

Are TKAs Performed in High-volume Hospitals Less Likely to Undergo Revision Than TKAs Performed in Low-volume Hospitals?

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Abstract

Background High-volume hospitals have achieved better outcomes for THAs and unicompartmental knee arthroplasties (UKAs). However, few studies have analyzed implant survival after primary TKA in high-volume centers.

Questions/Purposes Is the risk of revision surgery higher when receiving a TKA in a low-volume hospital than in a high-volume hospital?

Methods Using nationwide billing data of the largest German healthcare insurer for inpatient hospital treatment,

we identified 45,165 TKAs in 44,465 patients insured by Allgemeine Ortskrankenkasse who had undergone knee replacement surgery between January 2012 and December 2012. Revision rates were calculated at 1 and 2 years in all knees. The hospital volume was calculated using volume quintiles of the number of all knee arthroplasties performed in each center. We used multiple logistic regression to model the odds of revision surgery as a function of hospital volume. Age, sex, 31 comorbidities, and variables for socioeconomic status were included as independent variables in the model.

Results After controlling for socioeconomic factors, patient age, sex, and comorbidities, we found that having surgery in a high-volume hospital was associated with a decreased risk of having revision TKA within 2 years of the index procedure. The odds ratio for the 2-year revision was 1.6 (95% CI, 1.4–2.0; $p < 0.001$) for an annual hospital volume of 56 or fewer cases, 1.5 (95% CI, 1.3–1.7; $p < 0.001$) for 57 to 93 cases, 1.2 (95% CI, 1.0–1.3; $p = 0.039$) for 94 to 144 cases, and 1.1 (95% CI, 0.9–1.2; $p = 0.319$)

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for 145 to 251 cases compared with a hospital volume of 252 or more cases.

Conclusions We found a clear association of higher risk for revision surgery when undergoing a TKA in a hospital where less than 145 arthroplasties per year were performed. The study results could help practitioners to guide potential patients in hospitals that perform more TKAs to reduce the overall revision and complication rates. Furthermore, this study underscores the importance of a minimum hospital threshold of arthroplasty cases per year to get permission to perform an arthroplasty.

Level of Evidence Level III, therapeutic study.

Introduction

The number of TKAs will increase dramatically during the next decade [13, 15]. To improve patient outcomes, it is imperative to identify the factors that influence surgical results. In this context, hospital volume has been proposed as one of the best indicators of patient outcomes in hip replacement surgery [26]. This also has been reported for unicompartmental knee arthroplasties (UKAs) with low-volume hospitals being unambiguously associated with a higher risk for revision surgery [2, 3, 9].

Some studies have analyzed the influence of length of stay, readmission, and mortality rates at 30 or 90 days after TKA [11, 14, 16, 17, 19, 20, 23, 25, 27, 28]. The effect of hospital volume on revision rates after TKA also has been reported [4, 6, 8, 17, 18, 24]. Most of the prior studies have had results based on Medicare or other nationwide inpatient samples. Despite the large number of patients included in these studies, the data lack detailed information regarding clinically important characteristics.

In the current investigation, we used the German insurance claims database to address these shortcomings and to evaluate the following research question: Are TKAs performed in high-volume hospitals less likely to undergo revision than TKAs performed in low-volume hospitals? The main advantages of the German insurance database is the completeness of the data and there is no bias from the exclusion of certain patient groups. Furthermore, patient factors that could influence the risk of revision are included.

Materials and Methods

Study Sample and Data Collection

Data were collected from the German healthcare insurance Allgemeine Ortskrankenkasse (AOK). The AOK provides

nationwide healthcare insurance for approximately 30% of the German population and is the largest provider of statutory healthcare insurance in Germany. Everyone is allowed to enroll in the AOK regardless of factors such as age, preexisting comorbidities, income, or type of employment. The data were derived from billing data for inpatient hospital treatment. They comprise a unique identification number, age, sex, side, main diagnosis and comorbidities, procedures, length of stay, patient survival, and insurance status. Diagnoses were coded according to the 10th revision of the International Classification of Diseases (ICD-10). Procedures were documented using the German version of the International Classification of Procedures in Medicine, the OPS. Data from the federal institute for urban construction and space exploration were used to estimate household income and educational levels based on the patient's residential zip code.

