE16011 (n = 205) constituted an external validation set. Approximately one fifth of the genes were differentially expressed in LGG according to IDH1 mutation status, yielding distinct gene expression profiles. A six-gene risk signature was established for IDH1-mutant LGG to distinguish low- from high-risk cases, which had distinct prognoses. The six-gene signature was an independent prognostic factor for IDH1-mutant LGG and had superior predictive value as compared to traditional clinicopathologic factors. Moreover, we depicted the differential expression pattern in

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GBM attributing to various *IDH1* status, which was similar to that of LGG. It suggested that the effect of *IDH1* mutation is conserved across histological classifications. The six-gene signature had equal prognostic value for *IDH1*-mutant GBM. By combining glioma grade, *IDH1* status, and the six-gene signature, all glioma patients could be classified into six subgroups. These six subgroups could be further summarized into three sets with distinct prognosis. Taken together, a gene expression profile associated with *IDH1* status was identified in LGG and GBM; a risk signature based on six genes was developed with equal prognostic value for *IDH1*-mutant LGG and GBM. When combined with clinicopathologic factors, the six-gene signature is a tool that enables precise risk stratification and can improve clinical management.

Keywords IDH1 mutation · Expression profile · Risk signature · Prognosis stratification

Introduction

Mutation in *isocitrate dehydrogenase (IDH)1* is a stable marker for better prognosis in both lower-grade glioma (LGG) and glioblastoma multiforme (GBM), which have incidences of 75 and 12 %, respectively [1]. As the earliest detectable genetic alteration in gliomagenesis, *IDH1* heterozygous missense mutations in codon 132 cause an arginine-to-histidine substitution in 80–90 % of cases (R132H) [2] that leads to a distinct metabolism and hypermethylation phenotype in gliomas [3–6]. Recently, other genetic markers have been used along with the *IDH1* mutation to improve the prediction of prognosis, including 1p/19q codeletion and mutations in *tumor protein (TP)53*, *ATP-dependent X-linked helicase (ATRX)*, and *telomerase reverse transcriptase (TERT)* promoters [7, 8]. However, there have been few studies investigating

genome-wide differences associated with *IDH1* status in LGG and GBM. In the present study, whole-genome expression data and biological annotations were compared as a function of *IDH1* mutation in LGG and GBM. A six-gene-based risk signature was identified as an independent prognostic factor for patients with *IDH1*-mutant LGG that was also applicable to *IDH1*-mutant GBM, underscoring its stability across histological classifications. Combining the six-gene signature with glioma grade and *IDH1* status classified patients into subgroups with distinct prognoses, highlighting its potential application in glioma management.

Methods

Patient Samples

Two different cohorts from the Chinese Glioma Genome Atlas (CGGA) database were included in our study: the RNA-seq (n = 310) and messenger RNA (mRNA) microarray (n = 297)cohorts were used as training and internal validation sets, respectively. There are 82 overlapped cases between these two sets. All glioma tissues were diagnosed and independently confirmed histologically by two neuropathologists based on the 2007 World Health Organization classification guidelines [9]. Tumor tissue samples were obtained from patients with newly diagnosed glioma who were treated by the CGGA group. Only samples with 80 % tumor cells were selected for IDH1 mutation status testing and whole-genome expression profiling (details are included in the Supplementary Methods). Overall survival (OS) was calculated from the date of diagnosis until death or the end of follow-up, while progression-free survival (PFS) was defined as the time between diagnosis and the first unequivocal clinical or radiological sign of disease progression. The study protocol was approved by the ethics committees of participating hospitals, and all patients provided written, informed consent. A third glioma cohort (n = 205) with mRNA microarray data from the GSE16011 dataset (http://www.ncbi.nlm.nih. gov/geo/query/acc.cgi?acc=GSE16011) was used for external validation. The clinicopathologic features of the three cohorts are shown in Table 1 and S1.

Gene Ontology (GO) Analysis and Gene Set Enrichment Analysis (GSEA)

GO analysis was performed using DAVID (http://david.abcc. ncifcrf.gov/home.jsp) as a functional annotation tool [10, 11]. GSEA (http://www.broadinstitute.org/gsea/index.jsp) was performed to determine whether the identified sets of genes showed statistically significant differences between the two biological states (i.e., *IDH1* mutant vs. wild type) [11, 12].

