

THE LOWER DIMENSIONAL  
BUSEMANN-PETTY PROBLEM FOR BODIES  
WITH THE GENERALIZED AXIAL SYMMETRY\*

BY

BORIS RUBIN

*Department of Mathematics, Louisiana State University  
Baton Rouge, LA 70803 USA  
e-mail: borisr@math.lsu.edu*

ABSTRACT

The lower dimensional Busemann-Petty problem asks, whether  $n$ -dimensional centrally symmetric convex bodies with smaller  $i$ -dimensional central sections necessarily have smaller volumes. For  $i = 1$ , the affirmative answer is obvious. If  $i > 3$ , the answer is negative. For  $i = 2$  or  $i = 3$  ( $n > 4$ ), the problem is still open, however, when the body with smaller sections is a body of revolution, the answer is affirmative. The paper contains a solution to the problem in the more general situation, when the body with smaller sections is invariant under rotations, preserving mutually orthogonal subspaces of dimensions  $\ell$  and  $n - \ell$ , respectively, so that  $i + \ell \leq n$ . The answer essentially depends on  $\ell$ . The argument relies on the notion of canonical angles between subspaces, spherical Radon transforms, properties of intersection bodies, and the generalized cosine transforms.

## 1. Introduction

Let  $G_{n,i}$  be the Grassmann manifold of  $i$ -dimensional linear subspaces of  $\mathbb{R}^n$ , and let  $\text{vol}_i(\cdot)$  denote the  $i$ -dimensional volume function.

---

\* The research was supported in part by the NSF grant DMS-0556157 and the Louisiana EPSCoR program, sponsored by NSF and the Board of Regents Support Fund.

Received June 8, 2007 and in revised form December 24, 2007

QUESTION: Suppose that  $i$  is fixed, and let  $A$  and  $B$  be arbitrary origin-symmetric (o.s.) convex bodies in  $\mathbb{R}^n$  satisfying

$$(1.1) \quad \text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi) \quad \text{for every } \xi \in G_{n,i}.$$

Does it follow that

$$(1.2) \quad \text{vol}_n(A) \leq \text{vol}_n(B) ?$$

This question generalizes the celebrated Busemann-Petty problem, corresponding to  $i = n - 1$  [BP]. The latter has a long history; see, e.g., [Ba, BFM, Ga1, Ga2, GKS, Gi, Ha], [K1]–[K4], [LR, Lu, Pa, R3, Z2]. The answer is really striking. It is “Yes” if and only if  $n \leq 4$ ; see [Ga3, GKS, K4, KY], and references therein. For  $1 \leq i \leq n - 2$ , the problem is even more intriguing. We call it *the lower dimensional Busemann-Petty problem* (LDBP). If  $i = 1$ , the implication (1.1)  $\rightarrow$  (1.2) is obvious for all o.s. star bodies without any convexity assumption. In the case  $i = 2$ ,  $n = 4$ , an affirmative answer follows from the solution of the usual Busemann-Petty problem. For  $3 < i \leq n - 1$ , a negative answer was given by Bourgain and Zhang [BZ] and Koldobsky [K4]; see also [RZ]. In the cases  $i = 2$  and  $i = 3$  for  $n > 4$ , the answer is generally unknown, however, if the body with smaller sections is a body of revolution, the answer is affirmative; see [GZ], [Z1], [RZ]. It is also known [BZ] that when  $i = 2$  and  $B$  is a Euclidean ball, the answer is affirmative provided that  $A$  is convex and sufficiently close to  $B$ . On the other hand [Mi2], for  $i = 2$  or  $i = 3$ , there is a small perturbation  $A$  of a Euclidean ball, so that the implication (1.1)  $\rightarrow$  (1.2) is true for arbitrary o.s. star body  $B$ . Modifications of the Busemann-Petty problem were studied in [CG, K4, KKZ, KYY, RZ, Y, Schu, Zv]; see also [Ga3], where one can find further references.

It is worth noting, that one of the ways to solve the original Busemann-Petty problem is to go through rotation invariant bodies. To the best of my knowledge, the first publication in this direction is due to Hadwiger [Ha] who considered a slightly more general problem for bodies of revolution in  $\mathbb{R}^3$ . An important breakthrough was made by Gardner [Ga1] who gave a positive answer to the Busemann-Petty problem for arbitrary origin-symmetric bodies in  $\mathbb{R}^3$ , and invoked bodies of revolution in his solution. First counter-examples for  $\mathbb{R}^5$  were also based on rotation invariance [Ga2, Pa]. It is natural to expect that studying bodies which are invariant under more general groups of orthogonal

transformations will lead to new results and bring new light to the lower dimensional Busemann-Petty problem. We investigate this conjecture in the present article.

MAIN RESULTS. We give a solution to the lower dimensional Busemann-Petty problem stated above, when the body with smaller sections is invariant under orthogonal transformations preserving mutually orthogonal subspaces, say,  $p$  and  $p^\perp$ , of dimensions  $\ell$  and  $n - \ell$  satisfying  $i + \ell \leq n$ ,  $1 \leq \ell < n$  (the restriction  $i + \ell \leq n$  is discussed below in  $2^0$ ). Let us choose the coordinate system in  $\mathbb{R}^n$  so that  $p = \mathbb{R}^\ell = \bigoplus_{j=n-\ell+1}^n \mathbb{R}e_j$  and  $p^\perp = \mathbb{R}^{n-\ell} = \bigoplus_{j=1}^{n-\ell} \mathbb{R}e_j$ , where  $e_1, e_2, \dots, e_n$  are the relevant coordinate unit vectors. Without loss of generality, we assume  $\ell \leq n - \ell$ , i.e.,  $\ell \leq n/2$  (otherwise, the coordinate subspaces can be renamed). The case  $\ell = 1$  corresponds to bodies of revolution.

Consider the subgroup of orthogonal transformations

$$(1.3) \quad K_\ell = \left\{ \gamma \in O(n) : \gamma = \begin{bmatrix} \alpha & 0 \\ 0 & \beta \end{bmatrix}, \quad \alpha \in O(n - \ell), \beta \in O(\ell) \right\}.$$

A star body  $A$  is  $K_\ell$ -symmetric if  $\gamma A = A$  for all  $\gamma \in K_\ell$ . Clearly, every  $K_\ell$ -symmetric body  $A$  is origin-symmetric, that is  $A = -A$ . We set

$$x = (x', x'') \in \mathbb{R}^n, \quad x' \in \mathbb{R}^{n-\ell}, \quad x'' \in \mathbb{R}^\ell.$$

Every  $K_\ell$ -symmetric body in  $\mathbb{R}^n$  can be obtained, for instance, if we take a 2-dimensional body, which is symmetric with respect to coordinate axes in the plane  $(e_1, e_n)$ , and rotate it about the subspaces  $\mathbb{R}^\ell$  and  $\mathbb{R}^{n-\ell}$ . A typical example is the  $(q, \ell)$ -ball

$$(1.4) \quad B_{q,\ell}^n = \{x : |x'|^q + |x''|^q \leq 1\}, \quad q > 0,$$

where  $|\cdot|$  denotes the Euclidean norm.

The basic idea of our approach is the following. We observe, that the relative position of two subspaces, say,  $\xi \in G_{n,i}$  and  $\eta \in G_{n,\ell}$ , is determined by  $m = \min(i, \ell)$  canonical angles  $\omega_1, \dots, \omega_m$ . This geometrical fact represents an important tool in diverse problems related to eigenvalues of matrices [C] and in statistics [J]. It was shown [OR] that every  $K_\ell$ -invariant function  $f$  on  $G_{n,i}$  is completely determined by a function  $f_0$  of  $m = \min(i, \ell)$  canonical angles, provided that  $i + \ell \leq n$ .

We define

$$(1.5) \quad G_{n,i}^\ell = \{\xi \in G_{n,i} : \omega_1 = \dots = \omega_m\}$$

to be the collection of all  $\xi \in G_{n,i}$  such that all canonical angles between  $\xi$  and  $\mathbb{R}^\ell$  are equal. The structure of the set  $G_{n,i}^\ell$  can be understood as follows. Let  $\lambda_1 = \cos^2\omega_1, \dots, \lambda_m = \cos^2\omega_m$ . These are eigenvalues of the positive semi-definite matrix

$$(1.6) \quad r = \begin{cases} \tau' P_{\mathbb{R}^\ell} \tau & \text{if } i \leq \ell, \\ \sigma' P_\xi \sigma & \text{if } i > \ell, \end{cases}$$

where  $\tau$  and  $\sigma$  denote arbitrarily fixed orthonormal frames which span  $\xi$  and  $\mathbb{R}^\ell$ , respectively;  $\tau'$ ,  $\sigma'$ ,  $P_{\mathbb{R}^\ell}$ , and  $P_\xi$  stand for the corresponding transposed matrices and orthogonal projections. We arrange  $\lambda_1, \dots, \lambda_m$  in nonincreasing order and regard  $\lambda = (\lambda_1, \dots, \lambda_m)$  as a point of the simplex

$$(1.7) \quad \Lambda_m = \{\lambda : 1 \geq \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_m \geq 0\}.$$

The edge  $\{\lambda_1 = \dots = \lambda_m\}$  of this simplex corresponds to  $G_{n,i}^\ell$ .

