#### **ORIGINAL RESEARCH**



# The Neutrophil-to-Lymphocyte Ratio is a Prognostic Biomarker in An Ethnically Diverse Patient Population with Advanced Pancreatic Cancer

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#### Abstract

**Purpose** The neutrophil-to-lymphocyte ratio (NLR) is associated with decreased overall survival in patients with pancreatic adenocarcinoma (PAC) in studies including few minority patients. We investigated the association between NLR and survival in patients with advanced PAC in an ethnically diverse population.

**Methods** We retrospectively evaluated 226 patients with advanced PAC treated at Montefiore Medical Center between 2006 and 2015. Adjusted Cox proportion hazard regression models were utilized to derive effect estimates for survival duration.

**Results** Patients with a NLR  $\leq$  5 (126 patients, median age 66 years) were more likely to be non-Hispanic Black (30.8% vs. 20%), while patients with a NLR > 5 (70 patients, median age 66 years) were more likely to be non-Hispanic White (21.4% vs. 12.2%) or Hispanic (44.3% vs. 34%). A NLR > 5 compared with a NLR  $\leq$  5 was significantly associated with a worse overall survival when adjusted for a priori and exploratory variables from the univariate analysis (median survival 7.4 vs. 12 months, HR 1.650, 95% CI 1.139, 2.390).

**Conclusions** In an ethnically diverse population, elevated NLR is an independent marker of poor prognosis and a potentially valuable factor in driving therapeutic decisions and defining prognosis for patients in the locally advanced or metastatic for PAC setting, meriting investigation in prospective clinical trials.

Keywords Pancreatic cancer · Inflammation · Healthcare disparities · Prognostic factors · Chemotherapy

Abdissa Negassa and Sanjay Goel should be considered as joint senior authors.

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# Introduction

Pancreatic cancer is the fourth leading cause of cancer-related deaths and 11th most common malignancy in the USA [1]. Whereas the overall incidence and mortality of cancer is declining, pancreatic cancer incidence and mortality continue to increase worldwide [1, 2]. Despite advances in therapy over the last 40 years, disease-specific 5-year survival rates remain below 10% [3]. For non-resectable advanced pancreatic cancer, the development of combination cytotoxic regimens has resulted in modest survival gains, albeit with significant associated toxicities [4-6]. Limited data exists to guide patient risk stratification and treatment selection to optimize responses and minimize complications of therapy [7]. Studies in patient cohorts treated with gemcitabine-based regimens have previously correlated several biomarkers including lactate dehydrogenase, carcinoembryonic antigen, and carbohydrate antigen (CA) 19-9 with improved survival [8, 9].

Emerging evidence suggests that systemic and local inflammation may be critical determinants in carcinogenesis, progression of disease, and chemotherapeutic response [10, 11]. Prognostic biomarkers and scores utilizing surrogate markers for systemic inflammation including the modified Glasgow Prognostic Score and the neutrophil-to-lymphocyte ratio (NLR) have been associated with survival differences in patients with various malignancies [12, 13]. The NLR, in particular, has been noted to be an easily obtainable prognostic factor for multiple malignancies including colorectal, breast, and non-small-cell lung cancer [14]. Retrospective studies have elucidated that an elevated baseline NLR is associated with a worse overall survival in patients with resectable and advanced pancreatic adenocarcinoma [15–17]. Significantly, post hoc analyses of the prospective MPACT and NAPOLI-1 trials have demonstrated that an NLR > 5 is associated with a significantly worse overall survival compared with an NLR  $\leq$ 5 in both pooled cohort and treatment-specific analyses [18, 19].

However, these prior studies of the NLR in pancreatic adenocarcinoma have included homogeneous populations with a few minority, i.e., non-Hispanic Black (NHB) or Hispanic patients [15-19]. Prospective clinical trials predominantly enroll non-Hispanic White (NHW) patients, and a disproportionally lower number of NHB and Hispanic patients [20]. The average baseline NLR for NHB patients may also be lower than that of NHW and Hispanic patients [21]. Additionally, in the USA, NHB patients have a higher overall incidence and age-adjusted death rate for pancreatic adenocarcinoma compared with NHW patients [22]. Differences in baseline comorbid characteristics including diabetes mellitus, body mass index, and tobacco exposure along with socioeconomic factors may further influence disparities in pancreatic adenocarcinoma survival and reflect putative changes in the systemic inflammatory milieu [21, 23].