Statistical Analysis

Gene expression in patients with RNA-seq data was calculated using the reads per kilobase transcriptome per million reads method [13, 14]. We then filtered genes based on two criteria: (i) >20 % of samples showed a change \geq 1.5-fold over the median; and (ii) <10 % of expression data were lacking. A total of 13,181 genes were used for the analysis. Genes differentially expressed between gliomas with wild-type and mutant IDH1 were identified by significance analysis of microarrays (SAM) with a false discovery rate <0.01 and 1000 permutations. A univariate Cox regression analysis was used to assess the prognostic value of each gene in IDH1-mutant LGG samples. A risk signature was formulated according to a linear combination of gene expression levels that was weighted with regression coefficients (β) from the univariate Cox regression analyses [15, 16]. The risk score for each patient was calculated as follows:

 $\begin{aligned} \text{Risk score} &= \text{expression}_{\text{gene}(1)} \times \beta_{\text{gene}(1)} + \text{expression}_{\text{gene}(2)} \\ &\times \beta_{\text{gene}(2)} + \text{expression}_{\text{gene}(3)} \times \beta_{\text{gene}(3)} + \dots \\ &+ \text{expression}_{\text{gene}(n)} \times \beta_{\text{gene}(n)}. \end{aligned}$

A total of 13 risk signatures were identified based on the top three to 15 genes with significant prognostic value and were evaluated by univariate Cox analysis, which revealed a six-gene risk signature with the greatest prognostic value for *IDH1*-mutant LGG patients. We separated gliomas into high-and low-risk groups based on the median risk score in corresponding analysis. Cases with higher risk score were classified into high-risk group and cases with lower risk score were classified into low-risk group. Kaplan-Meier survival curves and the log rank test were employed to assess the prognostic significance. Multivariate Cox regression analyses were performed to identify independent prognostic factors. Statistical significance was defined as a two-tailed *P* value < 0.05.

Results

Whole-Genome Differences Between Wild-Type and Mutant IDH1 LGG

A total of 172 samples were diagnosed as LGG in the CGGA RNA-seq cohort, including 123 samples that were mutant and 49 that were wild type for *IDH1*. SAM analysis was carried out to compare the expression levels of 13,181 genes in *IDH1*-mutant vs. *IDH1*-wild-type cases; 2679 genes were found to be differentially expressed, including 1264 that were upregulated and 1415 that were downregulated in *IDH1*-mutant LGG (Fig. 1a).

Characteristic	Training set CGGA RNA-seq cohort ($N = 310$)		Internal validati A microarray co	Soon set CGG schort ($N = 297$)	External validation set GSE16011 cohort ($N = 205$)		
	IDH1-wild (<i>N</i> = 154)	IDH1-mutant $(N = 156)$	IDH1-wild (<i>N</i> = 166)	IDH1-mutant $(N = 131)$	IDH1-wild (<i>N</i> = 128)	IDH1-mutant $(N = 77)$	
Age, years							
Mean (range)	48 (8-81)	39 (10-62)	46 (13-70)	39 (18-66)	53 (14-81)	47 (22-80)	
Gender							
Female	55	60	70	52	36	26	
Male	99	96	96	79	92	51	
Grade							
II	18	87	39	81	10	10	
III	31	36	21	29	28	37	
IV	105	33	106	21	90	30	
Histology							
Astrocytoma	25	41	22	53	15	9	
Oligodendroglioma	6	33	8	28	15	27	
Oligoastrocytoma	18	49	30	29	8	11	
Glioblastoma	105	33	106	21	90	30	
Primary glioblastoma	89	16	102	15	Unavailable	Unavailable	
Secondary glioblastoma	16	17	4	6	Unavailable	Unavailable	

 Table 1
 Clinical features of three cohorts

A GO analysis was carried out to assess differences in biological processes. Genes that were up- and downregulated in IDH1-mutant LGG were functionally distinct; the former were mainly involved in neurogenesis (Fig. 1b and Supplementary Data 1), with the top three biological processes being neuron differentiation (P = 3.45E - 12), neuron development (P = 3.38E - 10), and neuron projection development (P = 5.14 E - 08). On the other hand, downregulated genes were closely associated with the immune response (Fig. 1c and Supplementary Data 2), with the most significant biological processes being immune response (P = 1.23E - 20), response to wounding (P = 1.41E - 15), and defense response (P = 3.68E - 14). A GSEA to validate the variation in immune profiles between LGG cases differing according to IDH1 status showed that the immune-relevant phenotype was enriched in wild-type IDH1 patients (Fig. S1).

