# Treatment with Trehalose Prevents Behavioral and Neurochemical Deficits Produced in an AAV  $\alpha$ -Synuclein Rat Model of Parkinson's Disease

Qing He<sup>1,3</sup>  $\cdot$  James B. Koprich<sup>2</sup>  $\cdot$  Ying Wang<sup>1</sup>  $\cdot$  Wen-bo Yu<sup>1</sup>  $\cdot$  Bao-guo Xiao<sup>1</sup>  $\cdot$ Jonathan M. Brotchie<sup>2</sup> · Jian Wang<sup>1</sup>

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Abstract The accumulation of misfolded  $\alpha$ -synuclein in dopamine (DA) neurons is believed to be of major importance in the pathogenesis of Parkinson's disease (PD). Animal models of PD, based on viral-vector-mediated over-expression of  $\alpha$ synuclein, have been developed and show evidence of dopaminergic toxicity, providing us a good tool to investigate potential therapies to interfere with  $\alpha$ -synuclein-mediated pathology. An efficient disease-modifying therapeutic molecule should be able to interfere with the neurotoxicity of  $\alpha$ synuclein aggregation. Our study highlighted the ability of an autophagy enhancer, trehalose (at concentrations of 5 and 2 % in drinking water), to protect against A53T  $\alpha$ -synucleinmediated DA degeneration in an adeno-associated virus serotype 1/2 (AAV1/2)-based rat model of PD. Behavioral tests and neurochemical analysis demonstrated a significant attenuation in α-synuclein-mediated deficits in motor asymmetry and DA neurodegeneration including impaired DA neuronal survival and DA turnover, as well as  $\alpha$ -synuclein accumulation and aggregation in the nigrostriatal system by commencing 5 and 2 % trehalose at the same time as delivery of AAV.

Qing He and James B. Koprich contributed equally to this work.

 $\boxtimes$  Jonathan M. Brotchie brotchie@uhnres.utoronto.ca

 $\boxtimes$  Jian Wang wangjian336@hotmail.com

- <sup>1</sup> Department & Institute of Neurology, Huashan Hospital, Fudan University, Shanghai 200040, China
- <sup>2</sup> Toronto Western Research Institute, Toronto Western Hospital, University Health Network, Toronto, Ontario, Canada
- <sup>3</sup> Department of Neurology, Shanghai Ninth People's Hospital, Shanghai Jiaotong University School of Medicine, Shanghai 200011, China

Trehalose (0.5 %) was ineffective on the above behavioral and neurochemical deficits. Further investigation showed that trehalose enhanced autophagy in the striatum by increasing formation of LC3-II. This study supports the concept of using trehalose as a novel therapeutic strategy that might prevent/ reverse α-synuclein aggregation for the treatment of PD.

Keywords Parkinson's disease . α-Synuclein . Trehalose . DA . Autophagy

## Background

Parkinson's disease (PD) is a progressive neurodegenerative disorder characterized by loss of dopamine (DA) neurons and accumulation of cytoplasmic Lewy bodies (LBs) in the substantia nigra (SN) [\[1](#page-9-0), [2\]](#page-9-0). LBs are predominantly composed of abnormal misfolded α-synuclein [\[3](#page-9-0), [4\]](#page-9-0). Increased levels of α-synuclein mRNA are found in the midbrain of patients with sporadic PD [[5](#page-9-0)], and duplication or triplication of the  $\alpha$ synuclein gene is found in some forms of familial PD [[6\]](#page-9-0). Missense mutations in the  $\alpha$ -synuclein gene including A53T, A30P, and E46K are defined cause of familial PD. These findings suggest that abnormally high expression of α-synuclein contributes to LB formation in PD brains [[7](#page-9-0), [8\]](#page-9-0). Therapeutic interventions targeting abnormal  $\alpha$ -synuclein aggregation might be promising in preventing or slowing down the degeneration process of PD.

Autophagy is the only known mechanism that eukaryotic cells possess to degrade protein. Recent studies have described trehalose as having an ability to stabilize protein folding and induce autophagy to clear abnormal protein aggregates [\[9](#page-9-0)–[12\]](#page-9-0). Trehalose, a natural disaccharide found in invertebrates, fungi, and many plants, functions to protect the integrity of the cells against various environmental stresses [[13\]](#page-9-0). It can be administered safely and orally and has been accepted as a safe food ingredient by the European regulatory authorities following designation, as generally regarded as safe (GRAS) by the Food and Drug Administration in the USA [\[14](#page-9-0)]. Orally administered trehalose is absorbed in a similar fashion to glucose, although it is at least in part hydrolyzed to glucose monomers by the enzyme trehalase located on the brush border of the intestinal mucosa [\[15\]](#page-9-0). Trehalose does not readily cross cell membranes but can be efficiently loaded into mammalian cells through endocytosis and pinocytosis, exerting a variety of effects such as anti-aggregation and autophagy enhancement [\[16,](#page-9-0) [17\]](#page-9-0).

