BASIC AND APPLIED SCIENCE (M FRIERI, SECTION EDITOR)

# Immunology and Clinical Manifestations of Non-Clonal Mast Cell Activation Syndrome

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Published online: 5 December 2012 © Springer Science+Business Media New York 2012

Abstract There is a spectrum of disorders that clinically manifest as a result of mast cell activation. A non-clonal form has emerged in the literature where many of the clinical features of systemic mastocytosis are shared despite having a distinct mast cell biology. In this review, we summarize key features of the science behind mast cell activation relevant to what is now known as non-clonal mast cell activation syndrome (nc-MCAS). We highlight the clinical manifestations of nc-MCAS with a focus on diagnosis and treatment.

**Keywords** Mast cell activation · Non-clonal mast cell activation syndrome · Tryptase · Prostaglandin · Histamine · cKIT · Flushing · Anaphylaxis · Antihistamines · Immunology

# Introduction

The collection of signs and symptoms that results from mast cell activation has been well documented in patients with systemic mastocytosis [1, 2]. Many of the clinical features attributable to the systemic effects of mast cell mediators are also seen in patients with allergic diseases and anaphylaxis [3].

Over the past several years, a new clinical disorder has emerged in the literature. In what is now known as non-clonal mast cell activation syndrome (nc-MCAS), patients may exhibit all the signs and symptoms of mast cell activation without evidence for mast cell clonality or obvious allergic

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phenomena. Although populations of patients with nc-MCAS have been recognized in previous studies, definitions and diagnostic criteria [4•, 5] for nc-MCAS have only been recently proposed and backed by expert opinion [6]. It is therefore possible to study this unique population of patients in order to validate the clinical and diagnostic features of nc-MCAS in various clinical settings. In this review, we will provide an overview of the biology of mast cell activation within the framework of the clinical disorder. We will also discuss the pertinent clinical manifestations of nc-MCAS with an emphasis on diagnosis and treatment in adults.

#### **Biology of Mast Cell Activation**

## Role of Mast Cells

Mast cells are hematopoietically derived immune cells that home to tissue compartments to carry out physiologic roles in innate host defense against infectious pathogens, neutralization of toxins, and both positive and negative regulation of the adaptive immune response (reviewed in Metcalfe [7] and Galli [8]). In various disease states, mast cells have pathologic roles including the clonal mast cell disorders (e.g. systemic mastocytosis, SM), immediate hypersensitivity reactions, and anaphylaxis (reviewed in Metcalfe [7], Frieri [9] and Brown [10]).

Perhaps not surprisingly, given the complexity and diversity of the mediators and mechanisms by which they are activated, mast cells may also play a pathologic role even in the absence of allergy or clonality.

The majority of patients with SM have mutations of the tyrosine kinase receptor c-KIT, including the D816V activating mutation, which induces constitutional phosphorylation of c-KIT and mast cell activation [8]. The mechanisms by which mast cells are aberrantly activated in nc-MCAS

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remain unclear. Initial efforts have focused on cKIT, possibly to extend what is known about mast cell activation in SM [11, 12]. Molderings et al. have reported the presence of multiple cKIT mutations and alternative splicing variants in patients with a history suggestive of mast cell activation using peripheral blood-derived CD117+ cells and wholeexome DNA sequencing [13, 14]. Although these are novel findings in a mast cell regulatory gene, functional studies need to be carried out to determine the pathologic significance of these changes. Whichever mechanisms emerge, it is already clear that the pathophysiology of nc-MCAS differs from the disorders characterized by a clonal expansion of mast cells.

#### Actions of Mediators

Mast cells may be activated in a variety of ways depending on the stimulus coming from the surrounding microenvironment. When the high-affinity IgE receptor is engaged with crosslinked IgE, mast cells may exhibit an "anaphylactic" response where the majority of the mediators are released (Fig. 1). These mediators are responsible for many of the immunomodulatory and inflammatory roles played by mast cells [15] and may be categorized as preformed or newly synthesized. The former include biogenic amines (such as histamine and serotonin), chemokines [such as monocyte chemoattractant protein 1 (MCP-1) and IL-8], and enzymes (such as tryptase and chymase); the latter include cytokines (such as IL-1, 3–6, 13) and lipid mediators (such as LTC<sub>4</sub> and PGD<sub>2</sub>) [16]. With possible relevance to nc-MCAS, mast cells contain many other cell surface receptors that when activated by external stimuli may result in a more selective, non-"anaphylactic" release of mediators. These receptors include Toll-like receptors (TLRs)[17], hormone receptors (for estrogen, progesterone,  $\alpha$ -MSH, CRH), cytokine and chemokine receptors (for ligands such as stem cell factor (SCF), nerve growth factor (NGF), macrophage inflammatory protein-1 $\alpha$  (MIP-1 $\alpha$ )), receptors for the anaphylatoxins (C3a, C5a) [18], and others (reviewed in Akin, [4•]). Conversely, mast cells contain multiple inhibitory receptors, including the low affinity IgG receptor (FcyRIIb), CD200R, the 49-kd surface glycoprotein, the Ig-like receptor p60, the myeloid-associated Ig-like receptor, mast cell functionassociated antigen, and the paired Ig-like receptor B [19-21]. Investigation into the roles of these inhibitory receptors has been limited, but may have the potential to explain differential activation and release of mast cell mediators.

