CLINICAL RESEARCH

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# Must Bilaterality Be Considered In Statistical Analyses of Total Knee Arthroplasty?

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#### Abstract

*Background* In studies of TKA, treating each knee as an independent case in patients with bilateral TKAs can lead to errors, because patients with bilateral and unilateral TKAs may achieve different levels of function and because the assumption of statistical independence is violated.

*Questions/purposes* We determined whether (1) patients undergoing bilateral TKAs have different demographics and preoperative and postoperative function from those undergoing unilateral TKAs, and (2) means, SEs, and p values change substantially based on how the two cases of bilateral TKAs are treated in statistical analyses.

*Methods* We retrospectively compared 513 patients undergoing unilateral TKAs and 602 patients undergoing bilateral TKAs regarding demographics (age, gender,

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Department of Orthopaedic Surgery, Seoul National University College of Medicine, Seoul, Republic of Korea BMI), preoperative and 1-year postoperative function (motion arc, American Knee Society score, patellofemoral score, WOMAC<sup>TM</sup>, SF-36). Each case of a bilateral TKA was treated as independent in the reference analyses. Then changes of mean, SEs, and p values were compared with those of the reference method when three other methods of analysis were used: using mean values of both knees, randomly selecting one side, and using a generalized estimating equation.

*Results* Patients undergoing bilateral TKAs had higher female predominance (95% versus 90%) and BMI (27.4 versus 26.1 kg/m<sup>2</sup>) than those undergoing unilateral TKAs. Although patients undergoing bilateral TKAs had worse preoperative function, they achieved comparable function at 1 year. The mean values did not differ considerably depending on the four methods. The SEs and p values of the reference method were generally the smallest, but the differences appeared negligible.

*Conclusions* Our analyses suggest bilaterality can be ignored in the analysis of TKA, since postoperative function is comparable between unilateral and bilateral TKAs and the theoretical errors in statistical analysis had little effect on the results.

#### Introduction

TKA is a preferred treatment option for elderly patients with advanced knee osteoarthritis [1, 7, 9, 23], but modifications have been introduced to further improve current surgical techniques and implant designs [21, 56]. To determine whether a modification achieves its intended aims, it is crucial to evaluate the effects of the factor investigated while controlling for potentially confounding factors. Because osteoarthritis, the most common diagnosis

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This work was performed at the Joint Reconstruction Center, Seoul National University Bundang Hospital, Gyeonggi-do, Republic of Korea.

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in patients undergoing TKA, frequently involves both knees, 27% to 36% of TKAs are performed bilaterally [9, 47]. In the patients undergoing a unilateral TKA, the effect of the contralateral knee should be considered when evaluating the function since the contralateral nonoperated knee influences patient function [12]. In fact, osteoarthritis in the contralateral knee frequently progresses, eventually warranting a subsequent second TKA in 37% to 43% [36, 38, 46]. However, the function of each knee in a patient undergoing bilateral TKAs may be influenced by the replaced contralateral knee, rather than the osteoarthritic joint. Therefore, whether a patient has had one or both knees replaced can be a confounding factor when evaluating patient function after TKA, and it seems that attention needs to be paid to bilaterality if bilateral cases are included in the study subjects.

Whether one or both knees have been replaced was not considered in several studies reporting function following TKA and where bilateral TKAs typically were treated as separate cases in the statistical analyses [18, 19, 33, 47, 52]. This method of analysis inherently violates the principle of independent samples, a key assumption for parametric tests in statistical analyses [43, 59]. Park et al. [40] recently reported that approximately 79% of recent orthopaedic papers containing bilateral cases in one journal possibly had violated statistical independence assumption, including knee-related articles. Furthermore, if the demographic features and preoperative and postoperative function of patients undergoing bilateral TKAs differ from those of patients undergoing unilateral TKAs, the proportion of bilateral TKAs should be taken into consideration, and proper analytical tools should be used during the assessment of the effects of a new surgical technique or implant. However, the previous studies dealing with bilateral TKAs have focused more on mortality and morbidity [8, 10, 26, 29, 37, 44, 47, 53], inpatient costs [29, 35, 45], and early postoperative pain [42, 51], rather than overall features of demographics and preoperative functional status with comprehensive assessment. In addition, whether patient function differs after bilateral and unilateral TKAs has been inconclusive in the literature. Some studies have reported better function in patients after bilateral TKAs than those undergoing a unilateral TKA [15, 19, 35, 47], whereas others found no functional differences in patients having unilateral and bilateral TKAs [10, 26, 50, 52, 57, 58]. Bilateral osteoarthritis of the knee is more frequent in Asian patients than in western patients, consequently bilateral TKAs are more frequently performed [25, 26, 60]. Therefore it is important to clarify whether one must statistically account for bilaterality in studies of Asian patients.

In this study, we asked whether (1) patients undergoing bilateral TKAs have different demographic features and poorer preoperative and postoperative function than patients undergoing unilateral TKAs, and (2) means, SEs, and p values change substantially based on how the knees of a patient undergoing bilateral TKAs are considered in statistical analyses.

