VASCULITIS (L ESPINOZA, SECTION EDITOR)

Antinuclear Antibody (ANA) Testing in Patients Treated With Biological DMARDs: Is It Useful?

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Published online: 9 April 2015 © Springer Science+Business Media New York 2015

Abstract The appearance of biologic agents for the treatment of diverse autoimmune diseases in particular rheumatoid arthritis at the end of the 1990s changed the treatment of these patients. With the introduction of new agents in the treatment of rheumatic diseases, we started to notice the presence of new and sometimes unexpected adverse events. It is well recognized that infections are the main concern with these types of treatments; however, the occurrence of autoimmune abnormalities is also seen and its gaining perhaps more attention as the use of these agents is increasing. The first clinical trials of anti-tumor necrosis factor- α (anti-TNF α) inhibitors showed an increase of antinuclear and anti-double-stranded deoxyribonucleic acid (dsDNA) antibodies in patients treated with these agents. In this paper, we review the frequency of these autoantibodies in patients treated with biologic agents, particularly anti-TNF- α inhibitors, and its correlation with autoimmune processes as well as the clinical relevance of such findings.

 $\label{eq:constraint} \begin{array}{l} \textbf{Keywords} \hspace{0.5cm} Antinuclear antibodies \, \cdot \, Anti-dsDNA antibodies \, \cdot \\ Anti-TNF-\alpha \hspace{0.5cm} inhibitors \, \cdot \, Rheumatoid arthritis \, \cdot \, Infliximab \, \cdot \\ Etanercept \, \cdot \, Adalimumab \, \cdot \, Certolizumab \hspace{0.5cm} pegol \, \cdot \\ Golimumab \, \cdot \, Autoimmune \hspace{0.5cm} diseases \, \cdot \, Lupus \hspace{0.5cm} induced \hspace{0.5cm} by \\ biologic \hspace{0.5cm} agents \, \cdot \, Lupus-like \hspace{0.5cm} syndrome \, \cdot \, Biological \hspace{0.5cm} DMARDs \end{array}$

This article is part of the Topical Collection on Vasculitis

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Introduction

In the mid 1980s, a revolution of molecular biology and genetics, together with the intimate relationship between immunology and modern rheumatology, offered enormous promise, due to the advances in the knowledge of mechanisms underlying the pathogenesis of rheumatic diseases and also to the development of more specific targeted therapies for these diseases [1].

In the following years, there were reports on the experimental use of a recombinant cytokine, interferon- (IFN-), in the treatment of rheumatoid arthritis (RA). These and other similar studies marked a new era in the treatment of RA, and there was also a growing array of recombinant human gene products and a new knowledge on their biological properties and possible role in disease pathogenesis [2, 3].

In a few years, there were a good number of wellcharacterized recombinant human molecules, like cytokines, cytokines receptors and inhibitors, as well as humanized monoclonal antibodies, directed against specific target molecules of potential pathogenic significance; one of these molecules was directed against the tumor necrosis factor- α (TNF- α) and showed an important clinical efficacy in RA, implicating TNF as a critical cytokine in disease pathogenesis [4, 5].

So far, five inhibitors of TNF- α are approved for the treatment of a variety of inflammatory diseases by the US Food and Drug Administration (FDA) [6]. These agents target specific components of the immune response that are dysregulated and are thought to be central of the disease process; these medications are as follows:

Etanercept Etanercept consists of two p75 TNF- α receptors coupled to the constant region of human immunoglobulin G1 (IgG1). Thus, etanercept is a fusion protein.

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Infliximab	Infliximab is comprised of the human constant region of IgG1 coupled to the				
	variable regions of mouse anti-TNF- α . Thus,				
	infliximab is a chimeric (mouse/human) anti-				
	TNF- α antibody.				
Adalimumab	Adalimumab is a human monoclonal				
	antibody comprised of the human constant				
	region of IgG1 attached to human variable				
	regions. Thus, adalimumab is a fully human				
	monoclonal anti-TNF- α antibody.				
Certolizumab	Certolizumab pegol is an antigen-binding				
pegol	fragment (Fab') of a humanized monoclonal				
	antibody coupled to polyethylene glycol.				
Golimumab	Golimumab is a human IgG1 kappa				
	monoclonal antibody specific for human				
	TNF- α which neutralizes TNF- α activity.				
	Golimumab binds to both the soluble and				
	transmembrane bioactive forms of human				
	TNF- α .				

