



# Applications of artificial neural networks in machining processes: a comprehensive review

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## Abstract

In the present era of artificial intelligence and machine learning, artificial neural networks (ANNs) have appeared as one of the potent tools in modeling the complex nonlinear relations between the input and output parameters in many of the machining processes, and helping the process engineers in predicting the tentative response values for given sets of input parameters. This paper conducts a systematic and content-wise analysis of a considerable number of research articles available in some of the reputed scholarly databases dealing with application of ANNs as effective predictive tools in three main machining operations (turning, milling and drilling) with an aim to extract the relevant information with respect to types of the ANN, their corresponding learning algorithms and activation (transfer) functions, optimal architecture, and statistical metrics employed to evaluate their prediction performance. It is revealed that the past researchers have maximally applied those ANN models in turning (42.07%), followed by milling (34.48%) and drilling operations (23.45%). In those machining operations, cutting speed, feed rate and depth of cut have been treated as the most important input parameters, and surface roughness as the predominant predicted response. Among different ANN models, feed-forward neural networks (94.44%) have been the most preferred choice among the researchers mainly due to their simple topology and availability of well-structured experimental datasets. On the other hand, Levenberg–Marquardt (58.3%), Sigmoid (31.6%) and mean squared error (47.2%) are identified as the most favored learning algorithm, activation function and statistical measure, respectively. This review paper would act as a ready reference to the process engineers in providing the optimal architecture of the ANNs, thus relieving them from additional computational effort. Finally, advantages and limitations of ANNs are summarized along with future research directions.

**Keywords** Machining · Prediction · ANN · Response · Statistical metrics

## 1 Introduction

In most of the manufacturing industries, machining is one of the indispensable processes, involving removal of unwanted material from a given workpiece to provide it the desired shape while meeting the requirements of close dimensional accuracy and tolerance, and satisfactory surface quality. It is a subtractive manufacturing operation, employing use of cutting tools, discs, abrasive wheels, and more, making direct

contact with the workpiece for removal of excess material from it [1]. Depending on the type of the cutting tool and shape of the workpiece to be generated, machining processes are of various types, like turning, milling, drilling, sawing, lapping, broaching etc. Among them, turning, milling and drilling are the principal material removal processes employed almost in every manufactured product. It has been observed that in a typical manufacturing shop-floor, 40–50% of the total workload has been catered by the turning process, followed by drilling (30–40%) and milling (10–15%) operations [2]. With the introduction of CNC technology, all the movements, speeds and tooling changes have been automated, making these processes more productive, consistent and precise.

Each of these machining processes has several input parameters, like cutting speed, feed rate, depth of cut (DOC), tool geometry, type and material of the cutting tool, cutting

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environment etc. which can be controlled or set depending on the machine specifications and requirements of the process engineers. They have direct relationships with the product characteristics and machining performance, in terms of material removal rate (MRR), surface roughness (SR), geometrical deviations, cutting force, power consumption etc. It has been experimented that during turning operation, although increasing values of cutting speed, feed rate and DOC may enhance productivity with respect to higher MRR, but they have detrimental effects on surface quality of the machined components [3]. On the other hand, during drilling, higher spindle speed, feed rate and DOC may have adverse effects on the quality of the holes generated, although MRR may increase [4]. Due to complex material removal mechanism and involvement of numerous input parameters, their relationships with the conflicting process outputs (responses) are often nonlinear in nature and difficult to model. The process engineers are always in search of developing appropriate predictive models helping them to envisage the possible outcomes for given sets of input parameters. It would help them to have an idea about the tentative values of different responses under consideration for varying combinations of the input parameters.

Predictive modeling is a form of data mining technique which analyzes past data aiming to identify trends or patterns and then generate the corresponding model to help predict the future outcomes. Thus, in predictive modeling, data is collected, a model is formulated, predictions are made, and the model is validated based on additional data. Regression analysis and neural networks are the two most widely adopted predictive modeling techniques. Regression analysis has the limitation of exactly depicting the nonlinear behavior of a system in many of the real-time applications. On the other hand, predictive models used in neural networks, such as machine learning and deep learning, are the emerging fields in artificial intelligence, and have the ability to extract nonlinear relationships between the input and output variables, which would prove impossible for the human analysts. Machine learning deals with structured data, such as spreadsheet or experimental results. On the contrary, deep learning takes into account unstructured data, like video, audio, social media posts or images, not involving numbers or metric reads.

Artificial neural networks (ANNs) are computational networks which attempt to mimic the network of neurons of a human brain so that the computers can understand a system behaviour and make decision in a human-like manner. Similar to human brain, ANNs also have neurons linked to each other in various layers of the network. A typical ANN consists of an input layer, one or more hidden layers and an output layer. Each layer has also several nodes (artificial neurons), depending on the ANN architecture and complexity of the problem. Each node connects to another node, and has an

associated weight and threshold. If the output of any individual node exceeds the specified threshold value, that node is activated, sending data to the next layer of the network. The ANNs have several advantages, like learning ability and self-adaptability, nonlinear relationships, fault tolerance, parallel processing, and generalization ability. Overfitting, limited interpretability, computational expensiveness, data requirements and sensitivity to noise are the major disadvantages of ANNs.

Acknowledging the immense potentiality of ANNs in effectively understanding the complex material removal mechanism, and modeling nonlinear relationships between various machining parameters and responses, the past researchers have relied on them in predicting the achievable responses based on the given sets of input parameters. In earlier days, the optimal combination of input parameters to attain the target response values had mainly been based on trial and error method or expert opinions had been sought or machining data handbooks had been consulted. Application of ANNs with appropriate architecture thus relieves the process engineers in effectively predicting the responses of the considered machining operations for various combinations of the input parameters. Based on the randomly chosen real-time experimental trials, those ANNs are usually trained and their prediction performance is later validated with suitable testing datasets using different statistical measures. The past researchers have already surveyed the ANN applications in different machining operations and proved their competency as efficient predictive tools.

Pontes et al. [5] reviewed 45 articles published during 2000–2009 on application of ANNs for modeling of SR in turning, milling, grinding, electrical discharge machining (EDM), abrasive flow machining, electrochemical machining (ECM), micro-end milling and water jet machining (WJM) processes. The common approaches adopted by the past researchers for the said purpose were identified, along with model elaboration, fitting and validation. Chaudhari and Gohil [6] performed a literature survey on applicability of ANNs to model SR during turning operations, and proved their superiority over the conventional prediction models while providing accurate relationship between the turning parameters and SR. Through a literature review, Ranganath et al. [7] proved the potentiality of ANNs in accurately and reliably predicting SR values during turning operations with cutting speed, feed rate and DOC as the input parameters. Garg et al. [8] reviewed the applications of regression analysis, ANNs, fuzzy logic and support vector machines (SVMs) for modeling of turning processes, and opined on the use of ANNs and SVMs due to their non-dependency on statistical assumptions and ability to capture complexity of the turning operation. Dureja et al. [9] evaluated the applicability and relative performance of several modeling and optimization techniques, like linear, polynomial and fuzzy modeling,

ANNs, Taguchi methodology, response surface methodology (RSM) and genetic algorithm (GA) for hard turning applications. It was concluded that when regression analysis would fail in developing suitable models, ANNs could be applied for predicting cutting force, tool wear and residual stress during the said machining operations. Jegan et al. [10] reviewed 13 articles dealing with application of ANNs in conventional (turning and milling) and non-conventional machining processes (ECM, EDM, WJM and abrasive jet machining), and advocated their use for prediction of the best results. It was stressed that the performance of ANNs would entirely depend on the availability and accuracy of the training data. du Preez and Oosthuizen [11] emphasized on the application of machine learning techniques in cutting processes which could lead to cost and time savings, enhanced quality and waste reduction, resulting in deployment of a sustainable manufacturing environment.

Based on a review of 49 articles on ANN application in milling processes, Al-Zubaidi et al. [12] concluded that (a) back-propagation neural networks (BPNNs) had been primarily adopted by the past researchers for modeling of milling processes, (b) they had shown higher predictive accuracy than the traditional statistical approaches, (c) GA could be coupled with BPNN for optimization of the milling processes, (d) adaptive neural controllers could be integrated with ANNs for online monitoring and control of SR, tool wear and cutting forces through proper adjustment of the considered milling parameters, and (e) SR had been treated as the most important response directly related to the surface quality of the machined components. Senthil Kumar and Ezilarasan [13] explored the contents of 33 research articles on applications of RSM, ANNs and fuzzy logic for modeling of the drilling operations on glass fiber-reinforced plastics, and identified thrust force and torque as the two important input parameters affecting delamination of the drilled holes. In a recent paper, acknowledging the analytical and predictive capabilities of ANNs, Mumali [14] reviewed 99 multi-disciplinary articles published during 2011–2021 in the manufacturing sector, and identified product and process design, performance evaluation and predictive maintenance as the key areas for ANN adoption. Integration of ANNs with fuzzy logic and GA was highly recommended to overcome their slow convergence during training.

Based on the above literature review, it can be noticed that the past researchers had already accepted ANNs as one of the effective modeling techniques to identify the nonlinear relationships between the input and output variables in many of the machining processes. But, it is revealed that all the literature reviews are back-dated and not exhaustive, and only concentrate on some distinct applications of ANNs in different machining operations. A thorough analysis of the above-cited literature review unveils the following research questions (RQs):

RQ1: What would be the representative set of input parameters to model the behavior of the three main machining processes, i.e. turning, milling and drilling?

RQ2: What would be the optimal set of responses to highlight performance of those processes?

RQ3: Among various ANN techniques, which would be best suited for modeling the nonlinear relationships between the input and output variables for those processes?

RQ4: What would be the best architecture of the adopted ANN models and how to achieve it?

RQ5: What would be the most suitable training algorithm and activation (transfer) function?

RQ6: What would be the most appropriate statistical measures to validate prediction performance of the ANN models?

RQ7: How the training and test datasets are collected?

