REVIEW

Sex Diferences in Circulating Biomarkers of Heart Failure

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Abstract

Purpose of RevSiew Evidence is scaling up for sex diferences in heart failure; however, clinical relevance of sex-specifc diferential thresholds for biomarkers is not clearly known. Current ambiguity warrants a further look into the sex-specifc studies on cardiac biomarkers and may facilitate understanding of phenotypic presentations, clinical manifestations, and pathophysiologic pathway diferences in men and women.

Recent Findings Recent studies have confrmed the fact that females have diferential threshold for biomarkers, with lower troponin and higher NT proBNP levels. Ambiguity continues to exist in the clinical relevance of ST-2, Galectin 3, and other biomarkers.

Novel biomarkers, proteomic biomarkers, and circulating micro RNAs with machine learning are actively being explored. Biomarkers in HFpEF patients with higher female representation are evolving. In recent clinical trials, sex-related diference in biomarkers is not seen despite therapeutic intervention being more efective in females compared to males.

Summary Sex-related diference exists in the expression of biomarkers in health and in various disease states of heart failure. However, this diferentiation has not efectively translated into the clinical practice in terms of diagnostic studies or prognostication. Active exploration to bridge the knowledge gap and novel technologies can shed more light in this area.

Keywords Biomarkers · Sex diferences · Heart failure

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Abbreviations

Introduction

Biomarkers of heart failure (HF) are broadly characterized into markers of myocardial injury, myocardial stretch, myocardial infammation, or fbrosis. Biomarkers facilitate the diagnosis, track the progression of the disease, help defne response to therapy, and assist in prognostication (Table [1](#page-2-0)). Despite the growing evidence of sexual dimorphism in expression of biomarkers in patients with HF, the underlying mechanisms for diferential expression remain to be understood. Sex-specifc diferential expression of HF biomarkers may be attributable to a wide range of factors including anthropometry, adiposity, tissue distribution, sex hormones, genetic, epigenetic, greater incidence of comorbidities, and environmental factors.

HF is a global epidemic and understanding of sexand race related differences is crucial for improving care. Prevalence of heart failure with preserved ejection fraction (HFpEF) is higher in women than men while the risk of heart failure with reduced ejection (HFrEF) is greater in men than women. In Olmsted County study for incident HF, age adjusted all-cause mortality is similar among men and women despite higher risk of cardiovascular death in men compared to women [\[1\]](#page-7-0). The mortality and the HF-related hospitalizations were lower in women compared with men regardless of the left ventricular systolic function in the Swedish Heart Failure Registry after adjusting all confounders $[2\bullet]$ $[2\bullet]$ $[2\bullet]$. However, women tend to be more symptomatic with worse quality of life and greater exercise limitation despite the observed survival advantage [\[2](#page-7-1)•, [3](#page-7-2)]. Sex-specifc regulation of mitochondrial function and energy metabolism has been postulated to contribute to sexual dimorphism of HF [\[4\]](#page-7-3). Ischemia is the prominent etiology of HF in men, whereas co-morbid conditions such as HF and diabetes mellitus drive the risk of HF in women.

Estrogen offers cardio-protection and incidence of ischemic heart disease is more commonly seen in men compared to women under the age of 60. In contrast, ischemic heart disease in women confers higher risk of HF in comparison to men. Cardioprotective effects of estrogen are diminished as women attain menopause and sex-based differences in the concentrations of the HF biomarkers may enrich the understanding of the variations in the pathophysiology, mechanistic pathways, and clinical manifestations of HF among men and women. In health, women tend to have elevated levels of biomarkers associated with adiposity, cardiac stretch, and fbrosis, whereas men are more susceptible to have elevated levels of markers associated with cardiac injury and infammation [[5\]](#page-7-4). This suggests biological sex as a variable in the development of HF and understanding which may provide the underpinnings of sex-specifc pathobiology pathways for HF.

