

Association of Depression, CD8+ T Lymphocytes, and Natural Killer Cell Activity: Implications for Morbidity and Mortality in Human Immunodeficiency Virus Disease

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Current Psychiatry Reports 2003, 5:445–450
Current Science Inc. ISSN 1523-3812
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A heightened risk of mood disorders, such as major depression, and acute depressive symptoms has been observed among HIV-seropositive individuals since the start of the AIDS epidemic, and an accumulating body of data now shows that depression may have an impact on morbidity and mortality among individuals with HIV disease. Although the specific physiologic mechanisms involved in this process have not been delineated, there is some evidence to suggest that certain components of innate immunity, including killer lymphocytes such as CD8+ T lymphocytes and natural killer cells, may represent key pathways through which depression affects HIV disease progression. This paper reviews some of the main studies examining the effects of depression on immunity and HIV disease progression and discusses the potential role of killer lymphocytes as an underlying mechanism by which depression may impact morbidity and mortality.

Introduction

There is increasing evidence to suggest that certain psychologic factors, such as depression and stress, may influence the course of many diseases, including cardiovascular disease and cancer [1–6]. Psychosocial stress and depression in particular may downregulate key components of cell-mediated immunity [7–12] and also increase susceptibility to infectious diseases [13,14]. Although these types

of psychologic factors also have been shown to impact on morbidity and mortality in HIV disease [15••,16••], the exact underlying mechanisms linking depression and HIV disease progression have not yet been determined.

The authors will review several published studies that examine associations between depression, immunity, and HIV disease progression and mortality. The authors will focus primarily on the relationship between depression and killer lymphocytes, specifically CD8+ T lymphocytes and natural killer (NK) cells, which are innate immune cells that possess the functional capacity to lyse HIV-infected cells and inhibit HIV infection by suppressing HIV entry and replication, and whose action has also been impaired during depression. The negative impact of depression on the number and function of circulating killer lymphocytes and subsequently HIV disease progression is just one potential mechanism through which psychosocial factors may impact morbidity and mortality in HIV disease.

Prevalence of Depression in Human Immunodeficiency Virus

Mood disorders, such as major depression, have been studied within the context of HIV disease since the start of the AIDS epidemic [17•], with most of the clinical studies focusing on HIV-seropositive (HIV+) men [18–22]. In an early study, the authors' group found that HIV+ homosexual men had a higher prevalence rate of major depression compared with overall rates of major depression among men of similar age in the general population, but this rate was no higher than HIV-seronegative (HIV-) men of comparable demographic status [21], a finding that has also been confirmed in other studies [22–24]. Overall, the majority of these studies have estimated that the preva-

lence of a major depression diagnosis among HIV+ men is roughly 5% to 10%.

The prevalence rates of major depression among HIV+ women have varied widely in existing studies of clinical and community samples [15••,25,26]. The authors' group recently conducted a clinical investigation of major depression among 155 women (93 HIV+, 62 HIV-) who were not active substance abusers, and found that the proportion of women with current major depression was four times higher in HIV+ women (19.4%) than in HIV- women (4.8%) [27]. In this study, mean depressive symptom scores on the Hamilton Rating Scale of Depression [28] were also significantly higher among HIV+ women compared with their HIV- counterparts. That nearly 20% of HIV+ women had a diagnosis of major depression is also consistent with a recent large-scale epidemiologic study documenting that 42% of 765 HIV+ women experience chronic depressive symptoms. More importantly, this epidemiologic study further reported that greater depressive symptoms are also associated with higher mortality rates among HIV+ women, which further underscores the need to examine the role of psychosocial factors, such as depression, on HIV disease progression [15••]. In sum, the vast majority of the psychiatric prevalence studies suggest that HIV+ men and women are at an increased risk of major depression or significant acute depressive symptoms throughout the course of the disease.

Depression, Immunity, and Human Immunodeficiency Virus Progression

There has been accumulating evidence that negative mood states, such as major depression and depressive symptoms, may have an adverse impact on immune system functioning of medically healthy individuals [9,29]. Severity of depression was associated with alterations in several *in vitro* measures of immune function (*eg*, lower CD8+ T lymphocytes and NK cell activity) in a large-scale meta-analysis among otherwise medically healthy persons [30]. There has also been some data to suggest that depression may result in a more acute phase response, which includes activation of some specific elements of cell-mediated immunity, including increased monocytic production of interleukins (interleukin-1-beta and interleukin-6) [31]. Thus, clinical studies of depression among patients without other medical illnesses have consistently demonstrated significant alterations in NK cells as well as CD8+ cells, which are two immune subpopulations that may play key roles in regulating HIV infection and disease progression.