All AOK-insured patients older than 20 years who had undergone a TKA (OPS "5-822.1X/2X/3X/4X, 5-822.aX/bX, 5-822.dX/eX") between January 2012 and December 2012 for diagnoses of arthritis (M17), osteonecrosis (M87), or rheumatoid arthritis of the knee (M05-M08) were identified and initially included in the study. Replacement surgeries in both knees during the study period were counted as two separate TKAs. Patients were excluded from the study if they met any of the following criteria: had a diagnosis of posttraumatic arthritis of the knee (M17.2, M17.3); had any surgery of the knee 2 years before knee replacement surgery; or had a diagnosis of tumor diseases, osteoporosis, or bone cysts.

A total of 45,165 TKAs treated in 966 hospitals were included in the final analysis (Table 1). Sixty-eight percent of the patients were women (30,698 of 45,165 patients). Seventy-two percent were between 60 and 79 years old (32,564 of 45,165 patients), while only 2% were younger than 50 years (906 of 45,165 patients) (Table 2). The most common diagnosis for knee replacement surgery was osteoarthritis with 100% (45,042 of 45,165 patients). Hypertension, obesity, and diabetes mellitus were the most common concomitant diseases (Table 3). The median unemployment rate was 7% (SD, \pm 3) and the median percentage of people with an academic status was 12% (SD, \pm 4).

Healthcare providers and healthcare insurances jointly issue binding guidelines for coding of diagnoses and procedures in hospital claims. Hospital claims data in Germany are thoroughly checked against these guidelines and for plausibility by the Medical Review Board of the Statutory Health Insurance Funds and are returned to hospitals for correction if necessary. Corrections are included in the claims data used in this analysis.

Table 1. Detailed information regarding hospital treatment frequencies in 2012

Hospital treatment	Total	Volume quintile				
		1	2	3	4	5
Number of TKAs per hospital* (minimum–maximum)	10–1,648	10–56	57–93	94–144	145–251	252–1,648
Number of TKAs per hospital* (median; IQR)	113 (66–218)	40 (24–49)	75 (66–84)	115 (102–130)	190 (163–218)	404 (319–547)
Number of included hospitals (%)	966 (100)	197 (20)	194 (20)	192 (20)	190 (20)	193 (20)
Number of recruited AOK-patients (%)	45,165 (100)	2,689 (6)	4,513 (10)	7,442 (17)	10,344 (23)	20,177 (45)

*All insured patients, data of external stationary quality assurance (AQUA 2012), IQR = interquartile range; AOK = Allgemeine Ortskrankenkasse.

Table 2. Age and sex distribution of patients with AOK versus those from the stationary quality assurance data*

Variable	AOK patients 2012		Germany 2012*	
	Number	%	Number	%
Number	45,165	100	133,777	100
Age				
< 50 years	906	2	3422	3
50–59 years	5708	13	17,979	13
60–69 years	11,777	26	37,355	28
70–79 years	20,787	46	58,628	44
80–89 years	5804	13	16,047	12
≥ 90 years	105	0	346	0
Sex				
Female	30,698	68	87,162	65
Number of hospitals	966		1033	

*Data of external stationary quality assurance (AQUA 2012).

Study Outcomes

The primary outcome measure was revision surgery within 2 years after primary implantation. Revision rates were calculated at 1 and 2 years in all knees. A total of 1596 (3.5%) patients who had TKAs were lost to followup at the latest followup, while 1199 of the 1596 patients are deceased. Revision surgery was defined as removal or exchange of at least one implant component on the surgically treated knee. Secondary patella resurfacing and polyethylene changes also were counted as failures. Any soft tissue disorder requiring a revision surgery with open or arthroscopic débridement and/or irrigation also was noted as failure 31 days after the index surgery.

Revision after knee replacement surgery was modeled as a function of hospital volume. The hospital volume was calculated using volume quintiles of the number of all knee arthroplasties performed in each center. The data were taken from the stationary quality assurance data from 2012 [1].

On this basis, hospitals were classified in five groups: 1st quintile: 10 to 56 cases per year; 2nd quintile: 57 to 93

Table 3. Overview of indication and concomitant diseases

	Number	%
Variable	45,165	100
Diagnosis		
Gonarthrosis	45,042	100
Osteonecrosis	82	0
Rheumatoid arthritis	41	0
Concomitant diseases (sorted by frequency)*		
Hypertension	31,508	67
Obesity	11,130	25
Diabetes mellitus	9946	22
Cardiac arrhythmia	4832	11
Fluid and electrolyte disorders	4586	10
Chronic pulmonary disease	3529	8
Congestive heart failure	3251	7
Renal failure	3133	7
Depression	2219	5
Rheumatic disease	1201	3
Peripheral vascular disorders	1011	2
Valvular disease	1010	2
Neurologic disorders	901	2

*Double entries possible; sorted by descending frequency; other analyzed comorbidities with frequency < 2% are not shown (pulmonary circulation disorders, liver disease, coagulopathy, blood loss anemia, deficiency anemia, hypothyroidism, peptic ulcer disease excluding bleeding, weight loss, paralysis, alcohol abuse, drug abuse, psychoses, AIDS/HIV).

cases per year; 3rd quintile: 93 to 144 cases per year, 4th quintile: 145 to 251 cases per year; and 5th quintile: 252 to 1648 cases per year (Table 1).