Based on a systematic and content-wise analysis of a considerable number of research articles, available in the popular Scopus, Scencedirect and Google Scholar databases, on application of ANNs for predictive modeling of turning, milling and drilling processes, this review paper endeavors to answer the above-identified RQs. It would assist the process engineers or software developers in indentifying the best representative sets of input and output parameters for the considered machining processes, selecting the appropriate ANN along with its optimal architecture, choosing the most apposite training algorithm and activation function, singling out the best statistical metric for evaluating prediction performance of the developed ANNs, and choosing the optimal training data based on real-time experiments. This paper would thus act as a data repository to help the process engineers and future researchers in effectively understanding the complex material removal mechanism of any of the machining processes while extracting the nonlinear relationships between the input and output parameters, and envisaging the tentative responses for given combinations of the machining parameters without conducting real-time experiments. It would ultimately help in achieving better product quality, higher process economy, reduced tool wear and energy consumption, leading to sustainable and green machining environment. The organization of this paper is as follows: Sect. 2 provides a brief introduction of ANNs, and the statistical metrics considered for their performance analysis are presented in Sect. 3. Applications of ANNs in turning, milling and drilling processes are provided in Sect. 4 through succinct tables. Results derived from the literature are analyzed in Sect. 5, and Sect. 6 concludes the paper along with future research directions.

## 2 Artificial neural networks

ANNs are a fundamental concept in the field of machine learning and artificial intelligence, based on a nonlinear mapping system inspired by the structure and functioning of human brain [15]. They are a subset of machine learning algorithms designed to recognize patterns, make predictions or perform tasks by learning from data [16]. ANNs consist of interconnected units called neurons, which are organized into a minimum of three layers, i.e. an input layer, one or more hidden layers and an output layer, as shown in Fig. 1. The input layer receives raw data and sends them to the hidden layer(s), the hidden layer(s) then retrieve information from the data received and pass to the output layer, which ultimately produces the final results [17]. The depth and number of hidden layers determine the ANN's complexity and capacity to capture intricate patterns. All neurons in the network are connected to each other via links referred to as weights. Neurons in the input layer multiply each input data with its weight and calculate their summation, which is then added to a bias and transformed into an output using an activation function, as presented in Fig. 1.

There are several types of ANN, each designed for specific task and architecture. Most commonly used ANNs in machine learning are [18]:

- a. Feed forward neural networks (FFNNs): These are the basic type of ANN where data flows in one direction from input to output layer, without any feedback loop. They are mostly employed for tasks, like classification and regression.
- b. Convolutional neural networks (CNNs): CNNs work best on unstructured data, and are well-suited for image and video analysis. They utilize convolutional layers that automatically learn and extract features from visual data. They are commonly used for image classification, segmentation and detection.
- c. Recurrent neural networks (RNNs): RNNs have connections that loop back on themselves, allowing them to process sequences of data. They are considered for tasks involving sequential data, like language translation, speech recognition and time series analysis.
- d. Long short-term memory (LSTM): LSTMs, which are specialized type of RNNs, are designed to better deal with long-range dependencies in sequential data. They are particularly suitable when memory of the past information is important, such as in language modeling and sentiment analysis.
- e. Radial basis function neural networks (RBFs): RBF networks are a commonly used type of ANNs for function approximation problems and SVM-based classifications. They are distinguished from other ANNs by their fixed

three-layer architecture, universal approximation and faster learning speed.

Activation (transfer) functions play a crucial role in proper functioning of ANNs. They introduce nonlinearity to the network, determine the output of a neuron based on its input, and greatly influence an ANN's ability to learn and generalize. The choice of activation function depends on the problem at hand, architecture of the network and empirical experimentation. They can generally be divided into two classes, i.e. linear activation function and non-linear activation function [19].

- a. Linear activation function: The linear activation function, also known as 'Identity function' or 'Straight-line function', is applied when the activation is directly proportional to the input. The most commonly used linear function is pure linear activation (Purelin) function. It does not consider the weighted sum of the inputs and simply splits the value which it has given. Its main problem is that it cannot be defined within a specific range.
- b. Non-linear activation function: The problem with a linear activation function can be effectively overcome using non-linear functions. This type of activations is normally defined within a specific range which makes it easier for ANNs to adapt to a variety of data and differentiate between the possible outcomes. These functions are mainly categorized based on their ranges. Table 1 provides the expressions of all the commonly employed activation functions along with their ranges and advantages/disadvantages.

The neurons in ANNs learn by updating their weights and biases iteratively to obtain the desired output. For learning to take place, the network is first trained, based on a predefined set of rules, known as training algorithm. They are crucial in training ANNs to perform various tasks, such as classification, regression, and other complex tasks, like image and speech recognition. The frequently employed training algorithms include [20]:

- a. Gradient descent (GD): GD is the most straightforward algorithm for ANNs, recommended for massive neural networks with many thousands parameters. Until the error function is close to or equal to zero, it continues to adjust its parameters to yield the smallest possible error.
- b. Levenberg–Marquardt (LM): LM algorithm is specifically designed to work with loss functions that take the form of the sum of squared errors. It is a combination of GD and Gauss–Newton methods. It is the fastest back-propagation algorithm and is highly recommended, although it requires more memory than the other training algorithms.

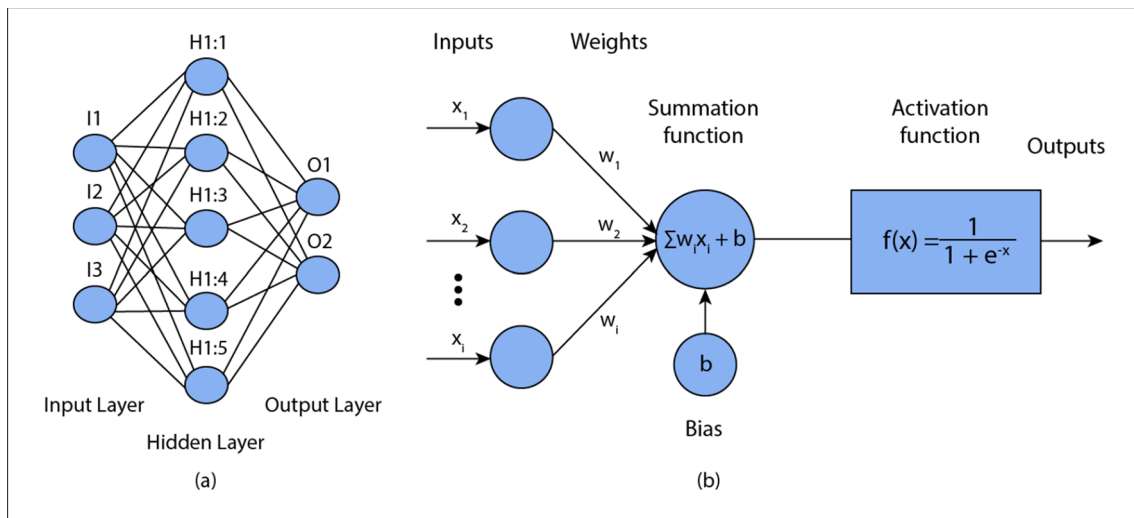


Fig. 1 Architecture of a typical ANN

- c. Scaled Conjugate Gradient (SCG): Based on conjugate directions, it is a fully automated algorithm with no critical user-dependent parameters and unlike other conjugate algorithms, it avoids a time-consuming line search.
- d. Broyden–Fletcher–Goldfarb–Shanno (BFGS) quasi-Newton: BFGS overcomes some of the limitations of GD algorithm by seeking the second order derivative. It necessitates complex computation and high storage, for which it is mostly recommended for those networks having small number of weights in the nodes.
- e. Resilient Propagation (RP): It is very similar to common back-propagation except for weight update routine. It does not take into account the error gradient, but considers only the sign of the error gradient to indicate direction of the weight update, making it faster than other back-propagation trainings.
- f. Bayesian Regularization (BR): It incorporates Bayesian principles into training and regularization of ANNs. During training, it seeks to find out the posterior distribution of the weights given the training data and prior distribution. It prevents overfitting and allows ANNs to make more reliable prediction, and provides a way to quantify uncertainty in the prediction process.
- g. Nature-inspired metaheuristics: To overcome the problems of the conventional training algorithms with respect to being trapped in the local minima and overfitting of the training data, several nature-inspired metaheuristics, like GA, artificial bee colony, particle swarm optimization, cuckoo search algorithm etc. have also been proposed by the researchers [21] for training of the developed ANNs for enhanced convergence speed and higher prediction accuracy.

### 3 Statistical metrics

It has already been stated that a typical ANN is usually fed with appropriate set of training data, the corresponding model is then formulated, predictions are subsequently made, and the developed model is validated using additional (testing) data [22]. To validate prediction performance of ANNs, the following statistical metrics are usually adopted [23]:

$$\text{Coefficient of determination } (R^2) = 1 - \frac{\sum_{i=1}^n (y_i - \hat{y})^2}{\sum_{i=1}^n (y_i - \bar{y})^2} \tag{1}$$

where  $y_i$  is the actual value of  $i$ th observation,  $\hat{y}$  is the predicted value of  $i$ th observation,  $\bar{y}$  is the mean of all the observations and  $n$  is the number of observations. As it signifies proportion of variation in the dependant (output) variables that can be predictable from the independent (input) variables, its higher value is always preferred.

$$\text{Root mean square error (RMSE)} = \sqrt{\frac{\sum_{i=1}^n (y_i - \hat{y})^2}{n}} \tag{2}$$

$$\text{Mean squared error (MSE)} = \frac{\sum_{i=1}^n (y_i - \hat{y})^2}{n} \tag{3}$$

$$\begin{aligned} \text{Mean absolute percentage error (MAPE)} \\ = \frac{1}{n} \times \frac{\sum_{i=1}^n |y_i - \hat{y}|}{y_i} \times 100\% \end{aligned} \tag{4}$$

$$\text{Mean percentage error (MPE)} = \frac{1}{n} \times \frac{\sum_{i=1}^n (y_i - \hat{y})}{y_i} \times 100\% \tag{5}$$

$$\text{Mean absolute error (MAE)} = \frac{\sum_{i=1}^n |y_i - \hat{y}|}{n} \tag{6}$$

**Table 1** Different activation functions used in ANNs

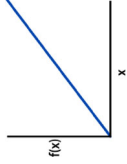
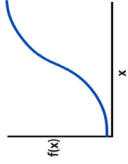
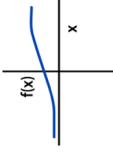
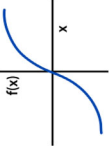
Type	Name of the function	Expression	Range	Plot	Advantages/disadvantages
Linear	Pure Linear (Purelin)	$f(x) = x$	$(-\infty, +\infty)$		It is simple and stable. As it does not saturate for large input values, the gradient does not become extremely small, avoiding the vanishing gradient problem. As it cannot be defined in a particular range, the ANNs are unable to deal with complex, non-linear problems.
Nonlinear	Sigmoid (Logistic)	$f(x) = 1/(1 + e^{-x})$	$(0, 1)$		As it squashes its input to an output range between 0 and 1, it is particularly useful in binary classification problems where the ANN's output can be interpreted as a probability or confidence score. It suffers from vanishing gradient problem, leading to slow convergence and making it difficult to train deep neural networks. It has also computationally expensive function (exponential in nature).
	Logarithmic Sigmoid (Logsig)	$f(x) = \ln(1/(1 + e^{-x}))$	$(-\infty, 0)$		It is smooth and continuously differentiable, making it suitable for optimization using gradient-based methods, such as backpropagation, which is commonly used in training ANNs. It is also susceptible to vanishing gradient problem. During back-propagation, gradients can become very small as they are propagated backward through the layers, resulting in slow or stalled learning.
	Hyperbolic Tangent (Tanh)	$f(x) = \frac{e^x - e^{-x}}{e^x + e^{-x}}$	$(-1, 1)$		The non-linearity of this function allows the ANN to learn and represent complex relationships in the data, making it more suitable for tasks where linear models are insufficient. It is also prone to vanishing gradient problem. It has also computationally expensive function.

