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# MATRIX REPRESENTATIONS OF FINITELY GENERATED GRASSMANN ALGEBRAS AND SOME CONSEQUENCES

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#### ABSTRACT

We prove that the *m*-generated Grassmann algebra can be embedded into a  $2^{m-1} \times 2^{m-1}$  matrix algebra over a factor of a commutative polynomial algebra in *m* indeterminates. Cayley–Hamilton and standard identities for  $n \times n$  matrices over the *m*-generated Grassmann algebra are derived from this embedding. Other related embedding results are also presented.

#### 1. Introduction

Let K be a field (of characteristic zero) and consider the free associative K-algebra  $K \langle x_1, \ldots, x_m \rangle$  generated by the (non-commuting) indeterminates  $x_1, \ldots, x_m$ . The elements of  $K \langle x_1, \ldots, x_m \rangle$  are K-linear combinations of monomials of the form  $x_{i_1} \cdots x_{i_k}$  with  $i_1, \ldots, i_k \in \{1, \ldots, m\}$  (not necessarily different). The *m*-generated Grassmann (or exterior) algebra is defined as the factor K-algebra

$$E^{(m)} = K \langle x_1, \dots, x_m \rangle / I(x_1, \dots, x_m),$$

where

$$I(x_1,\ldots,x_m) = (x_i x_j + x_j x_i \mid 1 \le i \le j \le m)_K$$

is the (two-sided) K-ideal of  $K \langle x_1, \ldots, x_m \rangle$  generated by the polynomials  $x_i x_j + x_j x_i$  for  $1 \leq i \leq j \leq m$ . The usual notation for this Grassmann algebra is

$$E^{(m)} = K \langle v_1, \dots, v_m \mid v_i v_j + v_j v_i = 0 \text{ for all } 1 \le i \le j \le m \rangle,$$

where the cosets  $v_i = x_i + I(x_1, \ldots, x_m)$  are the anticommuting generators of  $E^{(m)}$ . Clearly, any element of  $E^{(m)}$  is a unique K-linear combination of monomials of the form  $v_{i_1} \cdots v_{i_k}$  with  $1 \leq i_1 < \cdots < i_k \leq m$ . It follows that  $\dim_K E^{(m)} = 2^m$ .

The definition of the countably infinitely generated Grassmann algebra

$$E = K \langle v_1, \dots, v_m, \dots \mid v_i v_j + v_j v_i = 0 \text{ for all } 1 \le i \le j \rangle$$

is similar.

For a ring (or K-algebra) R let  $M_n(R)$  denote the full  $n \times n$  matrix ring (Kalgebra) over R with identity  $I_n \in M_n(R)$ . Since any matrix  $A \in M_n(E)$  has a finite number of entries and each entry contains a finite number of generators Vol. 208, 2015

 $v_i$  from E, there exists an integer  $m \ge 1$  such that  $A \in \mathcal{M}_n(E^{(m)})$ . It follows that

$$\mathcal{M}_n(E) = \bigcup_{m=1}^{\infty} \mathcal{M}_n(E^{(m)}).$$

The algebras  $E^{(m)}$  and E play a fundamental role in many areas of mathematics.

Our main inspiration is Kemer's pioneering work [K] on the *T*-ideals of associative algebras, which revealed the importance of the identities satisfied by the full  $n \times n$  matrix algebra  $M_n(E)$  and by the algebra  $M_{n,t}(E)$  of (n, t)supermatrices (this is a certain *K*-subalgebra of  $M_n(E)$ ). The prime *T*-ideals of  $K \langle x_1, \ldots, x_m, \ldots \rangle$  are exactly the *T*-ideals of the identities satisfied by  $M_n(K)$ for  $n \geq 1$ . The *T*-prime *T*-ideals are the prime *T*-ideals plus the *T*-ideals of the identities of  $M_n(E)$  for  $n \geq 1$  and of  $M_{n,t}(E)$  for  $n - 1 \geq t \geq 1$ . Another remarkable result is that, for *n* sufficiently large, any *T*-ideal contains the *T*-ideal of the identities satisfied by  $M_n(E)$ . Thus the algebras  $M_n(E)$  and  $M_n(E^{(m)})$ served as the main motivation for the present work.