#### **Patients and Methods**

We retrospectively reviewed 1115 patients who underwent 1717 primary TKAs between December 2003 and December 2009, and all cases were included in the analyses for demographic features and preoperative functional status. When comparing postoperative function, we excluded patients with (1) a diagnosis other than primary osteoarthritis, (2) systemic comorbidities preventing them from benefitting from the replaced knee, (3) postoperative complications affecting postoperative function, and (4) a functional evaluation not performed 1 year after surgery. According to the exclusion criteria, we excluded a total of 293 of the 1115 patients (26%) for various reasons: (1) 62 patients (76 knees) with a diagnosis other than osteoarthritis, such as postinfectious arthritis, posttraumatic and rheumatoid arthritis; (2) 201 patients with systemic comorbidities preventing them from benefitting from the replaced knee (concurrent spine or hip problem [50 knees in 39 patients], death unrelated to the surgery [21 knees in 16 patients], other serious medical problems unrelated to the surgery including cerebrovascular accident, Parkinson's disease, cancer, dementia, chronic renal failure, and other medical infirmities [171 knees in 113 patients], and other problems [36 knees in 33 patients]); and (3) 30 patients with postoperative complications affecting postoperative outcomes (periprosthetic infection [12 knees in 10 patients], wound complication [11 knees in 10 patients], periprosthetic fracture [five knees in five patients], loosening or instability [five knees in five patients]). In addition, 49 contralateral knees in the patients undergoing bilateral TKAs, whose opposite knees were excluded for one of above reasons, were also excluded to remove confounding effects of the contralateral knees. Consequently, the 293 excluded patients left 822 who had 1281 TKAs, 363 patients undergoing unilateral TKAs and 459 patients undergoing bilateral TKAs (simultaneous or staged). Postoperative function was evaluated at the routine visit 1 year after surgery. No patients were recalled specifically for this study; all data were obtained from medical records and radiographs. This study was approved by the institutional review board of our hospital, and all patients provided preoperative informed consent regarding the use of their medical records.

To determine whether our sample size was adequate, we performed a priori power analysis using the two-sided hypothesis test at an alpha level of 0.05 and statistical power of 80%. Sixty-four knees were required for each group to detect a 5° difference in motion arc between patients with unilateral TKAs and with bilateral TKAs and a 5% difference in functional scores, which we considered to be clinically important [2]. Thus, the sample size used in this study was regarded as adequate.

We selected TKA as the treatment option after discussion with the patient, family members of the patient, and the operating surgeon. While recommending TKA, the operating surgeon considered three criteria, namely, age (typically 60 years or older), radiographic severity of knee osteoarthritis (typically Kellgren-Lawrence Grade 3 or higher [22]), and symptom severity (disabling pain and functional disabilities not responding to any of the nonsurgical treatments provided for more than 3 months) [11, 34]. We recommended bilateral TKAs for patients who satisfied all three criteria in both knees. Bilateral TKAs were performed in a simultaneous or staged manner. The interval between the first and second procedures in staged bilateral TKAs was typically 1 (17%) or 2 weeks (57%), but this interval ranged from 4 to 1207 days. We recommended unilateral TKA for patients who showed radiographic osteoarthritis Kellgren-Lawrence Grade 3 or less or for those who did not have severe symptoms or functional disabilities of the other knee.

All surgeries were performed by one surgeon (TKK) using the standard medial parapatellar approach. We implanted one of two posterior-stabilized TKA systems (Genesis II<sup>®</sup>; Smith & Nephew, Memphis, TN, USA; and E-motion<sup>®</sup>; B. Braun-Aesculap, Tuttlingen, Germany) in all knees. The Genesis II<sup>®</sup> is a fixed-bearing system, whereas the E-motion<sup>®</sup> is a mobile-bearing system. Implant selection was done at the operating surgeon's discretion without any specific selection criteria. In all cases, the patella was resurfaced, and implant fixation was performed using cement.

A compressive dressing was applied with an immobilizer during the first 24 hours after surgery, and patients were encouraged to perform quadriceps strengthening exercises after they had returned to the ward from the recovery unit. After the first 24 hours, the patients began using a continuous passive motion (CPM) machine. The CPM machine was used twice a day for 1 to 2 hours until discharge and ROM was increased as tolerated. All patients were allowed to walk as tolerated using a walker and start active and passive ROM exercises on the second postoperative day. From the 3<sup>rd</sup> postoperative day, the patients underwent a physiotherapy session once daily until discharge, which consisted of the quadriceps strengthening exercise and gait training using a walking aid. Patients were discharged typically on the 7th or 14th postoperative day.