Selective release of mediators during mast cell activation may be accomplished in three important and possibly interrelated ways. One is by activation via one of the mast cell's non-IgE receptors, for instance, through the activation of the IL-1 receptor. Kandere-Grzybowska et al. demonstrated that human mast cells preferentially secrete IL-6 when treated in vitro with IL-1 without cellular signs of traditional degranulation [22]. This type of selective secretion of mediators has also been shown to occur upon the activation of other non-IgE receptors such as TLRs [23]. Another way in which mast cells may selectively activate is through 'piecemeal'



**Fig. 1** Electron microscopy images of dermal mast cells before (left) and after (right) activation with degranulation. (*Adapted from* Weidner et al. [70] and Sheffer et al. [71]; with permission from Elsevier)

release of mediators stored in the secretory granules (such as histamine and serotonin). This mechanism entails the extrusion of granule contents through intermediary secretory vesicles without exocytosis of the granules themselves [24–26]. Lastly, downstream signaling pathways may affect mast cell activation. As reviewed by Gilfillan, cross-linked IgE bound to its high affinity receptor (FcER1) leads to signaling through two complementary but independent pathways resulting in the activation of phosphatidylinositol 3-kinase (PI3K) and phospholipase C $\gamma$  (PLC $\gamma$ ), each pathway reliant on multiple distinct linker molecules and signaling kinases [27]. Differential activation of mast cells in any of these ways may clinically manifest as nc-MCAS.

From this review of mast cell activation presented thus far, one would have to postulate that stimuli external to mast cells cause aberrant activation in patients with nc-MCAS. It is also conceivable that mast cells in this group of patients may aberrantly possess a lower threshold to release mediators. This may be on display in nc-MCAS patients who become symptomatic with various physical stimuli (e.g., temperature and touch) and medications (e.g., narcotics and alcohol). It is also conceivable that patients with nc-MCAS are symptomatic because of an abnormal tissue response to physiologically appropriate release of MC mediators. For example, some have proposed that a deficiency in the enzymes responsible for histamine metabolism, diamine oxidase (DAO) and histamine N-methyltransferase, leads to excess levels of histamine and therefore histamine intolerance, with clinical manifestations not unlike those described for nc-MCAS [28]. While these hypotheses have to be acknowledged, there is no scientific literature to support their relevance to nc-MCAS.

Multiple mast cell mediators are implicated in nc-MCAS. Because many of the signs and symptoms of clonal mast cell disorders are similar to those of patients with nc-MCAS, the roles of the various mediators can be gleaned from the SM literature and small studies of nc-MCAS patients. As previously reviewed by our group [29], chronic diarrhea is a common symptom of patients with systemic mastocytosis. Use of antihistamines successfully controlled diarrhea in two thirds of a cohort of patients with nc-MCAS [30•]. Similarly, flushing is a common symptom in systemic mastocytosis, and a study performed in patients with nc-MCAS revealed that it can be treated with the careful use of aspirin [31]. Since NSAIDS inhibit the enzyme cyclooxygenase (COX) required for the production of PGD<sub>2</sub>, this suggests an association between PGD<sub>2</sub> and MCAS [32]. The mast cell mediators TNF-alpha, IL-1β, and IL-6 are thought to be implicated in the fatigue observed in some patients with SM; however, it must be noted that evidence is not available to implicate mast cells as the source responsible for these mediators in exclusion of other cell types (reviewed in Castells [29]). Furthermore, actions of these mediators in SM may or may not extrapolate to patients with nc-MCAS.