An additional motivation is the well-known embedding of the skew field  $\mathbb{H}$  of the real quaternions into  $4 \times 4$  real matrices:

$$a + bi + cj + dk \longmapsto \left[ egin{array}{cccc} a & b & c & d \ -b & a & -d & c \ -c & d & a & -b \ -d & -c & b & a \end{array} 
ight],$$

where  $a, b, c, d \in \mathbb{R}$ . The above definition provides an injective  $\mathbb{R}$ -algebra homomorphism  $v : \mathbb{H} \to M_4(\mathbb{R})$ . Using the natural extension

$$v_n: \mathcal{M}_n(\mathbb{H}) \longrightarrow \mathcal{M}_n(\mathcal{M}_4(\mathbb{R})) \cong \mathcal{M}_{4n}(\mathbb{R}),$$

an  $n \times n$  matrix over  $\mathbb{H}$  can be viewed as a  $4n \times 4n$  matrix over  $\mathbb{R}$ . For a quaternionic matrix  $A \in M_n(\mathbb{H})$ , the Cayley–Hamilton identity for  $v_n(A)$  yields the same identity (with real coefficients) of degree 4n for A itself.

A similar approach to get a Cayley–Hamilton identity of degree 2n for a matrix  $A \in M_n(E^{(2)})$  is based on embedding the two-generated exterior algebra  $E^{(2)}$  into a  $2 \times 2$  matrix algebra over a certain commutative ring (see [SzvW]).

In order to present a Cayley–Hamilton identity of degree  $2^{m-1}n$  for a matrix in  $M_n(E^{(m)})$ , first we consider the so-called constant trace (CT-) representations of an arbitrary K-algebra. In Section 2 we derive a Cayley–Hamilton identity for  $n \times n$  matrices over any algebra having a CT-representation. Then in Section L. MÁRKI ET AL.

3, using induction, we present a CT-representation (K-embedding)

$$\varepsilon^{(m)}: E^{(m)} \longrightarrow \mathcal{M}_{2^{m-1}}(K[z_1, \dots, z_m]/(z_1^2, \dots, z_m^2)).$$

Notice that we cannot expect similar results for the infinitely generated Grassmann algebra E. Since E does not satisfy any of the standard identities, it follows that E does not embed into any full matrix algebra over a commutative ring.

Finally in Section 4 we use a certain factor of a skew polynomial algebra to give a broad generalization of the embedding process for  $E^{(m)}$ .

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#### 2. CT-Representations and Cayley–Hamilton identities

Let  ${}_{K}R$  be an arbitrary and  ${}_{K}\Omega$  be a commutative (associative) algebra over K (notice that  $K \subseteq \mathbb{Z}(R)$  and  $K \subseteq \Omega$ ). For an integer  $t \geq 1$ , we consider representations of R over  $\Omega$  which are injective K-algebra homomorphisms (K-embeddings)  $\varepsilon : R \longrightarrow M_t(\Omega)$ . We call  $\varepsilon$  a constant trace (CT-) representation if  $\operatorname{tr}(\varepsilon(r)) \in K$  for all  $r \in R$  (here  $\operatorname{tr}(\varepsilon(r))$ ) is the sum of the diagonal entries of the  $t \times t$  matrix  $\varepsilon(r) \in M_t(\Omega)$ ).

THEOREM 2.1: Let  $\varepsilon : R \longrightarrow M_t(\Omega)$  be a CT-representation of R over  $\Omega$ . If  $A \in M_n(R)$  is an  $n \times n$  matrix, then A satisfies a Cayley–Hamilton identity of the form

 $A^{tn} + c_1 A^{tn-1} + \dots + c_{tn-1} A + c_{tn} I_n = 0,$ 

where  $c_i \in K$ ,  $1 \leq i \leq tn$ .