Our main results are the following.

**THEOREM 1.1:** *Let  $1 \leq \ell \leq n/2$ ,  $i + \ell \leq n$ , and let  $A$  be a  $K_\ell$ -symmetric star body in  $\mathbb{R}^n$ .*

(a) *If  $1 \leq i \leq \ell$ , then the implication*

$$(1.8) \quad \text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi) \quad \text{for every } \xi \in G_{n,i}^\ell \implies \text{vol}_n(A) \leq \text{vol}_n(B)$$

*is true for every o.s. star body  $B$ .*

(b) *If  $i = \ell + 1$  or  $i = \ell + 2$ , then (1.8) holds for every o.s. star body  $B$  provided that  $A$  is convex.*

**THEOREM 1.2:** *If  $i > \ell + 2$ , and  $B = B_{4,\ell}^n = \{x : |x'|^4 + |x''|^4 \leq 1\}$ , then there is an infinitely smooth  $K_\ell$ -symmetric convex body  $A$ , such that  $\text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi)$  for all  $\xi \in G_{n,i}$ , but  $\text{vol}_n(A) > \text{vol}_n(B)$ .*

Some comments are in order.

1<sup>0</sup>. It might be surprising, that to make a positive conclusion in Theorem 1.1, we do not need *all*  $i$ -dimensional central sections, as suggested in the original problem. It suffices to consider only sections having equal canonical angles with respect to  $\mathbb{R}^\ell$ . More advantages of our *method of canonical angles* are described in Remark 2.6.

2<sup>0</sup>. The condition  $i + \ell \leq n$  in Theorem 1.1 excludes the situation when  $\dim(\xi \cap \mathbb{R}^\ell) \geq 1$  for all  $\xi \in G_{n,i}$ ; see Remark 2.4. We actually assume

in (a):  $i \leq \min(\ell, n - \ell)$ ;

in (b):  $\ell \leq (n - 1)/2$ , if  $i = \ell + 1$ , and  $\ell \leq (n - 2)/2$ , if  $i = \ell + 2$ .

Regarding (a), the situation, when inequalities  $i + \ell > n$  and  $i \leq \ell$  hold simultaneously, is impossible, because in this case  $\ell > n/2$ , that contradicts our initial convention. Regarding (b), a simple examination shows that the following cases, which are admissible when  $i + \ell > n$ , are not presented in Theorem 1.1:

- (i)  $n = 2\ell$ , when  $i = \ell + 1$ ;
- (ii)  $n = 2\ell$  and  $n = 2\ell + 1$ , when  $i = \ell + 2$ ;

The validity of the implication (1.1)  $\rightarrow$  (1.2) in (i) and (ii) is an open problem. After several attempts to attack it, we have got an impression that the difficulties here have the same nature as those in the original LDBP for  $i = 2$  or 3.

3<sup>0</sup>. Another intriguing open problem is to check the following

CONJECTURE: *In the case (b) of Theorem 1.1, i.e., when  $i = \ell + 1$  or  $i = \ell + 2$ , there exist a nonconvex  $K_\ell$ -symmetric body  $A$  and an o.s. star body  $B$  so that*

$$\text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi) \quad \text{for all } \xi \in G_{n,i} \text{ (not only for } \xi \in G_{n,i}^\ell),$$

but  $\text{vol}_n(A) > \text{vol}_n(B)$ ; cf. [Ga3, Theorem 8.2.4] for  $n = 3, \ell = 1$ .

The paper is organized as follows. In Section 2, we obtain new lower dimensional representations for the spherical Radon transform of  $K_\ell$ -invariant functions; see Theorem 2.2 and Corollary 2.3. These results are used in Section 3 to prove Theorem 1.1. Theorem 1.2 is proved in Section 4, where we invoke some facts on intersection bodies and the generalized cosine transforms. The concept of intersection body was introduced by Lutwak [Lu] and extended by Zhang [Z1] and Koldobsky [K2] to lower dimensional sections. Useful information about these objects can be found in [K4], [Mi1], [R4].

ACKNOWLEDGEMENTS. I am grateful to Professors Alexander Koldobsky, Erwin Lutwak, Deane Yang and Gaoyong Zhang for useful discussions. I am indebted to the referee for valuable remarks that led to improvements in this paper.

Notation: We use the standard notation  $O(n)$  and  $SO(n)$  for the orthogonal group and the special orthogonal group of  $\mathbb{R}^n$  endowed with the invariant probability measure. For  $1 \leq i < n$ , we denote by  $G_{n,i}$  the Grassmann manifold of  $i$ -dimensional subspaces  $\xi$  of  $\mathbb{R}^n$ ;  $d\xi$  stands for the  $O(n)$ -invariant probability

measure on  $G_{n,i}$ ;  $S^{n-1}$  is the unit sphere in  $\mathbb{R}^n$ ;  $\sigma_{n-1} = 2\pi^{n/2}/\Gamma(n/2)$  is the area of  $S^{n-1}$ ;  $e_1, e_2, \dots, e_n$  denote the coordinate unit vectors;  $\mathfrak{M}_{n,i}$  is the space of real matrices having  $n$  rows and  $i$  columns. For  $X \in \mathfrak{M}_{n,i}$ ,  $X'$  denotes the transpose of  $X$ ,  $I_i$  is the identity  $i \times i$  matrix;

$$V_{n,i} = \{\tau \in \mathfrak{M}_{n,i} : \tau' \tau = I_i\} = O(n)/O(n-i)$$

is the Stiefel manifold of orthonormal  $i$ -frames in  $\mathbb{R}^n$ . For  $\tau \in V_{n,i}$ ,  $\{\tau\}$  denotes the  $i$ -dimensional subspace spanned by  $\tau$ . All vectors in  $\mathbb{R}^n$  are interpreted as column-vectors.

### 2. The Spherical Radon Transform of $K_\ell$ -Invariant Functions

For functions  $f(\theta)$  on  $S^{n-1}$  and  $\varphi(\xi)$  on  $G_{n,i}$ , we define the spherical Radon transform  $(R_i f)(\xi)$  and its dual  $(R_i^* \varphi)(\theta)$  by

$$(2.1) \quad (R_i f)(\xi) = \int_{S^{n-1} \cap \xi} f(\theta) d_\xi \theta, \quad (R_i^* \varphi)(\theta) = \int_{\xi \ni \theta} \varphi(\xi) d_\theta \xi,$$

where measures  $d_\xi \theta$  and  $d_\theta \xi$  are normalized so that  $R_i 1 = \sigma_{i-1}$  and  $R_i^* 1 = 1$ . The corresponding duality relation has the form

$$(2.2) \quad \frac{1}{\sigma_{i-1}} \int_{G_{n,i}} (R_i f)(\xi) \varphi(\xi) d\xi = \frac{1}{\sigma_{n-1}} \int_{S^{n-1}} f(\theta) (R_i^* \varphi)(\theta) d\theta$$

and is applicable whenever either side is finite for  $f$  and  $\varphi$  replaced by  $|f|$  and  $|\varphi|$ , respectively; see [He], [R2].