A considerable body of evidence has also emerged from some longitudinal studies to suggest that depression is associated with HIV morbidity and mortality; the majority of the prospective studies with long-term follow-up demonstrate that depression (and stress) is associated with HIV disease progression [16••,32,33] and mortality

[15••,34,35]. In the San Francisco Men's Health Study, a 9-year longitudinal study, median time to first AIDS diagnosis was 1.4 years shorter for depressed men compared with men not depressed at the initial assessment [34]. In addition, men with elevated depressive symptoms at each visit throughout this study had a 1.7 times greater risk of mortality compared with men without such consistent patterns of depression [32]. At 5-year follow-up, baseline depression was also associated with declines in CD4+ lymphocytes ($-0.0285 \times 10^9/L$ per year), but not with progression to AIDS [33]. In another study, Ironson *et al.* [36] reported that men with more distress at HIV serostatus notification were at a greater risk for HIV-related clinical symptoms 2 years later. Bereavement, which has some inherent symptom overlap with depression, has also been associated with more rapid decline in CD4+ cell counts among HIV+ men during a 3- to 4-year follow-up [37], and traumatic stress has also been associated with lower CD4+/CD8+ cell ratios among HIV+ women [38].

However, not all studies have reported significant relationships between depression, immunity, and HIV disease progression. For example, no relationship was found between baseline depressive symptoms and progression to AIDS or decline in CD4+ lymphocytes in the Multicenter AIDS Cohort Study [39]. In addition, other major longitudinal studies with shorter follow-up periods (*eg*, 6 to 12 months) have also found no significant relationship between stressful events or depression and changes in CD4+ lymphocytes [40,41]. In a meta-analytic study, depressive symptoms (and not stressors) were related to symptoms of HIV infection, but neither was associated with decline in CD4+ lymphocytes [42]. Some of these conflicting findings may be because of, in part, methodologic differences, including measuring depression only at baseline rather than over time, the use of less specific assessments of cellular immunity that have excluded killer lymphocyte populations, and using a relatively short duration of follow-up assessments.

Coping, Health, and Illness Project Studies of Human Immunodeficiency Virus and Men

To address some of the methodologic problems outlined herewith, the authors' group conducted the Coping, Health, and Illness Project (CHIP) study, a 9-year longitudinal cohort study of HIV+ men. This study has found compelling evidence that psychosocial factors, such as stress and depression, influence key components of cellular immunity and also increase the likelihood of disease progression among HIV+ men [16••,43-47]. Severe stress is associated with lower levels of killer lymphocytes (CD8+ cytotoxic and suppressor cells; CD16+, CD56+, and CD57+ NK cell populations) at baseline assessment [43], and stress and depression were also associated with reductions in killer lymphocytes (CD8+ cells; CD56+ and CD16+ NK cells) among 66

HIV+ men during a 2-year follow-up [45]. The HIV+ men most likely to have decreases on these immune measures during the 2-year follow-up were those who scored greater than the median on stress and depressive symptoms. These findings are among the first prospective data demonstrating that stress and depressive symptoms, particularly when they co-occur, are associated with decreased numbers of NK cells and CD8+ T lymphocytes in HIV+ men. The authors also found a greater risk of early change in HIV disease status related to these same psychosocial factors among men studied for up to 3.5 years [44]. The clinical relevance of these initial findings has now been established in further longitudinal follow-up studies, demonstrating a significant relationship between several psychosocial factors, including stress and depressive symptoms, and disease progression among HIV+ men.

At a 5.5-year follow-up in the CHIP study, the authors' group examined the effects of depressive symptoms and stress on progression to AIDS among 82 HIV+ men who were without symptoms of AIDS at study entry [46]. Overall, 33% of the sample had progressed to AIDS (CD4+ cell count less than 200 or occurrence of an AIDS-related condition) during this follow-up period; those men with more cumulative average stress and depressive symptoms had more rapid progression to AIDS. Furthermore, men who developed AIDS were approximately two times more likely to have had a major depression before disease progression or at the end of the study (33.3%) than those without AIDS (16.4%). These findings are the first longitudinal evidence that depression and stress affect progression to AIDS among HIV+ men.

In the CHIP study, 37% of the 82 HIV+ men without AIDS at entry had progressed to AIDS at 7.5-year follow-up [47]. The authors then calculated the risk of AIDS associated with depressive symptoms and stress as well as several additional psychosocial variables. More stressful events, less support satisfaction, and greater use of denial coping were all significantly associated with progression to AIDS. There was also a trend for depressive symptoms and major depression diagnosis associated with HIV disease progression as well. Approximately 50% of the HIV+ men above the median in stress, use of denial coping, and depressive symptoms, and below the median in support, progressed to AIDS compared with approximately 25% of those below the median in stress, use of denial coping, and depressive symptom, and above the median in support.