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Proof. Let

$$\varepsilon_n : \mathcal{M}_n(R) \longrightarrow \mathcal{M}_n(\mathcal{M}_t(\Omega)) \cong \mathcal{M}_{tn}(\Omega)$$

be the natural extension of  $\varepsilon$ . For any matrix  $A = [a_{i,j}]$  in  $M_n(R)$ , the trace of the  $tn \times tn$  matrix  $B = \varepsilon_n(A)$  is the sum of the traces of the diagonal  $t \times t$ blocks:

$$\operatorname{tr}(B) = \sum_{i=1}^{n} \operatorname{tr}(\varepsilon(a_{i,i})).$$

Since  $\varepsilon$  is a CT-representation, we have  $\operatorname{tr}(\varepsilon(a_{i,i})) \in K$  for each  $1 \leq i \leq n$ . It follows that  $\operatorname{tr}(B) \in K$ . For the coefficients of the characteristic polynomial

$$\det(zI - B) = c_0 z^{tn} + c_1 z^{tn-1} + \dots + c_{tn-1} z + c_{tn} \in \Omega[z]$$

of *B*, the following recursion holds:  $c_0 = 1$  and

$$c_k = -\frac{1}{k}(c_{k-1}\operatorname{tr}(B) + c_{k-2}\operatorname{tr}(B^2) + \dots + c_1\operatorname{tr}(B^{k-1}) + c_0\operatorname{tr}(B^k))$$

for  $1 \le k \le tn$  (Newton formulae, see [R]). In view of

$$\operatorname{tr}(B^k) = \operatorname{tr}((\varepsilon_n(A))^k) = \operatorname{tr}(\varepsilon_n(A^k)) \in K,$$

we deduce that  $c_i \in K$  for each  $0 \leq i \leq tn$ . Thus  $det(zI - B) \in K[z]$  and the Cayley–Hamilton identity for  $B \in M_{tn}(\Omega)$  is of the form

$$B^{tn} + c_1 B^{tn-1} + \dots + c_{tn-1} B + c_{tn} I_n = 0.$$

It follows that

$$(\varepsilon_n(A))^{tn} + c_1(\varepsilon_n(A))^{tn-1} + \dots + c_{tn-1}\varepsilon_n(A) + c_{tn}I_n$$
$$= \varepsilon_n(A^{tn} + c_1A^{tn-1} + \dots + c_{tn-1}A + c_{tn}I_n) = 0$$

holds in  $M_{tn}(\Omega)$ , thus the injectivity of  $\varepsilon_n$  gives the desired identity.

## **3.** CT-Representation of $E^{(m)}$

For m = 1 we have a natural isomorphism  $E^{(1)} = K \langle v_1 | v_1^2 = 0 \rangle \cong K[z]/(z^2)$  of K-algebras. If m = 2, then the assignments

$$1 \longmapsto \left[ \begin{array}{cc} 1 & 0 \\ 0 & 1 \end{array} \right], \ v_1 \longmapsto \left[ \begin{array}{cc} z_1 & 0 \\ 0 & -z_1 \end{array} \right], \ v_2 \longmapsto \left[ \begin{array}{cc} 0 & z_2 \\ z_2 & 0 \end{array} \right]$$

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define the following CT-representation  $\varepsilon^{(2)}: E^{(2)} \longrightarrow M_2(K[z_1, z_2]/(z_1^2, z_2^2)):$ 

$$\varepsilon^{(2)}(c_0 + c_1v_1 + c_2v_2 + c_3v_1v_2) = \begin{bmatrix} c_0 + c_1z_1 + (z_1^2, z_2^2) & c_2z_2 + c_3z_1z_2 + (z_1^2, z_2^2) \\ c_2z_2 - c_3z_1z_2 + (z_1^2, z_2^2) & c_0 - c_1z_1 + (z_1^2, z_2^2) \end{bmatrix},$$

where  $c_0, c_1, c_2, c_3 \in K$  and  $(z_1^2, z_2^2)$  is the ideal of the commutative polynomial ring  $K[z_1, z_2]$  generated by the monomials  $z_1^2, z_2^2$ .