Table 1 (continued)

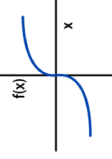
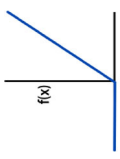
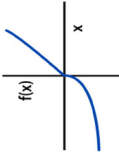
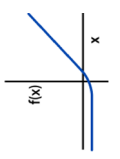
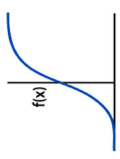
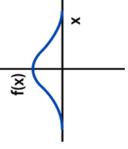
Type	Name of the function	Expression	Range	Plot	Advantages/disadvantages
	Hyperbolic Tangent Sigmoid (Tansig)	$f(x) = \frac{2}{(1+e^{-2x})} - 1$	$(-1, 1)$		It is smooth and continuously differentiable, which is beneficial for optimization algorithms, such as gradient descent, making it easier to find out the minimum of the loss function during training. It can saturate for extreme input values, resulting in vanishing gradients which make it difficult for the ANN to learn effectively.
	Rectified Linear Unit (ReLU)	$f(x) = \max(0, x)$	$(0, +\infty)$		The vanishing gradient problem occurs when the gradient approaches zero, hindering the training of deep networks. ReLU's gradient is non-zero for positive inputs, which helps in overcoming this issue. It can suffer from 'Dying ReLU' problem, where neurons can become permanently inactive due to a negative input.
	Scaled Exponential Linear Unit (SELU)	$f(x) = \gamma(\max(0, x) + \min(0, \alpha(e^x - 1)))$ ( $\gamma$ and $\alpha$ are constants)	$(-\gamma\alpha, +\infty)$		It tends to self-normalize during training. This property helps mitigate issues, like vanishing and exploding gradients, contributing to more stable and efficient training of deep networks. It involves exponential and logarithmic computations, which can be computationally more expensive. A comprehensive theoretical understanding of why it works in practice is still an area of ongoing research.
	Exponential Linear Unit (ELU)	$\max(0, x) + \min(0, \alpha(e^x - 1))$	$(-\alpha, +\infty)$		It addresses the issue of 'dying neurons' (units that always output zero for any input, causing them to contribute nothing to the learning process) encountered in ReLU. Its non-zero output for negative inputs helps mitigate this problem. It can be computationally more expensive than ReLU and may not always lead to improved performance.
	Softmax	$f(x) = \frac{e^{x_i}}{\sum_{j=1}^J e^{x_j}}$	$(0, 1)$		It converts the raw output scores of an ANN into probabilities, which is valuable in multi-class classification, where a probability is assigned to each class. It can be computationally expensive, especially for problems with a large number of output classes.

Table 1 (continued)

Type	Name of the function	Expression	Range	Plot	Advantages/disadvantages
	Gaussian	$f(x) = e^{-x^2}$	(0, 1)		<p>It produces outputs within a finite range, which is useful in scenarios where bounding the activation values is desired. This can be relevant for preventing exploding activations and facilitating stability during training.</p> <p>The Gaussian activation function can increase complexity of the network and may require more computational resources to train.</p>



$$\text{Relative error (RE)} = \frac{\sum_{i=1}^n |y_i - \hat{y}|}{y_i} \quad (7)$$

$$\text{Percent absolute error (PAE)} = \frac{\sum_{i=1}^n |y_i - \hat{y}|}{y_i} \times 100\% \quad (8)$$

$$\text{Mean error (ME)} = \frac{\sum_{i=1}^n (y_i - \hat{y})}{n} \quad (9)$$

$$\text{Relative absolute error (RAE)} = \frac{\sum_{i=1}^n |y_i - \hat{y}|}{\sum_{i=1}^n |y_i - \bar{y}|} \quad (10)$$

$$\text{Root relative squared error (RRSE)} = \sqrt{\frac{\sum_{i=1}^n (y_i - \hat{y})^2}{\sum_{i=1}^n (y_i - \bar{y})^2}} \quad (11)$$

All these errors, i.e. RMSE, MSE, MAPE, MPE, MAE, RE, PAE, ME, RAE and RRAE measure deviations between the values predicted by the adopted ANNs and values that are actually observed during real-time machining operations. As a perfectly designed ANN model would be capable to almost accurately predict values of the dependant variables based on the given set of independent variables, it would be always desired that values of these error measures should be nearer to zero (their minimum values are thus preferred).

$$\begin{aligned} &\text{Pearson's correlation coefficient } (r) \\ &= \frac{\sum_{i=1}^n (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_{i=1}^n (x_i - \bar{x})^2 \sum_{i=1}^n (y_i - \bar{y})^2}} \quad (12) \end{aligned}$$

where  $x_i$  is the value of  $i$ th input variable,  $y_i$  is the value of  $i$ th output variable, and  $\bar{x}$  and  $\bar{y}$  are the mean values of all  $x$  and  $y$  variables respectively. Its value ranges between  $-1$  and  $+1$ , where  $+1$  indicates a perfectly positive correlation between the considered variables, whereas,  $-1$  signifies that they are strongly negatively correlated. Thus, its higher value is always desired to show how strongly the predicted values are correlated with the actual ones.

## 4 ANN applications in machining processes

### 4.1 Turning

In turning, a wedge-shaped cutting tool having linear motion is strongly pressed against a rotating cylindrical workpiece and the material is removed from its outer surface due to shear deformation. The cutting tool may have movements along all the three directions, making this process capable of producing precise diameters and depths [24]. Besides decreasing diameter of the workpiece, it can also perform

other operations, like parting, grooving, knurling, threading, taper turning etc. This process has several advantages, like interchangeable work materials, excellent dimensional tolerance, short lead time, higher MRR and no need of highly skilled operator. But, it only permits machining of rotatable components, often requires subsequent operations, generates substantial amount of scrap and causes excessive tool wear. Being the main machining operation in the manufacturing industries, it is quite expected that the past researchers would attempt to model them using ANNs, validate performance of the adopted ANNs and predict the unknown response values for varying combinations of the turning parameters. Table 2 provides the ANN applications in turning operations which reveals that almost all the authors have adopted FFNNs for the said purpose.

Considering cutting speed, DOC, feed rate and average grey level of the surface image of the machined component (grabbed using computer vision system) as the turning parameters, and SR as the response, Natarajan et al. [30] compared the prediction performance of an FFNN, a differential evolution algorithm (DEA)-based ANN and adaptive neuro-fuzzy inference system (ANFIS) during CNC turning of steel alloys. It was noticed that although all the adopted techniques would be capable of envisaging the target SR responses with satisfactory MSE values, but the convergence speed for ANN-DEA had been higher than FFNN and ANFIS models. A similar work had also been performed by Radha Krishnan et al. [68]. Besides treating the primary turning parameters (like cutting speed, feed rate and DOC), Radha Krishnan et al. [68] also employed Fourier transformation to extract the relevant features from the workpiece image (average grey level, major peak frequency and principal component magnitude squared value) to achieve SR prediction accuracy above 95% and MSE below 5%. In an attempt to predict tool wear during turning operation of EN9 and EN24 steel alloys, Baig et al. [75] developed appropriate ANN models considering types of the work material and tool insert, number of cuts, cutting speed, feed rate, DOC, machining time and vibration amplitude as the input parameters. It was claimed that the developed model (having a  $R^2$  value of 0.9964) would be able to accurately predict tool wear without performing any real-time experiment, thereby avoiding catastrophic tool failure. In a similar study, Rajeev et al. [58] developed an ANN model for tool wear prediction during hard turning operation of AISI 4140 steel, considering cutting speed, feed, DOC, mean value of the forces in  $x$ ,  $y$  and  $z$  directions, power spectral density of vibration and machining length as the inputs to the proposed model. Its application for online tool wear monitoring was highly recommended. Nouioua and Bouhalais [79] explored the practicality of using root mean square values and spectral centroid indicator of vibration signals as suitable inputs to the ANNs for online monitoring of tool

**Table 2** Applications of ANNs in turning operations

Author(s)	Input parameters	Response(s)	Learning algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Venkatesan et al. [25]	DOC, feed, speed, cutting edge angle, corner radius	Tool wear, cutting force, power, SR		5-5-4	Tansig	MSE	100
Fadare et al. [26]	Cutting speed, feed rate, DOC, motor power, tangential force, feed force	Flank wear	RP	6-5-10-1	Tansig	MSE	40
Gaitonde et al. [27]	Work material, tool material, cutting speed, feed rate	Machining force, power, cutting force	GD/LM	4-8-8-1, 4-10-1, 4-8-7-1		MSE	36
Natarajan et al. [28]	Spindle speed, feed rate, DOC	SR	LM	3-3-3-1	Tansig, Purelin	MAPE	27
Abdullah et al. [29]	Cutting speed, feed rate, DOC	SR		3-23-9-1		MSE	27
Natarajan et al. [30]	Cutting speed, feed rate, DOC, average grey values of surface image	SR		4-5-5-1	Sigmoid	MSE	27
Cica et al. [31]	Method of cooling and lubrication, DOC, feed rate, cutting speed	Cutting force, feed force, passive force	GD	4-7-3		RMSE, MAPE, $R^2$	54
Dhas et al. [32]	Spindle speed, feed rate, DOC, nose radius	SR	LM	4-6-1	Logsig	MSE	30
Hanafi et al. [33]	Cutting speed, DOC, feed rate	SR, machining force, cutting power	GD	3-15-3		RMSE	18
Hanafi et al. [34]	Cutting speed, DOC, feed rate	Radial force, cutting force, feed force	GD	3-10-3	Sigmoid	RMSE	27
Beatrice et al. [35]	Cutting speed, DOC, feed rate	SR	LM	3-7-7-1	Tansig, Sigmoid	RMSE	23
Ravi et al. [36]	Cutting speed, DOC, feed rate	Thrust force, cutting force, feed force, MRR, power	LM	3-14-5	Logsig	MSE	21
Ravi et al. [37]	Cutting speed, DOC, feed rate, temperature	Thrust force, cutting force, feed force, SR	LM	4-25-4	Logsig	MSE	19
Vaxevanidis et al. [38]	Spindle speed, feed rate, DOC	Cutting force, SR	LM	4-14-1, 4-5-15-1		MSE	81
Prabhakar et al. [39]	Cutting speed, feed rate, DOC	SR	LM	3-20-1	Sigmoid	MSE	18
Koura et al. [40]	Cutting speed, feed rate, DOC	SR	LM	3-3-1	Logsig, Tansig	MSE	27

