

Alzheimer's Disease and Type 2 Diabetes: Multiple Mechanisms Contribute to Interactions

Anusha Jayaraman · Christian J. Pike

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Abstract Obesity, metabolic syndrome, and type 2 diabetes (T2D) are related disorders with widespread deleterious effects throughout the body. One important target of damage is the brain. Persons with metabolic disorders are at significantly increased risk for cognitive decline and the development of vascular dementia and Alzheimer's disease. Our review of available evidence from epidemiologic, clinical, and basic research suggests that neural dysfunction from T2D-related disease results from several underlying mechanisms, including metabolic, inflammatory, vascular, and oxidative changes. The relationships between T2D and neural dysfunction are regulated by several modifiers. We emphasize 2 such modifiers, the genetic risk factor apolipoprotein E and an age-related endocrine change, low testosterone. Both factors are independent risk factors for Alzheimer's disease that may also cooperatively regulate pathologic interactions between T2D and dementia. Continued elucidation of the links between metabolic disorders and neural dysfunction promises to foster the development of effective therapeutic strategies.

Keywords Alzheimer's disease · β -amyloid · Apolipoprotein E · Diabetes · Inflammation · Obesity · Testosterone · Type 2 diabetes · Interactions

Introduction

The related conditions obesity, metabolic syndrome, and type 2 diabetes (T2D) have significant independent and combined

effects on metabolic, inflammatory, and other pathways, which in turn have wide ranging deleterious effects on numerous organ systems including the cardiovascular and endocrine systems [1]. A rapidly accumulating literature also identifies the nervous system as a target of obesity, metabolic syndrome, and T2D. We discuss evidence that this collection of metabolic disorders results in increased risks for both cognitive decline and dementia. There remain numerous unresolved issues, including the relative importance of several different degenerative mechanisms to the observed neuropathologies and whether observed impairments represent a continuum of a single degenerative process or a collection of separate pathologies that are differentially expressed.

Among the many harmful neural effects of T2D and its precursor conditions is an increased risk for Alzheimer's disease (AD). The most common form of dementia, AD has a high and rapidly growing prevalence in the aging population. In the US alone, the number of persons afflicted with AD is estimated to be 5.1 million, a figure that is anticipated to rise to approximately 7.7 million by 2030 [2]. Given that obesity and T2D also exhibit high prevalence with increasing trajectories, the interaction of these diseases poses a serious health threat. Thus, while we broadly discuss the range of neural effects of T2D on the brain, we will emphasize the impact of metabolic disorders on AD.

Metabolic Dysfunction Increases Risk of Cognitive Impairment

Cognitive function is adversely affected by the prediabetic risk factors central obesity and metabolic syndrome. Longitudinal studies indicate worsening performance on measures of global cognitive function in some, but not all, specific abilities including working memory in persons with metabolic syndrome [3, 4]. In some populations, there is evidence that

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A. Jayaraman · C. J. Pike (✉)
Davis School of Gerontology, University of Southern California,
3715 McClintock Avenue, Los Angeles, CA 90089-0191, USA
e-mail: cjpik@usc.edu

women with metabolic syndrome may be particularly vulnerable to cognitive decline [5]. In the absence of T2D, impairment in glucose regulation and increased serum insulin can lead to mild cognitive impairment (MCI), suggesting that lack of glycemic control may contribute to the observed cognitive decline [6, 7]. Another key factor contributing to worsening of cognitive abilities in aging populations is obesity. Central obesity is associated with impairment in various aspects of cognitive functioning [8, 9]. This relationship may be most important during middle age [8] and diminish during advanced age [10].

