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# Dynamic EPR Oximetry of Changes in Intracerebral Oxygen Tension During Induced Thromboembolism

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Abstract Cerebral tissue oxygenation (oxygen tension,  $pO_2$ ) is a critical parameter that is closely linked to brain metabolism, function, and pathophysiology. In this work, we have used electron paramagnetic resonance oximetry with a deep-tissue multi-site oxygen-sensing probe, called implantable resonator, to monitor temporal changes in cerebral  $pO_2$  simultaneously at four sites in a rabbit model of ischemic stroke induced by embolic clot. The pO<sub>2</sub> values in healthy brain were not significantly different among the four sites measured over a period of 4 weeks. During exposure to 15%  $O_2$  (hypoxia), a sudden and significant decrease in p $O_2$ was observed in all four sites. On the other hand, brief exposure to breathing carbogen gas  $(95\% \text{ O}_2 + 5\% \text{ CO}_2)$ showed a significant increase in the cerebral pO<sub>2</sub> from baseline value. During ischemic stroke, induced by embolic clot in the left brain, a significant decline in the  $pO_2$  of the left cortex (ischemic core) was observed without any change in the contralateral sites. While the  $pO_2$  in the noninfarct regions returned to baseline at 24-h post-stroke, pO2 in the infarct core was consistently lower compared to the baseline and other regions of the brain. The results demonstrated that electron paramagnetic resonance oximetry with the implantable resonator can repeatedly and simultaneously report temporal changes in cerebral pO2 at multiple sites. This oximetry approach can be used to

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develop interventions to rescue hypoxic/ischemic tissue by modulating cerebral  $pO_2$  during hypoxic and stroke injury.

**Keywords** Cerebral ischemia  $\cdot$  Hypoxia  $\cdot$  EPR oximetry  $\cdot$ Embolic stroke  $\cdot$  Implantable oxygen sensor  $\cdot$  pO<sub>2</sub>

# Introduction

The cerebral tissue is the critical site for oxygen-dependent physiological and pathophysiological processes in the brain. Cerebral tissue oxygen tension (pO<sub>2</sub>) is closely linked with normal as well as pathophysiological processes, such as ischemic stroke, that are involved in brain injury and recovery. A precise knowledge of pO<sub>2</sub> dynamics in the cerebral tissue immediately after an ischemic insult will be immensely useful for understanding the process of cerebral injury and to develop salvage therapies for stroke. Several methods are currently available for assessment of tissue pO<sub>2</sub> but with limited temporal capability. The OxyLite and Licox techniques are invasive and require the insertion of probe into the tissue of interest for each measurement [1-9]. Similarly, <sup>19</sup>F-MRI, <sup>15</sup>O-PET, and electron paramagnetic resonance (EPR) imaging require the injection of probes for each measurement [10–19]. On the other hand, techniques such as BOLD MRI or MRI measurements of perfusion and diffusion use endogenous probes, but cannot provide direct measurement of tissue pO<sub>2</sub>; instead, they derive oxygen information from the levels of parameters that are indirectly related to tissue  $pO_2$  such as deoxyhemoglobin in the blood [20–25]. We have focused on the development of EPR oximetry using oxygen-sensing crystalline probe, such as lithium phthalocyanine (LiPc), which requires one-time implantation of it using a minimally invasive procedure, but