**Table 2** (continued)

Author(s)	Input parameters	Response(s)	Learning algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Mandal et al. [41]	Cutting speed, feed rate, DOC	Flank wear	GD	3-4-1		MSE	20
Tamang and Chandrasekaran [42]	Cutting speed, feed rate, DOC	SR, flank wear	GD	3-5-5-2	Tansig, Purelin	MSE	19
Sredanovic and Cica [43]	Method of cooling and lubrication, DOC, feed rate, cutting speed, time	Cutting force, tool wear, SR	LM	5-7-3	Tansig	RMSE, MAPE	108
Sangwan et al. [44]	Cutting speed, feed rate, DOC	SR	LM	3-4-1	Tansig	MAPE, $r$	27
Tyagi et al. [45]	Cutting speed, DOC, feed rate	SR	GD	3-2-1		MSE	
Banerjee et al. [46]	DOC, feed rate, cutting speed	Cutting force	LM	3-5-1, 3-6-1, 3-4-1	Logsig, Purelin	MSE	32
Salimiasl et al. [47]	Cutting speed, feed rate, DOC, cutting force	Tool wear	LM	4-16-4-1	Tanh	$R^2$	12
Sahoo et al. [48]	Cutting speed, feed rate, DOC	SR	GD	3-7-1		$R^2$	27
Kumar et al. [49]	Cutting speed, feed rate, DOC	SR, cutting forces	GD	3-12-4	Logsig	MSE	21
Salimiasl and Özdemir [50]	Cutting speed, feed rate, DOC	Cutting force	LM	3-4-1	Tansig, Purelin	MSE	27
Singari et al. [51]	DOC, cutting speed, feed rate	SR	LM	3-12-1	Tansig, Purelin	MSE	200
Chaurasia et al. [52]	Spindle speed, DOC, feed rate	SR	LM	3-7-1-1		MSE, $r$	15
Chandrasekaran and Tamang [53]	Spindle speed, feed rate, DOC	SR	GD	3-5-1	Tansig, Purelin	MSE	22
Tamang and Chandrasekaran [54]	Spindle speed, feed rate, DOC	SR	GD	3-12-1	Tansig, Purelin	MSE	22
Ahilan et al. [55]	Cutting speed, feed rate, DOC, nose radius	SR, power consumption	GD	4-9-2	Tansig, Purelin	MSE	27
Davakan and Ouafi [56]	Feed rate, DOC, nose radius, axial deflections	Diametral error, circularity error, SR	BFGS	4-4-3		MSE	32
Boukezzi et al. [57]	Cutting speed, feed rate, DOC	SR	LM	3-10-1	Tansig, Purelin	MSE	27

Table 2 (continued)

Author(s)	Input parameters	Response(s)	Learning algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Rajeev et al. [58]	Cutting speed, feed rate, DOC, mean value of forces along x, y and z-direction, power spectral density of vibration, machining length	Flank wear	LM	8-10-1		MSE	12
Rajaparthiban and Sait [59]	Cutting speed, feed rate, DOC	SR, MRR	GD	3-10-2		MSE	27
Beatrice et al. [60]	Cutting speed, feed rate, DOC	Cutting force	LM	3-4-1	Tansig	RMSE	20
Tasdemir [61]	Rake angle, approaching angle, feed rate	Tool tip temperature	LM	3-4-1	Logsig	MSE, $R^2$	192
Rajeev et al. [62]	Cutting speed, feed rate, DOC	Flank wear	LM	3-5-1		MSE	17
Tamayo et al. [63]	Cutting speed, feed rate, machining time	SR	LM	3-5-1	Tansig, Purelin	MAE, $R^2$	22
Twardowski and Wiciak-Pikula [64]	Cutting force, acceleration of vibration	SR	BFGS	4-6-1	Tanh	RMSE	21
Deshpande et al. [65]	Cutting speed, feed rate, DOC, cutting force, sound, vibration	SR	LM	6-6-6-1	Tansig	MSE	20
Laghari et al. [66]	Cutting speed, feed rate, DOC	Tool wear		3-6-1		MSE	12
Abdallah et al. [67]	Rotational speed, DOC, feed rate	SR, cutting tool temperature	SCG	3-11-9-2	Tansig, Purelin	MSE	19
Radha Krishnan et al. [68]	Speed, DOC, feed rate, grey level, major peak frequency, principal component magnitude squared value	SR	GD	6-10-1	Sigmoid	MSE	40
Elsadek et al. [69]	Cutting speed, feed rate, DOC, workpiece hardness	SR	GD	4-9-1	Tansig, Purelin	MSE	24
Cica et al. [70]	Cutting speed, DOC, feed rate	Machining force, cutting power, cutting pressure	LM	3-9-3	Tansig	MAPE, MAE, RMSE	27
Abdulateef [71]	Cutting speed, feed rate, DOC	SR	LM	3-5-1	Logsig	MSE	19

Table 2 (continued)

Author(s)	Input parameters	Response(s)	Learning algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Šarić et al. [72]	Cutting speed, feed rate, DOC, cutting inserts	SR	GD	4-8-1, 4-2-10-1	Sigmoid	RMSE	450
Santhosh et al. [73]	Feed rate, rotational speed, DOC	SR	LM	3-14-1	Tansig	MSE	20
Sada [74]	Cutting speed, DOC, feed rate	MRR, SR	LM	3-10-2	Tansig, Purelin	RMSE	40
Baig et al. [75]	Work material, tool insert, no. of cuts, spindle speed, feed, DOC, machining time, vibration amplitude	Tool wear	LM	8-15-1	Logsig, Tansig	MAPE, MSE	18
Hernández-González et al. [76]	Cutting speed, test duration, machining time, no. of passes, position of cutting piece	Cutting forces in three directions	LM	5-25-3	Tansig, Purelin	MSE, MAE	16,357
Rizvi and Ali [77]	Feed rate, cutting speed, DOC, nose radius	SR values, MRR	LM	4-14-3	Sigmoid	MSE	27
Shanavas [78]	Cutting speed, feed rate, DOC	SR, tool wear	LM	3-8-2	Logsig, Purelin	MSE, $r$	27
Nouioua and Bouhalais [79]	Root mean square values of vibration signals, spectral centroid indicator	Flank wear, SR	GD	2-5-1	Tansig	$r$	21
Lee et al. [80]	Cutting speed, feed rate, DOC, homogeneity of the surface texture images	SR, flank wear		4-10-1		$R^2$ , MAPE	26
Sahoo et al. [81]	Cutting speed, feed rate, DOC, ultrasonic power	SR, cutting force, interface temperature	LM	4-16-3		MSE	25
Abolghasem and Mancilla-Cubides [82]	Cutting speed, feed rate, DOC, nose radius	SR	GD	4-7-1	Sigmoid	MSE	243
Karagiannis et al. [83]	Tool radius, feed rate, DOC, cutting speed	SR along x, y, z-directions	LM	4-13-3		MSE	9
Patil et al. [84]	Machining speed, feed rate, DOC	Crater wear, flank wear, nose wear, notch wear, tip break		12-12-11-10-9-8-5	SELU, Softmax	$R^2$	80
Okokpujie and Sinebe [85]	Cutting depth, cutting speed, feed to the blade teeth	SR, MRR	LM	3-10-2	Sigmoid	RMSE, $R^2$	27

wear and SR during the turning operation on AISI 1045 steel materials.

Lee et al. [80] developed an innovative RNN model for flank wear and SR prediction during AISI 1040 steel turning operation with cutting speed, feed rate, DOC and homogeneity extracted from the surface texture images based on grey level co-occurrence matrix as the input variables. It was shown that the adopted RNN model could achieve excellent prediction accuracy of 97.05% and 96.58% for flank wear and SR, respectively. A deep learning-based ANN model was proposed by Patil et al. [84] for tool condition monitoring during turning operation with respect to five distinct tool faults, and a comparative study was later performed against other machine learning-based classifiers to prove robustness of the proposed model which had shown a prediction accuracy of 93.33%.

An analysis of the information provided in Table 2 reveals that while modeling turning processes and predicting responses using ANNs, feed rate, cutting speed and DOC have been treated as the most representative turning parameters, as shown in Fig. 2a. In this figure, ‘Others’ parameters include axial deflections, sound, number of cuts, position of the cutting piece, machining length etc. On the other hand, Fig. 2b singles out SR as the most favored response, followed by cutting force and tool wear to symbolize performance of the turning operations.

## 4.2 Milling

Milling employs a rotating multi-point cutting tool (cutter) for the purpose of shaping the workpiece by advancing it into the cutter. Although there are several variations of this process, end milling and face milling are the most popular choices in the manufacturing industries. End milling consists of a cylindrical cutter having multiple edges on its periphery and tip, permitting both end cutting and peripheral cutting. On the contrary, face milling performs horizontal cutting using the circular shape and edges of the cutter along its circumference [86]. Capability to generate complex shape geometries with high precision, flexibility, versatility, low downtime, increased productivity and reduced waste are the major advantages of a milling process. On the other hand, it suffers from some disadvantages, such as increased setup time, higher space requirement, noisy working environment, higher cost and requirement of skilled manpower. Modeling of milling processes and prediction of the corresponding responses using suitably structured ANNs have also caught attention of the past researchers, as noticed in Table 3.