Natriuretic Peptides

Natriuretic peptide (NP) are biomarkers of myocardial stretch, with BNP and NTproBNP being the most used for evaluation, prognostication, and management of

patients with suspected or proven HF. [\[6](#page-7-5)] These serve a counterregulatory function and have diuretic, natriuretic, and vasodilator properties. [\[7\]](#page-7-6) There levels are elevated in the presence of HF and used for diagnostic evaluation in acute decompensated HF. Furthermore, these elevated NP concentrations have a prognostic role as predictors of mortality and cardiovascular events across diferent stages of heart failure, and their levels can be used to titrate therapy in chronic HF [[8,](#page-7-7) [9](#page-7-8)]. NT-proBNP is considered to be more stable than BNP because of its relatively long halflife. Besides heart failure their levels can also be elevated in valvular disorders, infltrative heart disease, ischemic heart disease, critical illness, kidney disease, and pulmonary embolism. NPs values are afected by age, sex, body mass index (BMI), etc. In healthy individuals, females exhibit higher levels of NT-proBNP compared to males [[10](#page-7-9)]. Sex hormones are thought to be playing a role in this diference; however, there is no conclusive evidence so far [\[11](#page-7-10)]. Change et al. reported that androgens are inversely associated with NT-proBNP levels. The inverse relationship of testosterone and NPs may be attributed to up-regulation of neprilysin activity by testosterone and explains both the sex-based diferences observed in premenopausal women and younger men, and the post-menopausal change in NP levels in women. Menopause in women alters the cardiovascular risk; thereby it is imperative to consider sex diferences in biomarkers in the context of life cycle changes in women. The robust sex-based diferences seen with NT-proBNP are exclusive to premenopausal women [[12\]](#page-7-11). Studies have shown that postmenopausal women have lower NT-proBNP levels than premenopausal women [[12](#page-7-11), [13](#page-7-12)]. It is still not fully understood if loss of NP diference plays a role in narrowing the differences in cardiovascular risk after women attains menopause.

There is mixed data about sex-specific differences in prognostic information provided by NPs. There are studies that did not show any sex-specifc diferences in levels of NPs in incident HF [\[14](#page-7-13)•, [15\]](#page-7-14). In a multicenter study, a nonsignifcant trend toward lower NT-proBNP levels in men with no mortality diference was noted; however, at very high levels of NT-proBNP, higher mortality trend was noted in women when compared with men with similar levels [[15](#page-7-14)]. A Korean report from registry database suggested NT-proBNP in men to have a better prediction of long-term mortality and HF readmission than in women [[16\]](#page-7-15). Similar sex-specifc interaction was observed in another prospective study where NT-proBNP was noted to be a stronger predictor of HF risk in men than in women [[17\]](#page-7-16). A subgroup analysis showed signifcant impact of sex- and race-based diferences in NT-proBNP levels in predicting HF risk at a given level of NT-proBNP, thereby highlighting the importance of considering sex-based diferences while prognosticating $[18 \bullet]$ $[18 \bullet]$.

Table 1 List of biomarkers in diagnosis vs prognostication **Table 1** List of biomarkers in diagnosis vs prognostication

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Cardiac Troponins

Cardiac troponins are specifc markers of cardiac injury and are primarily markers of myocardial ischemia. However, troponin levels may be elevated in HF and is attributed to multiple mechanisms like ischemia caused by coronary artery or microvascular disease, infammation, neurohormonal overactivation, myocardial apoptosis, infiltrative processes, etc. Since the advent of highsensitivity assays, circulatory troponins (cTn) are noted to be elevated in most patients with HF [\[19](#page-7-18)]. In healthy individuals, cTn levels tend to be higher in men than women [[20\]](#page-7-19). This has been attributed to diferences in left ventricular mass and pathophysiology of myocardial ischemia in men and women, protective antioxidant role of estrogens, and sex-specifc prothrombotic tendency. High-sensitivity troponin (hs-TnT) emerged as a strong independent predictor of outcome in chronic heart failure [[21\]](#page-8-0), but the data on sex-specifc diferences in HF is still not concrete with most studies not suggestive of any sexspecific differences $[22]$ $[22]$ $[22]$. Nevertheless, in the sex-specific analyses by Suthahar et al., levels of cTns were higher in men and remained signifcantly associated with HF in men [[14•](#page-7-13)]. Another study stratified these sex-differences with phenotypes and noted a stronger predictive association of hsTnT with outcomes in men with HFpEF than in women, but no signifcant sex-specifc diference was noted in HFrEF in same analysis [\[23\]](#page-8-2).

Soluble Suppression of Tumorgenicity 2 (ST2)

Another novel biomarker that is a marker of ventricular remodeling and fbrosis is ST2, a member of IL1 family. The ST2 gene encodes two primary isoforms: transmembrane form (ST2L) and secreted soluble form (sST2), the latter being mechanically induced in cardiomyocytes in response to myocardial stretch [\[24](#page-8-3)]. sST2 acts as a "decoy" receptor for IL-33 and promotes myocardial damage by inhibiting the cardioprotective efects of IL33-ST2L interaction [[25](#page-8-4)]. sST2 levels are elevated in adult males when compared with adult females [\[26](#page-8-5)]. Similar trends with higher levels of ST2 in males is noted in HF [[27](#page-8-6), [28](#page-8-7)]. sST2 levels were not associated with any specifc sex diferences [\[14•](#page-7-13)]. Lew et al. in their multimarker study did not demonstrate signifcant sex associations overall but noted signifcantly lower levels in postmenopausal, but not premenopausal women when compared with age-matched men [\[12\]](#page-7-11). Whether the sexspecifc diferences in sST2 measurements translate into a diferential outcome in HF in men and women remains largely unknown. Furthermore, the higher levels of sST2 are not explained by sex-hormones, and the pathophysiology of sex-specifc diferences in healthy individuals and in patients with HF is not clear [[29](#page-8-8), [30](#page-8-9)].

Galectin‑3 (Gal‑3)

Galectin-3 (Gal-3) is a β -galactosidase binding lectin and plays a signifcant role in pathogenesis of various diseases including heart failure by promoting fbrosis and myocardial remodeling. Although Gal-3 expression is essential for tissue repair after initial injury, persistent elevation engenders infammatory fbrosis, tissue heterogeneity, and adverse cardiac remodeling [[31\]](#page-8-10). Nonetheless, it is less variable with alterations in the loading conditions of the heart and has acquired a class II indication as diagnostic and prognostic marker for heart failure patients in ACC/AHA/HFSA guidelines for management of HF [[32,](#page-8-11) [33\]](#page-8-12).

In animal studies, Gal-3 expression was signifcantly upregulated in failing hearts of homozygous transgenic male rats. [[31\]](#page-8-10). Although preferential expression of Gal-3 is noted in women in several population studies, sex-specifc diferences in Gal-3 levels are inconsistent in HF and the impact of these sex related diferences on management of HF is nebulous [\[14•](#page-7-13)]. The diferential expression of Gal-3 is attributed to dichotomy in body composition and fat mass distribution with dissimilitude comorbid profles of the participant sexes rather than sex hormones [\[34,](#page-8-13) [35](#page-8-14)]. While the baseline Gal-3 is higher in women, the incident heart failure risk with elevated Gal-3 is similar in both sexes in the FINRISK cohort [\[36](#page-8-15)]. However, Dekelva et al. reported a higher degree of diastolic dysfunction along with upregulation of Gal-3 expression with associated increase in circulation Gal-3 in female subjects in a cohort of myocardial infarction patients treated with percutaneous intervention [[37\]](#page-8-16). There was higher incidence of heart failure and left ventricular hypertrophy in females in this cohort (70% vs 44.6%, *p*=0.034 and amp; 35% vs 19.3%, *p*=0.02) (37). Sex-specifc longitudinal variability of Gal-3 in predicting incident HF or disease progression is yet to be determined [[14•](#page-7-13), [38](#page-8-17)]. Also, kinetics of Gal-3 is infuenced by kideny function and predictive value of Gal-3 was narrowed in HF after adjusting to kidney function [\[14](#page-7-13)•, [35\]](#page-8-14).

Insulin‑Like Growth Factor Binding Protein‑7 (IGFBP‑7)

Sex-specific differences in the maladaptive remodeling pathways of the heart in patients with HF are yet to be understood completely. Cardiac aging affects men and women differently due to lifecycle changes in women such as menopause leading to alterations in mitochondrial biogenesis, sex-specifc regulation of mitochondrial function, and regulation of inflammation [[39](#page-8-18)]. Dysregulations of mitochondrial function, autophagy, oxidative stress, and infammation may trigger accelerated senescence in cardiac myocytes which in turn may lead to myocardial dysfunction and fibrosis. Mitochondrial dysfunction and senescenceassociated secreting phenotype (SASP) are among the key characteristics of cardiomyocyte senescence [\[40](#page-8-19)]. Preclinical studies demonstrated IGFBP-7 plays a key role in modulating cardiomyocyte senescence and garnered attention as a potential therapeutic target [[41](#page-8-20)]. IGFBP-7 is a marker of senescence and a member of SASP family and is recognized as a novel biomarker both in HFpEF and HFrEF. IGFBP-7 modulates cellular senescence through regulation of insulinlike growth factor-1 (IGF-1). Older age, higher NT-proBNP values, worse NYHA functional class, and lower eGFR were reported to be associated with higher IGFBP-7 levels by Motiwala et al. [\[42\]](#page-8-21). Elevations of IGFB-7 demonstrated strong correlation with echocardiographic parameters of diastolic dysfunction both in HFrEF and HFpEF patients [[43](#page-8-22)–[45](#page-8-23)]. IGFBP-7 was observed to have incremental additive value in combination with other clinical variables, NT-proBNP and hsTnT in post hoc analysis of DAPA-HF trail [\[46](#page-9-0)]. IGFBP-7 was noted in higher concentrations in patients with HFrEF in comparison to HFpEF and was associated with worse clinical outcomes [[47\]](#page-9-1). Although sex-specifc data is limited, Hage et al. reported no signifcant diferences in the levels of IGFBP-7 between men and women with both HFpEF and HFrEF. However, a community-based study in Italy noted a strong association with age and women were in smaller portion in highest tertile of IGFBP-7 [[48\]](#page-9-2). Similar fndings were noted in the post hoc analysis of the DAPA-HF study. It demonstrated independent predictive value in estimating mortality and hospitalizations for HF.

Special Considerations

Obesity

Obesity is an important factor that can alter the biomarker levels. Higher BMI is associated with lower NP levels. [\[49\]](#page-9-3). Albeit sex-specifc data regarding obesity-associated reduction of NPs remains scarce. Suthahar et al. noted upon sex-stratifcation, obese males had slightly lower NT-proBNP levels, but this trend was not seen in obese females [\[50](#page-9-4)]. In the general population, higher BMI is not associated with NT-proBNP levels, but males with higher BMI were noted to have higher NT-proBNP levels and females did not exhibit this trend. Low NP levels in abdominal obesity are mostly found in women than men, as visceral fat can increase testosterone levels that lower NP levels. [[50](#page-9-4), [51](#page-9-5)]. Thus, we see that lower NT-proBNP levels in obese healthy individuals are better explained by sex than by obesity warranting sex-specifc cut points for NP levels for diagnosis of HF [\[52](#page-9-6)]. However, in patients with HF, obesity seems to have a prominent role on NP levels and more data is needed to assess the sex-specifc diferences of NPs in obese patients with HF [\[53](#page-9-7)]. Cardiac troponin is elevated in patients with obesity and increases with increasing BMI and has no known sex-diferences in obese individuals with HF [\[54](#page-9-8)]. Associations of sST2 that was initially lower in women was completely attenuated after accounting for body composition.

Female Specifc Disease States

Takotsubo cardiomyopathy predominantly affects postmenopausal females with only 4–12% male involvement. Females do better overall with good prognosis and less severe disease than males. Patients with takotsubo Cardiomyopathy have lower troponin levels and higher BNP/ NT-proBNP levels compared to ischemic cardiomyopathy patients. However, sex-specifc diference in the biomarkers like BNP has not been observed. In the Tokyo registry among the various biomarkers, only C-reactive protein was lower in females and had prognostic impact, higher levels predicting higher mortality [\[55](#page-9-9)].

