



Interactions Between Disseminated Tumor Cells and Bone Marrow Stromal Cells Regulate Tumor Dormancy

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Abstract

Purpose of Review To succinctly summarize recent findings concerning dormancy regulating interactions between bone marrow stromal cells and disseminated tumor cells.

Recent Findings Recent studies have highlighted roles of the bone marrow microenvironment, including osteoblasts, mesenchymal stem cells (MSCs), and endothelial cells, in inducing or maintaining cancer cell dormancy. Key pathways of interest include: osteoblast-induced transforming growth factor (TGF)- β 2 signaling, transfer of MSC-derived exosomes containing dormancy inducing microRNA, cancer cell cannibalism of MSCs, and endothelial cell secretion of thrombospondin 1 (TSP1).

Summary The bone marrow is a common site of metastatic disease recurrence following a period of cancer cell dormancy. Understanding why disseminated tumor cells enter into dormancy and later resume cell proliferation and growth is vital to developing effective therapeutics against these cells. The bone marrow stroma and the various pathways through which it participates in crosstalk with cancer cells are essential to furthering understanding of how dormancy is regulated.

Keywords Cancer cell dormancy · Bone marrow microenvironment · Bone marrow stromal cells · Osteoblasts · Mesenchymal stem cells · Endothelial cells

Introduction

Although the numbers of long-term cancer survivors are rapidly increasing, many of them are still at risk of recurrence even after decades of disease-free status [1, 2]. It has been suggested that very small numbers of cancer cells can escape from cytotoxic treatments and survive long periods of time either in primary or secondary sites [1, 2]. These cells are not actively proliferating and are inherently resistant to cytotoxic treatments, such as chemotherapies and radiation, that target dividing cells [3]. To make matters worse, these occult cancer cells often remain dormant for a long period of time but are clinically undetectable. Cancer cell dormancy is currently

a key obstacle in effectively treating cancer patients, due to the potential of treatment-resistant dormant cells to recur as lethal metastases [1, 3].

Cancer dormancy is thought to be a reversible low metabolic state, since it is primarily characterized by: (1) prolonged cancer cell survival in cell cycle arrest (spanning from weeks to decades) and (2) their potential ability to leave this state and start proliferating again, ultimately leading to disease recurrence [1, 2, 4–7]. It has been suggested that the following three mechanisms are involved in the development of cancer dormancy: cellular dormancy, angiogenic dormancy, and immune-mediated dormancy [8, 9]. Cellular dormancy is when disseminated tumor cells (DTCs) enter a state of quiescence or growth arrest in the G0 cell cycle phase due to a combination of external and/or internal cellular factors, such as upregulation of p27, a cell cycle inhibitor, to induce cycle arrest [3, 6, 8]. Angiogenic dormancy occurs when tumors lack sufficient vascularization, and consequently sufficient oxygen and nutrients, for further growth [8, 9]. Immune-mediated dormancy is reliant upon cytotoxic activities of T cells and the innate immune system inhibiting tumor growth [8, 10]. As noted above, the tumor microenvironment, such as

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endothelial cells and immune cells, is involved in the establishment of DTC dormancy.

The bone contains a rich tumor microenvironment and is a common site of cancer cell dissemination [1, 6, 11, 12•]. Indeed, DTCs within the bone marrow are often observed when cancer patients are initially diagnosed [1–3, 8]. Most of these DTCs naturally die off or are eradicated by cytotoxic treatments. However, some of them can survive within the harsh bone marrow microenvironment, evade initial resection or treatment, lay dormant for years, and eventually regrow and develop into a full-blown recurrence [4, 6]. Unfortunately, these recurrent tumors are very difficult to treat as they often acquire treatment resistance [6, 11, 12•]. The ability of DTCs to enter and exit dormancy within the bone marrow is thought to be due in part to interactions with specific bone marrow microenvironment stromal cells: osteoblasts, osteoclasts, mesenchymal stem cells (MSCs), endothelial cells, etc. However, how these interactions collectively regulate cancer dormancy is not fully understood to date.

Effectively modeling dormant cancer cells in their microenvironment can be challenging. Cocultures are useful in studying the interactions between cancer and stromal cells; however, 2D cocultures of these cells do not adequately represent natural physiology [7, 13••]. This problem is being overcome through the use of 3D nonadherent cocultures [13••]. The common strategies for measuring dormancy in cultured cells include proliferation assays, such as Ki-67 staining, fluorescent dye staining, and cell cycle analyses, where DNA and RNA markers are used to measure growth arrest at G0 phase [14, 15]. With *in vivo* animal models, tumor growth over time and histology are typically used to determine if inoculated tagged cancer cells are dormant or actively growing [6, 7]. A combination of *in vitro* and *in vivo* models are needed to adequately represent and study the dormancy phenotype [7].

Therefore, this review seeks to provide a concise overview of the current knowledge of the stroma-cancer cell interactions that regulate cancer dormancy within the bone marrow. This will be accomplished by highlighting recent studies addressing the roles of individual classes of bone marrow stroma in this crosstalk and then addressing the clinical significance of this research.

Osteoblasts

Although the primary function of osteoblasts is new bone formation, they also participate in maintaining hematopoiesis homeostasis [16–21]. In the marrow, osteoblasts serve as the specific microenvironment for hematopoietic stem cells (HSCs), or the HSC niche, to control homing to the marrow, quiescence, proliferation, and differentiation of HSCs [16–21]. The HSC niche is also beneficial in recruiting DTCs to the bone marrow and promoting early colonization

of the bone [22–24]. Osteoblasts secrete CXC-chemokine ligand 12 (CXCL12) that binds to the C-X-C motif chemokine receptor 4 (CXCR4) G-protein coupled receptor expressed on both HSCs and DTCs, promoting competitive cellular adhesion of both these cells in the bone marrow [25–28]. Moreover, DTCs hijack the functions of HSC niche that regulate HSC quiescence to become dormant. For example, transforming growth factor (TGF)- β expression in the HSC niche is considered a regulator of HSC quiescence and can potentially regulate DTC quiescence [12•, 29].

Live cell imaging of C4-2B4 prostate cancer cells treated with conditioned media from differentiated and undifferentiated osteoblasts showed that mature osteoblasts release factors that promote cancer cell quiescence [12•]. Specifically, TGF- β 2 and growth differentiation factor (GDF)10 were identified as dormancy-promoting osteoblast-secreted proteins through gene array analysis of the conditioned media, which can promote cell cycle arrest by binding to the TGF- β RIII receptor expressed on the prostate cancer cell lines [12•]. This binding activates p38 mitogen-activated protein kinase (MAPK) to phosphorylate retinoblastoma (RB) at the S249/pT252 site [12•]. This phosphorylation then upregulates p27 expression, and consequently inhibits cancer cell cycle progression and division, indicative of dormancy [6, 11, 12•]. Moreover, TGF- β 2 dormancy signaling was linked to osteoblast-derived ligand growth arrest specific 6 (GAS6) and the tyrosine kinase receptor Axl expression in a coculture model of prostate cancer and osteoblasts [30]. GAS6 is expressed more highly from osteoblasts cocultured with prostate cancer cells, and this high expression correlates with increased prostate cancer cell survival and decreased proliferation [22, 31]. In the coculture condition with osteoblasts, prostate cancer requires the GAS6/Axl axis to respond TGF- β 2-derived dormancy stimulation [30]. Finally, TGF- β is known to be immunosuppressive and aids in immune system evasion of dormant cancer cells through the inhibition of cytotoxic cells of the innate and adaptive immune systems (e.g., T cells and natural killer (NK) cells) [11].

Osteoblasts and osteocytes also secrete leukemia inhibitory factor (LIF), and the importance of DTC LIF receptor (LIFR) expression in maintaining a dormant phenotype in breast cancer cells (e.g., MCF7) disseminated to the bone marrow has recently been illustrated in a mouse model. In this study, inoculated MCF7 cells with LIFR knockdown displayed increased levels of osteoclastogenesis and cancer cell proliferation [32]. As we will discuss in the following section, osteoclasts are known to be a proliferation inducer for dormant DTCs. LIFR is also involved in promoting osteoclastogenesis by inhibiting parathyroid hormone-related protein (PTHrP) expression in cancer [11]. PTHrP promotes pre-osteoclast maturation by enhancing the expression of receptor activator of nuclear factor κ B ligand (RANKL) in osteoblasts [11].

The addition of other factors may be necessary to overcome the dormancy phenotype induced by osteoblasts. When breast

cancer cell dormancy model cell lines, MDA-MB-231BRMS1 and MCF-7, were co-cultured in a 3D model with an osteoblast matrix, they remained dormant until tumor necrosis factor (TNF)- α and interleukin (IL)-1 β were added to the culture [33]. These cytokines, which play a role in bone remodeling signaling, increased cancer cell proliferation by increasing the expression of prostaglandin E2 (PGE2). Cancer cell proliferation mediated by TNF- α and IL-1 β was inhibited by blocking the cyclooxygenase (COX) and PGE2 receptors [33].

Osteoclasts

Just as osteoblasts and osteoclasts have opposing roles in bone turnover (bone formation and resorption, respectively), they also have opposing roles in dormancy regulation. While osteoblasts are primarily associated with dormancy initiation and maintenance, osteoclasts have been reported to play a role in an exit of DTCs from a dormant state and the induction of osteolytic metastases [6, 11, 34]. Indeed, high levels of vascular cell adhesion molecule 1 (VCAM1) by DTCs have also been correlated with early disease recurrence, due to the ability of VCAM1 to bind the integrin $\alpha 4 \beta 1$ on osteoclasts and promote their osteolytic activity [4]. A study using intravital two-photon microscopy monitored the proliferation of 5TGM1 myeloma cells over time by labeling with 1,1'-dioctadecyl-3,3,3',3'-tetramethylindodicarbocyanine (DiD) within murine long bones [34]. DiD is a fluorescent dye that binds the phospholipid membrane of cancer cells [35]. In non-proliferating cells, DiD expression remains high; however, in proliferating cells, this expression will decrease overtime as the cells divide [35]. In this study, the Kal-Col-GFP murine model in which osteoblast-like cells express emerald GFP was used to identify the osteoblastic endosteal niche. Myeloma cells colocalized with the endosteal niche and consistently highly expressed DiD (non-proliferating cells) [34]. Conversely, treatment of mice with the soluble form of RANKL to promote osteoclast maturation significantly decreased the number of DiD-expressing cells in the bone, suggesting that osteoclast activity can promote cellular proliferation of dormant cells [34].

Mesenchymal Stem Cells

MSCs are a type of pluripotent mesenchymal stromal cell, resident within the bone marrow [4, 36]. It has been established that crosstalk occurs between bone marrow MSCs and DTCs [4, 13•, 15, 36]. DTCs secrete factors, such as CXCL12, that act as an attractant for MSCs to initiate their migration to the cancer site, and the MSCs in turn modulate cancer cell growth and proliferation [4, 36, 37]. The exact pathways through which this crosstalk occurs, and the specific impacts it may have on dormancy are still being elucidated. One pathway currently of

primary interest in the field is the transfer of dormancy initiating microRNA (miRNA) from MSCs to cancer cells through exosomes or extracellular vesicles. For example, in a subpopulation of breast cancer cells, exosomes isolated from breast cancer-primed MSCs promoted cell cycle quiescence, ascertained through cell cycle analyses using propidium iodide (a DNA marker), 7-aminoactinomycin D (a DNA marker), and pyronin Y (a RNA marker) labels to track cells in G0 and G1 phase [15]. miR-222 and -223 were upregulated in these exosomes and antagomiR-222/223 could reverse the quiescent phenotype of breast cancer [15]. Additionally, antagomiR-222/223 treatment in a murine model increased the efficacy of carboplatin treatment in tumor-bearing mice inoculated with MSCs compared to control anti-miRNA-treated mice. Likewise, the extracellular vesicles produced by human MSCs reduced proliferation and sphere formation, indicative of dormancy, of MDA-MB-231 breast cancer cells in vitro [38•]. These findings indicated that miRNA from MSC exosomes or extracellular vesicles plays roles in initiating cancer cell dormancy and developing subsequent chemotherapy resistance [15].

Another interesting phenomena and mechanism for MSCs to initiate cancer cell dormancy is cell cannibalism. A 3D hanging drop model containing MD-MBA-231 cells and bone marrow-derived MSCs showed that stressed cancer cells cannibalize surrounding MSCs before entering a state of increased survival, where viability of these cells persisted in nutrient-poor media in vitro [13••]. Moreover, these cancer cells showed decreased tumorigenicity in mice following inoculation [13••].

Endothelial Cells

As previously stated, sufficient blood supply, typically provided through angiogenesis, is necessary to allow for cancer cell growth and progression [11]. Consequently, the absence or presence of angiogenesis can influence cancer cell entry into or exit from dormancy, respectively. The ability of angiogenesis to occur is in turn regulated by other components of the micro-environment. For example, a study of invasive ductal carcinoma (IDC) has shown that thrombospondin 1 (TSP1), a glycoprotein secreted from vascular endothelial cells that contains tryptophan-rich motifs, is associated with reduced IDC proliferation and cell cycle arrest at the G0/G1 phase in vitro [39••, 40]. More notably, this study identified a novel mechanism for IDC-derived interferon- γ (IFN- γ) to promote IDC dormancy evasion by reducing tryptophan expression, and consequently decreasing TSP1 synthesis and total expression levels in a dose-dependent manner [39••]. However, endothelial secreted proteins are not always dormancy promoting. Secretion of TSP1 has also been reported to decrease when endothelial cells are activated, and the proliferation promoting factors TGF- $\beta 1$ and periostin are instead secreted and encourage tumor growth [6, 11]. As a result, DTC proliferation can be influenced based on their location near active or inactive endothelial cells.

Other Microenvironment Factors

The bone marrow microenvironment contains an abundance of secreted factors that can inhibit DTC proliferation and promote entry into dormancy [6]. Although their primary sources have not been fully determined, all-trans retinoic acid, or atRA, and bone morphogenetic protein 7 (BMP-7) are both expressed in the bone marrow and are known to promote tumor dormancy [6, 7]. atRA has been reported to increase DTC TGF- β 2 expression and induce dormancy through the pathways previously discussed above (e.g., p38 and p27 activation) [6]. In addition to activating p38 MAPK signaling to inhibit proliferation, BMP-7, secreted from bone marrow stromal cells, has been shown to increase expression of N-myc downstream regulated gene 1 (NDRG1), a metastasis suppressor gene, and treatment of mice with BMP-7 suppresses cancer cell growth in the bone [6, 41, 42]. Notably, prostate cancer-derived secreted protein acidic and rich in cysteine (SPARC) induces bone marrow stromal expression of BMP-7 [42]. SPARC expression is upregulated in indolent cancer cells compared to aggressive cells isolated from murine bone following inoculation into the tibia [42]. Treatment of an in vivo mouse model with recombinant SPARC inhibited tumor growth in the bone [42].

Neurons can also modulate DTC dormancy. The role of sympathetic nervous system signaling through norepinephrine (NE) release in promoting dormancy exit has recently been appreciated [14]. NE promotes PC3 and DU145 human prostate cancer cell proliferation through binding to the β 2-adrenergic receptors expressed on the prostate cancer cells, as well as reducing GAS6 expression by osteoblasts [14]. Prostate cancer cells treated in vitro with NE showed a dramatic increase in proliferation, measured with Ki-67 staining, that was reduced with the addition of a β -adrenergic receptor inhibitor [14]. In a novel ex vivo model, explanted femurs from mice with wild-type or knockout GAS6 expression were injected with prostate cancer cells with and without NE [14]. After 48 h, cell cycle phase of the cancer cells was determined with flow cytometry and showed significant increase in cells in the G2-M phase when treated with NE in GAS6^{+/+} femurs, indicative of cell cycle progression [14].

Clinical Relevance

Cancer cell dormancy and disease recurrence in the bone marrow as metastases remain significant challenges in effectively treating cancer patients. Decades following initial treatment, dormant DTCs can resume proliferation into a potentially

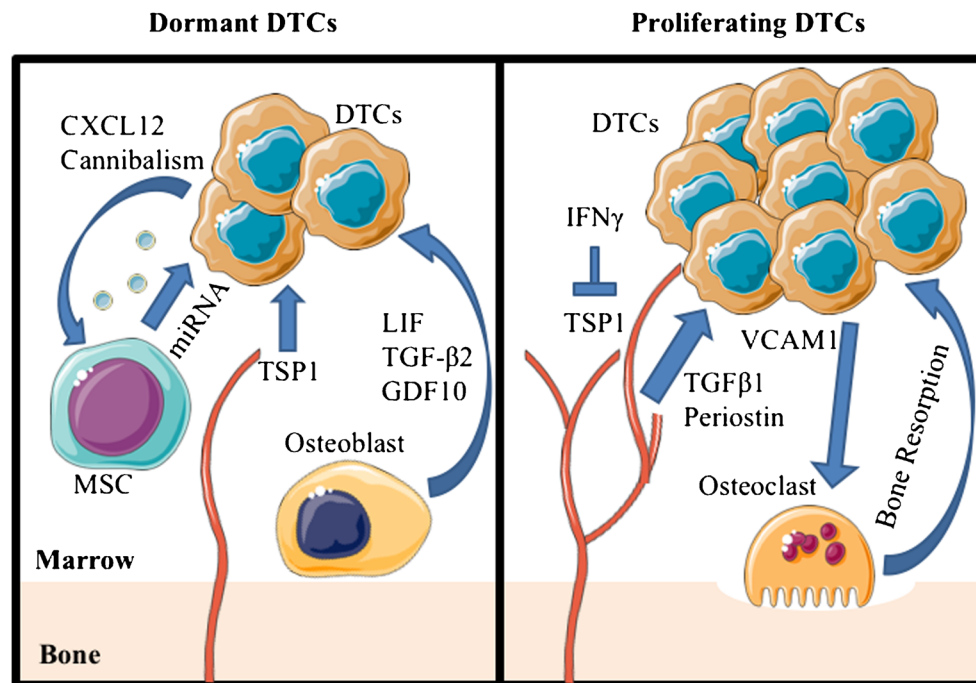


Fig. 1 The roles of the interactions between bone marrow resident disseminated tumor cells (DTCs) and bone marrow stroma in cancer cell dormancy and recurrence. Left panel: mesenchymal stem cells (MSCs) are mobilized to DTCs through CXC-chemokine ligand 12 (CXCL12) and release exosomes containing dormancy promoting miRNA or are cannibalized by the DTCs. Inactive endothelial cells release thrombospondin 1 (TSP1) to promote dormancy and reduce proliferation of DTCs. Osteoblasts secrete transforming growth factor (TGF)- β 2 and growth differentiation factor 10 (GDF10) to inhibit

