ORIGINAL WORK

The Prognostic Roles of Perihematomal Edema and Ventricular Size in Patients with Intracerebral Hemorrhage

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Abstract

Background: Conficting data exist regarding the association of perihematomal edema (PHE) with outcomes after intracerebral hemorrhage (ICH). We performed a post hoc analysis of the ICH Deferoxamine trial to examine whether an early change in ventricular size (VS), as a composite measure of PHE growth and mass effect, intraventricular hemorrhage, and hydrocephalus, is a more accurate predictor of outcome than PHE measures alone.

Methods: Computerized tomography scans were performed at baseline and after 72–96 h. We evaluated measures of PHE and change in VS as predictors of outcome, assessed by a dichotomized modified Rankin Scale score (0-2 versus 3–6), primarily at 90 days and secondarily at 30 days. A multivariable logistic regression model was ftted for each predictor, with adjustment for the same confounders.

Results: A total of 248 participants were included after we excluded those requiring external ventricular drains. On univariate analyses, older age, female sex, lower Glasgow Coma Scale score and baseline temperature, greater ICH volume, absolute PHE volume, edema extension distance at presentation, lesser changes in relative PHE volume and edema extension distance, and an increase in VS were associated with poor outcome. In multivariable analyses, only the increase in VS was associated with lower odds of modifed Rankin Scale scores 0–2 at 90 days (odds ratio 0.927, 95% confidence interval 0.866–0.970, $p=0.001$) and 30 days (odds ratio 0.931, 95% confidence interval 0.888–0.975, $p = 0.003$).

Conclusions: Within the context of a randomized controlled trial with standardized imaging and functional assessments, we did not find significant associations between measures of PHE and outcome but documented an independent association between early increase in VS and lower odds of good clinical outcome.

Keywords: Cerebral hemorrhage, Stroke, Hemorrhagic stroke, Prognosis

Introduction

Various clinical markers have been proposed for outcome prognostication in patients with intracerebral hemorrhage (ICH) on the basis of the pathophysiology of the injury, including age, hematoma volume and growth, ICH location, and presence of intraventricular hemorrhage (IVH) $[1-7]$ $[1-7]$ $[1-7]$. Emerging evidence in recent years suggests

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that perihematomal edema (PHE) may be a potential predictor of functional outcome as well. However, studies examining the relationship between PHE and outcomes after ICH have yielded conflicting results $[8-11]$ $[8-11]$. These inconsistent observations may be related to variabilities in study design, patient selection, parameters used to measure PHE, and the time points of PHE and outcome assessments. This prompted us to investigate the relationship between PHE, along with its associated measures, and clinical outcomes within the context of the ICH Deferoxamine (iDEF) trial, a randomized, double-blind,

multicenter study with uniform and standardized imaging and clinical assessment procedures [\[12\]](#page-7-1). We hypothesized that change in ventricular size (VS), resulting from PHE growth, presence of IVH, and hydrocephalus, is a more accurate predictor of outcome than PHE measures alone.

Methods

Study Design and Participants

The iDEF trial was a prospective, multicenter, multinational, placebo-controlled, double-blind phase two clinical trial that recruited 294 patients with primary, spontaneous, and supratentorial ICH; details of the study design, methods, and main results have been published elsewhere [\[12\]](#page-7-1). Briefy, participants were randomized 1:1 to receive deferoxamine (32 mg/kg/day) or placebo (saline) infusions for three consecutive days, starting within 24 h of the onset of ICH. A computerized tomography (CT) scan of the brain was performed for all eligible participants on presentation to confrm the diagnosis of ICH, and a second CT scan of the brain was obtained within 24 h of completion of the last infusion (i.e., within 72-96 h from ICH onset). The modified Rankin Scale (mRS) was assessed at various time points, including 30 and 90 days after ICH, by qualifed investigators certifed in mRS administration and blinded to treatment assignment. The trial was approved by the institutional review boards at participating sites, and written informed consent was obtained from each participant or legally authorized representative. Eligible participants were aged 18–80. The main exclusion criteria included infratentorial ICH, suspected secondary causes of the hemorrhage, kidney failure or coagulopathy, planned hematoma evacuation, and pre-existing do not resuscitate or do not intubate status or anticipation of withdrawal of medical care within the frst 72 h after presentation. For the present analysis, we excluded patients who had an external ventricular drainage (EVD) system placed at any point because EVD can infuence the VS and confound relevant measurements. Both patients and controls for the iDEF study were included in this study.