Viral vector approaches have been used to model alphasynucleinopathy in PD by driving  $\alpha$ -synuclein over-expression in the basal ganglia directly through injection into the SN [\[18\]](#page-9-0). This approach produces progressive nigrostriatal degeneration along with motor impairments. In a previous study, we developed a new viral-vector-based model of PD that could consistently deliver nigrostriatal pathology and relevant behavioral deficits in a relatively short period of time by using a formulation of chimeric adeno-associated virus 1/2 (AAV1/2) human A53T  $\alpha$ -synuclein at a titer of 1.76\*10<sup>12</sup> gp/ml. This model shows a progressive degeneration along with a behavioral impairment that occurs over the course of 6 weeks. We found that over-expression of A53T  $\alpha$ -synuclein produced insoluble aggregates and DA degeneration [\[19,](#page-9-0) [20\]](#page-9-0). In the present study, we carried out a set of in vivo experiments to assess whether trehalose had a beneficial effect by clearing abnormal A53T  $\alpha$ -synuclein in a rat model of PD induced by direct injection of AAV1/2 human A53T  $\alpha$ synuclein into the pars compacta of the SN (SNpc).

#### Results

# Trehalose Attenuated AAV1/2 A53T α-Synuclein-Induced Behavioral Impairment in Rats

As shown in Fig. [1,](#page-2-0) animals that received unilateral AAV1/2 A53T α-synuclein showed a deficit in the use of their paw contralateral to the side of vector injection 3 weeks postsurgery compared with empty vector controls. This was represented by a 36.42 % increase forelimb use asymmetry in AAV1/2 A53T α-synuclein-injected rats, compared with empty vector controls ( $P<0.01$ ). Oral administration of 5 and 2 % trehalose provided a 29.1 and 39.85 % improvement in forelimb use asymmetry  $(P<0.01)$  while 0.5 % trehalose and 5 % glucose had no significant effect in AAV1/2 A53T  $\alpha$ synuclein-injected rats  $(P>0.05)$ . Six weeks following vector injection, animals injected with AAV1/2 A53T  $\alpha$ -synuclein continued to show forelimb use asymmetry (44.07 %) compared to empty vector/vehicle controls  $(P<0.01)$ . Oral administration of 5 and 2 % trehalose maintained an improvement

with a 32 and 40 % of the forelimb use asymmetry compared to empty vector/vehicle controls  $(P<0.01)$  while 0.5 % trehalose and 5 % glucose were ineffective (both,  $P > 0.05$ ).

# Trehalose and Glucose did not Affect the Blood Sugar in Rats

Since trehalose and glucose are both saccharides, and trehalose can be metabolized to produce glucose, we measured blood sugar in the rats. Six weeks after drinking 5, 2, 0.5 % trehalose and 5 % glucose, we measured the free blood sugar and random blood sugar in each group. We did not find any significance between empty vector controls and AAV1/2 A53T  $\alpha$ -synuclein groups with or without oral trehalose and glucose administration (Fig. [2,](#page-2-0)  $P > 0.05$ ).

# Trehalose Improved DA Neuronal Survival and Inhibited α-Synuclein Accumulation Produced by Nigral Delivery of AAV1/2 A53T  $\alpha$ -Synuclein in Rats

The characteristic pathological event of PD is the loss of DA neurons in SN. In this study, we also observed the DA neuronal loss with nigral delivery of AAV1/2 A53T  $\alpha$ -synuclein. The data showed the rats developed a significant loss of tyrosine-hydroxylase-immunoreactive (TH-ir) neurons in the right SN of rats 6 weeks following delivery of vectors coding for human A53T  $\alpha$ -synuclein compared with that of empty vector controls as well as contralateral controls (Fig. [3a](#page-3-0)). THir neurons were counted stereologically from anterior to posterior of the SN and showed a 38 % reduction in the right SN of AAV1/2 A53T  $\alpha$ -synuclein-injected rats compared with empty vector controls (Fig. [3c](#page-3-0), P<0.001). Administration of 5 and 2 % trehalose protected DA neurons in SN, while neither 0.5 % trehalose nor 5 % glucose had any protective effects on DA neurons in SN compared with solely AAV1/2 A53T  $\alpha$ synuclein-injected rats (Fig. [3a\)](#page-3-0). However, 5 and 2 % trehalose administration made a 23 and 32 % recovery of DA neuronal survival in SN, respectively, while 0.5 % trehalose and 5 % glucose did not have any effects on DA neuronal survival in SN compared with AAV1/2 A53T  $\alpha$ -synuclein/ vehicle-treated rats.

