ORIGINAL ARTICLE

Preventable Readmissions and Predictors of Readmission After Subarachnoid Hemorrhage

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Abstract

Objective: To estimate rates of all-cause and potentially preventable readmissions up to 90 days after discharge for aneurysmal subarachnoid hemorrhage (SAH) and medical comorbidities associated with readmissions

Background: Readmission rate is a common metric linked to compensation and used as a proxy to quality of care. Prior studies in SAH have reported 30-day readmission rates of 7–17% with a higher readmission risk among those with the higher SAH severity, ≥3 comorbidities, and non-home discharge. Intermediate-term rates, up to 90-days, and the proportion of these readmissions that are potentially preventable are unknown. Furthermore, the specifc medical comorbidities associated with readmissions are unknown.

Methods: Index SAH admissions were identifed from the 2013 Nationwide Readmissions Database. All-cause readmissions were defned as any readmission during the 30-, 60-, and 90-day post-discharge period. Potentially preventable readmissions were identifed using Prevention Quality Indicators developed by the US Agency for Healthcare Research and Quality. Unadjusted and adjusted Poisson models were used to identify factors associated with increased readmission rates.

Results: Out of 9987 index admissions for SAH, 7949 (79%) survived to discharge. The percentage of 30-, 60-, and 90-day all-cause readmissions were 7.8, 16.6, and 26%, respectively. Up to 14% of readmissions in the frst 30 days were considered potentially preventable and acute conditions (dehydration, bacterial pneumonia, and urinary tract infections) accounted for over half, whereas acute cerebrovascular disease was the most common cause for neurological return. In multivariable analysis, signifcant predictors of a higher readmission rate included diabetes (rate ratio [RR] 1.09, 95% confdence interval [CI] 1.03–1.15), congestive heart failure (RR 1.09, 1.003–1.18), and renal impairment (RR 1.35, 1.13–1.61). Only discharge home was associated with a lower readmission rate (RR 0.89, 0.85–0.93).

Conclusions: SAH has a 30-day readmission rate of 7.8% which continues to rise into the intermediate-term. A low but constant proportion of readmissions are potentially preventable. Several chronic medical comorbidities were associated with readmissions. Prospective studies are warranted to clarify causal relationships.

Keywords: Subarachnoid hemorrhage, Readmission, Predictors, Aneurysm, Quality

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Introduction

Hospital readmission rate after aneurysmal subarachnoid hemorrhage (SAH) is an important quality measurement because of an increasing emphasis on safe, efective, and patient-centered health care [\[1\]](#page-7-0). Policy makers have focused on readmission rates, specifcally preventable readmissions, as a way to quantify and track health care quality. This has translated into penalties for hospitals for readmissions, as illustrated in the Patient Protection and Afordable Care Act and Hospital Readmissions Reduction Program. The recent legislation changes aim to reduce overall costs of care by providing fnancial incentives for institutions that can the lower readmission rates. Despite the ongoing debate on the appropriateness of these policies, it is important to have nationwide data on readmission rates associated with modern-day management of SAH to inform meaningful discussion and implement changes.

SAH accounts for 5–10% of strokes annually and is associated with signifcant morbidity and mortality [\[2](#page-7-1), [3\]](#page-7-2). It accounts for up to 27% of all stroke-related years of potential life lost before age 65 [[4](#page-7-3)]. SAH is associated with signifcant depression, poor quality of life, and the higher occupational disability compared to ischemic stroke [\[5](#page-7-4), [6\]](#page-7-5). Disparities exist in the risk of developing SAH and its in-hospital mortality based upon gender, age, raceethnicity, and treating hospital size [\[2](#page-7-1), [7–](#page-7-6)[9\]](#page-7-7). Prior studies on 30-day readmission rates were reported to be in the range of 8–17%; however, these studies were limited by small sample sizes, [\[10](#page-7-8), [11](#page-7-9)] single-center design, [10-[12](#page-7-10)] or restriction to Medicare beneficiaries age ≥ 65 [\[13](#page-7-11)]. An analysis on the recently released Nationwide Readmissions Database (NRD) reports a national 30-day rate of 10.2%, and the most common reasons for readmission were neurological, hydrocephalus, infectious, and venous thromboembolic complications $[14]$ $[14]$. The authors conclude that the all-cause readmission rate is a suboptimal quality indicator because most patients were primarily readmitted for new post-discharge complications rather than exacerbations of complications from the hospitalization, thus questioning the preventability of readmissions.