After discharge, patients were followed up at 2 weeks, 6 weeks, 3 months, 6 months, and 1 year, and annually after 1 year. One independent investigator (YGK) prospectively collected all clinical information using predesigned data collection sheets. This information included demographic data and preoperative function and postoperative function evaluated at 12 months after surgery. We evaluated preoperative and postoperative function by obtaining the motion arc, American Knee Society (AKS) knee and function scores [20], the patellofemoral scores including anterior knee pain, ability to rise from a chair, and stair climbing [13], WOMAC<sup>TM</sup> pain, stiffness, and function scores [3], and SF-36 eight subscales and two summary scales [55]. Motion arc was measured from maximum extension to maximum flexion using a standard clinical goniometer (38 cm) to the nearest  $5^{\circ}$  with the patient supine.

The unilateral and bilateral TKA groups were compared with respect to the demographic data (age, sex, height, weight, and BMI) and preoperative and postoperative functional statuses (motion arc, AKS score, patellofemoral scoring system, and WOMAC<sup>TM</sup> and SF-36 scores). We conducted the analyses in four different ways based on how two knees in a patient undergoing bilateral TKAs were treated in data analyses: (1) each knee in a patient undergoing bilateral TKAs was considered as two separate cases; (2) a mean value of both knees was taken as the representative value; (3) the values of a randomly selected knee from both knees using a computer-generated randomization table were taken as the representative values; and (4) the generalized estimating equation (GEE), an advanced statistical method that is able to address statistical dependency within same subjects [30, 40, 59]. Statistical significance of the differences of demographics between the two groups was determined by the chi-square test for the categorical variables and Student's t-test for the continuous variables. For comparing preoperative and postoperative motion arc and functional scores, we used analysis of covariance or GEE to adjust for the possible confounding effects such as age, sex, and BMI. The implant type also was controlled as a covariate when analyzing postoperative function. Among the four ways of statistically handling bilateral TKAs, the first method, which treated each knee in bilateral TKAs as two independent unilateral TKAs, was used as the reference method. Changes in summary values such as means, SEs, and p values of other three methods with reference to the first were computed to determine the effects of the four different methods of statistical analyses on the comparisons of function between unilateral and bilateral TKAs. We considered these three parameters as important in data analysis because a mean value typically is used as the

Demographic and scoring parameter	Unilateral TKA $(n = 513)$	Bilateral TKAs $(n = 1204^{\dagger})$	p value <sup>#</sup>
Demographics			
Sex (female)	462 (90%)	572 (95%)	0.001
Age (years)	68.7 (6.8)	68.3 (6.2)	0.338
Height (cm)	152.7 (7.1)	152.1 (6.3)	0.161
Weight (kg)	61.0 (9.4)	63.4 (9.8)	< 0.001
BMI (kg/m <sup>2</sup> )	26.1 (3.3)	27.4 (3.6)	< 0.001
Motion arc			
Flexion contracture	13.6 (8.2)	12.9 (8.1)	0.162
Maximum flexion	135.9 (15.6)	137.0 (15.5)	0.191
American Knee Society score			
Pain (50 possible points)	21.1 (5.3)	20.8 (5.3)	0.265
Knee score (100 possible points)	49.0 (8.1)	49.3 (8.0)	0.390
Function score (100 possible points)	57.6 (14.0)	55.5 (13.9)	0.006
Patellofemoral score			
Anterior knee pain (15 possible points)	3.1 (4.2)	2.0 (4.2)	< 0.001
Chair rising (5 possible points)	3.6 (1.3)	3.2 (1.3)	< 0.001
Stair climbing (5 possible points)	2.6 (0.9)	2.4 (0.9)	< 0.001
WOMAC <sup>TM</sup> score			
Pain (20 possible points)	11.0 (4.4)	11.6 (4.3)	0.008
Stiffness (8 possible points)	4.7 (2.0)	4.8 (2.0)	0.253
Function (68 possible points)	38.3 (13.2)	41.5 (13.1)	< 0.001
Total	54.1 (18.0)	58.0 (17.9)	< 0.001
SF-36 score			
Physical functioning	26.4 (7.8)	24.6 (7.8)	< 0.001
Role-physical	30.3 (9.7)	28.9 (9.6)	0.009
Bodily pain	31.3 (8.3)	30.1 (8.2)	0.008
General health	40.3 (8.8)	40.2 (8.8)	0.838
Vitality	40.9 (9.1)	40.4 (9.0)	0.328
Social functioning	35.7 (12.1)	34.3 (12.1)	0.042
Role-emotional	31.7 (15.1)	31.1 (15.0)	0.541
Mental health	40.0 (10.7)	41.0 (10.7)	0.088
Physical component summary	30.3 (7.2)	28.5 (7.2)	< 0.001
Mental component summary	41.1 (11.9)	41.5 (11.8)	0.520

\* Data are presented as means with SDs in parentheses, each knee in a patient undergoing bilateral TKAs was considered as a separate case; <sup>†</sup> because demographic data were compared at the patient level, the number of bilateral groups is 602 for the demographic data; <sup>#</sup> analysis of covariance, adjusted for age, sex, and BMI.

representative value, and SE and p value are parameters which determine statistical significance. We performed all statistical analysis with SPSS<sup>®</sup> for Windows<sup>®</sup> (Version 15.0; SPSS, Chicago, IL, USA).