THEOREM 3.1: For some integers  $m, t \geq 2$ , let  $\varepsilon^{(m)} : E^{(m)} \longrightarrow M_t(\Omega)$  be a CTrepresentation of  $E^{(m)}$  over a commutative K-algebra  $\Omega$ . Then the assignments

$$1 \longmapsto \left[ \begin{array}{cc} I_t & 0\\ 0 & I_t \end{array} \right], \ v_i \longmapsto \left[ \begin{array}{cc} \varepsilon^{(m)}(v_i) & 0\\ 0 & -\varepsilon^{(m)}(v_i) \end{array} \right] \ for \ 1 \le i \le m,$$

and

$$v_{m+1} \longmapsto \begin{bmatrix} 0 & \widehat{z}I_t \\ \widehat{z}I_t & 0 \end{bmatrix} \quad (\text{with } \widehat{z} = z + (z^2) \text{ in } \Omega[z]/(z^2))$$

define a CT-representation  $\varepsilon^{(m+1)} : E^{(m+1)} \longrightarrow M_{2t}(\Omega[z]/(z^2)).$ 

*Proof.* Any element of  $E^{(m+1)}$  can be uniquely written as  $g + hv_{m+1}$ , where

$$g = \sum_{1 \le i_1 < \dots < i_k \le m} c_{i_1,\dots,i_k} v_{i_1} \cdots v_{i_k} \quad \text{and} \quad h = \sum_{1 \le j_1 < \dots < j_l \le m} d_{j_1,\dots,j_l} v_{j_1} \cdots v_{j_l}$$

are in  $E^{(m)}$  with  $c_{i_1,\ldots,i_k}, d_{j_1,\ldots,j_l} \in K$ . For  $1 \leq i_1 < \cdots < i_k \leq m$  and  $1 \leq j_1 < \cdots < j_l \leq m$ , our assignment gives

$$v_{i_1} \cdots v_{i_k} \longmapsto \left[ \begin{array}{cc} \varepsilon^{(m)}(v_{i_1} \cdots v_{i_k}) & 0\\ 0 & (-1)^k \varepsilon^{(m)}(v_{i_1} \cdots v_{i_k}) \end{array} \right]$$

and

$$v_{j_1} \cdots v_{j_l} v_{m+1} \longmapsto \left[ \begin{array}{cc} 0 & \varepsilon^{(m)} (v_{j_1} \cdots v_{j_l}) \widehat{z} \\ (-1)^l \varepsilon^{(m)} (v_{j_1} \cdots v_{j_l}) \widehat{z} & 0 \end{array} \right]$$

Thus

$$\varepsilon^{(m+1)}(g+hv_{m+1}) = \begin{bmatrix} \varepsilon^{(m)}(g_0+g_1) & \varepsilon^{(m)}(h_0+h_1)\hat{z} \\ \varepsilon^{(m)}(h_0-h_1)\hat{z} & \varepsilon^{(m)}(g_0-g_1) \end{bmatrix},$$

where  $g = g_0 + g_1$  and  $h = h_0 + h_1$  are the unique presentations as sums of an even and an odd element (with respect to the natural  $\mathbb{Z}_2$ -grading  $E^{(m)} = E_0^{(m)} \oplus E_1^{(m)}$ ). Straightforward verification shows that

$$\varepsilon^{(m+1)}: E^{(m+1)} \longrightarrow \mathcal{M}_{2t}(\Omega[z]/(z^2))$$

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is an injective homomorphism of K-algebras. In view of

$$tr(\varepsilon^{(m+1)}(g+hv_{m+1})) = tr(\varepsilon^{(m)}(g_0+g_1)) + tr(\varepsilon^{(m)}(g_0-g_1)) \in K,$$

we deduce that  $\varepsilon^{(m+1)}$  is a CT-representation of  $E^{(m+1)}$ .

COROLLARY 3.2: For any integer  $m \ge 2$  there exists a CT-representation

$$\varepsilon^{(m)}: E^{(m)} \longrightarrow \mathcal{M}_{2^{m-1}}(K[z_1, \dots, z_m]/(z_1^2, \dots, z_m^2))$$

of  $E^{(m)}$ , where  $(z_1^2, \ldots, z_m^2)$  is the ideal of  $K[z_1, \ldots, z_m]$  generated by the monomials  $z_1^2, \ldots, z_m^2$ .