Like obesity and metabolic syndrome, T2D is also associated with significant impairments in various aspects of cognitive functioning. For example, a recent functional MRI (fMRI) study showed that T2D patients have altered spontaneous neuronal activity in several brain regions that correlated with poorer cognitive performances [11]. Other imaging studies show increased brain atrophy in individuals with T2D associated with cognitive impairments [12, 13]. Additional factors such as ethnicity and smoking habits can increase the association between diabetes and cognitive impairment [14, 15]. Notably, the rate of cognitive decline in persons with T2D is generally rather slow [16], although a subset of patients show rapid decline [17]. Recent evidence suggests that a key factor underlying accelerated cognitive decline among people with T2D is depression. People with diabetes that score highest on depression indices also show the poorest performance on a range of cognitive tasks [18]. Unclear is whether the observed variation in rates of cognitive decline reflect differential vulnerability of some patients to dementia.

Metabolic Dysfunction Increases Risk of Dementia

Obesity, metabolic syndrome, and T2D not only contribute to impaired cognitive function, but also increase the risk of AD. In the past several years, a wealth of data has shown that persons with T2D and features of metabolic syndrome are at significantly increased risk for development of dementias including AD [19, 20]. The magnitude of the risk is a matter of debate. For example, 1 longitudinal study showed that people with T2D have more than a 2-fold increase in risk for developing AD compared with those without T2D [21]. However, other studies indicate a more modest relationship between T2D and AD or even the lack of an association [22]. A recent meta-analysis of longitudinal studies suggests that the relative risk for AD is approximately 1.5-fold higher among persons with T2D [23]. As with cognitive decline, the increased risk of dementia associated with T2D appears to be affected by age. A longitudinal study showed that central obesity in middle-aged people increased the risk for dementia independent of diabetes [24]. In the aged population, obesity and T2D appear to be only weakly related to AD risk [25].

The association between T2D and its precursor conditions is not limited to AD. In fact, T2D appears to be more strongly associated with increased risk of vascular dementia (VaD) than AD. Some studies suggest that the relationship between T2D and dementia risk largely reflects promotion of VaD and stroke-related dementia rather than AD [26]. Findings from a meta-analysis suggest that T2D patients have a 2.5-fold increase in risk for VaD, a level significantly higher than the risk for AD [23]. Imaging studies reveal that T2D is associated with increased levels of lacunar infarcts [27] and white matter hyperintensities [28]. The presence and duration of hyperglycemia and T2D contribute to increases in brain atrophy and lacunar infarcts compared with non-T2D patients [29]. Together, clinical and epidemiologic findings demonstrate that T2D is associated with increased risks for cognitive decline and dementia. Insights into the pathways underlying these effects are provided by studies in animal models.

T2D Exacerbates Neuropathology in Animal Models of Alzheimer's Disease

If T2D contributes pathologic mechanisms to AD, then one may expect some crossover between pathologies in animal models of T2D and AD. Although it remains to be determined to what extent AD animal models exhibit evidence of T2D-like metabolic changes, several recent studies have demonstrated (1) AD-like neuropathology in animal models of T2D, and (2) accelerated AD-like neuropathology in rodent models of AD following experimental induction of T2D-like metabolic changes by dietary manipulations. For example, the BBZDR/Wor rat model of T2D exhibits several neural changes consistent with AD pathology including neuron loss, dystrophic neurites, increased levels of β -amyloid ($A\beta$), and tau hyperphosphorylation, and decreased expression of insulin and IGF-1 receptors [30]. Tau hyperphosphorylation is also observed in the OLETF rat model [31] and *db/db* mouse model of T2D [32]. Tau phosphorylation [33] and impaired cognitive performance are seen in rats with insulin-dependent diabetes induced using streptozotocin (STZ), a toxin that results in β -cell atrophy [34]. Recent work shows that STZ in mice causes impaired insulin signaling that results in reduced insulin degrading enzyme (IDE) expression in brain with elevated $A\beta$, and increased tau phosphorylation [35]. IDE is a downstream target of insulin signaling and is upregulated via the insulin-PI3K-AKT phosphorylation pathway, forming a negative feedback mechanism [36]. Therefore, impaired insulin signaling may result in decreased IDE levels due to reduced AKT activation. Since IDE is also established as a significant contributor to enzymatic $A\beta$ degradation [37, 38], a decrease in IDE levels would lead to reduced $A\beta$ clearance and subsequent increased $A\beta$ accumulation in the brain. Similarly, in AD mouse models, insulin resistance

induced in Tg2576 AD transgenic mice by a high fat diet results in increased A β accumulation and decreased IDE [39]. APP/PS1 mice exposed to sucrose-supplemented drinking water exhibit glucose intolerance, elevated insulin levels, A β deposition, and behavioral deficits [40]. Thus, findings from experimental paradigms link T2D-like metabolic changes with promotion of AD-like neuropathology.