In this section we obtain explicit “lower dimensional” expressions for  $R_i f$  when  $f$  is  $K_\ell$ -invariant. We remind that

$$(2.3) \quad \mathbb{R}^n = \mathbb{R}^{n-\ell} \oplus \mathbb{R}^\ell, \quad \mathbb{R}^{n-\ell} = \bigoplus_{j=1}^{n-\ell} \mathbb{R} e_j, \quad \mathbb{R}^\ell = \bigoplus_{j=n-\ell+1}^n \mathbb{R} e_j,$$

$1 \leq \ell \leq n-1$ , and set

$$(2.4) \quad \sigma = [e_{n-\ell+1}, \dots, e_n] = \begin{bmatrix} 0 \\ I_\ell \end{bmatrix}.$$

Every  $\theta \in S^{n-1}$  is represented in bi-spherical coordinates as

$$(2.5) \quad \theta = \begin{bmatrix} u \sin \omega \\ v \cos \omega \end{bmatrix}, \quad u \in S^{n-\ell-1}, \quad v \in S^{\ell-1}, \quad 0 \leq \omega \leq \frac{\pi}{2},$$

so that  $d\theta = \sin^{n-\ell-1} \omega \cos^{\ell-1} \omega \, dudv d\omega$ ; see, e.g., [VK]. Clearly,  $\cos^2 \omega = \theta' \sigma \sigma' \theta = \theta' P_{\mathbb{R}^\ell} \theta$ , where  $P_{\mathbb{R}^\ell}$  denotes the orthogonal projection onto  $\mathbb{R}^\ell$ . The following statement is an immediate consequence of (2.5).

LEMMA 2.1: *A function  $f$  on  $S^{n-1}$  is  $K_\ell$ -invariant if and only if there is a function  $f_0$  on  $[0, 1]$  such that  $f(\theta) = f_0(t)$ , where  $t^{1/2} = (\theta' P_{\mathbb{R}^\ell} \theta)^{1/2}$  is the cosine of the angle between the unit vector  $\theta$  and the coordinate subspace  $\mathbb{R}^\ell$ . Moreover,*

$$(2.6) \quad \begin{aligned} \int_{S^{n-1}} f(\theta) \, d\theta &= c \int_0^{\pi/2} \sin^{n-\ell-1} \omega \cos^{\ell-1} \omega \, f_0(\cos^2 \omega) \, d\omega \\ &= \frac{c}{2} \int_0^1 t^{\ell/2-1} (1-t)^{(n-\ell)/2-1} f_0(t) \, dt, \quad c = \sigma_{\ell-1} \sigma_{n-\ell-1}. \end{aligned}$$

THEOREM 2.2: *Let  $1 \leq i, \ell \leq n-1$ ;  $m = \min(i, \ell)$ . Let  $\omega_1, \dots, \omega_m$  be canonical angles between the subspace  $\xi \in G_{n,i}$  and the coordinate plane  $\mathbb{R}^\ell$ ,*

$$(2.7) \quad \boldsymbol{\lambda} = \text{diag}(\lambda_1, \dots, \lambda_m), \quad \lambda_1 = \cos^2 \omega_1, \dots, \lambda_m = \cos^2 \omega_m.$$

Suppose that  $f$  is a  $K_\ell$ -invariant function on  $S^{n-1}$ , so that  $f(\theta) = f_0(t)$ ,  $t = \cos^2 \omega$ , where  $\omega$  is the angle between  $\theta$  and  $\mathbb{R}^\ell$ . Then the Radon transform  $R_i f$  has the form  $(R_i f)(\xi) = F(\boldsymbol{\lambda})$ , where

$$(2.8) \quad F(\boldsymbol{\lambda}) = \frac{\sigma_{i-\ell-1}}{2} \int_{S^{\ell-1}} \frac{dv}{(v' \boldsymbol{\lambda} v)^{i/2-1}} \int_0^{v' \boldsymbol{\lambda} v} t^{\ell/2-1} (v' \boldsymbol{\lambda} v - t)^{(i-\ell)/2-1} f_0(t) \, dt$$

if  $i > \ell$ , and

$$(2.9) \quad F(\boldsymbol{\lambda}) = \int_{S^{i-1}} f_0(v' \boldsymbol{\lambda} v) \, dv$$

if  $i \leq \ell$ .

Proof. We set

$$p_i = \begin{bmatrix} I_i \\ 0 \end{bmatrix} \in V_{n,i}, \quad \{p_i\} = \bigoplus_{j=1}^i \mathbb{R} e_j, \quad \sigma = \begin{bmatrix} 0 \\ I_\ell \end{bmatrix} \in V_{n,\ell},$$

and let  $\rho_\xi \in SO(n)$  be a rotation that takes the subspace  $\{p_i\}$  to  $\xi \in G_{n,i}$ . Then (set  $\theta = \rho_\xi \eta$ )

$$(R_i f)(\xi) = \int_{S^{n-1} \cap \xi} f_0(\theta' \sigma \sigma' \theta) \, d_\xi \theta = \int_{S^{i-1}} f_0(\eta' \rho'_\xi \sigma \sigma' \rho_\xi \eta) \, d\eta,$$

$S^{i-1}$  being the unit sphere in  $\{p_i\}$ . Let

$$(2.10) \quad u = \rho'_\xi \sigma = \begin{bmatrix} u_1 \\ u_2 \end{bmatrix} \in V_{n,\ell}, \quad u_1 = p'_i u = p'_i \rho'_\xi \sigma \in \mathfrak{M}_{i,\ell}, \quad u_2 \in \mathfrak{M}_{n-i,\ell}.$$

Then  $\eta' u = \eta' u_1$ , and we have

$$(2.11) \quad (R_i f)(\xi) = \int_{S^{i-1}} f_0(\eta' u u' \eta) d\eta = \int_{S^{i-1}} f_0(\eta' u_1 u'_1 \eta) d\eta.$$

Consider the case  $\ell < i$  and write  $u_1$  in the form (cf. [Mu, p. 589])

$$u_1 = \gamma p_\ell r^{1/2}, \quad \gamma \in SO(i), \quad p_\ell = \begin{bmatrix} I_\ell \\ 0 \end{bmatrix} \in V_{i,\ell},$$

where  $r$  is a positive semi-definite  $\ell \times \ell$  matrix defined by

$$(2.12) \quad r = u'_1 u_1 = u' p_i p'_i u = \sigma' \rho_\xi p_i p'_i \rho'_\xi \sigma = \sigma' P_\xi \sigma.$$

Hence,

$$(R_i f)(\xi) = \int_{S^{i-1}} f_0(\eta' \gamma p_\ell r p'_\ell \gamma' \eta) d\eta = \int_{S^{i-1}} f_0(\zeta' p_\ell r p'_\ell \zeta) d\zeta.$$

Since  $\ell < i$ , then  $\{p_\ell\} \subset \{p_i\}$ , and we can write  $\zeta$  in bi-spherical coordinates

$$\zeta = \begin{bmatrix} v \cos \psi \\ w \sin \psi \end{bmatrix}, \quad v \in S^{\ell-1}, \quad w \in S^{i-\ell-1}, \quad 0 \leq \psi \leq \frac{\pi}{2},$$

so that  $d\zeta = \cos^{\ell-1} \psi \sin^{i-\ell-1} \psi dv dw d\psi$ . This gives  $p'_\ell \zeta = v \cos \psi$ , and therefore,

$$(2.13) \quad \begin{aligned} (R_i f)(\xi) &= \sigma_{i-\ell-1} \int_{S^{\ell-1}} dv \int_0^{\pi/2} f_0(v' r v \cos^2 \psi) \cos^{\ell-1} \psi \sin^{i-\ell-1} \psi d\psi \\ &= \frac{\sigma_{i-\ell-1}}{2} \int_{S^{\ell-1}} \frac{dv}{(v' r v)^{i/2-1}} \int_0^{v' r v} t^{\ell/2-1} (v' r v - t)^{(i-\ell)/2-1} f_0(t) dt. \end{aligned}$$

Finally, we diagonalize  $r = \sigma' P_\xi \sigma$  by setting  $r = \gamma' \lambda \gamma$ , where  $\gamma \in O(\ell)$  and  $\lambda = \text{diag}(\lambda_1, \dots, \lambda_\ell)$ . Changing variables, we obtain (2.8).

Consider the case  $\ell \geq i$ . We replace  $u_1$  in (2.11) by  $p'_i \rho'_\xi \sigma$  from (2.10) and let  $\tau \in V_{n,i}$  be an arbitrary orthonormal  $i$ -frame in  $\xi$ . We can always choose  $\rho_\xi$  so that  $\rho_\xi p_i = \tau$ . Then  $u_1 u'_1 = p'_i \rho'_\xi \sigma \sigma' \rho_\xi p_i = \tau' \sigma \sigma' \tau$ . The  $i \times i$  matrix  $s = \tau' \sigma \sigma' \tau$  is positive semi-definite and can be diagonalized as above. Hence, (2.11) yields

$$(R_i f)(\xi) = \int_{S^{i-1}} f_0(\eta' s \eta) d\eta = \int_{S^{i-1}} f_0(\eta' \lambda \eta) d\eta,$$

as desired. ■



COROLLARY 2.3: *If all canonical angles in Theorem 2.2 are equal, that is,  $\lambda_1 = \dots = \lambda_m = \lambda$ , then  $(R_i f)(\xi) = F(\lambda)$ , where*

$$(2.14) \quad F(\lambda) = \frac{\sigma_{i-\ell-1} \sigma_{\ell-1}}{2\lambda^{i/2-1}} \int_0^\lambda t^{\ell/2-1} (\lambda - t)^{(i-\ell)/2-1} f_0(t) dt$$

if  $i > \ell$ , and

$$(2.15) \quad F(\lambda) = \sigma_{i-1} f_0(\lambda)$$

if  $i \leq \ell$ .

