# The impact of air pollution on urban residents' health expenditure: spatial evidence from Yangtze River Delta, China



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## Abstract

Currently, preventing and controlling air pollution and inhibiting the excessively rapid growth of medical expenditures to reduce the living burden of residents have become a focus of general concern of society as a whole. It is of great significance to conduct an in-depth study of the relationship between air pollution and public health expenditure and clarify to what extent air pollution affects health expenditure. Thus, this paper adopted spatial econometric methods to measure the impact of air pollution on residents' health expenditure via panel data from 16 core cities in the Yangtze River Delta in China from 2005 to 2017. The results indicate that (1) at present, the air pollution in the Yangtze River Delta core urban agglomeration is still relatively prominent and has obvious spatial aggregation phenomena, and the particulate matter 2.5 ( $PM_{2.5}$ ) concentration shows the characteristic of decreasing gradually from northwest to southeast; (2) air pollution is the main factor that promotes the increase in residents' health expenditure; (3) the spatial spillover effect of air pollution is obvious, accounting for half of the total effect; and (4) the impact of government public services on residents' health expenditure varies. Finally, this paper proposes corresponding policy implications based on the findings.

Keywords Air pollution . Health expenditure . Spatial panel model . Yangtze River Delta

# Introduction

Improving the quality of the air environment and the health level of the people to alleviate the burden of medical expenses on residents is a proposition put forward by major national strategies in China, such as ecological civilization construction and healthy China. Air pollution has become the greatest adverse environmental factor causing premature human death (Zhou et al. [2019](#page-7-0)). The main pollutants considered by environmental protection departments in China to evaluate and

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rank the air quality of cities are sulfur dioxide  $(SO<sub>2</sub>)$ , nitrogen dioxide (NO<sub>2</sub>), inhalable particulate matter (PM<sub>10</sub>), particulate matter (PM<sub>2.5</sub>), carbon monoxide (CO), and ozone (O<sub>3</sub>). Atmospheric particulate matter (PM), especially with a diameter less than 2.5  $\mu$ m (PM<sub>2.5</sub>), is considered one of the priority pollutants in the atmosphere.  $PM_{2.5}$  is rich in a large number of toxic and harmful substances and easily enters the blood through the lungs; thus, frequent exposure to  $PM_{2.5}$  can cause damage to human health.

According to the most recent "Global Burden of Disease Report" released by The Lancet magazine in 2019, China and India are the countries with the highest disease burden of air pollution in the world. In recent years, China's per capita health care expenditure has increased significantly not only in absolute terms but also in proportion to disposable income. According to the National Bureau of Statistics, from 1990 to 2019, China's urban residents' per capita health care expenditure increased from 25.67 CNY to 2283 CNY, accounting for 1.85 to 5.39% of per capita disposable income. A large number of studies have shown that air pollution has adverse effects on multiple systems of the human body and directly or indirectly causes damage to residents' health, leading to an increase or aggravation of disease symptoms (Garcia et al. [2015;](#page-6-0) To et al. [2015\)](#page-7-0), an increase in the number of outpatient and inpatient visits in hospitals (Bloemsma et al. [2016](#page-6-0); Cai et al. [2015;](#page-6-0) Zeng et al. [2019](#page-7-0)), and an increase in long-term or short-term mortality (Balakrishnan et al. [2019](#page-6-0); Carvalho [2019;](#page-6-0) Chen et al. [2012;](#page-6-0) Wu et al. [2019](#page-7-0)). In addition, the health and economic losses from diseases or deaths caused by air pollution are enormous (Du et al. [2016](#page-6-0); Fan et al. [2019](#page-6-0); Han et al. [2019](#page-7-0); Lu et al. [2016](#page-7-0); Zhao et al. [2016](#page-7-0)). Air pollution has increased the risk of disease and stimulated residents' demand for health services, which has caused an increase in health expenditure and further increased residents' living burden (Chen et al. [2019;](#page-6-0) Hadian et al. [2020\)](#page-7-0).

In recent years, medical expenditures caused by air pollution have aroused widespread concern among scholars. Currently, air pollution is creating a non-negligible living burden on humans. Using the China Urban Household Survey (UHS) Database, Yang and Zhang [\(2018\)](#page-7-0) estimated the effect of air pollution exposure on household healthcare expenditure. Zeng and He [\(2019\)](#page-7-0) took industrial exhaust emissions as a proxy indicator for regional air pollution and concluded that air pollution increases people's medical expenditure. Blázquez-Fernández et al. [\(2019\)](#page-6-0) studied the relationship between air pollution and health expenditure in 29 OECD countries over the period 1995–2014. Raeissi et al. ([2018](#page-7-0)) concluded that environmental quality and contaminants played the most important role among the factors affecting health expenditures, and air pollution had a greater impact on health expenditures in the long term than in the short term.