Imaging Analyses

All CT scans were sent to the iDEF core imaging laboratory (Beth Israel Deaconess Medical Center, Boston, MA) for central review by experienced raters blinded to clinical data and treatment assignment to confrm the ICH location and presence of IVH and to perform volumetric measurements of ICH, PHE, and ventricles using an imaging analysis software (Analyze 11.0 Visualization and Analysis Software for Medical Imaging; AnalyzeDirect, Overland Park, KS). Areas of the hemorrhage and PHE were automatically delineated by imaging analysis software with the use of density thresholds on each slice, followed by manual correction using a semiautomated edge detection tool up to an absolute maximum of 35 HU to obtain the best delineation of the PHE. The boundaries of the PHE area were adjusted further after inspection of the three orthogonal planes in the software's volume edit module, which allows for better assessment of the location and distribution of each lesion beyond the two-dimensional axial plane. When delineating PHE, the following additional principles were applied to avoid potential artifacts related to old infarcts or leukoaraiosis: (1) the PHE area should be more hypodense than the corresponding region in the contralateral hemisphere, and (2) the most hypodense areas immediately surrounding the hemorrhage should be used. The software provided total volume measurements for ICH, IVH, and PHE by summing up the volumes from all respective slices. The interrater and intrarater reliability of this methodology have been previously validated $[13]$ $[13]$. The total ventricular volume (lateral, third, and fourth ventricles) was measured, and we calculated the following parameters for PHE: absolute PHE (aPHE), defned as the sum total of pixels of the perihematomal lesion; relative PHE (rPHE), the ratio of aPHE to hematoma lesion; and edema extension distance (EED), the diference between the radius of a sphere equal to the aPHE volume and the radius of a sphere equal to the ICH volume alone [[11,](#page-7-0) [14](#page-7-3)].

Statistical Analysis

Continuous variables were summarized with the mean and standard deviation for normally distributed variables and median and interquartile range for variables not following a normal distribution. Categorical variables were reported as absolute counts and percentages of the total. In univariable analyses, we used Student's *t*-test and the Wilcoxon rank-sum test for normally and nonnormally distributed continuous variables, respectively. For categorical variables, the χ^2 test was used. The primary outcome measure was good clinical outcome, defned as a dichotomized mRS score of 0–2 at day 90. We ftted a multivariable logistic regression model for VS and various measures of PHE, adjusting for the variables that reached statistical signifcance in independent univariable analyses: age, female sex, presence of IVH, baseline hematoma volume, prior use of antiedema medication (hypertonic saline and/or mannitol), Glasgow Coma Scale (GCS) score at presentation, and baseline temperature. We also performed similar secondary analyses using an mRS score of 0–2 at day 30 as early good clinical outcome. To account for multiple testing, the signifcance threshold for the multivariable analyses was set at 0.0056 using the Bonferroni correction. All statistical analyses were performed using JMP Pro 15.0.0.