Several pathways have been independently suggested as linking mechanisms between T2D and AD such as hyperinsulinemia, inflammation, vascular factors, and oxidative stress. However, a combination of 2 or more of these pathways may be working together to connect the 2 disorders. In this following section, we consider the major mechanisms implicated in both T2D and AD and how these pathways contribute to the progression of AD from T2D.

Pathways Linking T2D and AD: Dysregulation of Insulin and Glucose

Dysregulation of insulin and glucose are key characteristics of diabetes. Insulin resistance and impaired insulin signaling also have been linked to increases in AD pathology [41]. Insulin and insulin receptor levels in brain decrease with normal aging [42]. Moreover, insulin receptor expression in the brain decreases further with AD [43]. IDE, a zinc-binding metalloprotease whose substrates include both insulin and A β , may contribute to the interactions between T2D and AD. In transgenic AD mice fed a high fat diet, deficient insulin signaling correlated with decreased IDE levels and increased A β levels [36, 39]. Partial loss-of-function mutations in IDE are capable of inducing T2D and impairing degradation of A β [44]. Although the mechanism(s) underlying the T2D effect is unclear, one possibility is that the loss of IDE function promotes hyperinsulinemia, which in the long-term may contribute to insulin resistance and impaired glucose tolerance. Pharmacologic inhibition of IDE reduces the degradation of insulin, islet amyloid peptide [45], and A β [46]. Interestingly, IDE has a higher affinity for insulin as a substrate than A β [47]. Thus, one mechanistic hypothesis for the role of T2D in AD risk is that the hyperinsulinemia characteristic of T2D results in reduced degradation of A β by IDE, leading to A β accumulation. In the STZ model, diminished insulin signaling due to insulin deficiency may lead to down-regulation of IDE levels, similarly leading to increased accumulation of A β and elevated AD risk.

Interestingly, treatment of T2D may improve neural function and/or slow AD pathogenesis. For example, improved diabetes control is associated with a slowing in cognitive decline [48]. Moreover, common T2D medications such as rosiglitazone and metformin may decrease AD-related cognitive decline and A β levels [49, 50]. Initial results of a clinical trial of intranasal insulin therapy in early AD and MCI patients

indicate slowing of cognitive decline [51]. Studies in animal models are consistent with clinical observations. In 3xTg-AD mouse model of AD, pioglitazone improved learning and plasticity and decreased A β and tau pathologies [52]. Similarly, liraglutide reduced A β plaques and glial activation in APPswe/PS1dE9 model of AD [53]. Still unclear is whether the primary mechanisms of reducing AD-related pathologies by these drugs involve glycemic control and decreasing insulin resistance or other effects on adipose tissue [54], body weight [55], and levels of proinflammatory cytokines [56].

Despite the strong association between hyperinsulinemia, hyperglycemia, and AD pathogenesis, other compelling findings argue against a primary mechanistic role of metabolic factors in AD. For example, diet-induced obesity in 3xTg-AD mice increases A β burden and impairs behavior, although in female mice this acceleration of AD-like pathology occurred in the absence of significant changes in fasting levels of glucose and insulin [57]. Consistent with these data is the observation that induction of insulin resistance and hyperinsulinemia via a mutated insulin receptor failed to significantly accelerate the rates of A β accumulation and cognitive decline in a transgenic AD mouse model [58]. Similarly, knockout of insulin receptor substrate 2 in AD transgenic mice predictably yields significant metabolic dysfunction but reduces rather than accelerates AD-related pathologies [59, 60]. Thus, obesity-related factors other than insulin resistance may be central to the mechanism by which obesity, metabolic syndrome, and T2D increase AD risk. One such factor is inflammation.