Deposition and aggregation of  $\alpha$ -synuclein in LBs are also a pathological hallmark of PD [\[23\]](#page-9-0). Figure [3a](#page-3-0) shows, using double-labeled immunofluorescence, co-localization of TH-ir neurons (green) and  $α$ -synuclein expression (red). As shown in Fig. [3a](#page-3-0), the expression of  $\alpha$ -synuclein in the AAV1/2 A53T α-synuclein-injected SN was obviously enhanced compared with empty vector controls. However, 5 and 2 % trehalose treatment cleared or prevented  $\alpha$ -synuclein accumulation compared with AAV1/2 A53T  $\alpha$ -synuclein/vehicle-treated rats. Neither 0.5 % trehalose nor 5 % glucose treatment had any obvious effects on  $\alpha$ -synuclein expression. This



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Fig. 1 Forelimb asymmetry in the cylinder test. Three weeks and 6 weeks following injection of AAV1/2 A53T α-synuclein and oral administration of trehalose and glucose, the asymmetry in forelimb use was observed in rats. Bars indicate SD for seven to ten rats at each point.

qualitative observation warranted further investigation into the α-synuclein clearing potential of trehalose.

# Trehalose Attenuated AAV1/2 A53T α-Synuclein-Reduced TH Expression and α-Synuclein Deposition in Striatum in Rats

Next, we examined the intensity of TH-ir and  $\alpha$ -synuclein-ir in the striatum to determine whether dopamine terminals were also affected by treatment with trehalose (Fig. [4a](#page-4-0)). Similar to results shown in the SN, we found that the intensity of striatal TH-ir staining was reduced by over-expression of A53T  $\alpha$ synuclein in vehicle-treated animals, while animals that received 5 or 2 % trehalose appeared to have TH levels closer to that of empty vector/vehicle controls. To quantify this finding, we conducted optical density analysis (Fig. [4b\)](#page-4-0) and show that TH expression in A53T  $\alpha$ -synuclein/vehicle-treated animals is reduced by 32.7 % compared to empty vector/vehicle controls ( $P<0.05$ ). However, in rats in groups that received 5 and 2 % trehalose, there was significant protection of TH protein expression, by 22.8 and 24.3 % compared to A53T α-synuclein/vehicle-treated animals, respectively (Fig. [4b,](#page-4-0)

Fig. 2 Effects of trehalose on the blood glucose in AAV1/2 A53T α-synuclein-injected rats. Six weeks following injection of AAV1/2 A53T α-synuclein and trehalose and glucose treatment, the free and random blood glucose was monitored. Bars indicate SD for seven to ten rats at each point



 $*P<0.05$ ,  $*P<0.01$ ,  $**P<0.001$  compared with the empty vector controls;  $H^{\text{eff}}P<0.01$ ,  $H^{\text{eff}}P<0.001$  compared with the AAV1/2 A53T  $\alpha$ synuclein-injected rats that received either no treatment or 5 % glucose

 $P<0.05$ ). Furthermore, treatment with 5 and 2 % trehalose significantly reduced the amount of striatal  $\alpha$ -synuclein, by 78.9 and 69.3 % compared to A53T  $\alpha$ -synuclein/vehicle-treated animals, respectively (Fig. [4c](#page-4-0),  $P < 0.05$ ). Treatment with 0.5 % trehalose or 5 % glucose had no detectable effect on TH-ir staining and  $\alpha$ -synuclein expression.

## Trehalose Treatment Prevents Neurochemical Deficits in Striatum of AAV1/2 A53T  $\alpha$ -Synuclein-Injected Rats

To explore whether nigral delivery of AAV1/2 A53T  $α$ synuclein has effects on the neurotransmitters, the levels of DA and its major metabolites dihydroxyphenylacetic acid (DOPAC) and homovanillic acid (HVA) were determined by HPLC 6 weeks after AAV1/2 A53T  $\alpha$ -synuclein injection and treatments. The concentrations of DA and DOPAC detected in striatum of AAV1/2 A53T  $\alpha$ -synuclein-injected rats were significantly lower than empty vector controls by 53 and 32.7 %, respectively (Fig. [5a, b,](#page-5-0)  $P<0.05$ ), but the levels of HVA were not altered in AAV1/2 A53T α-synuclein-challenged rats. However, DA turnover (HVA/DA) in striatum was significantly increased (2.25-fold higher) in AAV1/2 A53T α-synuclein-

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Fig. 3 Effects of trehalose on DA neuronal survival and  $\alpha$ -synuclein deposition in SN in AAV1/2 A53T α-synuclein-injected rats. a Immunofluorescence staining showed DA neurons (green) and αsynuclein accumulation (red) in SN of rats challenged with right intranigral injection of AAV1/2 A53T  $\alpha$ -synuclein and trehalose administration for 6 weeks. Scale bar is 500 μm. b The red point

represents the injection site of AAV1/2 A53T α-synuclein in the SN of rats. c Stereological counting of TH-ir neurons in SN.  $*P<0.05$ , \*\* $P<0.01$ , \*\*\* $\overline{P}<0.001$  compared with the empty vector controls;  ${}^{#}P<0.05$ ,  ${}^{#}P<0.01$  compared with the AAV1/2 A53T  $\alpha$ -synucleininjected rats that received either no treatment or 5 % glucose (Color figure online)

injected rats compared with empty vector controls (Fig. [5c,](#page-5-0) P<0.05, respectively), suggesting that the rate of DA metabolism was rapid in AAV1/2 A53T  $\alpha$ -synuclein-injected rats.