According to our classification method, 20,177 cases (45%) were treated in high-volume hospitals (> 252 cases per year), while 7202 cases (16%) were treated in low-volume hospitals (< 93 cases per year) (Table 1). The German claims database does not provide information regarding surgeon volume. Therefore, the effect of surgeon volume on surgical outcomes was not included in the final analysis.

Table 4. Revision rates after primary implantation for the different hospital volumes

Indicator	Analyzed cases* Number	Total %	Volume quintile				
			1 %	2 %	3 %	4 %	5 %
Revision within 1 year	44,238	3.7	5.2	4.3	3.8	3.5	3.3
Revision within 2 years	43,569	5.5	7.5	6.7	5.8	5.2	4.9

*Censoring considered.

Table 5. Results of multivariable logistic regression analysis for independent risk factors for revision within 2 years after TKA

Variable	Logistic regression analysis*	
	Adjusted odds ratio (95% CI)	p Value
Female sex	0.9 (0.8–1.0)	0.011
Age (years)	0.97 (0.97–0.98)	< 0.001
Comorbidities		
Obesity with BMI \geq 40 kg/m ²	1.4 (1.1–1.8)	0.005
Fluid and electrolyte disorders	1.2 (1.0–1.4)	0.015
Chronic pulmonary disease	1.2 (1.0–1.4)	0.031
Congestive heart failure	1.3 (1.1–1.5)	0.005
Depression	1.4 (1.2–1.7)	< 0.001
Peripheral vascular disorders	1.6 (1.2–2.1)	0.002
Neurologic disorders	1.6 (1.2–2.1)	0.002
Alcohol abuse	2.2 (1.2–4.1)	0.013
Annual hospital volume		
1 (10–56 cases)	1.6 (1.4–2.0)	< 0.001
2 (57–93 cases)	1.5 (1.3–1.7)	< 0.001
3 (94–144 cases)	1.2 (1.0–1.3)	0.039
4 (145–251 cases)	1.1 (0.9–1.2)	0.319
5 (252–1648 cases)	Reference	

*Logistic regression model adjusted for patient age, sex, primary diagnosis (gonarthrosis versus other), comorbidity, variables for socioeconomic status (eg, unemployment rate, academic status, household income), and hospital volume; only significant results are shown.

Statistical Analysis

We used multiple logistic regression to model the odds of revision surgery as a function of hospital volume. Age, sex, primary diagnosis (osteoarthritis versus other), variables for socioeconomic status (eg, unemployment rate, academic status, household income) and comorbidities were included as independent variables in the model. Comorbidities were defined using the Elixhauser measure developed in 1998 to predict mortality from administrative data [7]. The definition includes 31 acute and chronic comorbidities. Comorbidities were identified using the

coding algorithm by Quan et al. [22] based on the ICD-10 coding (for example, diabetes [E10–E14], depression [F20.4, F31.3–F31.5, F32.X, F33.X, F34.1, F41.2, F43.2], and obesity [BMI \geq 30 kg/m², E66.X]). Adjusted odds ratios (OR) and 95% CI were calculated for each variable included in the model. Descriptive statistics are presented for all other variables. All analyses were performed using STATA™ 11.2 (StataCorp LP, College Station, TX, USA).