Therefore, we investigated the association between an elevated NLR in patients with incurable pancreatic adenocarcinoma and survival in an ethnically diverse population. We hypothesized that an elevated NLR was independently associated with shortened survival in this population.

## Methods

## **Study Population**

We performed a retrospective chart review of patients treated at the Montefiore Medical Center (MMC), an urban tertiary care center that provides primary and specialty care to a predominantly minority population in Bronx, NY. We reviewed the MMC electronic medical record system using "Clinical Looking Glass," a software application developed at MMC that allows clinicians and researchers to identify populations of interest from the medical center database to gather information on laboratory data, medications, demographics, and mortality [24].

## Study Design

Patients over the age of 18 between January 1, 2006, and December 31, 2015 diagnosed with pathologically confirmed locally advanced or metastatic pancreatic ductal adenocarcinoma were included in the study. Patients with prior surgical resection were only included if progression to metastatic disease was noted on follow-up imaging and no cytotoxic chemotherapy had been administered in the 6 months prior to inclusion. Patients must have received chemotherapy to be included in the analysis and patients who had received chemotherapy prior to treatment at MMC were excluded from this study. All patient information was de-identified and stored as a Microsoft 2010 Excel file that was encrypted and password protected. The MMC institutional review board approved the study.

We collected the following baseline demographic and clinical data from medical record review: age, gender, ethnicity and race, date of diagnosis of locally advanced or metastatic cancer, primary tumor site (pancreas head/neck or body/tail), tumor surgical resection status, Eastern Cooperative Oncology Group (ECOG) performance status (PS), presence of liver metastases, body mass index prior to administration of chemotherapy, diagnosis of hypertension and/or type 2 diabetes mellitus, category of first-line chemotherapy administered (gemcitabine monotherapy, FOLFIRINOX, or other), any administration of radiation therapy, adjusted Charlson comorbidity score at diagnosis, and date of last follow-up or date of death. In calculation of the adjusted Charlson comorbidity score, diagnosis of metastatic or locally advanced disease was not included to evaluate only the contribution of additional baseline comorbidities. Laboratory values including serum CA 19-9 level, white blood cell count (WBC), hemoglobin, platelet count, neutrophil count, lymphocyte count, and albumin, within 48 h of chemotherapy initiation were collected. Creactive protein level was available for fewer than 10% of patients and was therefore not included in the analysis. Chemotherapeutic agent doses and schedules were allocated per the discretion of the treating physician at the time of therapy. The NLR was calculated using the baseline neutrophil and lymphocyte count obtained on the day of or within 48 h preceding chemotherapy administration. A NLR cutoff of  $\leq 5$ or > 5 was selected based upon previously reported parameters [18, 19].

#### **Statistical Analysis**

Baseline characteristics were compared between NLR  $\leq$  5 and NLR > 5 patient subgroups. Pearson's chi-square tests, or

Fisher's exact test in the case of limited data, were used to compare categorical/nominal variables between patient subgroups as defined above based on NLR. Survival time was defined as time from diagnosis of locally advanced or metastatic disease to date of death or last follow-up. Patients were followed through December 31, 2016. All patients alive at the time of last follow-up were censored at that time. Dates of death were verified via the MMC death registry, and when not available via the MMC database, the United States National Death Index [25]. Survival durations of patient groups of interest were summarized using a Kaplan-Meier plot. A Cox proportion hazard regression model was utilized in deriving effect estimates and associated 95% confidence intervals (CI). In the multivariable analysis, change in the effect estimate of NLR ( $\geq 10\%$ ) was employed as a criterion for adjustment for potential confounding, except for selected demographic and clinical variables, as well as comorbidity as assessed by the Charlson comorbidity index, which were kept in the model regardless as they were a priori identified as clinically important prognostic factors. Effect estimates are presented as hazard ratios (HRs) and the associated 95% CI. Model assumptions of proportional hazards were assessed with plots of Schoenfeld residuals vs. time. A computed pvalue < 0.05 (two-sided) was considered statistically significant. Statistical analysis was performed using the SAS 9.4 software package (SAS Institute Inc., Cary, NC, USA).

# Results

A total of 567 patients diagnosed with pancreatic adenocarcinoma from 2006 to 2015 at MMC were identified (Fig. 1). Of these, 341 patients were excluded from the final analysis (70 referred directly to hospice care, 216 patients with stage I or II disease, and 55 with incomplete treatment data). The remaining 226 patients with locally advanced or metastatic disease underwent analysis for the study cohort, of whom 70 had a NLR > 5. Demographic and clinical characteristics between



the NLR  $\leq$  5 and NLR > 5 patient subgroups were similar, with some exceptions (Table 1).