On the whole, there have been a large number of in-depth studies on the relationship between air pollution and health. While most of the existing studies focus on the assessment of residents' health risks and economic losses caused by air pollution, there are relatively few studies on the relationship between air pollution and health expenditure. While there are studies on the relationship between air pollution and health expenditure, assuming that the regions are independent of each other, some studies have proven that air pollution has obvious spatial characteristics. Ignoring the existence of spatial autocorrelation can lead to errors in the estimation of the public health effects of air pollution, so the results should be treated with caution (Chen et al. [2017b](#page-6-0)). Furthermore, most of the previous studies have been carried out from provincial areas or based on individual cities and used the emission level of pollutants, such as industrial exhaust gas, as a proxy index to measure local air pollution, with fewer studies considering  $PM_{2.5}$  concentration as an important pollutant. Moreover, currently, the basic research work is relatively fragmented in view of the regional urban agglomeration haze pollution and health expenditure level, and there is a lack of comprehensive understanding of the governance of haze pollution and health expenditure levels in urban agglomerations.

The Yangtze River Delta urban agglomeration is the most developed urbanization area and one of the areas with serious

air pollution in China.  $PM_{2.5}$  is considered one of the priority pollutants in the atmosphere. Therefore, this paper selects the Yangtze River Delta urban agglomeration as the research object, takes the  $PM<sub>2.5</sub>$  concentration as the proxy index of air pollution, and uses spatial econometrics methods to analyze the impact of regional air pollution and the spillover effect on residents' health expenditure from the macro level. It not only makes up for the research defects in related fields but also provides a reference for the decision-making of policy interventions in the areas of atmospheric environment management and medical care in this region and even the whole country.

## Research design

#### Model construction

 $+\varepsilon_{it}$ 

Air pollution flows between regions are not independent of each other, and the flow of air pollution in a certain area may affect the health behavior of residents in other regions (Bao et al. [2015](#page-6-0)). Therefore, this paper adopted spatial econometric models to explore the relationships between areas. Different types of spatial econometric models assume different spatial transmission mechanisms, and the economic implications they represent differ. Formulations (2) and (3) are the spatial Durbin model (SDM) and spatial autocorrelation (SAC) model, respectively, while  $(4)$ – $(5)$  are the spatial autoregressive (SAR) model and spatial error model (SEM) obtained by attaching certain restrictions to the model. The calculation formulas are as follows:

$$
\ln HE_{it} = \beta_0 + \delta W \ln HE_{it} + \beta_1 \ln PM_{2.5it} + \beta_2 \ln X_{control}
$$

$$
+ \theta_1 W \ln PM_{2.5it} + \theta_2 W \ln X_{control} + \varepsilon_{it}
$$
(1)  

$$
\ln HE_{it} = \beta_0 + \delta W \ln HE_{it} + \beta_1 \ln PM_{2.5it} + \beta_2 \ln X_{control}
$$

$$
+ \theta_1 W \ln PM_{2.5it} + \theta_2 W \ln X_{control} + u_{it} u_{it} = \lambda W u_{it}
$$
(2)

When the spatial interaction investigated by the SDM does not exist, there is only a single spatial correlation between regions; that is, when  $\theta_i = 0$  ( $i = 1, 2$ ), or when the coefficient of the spatial error term  $\lambda = 0$  in the spatial SAC model, the corresponding SAR model is adopted:

$$
\ln HE_{it} = \beta_0 + \delta W \ln HE_{it} + \beta_1 \ln PM_{2.5it} + \beta_2 \ln X_{control}
$$

$$
+ \varepsilon_{it}
$$
 (3)

When the coefficient of the spatial interaction term  $\theta_i$ , the spatial lag coefficient  $\delta$  of the dependent variable, and the coefficients  $\beta i$  between the regression satisfy  $\theta_i = -\delta \beta_i$  in the

SDM, or the coefficient of the spatial lag term  $\delta = 0$  in the SAC model, the corresponding spatial SEM is adopted:

$$
\ln HE_{it} = \beta_0 + \beta_1 \ln PM_{2.5it} + \beta_2 \ln X_{control} + u_{it}
$$
  
\n
$$
u_{it} = \lambda W u_{it} + \varepsilon_{it}
$$
\n(4)

