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Cutaneous Lupus Erythematosus: Progress and Challenges

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Abstract

Purpose of Review The review provides an update on the diagnosis, pathogenesis, and treatment of cutaneous lupus erythematosus (CLE).

Recent Findings Diagnostic challenges exist in better defining CLE as an independent disease distinct from systemic lupus erythematosus with cutaneous features and further classifying CLE based on clinical, histological, and laboratory features. Recent mechanistic studies revealed more genetic variations, environmental triggers, and immunologic dysfunctions that are associated with CLE. Drug induction specifically has emerged as one of the most important triggers for CLE. Treatment options include topical agents and systemic therapies, including newer biologics such as belimumab, rituximab, ustekinumab, anifrolumab, and BIIB059 that have shown good clinical efficacy in trials.

Summary CLE is a group of complex and heterogenous diseases. Future studies are warranted to better define CLE within the spectrum of lupus erythematosus. Better insight into the pathogenesis of CLE could facilitate the design of more targeted therapies.

Keywords Cutaneous lupus erythematosus · Systemic lupus erythematosus · Drug-induced lupus erythematosus · Management

Introduction

Lupus erythematosus (LE) encompasses a diverse group of autoimmune diseases characterized by a spectrum of clinical, histological, and immunological findings. Cutaneous manifestations may occur as a single separate entity of the disease (cutaneous lupus erythematosus, CLE) or in association with systemic involvement of multiple organs, such as the heart, lung, and kidney (systemic lupus erythematosus, SLE) [1]. As a result, LE can be extremely debilitating, resulting in significant medical morbidity and psychological stress in many patients. This review focuses on recent insights in the pathogenesis and treatment of CLE.

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Diagnosis and Classification of CLE

The spectrum of cutaneous findings in CLE is broad and heterogenous. Therefore, CLE is an ill-defined set of disorders categorized together based on common features and similar responses to treatment. Currently, CLE can be further divided into several subtypes based on constellations of clinical features, duration of the cutaneous lesions, histological features, and laboratory abnormalities [1]. These are: (1) acute cutaneous lupus erythematosus (ACLE) (Fig. 1); (2) subacute cutaneous lupus erythematosus (SCLE) (Fig. 2); (3) chronic cutaneous lupus erythematosus (CCLE), which includes discoid lupus erythematosus (DLE) (Fig. 3), lupus erythematosus panniculitis (LEP), and chilblain lupus erythematosus (CHLE); and (4) intermittent cutaneous lupus erythematosus (ICLE), which includes lupus erythematosus tumidus (LET)-although this division is not universally accepted [2]. Detailed clinical, histologic, and immunologic features of different CLE subtypes are summarized in Table 1.

Many diagnostic challenges exist in better defining CLE as an independent disease versus as a subtype of SLE with cutaneous features. Currently, the diagnosis of CLE relies



Fig. 1 Acute cutaneous lupus erythematosus (ACLE)

on the criteria for the classification of SLE established by the American College of Rheumatology (ACR). The ACR guidelines require 4 out of 11 criteria to be met for a diagnosis of SLE. However, four criteria included in the guidelines are related to cutaneous findings-malar rash, discoid lesions, oral ulcers, and photosensitivity [3]. Therefore, many argue that these diagnostic criteria skew diagnosis and inadequately distinguish CLE from SLE [4]. In addition, the ACR criteria are currently in transition as the European League Against Rheumatism (EULAR) and ACR proposed an updated classification criteria system for SLE in 2019 [5..]. Consequently, others have proposed a need for a more uniform definition for CLE with international consensus on diagnostic and classification criteria. In 2012, the Systemic Lupus International Collaborating Clinics Classification Criteria (SLICC) proposed revised dermatologic criteria for ACLE, CCLE, oral ulcers, and non-scarring alopecia when diagnosing SLE [6]. Recently, another formal evaluation process was initiated for employing the Delphi method to better define DLE, one of the most common and recognizable subtypes of CLE

Fig. 2 Subacute cutaneous lupus erythematosus (SCLE)

[7]. Further epidemiologic and mechanistic studies in this area are warranted.