### Results

The patients undergoing bilateral TKAs had dissimilar demographic features and inferior preoperative functional

status but achieved equally good function at 1 year after surgery compared with the patients undergoing unilateral TKAs. Patients undergoing bilateral TKAs had a higher female predominance (95% versus 90%, p = 0.001) and a higher mean BMI (27.4 versus 26.1 kg/m<sup>2</sup>, p < 0.001) than those undergoing unilateral TKAs (Table 1). Patients with bilateral TKAs had worse AKS scores (function), patellofemoral scores (anterior knee pain, chair rising, and stair climbing), WOMAC<sup>TM</sup> score (pain, function, and total), and SF-36 scores (physical functioning, role-physical, bodily

Table 2.	Postoperative function	al outcomes of p	patients undergoing	g unilateral or bilat	eral TKAs*

Outcome scale	Unilateral TKA $(n = 363)$	Bilateral TKAs $(n = 918)$	p value <sup>†</sup>
Motion arc			
Flexion contracture	0.3 (1.7)	0.2 (1.7)	0.366
Maximum flexion	129.5 (12.0)	131.9 (11.9)	0.006
American Knee Society score			
Pain	45.3 (4.3)	45.8 (4.2)	0.087
Knee score	92.7 (6.0)	93.4 (6.0)	0.150
Function score	92.5 (9.7)	93.9 (9.6)	0.033
Patellofemoral score			
Anterior knee pain	14.0 (2.8)	14.0 (2.8)	0.759
Chair rising	4.9 (0.4)	4.9 (0.4)	0.841
Stair climbing	4.4 (0.9)	4.4 (0.9)	0.764
WOMAC <sup>TM</sup> score			
Pain	3.4 (3.3)	3.1 (3.2)	0.159
Stiffness	2.2 (1.6)	2.0 (1.6)	0.340
Function	17.8 (10.9)	18.1 (10.8)	0.765
Total	23.4 (14.7)	23.2 (14.5)	0.832
SF-36 score			
Physical functioning	40.3 (8.0)	39.5 (7.9)	0.222
Role-physical	41.1 (10.4)	40.7 (10.3)	0.621
Bodily pain	45.5 (10.7)	45.8 (10.6)	0.681
General health	43.1 (9.0)	43.2 (8.9)	0.780
Vitality	48.3 (9.3)	48.3 (9.2)	0.965
Social functioning	46.3 (10.7)	46.2 (10.6)	0.877
Role-emotional	41.7 (14.1)	41.4 (13.9)	0.748
Mental health	46.0 (11.7)	45.6 (11.6)	0.625
Physical component summary	42.0 (8.2)	41.9 (8.1)	0.867
Mental component summary	47.0 (11.4)	46.8 (11.3)	0.750

\* Data are presented as means with SDs in parentheses, each knee in a patient undergoing bilateral TKAs was considered as a separate case; <sup>†</sup> analysis of covariance, adjusted for age, sex, BMI, and implant type.

pain, social functioning, and physical component summary) (Table 1). Despite worse preoperative clinical status, the patients undergoing bilateral TKAs achieved comparable function to the patients undergoing unilateral TKAs (Table 2). There were no differences between the two groups in all outcome scales except for maximum flexion (131.9° versus 129.5°, p = 0.006) and AKS function score (93.9 versus 92.5, p = 0.033), which were better in patients with bilateral TKAs than in those with unilateral TKAs; however, the differences in the two outcome scales were smaller than the values of a clinically meaningful difference, that is, a difference of 5° or greater for motion arc and 5% or greater of the maximum points of an outcome scale.

When different analytical methods were used, the results varied in terms of means, SEs, and p values, but the differences were small and clinically unimportant. Maximal difference in the mean values of motion arc and functional scores were  $0.4^{\circ}$  and 0.3 points, respectively (Table 3). The SEs were generally the smallest when both knees in

patients undergoing bilateral TKAs were considered as separate cases, but their maximum changes in motion arc and functional scores were 0.2° and 0.3 points, respectively, when the other analytical methods were used (Table 4). Similarly, the p values were the smallest in most cases when both knees in patients undergoing bilateral TKAs were considered as separate cases. However, these differences were small, and there was a change in the significance of only two variables when 0.05 was regarded as the cutoff value: preoperative SF-36 social functioning score and postoperative AKS function score (Table 5).