There were no significant differences in age, gender, body mass index, tobacco use, and ECOG PS between patients with a NLR  $\leq$  5 or NLR > 5 (Table 1). The median age of patients with a NLR  $\leq$  5 was 66 (range 44, 90) and for NLR > 5 was 65 (range 42, 88). Patients with a NLR  $\leq$  5 were more likely to be of NHB (30.8% vs. 20%) or other ethnicity (23.1% vs. 14.3%), whereas patients with a NLR > 5 were more likely to be NHW (21.4% vs. 12.2%) or Hispanic (44.3% vs. 34%). Patients with an NLR  $\leq$  5 were also more likely to have locally advanced disease than metastatic disease (19.2% vs. 5.7%) and a primary tumor located in the head or neck of the pancreas (68.6% vs. 51.4%). Patients with NLR > 5 were more likely to have liver metastases (58.6% vs. 40.4%) and albumin < 3.4 g/dL (38.6% vs. 15.4%). Baseline comorbidity measures, CA 19-9 levels, hemoglobin, platelet counts, chemotherapy regimen types, prior surgical resection, and palliative radiation were balanced between the groups.

At the time of last follow-up, there were 181 deaths in the entire cohort, with a median follow-up of 8.8 months (interquartile range 5.1 to 15.8) and median survival of 10.7 months (95% CI 8.8, 12.7). Patients with a NLR  $\leq$  5 had a significantly greater survival time from diagnosis of locally advanced or metastatic disease than patients with a NLR > 5 (Fig. 2, median survival 12.0 vs. 7.4 months, HR 1.9, 95% CI 1.384, 2.596, p < 0.0001). Exploratory univariate survival estimates, summarized in Table 2, revealed as expected that chemotherapy with FOLFIRINOX (HR 0.434, 95% CI 0.285, 0.661) or other combination regimens (HR 0.634, 95% CI 0.441, 0.912) improved survival relative to gemcitabine monotherapy. Radiotherapy exposure was associated with improved survival in exposed patients (HR 0.517, 95% CI 0.374, 0.714). Univariate analysis revealed that a NLR > 5 (HR 1.9, 95%CI 1.384, 2.596), albumin of < 3.4 g/dL (HR 3.622, 95% CI 2.380, 5.512), anemia with Hgb  $\leq$  12 (HR 1.505, 95% CI 1.100, 2.060), presence of liver metastases (HR 1.951, 95%) CI 1.427, 2.668), and ever-smoker status (HR 1.397, 95% CI 1.040, 1.876) were associated with worse survival in the cohort.

In the multivariable survival models, we incorporated chemotherapy, radiation therapy, and stage (locally advanced or metastatic disease) as stratification variables and demonstrated that a NLR > 5 compared with a NLR  $\leq$  5 remained significantly associated with a worse overall survival when adjusted for multiple a priori factors and exploratory variables from the univariate analysis (Table 3, HR 1.650, 95% CI 1.139, 2.390). Ever-smoker status (HR 1.691, 95% CI 1.188, 2.409), presence of liver metastases (HR 1.547, 95% CI 1.063, 2.251), and albumin of < 3.4 g/dL (HR 2.8, 95% CI 1.784, 4.395) continued to be significantly associated with worse survival, as previously reflected in the univariate analyses. The variable of