Clinical presentation can be variable, but classically SCLE presents as arcuate, erythematous plaques with variable scale which can sometimes be mistaken for urticarial lesions. DLE typically presents as plaques with dyspigmentation, erythema, scale, and sometimes ulceration, which frequently result in scarring. Additionally, pruritus frequently accompanies CLE and is most commonly reported by ACLE patients [8].

Once diagnosed, disease severity can be quantified using the Cutaneous Lupus Erythematosus Disease Area and Severity Index (CLASI) [9]. The rating system consists of two scores that measure both activity and damage of the disease based on the degree of erythema, scale, mucous membrane lesions, alopecia, dyspigmentation, and scarring of the lesional skin. Scored on a 0–10 scale from both patients' and physicians' perspectives, it has correlated well with the clinical aspects of CLE. Additionally, the CLASI has been validated and proven to be useful in therapeutic trials [10].

Epidemiology

Epidemiologically, CLE was estimated to occur two to three times more frequently than SLE by Tebbe and Orphanos [11]. However, in the USA, few population-based studies have estimated the prevalence of CLE. In a study with a predominantly Caucasian population in Minnesota, prevalence rates of CLE were found to be 73.24 per 100,000 with an annual incidence rate of 4.3 per 100,000 [12]. Though not demographically representative of the USA as a whole, the study





Fig. 3 Discoid lupus erythematosus (DLE)





reported an incidence rate of 3.56 per 100,000 for DLE, which is similar to an incidence rate of 3.7 per 100,000 for DLE in a more racially diverse population in the southeastern USA reported in 2019 [13]. As with other autoimmune diseases, CLE is also consistently more prevalent in females than males. The Georgia Lupus Registry study showed a female:male ratio of 3.1:1 for DLE, and a nationwide cohort study in Denmark showed a female:male ratio of 4:1 for CLE [13, 14]. In addition, there appears to be racial differences among CLE patients, with African Americans having a 5.4-fold higher risk for DLE than Caucasians [13].

Pathogenesis

Though the skin manifestations of CLE have been extensively studied and reported in the past decade, the pathophysiology of CLE remains incompletely understood. However, current data suggest that the initiation and persistence of CLE involves a complex interplay between the skin and the innate and adaptive immune systems, genetic risk factors, and environmental triggers, including UV exposure and drug induction [3, 15, 16].

Previous reports have highlighted important associations between several genes and an increased risk of CLE, including human leukocyte antigen (HLA) subtypes, tumor necrosis factor-alpha (TNF- α), and complement promoter variants. In addition, single nucleotide polymorphisms in interferon regulatory factor 5 (IRF5), cytotoxic T-lymphocyteassociated protein 4 (CTLA4), integrin alpha M (ITGAM), and tyrosine kinase 2 (TYK2) have also been reported [17–21]. A recent large genome-wide association study comparing 183 CLE cases to 1288 healthy controls identified polymorphisms in casein kinase 2 and ribonuclease P protein subunit p21 (RPP21) [22]. In addition, genome-wide DNA methylation studies have reported many differentially methylated regions associated with a malar rash and discoid rash in the CD4⁺ T cells of patients with SLE. These regions were found to be mainly associated with cell proliferation, apoptosis, and antigen processing and presentation [23].

UV radiation remains one of the most important triggers for CLE, with a large percentage of CLE patients exhibiting photosensitivity. Furukawa et al. and Toberer et al. proposed that UV radiation alters keratinocyte morphology and function, promotes the expression of autoantigens on cell membranes, and triggers cell apoptosis [24, 25]. In addition, several inflammatory cytokines and chemokines, including TNF- α , IL-18, and type I interferons (IFNs), are upregulated upon UV exposure and have been found to be involved in the pathogenesis of CLE [26-29]. Increased expression of genes regulated by IFN was found in both the lesional epidermis and dermis of CLE patients. Type I IFNs also directly promote the infiltration of T helper 1 (Th1) cells, further accelerating cutaneous inflammation [30]. Lastly, upregulation of these cytokines and chemokines is intimately associated with the production of autoantibodies that are frequently deposited at the