Remark 2.4: If  $i + \ell > n$ , then every  $\xi \in G_{n,i}$  has at least one-dimensional intersection with  $\mathbb{R}^\ell$ . It means that some canonical angles between  $\xi$  and  $\mathbb{R}^\ell$  are necessarily zero and therefore, some of the eigenvalues  $\lambda_1, \dots, \lambda_m$  equal 1. It follows that for  $i + \ell > n$ , equalities (2.14) and (2.15) are available only for  $\lambda = 1$ . This situation is not favorable for our purposes, because we will need (2.14) and (2.15) to be available for all  $\lambda \in (0, 1)$ . The latter is guaranteed if  $i \leq n - \ell$ , when we have “sufficiently many”  $i$ -dimensional subspaces with the property  $\dim(\xi \cap \mathbb{R}^\ell) = 0$ .

Corollary 2.3 motivates the following

Definition 2.5: We denote by  $G_{n,i}^\ell$  the submanifold of all  $i$ -dimensional subspaces  $\xi$  with the property that all canonical angles between  $\xi$  and  $\mathbb{R}^\ell$  are equal.

Remark 2.6: It is known that the Radon transform is overdetermined if the dimension of the target space is greater than the dimension of the source space. If  $f$  is  $K_\ell$ -invariant and  $i \leq n - \ell$ , then, by Corollary 2.3 and Remark 2.4, the overdeterminicity can be eliminated if we restrict  $(R_i f)(\xi)$  to  $\xi \in G_{n,i}^\ell$ . Here one should mention the general method of the kappa-operator, which allows us to reduce overdeterminicity by invoking the relevant permissible complexes of subspaces; see, e.g., [GGR] and references therein. The advantage of our method of canonical angles, which is applicable to the particular case of  $K_\ell$ -invariant functions, is the following. If  $i > \ell$ , then to recover  $f$  from  $R_i f$ , it suffices to invert a simple Abel integral (2.14). If  $i \leq \ell$ , then  $f$  expresses through  $R_i f$  without any integro-differential operations.

### 3. $K_\ell$ -Symmetric Bodies and Comparison of Volumes

3.1. PRELIMINARIES. An origin-symmetric (o.s.) star body  $B$  in  $\mathbb{R}^n$ ,  $n \geq 2$ , is a compact set with nonempty interior such that  $tB \subset B \ \forall t \in [0, 1]$ ,  $B = -B$ , and the **radial function**  $\rho_B(\theta) = \sup\{\lambda \geq 0 : \lambda\theta \in B\}$  is continuous on  $S^{n-1}$ . The **Minkowski functional** of  $B$  is defined by  $\|x\|_B = \min\{a \geq 0 : x \in aB\}$ , so that  $\|\theta\|_B = \rho_B^{-1}(\theta)$ . An o.s. star body  $B$  is called infinitely smooth if  $\rho_B(\theta) \in C_{even}^\infty(S^{n-1})$ .

If  $\xi \in G_{n,i}$ ,  $1 < i < n$ , then

$$(3.1) \quad \text{vol}_i(B \cap \xi) = i^{-1} \int_{S^{n-1} \cap \xi} \rho_B^i(\theta) d\xi\theta = i^{-1}(R_i\rho_B^i)(\xi).$$

Similarly,  $\text{vol}_n(B) = n^{-1} \int_{S^{n-1}} \rho_B^n(\theta) d\theta$ .

PROBLEM: Let  $i$  be a fixed integer,  $1 \leq i \leq n - 1$ . We wonder, for which o.s. star bodies  $A$  and  $B$  in  $\mathbb{R}^n$  the inequality

$$(3.2) \quad \text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi) \quad \text{for every } \xi \in G_{n,i}$$

implies

$$(3.3) \quad \text{vol}_n(A) \leq \text{vol}_n(B).$$

For  $i = 1$  the affirmative answer is obvious. Unlike the question in the Introduction, here we do not assume a priori that  $A$  and  $B$  are convex. The reason is that the implication (3.2)  $\rightarrow$  (3.3) may be valid without any convexity assumption (see Theorem 1.1 (a)) and we want to understand how the convexity comes into play in this context.

It is worth noting that connection of the original Busemann-Petty problem ( $i = n - 1$ ) reformulated as above, with convexity was studied a lot, starting from Gardner [Ga1] and Gardner, Koldobsky and Schlumprecht [GKS]. The introduction of the so-called slicing function in these publications is an essential step. The breakthrough advantage of the method in [GKS] (and in other Koldobsky's works) is that the slicing function makes clear connection of the Busemann-Petty problem to Brunn-Minkowski theory (via Brunn's theorem). However, our attempts to extend "the method of slicing function" to the lower dimensional problem  $i < n - 1$  did not lead to the solution (see [RZ, p. 492] for details), and new ideas are needed.

Below we study the problem stated above in the particular case, when the body  $A$  with smaller sections is symmetric with respect to some mutually orthogonal subspaces, say,  $p$  and  $p^\perp$ , of dimensions  $\ell$  and  $n - \ell$ , respectively. We fix the coordinate system so that  $p = \mathbb{R}^\ell = \bigoplus_{j=n-\ell+1}^n \mathbb{R}e_j$  and  $p^\perp = \mathbb{R}^{n-\ell} = \bigoplus_{j=1}^{n-\ell} \mathbb{R}e_j$ . Then  $K_\ell A = A$ , where  $K_\ell$  is the group (1.3). An o.s. star body with this property is said to be  $K_\ell$ -symmetric.

By Lemma 2.1, the radial function  $\rho_A(\theta)$  of a  $K_\ell$ -symmetric body  $A$  is completely determined by the angle  $\omega$  between the unit vector  $\theta$  and the subspace  $\mathbb{R}^\ell$ . Hence, we set

$$(3.4) \quad \rho_A(\theta) = \tilde{\rho}_A(t), \quad t = \cos^2 \omega = \theta' P_{\mathbb{R}^\ell} \theta.$$

By Theorem 2.2, the Radon transform  $(R_i f)(\xi)$ ,  $\xi \in G_{n,i}$ , of every  $K_\ell$ -invariant function  $f$  is actually a function of the canonical angles between  $\xi \in G_{n,i}$  and  $\mathbb{R}^\ell$ . Restricting  $(R_i f)(\xi)$  to  $\xi \in G_{n,i}^\ell$  (see Definition 2.5), we can remove overdeterminicity of  $R_i f$ . As we shall see below, the corresponding lower dimensional Busemann-Petty problem is also overdetermined. We can remove this overdeterminicity by considering sections by subspaces  $\xi \in G_{n,i}^\ell$ .

We will need the following auxiliary lemmas.

LEMMA 3.1: *The group  $K_\ell$  preserves canonical angles between  $\xi \in G_{n,i}$  and  $\mathbb{R}^\ell$ .*

*Proof.* The proof relies on (1.6). Let first  $\ell < i$ ,  $\xi = \{\tau\}$ ,  $\tau \in V_{n,i}$ . It suffices to check that for every  $\gamma \in K_\ell$ , matrices  $r = \sigma' \tau \tau' \sigma$  and  $r_\gamma = \sigma' \gamma \tau \tau' \gamma' \sigma$  have the same eigenvalues. Let  $\gamma = \begin{bmatrix} \alpha & 0 \\ 0 & \beta \end{bmatrix}$ , where  $\alpha \in O(n-\ell)$ ,  $\beta \in O(\ell)$ . Multiplying matrices, we have  $\gamma' \sigma = \sigma \beta'$ . Hence,  $r_\gamma = \beta \sigma' \tau \tau' \sigma \beta' = \beta r \beta'$ . Since  $\beta r \beta'$  and  $r$  have the same eigenvalues, we are done.