Proof. Starting from  $\varepsilon^{(2)}: E^{(2)} \longrightarrow M_2(K[z_1, z_2]/(z_1^2, z_2^2))$  and using

$$(K[z_1,\ldots,z_m]/(z_1^2,\ldots,z_m^2))[z]/(z^2) \cong K[z_1,\ldots,z_m,z_{m+1}]/(z_1^2,\ldots,z_m^2,z_{m+1}^2),$$

iteration of the construction in Theorem 3.1 gives the desired CT-representation.  $\hfill\blacksquare$ 

PROPOSITION 3.3: If  $\varepsilon : E^{(m)} \longrightarrow M_t(\Omega)$  is a (not necessarily CT-) representation of  $E^{(m)}$  for some integers  $m, t \ge 2$  over a commutative K-algebra  $\Omega$ , then  $m \le 2t - 1$ .

Proof. Let  $S_m(x_1, \ldots, x_m) = \sum_{\pi \in \text{Sym}(m)} \text{sgn}(\pi) x_{\pi(1)} \cdots x_{\pi(m)}$  be the standard polynomial in  $K \langle x_1, \ldots, x_m \rangle$ . The well-known Amitsur–Levitzki theorem (see [R]) asserts that  $S_{2t} = 0$  is a polynomial identity on  $M_t(\Omega)$ . The existence of the embedding  $\varepsilon$  ensures that  $S_{2t} = 0$  is also an identity on  $E^{(m)}$ . On the other hand,

$$S_m(v_1,\ldots,v_m) = m! \ v_1 \cdots v_m \neq 0$$

shows that  $S_m = 0$  is not a polynomial identity on  $E^{(m)}$ . If  $2t \leq m$ , then  $S_m = 0$  follows from  $S_{2t} = 0$  (in any algebra). Thus we have  $2t \leq m$ .

THEOREM 3.4 ("Cayley-Hamilton"): If  $A \in M_n(E^{(m)})$  is an  $n \times n$  matrix, then A satisfies an identity of the form

$$A^{2^{m-1}n} + c_1 A^{(2^{m-1}n)-1} + \dots + c_{(2^{m-1}n)-1}A + c_{2^{m-1}n}I_n = 0,$$

where  $c_i \in K$ ,  $1 \le i \le 2^{m-1}n$ .

*Proof.* A combination of Theorem 2.1 and Corollary 3.2 gives the identity.

Remark 3.5: In particular, we know the following about embeddability of  $E^{(3)}$  into matrix algebras. By Corollary 3.2,  $E^{(3)}$  admits a CT-embedding into a

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 $4 \times 4$  matrix algebra. On the other hand, it has no CT-embedding into any  $2 \times 2$  matrix algebra. The simple reason is that for  $c_1, c_2 \in K$ , the  $2 \times 2$  Cayley–Hamilton identity

$$(v_1 + v_2 v_3)^2 + c_1(v_1 + v_2 v_3) + c_2 = 0$$

never holds in  $E^{(3)}$ , although such an identity should hold in  $E^{(3)}$  by Theorem 2.1 if it CT-embeds into a 2 × 2 matrix algebra. We could not decide whether  $E^{(3)}$  has a CT-embedding into a 3 × 3 matrix algebra.

For m = 3 and t = 2 the inequality  $m \leq 2t - 1$  in Proposition 3.3 becomes equality, thus Proposition 3.3 does not contradict the existence of a non-CTrepresentation  $\varepsilon : E^{(3)} \longrightarrow M_2(\Omega)$ . Indeed, the assignments

$$1 \longmapsto \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix}, v_1 \longmapsto \begin{bmatrix} 0 & x \\ x & 0 \end{bmatrix}, v_2 \longmapsto \begin{bmatrix} y & 2y \\ -2y & -y \end{bmatrix}, v_3 \longmapsto \begin{bmatrix} -2z & -z \\ z & 2z \end{bmatrix}$$

define a K-embedding  $E^{(3)} \longrightarrow M_2(K[x, y, z]/(x^2, y^2, z^2))$ . Since

$$v_1v_2v_3 \longmapsto \left[ \begin{array}{cc} 3xyz & 0\\ 0 & 3xyz \end{array} \right],$$

this embedding is not a CT-representation.