Identification of a Six-Gene Risk Signature for IDH1-Mutant LGG

We evaluated the prognostic value of the genes that were differentially expressed in *IDH1*-mutant LGG by univariate Cox analysis. A correlation was observed between expression level and OS, with 1245 genes showing prognostic significance for *IDH1*-mutant LGG (Supplementary Data 3). Risky

genes (n = 616) were defined as having a hazard ratio (HR) > 1 for death, whereas protective genes (n = 629) had a HR < 1. A GO analysis of risky genes indicated that immune-relevant processes were closely associated with *IDH1*-mutant LGG prognosis (Table S2).

A risk signature was developed based on genes with the most highly significant prognostic value; 13 risk signatures were formed based on the top three to fifteen genes. A univariate Cox analysis showed that a risk signature based on the top six genes had the greatest prognostic value for *IDH1*-mutant LGG (Table S3). We therefore selected the six-gene signature—which included *cell division cycle* (*CDC*)20, *Wiskott-Aldrich syndrome protein family* (*WASF*)3, *deleted in breast cancer* (*DBC*)1, *engrailed* (*EN*)2, *vimentin* (*VIM*), and *carboxypeptidase* (*CP*)*E*—for subsequent analyses. *CDC20*, *EN2*, and *VIM* were risky genes while *WASF3*, *DBC1*, and *CPE* were protective.

IDH1-mutant LGG cases were divided into high- and lowrisk groups according to the median six-gene risk score. Survival curves generated for the two risk groups and *IDH1* wild-type cases revealed that low-risk patients had longer OS (Fig. 1d) and PFS (Fig. S2) than the other groups. Despite the presence of mutant *IDH1*, high-risk patients had no survival advantage over those with wild-type *IDH1*. GSEA patterns indicated a more robust immune response in high-risk than



Fig. 1 LGG expression profiles and identification of a six-gene signature for *IDH1*-mutant patients. **a** Expression patterns of genes differentially expressed in LGG with or without *IDH1* mutation. Biological processes associated with upregulated (**b**) and downregulated (**c**) genes

differentially expressed in *IDH1*-mutant LGG. Based on median risk score, the six-gene risk signature divided patients with *IDH1*-mutant LGG in the training (**d**), internal validation (**e**), and external validation (**f**) datasets into two groups with distinct prognoses

in low-risk patients, confirming the findings from the GO analysis (Fig. S3).

Validation of the Six-Gene LGG Risk Signature

To determine whether the method used to measure gene expression affected patient stratification, the risk score was calculated for each case in the CGGA microarray cohort using the formula described above. *IDH1*-mutant LGG patients

were classified into high- and low-risk groups according to their median risk score. As expected, low-risk patients had the best prognosis, whereas survival was similar in high-risk and wild-type *IDH1* patients (Fig. 1e). To determine whether the six-gene risk signature had prognostic value for different populations, we included a cohort from the Netherlands as an external validation set and calculated the risk score. The *IDH1*-mutant LGG patients were stratified according to their median risk score. A Kaplan-Meier analysis indicated that OS was reduced for high-risk patients to a level comparable to that of wild-type *IDH1* patients (Fig. 1f). Therefore, the six-gene signature could reliably identify a group of high-risk LGG patients with *IDH1* mutation with a survival time that was similar to that of wild-type *IDH1* cases. In addition, gene expression was consistently correlated with risk score, with high-risk patients expressing higher levels of risky genes (*CDC20, EN2,* and *VIM*) and low-risk patients expressing higher levels of protective genes (*WASF3, DBC1,* and *CPE*).