At a 9-year follow-up in the CHIP study, the authors' group also examined the association of stressful life events, depressive symptoms, and other psychosocial measures, and specific cellular immune measures (*eg*, CD8+ T lymphocytes and NK cell numbers), with several indicators of HIV disease progression, including progression to Centers for Disease Control–defined AIDS, a clinical AIDS condition, and mortality [16••]. Higher cumulative average stressful life events and lower cumulative average

social support predicted more rapid progression to the Centers for Disease Control AIDS classification and a clinical AIDS condition. Higher levels of anger and CD8+ cells were associated with faster progression to AIDS, and depressive symptoms were also associated with faster development of an AIDS clinical condition. These results suggest that depressed mood, stressful life events, and limited social support are associated with more rapid clinical progression in HIV infection. Overall, the results of the 9-year CHIP study suggest that specific psychosocial factors, such as stress and depressive symptoms, are associated with alterations in specific innate immune measures, such as CD8+ T lymphocytes and NK cells, especially in the early stages of HIV disease, and that stressful life events, depressive symptoms, and limited social support are associated with more rapid clinical progression in HIV infection.

Studies of Human Immunodeficiency Virus and Women

The authors have conducted similar types of investigations with HIV+ women, and now have some initial evidence that depression may also alter the function of killer lymphocytes among HIV+ women as well. The authors' group recently conducted a clinical study at two sites (Gainesville, FL and Philadelphia, PA) to determine if depression is associated with alterations in cellular immunity among 93 (63 HIV+, 30 HIV-) women [48•]. This study specifically focused on killer lymphocytes (NK and CD8+ T lymphocytes) based on the immunology literature suggesting a potential role for these lymphocytes in host defense against HIV infection and also on the psychiatric literature suggesting that depression is associated with alterations in these same lymphocyte subpopulations, including activation markers that have been associated with HIV disease progression. Although NK and CD8+ T lymphocyte populations have been studied in HIV+ men as possible mechanisms linking depression to HIV disease progression [43,45], to the authors' knowledge, no previous study has examined these specific cellular-immune mechanisms in HIV+ women.

In the authors' ongoing study of HIV+ women, those women with a diagnosis of major depression exhibited significant reductions in NK cell activity at baseline assessment. In addition, depressive symptoms and anxiety symptoms were associated with reductions in NK cell activity and increases in activated CD8+ lymphocyte (CD8+/CD38+/DR+) levels, as well as with increases in viral load. Because each of these physiologic outcome measures has been associated with HIV disease progression, these results provide further support that depression may also be associated with an increased likelihood of HIV disease progression as well. Moreover, these results also extend previous investigations of HIV+ men by demonstrating significant alterations in killer lymphocytes

among depressed HIV+ women. This finding, along with the growing interest in NK and CD8+ cell control of HIV infection, suggests that killer lymphocytes warrant further study as a potential mechanism whereby depression may influence the course of HIV disease.

Similar to the authors' earlier investigations with HIV+ men, all of the women in this study underwent comprehensive, structured interviews to assess psychiatric diagnoses, including mood disorders and other depressive symptoms. To more carefully address the effects of depression on cellular immunity, the authors excluded women with current alcohol or substance abuse or dependence to avoid the potential confounding effects of these substances to suppress immunity. The authors also focused on levels of specific killer lymphocyte subsets, NK and a marker of activated CD8+ cells (CD8+/CD38+/DR+), which are believed to play an important role in host resistance against HIV infection. This study also controlled the collection of the physiologic measures by performing phlebotomy at the same time of day, and after 1 hour of rest in a recumbent position, to avoid potential circadian effects on immunity and to avoid potential nonspecific methodologic factors [43,45,49]. In addition, all of the depression-immune analyses controlled for viral load, CD4+ cell count, and antiviral medication use. The authors also performed the depression analyses with the traditional 17-item Hamilton Rating Scale of Depression measure and also with an 11-item version that eliminates specific physical symptoms that may possibly overlap with symptoms of HIV disease progression. Overall, this high level of experimental control adds to the internal validity of the depression-immune association observed in this study.

To the authors' knowledge, the results of this study provide some of the first evidence that depression may alter the function of killer lymphocytes in HIV+ women. These findings suggest that depression may decrease NK cell activity and may also lead to an increase in activated CD8 lymphocytes and viral load. Thus, depression may have a negative impact on innate immunity, which suggests that an examination of killer lymphocytes may prove useful in assessing the potential relationship between depression, immunity, and HIV disease progression in women. The high rate of major depression observed among HIV+ women [27], paired with findings of the largest epidemiologic study to date indicating that depressive symptoms are associated with increased mortality in HIV+ women [15••], highlights the need for more controlled longitudinal studies to ascertain the mechanisms behind the association between major depression and depressive symptoms, immunity, and disease progression among HIV+ women.