Remark 3.6: Any matrix  $A \in M_n(E)$  (here char(K) = 0 is essential) satisfies left and right Cayley–Hamilton identities of the form

$$A^{n^{2}} + g_{1}A^{n^{2}-1} + \dots + g_{n^{2}-1}A + g_{n^{2}}I_{n} = 0,$$
  
$$A^{n^{2}} + A^{n^{2}-1}h_{1} + \dots + Ah_{n^{2}-1} + I_{n}h_{n^{2}} = 0,$$

and a central Cayley-Hamilton identity of the form

$$A^{2n^2} + u_1 A^{2n^2 - 1} + \dots + u_{2n^2 - 1} A + u_{2n^2} I_n = 0,$$

where  $g_i, h_i \in E, 1 \le i \le n^2$  and  $u_j \in E_0, 1 \le j \le 2n^2$  (see [Sz1]).

THEOREM 3.7: The standard identity  $S_{2^m n} = 0$  of degree  $2^m n$  is a polynomial identity on  $M_n(E^{(m)})$ .

Proof. Since the natural extension

$$(\varepsilon^{(m)})_n : \mathcal{M}_n(E^{(m)}) \longrightarrow \mathcal{M}_n(\mathcal{M}_{2^{m-1}}(K[z_1,\ldots,z_m]/(z_1^2,\ldots,z_m^2)))$$

of  $\varepsilon^{(m)}$  (in Corollary 3.2) is a K-embedding and

$$\mathbf{M}_{n}(\mathbf{M}_{2^{m-1}}(K[z_{1},\ldots,z_{m}]/(z_{1}^{2},\ldots,z_{m}^{2}))) \cong \mathbf{M}_{2^{m-1}n}(K[z_{1},\ldots,z_{m}]/(z_{1}^{2},\ldots,z_{m}^{2}))$$

satisfies  $S_{2^m n} = 0$  by the Amitsur–Levitzki theorem, the proof is complete.

If n = 1, then Theorems 3.4 and 3.7 are far from being sharp.

Remark 3.8: Using Theorem 5.5 of Domokos [D] and the fact that  $S_{m+2} = 0$  is an identity on  $E^{(m)}$ , we obtain that  $S_{(m+1)n^2+1} = 0$  is an identity on  $M_n(E^{(m)})$ .

The left regular representation of  $E^{(m)}$  is an embedding

$$\lambda^{(m)}: E^{(m)} \longrightarrow \operatorname{End}_K(E^{(m)}) \cong \operatorname{M}_{2^m}(K)$$

of K-algebras, where  $\operatorname{End}_{K}(E^{(m)})$  is the algebra of all K-linear maps of the  $2^{m}$ -dimensional vector space  ${}_{K}E^{(m)}$  and

$$\lambda^{(m)}(q): E^{(m)} \longrightarrow E^{(m)}$$

is the left multiplication by  $g \in E^{(m)}$ . The size of the matrix algebra is  $2^{m-1}$ in Corollary 3.2, half of the size  $2^m$  provided by the above left regular representation. On the other hand, the base field K is replaced by a much bigger base ring (K-algebra) in Corollary 3.2. Since we cannot derive Theorems 3.4 and 3.7 from the regular representation, our half-sized embedding is really better in many ways than the regular representation.

If we keep K as the base field, then the next theorem gives a lower bound for the matrix size of any possible embedding of  $E^{(m)}$ .

THEOREM 3.9: If  $\lambda : E^{(m)} \longrightarrow M_t(K)$  is an embedding of K-algebras, then  $3 \cdot 2^{m-2} = 2^{m-1} + 2^{m-2} \leq \lfloor \frac{t^2}{4} \rfloor + 1.$ 

Proof. Consider the K-subalgebra  $E_0^{(m)}[v_m]$  of  $E^{(m)}$  generated by the central  $E_0^{(m)}$  and the generator  $v_m$ . Clearly,  $E_0^{(m)}[v_m]$  is commutative, and any element of  $E_0^{(m)}[v_m]$  is of the form  $g_0 + h_0 v_m$ , where  $g_0 \in E_0^{(m)}$  and  $h_0 \in E_0^{(m-1)}$ . It follows that  $\dim_K E_0^{(m)}[v_m] = 2^{m-1} + 2^{m-2}$ . Since  $\lambda(E_0^{(m)}[v_m]) \subseteq M_t(K)$  is a commutative subalgebra of  $M_t(K)$ , we can apply Schur's inequality (see [M]):

$$2^{m-1} + 2^{m-2} = \dim_K E_0^{(m)}[v_m] = \dim_K \lambda(E_0^{(m)}[v_m]) \le \left\lfloor \frac{t^2}{4} \right\rfloor + 1.$$