Six-Gene Signature Is an Independent Prognostic Factor for IDH1-Mutant LGG

Patients with *IDH1*-mutant LGG were stratified based on several clinicopathologic factors, including age, gender, glioma grade, and histology. The survival curves in all sub-cohorts showed that OS and PFS were shorter in high-risk than in lowrisk patients (Fig. 2a–i and S4a–i), suggesting that the sixgene classifier accurately identifies patients with poor prognosis irrespective of clinicopathologic risk factors.

For *IDH1*-mutant LGG cases in the training set, uni- and multivariate Cox regression analyses suggested that the sixgene signature was an independent prognostic factor for OS and PFS after adjusting for age, gender, glioma grade, and histology (OS: HR = 1.3315, P < 0.0001; PFS: HR = 1.3248, P < 0.0001) (Table 2). Moreover, the six-gene signature retained its power for independently predicting prognosis after Cox regression analyses in internal and external validation sets (Table S4), confirming the reliability of the six-gene signature as categorical and continuous variable.

Prognostic Validity of the Six-Gene Signature for IDH1-Mutant LGG

We set 3 and 5 years as OS cutoffs for patients with *IDH1*mutant LGG. We compared the six-gene signature and traditional prognostic factors (age, glioma grade, and histology) in terms of sensitivity and specificity of survival prediction by analyzing the receiving operator characteristic (ROC) curve. The six-gene signature showed significant prognostic validity, with areas under the ROC curves of 0.938 and 0.956 for 3- and 5-year survival, respectively, which were higher than for the traditional factors (Fig. 2j, k), underscoring the superior predictive value of the six-gene signature.

Differences in Transcriptional Profiles Between GBM Cases with Wild-Type Vs. Mutant IDH1

The CGGA RNA-seq cohort included 138 GBM patients, of which 33 cases were mutant and 105 were wild-type for *IDH1*. An SAM analysis identified 4344 genes that were differentially expressed between the two groups (Fig. 3a), including 2473 that were upregulated and 1871 that were

downregulated in *IDH1*-mutant GBM. Interestingly, 1031/1264 (82 %) genes that were upregulated in *IDH1*-mutant LGG were also upregulated in *IDH1*-mutant GBM, while 1133/1415 (80 %) genes that were downregulated *IDH1*-mutant LGG were also downregulated in *IDH1*-mutant GBM.

Genes that were differentially expressed between wild-type and mutant *IDH1* GBM cases were analyzed with DAVID to identify salient biological processes. The upregulated genes were primarily associated with transcription and neuronal differentiation (Fig. 3b and Supplementary Data 4), whereas the downregulated genes were involved in the immune response (Fig. 3c and Supplementary Data 5). These annotations showed considerable overlap with those of LGG, indicating that the functional relevance of *IDH1* mutation status is similar across glioma classifications.

Prognostic Value of the Six-Gene Signature for IDH1-Mutant GBM

Given the common role of the *IDH1* mutation in LGG and GBM, we evaluated the prognostic value of the six-gene signature for *IDH1*-mutant GBM by calculating a risk score using the same formula. Patients were categorized into high- and low-risk groups based on their median risk score. The survival analysis showed that low-risk patients had the longest survival; on the other hand, the survival of high-risk patients was similar to that of patients with wild-type *IDH1* (Fig. 3d). This trend was verified with the internal and external validation sets (Fig. 3e, f). These results indicate that the six-gene signature retained its prognostic value for *IDH1*-mutant GBM.

Subsequently, we sought to combine the survival analyses of LGG and GBM. All cases were classified into six subgroups based on glioma grade, *IDH1* status, and the sixgene signature. We observed notable differences in survival trends among these six subgroups (Fig. 3g–i). Moreover, these six subgroups could be further summarized into three sets based on the survival curves (Fig. 3g–i). Set (i) consisted of low-risk LGG subgroup, which had the best prognosis. Set (ii) comprised high-risk LGG, *IDH1*-wild LGG, and low-risk GBM subgroups, which had similar and intermediate OS. Set (iii) contained high-risk GBM and *IDH1*-wild GBM subgroups, which suffered the most reduced survival time.