Among them, HE refers to the health expenditure level of urban residents,  $PM_{2.5}$  is a key indicator to measure air pollution, and  $X_{control}$  is a series of control variables. The specific definitions of all variables are shown in Table 1. There are also several factors that can affect health, such as differences in the individual characteristics and lifestyle habits of residents and differences in dietary habits in different regions. Because these microlevel data are difficult to obtain, it is difficult to control these variables in the model. W is the spatial weight matrix. This paper mainly uses the adjacent spatial weight matrix.  $u_{it}$  and  $\varepsilon_{it}$  are disturbance terms with an independent and identical distribution and satisfy  $u_{it} \sim iid(0,\sigma^2)$ ,  $\varepsilon_{it} \sim iid(0,\sigma^2)$ .  $\beta_0$ ,  $\beta_1$ , and  $\beta_2$  are the regression coefficients of the corresponding variables (for specific definitions, see Table 1).

## Variable selection

The research on the impact of environmental pollution on residents' health is based on the health production function initiated by Grossman [\(1972](#page-7-0)), who notes that age, gender, race, education, personal income, marital status, medical services, personal behaviors (such as drinking, smoking, inactivity), and environmental pollution can all have a large and lasting impact on a health level. Since then, scholars have conducted detailed studies on the relationship between residents' health and influencing indicators, which can mainly be divided into environmental factors, economic factors, social factors, medical service factors, and educational factors (Chen

Table 1 Descriptive statistics of all variables

et al. [2017a;](#page-6-0) Deng et al. [2020;](#page-6-0) Xu et al. [2019](#page-7-0)). On this basis, this paper takes urban residents' health care expenditure as the explained variable and the  $PM_{2.5}$  concentration as the core explanatory variable (environmental factor). This paper selects commonly adopted variables reflecting economic, social, medical service, and educational factors as the control variables to analyze the influence of air pollution on urban residents' health expenditure. The definitions and descriptive statistics of all variables are listed in Table 1.

#### Data sources

This study focused on the impact of regional air pollution and spillover effects on residents' health expenditure. This paper selects 16 core cities in the Yangtze River Delta urban agglomeration of China from 2005 to 2017 as the study area (see in Fig. [1](#page-3-0) for more details).  $PM_{2.5}$  concentration data are derived from the results of the Atmospheric Composition Analysis Group of Dalhousie University in Canada and satellite-derived  $PM_{2.5}$  concentration datasets (Van Donkelaar et al. [2019\)](#page-7-0) (for more details, see in the Electronic Supplementary Material (Supplementary Table 1)). Other data are taken from the statistical yearbooks of various cities (2005–2017), "Jiangsu Statistical Yearbook" (2005–2017), "Zhejiang Statistical Yearbook" (2005–2017), and "China Environmental Statistics Yearbook" (2005– 2017). Restricted by the availability of data, the data of Taizhou's medical expenditure in 2007 and 2010 were obtained by interpolation. Indicator data that cannot be obtained directly are obtained by converting the relevant original data. All time-valued data are adjusted for the base period of 2005 to eliminate the impact of price changes. The variables were processed by logarithm to control the influence of heteroscedasticity.



<span id="page-3-0"></span>

Fig. 1 Distribution of the cities in the study area

# Spatial-temporal distribution and spatial correlation test

# Spatial-temporal distribution characteristics of air pollution

From the perspective of the time dimension, the number of high-concentration polluted cities in the Yangtze River Delta shows an increasing trend. As shown in Fig. [2,](#page-4-0) the number of cities with PM<sub>2.5</sub> concentrations above 55  $\mu$ g/m<sup>3</sup> in the Yangtze River Delta shows an increasing trend, with 5, 9, 9, and 10 cities in 2005, 2009, 2013, and 2017, respectively, indicating that the current air pollution situation in the Yangtze River Delta region is still severe and that air pollution control is a protracted battle. From the perspective of spatial distribution, the air pollution in the Yangtze River Delta region has the phenomenon of spatial aggregation, showing the characteristics of decreasing gradually from northwest to southeast. Overall, among the 16 core cities in the Yangtze River Delta, air pollution in the northwest direction is the most serious, mainly concentrated in 8 cities in Jiangsu Province, followed by Shanghai, and the least polluted cities are in the southeast direction, mainly concentrated in 7 cities in Jiangsu Province (see Fig. [2](#page-4-0)).