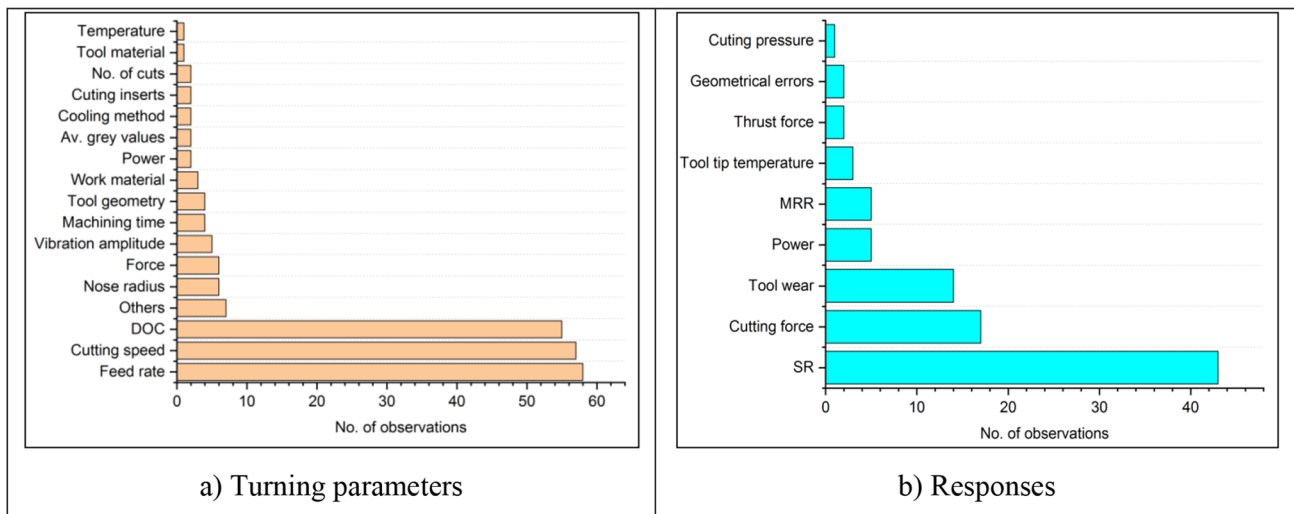
After face milling operation of Al alloy 7075-T7351, Muñoz-Escalona and Maropoulos [98] developed three ANN models, i.e. radial basis NN (RBNN), FFNN and generalized regression NN (GRNN) for prediction of SR values. Based on the calculated MSE values, it was noticed that FFNN had

the best prediction performance. It was also observed that among the considered milling parameters (cutting speed, feed per tooth, axial DOC, chip width and chip thickness), there had been strong correlation between the measured SR values and chip thickness, followed by cutting speed. Brecher et al. [102] proposed a solution using global user data to SR monitoring based on numerical control kernel and human—machine interface. Several input parameters were taken to develop the corresponding ANN models which would help in online SR measurement and provide optimized parameters to the machine operators.

Kothuru et al. [124] explored the applicability of deep learning techniques for tool condition monitoring based on the spectrogram features of the audible sound acquired during end milling operations and employed a deep visualization approach to have valuable knowledge with respect to the inner workings of the deep learning models for tool wear prediction. Finally, CNN models were developed for tool wear monitoring and hyper-parameter tuning for increased prediction accuracy. Using audible sound signals during milling operations, Kothuru et al. [119] also employed SVM and CNN models for prediction of tool wear and hardness variation of the machined workpieces.

Ong et al. [125] applied wavelet neural network (WNN), a variant of ANN, to monitor tool wear during CNC end milling operation of grade SS41 mild steel blocks. After each milling experiment, the tool wear images were processed and the corresponding descriptor of the wear zone was extracted. It was noticed that the WNN model with cutting speed, feed rate, DOC, machining time and descriptor of wear zone would provide the most accurate prediction of tool wear. A deep convolutional neural network (DCNN) was proposed by Huang et al. [129] for monitoring of tool wear condition during high-speed CNC operation under dry condition, considering three-dimensional cutting force and vibration as the tool health indicators. Its prediction accuracy had been noticed to be significantly better than the other ANN models. Sener et al. [134] also employed DCNN for chatter detection during CNC milling operation and concluded that when cutting speed and DOC had been considered as the input parameters, the developed model could achieve an average prediction accuracy of 99.88%.

Figure 3 depicts various input parameters and responses considered by the past researchers for ANN modeling of milling processes. It is revealed that cutting speed, feed rate and DOC have been the most favored milling parameters, while SR has been the most important response. In Fig. 3a, ‘Others’ parameters contain width of cut, type of insert, sound pressure level, cutting section, length of cut, number of teeth, milling orientation, extension length, maximum chip thickness, chip load, machined surface area, machining time etc.



**Fig. 2** Input parameters and responses considered during ANN modeling of turning operations

### 4.3 Drilling

Drilling utilizes a multi-point cutting tool, in the form of drill bit, to generate cylindrical holes in a solid material. In this process, the rotating drill bit is perpendicularly fed to the plane of the workpiece's surface, making vertically-aligned holes with diameters equal to that of the drill bit. The drill bit has a pointed end which assists in easily cutting a hole in the workpiece, and its typical double-helix structure allows the debris material (chips) to fall away from the workpiece [137]. Besides making holes, other operations, like reaming, boring, counter boring, counter sinking, tapping, trepanning etc. can also be performed employing a drilling setup. A typical drilling process has several advantages, like higher MRR, extreme adaptability, low maintenance cost, easiness of use etc. But, limited size of the workpiece, generation of rough hole, clogging of chips, drill breakage, use of coolant etc. are some of its demerits. The performance of a drilling process is often characterized with respect to surface quality, delamination factor, geometrical deviations (cylindricity, circularity and perpendicularity), torque, thrust force etc. which are noticed to be influenced by various input parameters, like spindle speed, feed rate, DOC, drill diameter, drill material, cutting environment etc. Table 4 exhibits the works carried out by the earlier researchers on applications of ANNs in drilling processes.

Efkolidis et al. [156] integrated ANN with GA to aid in determination of the optimal ANN architecture while predicting thrust force and torque, treating cutting velocity, drill diameter and feed rate as the major drilling parameters. It was revealed that GA-ANN would perform more efficiently as compared to the ANN with network architecture evaluated based on trial and error method. Rao and Rodrigues [152] performed a comparative study among five different

learning algorithms, like BFGS quasi-Newton, SCG, conjugate gradient with Powell-Beale restarts (CGPB), conjugate gradient with Polak-Ribière updates and LM, and revealed the superiority of LM algorithm in perfectly predicting the corresponding response values during drilling of glass fiber reinforced polymer composites. Ramalingam et al. [170] proposed an FFNN model to predict thrust force, torque, exit delamination, hole diameter, cylindricity and SR while conducting drilling operations on quartz cyanate ester polymeric composite materials, and concluded that an optimal network architecture of 3-45-15-10-6 would result in the minimum MSE value of 0.0105. The developed network had also excellent prediction accuracy (maximum error was 7.17%). Using ANNs, Kolesnyk et al. [169] investigated the influences of number of holes, cutting speed, feed rate, time delay and hole depth measuring point on drilling temperature, hole diameter and circularity during drilling of carbon fiber-reinforced plastic/titanium alloy stacks. It was concluded that ANNs could be deployed to extract the nonlinear relationships between the input parameters and quality of the drilled holes. In Fig. 4, the drilling parameters and responses considered by the past researchers for ANN-based modeling of drilling operations are provided. It can be revealed from Fig. 4a that spindle speed, feed rate and drill diameter have been the most favoured input parameters. In Fig. 4a, 'Others' parameters consist of thrust force, torque, number of pecking cycles, hardness of the work material, time delay and hole depth measuring point. On the other hand, Fig. 4b shows that SR, followed by thrust force, torque, delamination and hole diameter have been mainly chosen by the researchers to represent performance of the drilling operations on different materials.

**Table 3** Applications of ANNs in milling

Author(s)	Input parameters	Response(s)	Learning algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Chen and Jen [87]	Feed rate, DOC, effective cut width	Flank wear		13-15-15-1, 13-15-10-5-1	Sigmoid	MAPE	80
Briceno et al. [88]	Feed rate, spindle speed, radial DOC	Max. force, min force, mean force, SD force	LM	3-2-4		MSE	20
Benardos and Vosniakos [89]	DOC, feed rate per tooth, cutting speed, wear of cutting tool, use of cutting fluid	SR	LM	5-3-1		MSE	27
Sagliam and Unuvar [90]	Cutting speed, feed rate, DOC, feed force, vertical z-axis force	Flank wear, SR	GD	5-10-2	Sigmoid	MSE	16
Zuperl et al. [91]	Cutting fluid, hardness, type of material, cutting tool diameter, type of insert, cutting speed, feed, radial DOC, axial DOC, flank wear	Cutting forces	GD	10-3-3	Sigmoid	MAE	120
Cus and Zuperl [92]	Cutting speed, feed, DOC	MRR				MAE	20
Aykut et al. [93]	Cutting speed, feed rate, DOC	Cutting forces	SCG	3-35-3	Tansig, Purelin	R <sup>2</sup> , PAE	34
Ghosh et al. [94]	Cutting force, spindle vibration, spindle current, sound pressure level	Flank wear	GD	4-10-5-1	Logsig	MSE	10
Correa et al. [95]	Feed per tooth, resulting forces applied to all cutting planes, geometry curvature, material hardness, tool diameter, radial DOC, spindle speed	SR (smooth, ground, polished, mirror)	GD	7-11-4	Sigmoid	MAE, RMSE, RAE, RRSE	1262
Dave and Raval [96]	Speed, feed, DOC	Cutting forces		3-4-2	Sigmoid	MAPE	20
Zain et al. [97]	Cutting speed, feed rate, rake angle	SR	GD	3-1-12	Logsig	MSE	24



Table 3 (continued)