Pathways Linking T2D and AD: Inflammation

Pro-inflammatory pathways may also contribute to interactions between T2D and AD. It is well established that central obesity, metabolic syndrome, and diabetes all involve chronic systemic inflammation [61]. Increased levels of several pro-inflammatory cytokines are observed in T2D [62] and several anti-inflammatory drugs have been shown to reduce this effect [63]. Among persons with metabolic syndrome, those with relatively higher inflammation are more likely to develop cognitive impairment than those with low inflammation [64].

Obesity causes an increase in inflammatory cytokines not only in adipose tissue [65] but also in the nervous system. In animal models, diet-induced obesity induces an increase in inflammatory responses in many brain regions, including cerebral cortex [66] and hypothalamus [67]. Recent studies from our laboratory demonstrate higher levels of the pro-inflammatory cytokines TNF- α and IL-1 β in the cortex of mice maintained on high fat diet as well as in primary mixed glial cultures generated from these mice. We also show that the increase in pro-inflammatory factors seen both in the

central and the peripheral nervous system reduces neuronal health (unpublished data).

In AD, inflammatory pathways have been widely hypothesized to directly contribute to disease initiation and progression [68]. A classic neuropathologic characteristic of AD brain is the abundant presence of activated astrocytes and microglia [69], the neural cell types most responsible for inflammatory responses in brain. Elevated levels of pro-inflammatory cytokines are observed in AD [70] as well as in transgenic models of AD [71, 72]. Consistent with a primary role of inflammation in AD are the results of recent genome-wide association studies, in which several genes linked with AD function in innate immunity [73]. Unclear is whether the same genetic polymorphisms contribute to T2D. Although the literature remains undecided, there is evidence from observational studies that use of nonsteroidal anti-inflammatory drugs (NSAIDs) may decrease the risk for developing AD [74]. Given the established pro-inflammatory profiles of obesity, metabolic syndrome, and T2D and the presumed role of these pathways in AD pathogenesis, inflammation is likely a key mechanism contributing to the interactions across the diseases.

Pathways Linking T2D and AD: Other Factors

Among the other possible mechanisms that contribute to the relationship between T2D and AD are those involving vascular risk factors, lipoprotein receptors, and oxidative stress. Vascular risk factors include hypertension, cerebrovascular diseases, and hypercholesterolemia. Studies have shown that the presence of a combination of these vascular factors promote the development of AD and AD-related neuropathology [30, 75]. Defects in brain vasculature and blood-brain barrier are also seen in AD patients [76] suggesting that vascular factors in the nervous system are important in AD pathogenesis. Lipoprotein receptors and lipoprotein receptor-related protein-1 (LRP-1) are another set of factors involved in metabolic syndrome and AD. Lipoprotein receptors and LRP-1 aid in A β clearance from liver as well as brain [77, 78]. LRP-1 is also involved in intracellular cholesterol and fatty acid storage [79] whereas LRP-6, has been shown to regulate body weight and glucose homeostasis [80]. Pathways regulating LRP-1 have been shown to improve A β -induced learning and memory impairments in rats [81]. Oxidative stress pathways play key roles in several pathologic disorders including T2D and AD. One such pathway is advanced glycation resulting in the production of advanced glycation end products (AGEs) [82]. In addition to AGEs, the receptor for advanced glycation end products (RAGE) has been identified to be a ligand for A β fibrils [83, 84] and may be involved in the neurotoxic effects of A β in neurons and microglia [85, 86]. Further, RAGE regulates the accumulation and transport of A β across the blood-brain barrier [87]. RAGE is known to be upregulated in both T2D and

AD [85, 88]. Hence, RAGE acts as a progression factor that exacerbates the immune and inflammatory pathways leading to cellular dysfunction [89], which in turn may facilitate interactions between T2D and AD.