If  $\ell \geq i$ , we compare eigenvalues of matrices  $s = \tau' \sigma \sigma' \tau$  and  $s_\gamma = \tau' \gamma' \sigma \sigma' \gamma \tau$ . These matrices coincide, because, as we have already seen,  $\gamma' \sigma = \sigma \beta'$ , and therefore,  $s_\gamma = \tau' \sigma \beta' \beta \sigma' \tau = \tau' \sigma \sigma' \tau = s$ . ■

Definition 3.2 ( $K_\ell$ -symmetrization): Given an o.s. star body  $B$  in  $\mathbb{R}^n$ , we define the associated  $K_\ell$ -symmetric body  $B_0$  by

$$(3.5) \quad \rho_{B_0}(\theta) = \left( \int_{K_\ell} \rho_B^i(\gamma \theta) d\gamma \right)^{1/i}.$$

LEMMA 3.3:  $\text{vol}_n(B_0) \leq \text{vol}_n(B)$ .

*Proof.* By the generalized Minkowski inequality,

$$\begin{aligned} \text{vol}_n^{i/n}(B_0) &= \left( \frac{1}{n} \int_{S^{n-1}} \left[ \int_{K_\ell} \rho_B^i(\gamma\theta) d\gamma \right]^{n/i} d\theta \right)^{i/n} \\ &\leq \int_{K_\ell} \left[ \frac{1}{n} \int_{S^{n-1}} \rho_B^n(\gamma\theta) d\theta \right]^{i/n} d\gamma = \text{vol}_n^{i/n}(B), \end{aligned}$$

and the result follows. ■

LEMMA 3.4: *Let  $A$  and  $B$  be o.s. star bodies in  $\mathbb{R}^n$ ,  $1 \leq \ell \leq n - 1$ . If  $A$  is  $K_\ell$ -symmetric, and*

$$(3.6) \quad \text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi) \quad \text{for every } \xi \in G_{n,i}^\ell,$$

*then  $\text{vol}_i(A \cap \xi) \leq \text{vol}_i(B_0 \cap \xi)$  for all  $\xi \in G_{n,i}^\ell$ .*

*Proof.* Fix  $\xi \in G_{n,i}^\ell$ . By Lemma 3.1,  $\gamma\xi \in G_{n,i}^\ell$  for every  $\gamma \in K_\ell$ . Owing to (3.6),  $\text{vol}_i(A \cap \gamma\xi) \leq \text{vol}_i(B \cap \gamma\xi)$  or  $(R_i\rho_A^i)(\gamma\xi) \leq (R_i\rho_B^i)(\gamma\xi)$  for all  $\gamma \in K_\ell$ . Integrating this inequality in  $\gamma$  and taking into account that  $R_i$  commutes with orthogonal transformations, we obtain

$$(3.7) \quad (R_i\rho_A^i)(\xi) \leq R_i \left[ \int_{K_\ell} \rho_B^i(\gamma\theta) d\gamma \right](\xi) = (R_i\rho_{B_0}^i)(\xi).$$

This implies  $\text{vol}_i(A \cap \xi) \leq \text{vol}_i(B_0 \cap \xi)$ . ■

3.2. THE CASE  $i \leq \min(\ell, n - \ell)$ . The following proposition represents part (a) of Theorem 1.1.

PROPOSITION 3.5: *Let  $1 \leq i, \ell \leq n - 1$ ;  $i \leq \min(\ell, n - \ell)$ . If  $A$  is a  $K_\ell$ -symmetric body in  $\mathbb{R}^n$ , then the implication*

$$(3.8) \quad \text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi) \text{ for every } \xi \in G_{n,i}^\ell \implies \text{vol}_n(A) \leq \text{vol}_n(B)$$

*is true for every o.s. star body  $B$ .*

*Proof.* By Lemma 3.4, for all  $\xi \in G_{n,i}^\ell$  we have

$$\text{vol}_i(A \cap \xi) \leq \text{vol}_i(B_0 \cap \xi) \quad \text{or} \quad (R_i\rho_A^i)(\xi) \leq (R_i\rho_{B_0}^i)(\xi).$$

Hence, by (2.15) and (3.4),  $\tilde{\rho}_A^i(\lambda) \leq \tilde{\rho}_{B_0}^i(\lambda)$  for all  $\lambda \in (0, 1)$  (see Remark 2.4), and therefore,  $\rho_A(\theta) \leq \rho_{B_0}(\theta)$  for all  $\theta \in S^{n-1}$ . By Lemma 3.3, it follows that  $\text{vol}_n(A) \leq \text{vol}_n(B_0) \leq \text{vol}_n(B)$ . ■

3.3. THE CASE  $\ell < i \leq n - \ell$ . We will need some sort of duality which is a one-dimensional analogue of (2.2) and serves as a substitute for the Lutwak's connection [Lu] between the Busemann-Petty problem and intersection bodies. According to (2.14), the Radon transform  $(R_i \rho_A^i)(\xi)$ , restricted to  $\xi \in G_{n,i}^\ell$ , is represented by the Abel type integral

$$(3.9) \quad (R_i \rho_A^i)(\xi) = \frac{c_1}{\lambda^{i/2-1}} \int_0^\lambda t^{\ell/2-1} (\lambda-t)^{(i-\ell)/2-1} \tilde{\rho}_A^i(t) dt,$$

$$c_1 = \sigma_{i-\ell-1} \sigma_{\ell-1} / 2,$$

where  $\lambda^{1/2} \in (0, 1)$  is the cosine of the canonical angles between  $\xi$  and  $\mathbb{R}^\ell$  (we remind that these angles are equal when  $\xi \in G_{n,i}^\ell$  and (3.9) is available for all  $\lambda \in (0, 1)$ ; see Remark 2.4). Denote the right-hand side of (3.9) by  $(I_+ \tilde{\rho}_A^i)(\lambda)$  and define the dual integral operator

$$(3.10) \quad (I_- \psi)(t) = c_1 t^{\ell/2-1} \int_t^1 (\lambda-t)^{(i-\ell)/2-1} \psi(\lambda) \frac{d\lambda}{\lambda^{i/2-1}},$$

so that

$$(3.11) \quad \int_0^1 (I_+ \tilde{\rho}_A^i)(\lambda) \psi(\lambda) d\lambda = \int_0^1 \tilde{\rho}_A^i(t) (I_- \psi)(t) dt.$$

Expression (3.10) resembles the classical Riemann-Liouville integral

$$(3.12) \quad (I_-^\alpha g)(t) = \frac{1}{\Gamma(\alpha)} \int_t^1 g(\lambda) (\lambda-t)^{\alpha-1} d\lambda, \quad \alpha > 0.$$

LEMMA 3.6: *Let  $1 \leq \ell < i \leq n - \ell$  and suppose that  $A$  is a  $K_\ell$ -symmetric body in  $\mathbb{R}^n$ . If there is a nonnegative function  $g$  on  $(0, 1)$ , which is integrable on every interval  $(\delta, 1)$ ,  $0 < \delta < 1$ , and such that*

$$(3.13) \quad (1-t)^{(n-\ell)/2-1} \tilde{\rho}_A^{n-i}(t) = (I_-^\alpha g)(t), \quad \alpha = (i-\ell)/2, \quad t \in (0, 1),$$

then the implication

$$(3.14) \quad \text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi) \text{ for every } \xi \in G_{n,i}^\ell \implies \text{vol}_n(A) \leq \text{vol}_n(B)$$

holds for every o.s. star body  $B$ .

*Proof.* By (2.6),

$$\text{vol}_n(A) = \frac{1}{n} \int_{S^{n-1}} \rho_A^n(\theta) d\theta = c_2 \int_0^1 \tilde{\rho}_A^n(t) t^{\ell/2-1} (1-t)^{(n-\ell)/2-1} dt,$$

$$c_2 = \sigma_{\ell-1} \sigma_{n-\ell-1} / 2n.$$

Hence, owing to (3.10), (3.11) and (3.13),

$$\begin{aligned}
 \text{vol}_n(A) &= c_2 \int_0^1 \tilde{\rho}_A^i(t) t^{\ell/2-1} (I_-^\alpha g)(t) dt = \frac{c_2}{c_1} \int_0^1 \tilde{\rho}_A^i(t) (I_- \psi)(t) dt \\
 (3.15) \quad &= \frac{c_2}{c_1} \int_0^1 (I_+ \tilde{\rho}_A^i)(\lambda) \psi(\lambda) d\lambda, \quad \psi(\lambda) = \frac{\lambda^{i/2-1} g(\lambda)}{\Gamma(\alpha)} \geq 0.
 \end{aligned}$$

If  $\text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi)$  for every  $\xi \in G_{n,i}^\ell$ , then, by (3.9) and (3.7),

$$(I_+ \tilde{\rho}_A^i)(\lambda) = (R_i \rho_A^i)(\xi) \leq (R_i \rho_{B_0}^i)(\xi) = (I_+ \tilde{\rho}_{B_0}^i)(\lambda),$$

and therefore,

$$\begin{aligned}
 \text{vol}_n(A) &\leq \frac{c_2}{c_1} \int_0^1 (I_+ \tilde{\rho}_{B_0}^i)(\lambda) \psi(\lambda) d\lambda \\
 &= c_2 \int_0^1 \tilde{\rho}_{B_0}^i(t) \tilde{\rho}_A^{n-i}(t) t^{\ell/2-1} (1-t)^{(n-\ell)/2-1} dt \\
 &= \frac{1}{n} \int_{S^{n-1}} \rho_{B_0}^i(\theta) \rho_A^{n-i}(\theta) d\theta.
 \end{aligned}$$

Now Hölder’s inequality yields  $\text{vol}_n(A) \leq \text{vol}_n(B_0)$ , and the result follows by Lemma 3.3. ■

Up to now, the  $K_\ell$ -symmetric body  $A$  with smaller sections was arbitrary. To handle the case  $i > \ell$ , we additionally assume that  $A$  is convex. The following lemma enables us to reduce consideration to smooth bodies.