Fig. 2 Suggested flow diagram for the diagnosis of nc-MCAS

#### **Clinical Aspects of nc-MCAS**

## Guidelines and Clinical Manifestations

Several clinical studies have been published that refer to a "mast cell activation syndrome," "mast cell activation disease," or "idiopathic mast cell activation syndrome" [33]. "Non-clonal mast cell activation syndrome" is the nomenclature we have used in this review because it implies an idiopathic cause and distinguishes this disorder from the clonal forms. Conditions such as chronic infections, autoimmune conditions, physical urticarias, and certain neoplasms may display release of mast cell mediators, which is defined as "secondary mast cell activation" [6]. It should be noted that nc-MCAS is distinct from idiopathic anaphylaxis (IA), which has its own set of diagnostic criteria that must be rigorously applied [4•, 34, 35].

A diagram that outlines the pathway to a diagnosis of nc-MCAS and that incorporates the proposed diagnostic criteria for nc-MCAS is in Fig. 2. After a careful history, physical exam and basic clinical testing, other medical disorders that may better explain the predominant signs and symptoms observed in mast cell activation must be ruled out. For example, a patient who presents with flushing warrants a diagnostic consideration of carcinoid syndrome, pheochromocytoma, vasoactive intestinal peptide (VIP)-secreting tumors, and medullary carcinoma of the thyroid. In this scenario, tests for urine 5-hydroxyindoleacetic acid (5-HIAA), urine catecholamines, serum VIP, and serum calcitonin levels may be appropriate [36]. A proper evaluation for underlying allergy and atopic diseases should be applied to all MCAS patients.

The diagnosis of nc-MCAS must include symptoms from at least two different organ systems. Examples of common organ systems with associated mast cell activation signs and symptoms are the skin (flushing, urticaria, pruritus, dermatographism), gastrointestinal (abdominal pain and diarrhea), pulmonary (wheezing and shortness of breath), upper respiratory (nasal congestion or pruritus, throat swelling), cardiac (tachycardia, syncope or near-syncope), and neurologic (concentration difficulties, headache). In the clinical series of MCAS patients that have been analyzed to date [14, 30•, 37••, 38], the most prominent symptoms consistently described are flushing, abdominal pain, diarrhea, headache, and memory and concentration difficulties.

In a patient who meets these symptom criteria, a clonal mast cell disorder must be considered, keeping in mind the current guidelines for SM and MMAS [1, 39] and the fact that many of the signs and symptoms overlap with nc-MCAS. To this end, baseline levels of mast cell mediators should be checked, in particular serum tryptase. Alvarez-Twose et al. designed an algorithm to predict clonality for patients with mast cell activation using clinical and laboratory parameters derived from patients with nc-MCAS

compared with patients with indolent SM. Clonality was found to be associated with male gender, absence of urticaria and angioedema, presyncope, and/or syncope, and a serum total tryptase level of >25 ng/ml, with scores above a certain threshold having a sensitivity of 92 % and positive predictive value (PPV) of 89 % for clonality [37..]. It is currently accepted that a bone marrow biopsy should be considered in patients with a serum total tryptase >20 ng/ ml, or >11.4 ng/ml (or greater than the upper limit of normal) in patients with a history of hypotensive episodes and/or syncope. Additional clinical findings that may warrant bone marrow examination include urticaria pigmentosa (UP) on skin examination of adult patients; peripheral blood abnormalities [which may suggest an alternative diagnosis, such as SM with an associated hematologic non-mast cell lineage disease (SM-AHNMD), myeloid or mastocytic leukemia, myeloproliferative or myelodysplastic disease, among other diagnostic considerations] [1, 40, 41], or unexplained anaphylaxis including that provoked with hymenoptera sting (especially in the setting of negative specific IgE testing to hymenoptera [42]).

Immunophenotypic evaluation of bone marrow aspirates for mast cell surface expression of CD2 and CD25 is recommended as it increases the PPV of the test and is typically not seen in patients with nc-MCAS [43, 44]. In patients with a suspected clonal mast cell disorder, the D816V cKIT mutation should be tested not only in serum but also in bone marrow aspirate mast cells, as the sensitivity of the assay is greater in the latter [45-47]. Upper endoscopy and colonoscopy should be considered in patients with prominent gastrointestinal symptoms. Biopsies of abnormal and normal areas of bowel with special stains for mast cells (cKIT and tryptase) will allow for an evaluation for the sheets and clusters of mast cells in the mucosal layer that is diagnostic for SM. Immunostains for aberrant CD25 expression on mast cells provide supporting evidence for clonality [48, 49]. Suspicious skin lesions may be biopsied to evaluate for the histologic features of mastocytosis, but this finding alone would not rule in systemic disease [50].