## Discussion

TKAs can be performed in one or both knees, and whether TKAs are performed unilaterally or bilaterally can be an important confounding factor in evaluating patient function. However, this issue has frequently been ignored in

Table 3. Variability in the mean values according to the analytical methods used for bilateral TKAs\*

Motion arc Flexion contracture Maximum flexion American Knee Society score Pain	Separate <sup>†</sup> 12.9	Mean <sup>‡</sup>	Random <sup>§</sup>	GEE <sup>∥</sup>	Separate <sup>†</sup>	M <sup>†</sup>	6	
Flexion contracture Maximum flexion American Knee Society score	12.9				Separate	Mean <sup>‡</sup>	Random <sup>§</sup>	GEE <sup>∥</sup>
Maximum flexion American Knee Society score	12.9							
American Knee Society score		0	-0.1	0	0.2	0	0	0
•	137	+0.1	+0.3	0	131.9	0	+0.4	0
Pain								
1 ann	20.8	0	-0.1	0	45.8	0	0	0
Knee score	49.3	0	+0.3	0	93.4	-0.1	+0.1	0
Function score	55.5	+0.2	-0.1	0	93.9	+0.2	0	0
Patellofemoral score								
Anterior knee pain	2	0	+0.1	0	14	-0.1	0	0
Chair rising	3.2	0	0	0	4.9	0	0	0
Stair climbing	2.4	0	0	0	4.4	0	0	0
WOMAC <sup>TM</sup> score								
Pain	11.6	0	+0.1	0	3.1	0	0	0
Stiffness	4.8	0	0	0	2	0	+0.1	+0.1
Function	41.5	-0.1	+0.1	0	18.1	-0.2	-0.1	0
Total	58	-0.1	+0.1	0	23.2	-0.2	0	0
SF-36 score								
Physical functioning	24.6	-0.1	0	0	39.5	+0.1	0	0
Role-physical	28.9	0	-0.1	0	40.7	0	-0.2	0
Bodily pain	30.1	0	0	0	45.8	+0.1	-0.1	0
General health	40.2	0	0	0	43.2	+0.1	0	+0.0
Vitality	40.4	0	0	0	48.3	0	0	0
Social functioning	34.3	+0.1	-0.1	0	46.2	+0.1	-0.1	0
Role-emotional	31.1	+0.2	-0.1	0	41.4	-0.1	-0.2	0
Mental health	41	0	0	0	45.6	+0.1	-0.2	0
Physical component summary	28.5	0	0	0	41.9	0	-0.1	0
Mental component summary	41.5	+0.1	0	0	46.8	0	-0.2	0

\* Differences in the mean values of each analytical method are presented and compared with those of the reference method (+, increase; -, decrease);  $^{\dagger}$  each knee in a patient undergoing bilateral TKAs was considered as an independent or separate case (the reference method);  $^{\dagger}$  mean values of both knees were taken as the representative values for bilateral TKAs;  $^{\$}$  one of the two knees was randomly selected as the representative knee for bilateral TKAs;  $^{\parallel}$  GEE = generalized estimating equation, used in the analyses.

previous studies, and comprehensive information regarding the differences between patients undergoing unilateral or bilateral TKAs is not available. Furthermore, both knees in patients undergoing bilateral TKAs have been commonly considered as separate cases in statistical analyses despite their correlation in the same subject. This method of analysis may have some theoretical problems because it violates the principle of statistical independence, which means that each observation is not affected by another observation [6, 40, 43]. Therefore, we (1) compared the differences between patients undergoing unilateral or bilateral TKAs in terms of preoperative clinical status, including demographics and postoperative functional outcomes, and (2) determined whether the compared results vary based on how two knees in a patient undergoing bilateral TKAs are considered in statistical analyses.

Our findings should be interpreted in light of several limitations. First, female dominance was remarkable in our patients undergoing TKAs. This unique sex composition was reported in previous studies of Korean patients undergoing TKAs [24, 27, 28, 39], and should be taken into consideration if our findings are to be extrapolated to a population with a different sex composition. Second, we performed bilateral TKAs in a simultaneous (46%) or staged manner (54%). Bilateral procedures can be done simultaneously or at varying intervals. Theoretically, early function may vary according to the timing of bilateral TKAs, but we do not believe that function at 1 year after surgery is likely to be affected to the clinically meaningful extent by the timing. Third, two different implants, one fixed-bearing and one mobile-bearing system, were used with different proportions between two groups

Table 4. Variability in the SEs according to the analytical methods used for bilateral TKAs\*