CLE Subtype	Clinical characteristics	Histologic and immunologic features	Photosensitivity
ACLE	-Malar rash -Maculopapular rash on sun-exposed areas -Erythema multiforme-like lesions -Mucosal ulcerations Diffuse hoir thinning	-Liquefactive degeneration of the basal layer -Edema of the upper dermis -Interface and perivascular lymphocytic infiltrate - + ANA, + Anti-dsDNA, + Anti-Sm	++
SCLE	-Annular or papulosquamous (psoriasiform) rash on sun-exposed areas -Non-indurated and often heal without scarring -Systemic symptoms: arthritis and myalgias	-Hydropic degeneration of the basal layer -Hyperkeratosis -Sparse superficial inflammatory infiltrate - + ANA + Anti-Ro/SSA	++
DLE	-Annular erythematous plaques -Scarring alopecia -Frequent atrophy with depigmentation centrally -Exacerbated by trauma and sun-exposure	-Hyperkeratosis -Dilated follicles filled with keratin -Dermal inflammatory infiltrate -Vacuolar degeneration of the basal layer -Low autoantibody positivity	+
LEP	 Painful subcutaneous nodules in areas of increased fat deposition Frequent flares Atrophic scars 	-Dense deep dermal inflammatory infiltrate (can resemble subcutaneous lymphoma)	?
CHLE	 -Painful, violaceous plaques and nodules in cold-exposed areas -Central erosions/ulcerations on acral surfaces 	- Epidermal atrophy - Interface vacuolization - Perivascular mononuclear infiltrate	
LET	-Erythematous, edematous polycyclic plaques with sharp raised borders-No follicular plugging-Often non-scarring	-Dense perivascular infiltrate without involvement of the interface -Negative DIF	+++

 Table 1
 Subtypes and clinical characteristics of CLE

Abbreviations: *CLE*, cutaneous lupus erythematosus; *ACLE*, acute cutaneous lupus erythematosus; *SCLE*, subacute cutaneous lupus erythematosus; *DLE*, discoid lupus erythematosus; *LEP*, lupus erythematosus panniculitis; *CHLE*, chilblain lupus erythematosus; *LET*, lupus erythematosus tumidus; *ANA*, anti-nuclear antibody; *Anti-dsDNA*, anti-double stranded DNA; *Anti-Sm*, anti-Smith antibody; *DIF*, direct immunofluorescence

dermal–epidermal junction and may result in an antibodydependent cell-mediated cytotoxicity. Whether these autoantibodies contribute to the pathogenesis of CLE remains unclear. However, these autoantibodies may offer prognostic value: studies found an association between anti-Smith (Sm) antibody and photosensitivity and discoid rash, between anti-U1RNP antibody and malar rash and Raynaud's, between anti-SSA/Ro antibody with malar rash and oral ulcers [31], and between anti-SSA/Ro with photosensitivity in CLE patients, among others [32].

Drug induction has also emerged to be one of the most important triggers for CLE with many reports citing newly developed biologics, immunotherapeutic, and chemotherapeutic agents that can induce onset of this disease [33•]. TNF- α inhibitors have previously been reported to trigger drug-induced SCLE (DI-SCLE), the most commonly described form of drug-induced CLE (DI-CLE). In a recent report, TNF- α inhibitors were found to have the second highest odds ratio following terbinafine in inducing SCLE [34]. Recent case studies also highlighted the association between SCLE and several immunomodulatory drugs targeting programmed death 1 (PD-1), programmed death ligand 1 (PD-L1), cytotoxic T-lymphocyte associated protein-4 (CTLA-4), IL-17, and IL-12/23 [35–40]. As these agents become increasingly popular, physicians should consider the potential dermatologic adverse effects. With an ever growing list of more than forty medications that can trigger this condition, understanding the underlying mechanisms for DI-CLE is more important than ever. Despite this, DI-CLE remains poorly understood. Previous reports have proposed several mechanisms, including genetic susceptibility, drug biotransformation, and epigenetic alterations in immune cells [33•, 41]. Interestingly, neutrophil extracellular trap (NET) formation was recently discovered to be causative of drug-induced lupus, suggesting that dysregulation of innate immune system cell clearance could play a critical role in the pathogenesis of DI-CLE or DI-SLE [42]. However, most of these mechanistic studies primarily focused on drug-induced SLE (DI-SLE). Whether the same pathogenic mechanisms are applicable in DI-CLE remains unclear.