In patients who continue to exhibit signs and symptoms of a mast cell disorder despite lacking evidence for clonality, it is next helpful to obtain objective data in the form of laboratory studies. As noted in the consensus guidelines for nc-MCAS, an increase in serum total tryptase by at least 20 % above baseline plus 2 ng/ml during or within 4 h of characteristic symptoms is evidence for mast cell activation [6]. Additionally, a 24-h urine collection for the measurement of Nmethylhistamine and prostaglandin-D2, or its metabolite 11 $\beta$ -prostaglandin F<sub>2 $\alpha$ </sub>, should be obtained after the onset of symptoms. Although the criteria for what constitutes adequate elevation in mediator levels to confirm mast cell activation need to be validated in prospective studies, these are the only laboratory tests that are considered to be clinically relevant to diagnose nc-MCAS at this time. Multiple additional assays exist to evaluate those and other mast cell mediators, but have either an inferior positive predictive value or a lower specificity with respect to mast cell disorders, and therefore should not be routinely used to make a diagnosis of nc-MCAS. More studies are needed to determine specific and reliable assays for mast cell activation.

## Limitations of Current Laboratory Tests

Serum tryptase is typically detected using immunoassays, with the B12 monoclonal antibody (mAb) binding to both alpha and beta tryptase, and the G5mAb binding with  $10\times$  more affinity to beta tryptase than to alpha tryptase. Thus, alpha tryptase is calculated as the difference between total and beta tryptase [51]. There is some evidence to suggest that rheumatoid factor (RF) interferes with the tryptase assay to yield falsely elevated levels and that the use of heterophile antibody blocking tubes normalizes the levels in more than half of RF + samples with elevated total tryptase [52].

The measurement of serum histamine has several shortcomings that may result in false-positive tests. The assay may detect histamine released by basophils. As reviewed by Oosting et al., false tests may also occur during blood collection and sample preparation due to tissue injury, venous stasis, hemolysis, or clotting, and also by improper centrifugation or storage; a diet rich in histamine may also result in higher serum histamine levels [53]. Tests for serum PGD<sub>2</sub> have similar drawbacks, as processing of peripheral blood samples can trigger non-MC cellular elements to release PGD<sub>2</sub>; ingestion of niacin is also associated with elevations in serum PGD<sub>2</sub> [54].

As mentioned previously, there are no other validated clinical tests beyond laboratory studies at this time that can provide objective evidence for nc-MCAS. Bone marrow examination (authors' unpublished observations) is generally normal, and our group reported that the gastrointestinal biopsies of patients with nc-MCAS displayed normal numbers of dispersed normal-appearing mast cells throughout the gastrointestinal mucosa that were CD25 negative [30•]. It is important to note that there are currently no criteria to determine what constitutes an elevated number of mast cells in the gastrointestinal tract so it may not be helpful to make a diagnosis of a mast cell disorder by number criteria alone.

# Treatment

The final useful piece of information to support the diagnosis of nc-MCAS is the patient response to medications that block mast cell mediators. When nc-MCAS is strongly suspected with laboratory evidence of mast cell mediator release, medical treatment using a standard stepwise approach can have excellent results [6] (Table 1). Standard and proven therapy includes use of type I and II histamine blockers and mast cell membrane-stabilizing agents (such as Cromolyn sodium and Ketotifen) [55]. Cysteinyl leukotriene receptor 1 blockers and 5-lipoxygenase inhibitors may be considered in patients with symptoms refractory to the above medications, particularly those with pulmonary

 Table 1
 Stepwise approach to the treatment of MCAS (comments are partly based on clinical observation and experience. (Adapted from Castells et al. [72])

Treatment intervention	Comments
Avoidance of known triggers	
Second generation H1 blockers (e.g. loratidine, cetirizine)	Second generation H1 blockers are preferred due to less sedation, and may be used on a scheduled basis once or twice daily
First generation H1 blockers (e.g. diphenhydramine, hydroxyzine)	May be used as needed for breakthrough symptoms, and before or during invasive procedures or radiology studies
H2 blockers (e.g. ranitidine, famotidine)	Can be scheduled once or twice daily
Cromolyn sodium (oral formulation)	Useful for abdominal bloating and diarrhea. Benefit may extend beyond GI symptoms and target also skin and neuropsychiatric manifestations. Divided dosing and weekly titration to reach target daily dose is advised to avoid side effects and improve adherence with regimen
H1 blocker/mast cell stabilizer (Ketotifen)	Now available as a generic formulation in compounding pharmacies in the USA
Aspirin	Useful for flushing in select patients with elevated urinary 11β-PGF2a
	Contraindicated in those with allergic or adverse reactions to NSAIDs. Clinical improvement may require dosing increases up to 650 mg twice daily if tolerated
Steroid taper (prednisone, prednisolone)	May be useful in patients with refractory signs or symptoms. Initial oral dosage of 0.5 mg/kg/day followed by a slow taper over 1–3 months
Omalizumab	May be useful in patients with recalcitrant symptoms or resistance to therapy, including anaphylaxis
Montelukast (or other cysteinyl-leukotriene receptor 1 blockers)	May be useful in patients with wheezing
Zileuton	May be useful in patients with wheezing