With the use of these biologic agents, it has become evident the occurrence of several adverse events; one of these is the well-recognized appearance of certain infections, particularly tuberculosis. Also, there are reported cases of demyelinating diseases and progressive multifocal leukoencephalopathy in a small number of patients, and the appearance of antinuclear antibodies (ANAs) and clinical autoimmune complications.

The detection of ANAs has become in the last 35 years one of the most important tests as an aid in the diagnosis of systemic lupus erythematosus (SLE) and other systemic or autoimmune rheumatic diseases. The method of choice for the detection of these antibodies is the indirect immunofluorescence test using HEp-2 cells as a substrate for the detection of the different antinuclear, anti-nucleolar, and anti-cytoplasmic antibodies [7••].

The fluorescein-conjugated secondary antibodies bind to human antibodies, which have reacted with antigens present in the HEp-2 cell substrate. After washing to remove unbound fluoresceinated antibodies, slides are examined using an epifluorescent microscope. If fluorescence is detected at one or more screening dilutions (often 1:160 and 1:320), the serum is serially diluted and retested. An endpoint is reached when fewer than half of the cells on the slide show detectable fluorescence. The ANA titer is reported as the dilution prior to this endpoint [8].

In this paper, we will review the occurrence of ANAs in patients treated with anti-TNF- α inhibitors and the importance of ANA testing in this group of individuals as well as one of the most common of these autoimmune complications, that is, "lupus-like syndrome" (LLS).

Pathogenesis

The main concern of the anti-TNF biologic agents is related to infections due to suppression of several immunologic pathways that also play a protective role in the individual. Multiple studies have shown that these agents are safe and in the majority of cases well tolerated; however, since the original studies of infliximab, it was observed that some individuals developed the presence of antinuclear and anti-dsDNA antibodies. Such antibodies have been reported in response to all TNF- α inhibitors but may be more common with infliximab. This might be due to the fact that infliximab may also neutralize the biologic activity of TNF- α by binding the soluble forms and thus preventing its interaction with its p55 and p75 cell receptors. Infliximab also binds the transmembrane form of TNF- α and could induce antibody or complement-dependent cytotoxicity in the cells expressing it [9, 10].

As we know, LLS is an autoimmune disease characterized by the appearance of at least one serological marker and one non-serological marker of systemic lupus erythematosus (SLE) in patients previously healthy. Initially, the syndrome was commonly associated with the use of drugs such as procainamide and hydralazine, although other causes exist, for example, in patients with a paraneoplastic syndrome.

After the introduction of anti-TNF- α therapy in RA, spondyloarthritis, and other autoimmune diseases, the occurrence of this syndrome has been described with the names of LLS and also as "drug-induced lupus erythematosus" (DILE) or as "lupus induced by biologic agents" (LIBAs). However, classification criteria have not been well defined. The mechanism by which this type of therapy induces this syndrome is not yet well understood.

The autoantibody production in these patients is not yet well understood. One hypothesis is related to the fact that the binding of anti-TNF drugs to the cell surface containing TNF induces apoptosis of cells, causing the release of nucleosomal autoantigens and inductions of anti-dsDNA antibodies [11–13]. Another hypothesis is that suppression of T-h1 cells by anti-TNF- α therapy will generate an exuberant T-h2 response that leads to overproduction of autoantibodies [14, 15]. Finally, another hypothesis suggests that in patients using immunosuppressive therapy as anti-TNF- α associated with disease-modifying drugs (DMARDs), they are more susceptible to bacterial infections, leading to polyclonal B cell activation and therefore the production of autoantibodies [16–19].

So, the induction of ANA and anti-dsDNA antibodies during treatment with infliximab is a well-known phenomenon that has already been observed in earlier clinical trials [20–22]. The induction of these autoantibodies is independent of the infliximab dose and is not modified by concomitant treatment with methotrexate (MTX), leflunomide, and corticosteroid. In the other hand, the production of ANAs is not associated with the clinical response to infliximab and even when the development of anti-dsDNA antibodies is observed, the onset of LLS is extremely rare [23–25].

In recent years, there have been reports on the development of ANAs and anti-dsDNA antibodies in patients treated with anti-TNF inhibitors, and they may act as markers of forthcoming treatment failure in some of these patients. Also, it has been demonstrated in patients with RA that the presence of ANAs is a predictive factor of infusion reactions during treatment with infliximab [26••].