To understand the magnitude of preventable readmissions, the Prevention Quality Indicators (PQIs), developed by the Agency for Healthcare Research and Quality (AHRQ), have previously been used in other conditions such as acute ischemic stroke and sepsis $[15-17]$ $[15-17]$ $[15-17]$. The PQIs are a set of common ambulatory care sensitive conditions that are considered manageable with efective outpatient care to avoid hospitalizations and are arguably a better quality metric than all-cause readmissions. Broadly these conditions are divided into four groups: diabetes-related indicators, cardiovascularrelated indicators, chronic lung condition indicators, and acute-condition indicators. The PQI software modules are publically available (qualityindicators.ahrq.gov).

The goal of this NRD analysis was to (1) estimate national rates of all-cause readmissions and the proportion of which are potentially preventable readmissions at 30, 60, and 90 days after discharge for SAH and (2) identify common medical comorbidities that are predictors of readmission.

Methods

The Nationwide Readmissions Database (NRD) is a national database of readmissions for all payers and the uninsured with data on more than 14 million US admissions during the year 2013. It is one of seven publically available databases in the Healthcare Cost and Utilization Project (HCUP) developed by the AHRQ. The NRD is derived from State Inpatient Databases from 21 geographically dispersed states, comprising data from 49.1% of all US hospitalizations. It includes all discharge records from US hospitals, excluding rehabilitation and longterm acute-care facilities. The NRD allows analysis of readmissions with the use of a verifed linkage identifer for each individual, which has been anonymized to comply with privacy guidelines. The Mount Sinai Institutional Review Board reviewed and approved this project, and all analyses comply with the HCUP data use agreement.

We used International Classifcation of Disease, Ninth Revision, Clinical Modifcation (ICD-9-CM) codes to identify index aneurysmal subarachnoid hemorrhage SAH as in prior publications [\[13](#page-7-11), [18–](#page-7-15)[20\]](#page-7-16). Patients were included if they had ICD-9-CM diagnosis code of SAH (430) in the primary position, which has been previously validated to have a sensitivity of 92–98% and specifcity of 98% [[21,](#page-7-17) [22](#page-7-18)]. Patients were additionally excluded if they had ICD-9-CM codes for ruptured syphilitic aneurysm (094.87), cerebral arteritis (437.4), head trauma (800.0– 801.9, 803.0–804.9, 850.0–854.1, 873.0–873.9), or arteriovenous malformation and/or fstula (ICD-9-CM 747.81; procedure code 39.53, 92.30). Data on demographics (age, gender, income quartile for zip code, primary expected payer), comorbidities (atrial fbrillation/futter, congestive heart failure [CHF], diabetes, hypertension, hyperlipidemia, renal impairment, chronic obstructive pulmonary disease [COPD], smoking), and hospitalization outcomes (tracheostomy, percutaneous endoscopic gastrostomy [PEG], discharge disposition, length of stay, and total hospitalization costs) were abstracted. Characteristics of the index hospitalization were defned by HCUP and included hospital bed size (small, medium, or large), teaching hospital status (metropolitan nonteaching, metropolitan teaching, and non-metropolitan hospital), and National Center for Health Statistics urban–rural location classifcation ("central" counties

of metro areas of \geq 1 million population, "fringe" counties of metro areas of >1 million population, counties in metro areas of 250,000–999,999 population, counties in metro areas of 50,000–249,999 population, micropolitan counties, and not metropolitan or micropolitan counties).