symptoms. Aspirin therapy may be especially useful in carefully selected patients who tolerate NSAIDs and who have persistent flushing and elevated urinary 11βprostaglandin F<sub>2</sub> levels [31]. Case reports and case series have suggested that omalizumab may be helpful in controlling refractory symptoms (particularly anaphylaxis) in both patients with nc-MCAS and with systemic mastocytosis, with and without atopic sensitization [56-59]. Systemic or topical steroids, in addition to other immunosuppressants and immunomodulators, may be considered for more refractory or aggressive symptoms, although experience is limited with non-steroid immunosuppressants. Medications that treat pain pathways may be a useful adjunctive therapy. The most important treatment of all, however, may be avoidance of known triggers, so it is important for patients to understand their mast cell-related symptoms and to keep track of provoking factors. Special attention should also be directed towards the patient's nutritional and mental state.

## Special Situations

Many other clinical manifestations of MCAS have been described in the literature based on reports and small patient series [60-64]. Also, there are several clinical associations with nc-MCAS that deserve special attention in this review. Patients who have had life-threatening anaphylactic reactions, especially those without urticaria and with increased baseline levels of tryptase in between episodes [34], should be evaluated for a clonal mast cell disorder with a bone marrow biopsy. If clonality is not seen, then certain patients may be better classified as having idiopathic anaphylaxis [35] using current diagnostic guidelines [4•, 34]. Patients who may have attendant prominent mast cell activation symptoms in addition to syncope and near syncope may be categorized as nc-MCAS with IA, and future diagnostic algorithms should take this subtlety in to account. With this in mind, it is important to recognize that patients with nc-MCAS may be at higher risk for invasive procedures, particularly those requiring general anesthesia. Several studies have documented the potential hazards of muscle relaxants and anesthetics in patients with SM [37., 65, 66], and only small case series has suggested such risk for patients with nc-MCAS [67]. Thus, it is advisable to take extra precaution, and procedures should be done in close consultation with an anesthesiologist. Perioperative medications should be limited as possible to those that have been tolerated in the past. It is our experience to pre-treat patients with mast cell disorders with type I and II histamine receptor blockers along with steroids and to ensure ready access to an epinephrine pen in those patients with a history of anaphylaxis or syncope. A guide for the care of mast cell patients who present to the emergency department and for invasive and radiologic procedures can be found on the Mastocytosis Society website [68].

In another clinical situation, Shibao et al. and colleagues studied a small cohort of patients who had evidence of mast cell activation as evidenced by elevated urine levels of Nmethylhistamine after flushing episodes and who had orthostatic intolerance [69]. Detailed studies revealed a profound hyperadrenergic response to standing characterized by tachycardia and hypertension consistent with postural orthostatic tachycardia syndrome, or POTS. This phenomenon is felt to be due the release of vasoactive mediators such as histamine that act locally on sympathetic nerves; autonomic function was assessed to be normal in these patients. The authors have used methyldopa, an alpha-adrenergic agonist, with some success in these patients along with standard medications to block mast cell mediators. They caution against the use of beta-blockers, which may exacerbate mast cell activation.

# Conclusions

Mast cells are complex, multi-faceted immune cells that carry out a range of both homeostatic and pathologic functions in the tissues where they reside. The spectrum of clinical disorders that may arise from aberrant number or function of these mast cells highlights their broad-reaching significance. Non-clonal MCAS has emerged as a clinical disorder, likely with a distinct pathophysiology to the clonal disorders that manifest with mast cell activation. Although proposed criteria exist, larger populations of nc-MCAS must be studied to validate these criteria. This unique population of patients will allow for future studies to identify objective markers of mast cell activation. Lastly, improved identification and awareness of patients with nc-MCAS will allow for more effective and timely therapy.

**Disclosure** No potential conflicts of interest relevant to this article were reported.

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