Results

Of the 254 study participants without an EVD placement, a total of 248 participants were included in the primary analysis, and 252 were included in the secondary analysis because six study participants did not have mRS scores available at 90 days and two study participants did not have mRS scores available at 30 days. In the primary analysis, the mean age was 60.8 ± 11.6 years, and 95 (37.4%) study participants were women. Supplemental Table 1 summarizes the baseline characteristics of the participants who were included (those without EVD placement) and excluded (those with EVD placement). Of the patients who were excluded $(n=39)$, 34 had EVD placement before the repeat CT scan on day 4 and fve had EVD placement sometime after the repeat scan. Expectedly, higher proportions of included patients were not on antiedema medications prior to randomization and had higher GCS scores on presentation, lower prevalence and smaller volume of IVH, smaller VS on the baseline scan and change in VS on the repeat scan, and greater change in rPHE from baseline to repeat scans. Age, female sex, and prevalence of comorbidities were comparable between included and excluded cohorts.

Table [1](#page-3-0) summarizes the baseline clinical and imaging characteristics of included study participants on the basis of mRS scores, dichotomized into 0–2 versus 3–6 at 90 and 30 days. On univariate analyses, younger age, male sex, absence of prior use of antiedema agents, lower National Institutes of Health Stroke Scale (NIHSS) score at screen and post infusion, higher GCS score at screen and post infusion, lower baseline temperature, smaller baseline ICH volume, decrease in VS, greater changes in rPHE volume and EED between admission and follow-up scans, and smaller aPHE volume and EED at presentation were associated with good clinical outcome at 90 days. At 30 days, lower NIHSS score at screen and post infusion, higher GCS score at post infusion, smaller baseline ICH volume, lower proportion of presence of IVH, decrease in VS, and smaller aPHE volume at presentation were associated with good clinical outcome. Table [2](#page-4-0) shows the location of ICH in patients with increase in VS and decrease/no change in VS. Although higher proportions of study participants had thalamic or deep/nonthalamic locations of ICH, this did not reach statistical significance. Figures 1 and 2 illustrate the distributions mRS scores at 30 and 90 days in the increase in VS versus decrease/no change in VS. Only the increase in VS from admission to 72- to 96-h scans was independently associated with lower odds of good clinical outcome on multivariable logistic regression analysis at 90 days (odds ratio 0.927; 95% confdence interval 0.886–0.970) and at 30 days (odds ratio 0.931; 95% confdence interval 0.888–0.975) (Table [3\)](#page-5-0). None of the measures of PHE was signifcantly associated with 90-day clinical outcome on multivariable analyses.

Discussion

In this post hoc analysis of the iDEF trial of patients with spontaneous ICH without EVD placement, early increase in VS within 96 h of ICH onset was associated with lower odds for good clinical outcome at 90 and 30 days. Conversely, we did not fnd an independent association between early growth of PHE, using several measures and parameters, and clinical outcome at either 90 or 30 days. These findings suggest that change in VS may be a more accurate predictor of outcome than PHE after ICH.

VS is infuenced by several pathophysiological processes, which impact clinical outcomes after ICH. These include mass efect from the hematoma and PHE, presence and volume of IVH, and resulting hydrocephalus. Therefore, it is logical that the prognostic significance of VS exceeds that of these individual factors. We cannot exclude the possibility that the observed association between VS and clinical outcome may be related to the IVH-mediated infammatory response or secondary injury mediated by blood and its degradation products in the hematoma region and intraventricular space. However, because PHE is often considered as a surrogate radiological measure of secondary injury after ICH, the lack of an association between various measures of PHE and outcome suggests that VS played a greater role in our study; the sum may be better than its parts.

Previous studies have shown that increased ventricular compression and midline shift as indirect markers of mass efect are associated with poor outcomes and mortality after ischemic stroke and ICH [[9](#page-6-3)[–11](#page-7-0)]. Our main fnding of an association between VS enlargement, as opposed to compression, and poor clinical outcome may seem discordant with these observations. However, these studies only assessed the displacement of the outer wall or the volume of the lateral ventricle ipsilateral to the lesion and not the entire VS. We postulate that our fndings may represent early hydrocephalus, which may be attributed to obstruction of cerebrospinal fuid outfow by the presence of IVH. ICH locations infuence the development of hydrocephalus, with thalamic and deep/nonthalmic hemorrhages causing obstructive hydrocephalus via mass efect on the third ventricle or with association with IVH, leading to communicating hydrocephalus. Mortality and poor functional outcomes are associated with ICH in posterior internal capsule, thalamus, and infratentorial locations, likely related to their tendencies to lead to hydrocephalus [\[15](#page-7-4), [16\]](#page-7-5). Similar fndings can be seen among the included study participants of our study. Though not statistically significant, a higher proportion