Treatments

Numerous therapies exist to treat patients with CLE, each with varying degrees of efficacy and supporting evidence. These range from topical agents—including corticosteroids and calcineurin inhibitors—to systemic therapies, including antimalarials, immunosuppressants, retinoids, thalidomide/lenalidomide, and biologics. Presently, there is no medication

specifically approved for the treatment of CLE, as most of the therapeutic options used in CLE patients, with the exception of thalidomide, are also used in SLE. Our discussion of the treatment of SLE will primarily focus on newly emerging agents and the evidence of their efficacy.

Topical Agents

Topical corticosteroids are the accepted first-line therapy for patients with CLE, although there has been limited published evidence in recent years supporting their efficacy. Nonetheless, many patients with localized disease are successfully managed with photoprotection and a high potency or super-high potency topical corticosteroid. Patients with more extensive disease can be bridged with topical corticosteroids while initiating slower acting systemic therapies such as anti-malarials or immunosuppressants [3, 43•, 44].

Topical calcineurin inhibitors (TCIs) were also extensively studied in recent years for their steroid-sparing effects, especially in sensitive areas with thinner skin, and found to have equivalent benefit to some topical corticosteroid therapies. Wang et al. compared tacrolimus 0.03% ointment with triamcinolone acetonide 0.1% cream in 31 patients with biopsyproven DLE and found no difference in rate of healing, reduction in erosion, erythema, or reticulation in both groups with treatment [45]. Pothinamthong et al. showed good clinical response in CLE patients with a combined regimen of twice daily tacrolimus 0.1% and once daily clobetasol 0.05% ointments [46]. Another TCI, pimecrolimus 1% cream, also showed similar clinical efficacy in patients with moderate-tosevere facial DLE when compared to betamethasone valerate 0.1% cream [47]. There are conflicting data on whether TCIs are effective in all subtypes of CLE. One study reported that tacrolimus 0.1% ointment was more effective in patients with DLE, LET, and ACLE than patients with SCLE, whereas another report demonstrated equal clinical efficacy in patients with DLE, SCLE, and SLE with malar rash [48, 49].

Antimalarials

Antimalarials are recommended as the first-line and long-term systemic therapy for patients with severe or widespread CLE, particularly those with a high risk of scarring and/or developing systemic disease [50, 51]. The mechanism of this therapeutic class is not fully understood, but the latest evidence implicates modulation of autoantigen presentation, blockages and inhibition of inflammatory cytokines, inhibition of toll-like receptor (TLR) signaling, and prostaglandin effects as central to its benefit to CLE patients [52, 53]. Its family members include hydroxychloroquine (HCQ), chloroquine, and quinacrine, with HCQ being the most commonly studied and used agent. In a multicenter, randomized, double-blinded randomized clinical trial (RCT), Yokogawa et al.

found that both HCO and placebo resulted in significant reduction in symptom severity measured by CLASI in patients with active CLE, although the HCQ group reduced CLASI scores by -4.6 on average compared to -3.2 in the placebo group [54]. In addition, two observational studies published in 2018 also consistently reported > 50% response rates on average for patients with mixed CLE, with the most common adverse effect being retinopathy [55, 56]. Interestingly, Chasset et al. reported that the response to HCQ can decrease over time, but patients generally had > 50% response to a second antimalarial agent after switching from HCQ [55]. There is significant evidence that blood levels of hydroxychloroquine correlate with therapeutic response, suggesting that adherence and absorption may play a role in response [57]. Lastly, Ugarte et al. reported a statistically significant improvement in CLASI scores at all time points with a 91% complete/partial response rate when treated with HCQ and quinacrine combination therapy for 46 SLE patients with refractory skin and/or joint disease [58]. Overall, the side effects of antimalarials are tolerable in most patients, but it is recommended by the American Academy of Ophthalmology that their dosage not exceed 5 mg/kg of real body weight per day to minimize the risk of retinopathy and that they be followed closely for development of this complication [59].