We defned all-cause readmission as any admission within 30, 60, and 90 days after index hospitalization discharge. Reasons for readmission were identifed using the HCUP clinical classifcation software, which collapses readmission ICD-9 diagnosis and procedure codes into smaller and clinically meaningful categories. Potentially preventable readmissions were identifed according to PQI methodology [[17](#page-7-14)]. Clinical data relevant to SAH (such as Hunt and Hess) are not encoded through ICD-9 codes. The National Institutes of Health–SAH Severity Scale (NIS–SSS), a validated severity scale using administrative datasets with strong correlation to Hunt and Hess grade, was calculated for each patient based on the diagnosis and procedure codes for coma, hydrocephalus, paresis/plegia, aphasia, cranial nerve deficits, treatment of hydrocephalus, and mechanical ventilation in accordance with published methodology [\[18](#page-7-15)]. Similarly, the validated NIS–SAH Outcome Measure (NIS–SOM) was used as a proxy for discharge modifed Rankin Scale (mRS) [\[18\]](#page-7-15). NIS–SOM is a dichotomous variable with good outcome defned as discharge to home or rehab facility and/or hospital. Poor outcome is defned as inhospital mortality, discharge to nursing facility, extended care facility, hospice, placement of tracheostomy and/or PEG. It has 85% agreement when poor outcome defned as mRS>2 and 95% agreement when poor outcome defned as mRS>3.

Statistical Analysis

We calculated all-cause readmission rates as the number of readmissions within 30, 60, and 90 days of discharge from the index hospitalization, and reported rates per 100,000 index admissions. Similarly, we calculated preventable readmission rates as the number of all-cause readmissions that fulflled PQI criteria. Readmission rates are reported per 100,000 index admissions. Due to the time restriction of the NRD from January 1, 2013 to December 31, 2013, we excluded any index hospitalizations that began in December for the 30-day readmission rates calculation due to concern that patients may be readmitted within 30 days but lie outside the range of the 2013 NRD dataset. Similarly, to calculate 60-day rates, we excluded index hospitalizations in November and December; and to calculate 90-day rates, we excluded index hospitalizations in October, November, and December. We compared cost of re-hospitalization among preventable and non-preventable readmissions.

We frst ran a univariate Poisson regression analysis using demographics, comorbidities, hospitalization outcomes, and hospital characteristics to determine variables that are independently associated with readmissions. We also included NIS–SOM and NIS–SSS as a proxy for Hunt & Hess grade and mRS. We accounted for the risk of mortality based on the subclass assigned by 3 M's All Patient Refned Diagnosis Related Group (APR-DRG) software, based on likelihood of dying, with $1=$ minor, 2 =moderate, 3 =major, 4 =extreme. Lastly, we included severity of illness based on the subclass assigned by 3 M's APR-DRG software and classifed similarly as the APR-DRG risk of mortality. After the univariate analysis, a multivariate Poisson regression analysis was performed to assess all variables with $p=0.10$ in the univariate analysis. We did not include NIS–SOM and Charlson comorbidity index (CCI) into the multivariate model due to collinearity with other signifcant predictors. Rate ratios were calculated for all categorical variables. Subgroup analysis was performed for the outcomes of PQI ("preventable") readmissions and non-PQI ("unpreventable") readmissions. Analyses were performed in SAS version 9.4 and R version 3.3.1.

Results

Baseline Characteristics and Discharge Dispositions

Table [1](#page-3-0) shows baseline characteristics for the 9987 index SAH admissions. The mean age of the patients was 59.9 ± 15.6 years, and 62% were women. Socioeconomic status, measured by median income by zip code, was evenly distributed. The mean CCI was 1.86 ± 1.93 , and there was a high prevalence of comorbid vascular risk factors. Patients were treated mostly in large, teaching, metropolitan hospitals with an average length of stay (LOS) of 13.97 ± 15.73 days and average hospitalization cost of $$225,273 \pm $261,942$. A total of 4276 (42.8%) patients were discharged home, 2217 (22.2%) discharged to skilled nursing facility, and 2038 (20.4%) died during the index admission.