Table 1 Baseline characteristics of included patients divided into dichotomous mRS at 90 days and at 30 days

Each row represents an independent analysis of each variable of interest at the specifed time point

aPHE, absolute perihematomal edema, DFO, deferoxamine treatment, EED, edema extension distance, GCS, Glasgow Coma Scale, ICH, intracerebral hemorrhage, IQR, interquartile range, IVH, intraventricular hemorrhage, mRS, modifed Rankin Scale, NIHSS, National Institutes of Health Stroke Scale, rPHE, relative perihematomal edema, VS, ventricular size

of those with positive VS change had thalamic or deep/ nonthalamic locations of ICH. The mechanism seen in the included study participants may be from communicating hydrocephalus because compared with those with decrease in VS from screening to repeat scan, those with increase in VS had higher proportions of the presence of IVH, which trended toward signifcance (Supplemental Table 2). This is consistent with previous reports, indicating that the presence of IVH is associated with increased mortality and poor clinical outcomes [\[15](#page-7-4), [16](#page-7-5)].

We noted a small increase of approximately 2 cm^3 in VS in the poor outcome group. This may seem too modest to result in worsening outcomes. There are several potential explanations. First, the direction of change, rather than the absolute diference in VS, may be more

association with change in ventricular size				
	Positive VS change	Negative/ no VS change		
Lobar $(\%)$	21(15.7)	28 (25.9)		
Thalamic (%)	52 (38.8)	30(27.8)		

Table 2 Location of intracerebral hemorrhage and its association with change in ventricular size

Each number represents the total number of study participants; $p=0.072$ Deep/nonthalamic (%) 61 (45.5) 50 (46.3)

relevant. Second, the location of the increase in VS may be signifcant because an increase in the third or fourth ventricle could suggest more signifcant hydrocephalus than a similar increase in the lateral ventricles. Third, we only assessed VS on baseline and day 4 scans; therefore, one cannot rule out that the observed early increase in VS may have been a marker of subsequent continued growth in VS, which in turn impacted long-term 90-day outcomes. Fourth, the observed association between increased VS and poor outcome may be partly related to the secondary consequences of IVH-mediated infammatory response and secondary injury [[17\]](#page-7-6).

On the univariate analysis, those with better functional outcome (mRS score \leq 2) both at 90 and 30 days had lower initial ICH volume, a previously shown parameter correlated with functional outcome [\[1](#page-6-0)]. Accordingly, study participants with better functional outcome on both days also had lower aPHE volume at screen but not consistently with other related measures of PHE, such as rPHE and EED. Furthermore, ICH volume at screen was included in the multivariable model as a confounder. This leads us to believe that the higher odds of poor outcome for those with higher change in VS is beyond just secondary injury mechanism related to PHE pathophysiology. The initial injury and mass effect from hematoma expansion likely contributed to our fndings because change in