Immunosuppressants

Methotrexate (MTX) and mycophenolate mofetil (MMF) are immunosuppressants with reported efficacy in the treatment of CLE or SLE with active cutaneous involvement. MTX has been successfully used as a second-line treatment in refractory SCLE and DLE [1]. A retrospective study of 43 patients with refractory CLE treated with 7.5-25 mg/week MTX found a 98% response rate in DLE patients, especially those with localized disease [60]. More recently, an RCT published in 2012 showed that MTX has equivalent therapeutic efficacy as chloroquine, and both led to significant improvement in skin disease at 24 weeks [61]. In refractory CLE, MMF has also been shown to be effective when combined with HCQ and/or systemic corticosteroids. Gammon et al. reported a 62% complete/near complete recovery rate in these patients treated with MMF in addition to standard therapy with a mean treatment time to initial response of 2.76 months [62, 63]. Additionally, mycophenolate acid, an enteric-coated form of MMF, reduced CLASI score by 73% as a monotherapy in patients with SCLE who are resistant to standard therapy [64]. However, with the emergence of newer and more targeted biologics, fewer studies have focused on the clinical efficacy and safety profile of these immunosuppressants in more recent years. Known side effects of methotrexate are GI intolerance, bone marrow suppression, pulmonary fibrosis, and hepatitis, whereas MMF can cause significant GI distress and bone marrow suppression and may increase the risk of some cancers [65, 66]. Regardless, the S2k guidelines recommend MTX up to 20 mg per week as a second-line therapy and MMF as a third-line therapy in refractory CLE patients, preferably in addition to antimalarials [51].

Thalidomide and Lenalidomide

Thalidomide and lenalidomide are thought effective in treating refractory CLE due to their inhibitory effects on the production of inflammatory cytokines and their ability to prevent keratinocyte apoptosis induced by UVB light [43•]. There are currently no RCTs investigating the clinical efficacy of these agents. However, several non-controlled trials all report consistently high rates of response (98-100%) to thalidomide with complete clearance rates ranging from 54.5 to 85% in patients with various subtypes of CLE [67–70]. Recent observational studies and small case series have also found high rates of response [71–73]. However, therapeutic efficacy of thalidomide was limited by the high risk of relapse upon cessation of treatment, as well as sensory neuropathy in up to 50-70% of patients [67, 69-72]. Additionally, because of the potential for sedation, patients should be counseled to use with caution when driving or performing tasks that require alertness [74]. Several non-controlled trials and observational studies also have assessed response of CLE patients to lenalidomide since 2012 and reported encouraging responses to lenalidomide with lower rates of neuropathy [75–79]. In addition, subgroup analysis seemed to show that lenalidomide is more effective in DLE and SCLE compared to LEP [76, 79]. Overall, recent reports have shown exciting results for the use of thalidomide and lenalidomide in CLE patients, but peripheral neuropathy and thromboembolic events related to thalidomide and cytopenia related to lenalidomide may limit their use.

Biologics

Over the past decade, many biologics have been studied and more are currently under investigation in clinical trials. Out of all the available biologics, in SLE most focused on belimumab and rituximab [43•]. Newer biologics under investigation for SLE/CLE include ustekinumab, a monoclonal antibody (mAb) targeting IL-12/23 [80]; anifrolumab, a mAb against the type I interferon receptor [81]; BIIB059, a mAb targeting dendritic cell antigen 2 [82]; AMG811, an anti-interferon gamma (IFN- γ) antibody [83]; and sirukumab, an anti-IL6 antibody [84].

Belimumab is a mAb that reduces B lymphocyte survival by interfering with the binding of soluble human B lymphocyte stimulator to its B-cell receptors [43•]. In a randomized, double-blinded, placebo-controlled trial of 836 SLE patients (735 with mucocutaneous manifestations), 61.4% of patients on belimumab had significant improvement, compared to 48.4% of those on placebo, measured by the SLE Responder Index 4 (SRI4), a method that was developed to capture improvement of SLE disease activity for use in clinical trials [85]. Another non-controlled trial involving 67 patients with mixed CLE/SLE also reported significant reduction in CLASI activity score compared to baseline at 6, 12, and 24 months after treatment initiation [86]. Lastly, a small series of 62 patients with refractory SLE showed improvement measured by SLEDAI-2 K and CLASI scores with the addition of belimumab to standard therapy [87]. Nevertheless, none of the studies specifically focused on CLE, and further studies focusing on CLE are needed.

