



# Acquisition of seed dormancy breaking in rice (*Oryza sativa* L.) via CRISPR/Cas9-targeted mutagenesis of *OsVP1* gene

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## Abstract

Genome editing offers great advantages in identifying gene function and generating agronomical important mutations in crops. Here, we report the development of edited lines with reduced seed dormancy by knockout *viviparous-1* (*OsVP1*) gene known as a transcription factor that regulates key aspects of plant seed development and ABA signaling in rice. Thirty-three genetic edited lines out of 55 T<sub>0</sub> rice plants were generated using CRISPR/Cas9 system. Sequencing analysis showed that the plants had four different mutation types at the target site of *OsVP1*, the mutations were found to be transmitted to the succeeding generations. Stable transmission of CRISPR/Cas9-mediated mutant lines without the transferred DNA (T-DNA) was confirmed by segregation in the T<sub>1</sub> generation. Regarding many investigated agronomic trait, there are no significant differences between homozygous mutants and wildtype plants under field's growth conditions. Especially in RT-PCR analysis of ABA/GA signaling genes, the expression of *OsNCED2*, *OsGA20ox1*, *OsGA20ox2*, *OsGA20ox3* genes in homozygous mutants was increased compared to wildtype plants. Results of this study exemplified the effectiveness of CRISPR/Cas9 as a gene editing tool in broke down the seed dormancy in rice.

**Keywords** CRISPR/Cas9 · