

Ongoing challenges in the treatment of adenoid cystic carcinoma of the head and neck

T. Subramaniam¹ · P. Lennon² · J. P. O'Neill¹

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Abstract

Background Adenoid cystic carcinoma is a malignant tumour of major and minor salivary glands. Distant metastasis and poor survival are persistent in the literature, with recent publications aimed at understanding molecular pathogenesis and development of pharmaceutical therapeutic options.

Aim Provide an update of recent studies in the management of adenoid cystic carcinoma of the head and neck.

Methods Literature search using Medline, Scopus, Google Scholar, the Cochrane Database of Systematic Reviews and the Cochrane central register of controlled trials for articles on adenoid cystic carcinoma from January 2005 to January 2015.

Conclusion Adenoid cystic carcinoma is characterized by a slow growing mass, with distant metastasis independent of local or regional control. Primary tumour resection remains the preferred option with radiotherapy having an adjuvant role. Recent advances have been made with novel targeted therapies however, limited to clinical trials and advanced disease.

Keywords Adenoid cystic carcinoma · Head and neck · Review · Management · Novel therapy · Genetics

Introduction

Adenoid cystic carcinoma (ACC) is a rare malignant tumour of the secretory gland of both major and minor salivary glands [1]. It is characterized by slow growth, perineural invasion and distant metastases, independent of local or regional control. With persistently poor long-term survivals being reported, recent studies have sought to improve our understanding of the pathogenesis of the diseases and expand the scope of therapeutic options currently available. The aim of this article is to provide an update of recent studies in the management of adenoid cystic carcinoma of the head and neck (ACCHN).

Methods

A search was performed using Medline, Scopus, Google Scholar, the Cochrane Database of Systematic Reviews and the Cochrane central register of controlled trials for articles on ACC from January 2005 to January 2015. Article types included clinical trials, cohort studies, meta-analysis and systematic reviews. The search was limited to articles in English. MeSH terms included adenoid cystic carcinoma, head and neck, demographics, biomarkers, molecular therapy, radiology, perineural invasion and neck dissection. Relevant articles were selected following critical evaluation. We excluded studies on ACC from sites outside the Head and Neck.

Demographics

The United State's National Cancer Institute's Surveillance, Epidemiology, and End Results (SEER) program has recently been analyzed for cases of ACCHN between 1973

✉ T. Subramaniam
tksorl@outlook.com

¹ Department of Otolaryngology, Head and Neck Surgery, Beaumont Hospital, Dublin, Ireland

² Department of Otolaryngology, Head and Neck Surgery, St James's Hospital, Dublin, Ireland

and 2007 [2]. Ellington et al. analyzed a total of 3026 patients and found a mean age at diagnosis of 57.4 years with a range of 11–99. There were 41 % males and 59 % females. These findings compare to earlier publications on ACC that describe diagnosis during the 5th or 6th decade of life with a marginal female prevalence. Race distribution was divided to 81.66 % white, 9.45 % black, 7.77 % Asian and 1 % others. The authors also comment that the overall incidence of ACC has steadily declined between 1973 and 2007, and that this was most notably in early stage disease.

Primary site

Whilst the study by Ellington et al. [2] comments that salivary gland primary tumours were the majority (57.98 %), followed by oral cavity tumours (36.91 %), and the oropharynx and nasopharynx accounted for 4.56 %, Li et al. [3] used the SEER database from a similar time period to examine the primary site in greater detail. Of 4008 tumours from various sub-sites of the head and neck, the most common locations were the parotid (22.6 %), gum and other oral cavity (including hard palate) (19.7 %), and submandibular glands (19.5 %). The major salivary glands account for 46.2 % of the total number, whilst the oral cavity minor salivary glands account for 30.2 % in total. This differs somewhat from the historic literature [4, 5], and some recent institutional studies [6], where ACC was more frequently seen within minor salivary glands compared to major salivary glands. The nose and middle ear were grouped together in the study, and account for 525 (13.1 %) of the total number. One and ninety-two (4.8 %) tracheal and laryngeal ACC were also found.

Histopathology and immunochemistry advances

Adenoid cystic carcinoma (ACC) is defined as “a basaloid tumour consisting of epithelial and myoepithelial cells in variable morphologic configurations, including tubular, cribriform and solid patterns” [7]. The ICD O-3 code for ACC as defined by the World Health Organisation is 8200/3 [8]. Gross pathology of an adenoid cystic tumour has been described as a hard unencapsulated mass [9]. A sagittal slice of the tumour would reveal a grey white coloured mass, with haemorrhage and necrosis indicative of high-grade transformation [10]. The cell cytoplasm is often clear with a hyperchromic and angulated nucleus [11].

Immunohistochemical staining with α -smooth muscle actin (α SMA) and S-100 protein may be used to observe myoepithelial cells surrounding pseudocysts, however,

these are non-specific, and often weak or patchy [7]. Other non-specific stains include p63 [12] and CD117 (c-KIT), which may also be seen in polymorphous low-grade adenocarcinoma (PLGA) and monomorphic adenomas [13]. PLGA bears a superficial histological and immunophenotypic resemblance to ACC, but the tumours can be distinguished by much higher levels of Ki-67 (MIB1 antibody) in PLGA [6, 14].

Research into the molecular pathogenesis of ACC has demonstrated a recurrent translocation t(6;9)(q22-23;p23-24) in at least 80–90 % of cases of AdCC, which consistently results in a fusion of the MYB oncogene to the transcription factor gene NFIB [15]. This MYB–NFIB fusion gene has not been found in other salivary gland carcinomas and can be detected by RT-PCR, FISH analysis or by immunohistochemical staining of MYB-proteins [7, 16]. In addition to being diagnostically useful biomarker for adenoid cystic carcinoma, MYB and its downstream effectors are also novel potential therapeutic targets [17].

Tumour grade

Histopathological growth pattern are often mixed within an ACC tumour and are an important prognostic factor [5, 18, 19]. Poor survival rates have been found in patients with a predominant solid variant tumour, whilst the tubular variant is associated with a more favourable outcome [20, 21]. A number of grading systems have been suggested to correlate the biological course and prognostic influence of histopathological growth pattern subtype [5, 18, 19]. The current WHO classification refers to tumours by predominant pattern rather than actually assigning a numeric grade [22].

A new grading system has been proposed [23], which suggests that pathologist grade ACCHN tumours as either solid positive or negative. The study compared 81 surgically treated ACCHN tumours to be graded by both existing grading systems and the new proposed system. Limiting the grading system to solid positive (s+) or negative (s–) showed lower observer variability compared to existing grading systems, and an equal predictive power when comparing grade to survival.

Genetic mapping in adenoid cystic carcinoma

Genetic mapping of malignant tumours is a key step in developing novel targeted therapies. A recent publication by Allen et al. [24] sheds insight into the genetic landscape of ACC. The authors sequenced 55 exomes and five genomes from 60 ACC tumour samples to identify 710 non-synonymous mutations across 643 genes (1–36 per

tumour). Further methods were employed to distinguish between driver and passenger mutations. Analysis of driver genes identified such as; PIK3A, TP53 (5 %), PTEN, SMARCA2 (2 %), KDM6A and CREBBP revealing enhancement of chromatin remodelling (35 %), DNA damage, MYB, protein kinase A signalling and PI3K signalling.

Chromatin remodelling gene mutation is known to have oncogenic implications [25]. In the study by Allen et al. [24], the incidence was 35 %. Multiple aberrations were also noted in the actin dependent regulator of chromatin (SMAR) family [24], that are known to have a role in development of malignant disease [26, 27]. The study also observed mutations in NTNG1, SEMA3G, SEMA5A [24]. These domains are responsible for neural axon guidance cues [28], possibly explaining the propensity of perineural invasion by ACC tumours. The MYB–NFIB fusion oncogene was observed in 57 % of samples [24]. This study also highlights specific mutations in both MYB and NFIB genes suggesting a combined and individual role in oncogenesis between the two genes [24].

The variations in genetic mutations observed lead authors to conclude that ACC genetic mutation is characterized by MYB pathway alterations and functional biological mutations [24].

A study by Stephen et al. [29] elaborates on the exomal sequencing of ACC. The study conducted involved 23 ACC specimens and one regional lymph node metastatic specimen to reveal 312 somatic mutations (13 mutations per exome). Somatic mutations were observed in known oncogenic genes such as, CDKN2A, NOTCH1, NOTCH2, histone modification and chromatin remodelling pathways. Spen homolog transcription factor (SPEN) was observed following six mutations in five specimens of known solid histological subtype of ACC. SPEN is a regulator of the NOTCH signalling pathway [30]. Somatic mutations were also observed in NOTCH1 and NOTCH2 in three cases. The identification of these mutations may suggest an avenue for targeted therapy research.

Perineural invasion and distant metastasis

ACCHN often presents as a firm mass, with symptoms dependent on tumour location. Features of pain or regional nerve dysfunction are clinically indicative of the propensity of ACC to invade and metastasise via nerves. The role of perineural invasion as a prognostic indicator remains controversial, as a review found that there was a ‘plethora of conflicting data’, which suggests the answer may be in the affirmative, particularly if the nerve involved is above a certain diameter [31]. Perineural invasion may be defined as malignant cells in any of the three layers of the nerve

sheath (epineurium, perineurium and endoneurium) or >33 % neural invasion [32]. A classification system has been proposed, with true perineural invasion, defined as onion bulb formation, circular cell formation or endoneural invasion, being classified as P1. Tumours adjacent to nerves without the characteristics above are classified as P2 [33]. In a small cohort of patients ($n = 49$), a higher recurrence rate was demonstrated in patients with P1 neural invasion [33]. Further to this, a recent international collaboration compared the clinical relevance of perineural invasion and intraneural invasion (defined as perineural invasion with tumour cells invading the axon) [34]. Although patients with perineural invasion were shown to have a poorer 5-year disease specific survival (DSS) compared to patients with no nerve involvement (77 vs 82 %, $p = 0.04$), there was no significant difference in overall survival (OS) between the two groups (74 % vs 77 %, $p = 0.11$).

However, survival analysis demonstrated that intraneural invasion was one of only two (the other being tumour site) independent predictors of DSS ($p = 0.02$) and OS ($p = 0.03$) [34].

Another clinical feature associated with ACC is the occurrence of distant metastases, independent of locoregional control. In one study, 82 of 111 (74 %) had distant metastases without failure at the primary site [35]. The lungs are the most common location for disease proliferation, accounting for approximately 75 % of 145 patients with distant metastases in a recent article. Bone (6.9 %), liver (3.4 %) and brain (2.1 %) were the next most common sites [36]. In 13.1 % of the patients, multiple metastases were found. Factors that influence the development of distant metastasis include age, primary tumour site (submandibular gland, paranasal sinuses palate and tongue), size (>4 cm), positive margins and solid tumours [35, 36]. Positive margins may indeed have the most significant impact on the development of distant metastasis. Shingaki et al. [37] reported a 89 % rate of developing distant metastasis with positive margins compared to 29 % in those patients whose tumours were fully resected ($p = 0.012$).

Surgery, radiotherapy and chemotherapy

The primary treatment modality for ACCHN remains wide surgical resection with clear margins.

Adjuvant radiotherapy (RT) has been shown to improve locoregional control for patients with ACC [38, 39], and many authors would consider this the standard of care [40]. However, controversy still exists, and a large review of 2286 patients with ACCHN in the National Cancer Institute’s Surveillance, Epidemiology, and End Results

database showed no evidence of increased overall or cause-specific survival in patients receiving adjuvant RT [41].

Therefore, with ongoing clinical equipoise, reducing effects of radiotoxicity, which have significant impact on the patient's quality of life, is of great importance. Mizoe et al. [42] reported on the use of carbon ions (CI) for the management of head and cancer in a phase II clinical trial. The cohort included 69 patients with ACC treated with CI RT alone. The authors report a 5-year local control rate of 73 % and an overall survival rate of 68 % for ACCHN [43]. There were no significant reports of radiotoxicity related events. A recent study also reports on the role of proton or carbon ion radiotherapy to manage unresectable or T4 tumours. The study involved 80 patients divided equally into two groups undergoing either proton or carbon ion radiotherapy. There were no significant differences between the two groups with the 5-year overall local control reported at 66 and 68 % for protons and CI, respectively. The lack of high grade toxicities has also been reported by other author's [44] but the technology has yet to become widely used [45]. The use of adjuvant radiotherapy is yet to demonstrate a survival benefit [46, 47]. Radiotherapy, however, has a role in the management of painful metastasis, and metastatic ACC tumours are not as radioresistant as previously considered [48].

There are no currently recognized chemotherapy regimes for the management of ACCHN, either for primary treatment or the management of disease recurrence. There is some evidence that concurrent chemoradiotherapy, especially with platinum based chemotherapy seems to be effective in improving locoregional control [49]. In one study, 13 of 16 patients with unresectable disease were still alive with a median follow-up of 25 months [50]. The rationale for the addition of chemotherapy to radiotherapy may be that it provides a benefit to overcome the radioresistance of most salivary gland cancers [51].

A 2010 systematic review measuring anti-tumour activity with the use of chemotherapy by Laurie et al. reports no benefit of cytotoxic drugs outside clinical trial scenario, but highlights a palliative role in managing advanced ACC of salivary glands. Five trials involving 101 patients, treated with single cytotoxic agent were reviewed. Disease stabilization was the most commonly observed outcome (response duration 5–20 months) with mitoxantrone, vinorelbine and gemcitabine showing superior benefits. Cisplatin based combination regimes in 14 studies ($n = 118$) reported a response rate of 25 % with the benefit of disease stabilisation and symptom improvement [52].

The current consensus is that chemotherapy is avoided in the primary treatment of ACC, outside the clinical trial setting. Current publications highlighting the palliative role of chemotherapy in the management of advanced ACC

only involves small cohort retrospective studies and is yet to be verified in a clinical trial setting.

Elective neck dissection

Cervical lymph node status is an important prognostic indicator in ACCHN [41, 53, 54]. Recent and past studies are consistent in reporting a lower survival in patients with node positive disease at time of primary therapy (5 year survival 44–48 % with node positive vs 73–77 % node negative) [5, 53, 55, 56]. Therapeutic neck dissection (TND) is indicated in the management of cervical node positive (N+) disease, but the role of elective neck dissection remains undefined largely due to the varying incidence of nodal spread in ACCHN reported at 4–29 % [40, 41, 53]. A multicentre retrospective study ($n = 495$) reported the clinical outcomes following neck dissection (ND) in managing ACCHN [53], with 275 patients having a neck dissection, 226 of these being carried out in clinically and radiologically N0 necks. Forty-seven (17 %) patients out of the 226 patients had node positive disease. The highest incidence rates of occult nodal metastases were in patients with oral cavity tumours (22 %; 25 of 116), and those with cancer of the paranasal sinuses (16 %; 4/24). The 5-year overall survival was reported at 44 % in the TND group, 65 % in the END group with occult nodes and 73 % without occult metastases ($p = 0.017$). Distant metastasis was higher in N+ patients (40 vs 27 % $p = 0.029$). In another paper on the same cohort of patients, the authors showed no statistical survival advantage for those that underwent END compared to those who did not [53]. Another study ($n = 61$) reported similar findings of lower survival rates with N+ patients at 5 years (56.8 % N+ vs 85 % N–) and 10 years (28.4 % N+ vs 81 % N–) $p = 0.025$ [54]. However, as there were only four patients with occult positive nodes, the paper failed to show a statistical difference between patients who underwent END compared to those who did not. Whilst some authors still advocate END in all cases [57], others suggest a more selective approach depending on the patient and tumour's risk factors [53].

Novel and targeted therapy

Current research development in clinical trials aimed at establishing treatments for ACC is based on expanding our knowledge of the molecular framework that drives malignant cell characteristics (Table 1). At the time of writing, there are 24 registered clinical trials on ACC at ClinicalTrials.gov, the vast majority on novel or targeted therapies [58].

Table 1 Summary of clinical trials investigating novel and targeted therapy

Drug	Author	Molecular target	Cohort	Complete response	Partial response	Stable disease (%)
Imatinib	Pfeffer et al.	C-kit	10	0	0	20
Imatinib	Hotte et al.	C-kit	16	0	0	56
Cisplatin	Ghosal et al.	C-kit	28	0	3/28	68
Imatinib						
Gefitinib	Jakob et al.	EGFR	37	0	0	0
Cetuximab	Locati et al.	EGFR	23	0	0	87
Sunitinib	Chau et al.	VEGF	13	0	0	85
		C-kit				
		PDGFR				
		RET				
		FLT3				
Everolimus	Kim et al.	mTOR	34	0	0	79
Lenalidomide	Ganesan et al.	Kinase	9	0	0	22
Sorafenib		EGFR				
Lapatinib	Agulnik et al.	EGFR	29	0	0	15
		ErbB2				
Bortezomib	Argiris et al.	26s proteasome	24	0	0	71
Doxorubicin		NF-kB				
Vorinostat	Ramalingam et al.	Histone deacetylase	3	0	0	0
Gemcitabine	Van Herpen et al.	Cytidine	21	0	0	52
		RNR				

The proto-oncogene c-KIT was observed to be overexpressed in 89 % of ACC tumours [59], and therefore a number of phase II trials were initiated to investigate to role of Imatinib (c-KIT inhibitor) in the management of locally advanced and metastatic ACCHN, not amenable to surgical resection [60, 61]. The trials were stopped early for a number of reasons, including no objective tumour response being noted, disease progression in 40–80 % of patients and associated toxicity in 20–50 % of patients. The trials concluded that overexpression of c-KIT likely does not contribute ACC biological profile. Ghosal et al. [62] reported the benefit on imatinib/cisplatin drug combination in a cohort of 28 patients with a profile of advanced disease and c-KIT overexpression on histology. Three patients had partial response on imaging (CT/MRI) and five showed reduced uptake of FDG on PET imaging. Stable disease was reported in 19 cases with an average of 15 months to disease progression and overall median survival of 35 months (range 1–75). The drug combination was well tolerated and may provide disease stabilisation benefit in advanced cases of ACCHN.

Epidermal growth factor receptor (EGFR) has a role in the downstream signalling pathways that enhance tumour proliferation, invasion and metastasis [63]. A phase II clinical trial assessing the role gefitinib (EGFR inhibitor) in managing 37 advanced salivary gland tumours (18 ACC cases) reported no objective tumour response [64].

Secondary observations were a median overall survival of 25.9 months in cases of ACC, but clinical benefits of gefitinib were not observed. Another clinical trial using cetuximab (EGFR inhibitor) again showed no clinical responses or benefits [65], however, a study using combination regimes is promising [66]. For nine patients with locally advanced tumour, cetuximab and radiotherapy (65 Gy) was administered. Twelve patients with metastatic disease were treated with cetuximab, cisplatin and 5-fluorouracil. In both groups, the objective response was over 40 %. The locally advanced arm had a median progression free survival of 64 months and a 100 % 2 years overall survival. Patients with distant metastases had a median progression free survival and overall survival of 13 and 24 months, respectively. Another clinical trial using lapatinib (dual EGFR and erb B2 tyrosine kinase inhibitor) again showed no objective response in 19 advanced ACC cases, but disease stabilisation was reported at 47 %, with 24 % for over 6 months [67]. Further evaluation may show lapatinib to provide clinical benefits with combination regimes.

Vascular endothelial growth factor receptors (VEGFR) play an important role in tumour angiogenesis, proliferation and migration in ACC. Chau et al. [68] reported a phase II clinical trial study with sunitinib (VEGFR inhibitor), treating 14 patients with progressive and recurrent/metastatic ACCHN. Sunitinib enhanced disease

stabilisation in 11 patients and for >6 months in eight patients. Median overall survival was 18.7 months but again, no objective responses are reported.

Other pathways have been shown to be activated in ACC, such as the TrkC/NTRK3 signalling pathway [69], suggesting that Trk kinase inhibition may be a potential therapeutic option in ACC. (PI3)/AKT/mammalian target of rapamycin (mTOR) pathway in ACC is promising, with ACC cell lines exhibited increased phosphorylated Akt activity [70]. A phase II study in Korea using everolimus, an mTOR inhibitor, showed promising efficacy and good tolerability in 34 patients with progressive unresectable ACC [71]. A subset of ACC was shown to have mutations in RAS pathway genes, including BRAF, suggesting that the BRAF inhibitor, vemurafenib, may be effective in patients with activating BRAF kinase mutations [72, 73].

The MYB–NFIB fusion gene may be the most promising target for novel treatments. MYB and its target genes are a key oncogenic event in the pathogenesis of ACC [15]. The MYB–NFIB fusion gene is a consequence of 3' loss in the region of MYB on chromosome 6, leading to a recurrent t(6;9)(q22-23;p23-24) translocation [15] following fusion with NFIB gene on chromosome 9 [15]. This in turn leads to activation of MYB target genes that have a pertinent role in oncogenesis such as cell cycle control, apoptosis and angiogenesis [15, 74]. Known downstream targets of MYB gene are cyclooxygenase-2(COX-2), Bcl-2 and c-kit [75]. As yet, there are no specific clinical trials involving novel therapies targeting MYB gene or subsequent downstream targets. Research instead is examining known and new downstream targets of MYB [76].

Conclusion

The management of ACCHN is an oncological challenge. Often presenting as a hard mass, common primary sites include major salivary glands, oral cavity hard palate subsite, paranasal sinuses and trachea. Recent reports highlight a new grading system and clinical implications of intraneural invasion. Surgical resection remains the primary method of management with radiotherapy having an unverified role in adjuvant treatment. Cervical lymph node status is an important prognostic indicator, hence the indications for TND are defined but the role of END remains in question. Promising advances have been in the development of novel therapies targeting molecular tumour biomarkers, however, the use of these drugs are limited to the clinical trial setting and in the management of advanced or recurrent disease.

Conflict of interest We declare no conflict of interest and obtained no funding.

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