In Table 1, we can see the results of nine of the most important studies related to the range of ANAs and anti-dsDNA found in patients treated with anti-TNF- α inhibitors. These studies are from 2003 to 2014, and the majority (eight of them) refers to the use of infliximab, three used etanercept, and three adalimumab. Also, most of the studies were in patients with RA. The development of ANAs ranged from 21 to 82 % and of anti-ds-DNA from 2 to 66 %. The mean time to positivity was 47 weeks. Besides the presence of ANAs and anti-dsDNA, it has been observed that patients treated with infliximab and MTX show a low incidence of induced anticardiolipin antibodies [34].

Autoimmune Diseases

In relation to the autoimmune complications in patients treated with anti-TNF- α agents, more than 140 cases of LIBAs have been reported. Lupus-like mucocutaneous features are frequent and include malar rash, oral ulcers, discoid lupus, or photosensitivity; a recent study described five cases of chilblain lupus induced after anti-TNF- α therapy, a specific cutaneous form of lupus consisting of acral, ischemic-like lesions. Involvement of vital organs (kidneys or central nervous system (CNS)) is infrequent (less than 10 % of reported cases) [35].

Several lines of evidence support the concept that a small minority of patients treated with anti-TNF- α inhibitors develops autoimmune conditions as a result of this treatment [26••]. The majority of reported patients with LLS have rheumatoid arthritis (RA) (72 %). The prevalence for induced SLE is small in patients with inflammatory bowel disease (IBD) (1%) and 1.75% in patients with spondyloarthropathies (SpA). Statistical analysis found that SLE was more frequent in older patients and those with baseline-raised anti-dsDNA, but not in those with ANAs. Less than 40 % of reported cases of LLS fulfilled the classification criteria for SLE. Some patients treated with anti-TNF- α inhibitors may develop a systemic drug-induced syndrome (asthenia, malaise, fever, cutaneous rashes, arthralgia, and/or myalgia); this clinical presentation, together with the frequent induction of ANAs/antidsDNA by anti-TNF- α agents, may result in a lupus-like presentation of a systemic reaction against the drug rather than a true drug-induced SLE [36].

In Table 2, we can see the main lines of evidence supporting the development of autoimmune conditions in patients treated with anti-TNF- α inhibitors [37].

In a publication analyzing the cases of autoimmune diseases induced by anti-TNF- α agents through December 2006, 113 cases of vasculitis, 92 cases of lupus, and 24 cases of interstitial lung disease were described [37]. In patients with vasculitis, the cutaneous involvement was more frequent (87%), and the lesions observed included as follows: palpable

Table 1 Range of ANAs and anti-dsDNA found in patients treated with anti-TNF-α inhibitors

Authors	Year	Anti-TNF	Disease	Patients	ANA change from baseline (total)	dsDNA change from baseline (total)	Time to positivity
De Rycke et al. [27]	2003	Infliximab	RA	62	+30 % (82 %)	+13 % (16 %)	30 weeks
Bobbio-Palavicini et al. [28]	2004	Infliximab	RA	30	+30 % (80 %)	No change (3 %)	78 weeks
Allanore et al. [29]	2004	Infliximab	RA	59	+40 % (69 %)	+3 % (IgG) +32 % (IgM)	30 weeks
Atzeni et al. [30]	2005	Adalimumab	RA	57	+14 % (21 %)	+7 % (7 %)	48 weeks
Eriksson et al. [25]	2005	Infliximab	RA	53	+53 % (77 %)	+64 % (66 %)	30 weeks
Gonnet-Gracia et al. [31]	2008	Infliximab Etanercept	RA AS RA	98 61 116	+30 % (73 %) +25 % (52 %) -5 % (53 %)	+9.5 % +2 % (same) -15 % (5 %)	54 weeks
Benucci et al. [32]	2008	Infliximab Etanercept Adalimumab	RA	19 43 29	+47 % (63 %) +48.5 % (51 %) +42 % (45 %)	+5 % (10 %) +4.5 % (4.5 %) +3.5 % (3.5 %)	24 weeks
Yukawa et al. [26••]	2011	Infliximab	RA	111	+15 % (40 %)	+23 % (26 %)	Mean 90 weeks
Takase et al. [33••]	2014	Infliximab Etanercept Adalimumab	RA	138 254 62	+31 % (68 %) +12 % (44 %) +16 % (37 %)	(9 %) New (3 %) Onset (10 %) Data	Mean 44 weeks