	mRS score (0-2) at 90 days			mRS score (0-2) at 30 days	
	Odds ratio (95% CI)	P	Odds ratio (95% CI)	P	
Change in ICH volume (mL)	$0.950(0.899 - 1.005)$	0.074	$0.951(0.887 - 1.020)$	0.157	
VS at screen (mL)	$1.014(0.998 - 1.031)$	0.088	1.014 (0.995-1.034)	0.147	
Change in VS (mL)	$0.927(0.886 - 0.970)$	0.001	$0.931(0.888 - 0.975)$	0.003	
aPHE volume at screen (mL)	$0.957(0.915 - 1.001)$	0.054	$0.974(0.919 - 1.033)$	0.377	
Change in aPHE volume (mL)	$0.990(0.965 - 1.016)$	0.461	$0.980(0.947 - 1.013)$	0.234	
rPHE at screen	$0.652(0.416 - 1.022)$	0.062	$0.766(0.447 - 1.313)$	0.333	
Change in rPHE	1.394 (0.984-1.975)	0.050	1.146 (0.777-1.691)	0.491	
EED at screen (mm)	$0.003(0.002 - 0.422)$	0.007	$0.097(0.004 - 2.361)$	0.152	
Change in EED (mm)	3.152 (0.384-25.856)	0.285	$0.812(0.066 - 9.997)$	0.871	

Table 3 Associations between various predictor variables and favorable clinical outcome at 90 days and at 30 days adjusted for confounders

Each row represents the point estimate and *p* value for the variable, with adjustment for the same set of confounders (age, sex, prior use of antiedema agents, Glasgow Coma Scale score at screen, ICH volume at screen, presence of intraventricular hemorrhage, and temperature at screen). The signifcance threshold wasadjusted to 0.0056 using Bonferroni correction, aPHE, absolute perihematomal edema, EED, edema extension distance, ICH, intracerebral hemorrhage, rPHE relative perihematomal edema, VS, ventricular size

ICH volume from screen to postinfusion scan trended toward signifcance in the multivariable model.

Though expected, patients with poor clinical outcomes at 90 and 30 days showed interim improvements from screen to post infusion but still maintained lower GCS scores and higher NIHSS scores compared with those who achieved good outcomes. Similarly, there was no signifcant diferences in the IVH volume at screen and change in IVH volume from screen to post infusion. We believe that these fndings may be secondary to the exclusion of those who required EVD placement. Although these fndings may seem to contradict previous fndings that only large IVH volumes portend poor clinical outcomes in those with ICH, the presence of any IVH was also shown to be associated with poor clinical outcomes [[6,](#page-6-4) [15](#page-7-4), [18\]](#page-7-7).

We did not fnd signifcant associations between various measures of PHE and clinical outcomes. This contrasts with fndings from some previous studies, albeit in line with the overall conficting body of literature on the relationship between PHE and clinical outcomes $[1–11]$ $[1–11]$ $[1–11]$. These inconsistent reports may be related to variabilities in study design, patient selection, parameters and methods used to measure PHE, lack of adjustments for the use of antiedema agents, and the time points of PHE and outcome assessments between various studies. Our radiographic measures of PHE were based on CT scans because magnetic resonance imaging was not required in the iDEF trial. The delineation of PHE may be more accurate on magnetic resonance imaging, and this may have infuenced quantitative PHE measures in some cases. However, we used a systematic and semiautomated Hounsfeld unit threshold-based approach to minimize this limitation $[19, 20]$ $[19, 20]$ $[19, 20]$ $[19, 20]$. Though it may not ultimately afect the clinical outcome, hyperosmolar therapy (e.g., hypertonic saline, mannitol) reduces the development of cerebral edema and is commonly used to manage increased intracranial pressure in the setting of cerebral edema [\[21\]](#page-7-10). Because the iDEF trial did not record the study participant's sodium levels and distinguish between those who received hyperosmolar therapy and which type, it remains a possibility that serum sodium and osmolarity could have confounded the result, although the use of hyperosmolar therapy (labeled as "antiedema therapy" in the main trial) was one of the confounding variables included in our analyses.