Results

After controlling for socioeconomic factors, patients age, sex, and comorbidities, we found that having surgery in a high-volume hospital was associated with a decreased risk of having revision TKA within 2 years of the index procedure. In summary, a total of 3.7% of primary TKAs were revised within 1 year (1667 of 44,238 TKAs) and 5.5% were revised within 2 years (2,389 of 43,569 TKAs) (Table 4). The odds ratio for the 2-year revision was 1.6 (95% CI, 1.4–2.0; $p < 0.001$) for an annual hospital volume of 56 or fewer cases, 1.5 (95% CI, 1.3–1.7; $p < 0.001$) for 57 to 93 cases, 1.2 (95% CI, 1.0–1.3; $p = 0.039$) for 94 to 144 cases, and 1.1 (95% CI, 0.9–1.2; $p = 0.319$) for 145 to 251 cases compared with a hospital volume of 252 or more cases (Table 5). Thus, the risk of revision surgery after primary implantation was higher in hospitals that performed fewer than 145 TKAs per year

Discussion

The effect of hospital volume on revision rates after TKA has been reported in prior studies [4, 6, 8, 17, 18, 24]. The main drawbacks of previous studies are that they have been based on Medicare or nationwide inpatient samples and that they lack detailed information regarding clinically important characteristics. In our study, we were able to show that having a TKA in a high-volume hospital was associated with a decreased risk of having revision TKA within 2 years of the index surgery, even after controlling for clinical factors and for socioeconomic characteristics. The risk of revision surgery was higher in hospitals where less than 145 cases were performed per year.

The current study has some limitations. First, soft tissue disorders were noted only 31 days after index surgery. Second, we were not able to include the surgeon level as a confounding variable, which also might influence the failure rate. Third, the German insurance database does not provide cause of failure information. Fourth, owing to all small and large hospitals being grouped together, substantial amounts of local or granular data might be lost.

Finally, although our study is based on nationwide data of the largest healthcare insurance provider in Germany, there may be variations in terms of age, sex, social status, and morbidity between patients insured by different German healthcare providers.

In 1998, Norton et al. [19] determined that hospital volume affected the in-hospital complication rate after knee replacement surgery. Interestingly, in their study, hospitals were defined as high-volume hospitals when more than 80 arthroplasties were performed per year. No uniform criteria are available to define high- and low-volume hospitals. However, hospitals that perform less than 100 arthroplasties per year have been defined as low-volume hospitals [16]. Laucis et al. [16] recently offered new definitions of high-volume and very high-volume centers, given that the annual number of total joint arthroplasties has dramatically increased in recent years. Their definition of low-volume hospitals (< 100 cases per year) remained unchanged compared with definitions in previous reports. As expected, low-volume hospitals had the highest complication rate in their study [16]. In contrast, Pamilo et al. [20] estimated that smaller hospital volume was not a clear factor for revision surgery based on nationwide registry data of 59,696 knee replacements. Similar findings have been reported by others [5, 23]. Low-volume hospitals have been unambiguously associated with higher risk for revision surgery in UKAs [2, 3]. In England and Canada, some studies have shown hospital volume to be predictive of revision after TKA [10, 12, 21]. Manley et al. [17] reported on the positive effect of hospital volume on survival rate in a United States Medicare population; however, two limitations of their study must be noted. First, the definition of a low-volume hospital (≤ 25 arthroplasties per year) was quite different compared with the definition in other studies. Second, the Medicare population does not include patients younger than 65 years.

The current study is the first to estimate the effect of hospital volume on revision rates in knee replacement surgery in Germany. We used data from a nationwide German insurance database of 45,165 TKAs and controlled for socioeconomic factors, patients age, sex, and comorbidities. In addition to the hospital volume, the following patient-related characteristics were identified as independent risk factors for TKA revision within 2 years: lower age, male sex, obesity ($\text{BMI} \geq 40 \text{ kg/m}^2$), fluid and electrolyte disorders, chronic pulmonary disease, congestive heart failure, peripheral vascular disease, depression, neurologic disorders, and alcohol abuse.

Dy et al. [6] found younger age, male sex, depression, and chronic pulmonary disease as independent risk factors for TKA revision. While BMI was not a risk factor for revision surgery in their study, we did find that obesity with a BMI of 40 kg/m^2 or greater increased the risk of revision

by 40%. In contrast to our study, Dy et al. found the socioeconomic status to be an independent risk factor for revision surgery [6].

Based on the data analyzed, we found a clear association of higher risk for revision surgery when undergoing a TKA in a hospital performing less than 145 cases per year. Therefore, the study results could help practitioners to guide potential patients to hospitals with higher case numbers to reduce the overall revision and complication rate. In Germany, a minimum of 50 TKA cases per hospital per year are required to get permission to perform TKAs. We believe that this study underscores that the number of required TKA cases to perform a TKA should be a minimum of 145 cases per year. However, this needs to be balanced against issues of access to care in countries where geography and perhaps health-insurance concerns might preclude patients' abilities to travel to such centers. Furthermore, our study presents the patient-related risk factors that increase the risk for revision surgery. The management of those factors should be included in the routine protocol before performing a TKA.

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