The decreased concentration of DA by AAV1/2 A53T  $\alpha$ synuclein injection was alleviated by 26, 42.5, and 55.7 % after oral 5, 2, and 0.5 % trehalose administration separately (Fig. [5a,](#page-5-0)  $P > 0.05$  for 5 % trehalose,  $P < 0.05$  for 2 and 0.5 % trehalose, respectively). The decreased concentration of DOPAC was alleviated by 27.5 % after 5 % trehalose treat-ment (Fig. [5b](#page-5-0),  $P<0.05$  for 5 % trehalose). 2 % trehalose treatment seemed to have no effect on DOPAC levels. However, DA turnover (HVA/DA) in striatum of AAV1/2 A53T  $\alpha$ synuclein-challenged rats was greatly reversed by 64, 57.4, and 60.8 % post-oral 5, 2, and 0.5 % trehalose administration (Fig. [5c](#page-5-0),  $P<0.05$  for 5, 2, and 0.5 % trehalose, respectively). Glucose of 5 % had no influence on DA and DOPAC release as well as DA turnover.

## Trehalose Cleared the Insoluble α-Synuclein Deposited in the SN in AAV1/2 A53T  $\alpha$ -Synuclein-Injected Rats

Considering that insoluble aggregation of  $\alpha$ -synuclein is potentially pathogenic, we examined proteinase K (PK)-resistant

α-synuclein immunostaining in rats challenged with AAV1/2 A53T α-synuclein. PK-resistant, Lewy-body-like αsynuclein aggregates were observed in the perinuclear compartment of DA neurons in AAV1/2 A53T  $\alpha$ -synucleininjected right SN compared with empty vector controls, indicating that insoluble  $\alpha$ -synuclein may participate in the pathogenesis of DA degeneration in AAV1/2 A53T  $\alpha$ -synucleininjected rats. Treatment of 5 and 2 % trehalose effectively cleared  $\alpha$ -synuclein aggregates compared with vehicletreated AAV1/2 A53T  $\alpha$ -synuclein-injected rats, while 0.5 % trehalose treatment was ineffective on this measure. Similarly, 5 % glucose had no effect on  $\alpha$ -synuclein clearance (Fig. [6](#page-5-0)).

# Trehalose Enhanced Autophagy in Striatum of AAV1/2 A53T  $\alpha$ -Synuclein-Injected Rats

LC3 localizes to autophagic membranes but not on other membrane structures and thus serves as a specific marker for autophagosomes [[21\]](#page-9-0). Endogenous LC3 is processed posttranslationally into LC3-I, which is cytosolic. LC3-I is, in turn, converted to LC3-II, which associates with autophagosome membranes. LC3-II can accumulate due to increased

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Fig. 4 Effects of trehalose on striatal TH and  $\alpha$ -synuclein expression in AAV1/2 A53T  $\alpha$ -synuclein-injected rats. a Immunofluorescence staining showed TH expression (green) and  $\alpha$ -synuclein accumulation (red) in striatum of rats challenged with right intranigral injection of AAV1/2 A53T  $\alpha$ -synuclein and trehalose treatment for 6 weeks. Scale bar is 2 mm and 500 μm. b Representative photomicrographs illustrating TH immunoreactivity in striatum.  $*P<0.05$  compared with the empty vector controls;  $^{#}P<0.05$  compared with the AAV1/2 A53T  $\alpha$ -synucleininjected rats that received either no treatment or 5 % glucose. c Western

blot analyses of TH and  $\alpha$ -synuclein in protein extracts from the right sides of the striatum in rats challenged with right intranigral injection of AAV1/2 A53T α-synuclein and trehalose treatment for 6 weeks. Semiquantification of relative TH and  $\alpha$ -synuclein protein was obtained from among at least six different animals. \* $P < 0.05$ , \*\* $P < 0.01$ , \*\*\* $P < 0.001$ compared with the empty vector controls;  $^{#}P$  < 0.05,  $^{#}P$  < 0.01 compared with the AAV1/2 A53T  $\alpha$ -synuclein-injected rats that received either no treatment or 5 % glucose (Color figure online)

autophagosome formation [\[22](#page-9-0)–[25](#page-9-0)]. LC3-II levels relative to GAPDH correlate with autophagosome number per cell. As we are interested in defining a measure of autophagosome number per cell, we have not quantified LC3-II versus LC3- I, as some LC3-II can be converted back to LC3-I [[16](#page-9-0)]. We observed a 3.6- and 3.8-fold increase of LC3-II in striatum after 5 and 2 % oral administration of trehalose for 6 weeks compared with solely AAV1/2 A53T  $\alpha$ -synuclein-challenged rats (Fig.  $7, P<0.05$  $7, P<0.05$  for 5 and 2 % trehalose, respectively). A 1.4-fold increase was also observed in 0.5 % trehaloseadministrated rats, but it did not reach significance  $(P>0.05)$ . No detectable LC3-II changes were seen in 5 % glucose-administrated rats  $(P>0.05)$ .