Analytical method	Preoperative	:			Postoperativ	e		
	Separate <sup>†</sup>	Mean <sup>‡</sup>	Random <sup>§</sup>	$\mathrm{GEE}^{\parallel}$	Separate <sup>†</sup>	Mean <sup>‡</sup>	Random <sup>§</sup>	GEE <sup>∥</sup>
Motion arc								
Flexion contracture	0.2	+0.1	+0.1	0.0	0.1	0	0	0
Maximum flexion	0.5	+0.2	+0.2	-0.1	0.4	+0.2	+0.2	+0.2
American Knee Society score								
Pain	0.2	+0.1	+0.1	0.0	0.2	+0.1	+0.1	0
Knee score	0.2	+0.1	+0.1	0.0	0.2	+0.1	+0.1	+0.1
Function score	0.4	+0.2	+0.2	0.0	0.4	+0.2	+0.2	+0.1
Patellofemoral score								
Anterior knee pain	0.1	+0.1	+0.1	0.0	0.1	0	0	0
Chair rising	0.0	0	0	0.0	0.0	0	0	0
Stair climbing	0.0	0	0	0.0	0.0	0	0	+0.1
WOMAC <sup>TM</sup> score								
Pain	0.1	+0.1	+0.1	0.0	0.1	0	+0.1	+0.1
Stiffness	0.1	0	0	0.0	0.1	0	0	0
Function	0.4	+0.2	+0.2	0.0	0.4	+0.2	+0.2	+0.2
Total	0.5	+0.2	+0.2	0.0	0.6	+0.2	+0.2	+0.2
SF-36 score								
Physical functioning	0.2	+0.1	+0.1	0.0	0.3	+0.1	+0.1	+0.1
Role-physical	0.3	+0.1	+0.1	0.0	0.4	+0.2	+0.2	+0.2
Bodily pain	0.2	+0.1	+0.2	0.0	0.4	+0.2	+0.2	+0.2
General health	0.3	+0.1	+0.1	0.0	0.3	+0.2	+0.1	+0.2
Vitality	0.3	+0.1	+0.1	0.0	0.4	+0.2	+0.1	+0.1
Social functioning	0.4	+0.2	+0.2	0.0	0.4	+0.2	+0.2	+0.2
Role-emotional	0.5	+0.2	+0.3	0.0	0.5	+0.2	+0.2	+0.3
Mental health	0.3	+0.1	+0.1	0.0	0.4	+0.2	+0.2	+0.2
Physical component summary	0.2	+0.1	+0.1	0.0	0.3	+0.1	+0.1	+0.1
Mental component summary	0.4	+0.1	+0.1	0.0	0.4	+0.2	+0.2	+0.2

\* Differences in the SEs of each analytical method are presented, compared with those of the reference method (+, increase; -, decrease); <sup>†</sup> each knee in a patient undergoing bilateral TKAs was considered as an independent or separate case (the reference method); <sup>‡</sup> mean values of both knees were taken as the representative values for bilateral TKAs; <sup>§</sup> one of the two knees was randomly selected as the representative knee for bilateral TKAs; <sup> $\parallel$ </sup> GEE = generalized estimating equation, used in the analyses.

(Emotion<sup>®</sup>:Genesis  $II^{\mathbb{R}} = 53:47$  in unilateral and 39:61 in bilateral TKA groups, p < 0.001), therefore the choice of implant could be a confounding factor. However, implant selection was made at the operating surgeon's discretion without any specific selection criteria, and the implant type was controlled as a covariate in the statistical analyses. Furthermore, we identified no differences in postoperative function between the two implants with other factors controlled (data not shown). Fourth, approximately <sup>1</sup>/<sub>4</sub> of all cases were excluded for various reasons in the analyses of postoperative function. This exclusion might limit the representativeness of this study cohort, and the subjects for analyses of postoperative function were different from those for preoperative function and demographics. However, the results of additional analyses of all subjects including patients who were excluded were not remarkably

different from those of this study (data not shown). Therefore, we believe the exclusion criteria did not bias our findings substantially.

We found patients undergoing bilateral TKAs had worse preoperative clinical statuses than those undergoing unilateral TKAs with some differences in demographics. Our findings differ from those of previous studies reporting similar preoperative clinical statuses between patients undergoing unilateral or bilateral TKAs [10, 15, 26, 35, 58] (Table 6). However, we believe our findings to be more intuitively reasonable. Functional deterioration can be much greater if the advanced arthritic joints causing severe pain are multiple rather than single lesion. The demographic features also were notable in this study. There was a greater proportion of female patients among patients undergoing bilateral TKAs than among those undergoing

Table 5. Variability in the p values according to analytical methods used for bilateral TKAs\*