LEMMA 3.7: *Let  $A$  and  $B$  be o.s. star bodies in  $\mathbb{R}^n$ . If the implication*

$$(3.16) \quad \text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi) \text{ for every } \xi \in G_{n,i}^\ell \implies \text{vol}_n(A) \leq \text{vol}_n(B)$$

*is true for every infinitely smooth  $K_\ell$ -symmetric convex body  $A$ , then it is true when  $A$  is an arbitrary  $K_\ell$ -symmetric convex body.*

*Proof.* Given a  $K_\ell$ -symmetric convex body  $A$ , let  $A^* = \{x : |x \cdot y| \leq 1 \ \forall y \in A\}$  be the polar body of  $A$  with the support function  $h_{A^*}(x) = \max\{x \cdot y : y \in A^*\}$ . Since  $h_{A^*}(\cdot)$  coincides with Minkowski’s functional  $\|\cdot\|_A$ , then  $h_{A^*}(\cdot)$  is  $K_\ell$ -invariant, and therefore,  $A^*$  is  $K_\ell$ -symmetric. It is known [Schn, pp. 158–161], that any o.s. convex body in  $\mathbb{R}^n$  can be approximated by infinitely smooth convex bodies with positive curvature and the approximating operator commutes with rigid motions. Hence, there is a sequence  $\{A_j^*\}$  of infinitely smooth  $K_\ell$ -symmetric convex bodies with positive curvature such that  $h_{A_j^*}(\theta)$  converges to

$h_{A^*}(\theta)$  uniformly on  $S^{n-1}$ . It follows that for the relevant sequence of infinitely smooth  $K_\ell$ -symmetric convex bodies  $A_j = (A_j^*)^*$ ,

$$\lim_{j \rightarrow \infty} \max_{\theta \in S^{n-1}} | \|\theta\|_{A_j} - \|\theta\|_A | = 0.$$

This implies convergence in the radial metric, i.e.,

$$(3.17) \quad \lim_{j \rightarrow \infty} \max_{\theta \in S^{n-1}} | \rho_{A_j}(\theta) - \rho_A(\theta) | = 0.$$

Let us show that the sequence  $\{A_j\}$  in (3.17) can be modified so that  $A_j \subset A$ . The idea of this argument was borrowed from [RZ]. Without loss of generality, assume that  $\rho_A(\theta) \geq 1$ . Choose  $A_j$  so that

$$| \rho_{A_j}(\theta) - \rho_A(\theta) | < \frac{1}{j+1} \quad \text{for all } \theta \in S^{n-1}$$

and set  $A'_j = \frac{j}{j+1} A_j$ . Then, obviously,  $\rho_{A'_j}(\theta) \rightarrow \rho_A(\theta)$  uniformly on  $S^{n-1}$  as  $j \rightarrow \infty$ , and

$$\rho_{A'_j} = \frac{j}{j+1} \rho_{A_j} < \frac{j}{j+1} \left( \rho_A + \frac{1}{j+1} \right) \leq \rho_A.$$

Hence,  $A'_j \subset A$ .

Now suppose that  $\text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi)$  for every  $\xi \in G_{n,i}^\ell$ . Then this is true when  $A$  is replaced by  $A'_j$ , and, by the assumption of the lemma,  $\text{vol}_n(A'_j) \leq \text{vol}_n(B)$ . Passing to the limit as  $j \rightarrow \infty$ , we obtain  $\text{vol}_n(A) \leq \text{vol}_n(B)$ . ■

The next proposition gives part (b) of Theorem 1.1.

**PROPOSITION 3.8:** *Let  $A$  be a  $K_\ell$ -symmetric convex body in  $\mathbb{R}^n$ , and let  $2 \leq i \leq n - \ell$ . If*

$$i = \ell + 1 \quad (\text{in this case } \ell \leq (n - 1)/2)$$

or

$$i = \ell + 2 \quad (\text{in this case } \ell \leq (n - 2)/2),$$

then the implication

$$(3.18) \quad \text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi) \text{ for every } \xi \in G_{n,i}^\ell \implies \text{vol}_n(A) \leq \text{vol}_n(B)$$

holds for every o.s. star body  $B$ .

*Proof.* By Lemma 3.7, we can assume  $\rho_A \in C^\infty(S^{n-1})$ . If  $i = \ell + 2$ , then (3.13) becomes  $(1 - t)^{(n-i)/2} \tilde{\rho}_A^{n-i}(t) = \int_t^1 g(\lambda) d\lambda$ , which implies

$$g(t) = -\frac{d}{dt} [(1 - t)^{(n-i)/2} \tilde{\rho}_A^{n-i}(t)] \in L^1(0, 1).$$

To check that  $g$  is nonnegative, we set  $t = 1 - s$ ,  $r(s) = s^{1/2}\tilde{\rho}_A(1 - s)$ ,  $s = \sin^2 \omega$ , and get

$$g(1 - s) = \frac{d}{ds}[r^{n-i}(s)] = (n - i)r^{n-i-1}(s)r'(s).$$

If  $\theta = u \sin \omega + v \cos \omega \in S^{n-1}$ ,  $u \in S^{n-\ell-1} \subset \mathbb{R}^{n-\ell}$ ,  $v \in S^{\ell-1} \subset \mathbb{R}^\ell$ , and  $P_{u,v}$  is a 2-plane spanned by  $u$  and  $v$ , then  $A \cap P_{u,v}$  is a convex domain, which is symmetric with respect to the  $u$  and  $v$  axes. Since  $s = \sin^2 \omega$ , then  $r(s) = s^{1/2}\tilde{\rho}_A(1 - s)$  is non-decreasing, and therefore,  $r'(s) \geq 0$ . This gives  $g(1 - s) \geq 0$ ,  $s \in (0, 1)$ , or, equivalently,  $g(t) \geq 0$  for all  $0 < t < 1$ . Now the result follows by Lemma 3.6.

Let  $i = \ell + 1$ . We set  $\varkappa_A(t) = (1 - t)^{(n-i-1)/2}\tilde{\rho}_A^{n-i}(t)$  and reconstruct  $g(t)$  from (3.13) using fractional differentiation as follows:

$$\begin{aligned} g(t) &= -\frac{1}{\sqrt{\pi}} \frac{d}{dt} \int_t^1 (s - t)^{-1/2} \varkappa_A(s) ds \quad (\text{set } p = 1 - t, q = 1 - s) \\ &= \frac{1}{\sqrt{\pi}} \frac{d}{dp} \int_0^p (p - q)^{-1/2} \varkappa_A(1 - q) dq \\ &= \frac{1}{\sqrt{\pi}} \frac{d}{dp} \left[ p^{1/2} \int_0^1 (1 - \eta)^{-1/2} \varkappa_A(1 - p\eta) d\eta \right]. \end{aligned}$$

This gives

$$\begin{aligned} g(t) &= \frac{1}{\sqrt{\pi}} \frac{d}{dp} \int_0^1 [(p\eta)^{1/2}\tilde{\rho}_A(1 - p\eta)]^{n-i} \frac{d\eta}{\sqrt{\eta(1 - \eta)}} d\eta \\ &= \frac{1}{\sqrt{\pi}} \frac{d}{dp} \int_0^1 \frac{r^{n-i}(p\eta)}{\sqrt{\eta(1 - \eta)}} d\eta, \quad r(s) = s^{1/2}\tilde{\rho}_A(1 - s). \end{aligned}$$

The last integral is a nondecreasing function of  $p$ , and therefore, the derivative of it is nonnegative. Hence,  $g(t) \geq 0$  for all  $0 < t < 1$  and, by Lemma 3.6, we are done. ■

#### 4. The negative result

The proof of the negative result in Theorem 1.2 relies on Koldobsky’s generalizations of the Lutwak’s concept of intersection body (see [K4], [Lu]) and properties of the generalized cosine transforms [R4].