# **Discussion**

Several neurodegenerative disorders are associated with intracellular, misfolded, aggregate-prone proteins, including βamyloid in Alzheimer's disease (AD), α-synuclein in PD, prion protein in prion disease, and huntingtin in Huntington's disease (HD) [[22,](#page-9-0) [25](#page-9-0)–[27\]](#page-9-0). Novel strategies for preventing or slowing down the progression of these diseases are urgently needed. Trehalose is an autophagy enhancer acting through direct protein-trehalose interactions [[13,](#page-9-0) [22](#page-9-0)]. Tanaka et al. found that trehalose was effective in alleviating polyglutamine-induced pathology by clearing mutant huntingtin and inhibited protein misfolding in a mouse model of HD [\[28\]](#page-9-0). Schaeffer et al. showed that trehalose could activate autophagy to reduce the number of neurons containing tau inclusions and improve neuronal survival in a mutant P301S tau mouse model of AD [\[29](#page-9-0)]. In the PD field, several in vitro studies have reported that trehalose could be neuroprotectant, by inhibiting the misfolding of  $\alpha$ -synuclein. Sarkar et al. showed that trehalose accelerated the clearance of both A53T and A30P  $\alpha$ -synuclein mutants in mammalian cell culture lines via stimulation of autophagy [[22](#page-9-0)]. Our previous work reported that trehalose was effective in disaggregating mutant  $\alpha$ -synuclein protofibrils and inhibiting the formation of mutant  $\alpha$ -synuclein fibrils in the cell-free system of A53T  $\alpha$ -synuclein incubation [[30](#page-9-0)]. Further research confirmed that trehalose could enhance clearance of  $\alpha$ -synuclein aggregates through activation of the autophagy pathway [\[31](#page-9-0)]. Recently, it has been argued that, given advances in animal

<span id="page-5-0"></span>Fig. 5 Effects of trehalose on striatal changes in neurochemistry following intranigral injection of AAV1/2 A53T α-synuclein. The levels of DA and its major metabolites DOPAC and HVA in striatum were determined by specific HPLC assay 6 weeks post-AAV1/2 A53T α-synuclein injection and trehalose treatment. a DA; b DOPAC; c the ration of HVA/DA; d the ration of DOPAC/DA. Quantitative results were obtained from among at least six rats. \* $P < 0.05$ , \*\* $P < 0.01$ compared with the empty controls;  $^{#}P<0.05$ ,  $^{#}P<0.01$ compared with the AAV1/2 A53T α-synuclein-injected rats that received either no treatment or 5 % glucose



models of PD, in vivo assessment of trehalose efficacy in PD is needed [[20](#page-9-0)]. Accordingly, a recent in vivo study showed that trehalose attenuated neurodegeneration in a chronic MPTP mouse model of PD [\[32\]](#page-9-0). However,  $\alpha$ synuclein aggregates are scarce in MPTP mice, making it difficult to study the effects of trehalose on  $\alpha$ -synuclein pathology and contribution in these mice.

Over-expression of α-synuclein in vivo using viral vectors has opened interesting possibilities to model PD-like synucleinopathy in rodents. In a previous study, we showed that AAV1/2-driven expression of A53T  $\alpha$ -synuclein in the SN of rats caused a specific and progressive degeneration of the nigrostriatal system and a sustained behavioral deficit. The model has provided a useful tool to investigate new

Fig. 6 Effects of trehalose on clearance of α-synuclein aggregates in SN in AAV1/2 A53T α-synuclein-injected rats. Midbrain sections from rats injected with AAV1/2 A53T  $\alpha$ synuclein were treated with proteinase K and subsequently immunostained for human αsynuclein to determine its solubility. Following PK digestion, many neurons maintain expression of α-synuclein aggregates (insoluble  $\alpha$ synuclein). Scale bar is 500 μm



AAV A53T α-synuclein +2% trehalose

AAV A53T α-synuclein +0.5% trehalose

AAV A53T α-synuclein +5% glucose

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Fig. 7 Effects of trehalose on the LC3-II expression in striatum of AAV1/2 A53T α-synuclein-injected rats. The expression of LC3-II was assessed by Western blotting. Quantitative results were obtained from among at least six rats. \*P<0.05 compared with the AAV1/2 A53T  $\alpha$ synuclein-injected rats that received either no treatment or 5 % glucose