Variable	NLR $\leq 5 \ (n = 156)$	NLR > 5 ( $n = 70$ )	<i>p</i> value
Age (n (%))			0.47
< 65	67 (43)	34 (48.5)	
$\geq 65$	89 (57)	36 (51.4)	
Gender $(n (\%))$			0.78
Male	79 (50.6)	37 (52.9)	
Female	77 (49.4)	33 (47.1)	
Race ( <i>n</i> (%))			0.046
Non-Hispanic White	19 (12.2)	15 (21.4)	
Non-Hispanic Black	48 (30.8)	14 (20)	
Hispanic	53 (34)	31 (44.3)	
Other	36 (23.1)	10 (14.3)	
Body mass index $(n (\%))$			0.18
< 30	130 (83.3)	53 (75.7)	
$\geq$ 30	26 (16.7)	17 (24.3)	
Tobacco use $(n (\%))$			0.32
Never smoker	78 (50)	40 (57.1)	
Ever smoker	78 (50)	30 (42.9)	
Charlson comorbidity score $(n \ (\%))$			0.31
0-2	115 (73 7)	56 (80)	0101
> 3	41 (26 2)	14 (20)	
= 5 ECOG performance status $(n (\%))^{\dagger}$	(20.2)	11(20)	0.92
	45 (28 8)	22 (31.4)	0.92
1_2	72 (46 1)	34 (48 6)	
Tumor stage $(n (\%))$	/2 (40.1)	5+ (10.0)	0.0085
Locally advanced	30 (19 2)	4 (57)	0.0005
Motostatio	126 (80.7)	4(5.7)	
Tumor site $(n_{i}(0))$	120 (80.7)	00 (94.3)	0.017
Hand/maak	107 (69 6)	26(514)	0.017
neau/neck	107 (08:0)	30 (31.4) 24 (48 6)	
Body/tall	49 (31.4)	54 (48.0)	0.014
Liver metastasis $(n(\%))$	02 (50 ()	20(414)	0.014
No	93 (39.6)	29 (41.4)	
	63 (40.4)	41 (58.6)	0.20
Hypertension $(n (\%))$	59 (27.2)	21 (20)	0.30
No	58 (37.2)	21 (30)	
Yes	98 (62.8)	49 (70)	- <b></b>
Diabetes $(n (\%))$		10 (77 1)	0.77
No	93 (59.6)	40 (57.1)	
Yes	63 (40.3)	30 (42.9)	
Chemotherapy type $(n (\%))$			0.21
Gemcitabine monotherapy	44 (28.2)	28 (40)	
FOLFIRINOX	37 (23.7)	13 (18.6)	
Other	75 (48.1)	29 (41.4)	
Prior surgical resection $(n \ (\%))$			0.17
No	138 (88.5)	66 (94.3)	
Yes	18 (11.5)	4 (5.7)	
Palliative radiation $(n \ (\%))$			0.075
No	112 (71.8)	58 (82.9)	
Yes	44 (28.2)	12 (17.1)	
CA 19-9 (n (%))			0.38

#### Table 1 (continued)

Variable	NLR $\leq$ 5 ( <i>n</i> = 156)	NLR > 5 ( $n = 70$ )	p value
< 494	79 (50.6)	31 (44.2)	
≥494	72 (46.2)	37 (52.9)	
Albumin ( <i>n</i> (%))			0.0002
≥3.4	132 (84.6)	43 (61.4)	
< 3.4	24 (15.4)	27 (38.6)	
Hemoglobin (n (%))			0.18
> 12	60 (38.4)	20 (28.6)	
≤12	96 (61.5)	50 (71.4)	
Platelet count $(n (\%))$			0.27
≥150	140 (89.7)	59 (84.3)	
$\leq 150$	16 (10.3)	11 (15.7)	

NLR, neutrophil-to-lymphocyte ratio; ECOG, Eastern Collaboration Oncology Group

<sup>†</sup> Data missing for approximately 20%

race did not remain significant in the multivariable-adjusted analysis of NLR as a prognostic factor for survival (Table 3).

## Discussion

Risk stratification models comprised of biomarkers that can be applied in routine clinical practice for prognostication and prediction in advanced pancreatic adenocarcinoma remain an area of active investigation. In this single-center study, we demonstrated that in an ethnically diverse population of patients with locally advanced or metastatic pancreatic adenocarcinoma, survival from time of diagnosis differed by NLR, such that after adjusting for clinically relevant variables, a NLR > 5 remained significantly associated with a decreased survival time when compared with a NLR  $\leq$  5.

Prior prognostic models have attempted to incorporate the NLR as a stratification variable in survival analysis in advanced pancreatic adenocarcinoma to characterize survival and chemotherapy risks [17, 26]. However, these models have employed disparate designs and heterogeneous inclusion criteria limiting their utility in clinical practice. The majority



Fig. 2 Survival experience by NLR > 5 vs. NLR  $\le 5$  patient groups. NLR, neutrophil-to-lymphocyte ratio

 Table 2
 Univariate analysis of selected prognostic factors for survival.