Author(s)	Input parameters	Response(s)	Learning algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Muñoz-Escalona and Maropoulos [98]	Cutting speed, feed per tooth, axial DOC, chip width, chip thickness	SR		5-3-1, 5-3-7-1, 5-6-1	Tansig, Logsig, Purelin	MSE	9
Palani and Natarajan [99]	Cutting speed, feed rate, DOC, average gray level, major peak frequency, principal component magnitude squared value	SR		6-10-1	Sigmoid	MAPE	27
Quintana et al. [100]	Spindle speed, radial DOC, tool radius, axial DOC, feed per tooth	SR	LM	5-20-1	Tansig, Purelin	MSE	175
Khorasani et al. [101]	Spindle speed, feed rate, DOC	Tool life	GD	3-3-2-1	Sigmoid, Tanh, Gaussian	RMSE	25
Brecher et al. [102]	Radial DOC, axial DOC, spindle speed, feed rate, feed per tooth, cutting speed, tooth passing frequency, cutting section, MRR, cutter radius, no. of teeth, wear, dry machining/MQL, data obtained from the kernel	SR	LM	14-3-1		MSE	5000
Parmar and Makwana [103]	Speed, feed, DOC	SR	GD	3-6-1	Tansig, Purelin	MSE	58
Quintana et al. [104]	Radial DOC, axial DOC, spindle speed, feed rate, feed per tooth, cutting speed, tooth passing frequency, cutting section	SR	LM	8-13-1		MSE	70

Table 3 (continued)

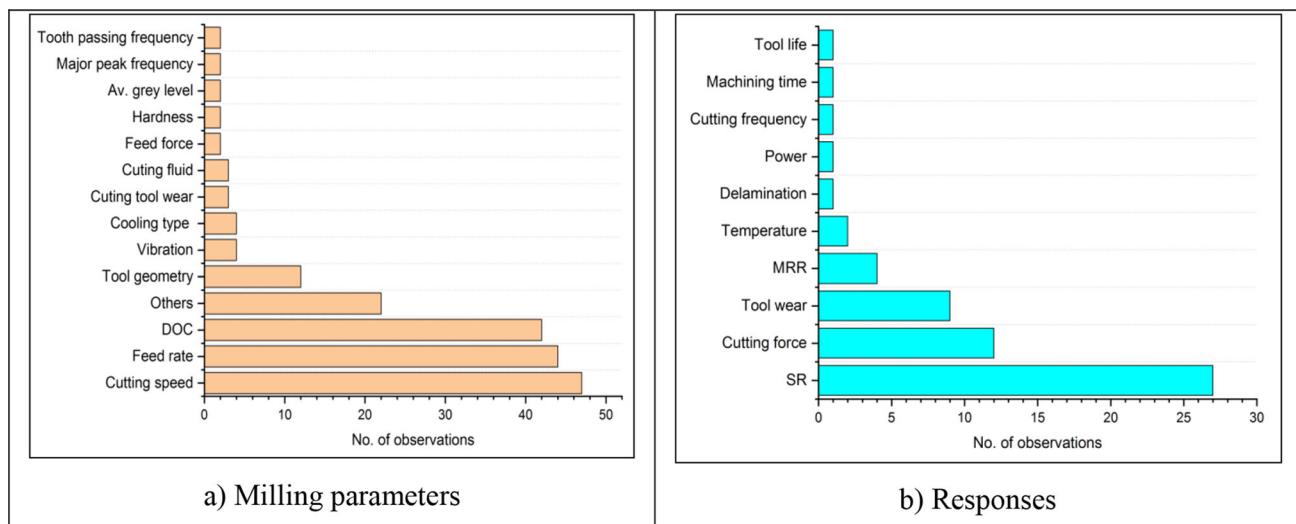
Author(s)	Input parameters	Response(s)	Learning algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Natarajan et al. [105]	Cutting speed, feed rate, DOC, average gray level, major peak frequency, principal component magnitude squared value	SR	GD	6-10-1	Sigmoid	MAPE	27
Mahdavinajad et al. [106]	Cutting speed, feed per tooth, DOC	SR	BR	3-5-1	Tansig	MSE	25
Theja et al. [107]	Speed, feed, DOC	MRR, tool wear	LM	3-8-4-1, 3-17-18-1	Tansig, Purelin	MSE	27
Sreenivasulu [108]	Cutting speed, feed rate, DOC	Delamination, SR		3-4-3-2-2		MSE	9
Iqbal [109]	Milling orientation, cooling type, hardness, helix angle, cutting speed, feed, DOC, length of cut	Flank wear, SR	LM	8-40-2	Tansig	MSE	116
Sehgal and Gupta [110]	Spindle speed, feed rate, DOC	SR	LM	3-9-1	Tansig, Purelin	MSE	20
Leite et al. [111]	Tool height, angle	SR	LM	2-16-8-1, 2-16-8-1, 2-24-12-6-1	Tansig, Purelin	MAE	8, 8, 5
Kant and Sangwan [112]	Cutting speed, feed per tooth, DOC, flank wear	SR	GD	4-9-1	Logsig, Tansig	$r$	30
Nascimento and Oliveira [113]	Axial DOC, feed per tooth, cutting speed	Cutting force	LM	3-10-10-1	Tanh	RMSE	8
Jenarthanan et al. [114]	Helix angle, spindle speed, feed, DOC	Machining force	LM	4-18-18-1	Tansig	MSE	42
Arnold et al. [115]	Material, cutting material, coating, tool diameter, no. of teeth, extension length, cutting speed, feed rate, cutting edge angle, DOC, entry angle, exit angle, cooling	Cutting force	LM	13-20-1		MSE	119

Table 3 (continued)

Author(s)	Input parameters	Response(s)	Learning algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Mondal et al. [116]	DOC, feed, spindle speed	SR	GD	3-5-1	Sigmoid	MSE	27
Khorasani and Yazdi [117]	Feed rate, spindle speed, DOC, material type, cutting fluid, vibrations in x and y directions	SR	GD	7-6-5-3-1		RMSE	192
Sahare et al. [118]	Spindle speed, feed, DOC	SR, MRR, cutting force	LM	3-11-1, 3-18-1, 3-17-1	Tansig, Purelin	MSE	27
Kothuru et al. [119]	Spindle speed, feed rate, DOC	Tool wear, hardness			ReLU, Softmax		
Yanis et al. [120]	Cutting speed, feed rate, axial DOC	SR	LM	3-12-1, 3-16-1	Tansig	MSE, MAPE	18
Sasindran et al. [121]	Cutting speed, feed, DOC	SR, cutting force	LM	3-6-2	Sigmoid	MSE	23
Savkovic et al. [122]	Cutting speed, feed, DOC	Cutting forces	LM	3-10-3	Sigmoid	MSE, $r$	17
Hesser and Markert [123]	Spindle speed, feed rate, DOC	Tool wear	GD	3-3-1		RMSE	
Kothuru et al. [124]	Spindle speed, chip load, axial DOC, radial DOC	Tool wear			ReLU, Softmax		81
Ong et al. [125]	Cutting speed, feed rate, DOC, machining time, descriptor of wear zone	Tool wear		5-9-1	Gaussian wavelet	RMSE	126
Lin et al. [126]	Spindle speed, feed rate, axial DOC, vibration	SR	SCG	4-15-1	Tanh	$r$ , RMSE, MAPE	12
Arafat et al. [127]	Feed rate, cutting speed, DOC	SR, power consumption	LM	3-25-2-2	Sigmoid, Purelin	MSE	27
Daniyan et al. [128]	Feed rate, spindle speed, cutting velocity	DOC, SR, temperature	LM	3-10-3	Sigmoid	MSE	41
Huang et al. [129]	Three-dimensional cutting force, vibration	Tool wear	DCNN		ReLU, Sigmoid	$r$ , MAPE, MAE, RMSE	27,000
Namli et al. [130]	Feed, cutting speed, DOC, coolant conditions, ultrasonic vibration	Cutting force	LM	5-50-50-50-1	Sigmoid	$R^2$ , MAPE, MSE	100
Dijmărescu et al. [131]	Axial DOC, feed per tooth, cutting speed	SR	GD	3-51-16-1	Sigmoid	$R^2$	15

**Table 3** (continued)

Author(s)	Input parameters	Response(s)	Learning algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Daniyan et al. [132]	Feed per tooth, feed rate, maximum chip thickness, cutting speed	Temperature, DOC, cutting force, cutting frequency	LM	4-10-4		MSE	15
Xu et al. [133]	Cutting speed, feed rate, DOC	SR	GD	3-8-1	Sigmoid	MAPE, MSE	26
Sener et al. [134]	Cutting speed, DOC	Chatter			ReLU, Softmax		25
Rajyalakshmi and Rao [135]	Cutting speed, feed rate, DOC	SR, MRR	LM	3-6-2		MSE	15
Rodrigues et al. [136]	Workpiece material, no. of elements in each group, volume of removed material, machined surface area	Machining time		4-8-8-1	Tansig, Purelin	ME, MAE, MSE, PAE	750



**Fig. 3** Input parameters and responses considered for ANN modeling of milling operations

## 5 Analysis of the obtained results from the literature

Acknowledging application of ANN models to different machining processes as a challenging task requiring a broad range of domain knowledge, this paper critically and systematically reviews a considerable number of research articles available in some of the most popular scholarly databases for more than last 20 years focusing on ANN-based modeling and response prediction of turning, milling and drilling processes. The extract of this review has already been provided in Tables 2, 3 and 4, with respect to input parameters, responses, types of the learning algorithm and activation function, network architecture, statistical measure and training datasets considered. It is noticed from Fig. 5a that turning (42.07%) occupies the maximum share of ANN applications, followed by milling (34.48%) and drilling (23.45%) processes. In statistics, analysis of variance helps in identifying the most significant input parameters affecting the responses, which may not be the same for all the responses. Since, during machining operations, each of the input parameters is vital, there is a need to formulate an ANN-like model which can predict the corresponding responses based on varying combinations of the input parameters. This literature review reveals that cutting velocity, feed rate and DOC have been the most predominant parameters for both the turning and milling operations; whereas, spindle speed, feed rate and drill diameter have been maximally preferred during ANN modeling of drilling operations. It is also interestingly unveiled that SR and cutting (thrust) force have been the two main responses representing the performance of turning, milling and drilling operations. In some cases, the researchers have grabbed images of the machined surface and captured vibration/sound signals for online surface texture analysis and tool

wear monitoring with the help of CNN, RNN and DCNN models.