therapeutics directly targeting  $\alpha$ -synuclein and its associated pathology [\[19](#page-9-0), [20\]](#page-9-0). We have also shown that neuroinflammation (activated microglia, increases in IL-1 $\beta$  and TNF- $\alpha$ ) is associated with this model as shown in a previous report [\[33\]](#page-10-0) and in unpublished studies using the AAV1/2 vector (Koprich et al). The present study aimed to further investigate the potential protective effects of trehalose on motor function and  $\alpha$ synuclein-mediated pathology in this model. Our data showed that trehalose could significantly improve the motor deficits, DA neuronal survival, and  $\alpha$ -synuclein deposition in SN and attenuated α-synuclein-induced lesion of dopaminergic terminals in the striatum. Furthermore, proteinase K digestion experiments revealed that trehalose reduced the amount of indigestible nigral  $\alpha$ -synuclein. The inhibition of  $\alpha$ -synuclein aggregation by trehalose can lead to amelioration of  $\alpha$ synuclein-mediated dysfunction.

The mechanism of action of trehalose in clearing  $\alpha$ synuclein and protecting the nigrostriatal pathway is not completely understood, but it is believed to activate autophagy in an mTOR-independent manner [\[22](#page-9-0)]. Our data implicated that trehalose activated autophagy in the striatum, as shown by the increased formation of LC3-II and reduced levels of αsynuclein. Altogether, our results indicate that the in vivo stimulation of autophagy markedly reduced the amount of

α-synuclein aggregates, improved DA neuronal survival, and prevented behavioral asymmetry.

A possible adverse effect of trehalose treatment, elevation of blood sugar levels, was examined. We found that trehalose did not affect the fasting and random-sampled blood glucose in rats. In our data, 5 and 2 % trehalose, but not 5 % glucose, could effectively prevent  $\alpha$ -synuclein-mediated degeneration of the nigrostriatal system. Trehalose of 0.5 % had no an effect on protecting striatal DA levels, nor was this concentration able to clear  $\alpha$ -synuclein aggregates (control levels of PKtreated aggregates remained) or protect DA neurons.

Trehalose is currently being considered for a broad spectrum of applications. It has also been suggested to have antiinflammatory, anti-oxidative stress, and anti-apoptotic effects [\[34](#page-10-0)–[36\]](#page-10-0). These roles, coupled with anti-aggregation properties and lack of toxicity, enhance the potential translational value of trehalose. Extracting trehalose was once a difficult and costly process, but since 2000, an inexpensive mass production technology for extracting trehalose from starch has been available from the Hayashibara Company (Okayama, Japan).

## **Conclusions**

In this study, we show beneficial effects of trehalose on the clearance of  $\alpha$ -synuclein aggregation, the protection of nigrostriatal degeneration, the enhancement of autophagy, and prevention of behavioral deficits in an AAV1/2 A53T  $\alpha$ synuclein rat model of PD. Although further investigation is needed to define clinical design strategies and clarify the relationship between autophagy and neuroprotective effect of trehalose, the demonstrated benefit of trehalose in an AAVdriven PD model makes it a promising strategy for the prevention and treatment of  $\alpha$ -synuclein-mediated PD disorders.

## Methods

#### Animals and Vector Delivery

Female Sprague-Dawley (SD) rats, 2 months old and ~280 g, were purchased from Shanghai SLAC Laboratory Animal Company (Shanghai, China). All experiments were conducted in accordance with the guidelines of the International Council for Laboratory Animal Science. The study was approved by the Ethics Committee of Fudan University, Shanghai, China. The approval number from IRB is "20150649C001." Using standard stereotaxic procedures, 60 SD rats were injected intranigrally with either empty AAV1/2 vector, or AAV1/2 expressing human A53T  $\alpha$ -synuclein, under chloral hydrate anesthesia. In each case, a single 2-μl injection of viral vector was delivered to the SNpc at a rate of 0.2 μl/min according to the following coordinates from bregma: AP, −5.2 mm; ML,

 $-2.0$  mm; and DV,  $-7.5$  mm (from skull at bregma) using a microinjector (Stoelting, Kiel, WI) and according to the atlas of Paxinos and Watson [[20\]](#page-9-0). The AAV1/2 concentration of each vector was  $1.76*10^{12}$  gp/ml (1:3 dilution). Animals were housed in pairs in a temperature-controlled environment (20 °C), kept on regular 12-h light/dark cycle, and allowed food and water ad libitum.

## **Vectors**

Adeno-associated virus (AAV) vectors of a 1/2 serotype were designed such that expression was driven by a chicken beta actin (CBA) promoter hybridized with the cytomegalovirus (CMV) immediate early enhancer sequence. In addition, a woodchuck post-transcriptional regulatory element (WPRE) and a bovine growth hormone polyadenylation sequence (bGH-polyA) were incorporated to further enhance transcription following transduction. AAV1/2 is a chimeric vector where the capsid expresses AAV1 and AAV2 serotype proteins in a 1:1 ratio and uses the inverted terminal repeats (ITRs) from AAV2 according to the following scheme: CMV/CBA promoter––human A53T α-synuclein–WPREbGH-polyA––ITR [[36](#page-10-0)]. The vectors were produced by GeneDetect Ltd., Auckland, New Zealand. Viral titers were determined by quantitative PCR (Applied Biosystems 7900 QPCR) with primers directed to the WPRE region, thus representing the number of functional physical particles of AAV in the solution containing the genome to be delivered. Full details of vector design can be found in the previous report [[20\]](#page-9-0).