Based on over a decade of research in this area, the authors have now proposed a model by which depression impacts on HIV disease progression through its effects on killer lymphocytes (Fig. 1).

As mentioned, depression may lead to significant alterations in NK cells as well as CD8+ cells, which are the two cellular immune populations that may play key roles in regulating HIV infection. More specifically, NK cells may be involved in natural resistance against viral infection and may thus have the capacity to lyse HIV-1 infected cells and also inhibit HIV entry and replication [50–53], although subsets of CD8+ cytolytic cells may serve similar functions as well, especially in the early stages of HIV disease [54–58]. In the authors' studies of HIV+ men, depression-associated alterations in NK cells and CD8+ T lymphocytes was also found [43,48•], which suggests that killer lymphocytes may potentially mediate the effects of depression during the earlier stages of HIV disease progression. CD8+ T lymphocytes may have a beneficial or perhaps an adverse effect in later stage infection, and a specific subpopulation of activated CD8+ cells (CD8+/CD38+/DR+ activated CD8+ cells) has been associated with cytotoxic activity and also with HIV disease progression [59–63]. Killer lymphocyte levels and activity among long-term non-progressors, and also HIV+ individuals with very low CD4+ cell counts, but who have prolonged asymptomatic periods, have also been examined. For example, Ironson *et al.* [64•] recently observed that NK cell number and function are preserved among patients with AIDS with low CD4+ cell counts. The authors of this paper observe that these immune factors may be important in maintaining the health and well being of these individuals. Overall, there is increasing evidence suggesting that killer lymphocytes may serve as a protective factor against HIV disease progression and perhaps influence health and survival.

The authors' research team is currently extending some initial observations regarding depression-associated alterations of NK and CD8+ cells in HIV+ women by conducting several specific *ex vivo* experiments to help categorize the functional capacity of these types of cells to suppress HIV activity in addition to their lytic activity. By focusing on the mechanisms of NK and CD8+ cell alterations in depression, coupled with evaluating certain novel and also mainstream pharmacologic agents for treating depression, these studies may provide further mechanistic insights into some fundamental relationships between depression, immunity, and HIV-disease progression. The goal of these current studies is to help clarify some of the potential mechanisms whereby depression may influence killer lymphocyte activity and HIV disease progression, and these types of well-controlled *ex vivo* experiments may perhaps form a scientific basis for future intervention studies of antidepressant therapies for HIV+ individuals.

Conclusions

Human immunodeficiency virus-seropositive individuals are at an increased risk of mood disorders, such as major

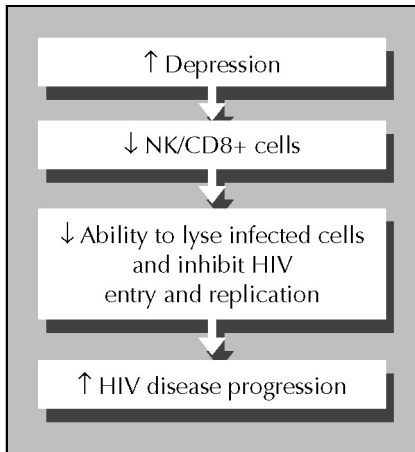


Figure 1. Human immunodeficiency virus (HIV) disease progression. NK—natural killer.

depression, and acute depressive symptoms, which may adversely affect morbidity and mortality. Although the specific immune mechanisms through which depression may impact HIV disease progression and mortality are unknown at this time, increasing evidence suggests that killer lymphocytes, such as CD8+ lymphocytes and NK cells, may play a key role. Clinical studies of depression among patients without other medical illness have consistently demonstrated significant alterations in NK cells and CD8+ lymphocytes, two immune subpopulations that may play vital roles in regulating HIV infection. There is increasing evidence that depression alters levels and functioning of killer lymphocytes in HIV disease, and there is also growing evidence that NK cells and CD8+ lymphocytes exert anti-HIV effects by classic killing activity as well as the production of HIV-suppressive factors. The negative effects of depression on number and function of circulating killer lymphocytes and, ultimately, HIV disease progression is just one potential mechanism through which depression may impact morbidity and mortality in HIV disease. Focused experiments that help to clarify these underlying mechanisms will perhaps form a scientific basis for future intervention studies of antidepressant therapies for HIV+ individuals.

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