Total and Preventable Readmission Rates

Among the 7949 patients who survived to discharge, there were a total of 2639 readmissions (33.2%) up to 1 year. There was a progressive rise in readmission rate (per 100,000 index admissions) for all-causes into the intermediate follow-up period: 7851 at 30 days, 16,643 at 60 days, and 26,036 at 90 days (Table [2](#page-4-0)). Over 20% of readmissions in the frst 30 days were due to acute cerebrovascular disease; this was followed by septicemia at 5.25% (Table [3\)](#page-4-1). The proportion of potentially preventable readmission was highest at 14% by 30 days and declined to 10.8% by 90 days. Acute conditions (dehydration, bacterial pneumonia, and urinary tract infections)

Table 1 Baseline characteristics of study population at index event

Table 1 continued

**APR-DRG* All Patient Refned Diagnosis Related Group; *aSAH* Aneurysmal Subarachnoid Hemorrhage; *NIS*–*SSS* Nationwide Inpatient Sample– Subarachnoid Severity Scale; *NIS*–*SOM* Nationwide Inpatient Sample– Subarachnoid Outcome Measure; *PEG* Percutaneous Endoscopic Gastrostomy

accounted for over half of the preventable readmissions, followed by cardiovascular-related conditions (hypertension and heart failure). Readmissions identifed as potentially preventable, compared to those that were not, had the lower mean hospitalization cost for the readmission (\$38,438±49,499 vs. \$76,256±130,388, *p*<0.0001).

Predictors of Readmission

In univariate analysis, variables associated with increased readmission rate include age, atrial fbrillation, CHF, diabetes, renal impairment, COPD, tracheostomy, PEG, high NIS–SSS, discharge to facility other than home, and greater LOS (Table [4\)](#page-5-0). Sex, socioeconomic status, medical insurance, and hospital type were not associated with readmission. In multivariable analysis, signifcant predictors of the higher readmission rate included CHF (rate ratio [RR] 1.09), diabetes (RR 1.09), and renal impairment (RR 1.35). The only factor associated with the lower readmission rate was discharge home (RR 0.89). Increasing age, longer LOS, SAH severity measured by NIS–SSS, and PEG/Trach was not associated with readmission (Table [5](#page-5-1)). In subgroup analysis of unpreventable readmissions, renal impairment and non-home discharge maintained strong associations with increase readmission risk; however, CHF and diabetes were no longer signifcant (Supplemental Tables 3–4).

Discussion

Hospital readmission has gained signifcant momentum as a health care benchmark over recent years. In this

*****Diabetes-related indicators (diabetes short-term complications, diabetes long-term complications, uncontrolled diabetes, lower-extremity amputation); cardiovascular-related indicators (hypertension, heart failure); chronic lung conditions (COPD, asthma), acute-conditions (dehydration, bacterial pneumonia, urinary tract infection, perforated appendix)

Table 3 Absolute and cumulative proportions for the top 10 causes of 30-day hospital readmissions for patients with aneurysmal subarachnoid hemorrhage

nationally representative, retrospective study on 9987 SAH admissions using administrative data, we found that the percentage of patients readmitted progressively increased from 7.8% at 30 days, 16.6% at 60 days, to an alarming 26% at 90 days, equating to about one out of every four SAH discharges. Though the percentage of readmissions increased with each time period, the percentage of preventable admissions was highest during the frst 30 days post-discharge.