## Trehalose Treatment

After the surgery, the rats were treated with drinking water containing trehalose (Sinopharm Chemical Reagent Co., China) diluted in water with the final concentrations being 5, 2, and 0.5 %, respectively, for 6 weeks. Since glucose is the metabolite of trehalose, it was used as a control with a concentration being 5 % and also provided for 6 weeks.

## Cylinder Test

Three and six weeks following AAV1/2 injection, spontaneous forepaw use was evaluated using the cylinder test. Briefly, rats with their right paws marked black were placed into a glass cylinder in front of two mirrors and they were videorecorded. Videos were scored post hoc by an observer blinded to the treatment conditions. For each rearing by the animal, it was recorded whether it used its left or right forepaw to touch the inner surface of the glass cylinder. Scoring was conducted over a period of 6 min. A minimum of 20 total touches was considered acceptable for inclusion in the analysis. The final data are presented as percent asymmetry (disparity between

left [contralateral to the injection] and right paw use [ipsilateral to the lesion]), which was derived from the following equation:  $[(\% \text{ right } paw \text{ use}) - (\% \text{ left } paw \text{ use}) / (\% \text{ right }$ paw use)+(% left paw use)]  $*$  100 [\[19](#page-9-0)].

### Post-Mortem Measures

#### Immunohistochemistry

Six weeks after AAV1/2 injection, animals were administered an overdose of pentobarbital (1.0 ml of 240 mg/ml, i.p.) and killed by exsanguination by transcardial perfusion with saline followed by 4 % paraformaldehyde. Brains were then removed and processed for immuno-labeling. Brains were sectioned frozen in the coronal plane at a thickness of 10 μm on a sliding microtome and they were stored in cryoprotectant (30 % glycerol, 30 % ethoxyethanol, 40 % phosphatebuffered saline (PBS)). A single series of sections was processed for visualization of tyrosine hydroxylase (TH) via the biotin-labeled antibody procedure. Briefly, following several washes in a PBS solution containing 0.2 % Triton X-100 (PBS-T), endogenous peroxidase was quenched in a 3 % hydrogen peroxide solution and background staining was then inhibited in a 10 % normal goat serum/2 % bovine serum albumin solution. Tissue was then incubated with primary antibodies overnight: rabbit anti-TH antibody (1:500, Chemicon, USA). After three washes in PBS-T, sections were sequentially incubated in biotinylated goat anti-rabbit IgG (1:300; Vector, Burlingame, CA) for 1 h and the Elite avidin-biotin complex (ABC Kits; Vector, Burlingame, CA) for 1 h separated by three washes in PBS.

Immunostaining was visualized following a reaction with 3,3-diaminobenzidine (Vector, Burlingame, CA). Sections were then mounted on glass slides, allowed to dry, dipped into  $dH<sub>2</sub>0$ , dehydrated through graded alcohols (70, 95, 100 %), cleared in xylenes, and coverslipped with DPX mounting medium (Electron Microscopy Sciences, Hatfield, PA).

#### Immunofluorescence

For immunostaining analysis, non-specific binding was blocked with 3 % bovine serum (Serotec, UK) and permeabilized with 0.1 % Triton X-100 in 1 % BSA-PBS for 30 min. The sections were incubated at 4 °C overnight with monoclonal rabbit anti-TH (1:500; Chemicon, USA) and anti- $\alpha$ -synuclein (1:2000, gift from Dr. Yu) and then incubated with corresponding secondary antibodies at room temperature for 2 h. The nucleus was stained by Hoechst 33342 (1 μg/ml, Sigma-Aldrich, USA). Control sections were run following identical protocols, but omitting the primary antibodies. For each animal, ten sections of brain were examined in a blinded fashion.

#### Stereology

TH-stained sections of the SN were used for stereological estimation of DArgic neuron numbers using optical fractionator from the Stereo Investigator software package (v. 7, MBF Biosciences, Williston, VT). The user was blinded to group assignment by coded slides. Ten sections spanning the entire anterior/posterior extent of the SN, each separated by 200 μm, were used for counting. All TH-ir neurons of the SN (pars compacta and pars reticulata) were included within each contour, of each section. Parameters used for TH stereological counting were grid size, 300 μm \* 300 μm; counting frame, 80 μm \* 80 μm; and 2-μm guard zones. Tissue thickness was determined by the user at each counting site. All final values represent estimated total by number weighted section thickness and were only included if their Gunderson coefficient of error  $(m=1)$  was less than 0.09.