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rest of the method for pO<sub>2</sub> measurement is entirely noninvasive and can be repeated as desired [26-29]. The basis of EPR oximetry is the paramagnetic nature of molecular oxygen, which affects the EPR linewidth of other paramagnetic species (oximetry probe), in its vicinity by altering their relaxation rates. The magnitude of change in the EPR linewidth is directly related to the amount of oxygen  $(pO_2)$ that is present in the environment of the probe. The useful properties of LiPc for EPR oximetry are their stability and the strong response of their spectra to the presence of oxygen. The physicochemical properties of the LiPc crystals have been described earlier [30, 31]. EPR oximetry with paramagnetic oxygen-sensing materials has shown several advantages, especially for the repetitive and accurate measurement of localized tissue pO2. However, these studies have also indicated the areas in which progress is needed, particularly the need for technological developments that can enable measurements in tissues at depths of greater than 10 mm. We have developed EPR oximetry using implantable oxygen sensors to repeatedly measure cerebral  $pO_2$  in the regions directly affected by ischemic stroke as well as the contralateral regions of the rat brain [32]. We have also systematically developed sensors that can be used to assess  $pO_2$  at depths greater than 10 mm from the surface [33]. In this paper, we report the application of an implantable resonator (IR) with four sensory tips to assess the dynamic changes in the regional oxygen levels at different depths (10 and 15 mm) in rabbit cerebral tissue for 4 weeks. We also have studied the effect of embolic stroke on cerebral pO<sub>2</sub> in ischemic and peripheral areas in rabbits. These results indicate that EPR oximetry with multiple sensors can provide crucial information on the temporal changes in the rabbit cerebral pO<sub>2</sub> during hypoxic/hyperoxic challenges and embolic stroke. We anticipate that this oximetry approach can also be used to study the effect of other pathologies, such as traumatic brain injury and intracranial hemorrhage on cerebral pO2 and develop interventions that can improve oxygen levels to minimize tissue damage.

# **Materials and Methods**

#### **Animal and Experimental Groups**

The Dartmouth College Animal Care and Use Committee approved the animal protocol used in the study. Female New Zealand rabbits (Charles River Laboratory, Wilmington, MA), weighing 3–4 kg, were randomly divided into two experimental groups: (i) Hypoxic (15% O<sub>2</sub>) or hyperoxic (carbogen)-challenge group (N = 6); (ii) Embolicstroke group (30% O<sub>2</sub>, N = 5). The animals had the oxygen sensor placed 3 and 7 days prior to baseline EPR measurements for group (i) and group (2), respectively. The hypoxic/hyperoxic challenge for group (i) was repeated once a week for 4 weeks and animals with embolic stroke were subjected to a 24 h of ischemia and sacrificed right after last EPR measurements.

#### **Induction of Embolic Stroke**

The procedures for the preparation and injection of blood clots to induce embolic stroke were acquired from the laboratory of Dr. William C. Culp (University of Arkansas for Medical Sciences, Little Rock, AK) [34-36] and modified accordingly. Briefly, blood clots were prepared using fresh rabbit blood, which was placed into a glass tube (1.5mm inner diameter), incubated at 37 °C for 6 h and refrigerated at 4 °C for 3 days. The clots were expelled from the tube into a dish containing physiological saline and subsequently cut into several pieces of 4.0-mm in length with an average diameter of 0.6 mm. A single autologous clot was drawn into a syringe containing physiological saline (2 ml) and was injected into the internal carotid artery (ICA) with a steady flush over 10-15 s to induce ischemia. Extreme care was taken to ensure that no air bubbles were present in the syringe.

#### Angiography and Embolization

Angiography was performed on rabbits in a recumbent position using OEC 9800 cardiac fluoroscope (GE Healthcare, Pittsburg, PA). Using sterile technique, a surgical cut down was performed on a common femoral artery and a 3-Fr vascular sheath was used to introduce an angiographic catheter. The customized 65-cm angled-tip 3-Fr catheter (Slip-Cath, modified JB1; Cook Inc., Bloomington, IN) was advanced using fluoroscopic guidance over a 0.025-in. angle-tip guide wire (Glidewire; Terumo Medical Corp., Elkton, MD) to the aortic arch. The image intensifier was then rotated from the frontal view to a lateral view. Another subtracted fluoroscopy injection identified the ICA for selection. Once engaged, the small catheter usually compromised ICA blood flow until withdrawn. Angiograms using hand injections of 1-2 ml of contrast in both projections showed the detailed anatomy of the entire Circle of Willies (COW). The selected clot was slowly injected with 0.7-2.0 ml of saline flush slowly following it down the catheter. A follow-up angiogram confirmed embolus arrival in the COW or a branch and localized the arterial occlusion. The catheter was then pulled back into the common carotid artery (CCA) and normal ICA flow resumed. The catheter and sheath were eventually removed, the femoral artery ligated and skin sutured.

#### **Evaluation of Stroke Volume**

All rabbits were sacrificed after 24 h of embolic stroke and the brains were carefully removed and sectioned into 4-mm thick coronal sections, starting 4 mm from the frontal pole. The slices were placed in a 2% 2',3',5'-triphenyl-2H-tetrazolium chloride (TTC) solution at room temperature to stain viable brain, which demonstrates mitochondrial dehydrogenase activity [37]. The sections were photographed and the volume of the infarct was determined using ImageJ software by an investigator blinded to the groups.