We recall some basic facts. The generalized cosine transform of a function  $f$  on  $S^{n-1}$  is defined by

$$(4.1) \quad (M^\alpha f)(u) = \gamma_n(\alpha) \int_{S^{n-1}} f(\theta) |\theta \cdot u|^{\alpha-1} d\theta,$$

$$(4.2) \quad \gamma_n(\alpha) = \frac{\sigma_{n-1} \Gamma((1-\alpha)/2)}{2\pi^{(n-1)/2} \Gamma(\alpha/2)}, \quad \operatorname{Re} \alpha > 0, \quad \alpha \neq 1, 3, 5, \dots$$

The integral (4.1) is absolutely convergent for any  $f \in L^1(S^{n-1})$ . If  $f$  is infinitely differentiable, then  $M^\alpha f$  extends as meromorphic function of  $\alpha$  with the poles  $\alpha = 1, 3, 5, \dots$ . The following statement is a consequence of the relevant spherical harmonic decomposition.

LEMMA 4.1 ([R1]): *Let  $\alpha, \beta \in \mathbb{C}$ ;  $\alpha, \beta \neq 1, 3, 5, \dots$ . If  $\alpha + \beta = 2 - n$  and  $f \in C_{\text{even}}^\infty(S^{n-1})$ , then*

$$(4.3) \quad M^\alpha M^\beta f = f.$$

*If  $\alpha, 2-n-\alpha \neq 1, 3, 5, \dots$ , then  $M^\alpha$  is an automorphism of the space  $C_{\text{even}}^\infty(S^{n-1})$  endowed with the standard topology.*

We will also need the following statement, which is a particular case of Lemma 3.5 from [R4].

LEMMA 4.2: *Let  $f \in C_{\text{even}}^\infty(S^{n-1})$ . Then*

$$(4.4) \quad (R_i M^{1-i} f)(\xi) = c(R_{n-i} f)(\xi^\perp), \quad \text{for } \xi \in G_{n,i},$$

*where  $c = c(n, i)$  is a positive constant.*

Definition 4.3 ([K4]): An o.s. star body  $K$  in  $\mathbb{R}^n$  is a  $k$ -intersection body if there is a nonnegative finite Borel measure  $\mu$  on  $S^{n-1}$ , so that for every Schwartz  $\phi$ ,

$$\int_{\mathbb{R}^n} \|x\|_K^{-k} \phi(x) dx = \int_{S^{n-1}} \left[ \int_0^\infty t^{k-1} \hat{\phi}(t\theta) dt \right] d\mu(\theta),$$

where  $\hat{\phi}$  is the Fourier transform of  $\phi$ . We denote by  $\mathcal{I}_k^n$  the class of all  $k$ -intersection bodies in  $\mathbb{R}^n$ .

In some applications of  $k$ -intersection bodies, alternative definitions are more convenient than the original Definition 4.3; see, e.g., in [R4, Remark 8.2]. The following equivalent definition is a particular case of the more general [R4, Definition 5.4].

*Definition 4.4:* An o.s. star body  $K$  in  $\mathbb{R}^n$  is a  $k$ -intersection body if there is a nonnegative finite Borel measure  $\mu$  on  $S^{n-1}$ , so that  $\rho_K^k = M^{1-k}\mu$ , i.e.,  $(\rho_K^k, \varphi) = (\mu, M^{1-k}\varphi)$  for any  $\varphi \in C_{even}^\infty(S^{n-1})$ .

For more details about equivalent definitions and generalizations of the concept of  $k$ -intersection body the reader is addressed to [R4].

The next proposition plays a key role in the proof of the negative result in this section.

**LEMMA 4.5:** *Let  $B$  be an infinitely smooth  $K_\ell$ -symmetric convex body with positive curvature. If  $B \notin \mathcal{I}_{n-i}^n$ , then there is an infinitely smooth  $K_\ell$ -symmetric convex body  $A$  such that  $\text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi)$ , for every  $\xi \in G_{n,i}$ , but  $\text{vol}_n(A) > \text{vol}_n(B)$ .*

*Proof.* By Definition 4.4 with  $k = n - i$ , there is a function  $\varphi$  in  $C_{even}^\infty(S^{n-1})$ , which is negative on some open set  $\Omega \subset S^{n-1}$  and such that  $\rho_B^{n-i} = M^{1+i-n}\varphi$ . Since  $B$  is  $K_\ell$ -symmetric and operators  $M^\alpha$  commute with orthogonal transformations, then  $\varphi$  is  $K_\ell$ -invariant and  $\varphi < 0$  on the whole orbit  $\Omega_\ell = K_\ell\Omega$ . Choose a function  $h \in C_{even}^\infty(S^{n-1})$  so that  $h \not\equiv 0$ ,  $h(\theta) \geq 0$  if  $\theta \in \Omega_\ell$  and  $h(\theta) \equiv 0$  otherwise. Without loss of generality, we can assume  $h$  to be  $K_\ell$ -invariant (otherwise, we can replace it by  $\tilde{h}(\theta) = \int_{K_\ell} h(\gamma\theta)d\gamma$ ). Define an origin-symmetric smooth body  $A$  by  $\rho_A^i = \rho_B^i - \varepsilon M^{1-i}h$ ,  $\varepsilon > 0$ . Clearly,  $A$  is  $K_\ell$ -symmetric. If  $\varepsilon$  is small enough, then  $A$  is convex. This conclusion is a consequence of Oliker’s formula [Ol], according to which the Gaussian curvature of an o.s. star body expresses through the first and second derivatives of the radial function. Since by (4.4),  $(R_i M^{1-i}h)(\xi) = c(R_{n-i}h)(\xi^\perp) \geq 0$ , then  $R_i \rho_A^i \leq R_i \rho_B^i$ . This gives  $\text{vol}_i(A \cap \xi) \leq \text{vol}_i(B \cap \xi)$ , for every  $\xi \in G_{n,i}$ . On the other hand, by (4.3),

$$(\rho_B^{n-i}, \rho_B^i - \rho_A^i) = \varepsilon(M^{1+i-n}\varphi, M^{1-i}h) = \varepsilon(\varphi, h) < 0,$$

or  $(\rho_B^{n-i}, \rho_B^i) < (\rho_B^{n-i}, \rho_A^i)$ . By Hölder’s inequality, this implies  $\text{vol}_n(B) < \text{vol}_n(A)$ . ■

Consider the  $(q, \ell)$ -ball  $B_{q,\ell}^n = \{x = (x', x'') : |x'|^q + |x''|^q \leq 1\}$ , where  $x' \in \mathbb{R}^{n-\ell} = \bigoplus_{j=1}^{n-\ell} \mathbb{R}e_j$ ,  $x'' \in \mathbb{R}^\ell = \bigoplus_{j=n-\ell+1}^n \mathbb{R}e_j$ .

**LEMMA 4.6:** (cf. [K3, Theorem 4.21]) *Let*

$$(4.5) \quad B_{q,1}^{m+1} = \{(x', y) : |x'|^q + |y|^q \leq 1, x' \in \mathbb{R}^m, y \in \mathbb{R}\}.$$

*If  $q > 2$  and  $m \geq k + 3$ , then  $B_{q,1}^{m+1} \notin \mathcal{I}_k^{m+1}$ .*

LEMMA 4.7: *If  $q > 2$  and  $\ell + 2 < i \leq n - 1$ , then  $B_{q,\ell}^n \notin \mathcal{I}_{n-i}^n$ .*

*Proof.* Suppose the contrary and consider the section of  $B_{q,\ell}^n$  by the  $(n - \ell + 1)$ -dimensional plane  $\eta = \mathbb{R}e_n \oplus \mathbb{R}^{n-\ell}$ . By Proposition 3.17 from [Mi2] (see also more general Theorem 5.12 in [R4])  $B_{q,\ell}^n \cap \eta \in \mathcal{I}_{n-i}^{n-\ell+1}$  in  $\eta$ , but this contradicts Lemma 4.6, according to which (set  $m = n - \ell$ )  $B_{q,\ell}^n \cap \eta$  is not an  $(n - i)$ -intersection body when  $i > \ell + 2$ . ■

For  $q = 4$ , Lemmas 4.5 and 4.7 imply the following negative result.