Age< 65 $\geq$ 651.1150.828, 1.5010.4729GenderMaleFemale1.0040.749, 1.3460.9783RaceNon-Hispanic WhiteNon-Hispanic Black0.6730.419, 1.0820.1022Hispanic Black0.6730.419, 1.0820.1022Hispanic Black0.6730.419, 1.0820.1022Hispanic Black0.6730.443, 1.2000.2144Body mass index< 302 301.0810.735, 1.5910.0122Tobacco useNever smokerEver smoker1.3971.040, 1.8760.0262Charlson comorbidity score01-20.9890.719, 1.3600.9434Tumor siteHead/neckNoYes1.3000.955, 1.7690.0952DiabetesNoYes1.3000.955, 1.7690.0952DiabetesNoYes0.3340.285, 0.661<0.0001 </th <th>Variable</th> <th>Hazard ratio</th> <th>95% CI</th> <th>p value</th>	Variable	Hazard ratio	95% CI	p value
< 65≥ 651.1150.828, 1.5010.4729GenderMaleFemale1.0040.749, 1.3460.9783RaceNon-Hispanic WhiteNon-Hispanic Black0.6730.419, 1.0820.1022Hispanic0.9180.592, 1.4240.7033Other0.9180.592, 1.4240.7033Other0.7290.443, 1.2000.2144Body mass index< 30	Age			
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MaleFemale1.0040.749, 1.3460.9783RaceNon-Hispanic WhiteNon-Hispanic Black0.6730.419, 1.0820.1022Hispanic0.9180.592, 1.4240.7033Other0.7290.419, 1.0820.1021Body mass index≥ 301.0810.735, 1.5910.6911Tobacco useEver smokerEver smoker1.3971.040, 1.8760.0262Charlson comorbidity score2 31.3460.953, 1.9010.0915ECCG performance status01-20.9890.719, 1.3600.9434Tumor siteLiver metastasisYes1.951(.1427, 2.668)0.0901HypertensionYes1.3000.955, 1.7690.952DiabetesYes1.3150.845, 1.5260.4000Chernotherapy typeYes0.3740.43440.285, 0.661<0.001	Gender			
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RaceNon-Hispanic Black0.6730.419, 1.0820.1022Hispanic0.7290.443, 1.2000.2144Body mass index< 30	Female	1.004	0.749, 1.346	0.9783
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Non-Hispanic Black0.6730.419, 1.0820.1022Hispanic0.9180.592, 1.4240.7033Other0.7290.443, 1.2000.2144Body mass index< 30	Non-Hispanic White			
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Other0.7290.443, 1.2000.2144Body mass index< 30	Hispanic	0.918	0.592, 1.424	0.7033
Body mass index $\geq$ 30         1.081         0.735, 1.591         0.6911           Tobacco use              Never smoker              Ever smoker         1.397         1.040, 1.876         0.0262           Charlson comorbidity score $0-2$ $\geq$ 3         1.346         0.953, 1.901         0.0915           ECOG performance status $0$ $1-2$ 0.989         0.719, 1.360         0.9434           Tumor site              Head/neck              Body/tail         1.198         0.880, 1.630         0.2515           Liver metastasis              Yes         1.951         (1.427, 2.668)         <0.0001	Other	0.729	0.443, 1.200	0.2144
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0-2            ≥ 3       1.346       0.953, 1.901       0.0915         ECOG performance status       0            0             1-2       0.989       0.719, 1.360       0.9434         Tumor site            Head/neck            Body/tail       1.198       0.880, 1.630       0.2515         Liver metastasis            No            Yes       1.951       (1.427, 2.668)       < 0.0001	Charlson comorbidity score			
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Body/tail1.1980.880, 1.6300.2515Liver metastasis $$ $$ $$ No $$ $$ $$ Yes1.951 $(1.427, 2.668)$ $< 0.0001$ Hypertension $$ $$ $$ No $$ $$ $$ Yes1.3000.955, 1.7690.0952Diabetes $$ $$ $$ No $$ $$ $$ Yes1.1350.845, 1.5260.4000Chemotherapy type $$ $$ $$ Gemcitabine monotherapy $$ $$ $$ FOLFIRINOX0.4340.285, 0.661 $< 0.0001$ Other0.6340.441, 0.9120.014Prior surgical resection $$ $$ No $$ $$ $$ Yes0.7770.457, 1.3190.3496Palliative radiation $$ $$ No $$ $$ $$ Yes0.5170.374, 0.714 $< 0.0001$ NLR $$ $$ $$ $\leq 5$ $$ $$ $$ $> 5$ 1.901.384, 2.596 $< 0.0001$	Head/neck			
Liver metastasisNoYes1.951 $(1.427, 2.668)$ < 0.0001	Body/tail	1.198	0.880, 1.630	0.2515