#### **Paramagnetic Material and IRs**

LiPc crystals, synthesized in our laboratory [31], were used as oxygen-sensing probe. The IRs were assembled with thin non-magnetic copper wires (0.3-mm wire gage) consisting of a coupling loop (12-mm diameter) at one end and a transmission line with sensor loops (0.4–0.5 mm inner diameter) at the other end (Fig. 1). The sensor loops were loaded with 30-50 µg of LiPc crystals. The entire resonator was coated with a gas-permeable and biocompatible Teflon AF2400 [38]. The IRs are well tolerated by the animals (mice, rats, or rabbits) [32, 33, 39-41]. The mean area of the oximetry probe at the surface of each sensor loop was 1.3–1.6 mm<sup>2</sup>. EPR oximetry, therefore, samples a region that includes many capillary segments and provides average  $pO_2$  at the site of the sensor loop [5, 26]. We have recently described the procedures for the placement of the IR and EPR oximetry in rabbits [33]. The diameter and the number of sensors, the length of the transmissions lines, and the distance between sensors can be adjusted based on the experimental requirements.

# Multi-Site EPR Oximetry with IR

Surgical placement of the IR into the brain: The surgical procedure for the placement of IR in the rabbit brain was used in strict accordance with the NIH Guide for the Care and Use of Laboratory Animals and approved by the Institutional Animal Care and Use Committee of Dartmouth College. The head of the anesthetized rabbit was antiseptically treated with betadine, and 70% alcohol scrubs. A small incision (2-3 cm) was made on the skin and burr holes were gently created by using 23-18 G needle on the skull at predefined co-ordinates: Anterior-posterior (AP from bregma): -2.0 mm; Medial-lateral (ML from midline): 4 and 8 mm on each hemisphere; Dorsal-ventral (DV from surface of skull): 15 and 10 mm in each hemisphere. These co-ordinates were based on the published reports of potential infarct region following ischemia and can be changed depending on the coordinates of the compromised tissue. The sensory points were slowly lowered at the



Fig. 1 Anatomy of rabbit brain showing the location of infarct and placement of oxygen sensors. The infarct (ischemic) region is shown as *shaded blue* ellipse. The locations of the oxygen sensors are as follows: S4 in the cortex of ischemic core; S3 in the peripheral region; S1 in the contralateral cortex region; and S2 in the contralateral subcortex region. S1 and S4 were at a depth of 10 mm, while S2 and S3 were at a depth of 15 mm from the surface of skull. The coupling loop of the resonator was placed underneath the skin. An external surface loop resonator is placed above the coupling loop during pO<sub>2</sub> measurements (color figure online)

desired depth and the coupling loop was placed on the skull below the skin for inductive coupling with the external surface loop resonator of the EPR spectrometer, as shown in Fig. 1. The incision on the skin was closed with nonabsorbable 3-0 nylon suture and the rabbit was monitored for recovery. Repeated measurements of cerebral  $pO_2$  was started 72 h after placement of the sensors and the measurements were repeated weekly for 4 weeks.

# **EPR** Measurements

EPR measurements were performed using an L-band (1.2 GHz) spectrometer equipped with a surface-loop resonator and a set of gradient coils for multi-site oximetry [42, 43]. Rabbit, anesthetized using 2.5% isoflurane in 30% O<sub>2</sub>, was positioned in the EPR magnet and surface-loop resonator was placed over the head region. A magnetic field gradient of 1.7 G/cm was used to separate the EPR spectra from each sensor for simultaneous multisite oximetry [44, 45]. Analysis of the EPR spectra was performed using software written using Matlab (Mathworks, Inc., Natick, MA). The peak-to-peak line-width of the EPR spectra was used to determine pO<sub>2</sub> using a standard curve. The rabbit was maintained at  $38 \pm 1.0$  °C (monitored via a rectal probe) by keeping the animal warm with warm air during the EPR measurements. The EPR settings were: incident microwave power: 0.4-1.2 mW; modulation frequency 24 kHz; magnetic field center 410 G; scan time 10 s, scan range 8-12 G, and modulation amplitude not exceeding one-third of the line width.