cellular proliferation, and express leukemia inhibitory factor (LIF) that is recognized by LIF receptor (LIFR) on dormant DTCs. Right panel: DTCs express interferon- γ (IFN- γ) that inhibits TSP1 expression in endothelial cells. Active endothelial cells secrete TGF- β 1 and periostin to promote DTC proliferation. DTCs secrete vascular cell adhesion protein 1 (VCAM1) to promote maturation and activation of osteoclasts. Graphics adapted from Servier Medical Art (<https://smart.servier.com/>)

metastatic lesion [2]. Consequently, there is currently an abundant need for new therapeutics to treat these cancer patients with dormant DTCs [43]. Understanding the dormancy regulating interactions between DTCs and the surrounding bone marrow microenvironment will aid in devising more effective therapeutics that specifically target disseminated dormant cells, either to eradicate them through chemotherapeutics or immunotherapy or to prevent them from exiting dormancy [5, 6, 43, 44]. Manipulation of the microenvironment surrounding DTCs will alter their communication with these stromal cells and potentially make them more susceptible to treatment. One strategy for eliminating DTCs involves mobilizing them from the bone marrow, such as through the disruption of CXCL12/CXCR4 binding, to promote cell cycle progression and increase their susceptibility to established chemotherapeutics, although there is an inherent risk of inducing a potentially chemotherapeutic resistant recurrence with this strategy [3, 44–46]. For example, preclinical and clinical studies are evaluating the efficacy of small molecules, peptides, and monoclonal antibodies in disrupting the CXCL12/CXCR4 axis in patients with acute myeloid leukemia in order to overcome resistance to chemotherapy [47]. To maintain DTCs in a dormant state would likely require administration of dormancy-permissive agents to patients (e.g., TSP1) to prevent the eventual switch to metastatic proliferation and disease recurrence [3, 44]. However, the potential toxicities or off-target effects of chronic treatments to maintain dormancy will have to be carefully evaluated [44]. Alternatively, immunotherapy may be used in replacement of, or in conjunction with, chemotherapy to prevent tumor growth or eliminate DTCs [45]. This may be preferential to chemotherapy alone due to immunotherapy being dependent solely on immunogenicity of the DTCs and not on cell proliferation [45]. Further studies into the pathways and interactions regulating dormancy are needed to identify the most efficacious treatment strategy with the lowest patient risk. Additionally, this knowledge will: (1) provide greater insight into why some patients with DTCs never develop metastases, (2) provide strategies for identifying DTCs prone to resuming proliferation, and (3) potentially prevent overtreatment of patients who are at a lower risk of recurrence [4, 6].

Conclusions

There is much that still remains unknown about the mechanisms driving DTC dormancy and subsequent disease relapse in the bone marrow, and new discoveries in this field can be potentially groundbreaking. For example, it has only recently been shown through a genome wide short hairpin RNA screen that MSK1 gene expression in estrogen receptor-positive breast cancer inhibits metastatic progression, allowing for potentially novel identification of patients with dormant DTCs at a high or low risk of metastatic relapse based on this gene

expression and treatment customization [48]. While the gene alteration or mutation itself can drive cancer cells into a dormancy state, current evidence also supports the role of the tumor microenvironment, including stromal cells, in regulating both of these processes through crosstalk with the DTCs [6] (Fig. 1). As we have highlighted in this review, osteoblasts and MSCs have a role in initiating dormancy, while osteoclasts are primarily associated with metastasis progression [34]. Endothelial cells are able to promote both dormancy and renewed cancer cell growth depending on the activation status of the cells [6, 11]. Further studies are needed in order to take full advantage of the crosstalk with resident bone marrow stromal cells to therapeutically target and/or monitor DTCs prior to metastatic recurrence, potentially preventing disease relapse. Relapse prevention will dramatically improve patient quality of life and overall survival.

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Compliance with Ethical Standards

Conflict of Interest D. Brooke Widner, Sun H. Park, Matthew R. Eber, and Yusuke Shiozawa declare no conflict of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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- Of major importance

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