Analytical method	Preoperative	e			Postoperativ	ve		
	Separate <sup>†</sup>	Mean <sup>‡</sup>	Random <sup>§</sup>	$\text{GEE}^{\parallel}$	Separate <sup>†</sup>	Mean <sup>‡</sup>	Random <sup>§</sup>	GEE <sup>∥</sup>
Motion arc								
Flexion contracture	0.162	+0.055	+0.014	+0.005	0.366	+0.058	+0.098	+0.100
Maximum flexion	0.191	+0.141	+0.025	+0.009	0.006	+0.014	+0.002	+0.008
American Knee Society score								
Pain	0.265	+0.057	-0.028	+0.016	0.087	+0.070	+0.042	+0.016
Knee score	0.390	+0.028	-0.145	-0.007	0.150	+0.010	-0.086	+0.003
Function score	0.006	+0.011	+0.004	0	0.033	+0.011	+0.053	$+0.042^{\P}$
Patellofemoral score								
Anterior knee pain	< 0.001	0	+0.001	0	0.759	-0.049	+0.206	+0.023
Chair rising	< 0.001	0	0	0	0.841	-0.030	+0.026	+0.013
Stair climbing	< 0.001	0	0	0	0.764	-0.047	+0.131	+0.028
WOMAC <sup>TM</sup> score								
Pain	0.008	+0.012	+0.001	0	0.159	+0.028	+0.096	+0.037
Stiffness	0.253	+0.005	-0.006	-0.003	0.340	-0.001	+0.205	+0.043
Function	< 0.001	0	0	0	0.765	+0.150	+0.059	+0.021
Total	< 0.001	+0.001	0	0	0.832	-0.107	+0.036	+0.015
SF-36 score								
Physical functioning	< 0.001	0	0	0	0.222	+0.121	+0.086	+0.070
Role-physical	0.009	+0.017	+0.009	-0.001	0.621	+0.137	-0.042	+0.039
Bodily pain	0.008	+ 0.018	+0.015	+0.002	0.681	-0.039	+0.130	+0.037
General health	0.838	+0.092	+0.077	+0.002	0.780	+0.012	+0.096	+0.027
Vitality	0.328	+0.068	+0.092	+0.003	0.965	+0.019	+0.008	+0.004
Social functioning	0.042	+0.053	+0.027	0	0.877	+0.096	-0.041	+0.013
Role-emotional	0.541	+0.186	-0.021	-0.002	0.748	+0.077	-0.034	+0.026
Mental health	0.088	+0.037	+0.033	-0.006	0.625	+0.059	-0.113	+0.031
Physical component summary	< 0.001	0	0	0	0.867	+0.119	+0.011	+0.017
Mental component summary	0.520	-0.019	+0.077	-0.002	0.750	+0.053	-0.088	+0.020

\* Differences of the p values of each analytic methods are presented, compared with those of the reference method (+, increase; -, decrease); <sup>†</sup> each knee in a patient undergoing bilateral TKAs was considered as an independent or separate case (the reference method); <sup>‡</sup> mean values of both knees were taken as the representative values for bilateral TKAs; <sup>§</sup> one of the two knees was randomly selected as the representative knee for bilateral TKAs; <sup>II</sup> GEE = generalized estimating equation, used in the analyses; <sup>II</sup> there was a change in statistical significance if we defined the cutoff value as p < 0.05.

unilateral TKAs. A similar sex composition was found in a Korean study reporting female dominance among patients undergoing simultaneous bilateral TKAs than unilateral TKA (94% versus 80%) [26]. In contrast, other studies in Western subjects [15, 29, 45] had a similar sex composition between patients undergoing bilateral TKAs and those undergoing unilateral TKAs. In addition, patients undergoing bilateral TKAs had a higher BMI than those undergoing unilateral TKAs. It is well documented that overweight individuals or obese individuals have an increased risk of knee osteoarthritis [14, 16, 32]. Furthermore, it is conceivable that a higher BMI might not only have contributed to the involvement of both knees but also might have contributed to worse preoperative clinical status in our patients undergoing bilateral TKAs.

We found the function of patients with bilateral TKAs comparable to that of patients with unilateral TKAs. Although the bilateral TKA group had better maximum flexion and AKS function score, the differences were not large enough to be clinically meaningful. Our findings concur with those of previous studies reporting similar [10, 26, 50, 52, 57, 58] or better [15, 19, 35, 47] function of bilateral TKAs compared with unilateral TKAs (Table 6). The patients undergoing bilateral TKAs achieved comparable outcomes despite worse preoperative clinical status. These findings might suggest that the bilateral TKA group achieved greater improvement after TKA than the unilateral TKA group. There are several conceivable explanations for these findings. First, improved function in both knees could have contributed to favorable clinical