Table 2Lines of evidence supporting the development of autoimmuneconditions in patients receiving ANTI-TNF- α treatment

- 1. Temporal association between the use of anti-TNF- α therapy and the development of clinical features of an autoimmune condition.
- 2. Resolution of the autoimmune condition after stopping the anti-TNF- α treatment.
- 3. The appearance in some cases of leukocytoclastic vasculitis at the site of etanercept injections.
- 4. The cases of vasculitis are limited to the skin and demonstrate findings of a leukocytoclastic vasculitis.

purpura, erythematous papules, ulcers, and nodules. The visceral involvement and the peripheral nerves and kidneys were affected in a low percent of cases (16 and 13 %, respectively).

In the majority of the cases, vasculitis was confirmed by histological study (73 %), and leukocytoclastic vasculitis was reported in 63 % of cases, necrotizing vasculitis in 17 %, and lymphocytic vasculitis in 6 %. In 92 % of the patients, resolution of the vasculitis occurred after discontinuation of the treatment with the anti-TNF- α .

From the 92 cases of lupus described, the criteria for the diagnosis of SLE were available in 72 patients [38]. Only 37 (51 %) fulfilled four or more criteria, 79 % were ANA positive, 72 % had anti-dsDNA antibodies, 67 % had cutaneous manifestations, and 31 % had arthritis. Serositis was less common than rash and arthritis, and renal and neurological symptoms were rare. In the other hand, the frequency of antihistone antibodies, a hallmark of drug-induced lupus, ranged from 17 to 57 % [39, 40]. Also, in a French registry, the incidence of drug-induced lupus due to anti-TNF- α agents was very rare (approx. 0.2 %) in patients treated with infliximab or etanercept [39].

In the majority of the patients with SLE (71 of the 72), the manifestations of the disease resolved after the discontinuation of the anti-TNF- α . The resolution of SLE has been described in other series; however, some patients received treatment with steroids for the symptoms [40]. It is of interest to note that using methotrexate, which is thought to reduce the frequency of autoantibodies when using anti-TNF- α , did not appear to protect against the development of anti-TNF-induced lupus.

Interstitial lung disease (ILD) has also been reported to occur in association with treatment with anti-TNF- α [37]. In some cases, the lung disease has been aggressive. However, in the majority of the cases, the patients had RA that places them as risk for ILD, so it is difficult to exclude this situation as a factor in these reports.

Finally, uveitis has also been described in association with the use of anti-TNF- α treatment. In one study that included spontaneous reports of uveitis during a period of 8 years (1998–2006), there were 43 cases in patients receiving anti-TNF- α therapy [41]. When the authors excluded patients with diseases associated with a high risk of uveitis, like ankylosing spondylitis, Crohn's disease, or juvenile idiopathic arthritis, they found 26 cases: 20 taking etanercept, 4 infliximab, and 2 adalimumab. They conclude that if a patient with etanercept treatment develops uveitis, a switch to a different anti-TNF- α agent would be an option to consider.

Conclusions

The treatment of various inflammatory rheumatic diseases with biologic agents has changed the prescription regimen of these disorders especially in their early phases. However, we have also seen the appearance of new adverse events as may occur with the use of novel medications. The occurrence of autoimmune disorders associated with the presence of antinuclear and anti-dsDNA antibodies has been recognized with more frequency, and it has been more common with the use of infliximab, followed by etanercept and adalimumab. Clinically, the most common autoimmune disorders induced by these anti-TNF- α inhibitors have been cases of vasculitis and lupus-like syndromes, and the majority of the cases resolve following discontinuation of the biologic agent. We can conclude that ANA testing in patients receiving this type of treatments is very helpful and recommendable, since it will allow us to increase our knowledge in the association of these autoimmune abnormalities.

Acknowledgments I. García-De La Torre is a member of the SNI (Mexican National Research System) Level II. I. García-Valladares is supported by a Scholarship from CONACyT (Mexican National Council of Science and Technology).

Compliance With Ethics Guidelines

Conflict of Interest Ignacio García-De La Torre and Ignacio García-Valladares declare that they have no conflict of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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