Three-to-seven days after placement of the IR, anesthesia was administered via inhalation using 3.5% isoflurane and maintained with 2.5-3.0% of isoflurane with 30% oxygen through a sealed nose cone at a flow rate of 2.0 l/min. Baseline EPR measurements were made in all rabbits for a period of 30 min to measure the pO<sub>2</sub>. During EPR measurements, the animal temperature was maintained using a thermostatically-controlled heated pad and warm air forced through the core of the magnet. The heart rate and blood oxygen saturation were continuously measured using a pulse oximeter (Nonin 8600 V Pulse Oximeter, Phoenix, Arizona) throughout the EPR measurements.

#### Hypoxic/Hyperoxic Challenge

The rabbits were anesthetized with 2-3.0% isoflurane in 30% oxygen through a nose cone and a baseline pO<sub>2</sub> was measured for 25 min using EPR oximetry as described above. After the baseline pO<sub>2</sub> measurements, animals were subjected sequentially to mild hypoxia (15% O<sub>2</sub> for 18 min), normoxia (30% O<sub>2</sub> for 20 min), normobaric hyperoxia (carbogen for 20 min) and normoxia (30% O<sub>2</sub> for 15 min).

#### Embolic clot stroke

Seven days after placement of the sensor, the animals were anesthetized (2.5-3.0%) isoflurane with 30% oxygen) and a pre-treatment (baseline) pO<sub>2</sub> measurements was made for 30 min at the four sites. Thereafter, embolic clot stroke was induced and pO<sub>2</sub> measurements were repeated for 50 min,



during which all the animals continued to breathe 30% oxygen. At 24 h of post-embolic-clot stroke, pO<sub>2</sub> measurements were repeated for 60 min during which all the animals continued to breathe 30% oxygen.

#### **Statistical Analysis**

A paired *t*-test was used to compare the pO<sub>2</sub> values at four sites and during baseline, hypoxia/hyperoxia challenges and embolic clot stroke within the same group. The paired comparison reduces the effects of animal-to-animal heterogeneity and eliminates differences in the baseline pO<sub>2</sub>. The tests were two-sided and a change with a *p*-value <0.05 was considered statistically significant. All data are expressed as mean  $\pm$  SEM.

# **Results and Discussion**

# Cerebral pO<sub>2</sub> Dynamics During Hypoxic and Hyperoxic Interventions

We tested the capability of EPR oximetry for temporal monitoring of cerebral  $pO_2$  under normal and altered oxygen-inhalation conditions. Cerebral  $pO_2$  was continuously measured while the breathing gas was cycled through 30%  $O_2$  (normoxia), 15%  $O_2$  (to simulate hypoxia during cerebral ischemia), and 95%  $O_2$  (hyperoxia). We used 30%  $O_2$  inhalation instead of room air (~21%  $O_2$ ) breathing to maintain normoxic  $pO_2$  level by compensating for the loss of tissue oxygenation under isoflurane anesthesia. Figure 2 shows the cerebral  $pO_2$  values measured



Fig. 2 Changes in cerebral  $pO_2$  measured in a rabbit subjected to hypoxic and hyperoxic breathing. An IR with four sensory tips (S1–S4) was implanted at two sites in each hemisphere, as shown in Fig. 1.  $pO_2$  measurements were made on the same rabbit on day 7 and

day 21 after sensor placement. Oxygen content in the inhalation gas was varied as indicated. The results show a consistent variation of  $pO_2$  among all four sites up on exposure to different oxygen levels





Fig. 3 Cerebral  $pO_2$  measured over a 4-week period. The  $pO_2$  measurements were performed simultaneously at four sites (S1–S4) using EPR oximetry under conditions of breathing normal ( $\Box$  30%  $O_2$ ), low

from a rabbit on day 7 and repeated on day 21 at multiple locations as shown in Fig. 1. The results showed a quick decline in cerebral  $pO_2$  at all four sites when the composition of the breathing gas was switched from 30 to 15%  $O_2$ . A sharp increase in the cerebral  $pO_2$  was observed when the breathing gas was switched from 30 to 95%  $O_2$  (carbogen gas). The responses to hypoxia and hyperoxia were similar among the four sites; however, the magnitudes of change during hyperoxia were significantly different. An exponential quadratic function of time analyses indicated that it took 10–14 min to reach equilibrium after hypoxic or hyperoxic challenge (data not shown). It should be noted that similar responses were observed on both days suggesting that neither the tissue nor integrity of the sensors was changed during the two-week period.