PROPOSITION 4.8: *If  $\ell + 2 < i \leq n - 1$ , then there is an infinitely smooth  $K_\ell$ -symmetric convex body  $A$  such that*

$$\text{vol}_i(A \cap \xi) \leq \text{vol}_i(B_{4,\ell}^n \cap \xi) \quad \forall \xi \in G_{n,i}, \quad \text{but} \quad \text{vol}_n(A) > \text{vol}_n(B_{4,\ell}^n).$$

This is Theorem 1.2.

## References

- [Ba] K. Ball, *Some remarks on the geometry of convex sets*, in *Geometric aspects of Functional Analysis 1986-1987* (J. Lindenstrauss and V. Milman. Eds), Lecture Notes in Mathematics **1317**, Springer-Verlag, Berlin, 1988, pp. 224–231.
- [BFM] F. Barthe, M. Fradelizi and B. Maurey, *A short solution to the Busemann-Petty problem*, *Positivity* **3** (1999), 95–100.
- [BZ] J. Bourgain and G. Zhang, *On a generalization of the Busemann-Petty problem*, *Convex geometric analysis* (Berkeley, CA, 1996), Mathematical Sciences Research Institute Publications **34**, Cambridge Univ. Press, Cambridge, 1999, pp. 65–76.
- [BP] H. Busemann and C. M. Petty, *Problems on convex bodies*, *Mathematica Scandinavica* **4** (1956), 88–94.
- [CG] S. Campi and P. Gronchi, *The  $L_p$ -Busemann-Petty centroid inequality*, *Advances in Mathematics* **167** (2002), 128–141.
- [C] F. Chatelin, *Eigenvalues of Matrices*, John Wiley & Sons Inc., New York, 1993.
- [Ga1] R. J. Gardner, *A positive answer to the Busemann-Petty problem in three dimensions*, *Annals of Mathematics. Second Series.* **140** (1994), 435–447.
- [Ga2] R. J. Gardner, *Intersection bodies and the Busemann-Petty problem*, *Transactions of the American Mathematical Society* **342** (1994), 435–445.
- [Ga3] R. J. Gardner, *Geometric Tomography*, second edn., Cambridge University Press, New York, 2006.
- [GKS] R. J. Gardner, A. Koldobsky and T. Schlumprecht, *An analytic solution to the Busemann-Petty problem on sections of convex bodies*, *Annals of Mathematics. Second Series.* **149** (1999), 691–703.
- [GGR] I. M. Gel'fand, M. I. Graev and R. Rosu, *The problem of integral geometry and intertwining operators for a pair of real Grassmannian manifolds*, *Journal of Operator Theory* **12** (1984), 359–383.

- [Gi] A. Giannopoulos, *A note on a problem of H. Busemann and C. M. Petty concerning sections of symmetric convex bodies*, *Mathematika* **37** (1990), 239–244.
- [GLW] P. Goodey, E. Lutwak and W. Weil, *Functional analytic characterizations of classes of convex bodies*, *Mathematische Zeitschrift* **222** (1996), 363–381.
- [GZ] E. L. Grinberg and G. Zhang, *Convolutions, transforms, and convex bodies*, *Proceedings of the London Mathematical Society. Third Series.* **78** (1999), 77–115.
- [Ha] H. Hadwiger, *Radialpotenzintegrale zentralsymmetrischer Rotations-körper und Ungleichheitsaussagen Busemannscher Art*, (German) *Mathematica Scandinavica* **23** (1968), 193–200.
- [He] S. Helgason, *The Radon transform*, Second edn., Birkhäuser, Boston, 1999.
- [J] A. T. James, *Normal multivariate analysis and the orthogonal group*, *Annals of Mathematical Statistics* **25** (1954), 40–75.
- [K1] A. Koldobsky, *A generalization of the Busemann-Petty problem on sections of convex bodies*, *Israel Journal of Mathematics* **110** (1999), 75–91.
- [K2] A. Koldobsky, *A functional analytic approach to intersection bodies*, *Geometric and Functional Analysis* **10** (2000), 1507–1526.
- [K3] A. Koldobsky, *The Busemann-Petty problem via spherical harmonics*, *Advances in Mathematics* **177** (2003), 105–114.
- [K4] A. Koldobsky, *Fourier Analysis in Convex Geometry*, *Mathematical Surveys and Monographs*, 116, American Mathematical Society, 2005.
- [KKZ] A. Koldobsky, H. König and M. Zymonopoulou, *The complex Busemann-Petty problem on sections of convex bodies*, *Advances in Mathematics* **218** (2008), 352–367.
- [KY] A. Koldobsky and V. Yaskin, *The Interface between Convex Geometry and Harmonic Analysis*, *CBMS Regional Conference Series*, **108**, American Mathematical Society, Providence, RI, 2008.
- [KYY] A. Koldobsky, V. Yaskin and M. Yaskina, *Modified Busemann-Petty problem on sections of convex bodies*, *Israel Journal of Mathematics* **154** (2006), 191–207.
- [LR] D. G. Larman and C. A. Rogers, *The existence of a centrally symmetric convex body with central cross-sections that are unexpectedly small*, *Mathematika* **22** (1975), 164–175.
- [Lu] E. Lutwak, *Intersection bodies and dual mixed volumes*, *Advances in Mathematics* **71** (1988), 232–261.
- [Mi1] E. Milman, *Generalized intersection bodies*, *Journal of Functional Analysis* **240** (2), (2006), 530–567.
- [Mi2] E. Milman, *A comment on the low-dimensional Busemann-Petty problem*, in *GFAA Seminar Notes*, 2004-5, pp. 245–253.
- [Mu] R. J. Muirhead, *Aspects of Multivariate Statistical Theory*, John Wiley & Sons Inc., New York, 1982.
- [OR] G. Ólafsson and B. Rubin, *Invariant functions on Grassmannians*, in *Radon Transforms, Geometry and Wavelets*, *Contemporary Mathematics* **464**, American Mathematical Society, Providence, RI, 2008, pp. 201–211.

- [OI] V. I. Oliker, *Hypersurfaces in  $\mathbb{R}^{n+1}$  with prescribed Gaussian curvature and related equations of Monge-Ampère type*, Communications in Partial Differential Equations **9** (1984), 807–838.
- [Pa] M. Papadimitrakis, *On the Busemann-Petty problem about convex centrally symmetric bodies in  $\mathbb{R}^n$* , Mathematika **39** (1992), 258–266.
- [R1] B. Rubin, *Inversion of fractional integrals related to the spherical Radon transform*, Journal of Functional Analysis **157** (1998), 470–487.
- [R2] B. Rubin, *Inversion formulas for the spherical Radon transform and the generalized cosine transform*, Advances in Applied Mathematics **29** (2002), 471–497.
- [R3] B. Rubin, *Notes on Radon transforms in integral geometry*, Fractional Calculus and Applied Analysis **6** (2003), 25–72.
- [R4] B. Rubin, *Intersection bodies and generalized cosine transforms*, Advances in Mathematics **218** (2008), 696–727.
- [RZ] B. Rubin and G. Zhang, *Generalizations of the Busemann-Petty problem for sections of convex bodies*, Journal of Functional Analysis **213** (2004), 473–501.
- [Schn] R. Schneider, *Convex Bodies: The Brunn-Minkowski Theory*, Cambridge Univ. Press, Cambridge 1993.
- [Schu] F. E. Schuster, *Valuations and Busemann-Petty type problems*, Advances in Mathematics **219** (2008), 344–368.
- [VK] N. Ja. Vilenkin and A. V. Klimyk, *Representations of Lie Groups and Special Functions*, Vol. 2, Kluwer Academic publishers, Dordrecht, 1993.
- [Y] V. Yaskin, *A solution to the lower dimensional Busemann-Petty problem in the hyperbolic space*, The Journal of Geometric Analysis **16** (2006), 735–745.
- [Z1] G. Zhang, *Sections of convex bodies*, American Journal of Mathematics **118** (1996), 319–340.
- [Z2] G. Zhang, *A positive solution to the Busemann-Petty problem in  $\mathbb{R}^4$* , Annals of Mathematics **149** (1999), 535–543.
- [Zv] A. Zvavitch, *The Busemann-Petty problem for arbitrary measures*, Mathematische Annalen **331** (2005), 867–887.