Our analyses of PHE were conducted within the context of a prospective trial with standardized imaging and clinical assessment procedures by experienced and blinded assessors. Furthermore, we simultaneously examined various parameters of PHE within the same cohort. Our fndings suggest that the association between PHE and its growth and clinical outcome is modest at best. However, these fndings should be interpreted with caution for the following reasons. First, our study is a post hoc analysis, and the iDEF trial was not specifcally powered to examine the association between PHE and clinical outcomes. Second, the impact of treatment with deferoxamine on our fndings cannot be excluded. Previous studies have shown that treatment with deferoxamine can reduce the growth of PHE and resolution of hematoma [[22](#page-7-11)]. However, we previously reported that treatment with deferoxamine had no efect on relative PHE growth in the iDEF trial [[12\]](#page-7-1).

In addition to the preceding limitations, the main trial was not powered to address the main hypothesis of the

current analysis. The iDEF trial excluded patients in whom there was an indication that care may be withdrawn within the first 72 h after ICH. This, together with the exclusion of enrolled patients with EVD placements from this analysis, may have introduced some bias and limits the generalizability of our fndings. Patients included in this study were relatively young, in their 60 s, and had relatively small-to-moderate-sized hemorrhages, which further limits generalizability to older patients with larger ICHs. We only assessed PHE evolution up to 96 h after ICH onset. Thus, there lies a possibility of having missed the ability to assess the full spectrum of PHE evolution and more delayed consequences, although observational studies have shown that PHE growth peaks by the second week [[19\]](#page-7-8). In addition, other studies have shown that (1) PHE growth is fastest during the frst 2 days, (2) early PHE growth correlates with early neurological worsening (within the frst few days), and (3) delayed PHE growth does not correlate with long-term outcomes [\[19,](#page-7-8) [23](#page-7-12)]. Although we adjusted our analyses for multiple testing, the potential impact of multiplicity on reported fndings cannot be ruled out. Our results, therefore, are considered hypothesis generating. The strengths of our study lie in the vigorous methodology of the main trial and its standardized assessments within a prespecifed protocol and central blinded analyses of all imaging data by experienced raters.

Conclusions

Within the context of a randomized controlled trial with standardized imaging and functional assessments, we did not fnd signifcant associations between various measures of PHE growth during the frst 96 h after ICH and functional outcomes but documented an independent association between early increase in VS and lower odds of favorable outcome. Further investigations are required to confrm our fndings and to determine whether the treatment of even a minor ventricular enlargement during the frst few days after ICH is warranted to infuence outcomes.

Supplementary Information

The online version contains supplementary material available at [https://doi.](https://doi.org/10.1007/s12028-022-01532-0) [org/10.1007/s12028-022-01532-0](https://doi.org/10.1007/s12028-022-01532-0).

Author Contributions

Dr. Kun He Lee and Dr. Vasileios-Arsenios Lioutas made substantial contributions in the analysis and interpretation of data as well as in drafting and revising the manuscript. Dr. Sarah Marchina made signifcant contributions in acquisition of the data for the manuscript's parent study, the iDEF trial, and critical revisions of the manuscript. Dr. Magdy Selim was critical in the conception and design of this study and made important contributions in the manuscript and its revisions. All authors approved the fnal version of the manuscript for its submission and publication and agreed to be accountable for the manuscript's integrity and accuracy.

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Conflicts of interest

Dr. Magdy Selim receives grant funding from the National Institute of Neurological Disorders and Stroke (related to and outside of the current work) and royalties from Up to Date and Cambridge University Press. He serves on the Advisory Board of MedRhythms, Inc. Dr. Vasileios-Arsenios Lioutas receives grant funding from the National Institute of Neurological Disorders and Stroke (related to and outside of the current work) and consulting fees from Qmetis. Drs. Sarah Marchina and Kun He Lee declare no potential conficts of interest.

Ethical Approval/Informed Consent

Institutional review board approval was obtained for this article's parent study, the iDEF trial.

Clinical Trial Registration

ClinicalTrials.gov identifer: NCT02175225 ([https://clinicaltrials.gov/ct2/show/](https://clinicaltrials.gov/ct2/show/NCT02175225) [NCT02175225\)](https://clinicaltrials.gov/ct2/show/NCT02175225).

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