To determine the reliability of the multi-site sensor for long-term monitoring of tissue  $pO_2$ , measurements were repeated on a group of rabbits for four consecutive weeks following the sensor placement. Figure 3 shows the average  $pO_2$  values at each of the four sites obtained from a total of

( $\blacksquare$  15% O\_2) and high ( $\blacksquare$  95% O\_2) oxygen. Data represent mean  $\pm$  SEM obtained from five rabbits

five rabbits during a 4-week period. The data showed, in general, a 40% decline during hypoxic (15% O<sub>2</sub>) intervention and a 60% surge during hyperoxic (95% O<sub>2</sub>) intervention. The mean baseline pO<sub>2</sub> data obtained from each of the four sites over the 4-week period were in the range 34–57 mmHg (Fig. 4). The pO<sub>2</sub> averaged over all sites and animals showed a consistent variation up on hypoxia or hyperoxia intervention suggesting that, in general, there were no substantial variations in the cerebral pO<sub>2</sub> among the sites in the normal brain. We also observed a similar increase in cerebral tissue pO<sub>2</sub> in mice and rats breathing carbogen [39, 46–48]. Overall, the results established the capability of EPR oximetry to obtain reliable measurements repeatedly and simultaneously from multiple sites in the brain.

### Cerebral pO<sub>2</sub> During Embolic-Clot Stroke

We used a minimally invasive angiographic approach to induce embolic-clot stroke in rabbits [34, 49–52]. This





**Fig. 4** Cerebral  $pO_2$  and its response to oxygen breathing. **a** Mean  $pO_2$  values obtained from the four sites (S1–S4) while the rabbits (N = 5) were breathing 30% O<sub>2</sub>. **b** Mean  $pO_2$  from the four sites during baseline (30% O<sub>2</sub>), inhalation of 15% O<sub>2</sub>, recovery from 15% O<sub>2</sub>

breathing, inhalation of 95% O<sub>2</sub> (carbogen) and recovery. Data represent mean  $\pm$  SEM, N = 5. \*p < 0.01 compared to baseline pO<sub>2</sub> on each day (paired *t*-test)



Fig. 5 Angiographic images showing arterial occlusion following ischemic stroke. Arterial network in **a** pre-stroke and **b** post-stroke induced by embolic blood clot as described in the Methods section.

ICA internal carotid artery, MCA middle cerebral artery, ACA anterior cerebral artery, PCA posterior cerebral artery

approach involves transarterial injection of pre-formed blood clot to model human cerebral thromboembolism. The angiographic access to the ICA is obtained via cannulation of the femoral artery in the hind limb of the rabbits. This approach is less invasive than other approaches that involve major surgery in the neck and/or brain region to induce stroke [53, 54]. The angiographic approach also avoids surgical distortions in the regional arteries supplying the brain, does not occlude the CCA thereby preserving inflow, and provides a pure embolic defect. The injection of large pre-aged clots (4-mm length, 3 days) not only mimics major stroke, but can also be lysed by tissue plasminogen activator. This model simulates the human embolic stroke in which occlusive material, usually clot or atheroma, is dislodged and passed into the large cerebral arteries. This model also allows one to immediately confirm the presence and location of the occlusion (Fig. 5) [55].

To perform EPR oximetry in the emboli-stroke model a, we first determined the typical locations of infarct core and penumbra in five test rabbits, which were not part the study groups. Using this information, we could target the resonator implantation so that the sensors could be in each of the