Discussion

Even with the identification of many new molecular markers, the *IDH1* mutation is the most stable and widely used one for in glioma study. *IDH1* status does not change between primary and recurrent gliomas, suggesting that these tumors are initiated by the clonal expansion of cells with different *IDH1* status [17]. Although the role of *IDH1* mutations in glioma has been previously investigated [18, 19], their contribution to the





Fig. 2 Prognostic significance and predictive accuracy of the six-gene signature for *IDH1*-mutant LGG. **a–i** The six-gene signature retained its prognostic significance in different cohorts stratified by age, gender, glioma grade, and histology. **j**, **k** Comparison of between the six-gene

signature and traditional risk factors such as age, glioma grade, and histology in terms of sensitivity and specificity for predicting 3- and 5-year survival

development and progression of glioma is not well understood. In our study, 2679 genes were defined as being differentially expressed in LGG, including 1264 that were upregulated and 1415 that were downregulated in *IDH1*-mutant patients. Those that were upregulated were mainly involved in neuronal differentiation, as previously reported [4, 20, 21], but those that were downregulated revealed a previously unreported association between *IDH1* status and immune response. Our results suggest

Variable	No. of patients	Overall survival				No. of patients	Progression-free survival			
		Univariate		Multivariate			Univariate		Multivariate	
		P value	HR	P value	HR		P value	HR	P value	HR
IDH1-mutant LGG	123					106				
Age		0.2240	1.0293	0.8183	0.9947		0.0235	1.0530	0.5648	1.0133
Gender										
Female	49	Ref				44	Ref			
Male	74	0.6405	0.8345	0.9531	0.9754	62	0.3953	0.7251	0.6117	0.8146
Grade										
II	87	Ref				75	Ref			
III	36	0.0013	3.4702	0.4015	0.6254	31	0.0006	3.7135	0.3905	0.5873
Histology										
Astrocytoma	41	Ref				33	Ref			
Oligoastrocytoma	49	0.0494	0.4466	0.4777	1.4179	43	0.0295	0.4149	0.4439	1.5057
Oligodendroglioma	33	0.0034	0.1094	0.1778	0.3422	30	0.0023	0.1013	0.7086	0.7239
Six-gene signature		0.0000	1.2844	0.0000	1.3315		0.0000	1.2920	0.0000	1.3245

Table 2 Prognostic factors were tested using Cox regression analysis in training set

an immunoediting potential of *IDH1* mutation that leads to a state of immunosuppression, thereby altering the glioma microenvironment. To date, few studies have compared the role of *IDH1* in LGG and GBM. We showed here that the majority of genes that are differentially expressed in LGG (2164/2679, or 83 %) are the same as those in GBM. We therefore propose that the *IDH1* mutation is an early driver of gliomagenesis [22] that induces similar transcriptional profiles in LGG and GBM, which was supported by the similarities in biological annotations.

Patients with the same IDH1 status exhibit distinct prognoses, suggesting that other factors contribute to clinical outcome. Whether histopathological grade and classification can predict prognosis based on IDH1 mutation status is controversial [23]. Several molecular markers are now combined with *IDH1* status for improved prognostic accuracy [7, 8, 24–26]; however, these are not adequate to fully clarify the exact mechanism determining clinical outcome in equal IDH1 mutation status. We determined that among the 2679 genes differentially expressed in LGG, 1245 had prognostic significance for IDH1-mutant LGG indicating that extensive transcriptional differences involved in the prognostic determination along with IDH1 status. GO analysis of risky genes demonstrated that the immune response was closely associated with patient prognosis, with its positive regulation conferring unfavorable prognosis for IDH1-mutant LGG.

A six-gene risk signature was identified that was shown to have independent prognostic significance for *IDH1*-mutant LGG and exhibit greater prognostic significance than other gene signatures and its consisting individual genes. The ROC curves confirmed that the predictive value of this signature was superior to that of traditional clinicopathologic factors, highlighting the advantage of using a gene expressionbased signature [27, 28]. A positive correlation between risk score and immune phenotype was observed, indicating that an enhanced local immune response contributes to unfavorable prognosis, consistent with other reports that the local immune phenotype in glioma was predominated by the responses supporting glioma invasion, angiogenesis, and proliferation [29]. Because we have little knowledge on what degree of local immune response is required to prolong gliomas' survival time, our six-gene signature can improve the current glioma classification system so that immune-relevant therapy can be better applied in glioma treatment.