Modifiers of T2D and AD Relationship: Apolipoprotein E

The relationship between T2D and AD appears to be significantly influenced by several factors. One modulator is apolipoprotein E (ApoE). The ApoE ϵ 4 allele is the most significant genetic risk factor for late-onset AD, the risk of AD increasing with the number of ApoE ϵ 4 alleles present [90]. ApoE functions in lipid transport and lipoprotein metabolism [91] and regulates several important neuronal actions including neuronal repair, synaptogenesis, nerve growth, and development [91]. The severity of AD pathology is influenced by ApoE genotype as indicated by studies showing that the presence of ApoE ϵ 4 alleles increases both the rate and amount of A β deposition [92].

The risk for AD in T2D cases is increased in ApoE ϵ 4 carriers [20, 93]. Further, the presence of ApoE ϵ 4 in T2D cases with AD is associated with increased neurofibrillary tangles, amyloid plaques, and cerebral amyloid angiopathy [94]. Recent clinical findings show that persons with ApoE ϵ 4 have higher levels of lipid-depleted A β , an effect that is worsened by consumption of a high-fat, high glycemic index diet [95]. ApoE ϵ 4 carriers also have lower levels of insulin degrading enzyme, which may affect both insulin signaling and A β clearance in T2D and AD cases [96]. In AD mice with sucrose-induced insulin resistance, ApoE levels are increased 2.5-fold, perhaps contributing to A β accumulation and increased AD pathology [40]. The levels of insulin in cerebrospinal fluid and plasma appear to be lower in AD patients with an ApoE ϵ 4 allele compared with patients with no ϵ 4 allele [97]. Insulin administration has been found to be more effective on aspects of memory and A β pathology in AD patients who were ApoE ϵ 4 null compared with those who were ApoE ϵ 4 carriers [98, 99]. Taken together these studies suggest that ApoE genotype acts as a positive regulator of the T2D/AD relationship.

Modifiers of T2D and AD Relationship: Low Testosterone

Normal age-related depletion of testosterone in men, commonly referred to as andropause, results in a constellation of symptoms that reflect dysfunction and vulnerability to disease in androgen-responsive tissues including brain, muscle, bone, and adipose tissues [100]. In the past several years, research from several groups including ours has identified andropause as a significant risk factor for AD [101]. Men with low levels of testosterone in either blood [102, 103] or brain [104, 105] are at increased risk for developing AD. Importantly, low

testosterone precedes both the cognitive [106] and neuropathologic [104, 105] diagnoses of AD, suggesting that it is a contributing factor to rather than a result of the disease.

An established and rapidly growing body of epidemiologic and clinical evidence indicates strong associations between low testosterone levels in men, T2D, and metabolic syndrome. Several studies have found a correlation between low testosterone and insulin resistance in men [107, 108]. Longitudinal studies have shown that low testosterone precedes metabolic syndrome, appearing 5–10 years prior to the development of metabolic and cardiovascular symptoms [109, 110]. Since metabolic syndrome is often a precursor to development of T2D, it is not surprising that men with T2D have significantly lower levels of total and free testosterone in comparison with age-matched controls with no diabetes [107]. Testosterone therapy used for the treatment of androgen deficiency reduces features of T2D and metabolic syndrome, including insulin resistance, adiposity, and total cholesterol [111, 112] while improving glycemic control [113]. On the other hand, the use of androgen deprivation therapy for treatment of prostate cancer indicates that testosterone depletion can increase the incidence and prevalence of T2D [114, 115] and metabolic syndrome [116]. Further, androgen deprivation therapy has been found to lower insulin sensitivity and glycemic control, and increase insulin and cholesterol levels [117]. Thus, available evidence indicates that T2D lowers testosterone levels in men and, conversely, that low testosterone increases indices of T2D.