Selection of appropriate training algorithm and activation function plays crucial role in achieving the desired prediction accuracy of the adopted ANN models with minimum computational effort. Figure 5b and c show the distributions of learning algorithm and activation function as considered by the past researchers with respect to ANN modeling of turning, milling and drilling operations. The most popular learning algorithm has been LM (58.3%), followed by GD (34.6%). The wide application of LM as an effective learning algorithm may be attributed to its robustness, faster convergence speed and ability to deal with ill-structured data. On the other hand, Sigmoid (31.6%) and Tansig (27.8%) have appeared to be the most favored activation functions. Both of them are nonlinear activation functions, capable of providing output values within specified ranges, with minimum chances of the activations being blown up. To evaluate accuracy of the adopted ANN models, the past researchers have employed different statistical metrics, as shown in Fig. 5d, which basically measure the goodness of fit, deviations between the actual and predicted responses, and correlation between them. It is unveiled from Fig. 5d that MSE (47.2%) and  $R^2$  (11.8%) have been the two most popular measures considered by the researchers. The MSE measures the mean of the squared deviations between the actual and predicted values. Its smaller value is always preferred, and it ensures that the trained ANN model has no outlier predictions with large errors since it puts higher weight on those errors due to squaring part of its equation. On the other hand, a higher  $R^2$  value (varies between 0 and 1) explains how excellently the non-linear relationship between the machining parameters and responses has been extracted.

**Table 4** Applications of ANNs in drilling

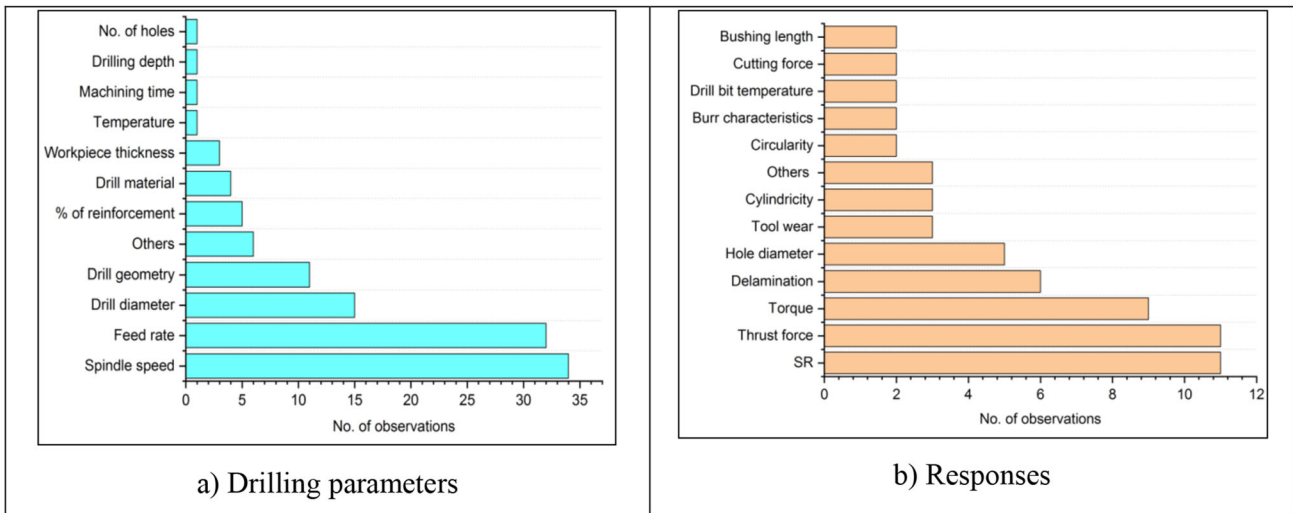
Author(s)	Input parameters	Response(s)	Training algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Karnik et al. [138]	Spindle speed, feed rate, point angle	Delamination		3-12-1	Sigmoid	MSE, PAE	30
Rajmohan and Palanikumar [139]	Spindle speed, feed rate, wt% of SiC	SR	GD	3-4-1	Sigmoid	$R^2$	19
Mayyas et al. [140]	Cutting speed, feed rate, % volume of Al <sub>2</sub> O <sub>3</sub> , % volume of graphene	Thrust force, torque	LM	4-10-2	Sigmoid	MSE	57
Gaitonde and Karnik [141]	Feed, drill diameter, point angle	Burr height, burr thickness	GD	3-13-2		MSE, PAE	27
Neto et al. [142]	Spindle speed, feed velocity, cutting velocity, feed	Hole diameter	LM	4-5-2	Tansig, Purelin	$R^2$ , MAE	45
Vijayaraghavan et al. [143]	Speed, feed rate, temperature	Mechanical strength, drilling time	LM	3-5-2	Sigmoid	$R^2$ , MAE	45
Sanjay and Prithvi [144]	Cutting speed, feed, machining time, thrust force	SR	GD	4-20-1	Sigmoid	MSE	8
Kannan et al. [145]	Spindle speed, feed rate	SR, ovality		2-5-2	Sigmoid	MAE	14
Balaji et al. [146]	Cutting speed, feed rate, drill diameter	Thrust force, delamination, torque	GD	3-4-5-1, 2-3-4-1, 3-4-5-1	Tansig	$R^2$ , MAE	19
Corne et al. [147]	Spindle speed, feed rate	Tool wear	LM	2-5-1	Sigmoid	$R^2$ , MSE	26
Behera et al. [148]	Material thickness, drill diameter, spindle speed, feed rate	Delamination, SR	GD	4-13-13-3	Tansig, Logsig	$r$	72
Dhawan et al. [149]	Material, drill point geometry, drill diameter, feed rate, spindle speed	Thrust force, torque		5-36-1, 5-35-1	Sigmoid	MSE, MPE	130
Çakiroğlu et al. [150]	Cutting speed, feed rate	Drill bit temperature, cutting force	LM	2-5-2, 2-2-4-3-2	Sigmoid	RMSE, MAPE, $R^2$	9
Hynes et al. [151]	Spindle speed, point angle, workpiece thickness	Bushing length	LM	3-10-1	Tansig	MAE	19

**Table 4** (continued)

Author(s)	Input parameters	Response(s)	Training algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Rao and Rodrigues [152]	Speed, feed, drill diameter	Flank wear	GD	3-5-1	Tansig	MSE, $R^2$	60
Kaviarasan et al. [153]	Spindle speed, feed rate, point angle	SR	GD	3-8-8-1		MSE	17
Abbassi et al. [154]	Spindle speed, feed speed, drill diameter, drill bit height, number of pecking cycles, drilling depth	Circularity, cylindricity	LM	6-12-2	Sigmoid	MSE, $r$	12
Murthy and Vijay [155]	Tool speed, feed, drill diameter, point angle, workpiece thickness	Thrust force	LM	5-9-1		MSE	170
Efkolidis et al. [156]	Cutting velocity, drill diameter, feed rate	Thrust force, torque	LM	3-6-1	Tanh	MSE, MPE, $r$	11
Belaadi et al. [157]	Drill diameter, spindle speed, feed rate	Delamination		3-10-1	Tanh	$R^2$	19
Tabacaru [158]	Spindle speed, feed rate, drill diameter, hardness	SR	GD	4-7-7-1	Sigmoid	RE, MSE, RMSE	18
Krivokapić et al. [159]	Drill diameter, speed, feed, installation angle, torque	SR	GD	5-15-10-1	Sigmoid, Purelin	MPE	36
Efkolidis et al. [160]	Cutting speed, feed rate, drill diameter	Thrust force, cutting torque	SCG, LM	3-8-1		MSE, $r$	18
Alajmi and Almeshal [161]	Spindle speed, drill diameter, feed rate	Thrust force, torque, flank wear	LM	3-6-3		MAE, $R^2$ , RMSE	49
Zoghipour et al. [162]	% of Cu, helix angle, radial rake angle, rotational speed, feed rate	Cutting force, SR, dimensional accuracy error	LM	5-8-2-3	Sigmoid	MSE	152
Singh et al. [163]	Drill diameter, spindle speed, feed rate	Thrust force, torque	GD	3-11-9-20-1, 3-52-1	Sigmoid	$R^2$	17
Alenzi and Mohammed [164]	Spindle speed, conical angle	Hole diameter, bushing height, bushing thickness	GD	2-7-3	Tanh	MAE	11

**Table 4** (continued)

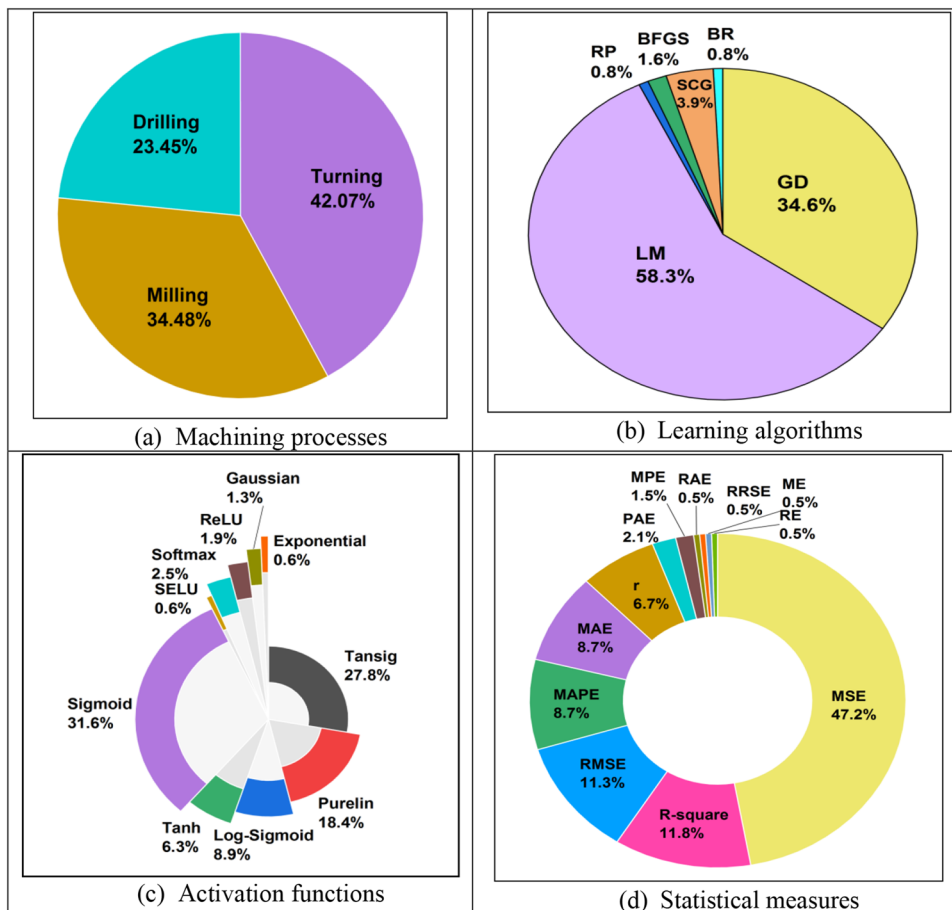
Author(s)	Input parameters	Response(s)	Training algorithm	Architecture	Activation function	Statistical metric(s)	Training data
Dedeakayoğullari et al. [165]	Drill type, feed rate, cutting speed	SR	LM	3-3-1	Sigmoid	MSE	23
Kharwar et al. [166]	% of reinforcement, spindle speed, feed rate, tool material	SR, thrust force, torque	LM	4-12-1		MSE	27
Abdelkawy [167]	Tool concentration, spindle speed, feed rate	Mean thrust force, Max. thrust force, SR	LM	3-10-1	Sigmoid, Purelin	MSE, $R^2$	28
Abd-Elwahed [168]	Feed rate, spindle speed, workpiece thickness	Torque, delamination	LM	3-6-1	Sigmoid, Purelin	MSE, $r$	10
Kolesnyk et al. [169]	Number of holes, cutting speed, feed rate, time delay, hole depth measuring point	Temperature, diameter, circularity	GD	5-10-3	Tanh, Exponential	MSE, $r$	9
Ramalingam et al. [170]	Spindle speed, feed rate, point angle	Thrust force, torque, exit delamination, hole diameter, cylindricity, SR	GD	3-45-15-10-6	Tanh	MSE	21
Belaadi et al. [171]	Feed rate, spindle speed, drill diameter	Delamination	LM	3-4-1	Tansig	MSE, $R^2$	19