Importantly, the six-gene signature had equal prognostic value for *IDH1*-mutant GBM, classifying patients into highand low-risk groups, with the former showing reduced survival that was comparable to that of GBM cases without *IDH1* mutation. This suggests that *IDH1* mutation affects gene expression patterns to a similar degree irrespective of tumor classification. Our findings also enhance the hypothesis that GBM with *IDH1* mutation may rapidly evolve from *IDH1*mutant LGG without exhibiting early LGG symptoms [30].

Combining histological grade, *IDH1* status, and the six-gene signature enabled the classification of all glioma samples into six subgroups with distinct prognoses. These subgroups could be further summarized into three sets based on survival curves, as follows: (i) low-risk LGG patients, who had the best prognosis; (ii) high-risk LGG, *IDH1*-wild LGG, and low-risk GBM subgroups had intermediate OS; and (iii) *IDH1*-wild and high-risk GBM patients, who had the worst prognosis. In both LGG and



Fig. 3 Transcriptional profiles in GBM and the prognostic value of the six-gene signature in *IDH1*-mutant GBM. **a** Profiles of genes differentially expressed in GBM with wild-type vs. mutant *IDH1*. Biological processes associated with upregulated (**b**) and downregulated (**c**) genes differentially expressed in GBM with *IDH1* mutation. **d–f**

Application of the six-gene signature to *IDH1*-mutant GBM classified patients into two groups based on the median risk score. **g**–**i** Combining histology grade, *IDH1* status, and the six-gene signature in all grades of glioma stratified patients into six subgroups with distinct prognoses

GBM, the six-gene signature identified *IDH1*-mutant patients whose prognosis was similar to that of cases with wild-type *IDH1*, implying that the present treatment strategy is not adequate for high-risk patients. Although there have been significant advances in approaches targeting mutant *IDH1* [21, 31], their clinical efficacy—especially in high-risk patients—requires further validation. In addition, the six genes identified in the present study can potentially serve as additional therapeutic targets. In summary, our findings demonstrate that *IDH1* mutation play an extensive and parallel role in the progression of LGG and GBM. A six-gene signature was identified with equal prognostic significance in both *IDH1*-mutant LGG and GBM. When combined with histological grade and *IDH1* status, the six-gene signature can be a useful tool for patient risk stratification. The advantage of our study could originate from the systematical profiling, the robust nature of risk score method [32] and validation across multi-platform and multi-population. However, on the other hand, recent studies have recognized IDH2 mutation with similar function to IDH1 mutation in glioma. Even with an incidence lower than 5 % [19], our study was limited by the deficiency of IDH2 information. Recent studies have incorporated 1p/19g codeletion with IDH mutation for glioma classification [7, 24]. Even the CGGA database did not have information on 1p/19q codeletion, we found that 1p/ 19q status was similar between different risk groups in IDH1mutant LGG in the GSE16011 cohort (Fig. S5). Considering that 1p/19q codeletion occurs most often in oligodendroglial histologic types [33], the facts that our signature remained powerful in both oligodendroglioma and oligoastrocytoma enhanced the hypothesis that the six-gene signature could be a prognostic factor for IDH1-mutant LGG independent of 1p/ 19q status. While, additional studies are still needed to explore the association between 1p/19q codeletion and the six-gene signature, and the frequency of other molecular markers in low- vs. high-risk groups, such as TP53, ATRX, and TERT promoter mutations. Moreover, this study was also limited by its retrospective nature. Although the predictive value of the six-gene signature was validated in different datasets, a prospective study with a larger sample size is required to evaluate the clinical relevance.

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Compliance with Ethical Standards The study protocol was approved by the ethics committees of participating hospitals, and all patients provided written, informed consent.

Conflict of Interest We state that all authors have seen the manuscript and approved to submit to your journal. The authors declare that they have no conflict of interest.

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