Rituximab is a chimeric monoclonal antibody specific for human antigen CD20, a B lymphocyte specific membranebound glycoprotein [43•]. Three recent observational studies investigated the use of rituximab, with two 1000 mg doses administered 2 weeks apart and accompanied by intravenous cyclophosphamide or methylprednisone [88–90]. While two studies reported promising response rates that were greater than 70%, one showed a mucocutaneous response rate of only 35% [88–90]. In this same study, patients with DLE did not respond to rituximab [89]. Overall, patients with ACLE appeared to be most responsive to rituximab, while very little evidence supported the use of rituximab in DLE or SCLE [88, 90].

The potential therapeutic effects of ustekinumab were highlighted in one RCT that compared ustekinumab to placebo in cutaneous manifestations of SLE, as measured by the CLASI scoring system. Response rate, defined as 50% or more reduction in CLASI score, was found to be significantly greater in the ustekinumab group, whereas there was no significant difference in adverse effects found between the two groups [80]. A similar effect was also found when Furie et al. examined anifrolumab administered intravenously at 300 mg or 1000 mg every 4 weeks for 48 weeks for patients with moderate-to-severe SLE. Anifrolumab 300 mg, combined with standard therapy, was found to have a significantly higher frequency of $\geq 50\%$ improvement in CLASI scores when compared to standard therapy alone [81]. BIIB059 treatments for SLE patients with active skin disease also demonstrated higher rate of improvement in CLASI scores than placebo, which was associated with a reduction of interferon (IFN) pathway marker activation on biopsies [82].

However, AMG811, another interferon-pathway targeting agent, was evaluated in patients with DLE with or without SLE, and it did not show any difference in response between treatment and placebo groups as measured by the CLASI activity score [83]. Another RCT involving sirukumab also showed mixed results, while SELENA-SLEDAI scores were reduced in the treatment group, no decrease in CLASI scores was observed [84]. Overall, the newer biologics show promising results for patients suffering from moderate-to-severe CLE or SLE with cutaneous involvement.

Management for DI-CLE

Though the pathogenesis of DI-CLE is incompletely understood, there is a general consensus that the crucial first step is cessation of any causative agent [33, 41]. Most patients will have resolution of symptoms in a matter of weeks. While discontinuation of the offending drug is usually sufficient for resolution of the disease, some patients do require further treatments, depending on the extent of clinical symptoms. Localized disease can often be successfully managed with potent topical corticosteroids or TCIs, but systemic or widespread disease activity can sometimes require antimalarials such as HCQ or a short course of systemic corticosteroids, which should be used with caution. Immunosuppressants and biologics that are effective in treating idiopathic nondrug induced CLE are normally not required for DI-CLE patients [91].

Conclusion and Perspectives

CLE is a group of heterogenous diseases with a wide range of dermatologic symptoms. To date, the proper diagnostic criteria and classification system for CLE are still being debated. In addition, the underlying pathogenesis of CLE remains incompletely understood. Drug induction has emerged to be one of the important clinical considerations when managing patients with new onset CLE. Photoprotection and topical corticosteroids remain the gold standard for patients with localized disease. For more severe and systemic diseases, antimalarials are considered the first-line treatment with a good level of supporting evidence. More research is underway to investigate novel agents that target the immune cells and inflammatory pathways that are involved in the pathogenesis of CLE. Future studies are warranted to better define CLE within the spectrum of LE, and a better insight into the pathogenesis of CLE will also be instrumental in designing more targeted therapies.

Compliance with Ethical Standards

Conflict of Interest The authors declare that they have no competing interests.

Human and Animal Rights and Informed Consent This article does not contain any studies with human or animal subjects performed by any of the authors.

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