## Spatial correlation test of air pollution

As seen from Fig. [2,](#page-4-0) air pollution in the Yangtze River Delta has an obvious spatial agglomeration phenomenon, which is consistent with Li et al. ([2018\)](#page-7-0). Spatial dependence is generally identified by Moran's I index. According to the calculation method of Moran's I index, Fig. [3](#page-4-0) shows the global Moran's I index and the test results of the 16 core urban agglomerations in the Yangtze River Delta from 2005 to 2017. It can be seen that Moran's I index of  $PM_{2.5}$  concentration in all years is positive, and the significance levels all pass the 1% significance test. In recent years, the Moran's I index has shown a rising trend fluctuating between 0.491 and 0.643, which indicates that the air pollution in the urban agglomerations of the Yangtze River Delta shows a strong spatial agglomeration that continues to increase.

# Results analysis and discussion

## Estimation without considering spatial factors

First, this paper did not consider the spatial effect and carried out regression analysis with OLS and ordinary panel (fixed effect and random effect) methods. Then, the F test, LR test, and Hausman test were carried out, and the results showed that the fixed effect was suitable for this data set (see in the Electronic Supplementary Material-Supplementary Table 2). The estimation results of the OLS, FE, and RE models all show that the core explanatory variable  $PM_{2.5}$  concentration of air pollution has a significant positive impact on residents' health expenditures, which increases the burden on residents. For the core explanatory variable, the coefficients of  $PM_{2.5}$ concentration range from 0.19 to 0.29 and are relatively stable. Judging from the estimated results of the control variables, the other controlled pollution variables  $(g \text{ and } d)$  have no significant impact on the increase in residents' health expenditure. In addition, the coefficient of  $PM_{2.5}$  pollutant is larger than the coefficients of other pollutants, so in the following analysis, the control variables of other pollutants are eliminated.

## Estimation considering spatial factors

#### Spatial model selection

In terms of model selection, this study follows the judgement rules proposed by LeSage and Pace [\(2009](#page-7-0)) and Elhorst [\(2010\)](#page-6-0). Researchers should start with the SDM as a general specification and test alternatives. Then, Wald and LR tests were conducted to determine the adaptation of the SAC and SEM. The test results showed that the Wald and LR test results rejected the assumptions of  $\theta = 0$  and  $\theta = -\beta \rho$ ; clearly, the SAR model and SEM are not applicable for our dataset. Next, we sought to choose between SAC and SDM. Since the SAC model and SDM are non-nested, information standards can be used to judge their suitability. According to the test results, the AIC and BIC values decrease after adding the error lag item. Finally, all research test results show that the SAC

<span id="page-4-0"></span>



model is more suitable for this study. Furthermore, by the Hausman test, the fixed effect model was selected for the spatial panel model. This can be seen from the results in the Electronic Supplementary Material (Supplementary Table 3).



Fig. 3 Spatial correlation identification of air pollution

#### Results analysis and discussion

The core explanatory variable is air pollution The elasticity of the influence of air pollution on the growth of health expenditure is 0.123, and it has a significant positive effect on the health care expenditure of urban residents at a level of 10%, which is consistent with the findings of Li et al. [\(2020\)](#page-7-0). The main reason is that the air pollution in the Yangtze River Delta is still serious: first, the coal-based energy structure in the Yangtze River Delta has not undergone fundamental

changes, and soot-type pollution has long existed as the main type of pollution (He et al. [2019\)](#page-7-0). Second, the regional economy continued to grow in scale and was dominated by heavy industry in the early years; from 2005 to 2013, the total output value of heavy industry increased by more than 6%. In addition, secondary industry has long been the traditional advantage and pillar industry of economic development in the Yangtze River Delta. In 2017, the proportion of industry reached 42.9%. Third, car exhaust pollution in the region is becoming increasingly serious, and compound air pollutants, such as haze, photochemical smog, and acid rain, are becoming increasingly prominent (Chen et al. [2020](#page-6-0); Zhao et al. [2020\)](#page-7-0). Therefore, the severe ambient air quality in this area is bound to increase residents' health risks, thereby increasing their health expenditure.