#### The Measurement of Striatal Biogenic Amines

Brains were used to dissect the two striata. The striatum samples were weighed and homogenized in 10 volumes of 0.2 M perchloric acid containing 100 μM EDTA-2Na and 100 ng/ml isoproterenol (the internal standard for the measurement of catecholamine and 5-HT contents) in a homogenizer at a maximum setting for 20 s on ice. After 30 min in the mixture of ice and water, the homogenate was centrifuged at  $15,000 \times g$  for 20 min at 4 °C. The solution of 1 M sodium acetate was added to adjust the pH value to approximately 3.0. After filtration  $(0.45 \mu m)$ , the samples were injected into a HPLC system.

DA, dihydroxyphenylacetic acid (DOPAC), and homovanillic acid (HVA) were detected using a HPLCelectrochemical detection system. Briefly, 20 μl of the homogenate sample was injected into the HPLC-electrochemical detection system by using an L-2200 auto sampler (HITACHI, Tokyo, Japan) at  $4 \,^{\circ}\text{C}$ , separated at  $25 \,^{\circ}\text{C}$  on a reverse-phase analytical column (EICOMPAK SC-5ODS,  $3.0 \text{ mm} \times$ 150 mm; Eicom, Kyoto, Japan), eluted at a flow rate of 0.5 ml/min at 30 °C with 0.1 M sodium acetate/citric acid (pH 5.4) containing 17 % methanol, 190 mg/l sodium loctanesulfonate, and 5 mg/l EDTA-2Na, and determined by an 2465 electrochemical detector (Waters, Milford, MA, USA). The chromatograms were recorded and analyzed using a computer with Millennium 32 Chromatography Manager Software (Waters) [\[37,](#page-10-0) [38\]](#page-10-0).

## Proteinase K Treatment

Tissue sections from AAV1/2 A53T  $\alpha$ -synuclein-injected rats containing the SN were treated with proteinase K to determine whether the  $\alpha$ -synuclein seen here was soluble (nonaggregated) or insoluble (aggregated) according to the method of Chu and Koprich et al. [[20,](#page-9-0) [39,](#page-10-0) [40\]](#page-10-0). Briefly, sections were mounted and dried on Permafrost + glass slides for at least 8 h at 55 °C. Sections were then briefly hydrated with TBS-T (10 mM Tris-HCl, pH 7.8; 100 mM NaCl; 0.05 % Tween 20) and digested with 50 μg/ml PK (Invitrogen, Carlsbad, CA) in TBS-T (10 mM Tris-HCl, pH 7.8; 100 mM NaCl; 0.1 % Tween 20) for a period of 2.5 h at 55  $^{\circ}$ C. Sections were then fixed for 10 min using 4 % paraformaldehyde and then processed for  $\alpha$ -synuclein immunofluorescence as described above.

### Western Blot Analysis

After removing the brain rapidly, the tissues were separated from the brain and then homogenized on ice with a microcontent motor-operated tissue homogenizer (Kimblekonts, USA) in ice-cold lysis buffer (1× PBS, 1 % Nonidet P-40, 0.5 % sodium deoxycholate, and 0.1 % SDS, RIPA) supplemented with protease inhibitors. Lysates were centrifuged at  $10,000 \times g$  for 20 min at 4 °C; the supernatants were collected. Protein concentrations were determined by a Bradford protein assay. Equal amounts of protein (30 μg) were separated by SDS-PAGE and electroblotted onto nitrocellulose (NC) filter membrane (Millipore). After non-specific antibody binding was blocked with 5 % non-fat dry milk, membranes were incubated at 4 °C overnight with polyclonal rabbit anti-TH (1:2000, Millipore, USA), human α-synuclein (1:500, Zymed, San Francisco, CA), polyclonal rabbit anti-LC3 (1:1000, Novus, USA), and monoclonal rabbit anti-GAPDH (1:8000, Epitomics, USA). After washing in TBS-T, the immunoblots were incubated with horseradish peroxidase conjugated secondary antibodies (Cell Signaling Technology) for 1 h. The immunoblots were developed with enhanced chemiluminescence (ECL) reagents (Millipore, USA) and measured with Quantity Software (Bio-Rad, CA). To compare protein loading, antibody directed against GAPD H was used. Quantitative results were obtained from among at least six rats.

#### Statistical Analysis

All measurements stated above were repeated at least three times for each experiment, and the data were expressed as mean±SEM. For all statistical comparisons, we first used a one-way ANOVA, with significance set at  $P<0.05$ . If ANOVA was significant, all post hoc tests were conducted using Tukey's multiple comparison test. The software used to conduct statistical analyses and graph all data was GraphPad Prism 4 (GraphPad Software, Inc.).

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Conflict of interest The authors declare that they have no competing interests.

Authors' contributions WJ, Koprich JB, and Brotchie JM designed this study. HQ, WY, and YWB performed this study, analyzed data, and wrote the manuscript. XBG assisted in behavioral analysis. All authors discussed the results and provided comments on the manuscript. All authors read and approved the final manuscript.

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