We examined common medical comorbidities and report previously unrecognized conditions that are associated with higher readmissions. Hypertension and smoking are two of the most important risk factors for SAH [\[23](#page-7-19), [24](#page-7-20)]. A recent study also identifed these as risk factors for dying before hospital admission (pre-hospital mortality) [[25](#page-7-21)]. However, these are not significantly associated with readmissions. Conversely, the comorbidities that were identifed in this study as signifcant predictors of readmission have not been found to be risk factors for developing SAH. In fact, diabetes has been shown to be associated with reduced risk of SAH [\[23](#page-7-19), [26](#page-7-22)], although the biological basis is not well understood. Increasing age, longer LOS, SAH severity, and PEG/Trach were not associated with readmissions countering the common assumption that neurologically sicker patients with a more complicated hospital course are at risk. Hospital administration should take caution when implementing campaigns to reduce LOS in SAH patients for perceived fnancial savings in that it potentially may have the unintended opposite efect of discharging patients too soon before they are fully medically optimized.

The conditions identified are chronic medical conditions which, with or without SAH, are notably difficult to manage. CHF, diabetes, and renal impairment were the sole independent predictors of readmissions, and most preventable readmissions were for issues tied to these chronic illnesses (dehydration, bacterial pneumonia, urinary tract infections, hypertension and heart failure). In subgroup analysis, increasing age and medical comorbidities, but not discharge disposition, were identifed as predictors of PQI ("preventable") readmissions. However, CHF and diabetes were no longer signifcantly associated with non-PQI ("unpreventable") readmissions. Taken together, this suggests that these patients are chronically ill, and readmissions after SAH may be coincidental with no refection on the quality of care rendered during

CCI charlson comorbidity index; *CI* Confdence Interval; *COPD* chronic obstructive pulmonary disease; *NIS*-*SSS* Nationwide Inpatient Sample-Subarachnoid Severity Scale; *NIS*-*SOM* Nationwide Inpatient Sample-Subarachnoid Outcome Measure; *PEG* percutaneous endoscopic gastrostomy

CI Confdence Interval; *COPD* chronic obstructive pulmonary disease; *NIS*-*SSS* Nationwide Inpatient Sample-Subarachnoid Severity Scale; *NIS*-*SOM* Nationwide Inpatient Sample-Subarachnoid Outcome Measure; *PEG* percutaneous endoscopic gastrostomy

the initial SAH hospitalization. Furthermore, these fndings question the appropriateness of using readmission as a quality metric in SAH. It is interesting to note that renal impairment was not a signifcant predictor for preventable readmission but was associated with a 28% increase risk of unpreventable readmission (Supplemental Tables 2, 4).

Preventable readmissions account for 1 out of every 10 readmissions which is similar to that seen in other cerebrovascular diseases such as ischemic stroke [[15](#page-7-13), [27](#page-7-23)]. A preventable readmission suggests that hospitalization can be avoided with improved discharge planning, efective inpatient to outpatient transition handoff, and timely follow-up care. We must also bear in mind that the validated PQI criterion is geared toward common ambulatory conditions and may under-represent the true number of preventable readmissions. It would be premature to assume that all readmissions not fulflling the PQI criteria were unpreventable. Readmissions to a hospital are dependent on the emergency department's evaluation and diagnosis rather than by that of the original treatment team during the index event. The threshold for a primary care physician to send to the emergency department and for the emergency department to admit vague post-SAH neurological symptoms such as dizziness, headache, numbness and tingling is understandably lower than if the patient was evaluated by a neuroscience team. Therefore, development of neurocritical care follow-up clinics is logical and will hopefully reduce readmissions after SAH.