Table 6. Summary of	the previous studies	Table 6. Summary of the previous studies comparing functional outcomes between unilateral and bilateral TKAs	tween unilater	ral and bilateral Tk	(As		
Study	Study design	Number of subjects	Unit of analysis	Followup	Demographics	Preoperative function	Postoperative function
Zeni and Snyder- Mackler [58]	Prospective	SBTKA 15 UTKA 15	Patient	2 years	Matched	B = U (KOS, PCS)	B = U (KOS, PCS)
Kim et al. [26]	Retrospective	SBTKA 2385 (4770 knees) UTKA 719	Unclear	10 years	$\mathbf{B} = \mathbf{U}$	B = U (HSSS, KSS)	B = U (HSSS, KSS)
Cohen et al. [10]	Retrospective	SBTKA 86 (172 knees) UTKA 100	Unclear	> 6 months	Matched	$\mathbf{B} = \mathbf{U} \left( \mathrm{KSS} \right)$	$\mathbf{B} = \mathbf{U} \left( \mathbf{KSS} \right)$
Worland et al. [57]	Retrospective	SBTKA 213 (426 knees) UTKA 107	Unclear	> 2 years	Matched	$\mathbf{B} < \mathbf{U}$ (HSSS)	B = U (HSSS)
Shah et al. [50]	Prospective	BTKA 87 (174 knees) UTKA 174	Unclear	5 years	Matched	N/A	$\mathbf{B} = \mathbf{U} \left( \mathbf{KSS} \right)$
Sofat et al. [52]	Retrospective	BTKA 208 knees UTKA 320 knees	Knee	46 months	$\mathbf{B} = \mathbf{U}$	N/A	$\mathbf{B} = \mathbf{U} \; (\mathrm{OFS})$
Fick et al. [15]	Retrospective	SBTKA 56 (112 knees), UTKA 172	Unclear	1 year	B = U (age, sex)	B = U (OKS) $B = U (OFS)$	B = U (OKS) $B > U (OFS)$
March et al. [35]	Prospective	SBTKA 56 UTKA 97	Patient	1 year	B < U (age)	B = U (WOMAC, SF-36)	B < U (WOMAC)
Ritter et al. [47]	Retrospective	SBTKA 4100 knees UTKA 1796 knees	Knee	4.3 years	N/A	N/A	B > U (KSS)
Hooper et al. [19]	Retrospective	SBKTA 1012 knees Staged BTKA 1360 knees UTKA 6772 knees	Knee	6 months	<b>B</b> < U (age)	N/A	B < U (Oxford score)
SBTKA = simultaneo PCS = physical compo	us bilateral TKAs; 1 onent summary of S.	SBTKA = simultaneous bilateral TKAs; UTKA = unilateral TKA; N/A = not applicable; B = bilateral; U = unilateral; KOS = Knee Outcome Score Activities of Daily Living Scale; PCS = physical component summary of SF-36; HSSS = Hospital for Special Surgery score; KSS = The Knee Society Score®; OKS = Oxford knee score; OFS = Oxford function score.	not applicable; I Surgery score	B = bilateral; U : ;; KSS = The Kne	= unilateral; KOS = Kn e Society Score <sup>©</sup> ; OKS =	ee Outcome Score Activitie = Oxford knee score; OFS =	s of Daily Living Scale; = Oxford function score.

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results in the patients undergoing bilateral TKAs. Second, a possible limb length discrepancy after TKA could affect the function in patients undergoing unilateral TKA. A previous study reported that more frequent and greater limb length discrepancy was observed after unilateral TKA than after bilateral TKAs and that the discrepancy had a major effect on functional outcome in patients undergoing unilateral TKAs [54]. However, we did not evaluate leg length discrepancy in our study and the effect of the discrepancy was not certain. Third, the contralateral knee in the patients undergoing unilateral TKAs could have a negative effect on the postoperative clinical outcomes. Although there was no case of osteoarthritis severe enough to warrant TKA of the contralateral knee in patients undergoing unilateral TKA, some patients had mild to moderate (Kellgren-Lawrence Grades 1-3) osteoarthritis in the contralateral knee. A previous study found that the nonoperated knee was a major contributor to the functional performance after TKA [12].

Researchers may encounter a dilemma regarding whether both knees in a patient undergoing bilateral TKAs can be considered as independent cases or analyzed in another way. This issue has been ignored in some studies [6, 40]. Some studies have analyzed the function of bilateral TKAs without considering the independent contributions of each knee and regarding only the overall function of patient in the analyses [35, 58], but in others an analysis was done on the assumption that each knee independently contributed to overall function or they did not clearly state how the knees in a patient undergoing bilateral TKAs were treated in the analyses [10, 15, 19, 26, 47, 50, 52, 57] (Table 6). We found the alterations in mean, SE, and p value did not vary substantially depending on the four different methods. Our findings suggest that, despite the theoretical concern, reasonable conclusions would be drawn with any of the four statistical methods when analyzing mixed study subjects including bilateral cases. These findings are coincident with those of previous studies with hip and knee data showing little effect of bilaterality on the results [4, 17, 31, 48, 49]. However, our findings may not be applicable to other study situations that differ from ours which included a large sample size and used only four analytical methods. As our study was retrospective, we were able to include a much larger sample size than the number suggested by sample size estimation. Small changes of statistics depending on different analytical methods might be attributable to a large sample size in this study, since the variability according to different methods reportedly decreases as the number of cases increases [39]. Different results might also be obtained if the sample size was small and different methods were used, such as a mixed-effect model [5]. Therefore, caution should be exercised to choose proper analytical methods when dealing with data from bilateral TKA cases because of the possibility of bias resulting from violation of the statistical independence assumption, as pointed out in the literature [6, 40, 41, 43].

Our study showed that patients undergoing bilateral TKAs have higher female predominance, higher BMI, and worse preoperative functional status but achieve equivalent function after surgery compared with those undergoing unilateral TKAs. Our findings also suggested that reasonable conclusions would be drawn with any of the four statistical methods in the analysis of function after TKA, even though bilaterality was not considered, when unilateral and bilateral cases were included. Therefore, we concluded that bilaterality can be ignored in the analysis of TKAs with little bias despite the theoretical concern.

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