In post-partum cardiomyopathy sensitive markers of heart failure BNP/NTproBNP could be non-specifc and elevated from various other conditions like pulmonary embolism, pre-eclampsisa, ischemic disease, etc. Various other biomarkers like prolactin, cathepsin D, micro RNA-146, soluble fms-like tyrosine kinase-1, intelukins, and placental growth factor are associated with post-partum cardiomyopathy [[56\]](#page-9-10). Higher baseline NT-proBNP predicts failure to improve cardiac function in the 6 months. In the Nationwide Danish Cohort of women, biomarkers like NT-proBNP, copeptin, soluble fms-like tyrosine kinase 1, and placental growth factors were elevated up to 7 years, and the levels correlated with recovery of LV function [\[57](#page-9-11)].

In Adriamycin induced cardiomyopathy, premenopausal females are at lower risk of cardiotoxicity and prepubertal females at higher risk with no clear increased risk noted in post-menopausal women [\[58\]](#page-9-12). Monitoring of cardiotoxicity by troponin and NTproBNP levels aids early detection of cardiotoxicity [[59](#page-9-13)]. In a large prospective study of breast cancer patients undergoing Adriamycin and or tratstuzumab therapy, troponin, NT proBNP, Myeloperoxidase, placental growth factor, and growth diferentiation factor 15 were elevated. In this cohort, hs-cTnT levels > 14 ng/l at anthracycline completion were associated with a twofold higher risk of cardiotoxicity. They also noted decline in ejection fraction by about 1% for every doubling of NT-proBNP [\[60](#page-9-14)].

Heart Failure with Preserved Ejection Fraction

The biomarkers in HFpEF can be attributed to myocardial stretch and injury as is described in the HFrEF population but can also be related to inflammation, fibrosis, endothelial dysfunction, and other co-morbidities like renal dysfunction, obesity, and anemia [\[61](#page-9-15), [62\]](#page-9-16). BNP and NT-proBNP levels correlate well with left ventricular end-diastolic pressure, volume, and all diastolic filling patterns. NT-proBNP levels are generally lower in patients with HFpEF (sometimes extending to a normal range) than those with HFrEF. Women have higher NT-proBNP levels than men, although higher levels portend poor prognosis equally in both sexes [[63\]](#page-9-17). Cunningham et al. showed that baseline NT-proBNP strongly predicted total HF hospitalizations and cardiovascular death in the PARAGON-HF trial cohort [[64](#page-9-18)•]. However, caution needs to be exercised in the interpretation of the absolute value of NT-proBNP as co-morbidities have statistically significant interactions with the measured levels. A larger burden of atrial fibrillation, lower BMI and advanced stages of chronic kidney disease are associated with higher NT-proBNP levels [[65\]](#page-9-19). Women generally tend to be older, have higher blood pressure and have more comorbidities like diabetes and kidney disease than men [[66\]](#page-9-20). Initiation of sacubitril/valsartan results in a 19–23% reduction in NT-proBNP [[64](#page-9-18)•, [67\]](#page-9-21) with a marginal increase later in the course of the disease. However, there is no interaction with respect to sex in terms of response to sacubitril/valsartan.

Circulating cardiac troponins are also powerful predictors of adverse outcomes in HFpEF. High-sensitivity troponin I (hsTnI) and T (hsTnT) predict outcomes including all-cause mortality and frst HF hospitalization equally in men and women. High-sensitivity troponin I is more strongly associated with adverse events for HFpEF in men than in women with a hazard ratio of 3.33 vs 1.35, respectively [[68\]](#page-9-22). A threshold of hsTnI $<$ 4 ng/l or<6 ng/l is indicative of low risk of developing clinical HF in women and men, respectively, with a level > 10 ng/l and > 12 ng/l suggestive of higher risk $[69]$. Tromp et al. demonstrated that after correcting for covariates like age, sex, and blood pressure, comparatively higher levels of high-sensitivity CRP are present in patients with HFpEF while greater. Levels of NT-proBNP are associated with HFrEF [[70](#page-9-24)]. Galectin-3 levels can predict the development of new onset HFpEF in both sexes with a change in its level being a strong predictor when controlling for other biomarkers [[71](#page-9-25)]. Adipocyte-derived serum fatty acid binding protein 4 (FABP4) is an independent predictor of left ventricular mass and reduced longitudinal fractional shortening in obese women and may serve as a risk predictor for diastolic dysfunction and cardiac remodeling in this population [[72](#page-9-26), [73](#page-10-0)]. FABP4 is noted to be higher in women than in men and has a direct correlation with the presence of atrial fbrillation, infammatory markers like IL-6 and TNF α 13.