Our fndings have some signifcant diferences from a recent NRD analysis by Dasenbrock et al. which identifed≥3 comorbidities, increased severity of SAH (based on NIS–SSS), and disposition other than to home as independent predictors of readmission [[14\]](#page-7-12). Our analysis did not fnd any association between SAH severity and read-mission which is in agreement with prior studies [[10,](#page-7-8) [11](#page-7-9)]. The discrepancy is likely due to the way our cohorts were identifed. In our analysis, as well as the original derivation paper for the NIS–SSS [[18\]](#page-7-15), SAH patients were identified with ICD-9 code 430 in the primary position. This has been previously validated with sensitivity and specifcity up to 98% [[21,](#page-7-17) [22](#page-7-18)]. Dasenbrock et al. restricted their analysis to patients with ICD-9 codes for SAH (430) or intracerebral hemorrhage (431 and 432.9) and procedure codes for microsurgical clipping or endovascular embolization. The additional restrictions resulted in a sample of 3806 patients, about half of our total sample size, and the coding accuracy of this method is unclear. For consistency, we suggest future NRD analysis utilizing NIS-SSS should identify SAH patients using the same validated method as the derivation.

Aside from the NRD, prior studies quantifying SAH readmission rates and predictors have been limited by

small samples from single centers and data restricted to elderly patients. In a study of 255 SAH survivors discharged from a single neuro-ICU over a 6-year period, there were 21 (8%) patients readmitted within 30 days [[10\]](#page-7-8). Another single-center study looked at 778 SAH patients over a 10-year period and reported 97 readmission events (11.4%) within 30 days [[12](#page-7-10)]. Lastly, a 2006 population-based study using administrative data for Medicare benefciaries aged 65 years or older reported a 30-day readmission rate of 17% [\[13\]](#page-7-11). The mechanism behind this the higher readmission rate is unclear. It may potentially be related to the higher age in the Medicare cohort; however, our study did not fnd a signifcant association between increasing age and readmission rate. Compared to this current study, the Medicare cohort had both the higher in-hospital mortality (33.2 vs. 20.4%) and 30-day readmissions (17 vs. 7.8%). It is also possible that improvements in SAH management and general ICU care over the last 2 decades have attenuated the impact of age on outcomes in SAH.

There are limitations associated with the usage of administrative data which may be prone to misclassifcations and inaccuracy. However, the sensitivity and specificity of ICD-9-CM codes for SAH have been previously validated and found to be excellent. Commonly accepted measurements of SAH severity, such as the Hunt and Hess Score, are not available in this database; therefore, the validated NIS–SSS was used as a proxy. Data on clinical variables (e.g., intracranial pressure, location of aneurysm, laboratory values) or medication administration are unavailable. This study extracted a limited list of common medical comorbidities, and while we were able to identify factors such as diabetes, renal impairment, and CHF as risk factors, we are unable to determine the degree or severity of these comorbidities. Readmissions derived from this administrative dataset represent encounters and not individual patients. Despite these limitations, our study represents the largest sample of data available to date on SAH all-cause and preventable readmissions rates up to 90 days and can serve as a foundation to help providers recognize high-risk subgroups requiring extra attention to curb readmission rates.

Conclusion

In summary, we demonstrated that readmissions after SAH are prevalent. The debate on appropriateness of using all-cause readmission rates as a quality metric continues; however, we show that at least 10–14% of all-readmissions are considered as potentially preventable and thus serves as a good starting target for improvement. Additionally, we have identifed several factors associated with readmissions after SAH, but further research is needed to clarify causal relationships.

Electronic supplementary material

The online version of this article ([https://doi.org/10.1007/s12028-018-0557-1\)](https://doi.org/10.1007/s12028-018-0557-1) contains supplementary material, which is available to authorized users.

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Author's Contributions

JWL and SOS were involved in study concept and design. JWL and LC drafted the manuscript. JWL, LC, LVO and MD helped in acquisition, analysis, or interpretation of data. MD performed statistical analysis. SOS and MD contributed to critical revision of the manuscript.

Source of support

None.

Compliance with Ethical Standards

Conflict of interest

The authors declare that they have no confict of interest.

Ethical Approval

All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional research committee and with the 1964 Helsinki declaration and its later amendments or comparable ethical standards.

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