Interestingly, there is compelling evidence of a significant relationship between androgens and ApoE genotype. In humans, circulating levels of testosterone are lower in men with at least 1 ApoE ϵ 4 allele [118]. In animal studies, Raber and colleagues have shown that androgens antagonize behavioral deficits in ApoE ϵ 4 mice [119]. Depletion of endogenous testosterone following castration in ApoE ϵ 4 mice results in behavioral impairments in some but not all tasks [120]. Similarly, inhibition of AR function by pharmacologic and genetic approaches results in behavioral

impairments in ApoE ϵ 4 mice but not ApoE ϵ 3 mice [119, 121]. Further, lower levels of AR have been observed in both male and female ApoE ϵ 4 mice, although it is unclear if ApoE ϵ 4 is directly affecting AR levels or otherwise interfering with androgen binding to AR [119]. Thus, ApoE ϵ 4 genotype is associated with both lower testosterone levels and attenuation of neural androgen actions, effects that are predicted to magnify interactions effects of low testosterone, T2D and AD.

Conclusions

In summary, an extensive set of findings from epidemiologic, clinical, and animal models have identified a complex set of interactions wherein T2D and its precursor conditions obesity and metabolic syndrome exert deleterious effects on the brain. The primary negative outcomes of these metabolic disorders are cognitive decline and increased risk for dementias of the vascular and Alzheimer's types. Although cognitive decline is a component of all dementias, we suggest that the neural outcomes of T2D do not reflect a single condition but rather are manifestations of a range of pathologies. Numerous mechanisms are hypothesized to contribute to observed neuropathologies, including metabolic, inflammatory, vascular, and oxidative changes (Fig. 1). The magnitude and perhaps the form of neural injury are likely influenced by a set of modifiers. Specifically, we focused on age-related testosterone depletion in men and the Apo E ϵ 4 allele as independent and interactive risk factors for AD and the promotion of AD pathogenesis by T2D.

The multifaceted and interactive nature of the associations between T2D and neural dysfunction and disease is daunting in its apparent complexity but encouraging in terms of potential therapies. In addition to reducing neural damage by conventional T2D-related approaches, promising strategies include specific interventions that target implicated pathways

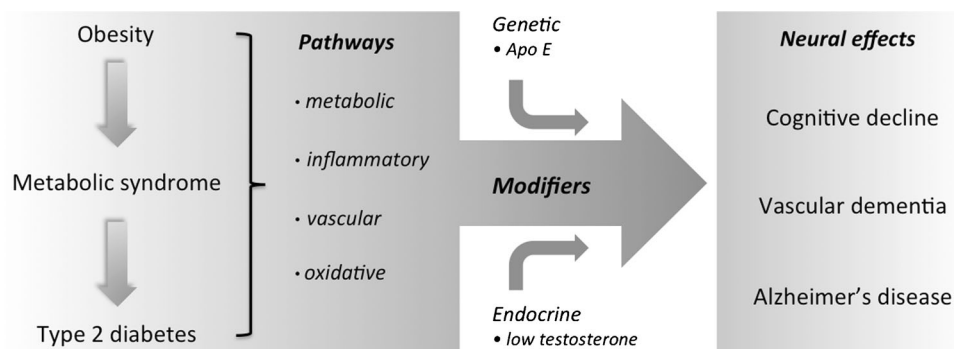


Fig. 1 Obesity and metabolic syndrome are precursor disorders to type 2 diabetes. All 3 conditions independently and interactively activate a range of metabolic, inflammatory, and oxidative changes that contribute to deleterious effects on the brain. The damaging effects of metabolic disorders are influenced by several modifying factors, including

endocrine changes such as low testosterone and genetic factors such as the apolipoprotein E (Apo E) ϵ 4 allele. In response to these damaging pathways, the brain exhibits cognitive decline and increased risk to Alzheimer's and vascular dementias

and modifying factors (Fig. 1). One example is testosterone-based therapy including the use of novel selective androgen receptor modulators, which are predicted to favorably affect both T2D- and AD-specific pathways as well as their interactions.

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Compliance with Ethics Guidelines

Conflict of Interest Anusha Jayaraman declares that she has no conflict of interest. Christian J. Pike declares that he has no conflict of interest.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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