Recent studies have explored the association of proteomic signatures with the development of HF. One study with a 54% female representation identified 5 protein biomarkers of HFpEF after adjusting for age and sex, namely NT-proBNP, growth differentiation factor-15 [GDF-15], adrenomedullin, un-carboxylated matrix Gla protein, and C-reactive protein, representing pathways of inflammation, cardiac stress, and vascular stiffness [[74](#page-10-1)]. Coronary microvascular dysfunction in HFpEF is related to inflammation mediated chemokine and cytokine signaling pathway in men and P13-kinase and transforming growth factor-beta signaling pathway in women [[75\]](#page-10-2). Endotrophin, a collage VI-derived peptide, is a novel risk marker for all-cause mortality and multimorbidity in elderly women. Plasma levels of endotrophin predict risk of future HF hospitalizations and death, particularly in the HFpEF population, surpassing the MAGGIC score and NT-proBNP [[76\]](#page-10-3). A shared pathogenic background for pre-eclampsia and HFpEF is suggested owning to the presence of common biomarkers including fatty acid-binding protein 4, adrenomedullin, mid-region pro adrenomedullin, and cancer antigen 125 [[77\]](#page-10-4). A promising strategy for the early identification and risk stratification of patients with HFpEF may lie in leveraging proteomics, metabolomics, and circulating microRNA along with machine-learning [[78\]](#page-10-5).

Future Directions

Micro RNAs are small non coding RNAs that play a crucial role in post transcriptional gene regulation. The dysregulation of miRNAs in cardiomyopathy suggests their potential use as biomarkers for diagnosis, prognosis, and monitoring of disease progression. X-chromosome encodes several miRNAs and estrogen influences miRNA regulation and transcription. Sex-based miRNA are potential mediators of the sex-specific cardiovascular pathophysiology in HFpEF [[79\]](#page-10-6). Therapeutic interventions to the miRNA expression in time could allow development of female specific therapies in HF.

Artificial intelligence and machine learning in heart failure is evolving and has varied applications in diagnosis, classification, and prognosis of HF. Woolley et al. used machine learning to cluster HEpEF patients into four subgroups based on biomarkers [\[80](#page-10-7)].They used 363 diferent biomarkers in their study consisting of cardiovascular, inflammatory, and oncology markers. Clusters based on biomarkers had distinct pathophysiologic and clinical outcome. Although this study had significant female representation, they did not comment on sex diferences. Kobayashi et al. applied machine learning-derived echocardiographic phenotypes to predict HF incidence in asymptomatic individuals $[81]$. In one phenotype with signifcant female presentation infammatory markers like FABP4, interleukin-6 was elevated and predicted $2 \times HF$ or cardiovascular death. On the contrary phenotype with male predominance remodeling biomarkers like troponin, ST2, and C type natriuretic peptide were elevated and the risk increased by fvefold.

Precision medicine with the use of genomics and single nucleotide polymorphism can help identify genomic phenotypes and potential therapeutic targets and the feld is evolving as a promising future biomarker tool (Fig. [1\)](#page-6-0).

Conclusion

While the concept of sex- and gender-based variability in pathophysiology, clinical presentation, and clinical outcomes is well recognized, the guidelines for gender- or sex-specifc care have not been well delineated. Contrary to the predominant view of biological sex as a binary genotype of sex chromosomes, gender is a multidimensional construct and is a dynamic variable and hormonal profles might not ft the construct of biological sex.

Recognition of sex-specific heart failure risk factors, incorporation of sex-specific diagnostic criteria, and tailoring management accordingly may help facilitate the equitable care for women. There is also growing evidence that a combined multi-marker approach may provide a better risk stratification and risk prediction models than any single marker. Further research is warranted to shine light on clinical applications of sex-specific thresholds of heart failure biomarkers with a goal to personalize the treatment strategies and improve clinical outcomes.

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Declarations

Competing Interests The authors declare no competing interests.

Conflict of Interest Roopa Rao, Anju Bhardwaj, Mrudula Munnagala, Sonu Abraham, Sanjana Adig, Eman Hamad declare no confict of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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