#### The impact of control variables on the health expenditure

- 1. The economic factor. Disposable income has a nonsignificant positive effect on the health expenditure of residents in the Yangtze River Delta. The increase in income level can improve the ability of residents to resist risks, but its impact on health expenditure may be nonsignificant because the per capita medical and health expenditure level of residents in the Yangtze River Delta region is not consistent with the per capita disposable income level. This has a certain relationship with the local cost of living, residents' consumption awareness, and the local medical insurance system.
- 2. Medical service factor. The impact of indicators that reflect the local medical and health level on residents' health expenditures varies. Specifically, the local medical investment level has shown a restraining effect on the growth of health expenditure of residents in the Yangtze River Delta but failed to pass the 10% significance test; at the significance level of 1%, the number of medical professionals per ten thousand people promotes the growth of residents' health expenditure, and the elasticity of health expenditure is 0.007; the number of hospital beds per ten thousand people has a nonsignificant positive effect on the health care expenditure of residents in the Yangtze River Delta.
- 3. The education factor and social factor. The education level and the area of greenery per capita showed an opposite direction of change to urban residents' health expenditures, and all suppressed the growth of residents' health expenditures at a significance level of 1%. Specifically, for an increase of one unit of education level and the area of greenery per capita, the corresponding expenditure on residents' health fell by 0.003 and 0.065 units, respectively. This shows that the provision of public services can significantly reduce residents' health expenditure. The provision of education can improve people's health awareness and guide them to take measures to make themselves less exposed in high-risk places, such as

wearing masks when going out in highly polluted weather. Urban green spaces provide multiple ecosystem services to city residents (Enssle and Kabisch [2020\)](#page-6-0). Urban infrastructure construction—the improvement in garden greening level—can improve air quality, thereby promoting the improvement of residents' health and reducing health expenditure.

#### Effect decomposition

When there is a spatial spillover effect, the influence of various factors on health expenditure can be further decomposed to calculate the direct effect, indirect effect, and feedback effect. Supplementary Table 4 in the Electronic Supplementary Material shows that the direct effects, spillover effects, and total effects of air pollution, the core explanatory variable, all passed the significance test at the 10% level and all positively affected residents' health expenditure. Observing the spillover effect (0.158) and the total effect (0.303) of air pollution, we find that the impact of air pollution on health expenditure had not only a direct effect but also a significant spatial spillover effect, and the spatial spillover effect accounted for approximately half of the total effect. Compared with the estimated coefficients of OLS and ordinary panels that did not consider spatial factors, the direct impacts of air pollution, the number of medical professionals per ten thousand people, the number of junior high school graduates per ten thousand people, and the area of greenery per capita on health expenditure were smaller, which indicated that the OLS estimation to a certain extent overestimated the direct effect of each influencing factor because it did not consider the spatial influence.

#### Robustness test

This study took the mean value of the  $PM<sub>2.5</sub>$  concentration as the core explanatory variable to analyze the spatial impact of  $PM<sub>2.5</sub>$  exposure on residents' health expenditure. To avoid the selection bias of the explanatory variable, the maximum value of  $PM_{2.5}$  concentration was selected as the substitution variable of  $PM_{2.5}$  exposure for the robustness test. The results are given in the Electronic Supplementary Material (Supplementary Table 5) and are consistent with the above conclusions, indicating that the results are stable and reliable.

### Conclusions and policy implications

Based on the analysis of the empirical results, the findings of this study are as follows:

- <span id="page-6-0"></span>1. At present, the air pollution in the Yangtze River Delta core urban agglomeration is still relatively prominent and has obvious spatial aggregation, and the  $PM<sub>2.5</sub>$  concentration shows the characteristic of decreasing gradually from northwest to southeast.
- 2. For the variables that reflect environmental factors, economic factors, social factors, medical service factors, and education factors, the estimation results indicate that air pollution is the main factor affecting residents' health expenditure regardless of whether spatial factors are considered.
- 3. Air pollution has a significant promoting effect on the growth of residents' health expenditure, and the spillover effect is obvious, accounting for half of the total effect.
- 4. The impact of public services on public health expenditure varies. The medical care level has a significant positive impact on health expenditure, while education level and urban greening level significantly inhibit the growth of health expenditure, and all three have a certain spatial spillover effect.

Based on the above conclusions and analysis, this paper puts forward the following suggestions:

- 1. Strengthen regional environmental protection and control, promote the joint prevention and co-management of regional air pollution, and bring into play the effect of collaborative governance.
- 2. Optimize the composition of public services while increasing their supply.

Since government public services have different impacts on residents' health expenditures, the government should focus on which public services increase health expenditure and which public services reduce it. Education level and urban greening construction can reduce residents' health expenditure to a certain extent, while air pollution and medical care level increase health expenditure. Therefore, the government should further increase the investment in education, environmental protection, and governance and consider its positive impact on health expenditure while improving the regional medical care level. In addition, the rate of medical reimbursement can be appropriately increased to reduce the burden on residents.

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#### Compliance with ethical standards

Conflict of interests The authors declare that they have no conflict of interest.

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