NoYes1.951 $(1.427, 2.668)$ $< 0.0001$ HypertensionNoYes1.3000.955, 1.7690.0952DiabetesNoYes1.1350.845, 1.5260.4000Chemotherapy typeGemcitabine monotherapyFOLFIRINOX0.4340.285, 0.661 $< 0.0001$ Other0.6340.441, 0.9120.014Prior surgical resectionNoYes0.7770.457, 1.3190.3496Palliative radiationNoYes0.5170.374, 0.714 $< 0.0001$ NLR $\leq 5$ > 51.901.384, 2.596 $< 0.0001$	Liver metastasis			
Yes $1.951$ $(1.427, 2.668)$ $< 0.0001$ HypertensionNoYes $1.300$ $0.955, 1.769$ $0.0952$ DiabetesNoYes $1.135$ $0.845, 1.526$ $0.4000$ Chemotherapy typeGemcitabine monotherapyFOLFIRINOX $0.434$ $0.285, 0.661$ $< 0.0001$ Other $0.634$ $0.441, 0.912$ $0.014$ Prior surgical resectionNoYes $0.777$ $0.457, 1.319$ $0.3496$ Palliative radiationNoYes $0.517$ $0.374, 0.714$ $< 0.0001$ NLR $\leq 5$ $> 5$ $1.90$ $1.384, 2.596$ $< 0.0001$	No			
HypertensionNoYes1.3000.955, 1.7690.0952DiabetesNoYes1.1350.845, 1.5260.4000Chemotherapy typeGemcitabine monotherapyFOLFIRINOX0.4340.285, 0.661< 0.0001	Yes	1.951	(1.427, 2.668)	< 0.0001
NoYes1.300 $0.955, 1.769$ $0.0952$ DiabetesNoYes1.135 $0.845, 1.526$ $0.4000$ Chemotherapy typeGemcitabine monotherapyFOLFIRINOX $0.434$ $0.285, 0.661$ < $0.0001$ Other $0.634$ $0.441, 0.912$ $0.014$ Prior surgical resectionNoYes $0.777$ $0.457, 1.319$ $0.3496$ Palliative radiationNoYes $0.517$ $0.374, 0.714$ < $0.0001$ NLR $\leq 5$ > 5 $1.90$ $1.384, 2.596$ < $0.0001$	Hypertension			
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DiabetesNoYes1.1350.845, 1.5260.4000Chemotherapy typeGemcitabine monotherapyFOLFIRINOX0.4340.285, 0.661< 0.0001	Yes	1.300	0.955, 1.769	0.0952
NoYes1.1350.845, 1.5260.4000Chemotherapy typeGemcitabine monotherapyFOLFIRINOX0.4340.285, 0.661< 0.0001	Diabetes			
Yes $1.135$ $0.845, 1.526$ $0.4000$ Chemotherapy typeGemcitabine monotherapyFOLFIRINOX $0.434$ $0.285, 0.661$ $< 0.0001$ Other $0.634$ $0.441, 0.912$ $0.014$ Prior surgical resectionNoYes $0.777$ $0.457, 1.319$ $0.3496$ Palliative radiationNoYes $0.517$ $0.374, 0.714$ $< 0.0001$ NLR $\leq 5$ $> 5$ $1.90$ $1.384, 2.596$ $< 0.0001$	No			
Chemotherapy typeGemcitabine monotherapyFOLFIRINOX0.4340.285, 0.661< 0.0001	Yes	1.135	0.845, 1.526	0.4000
Gencitabine monotherapy FOLFIRINOXFOLFIRINOX0.4340.285, 0.661< 0.0001	Chemotherapy type			
FOLFIRINOX $0.434$ $0.285, 0.661$ $< 0.0001$ Other $0.634$ $0.441, 0.912$ $0.014$ Prior surgical resection $$ $$ $$ Yes $0.777$ $0.457, 1.319$ $0.3496$ Palliative radiation $$ $$ $$ Yes $0.517$ $0.374, 0.714$ $< 0.0001$ NLR $\leq 5$ $$ $$ > 5 $1.90$ $1.384, 2.596$ $< 0.0001$ CA 19-9 $$ $$ $$	Gemcitabine monotherapy			
Other $0.634$ $0.441, 0.912$ $0.014$ Prior surgical resectionNoYes $0.777$ $0.457, 1.319$ $0.3496$ Palliative radiationNoYes $0.517$ $0.374, 0.714$ < $0.0001$ NLR $\leq 5$ > 5 $1.90$ $1.384, 2.596$ < $0.0001$ CA 19-9	FOLFIRINOX	0.434	0.285, 0.661	< 0.0001
Prior surgical resectionNoYes0.7770.457, 1.3190.3496Palliative radiationNoYes0.5170.374, 0.714< 0.0001	Other	0.634	0.441, 0.912	0.014
NoYes $0.777$ $0.457, 1.319$ $0.3496$ Palliative radiation $$ $$ $$ No $$ $$ Yes $0.517$ $0.374, 0.714$ $< 0.0001$ NLR $\leq 5$ $$ $$ $\leq 5$ $$ $$ $$ > 5 $1.90$ $1.384, 2.596$ $< 0.0001$ CA 19-9 $$ $$ $$	Prior surgical resection		,	
Yes $0.777$ $0.457, 1.319$ $0.3496$ Palliative radiationNoYes $0.517$ $0.374, 0.714$ < $0.0001$ NLR $\leq 5$ > 51.901.384, 2.596< $0.0001$ CA 19-9	No			
Palliative radiation            No            Yes       0.517       0.374, 0.714       < 0.0001	Yes	0.777	0.457, 1.319	0.3496
NoYes $0.517$ $0.374, 0.714$ $< 0.0001$ NLR $\leq 5$ > 5 $1.90$ $1.384, 2.596$ $< 0.0001$ CA 19-9	Palliative radiation		,	
Yes $0.517$ $0.374, 0.714$ $< 0.0001$ NLR $\leq 5$ $$ $$ $$ > 5 $1.90$ $1.384, 2.596$ $< 0.0001$ CA 19-9 $$ $$ $$	No			
NLR ≤5 >5 1.90 1.384, 2.596 < 0.0001 CA 19-9	Yes	0.517	0.374, 0.714	< 0.0001
$\leq 5$ > 5 1.90 1.384, 2.596 < 0.0001 CA 19-9	NLR			
>5 1.90 1.384, 2.596 < 0.0001 CA 19-9	< 5			
CA 19-9	 > 5	1.90	1.384 2.596	< 0.0001
	CA 19-9			