Remark 3.10: Since M. Domokos and M. Zubor recently proved (see [DZ]) that  $\dim_K C \leq 3 \cdot 2^{2r-2}$  for any commutative K-subalgebra  $C \subseteq E^{(2r)}$  of  $E^{(2r)}$ , the argument in the above proof cannot be improved if m = 2r is even.

#### 4. Skew polynomial rings and embeddings

For a ring (K-algebra) endomorphism  $\sigma : R \longrightarrow R$ , consider the skew polynomial ring (K-algebra)  $R[w, \sigma]$  in the skew indeterminate w. The elements of  $R[w, \sigma]$  are left polynomials of the form  $f(w) = a_0 + a_1w + \cdots + a_kw^k$  with  $a_0, a_1, \ldots, a_k \in R$ . Besides the obvious addition, we have the following multiplication rule in  $R[w, \sigma]$ :  $wr = \sigma(r)w$  for all  $r \in R$  and

$$(a_0 + a_1w + \dots + a_kw^k)(b_0 + b_1w + \dots + b_lw^l) = c_0 + c_1w + \dots + c_{k+l}w^{k+l},$$

where

$$c_m = \sum_{i+j=m, i \ge 0, j \ge 0} a_i \sigma^i(b_j).$$

If  $\sigma$  is an involution, then  $w^2$  is a central element of  $R[w, \sigma]$ : we have  $\sigma(\sigma(r)) = r$ and  $w^2r = w\sigma(r)w = \sigma(\sigma(r))w^2 = rw^2$  for all  $r \in R$ , moreover  $w^2$  commutes with the powers of w. Thus the ideal  $(w^2)$  of  $R[w, \sigma]$  generated by  $w^2$  can be written as  $(w^2) = R[w, \sigma]w^2 = w^2R[w, \sigma]$ . Consider the factor ring (K-algebra)  $R[w, \sigma]/(w^2)$ ; then for any element  $f(w) \in R[w, \sigma]$  there exists exactly one left polynomial of the form  $r + sw \in R[w, \sigma]$  in the residue class  $f(w) + (w^2)$ . Hence the elements of  $R[w, \sigma]/(w^2)$  can be represented by linear left polynomials with coefficients in R, and the multiplication in  $R[w, \sigma]/(w^2)$  is the following:

$$(r+sw)(p+qw) = rp + (rq + s\sigma(p))w,$$

where  $r, s, p, q \in R$ .

If  $R = E = E_0 \oplus E_1$  (or  $R = E^{(m)} = E_0^{(m)} \oplus E_1^{(m)}$ ) is the natural  $\mathbb{Z}_2$ -grading, then  $\sigma(g) = \sigma(g_0 + g_1) = g_0 - g_1$  defines a natural involution (here  $g = g_0 + g_1$ is the unique presentation as a sum of an even and an odd element). It is easy to see that  $E[w, \sigma]/(w^2) \cong E$  and  $E^{(m)}[w, \sigma]/(w^2) \cong E^{(m+1)}$  as K-algebras.

We note that the idea of considering  $R[w,\sigma]/(w^2)$  comes from [SSz].

THEOREM 4.1 ("Fundamental Embedding"): For an involution  $\sigma : R \longrightarrow R$ , putting

$$\mu(r + sw + (w^2)) = \begin{bmatrix} r + (z^2) & sz + (z^2) \\ \sigma(s)z + (z^2) & \sigma(r) + (z^2) \end{bmatrix}$$

(with  $r, s \in R$ ) gives a K-embedding  $\mu : R[w, \sigma]/(w^2) \longrightarrow M_2(R[z]/(z^2))$ .