**Fig. 6** Cerebral  $pO_2$  at four different sites in the rabbit brain with stroke. **a** Changes in  $pO_2$  in the ischemic core (S4;  $\circ$ ), contralateral cortex (S1;  $\bullet$ ), peripheral region (S3,  $\Box$ ) and contralateral sub-cortex (S2,  $\blacksquare$ ) before, during and after induction of stroke. **b** Mean  $pO_2$  levels

following locations: infarct core (S4), ischemic penumbra (S3) and matching regions in the other cerebral hemisphere (S2, S1). After acquiring  $pO_2$  measurements in rabbits breathing 30% O<sub>2</sub> (baseline), clot was injected to induce ischemic stroke. Cerebral pO2 was monitored immediately after the occlusion and 24 h later (Fig. 6). A significant and rapid decline in the  $pO_2$  of the infarct core and ischemic penumbra was evident (Fig. 6). No change in the  $pO_2$  of the contralateral region was observed. Tissue pO2 in the penumbra (S3) returned to baseline at 24-h post-stroke; however, low levels of  $pO_2$  in the infarct core persisted during this period. The results demonstrated the capability of EPR oximetry for real-time monitoring of ischemia induction and oxygen dynamics during the development of embolic clot-induced stroke. Such direct and repeated measurements of oxygen levels in the brain will provide valuable insight on the temporal changes during ischemic stroke and will facilitate evaluation of the hyperoxic strategies to improve oxygen levels and treatment outcome.

#### Measurement of Physiology and Infarct Volume

The arterial oxyhemoglobin saturation, heart rate, and core temperature of animals breathing 30%  $O_2$  were stable. SpO<sub>2</sub> values significantly decreased during hypoxia (15%  $O_2$ ) as compared to the baseline. On the other hand, a significant increase in arterial oxyhemoglobin saturation after carbogen inhalation was observed when compared to the baseline (data not shown). The arterial oxyhemoglobin saturation, heart rate, and core temperature of animals at 30 min and 24 h after embolic clot stroke were stable (data not shown). Figure 7 shows the axial view of whole rabbit brain with the

at each site during baseline, at 30-min post-stroke and at 24-h poststroke. Data represent mean  $\pm$  SEM (N = 3-5). <sup>†</sup>p < 0.05; <sup>‡</sup>p < 0.01,

compared with baseline  $pO_2$  (paired *t*-test)

track of the implantable sensor on the surface and infarction in rostral and caudal coronal sections of the brain after 24 h of embolization. A close examination of the tissue sections revealed that the sensors S4 and S3 were in the infarct core and ischemic penumbra regions, respectively, in all five rabbits. Quantitation of the infarct volume indicated a significant infarct in the ischemic hemisphere of all surviving rabbits in embolic clot stroke group.

The results presented in this report is part of our systematic study to characterize the changes in brain  $pO_2$  during inhalation of hypoxic and hyperoxic gases using in vivo EPR oximetry. We have previously reported the effect of breathing hypoxic (10–15%  $O_2$ ) and hyperoxic (100%  $O_2$ , carbogen and hyperbaric oxygen therapy) challenges on the brain  $pO_2$  in rats and rabbits with the goal to understand the sensitivity of IRs to local changes of brain  $pO_2$  and how improved oxygen levels protect brain from ischemic stroke in the brain [28, 29, 32, 33].

# Conclusions

The results of the present study demonstrated the capability of EPR oximetry to perform repeated measurements of cerebral  $pO_2$  simultaneously at multiple sites. The method enables reliable measurements of temporal changes in cerebral  $pO_2$  under pathophysiological conditions over a period of weeks. The dynamic information of cerebral  $pO_2$  can be used to test and to optimize strategies for improving treatment outcome of ischemic stroke.





**Fig 7** Coronal sections and infarct size. **a** Axial view of whole rabbit brain with the track of the implantable sensor on the surface indicated by *long arrows*. **b** Rostral and **c** caudal coronal sections of the brain after 24 h of arterial embolization showing the infarct region indicated by *short arrow*. **d** Infarct volume in each of the six coronal sections for rostral and caudal sides. **e** Total infarct volume summed over the six sections for rostral and caudal sides. Corrected infarct area = measured infarct area  $\times$  area of contralateral corresponding structure/area of

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#### **Compliance with Ethical Standards**

**Conflict of Interest** The authors declare that they have no competing interest.

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ipsilateral corresponding structure. Infarction volumes for continuous macrosections =  $\Sigma$ (thickness × ½ (corrected infarct area of a section's rostral surface + corrected infarct area of a section's caudal surface). The thickness of each section is 5 mm and was stained with the vital dye TTC. Viable areas stain *red* and non-viable areas remain white indicated with *black short arrow*. The *scale bars* represent the millimeters

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