Table 2	(continued)

Variable	Hazard ratio	95% CI	p value
< 494			
$\geq$ 494	1.137	0.844, 1.530	0.3982
Albumin			
≥ 3.4			
< 3.4	3.622	2.380, 5.512	< 0.0001
Hemoglobin			
> 12			
≤12	1.505	1.100, 2.060	0.0106
Platelet count			
$\geq 150$			
< 150	1.371	0.884, 2.127	0.1589

*NLR*, neutrophil-to-lymphocyte ratio; *ECOG*, Eastern Collaboration Oncology Group

 Table 3
 Adjusted analysis of the NLR as prognostic factor for survival.

Variable <sup>†</sup>	Hazard ratio $^{\dagger}$	95% CI	p value
Age			
< 65			
$\geq 65$	0.913	0.640, 1.303	0.6175
Gender			
Male			
Female	1.149	0.836, 1.580	0.3920
Race			
Non-Hispanic White			
Non-Hispanic Black	0.694	0.394, 1.222	0.2059
Hispanic	0.838	0.506, 1.387	0.4916
Other	0.733	0.413, 1.303	0.2897
Smoking status			
Never smoker			
Ever smoker	1.691	1.188, 2.409	0.0036
Liver metastasis			
No			
Yes	1.547	1.063, 2.251	0.0226
Charlson comorbidity sco	ore		
0–2			
≥ 3	1.132	0.755, 1.696	0.5490
Albumin			
≥ 3.4			
< 3.4	2.800	1.784, 4.395	< 0.0001
NLR			
≤ 5			
> 5	1.650	1.139, 2.390	0.0081

NLR, neutrophil-to-lymphocyte ratio

 $^{\dagger}\,\mathrm{Chemotherapy},$  radiation therapy, and stage are used as stratification factors in the model.

of prior models have also included predominantly Caucasian or Asian populations. To our knowledge, this study is the first to analyze the role of the NLR in an ethnically diverse population including a significant NHW and NHB population. Analysis of data from the National Health and Nutrition Examination Survey has suggested that the baseline NLR may be significantly lower for NHB and Hispanic patients compared with NHW's reflecting a unique inflammatory milieu and potential differential responses to inflammation between ethnic groups [21]. Genetic differences may account in part for this variation as NHB patients have lower mean leukocyte counts compared with NHW and Hispanic patients, potentially due to the Duffy receptor antigen [27, 28]. Our study population reflected some of these prior findings, with a greater number of NHW and Hispanic patient's having a NLR > 5 compared with non-Hispanic black patients. However, ethnic differences in NLR did not remain significant after multivariate adjustment and further studies will be necessary to ascertain prospectively whether these initially noted differences may be significant.