*Proof.* We only have to prove the multiplicative property of  $\mu$ :

$$\begin{split} \mu((r+sw+(w^2))(p+qw+(w^2))) &=& \mu(rp+(rq+s\sigma(p))w+(w^2)) \\ &= \left[ \begin{array}{c} rp+(z^2) & (rq+s\sigma(p))z+(z^2) \\ \sigma(rq+s\sigma(p))z+(z^2) & \sigma(rp)+(z^2) \end{array} \right] \\ &= \left[ \begin{array}{c} r+(z^2) & sz+(z^2) \\ \sigma(s)z+(z^2) & \sigma(r)+(z^2) \end{array} \right] \cdot \left[ \begin{array}{c} p+(z^2) & qz+(z^2) \\ \sigma(q)z+(z^2) & \sigma(p)+(z^2) \end{array} \right] \\ &=& \mu(r+sw+(w^2))\mu(p+qw+(w^2)). \end{split}$$

The following is a broad generalization of Theorem 3.1.

THEOREM 4.2: Let  $\varepsilon : R \longrightarrow M_t(\Omega)$  be a CT-representation of R over a commutative K-algebra  $\Omega$  for some integer  $t \ge 2$ . If  $\sigma : R \longrightarrow R$  is an involution, then there exists an induced CT-representation  $\varepsilon^* : R[w, \sigma]/(w^2) \longrightarrow M_{2t}(\Omega[z]/(z^2))$ of the factor  $R[w, \sigma]/(w^2)$ .

Proof. Consider the natural extension

 $\overline{\varepsilon}_2 : \mathcal{M}_2(R[z]/(z^2)) \longrightarrow \mathcal{M}_2((\mathcal{M}_t(\Omega)[z])/(z^2)) \cong \mathcal{M}_{2t}(\Omega[z]/(z^2))$ 

of  $\overline{\varepsilon} : R[z]/(z^2) \longrightarrow (M_t(\Omega)[z])/(z^2)$ , where  $\overline{\varepsilon}(r+sz+(z^2)) = \varepsilon(r)+\varepsilon(s)z+(z^2)$ for  $r, s \in R$ . Using the map  $\mu : R[w,\sigma]/(w^2) \longrightarrow M_2(R[z]/(z^2))$  in Theorem 4.1, the composition  $\varepsilon^* = \overline{\varepsilon}_2 \circ \mu$  gives the induced CT-representation. Indeed,  $\operatorname{tr}(\varepsilon^*(r+sw+(w^2))) = (\operatorname{tr}(\varepsilon(r))+(z^2)) + (\operatorname{tr}(\varepsilon(\sigma(r)))+(z^2)) \in K+(z^2)$ .

Let  $\sigma : R \longrightarrow R$  be a (K-algebra) endomorphism with  $\sigma^t = 1$  (such a  $\sigma$  is an automorphism). Now  $w^t$  is a central element of  $R[w, \sigma]$  and the ideal  $(w^t)$  of  $R[w, \sigma]$  can be written as  $(w^t) = R[w, \sigma]w^t = w^t R[w, \sigma]$ . We close this section by mentioning the following generalization of Theorem 4.1 (see [Sz2]), which seems to have applications in Galois theory.

THEOREM 4.3: For an endomorphism  $\sigma: R \longrightarrow R$  with  $\sigma^t = 1$ , putting

$$\mu(r_0 + r_1 w + \dots + r_{t-1} w^{t-1} + (w^t)) = [\sigma^{i-1}(r_{j-i})z^{j-i} + (z^t)]_{t \times u}$$

gives an embedding  $\mu : R[w, \sigma]/(w^t) \longrightarrow M_t(R[z]/(z^t))$ , where the difference  $j-i \in \{0, 1, \ldots, t-1\}$  is taken modulo t, and the element  $\sigma^{i-1}(r_{j-i})z^{j-i}+(z^t)$  of the factor  $R[z]/(z^t)$  is in the (i, j) position of the  $t \times t$  matrix

$$[\sigma^{i-1}(r_{j-i})z^{j-i} + (z^t)]_{t \times t}.$$

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The trace of  $[\sigma^{i-1}(r_{j-i})z^{j-i} + (z^t)]_{t \times t}$  is in  $\mathbb{R}^{\sigma} + (z^t)$ , where  $\mathbb{R}^{\sigma} = \{r \in \mathbb{R} \mid \sigma(r) = r\}$ 

is the fixed ring of  $\sigma$ .

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