**Fig. 4** Input parameters and responses considered for ANN modeling of drilling operations



**Fig. 5** Machining processes, learning algorithms, activation functions and statistical measures considered by the past researchers



Determination of the optimal architecture of an ANN is a challenging task for achieving better prediction accuracy during machining operations. A typical ANN contains one input layer having number of nodes equal to the number of machining parameters, one or more hidden layers and one output layer with number of nodes equal to the number of responses to be predicted. It is noticed that to estimate the optimal number of nodes in the hidden layer(s), the past researchers have mainly relied on trial and error method. The architecture with a given number of nodes in the hidden layer(s) providing minimum MSE value has been considered as the best choice. It thus indicates that some skills are required to select the optimal architecture of an ANN for faster training and better accuracy. Selection of appropriate training and testing data has significant impact on the performance of ANN models. The past researchers have conducted machining operations using different design of experiments (DOE) or Taguchi’s orthogonal arrays. From the experimental dataset, 70% have been utilized for training of the ANN models, and the remaining has been used for validation and prediction purposes. Those experimental data may often contain noise and outliers which may adversely affect accuracy of the ANNs. Use of scatter plots, histograms, box plots or

various statistical tests can identify outliers or noisy samples, thereby ensuring proper training of the ANN models. In some cases, the experimental data has been simulated to provide larger datasets while keeping the response values within their achieved minimum and maximum observations.

### 5.1 Selection of the optimal ANN architecture

While training an ANN for modeling of any of the machining processes and prediction of the corresponding responses, there are a number of hyperparameters to choose, including the number of hidden layers, number of nodes in each of the hidden layers, type of the learning algorithm and activation function, learning rate etc. Determining the optimal intermix of those hyperparameters is thus a challenging task. Therefore, a question always arises to the process engineers and ANN developers that how can the optimal architecture of an ANN can be achieved. An ANN architecture is simply defined by the number of input nodes, number of hidden layers along with the number of nodes in each layer, and number of output nodes. Selection of the optimal number of hidden layers and nodes helps remove them from the hyperparameter optimization search space, resulting in less hyperparameters

to be optimized. For modeling any of the machining processes, the number of nodes in the input layer should be equal to number of input variables considered, whereas, the number of output nodes would correspond to the number of responses to be predicted. As there is no generic way to determine a priori the optimal number of hidden layers for a given ANN, trial and error method is still a viable option for the said purpose (optimal number of hidden layers would provide the minimum MSE value). In an ANN, if the optimal number of hidden layers/nodes is used, better prediction accuracy can be achieved with less time complexity. On the other hand, if the number of hidden layers is increased, suitable accuracy can be obtained up to great extent, but the ANN architecture would become more complex. Selection of an appropriate learning algorithm would depend on several factors, like interpretability, volume of training data and its format, data linearity, training and prediction time, and memory requirements. The considered activation function must be monotonic, differentiable and quickly converging with respect to weights for the optimization purpose. In machine learning, different optimization techniques, like stochastic gradient descent, Adam, RMSprop etc. are employed to adjust the ANN's parameters during training to minimize the corresponding loss function. They enable ANNs to learn from the training data by iteratively updating weights and biases.

Thus, while choosing the optimal ANN architecture, the following factors need to be considered, i.e. (a) type of the data (like structured data, image data, sequential data etc.), (b) complexity of the task (binary classification, image or speech recognition, natural language processing etc.), (c) availability of the labeled data (data with specific information, such as categories or labels), (d) volume of training data (a complex ANN trained using a small dataset may often lead to overfitting, where the model fits too closely to the training data, showing poor performance on new, unseen data), (e) requirement for transfer learning (it can significantly reduce volume of training data as well as time complexity while improving overall prediction accuracy), (f) evaluating the importance of sequential data (using CNNs or RNNs), (g) consideration of the importance of layers (mainly number of hidden layers and nodes in each layer, while making a trade-off between performance and complexity), (h) existence of benchmark models, and (i) selection of the appropriate statistical metrics for evaluating the ANN's prediction performance.

## 5.2 Critical analysis of the literature

Keeping in mind the potentiality of ANNs in effectively exploring the nonlinear relationships between the input and output parameters, and predicting the response values, the past researchers have successfully deployed them in many

of the conventional machining processes (turning, milling and drilling). For the said purpose, they have mainly relied on real-time experimental datasets which are occasionally smaller in dimension, leading to overfitting of the developed models and poorer prediction performance. Although there are several learning algorithms, activation functions and statistical metrics, in most of the cases, those have been arbitrarily chosen without any valid justification. It is also noticed that due to availability of structured experimental datasets, the earlier researchers have maximally preferred to focus on the application of only FFNNs, although CNNs and DCNNs, developed based on vibration or acoustic signals, may result in better prediction of surface texture and tool wear. Use of simulated data [172], development of dimension-reduced ANNs [173], accessibility to advanced computational resources, integration of ANNs with meta-heuristics [174–176] and seeking expert's opinions for selection of appropriate learning algorithm, activation function and network architecture may fruitfully overcome the limitations and challenges encountered by the earlier researchers.

## 6 Conclusions and future scopes

In this paper, a systematic literature review of a considerable number of research articles published in the top-peer reviewed journals (written in English and publication status 'Final') available in some of the popular scholarly databases is conducted on ANN applications in three of the major machining processes (turning, milling and drilling). It is noticed that among those machining operations, ANNs have found maximum application in turning operations for their modeling and optimization. The researchers have mainly preferred to model those processes using FFNNs due to ready availability of structured experimental data and their ability to provide higher prediction accuracy than the traditional statistical approaches. In few cases, CNNs and DCNNs have also been adopted for on-line surface texture and tool wear monitoring. While modeling the considered machining operations using ANNs, cutting speed, feed rate and DOC have been treated as the most representative input parameters for turning and milling; and spindle speed, feed rate and drill diameter for drilling. With respect to output parameters, most of the researchers have concentrated on prediction of SR, followed by cutting force and tool wear. Prediction of SR is important for having better surface integrity of the machined components to minimize frictional and energy losses; while achieving minimum values of cutting force and tool wear would help in attaining economical and sustainable machining environment. As there is no strong mathematical foundation for deriving the optimal ANN architecture, the researchers have relied on trial and error method for the said purpose. It is unveiled that LM, Sigmoid and MSE have

mostly been employed as the learning algorithm, activation function and statistical measure, respectively. In the reviewed articles on ANN applications in machining processes, there is almost no mention about any specific optimization algorithm considered to adjust the ANN's parameters during training to minimize the corresponding loss function. Very few authors have acknowledged application of Adam algorithm for this purpose. For training and testing of ANNs, the databases have mainly been generated recording real-time experimental observations based on DOEs and Taguchi's orthogonal arrays, and a 70–15–15% rule has been followed for training, validation and testing of the ANNs. It is also noticed that most of the authors have considered an MSE value of 0.0001 and 5000 epochs during ANN training. Thus, it is concluded that any of the machining processes can be effectively modeled with the help of suitably developed ANNs and the important responses can be predicted for varying combinations of input parameters without conducting real-time experiments, thereby saving machining time and cost. Therefore, the machining processes under consideration can be optimized with respect to higher productivity and process economy, better product quality, reduced tool wear and energy consumption, resulting in sustainable and green machining environment.

This review paper also proposes multiple future research directions. It is highly recommended to adopt CNNs and DCNNs for surface texture or online tool wear monitoring through analysis of the captured images of the machined components or vibration/sound signals during real-time machining operations. For this purpose, suitable adaptive neural controller supported by ANNs may be developed. It would effectively lead to cost and time savings, enhanced product quality and waste reduction. Instead of black-box models, like ANNs, use of decision tree or fuzzy logic is encouraged to understand the inherent relations between the machining parameters and responses. Instead of traditional techniques, use of gene expression programming is highly desired for empirical modeling of the machining processes. It does not require any assumption with respect to model structure, automatically evolving the optimal model structure and related parameters. To overcome the problems of slow convergence speed and overfitting of training data, the ANN architecture may be optimized with the help of different metaheuristic algorithms. Adaptive neuro-fuzzy inference system, based on Takagi–Sugeno fuzzy system may be used for faster data extraction and process behavior realization. The developed ANNs should be reusable based on uniformly distributed training and testing datasets. Performance of the ANNs can be enriched through establishment of standardized databases and data-sharing platforms. Moreover, to deal with small volume of training data, transfer learning and data augmentation techniques may be utilized. Future works may

be more deeply directed towards application of neurocomputing concepts, network optimization, validation of results based on firmer statistical techniques and finally, visualization of the derived results. This literature review may also be extended to include ANN applications in other machining/joining processes, like casting, grinding, welding, and many of the non-traditional material removal processes.

Due to paucity of space, this review paper has some limitations, like relying on only three scholarly databases for availing the published research articles, consideration of articles in only top-peer reviewed journals and written in English, taking into account only three major machining processes, not depicting the achieved values of the predicted responses and corresponding statistical measures etc.

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