The underlying mechanism for the association between systemic inflammation, reflected through surrogate indices including the NLR, and clinical outcomes in malignancy remains elusive [29]. Complex tumor microenvironment interactions between repertoires of immune infiltrating cells engaged in subtle or overt communication with tumor cells allows for tumor proliferation, resistance of senescence, metabolic modulation, angiogenic growth, and metastatic spread [30]. Neutrophils play a multifaceted context-specific role in tumor development and have been implicated in various steps of oncogenic progression [31]. In pancreatic cancer, neutrophils may have a role in promoting tumor angiogenesis by secreting numerous cytokines including vascular endothelial growth factor and matrix metalloproteinase 9 [32]. Neutrophils may also foster the epithelial-mesenchymal transition and as a consequence stimulate metastasis in pancreatic cancer by secreting elastase [33]. The role of lymphocytes in the tumor microenvironment remains less certain, with studies indicating that pancreatic adenocarcinoma cells enhance the natural inflammatory cascade by secreting TGFB and IL-10 resulting in differentiation of CD4+ T cells towards the TH2 lineage which in turn leads to enhanced tumor growth [34]. The production of TGF $\beta$  can stimulate neutrophil release of chemicals including nitric oxide synthase and arginase 1, which can concurrently attenuate the immune system by inhibiting cytotoxic CD8+ T lymphocyte antitumor responses and nurture a tumor microenvironment hospitable to neoplastic growth and metastatic spread [35, 36].

There are several limitations to our study related with its retrospective design. As a moderately sized single-center study, there was heterogeneity in the study population over the analyzed time period and the chemotherapeutic treatment regimens involved. By including both patients with locally advanced and metastatic disease, one would expect better survival outcomes associated with the cohort with locally advanced disease. Furthermore, this group is offered radiation therapy more often. Patients offered triplet combination chemotherapy typically have a superior baseline performance status compared with those who are offered alternate regimens. Tumor burden may also be associated with a higher NLR and worse outcomes and we adjusted for this by evaluating for the presence of liver metastases. Yet, after accounting for these variables in multivariate analysis, NLR remained a significant predictor of prognosis. However, we lacked complete data on ECOG PS, which may influence survival outcomes, and we attempted to account for this through an adjusted Charlson comorbidity score. As such, analysis of the potential role of performance status and comorbidity profiles is warranted in future cohorts.

Pre-treatment albumin represents another marker of nutritional status and systemic inflammation and has been associated with survival outcomes multiple malignancies [37]. Emerging recent data suggests that the neutrophil-tolymphocyte ratio (NLR) and albumin are bidirectionally linked surrogate markers reflecting a complex interaction between inflammation, body composition, and cancer progression [38]. In our multivariate model, the hazard ratios for survival for albumin and NLR were attenuated suggesting a biologically plausible interaction. Yet, our retrospective analysis is unable to unravel the precise mechanisms and relationships involved in this series of interactions. Future prospective studies in an ethnically diverse patient population of advanced pancreatic cancer patients should consider correlations of body composition, CRP, NLR, and albumin to develop a comprehensive inflammation-based prognostic index.

The current study has several important strengths including a large ethnically diverse patient cohort reflective of clinical practice in an urban setting, a priori selection of clinically relevant variables based on prior studies, and a conservative cutoff value for NLR. No current standard cutoff value exists for NLR and there is marked variability in the index value selected across prior NLR studies in pancreatic adenocarcinoma [39]. Although a lower cutoff may have increased sensitivity, in the absence of prospective criteria, we selected a conservative value of 5 for the NLR based on the MPACT and NAPOLI-1 analyses [18]. We also restricted our analysis of the NLR to the peri-chemotherapy period to reduce the putative influence of systemic factors, infections, and medications (including prophylactic steroids) on neutrophil counts and therefore could not analyze the effect of longitudinal trends in NLR and survival. Similarly, the heterogeneity of chemotherapy regimens used over the studied time period limited predictive modeling of the NLR. Further studies are warranted including defined treatment groups to discern the potential predictive effect of NLR.

Our findings support data reported by other retrospective series which conclude that an elevated NLR is an independent marker of poor prognosis in advanced pancreatic adenocarcinoma within an ethnically diverse patient population. Therefore, NLR may be a valuable factor in driving therapeutic decisions for patients and merits further investigation in prospective clinical trials.

#### **Compliance with Ethical Standards**

**Conflict of Interest** The authors declare that they have no conflicts of interest.

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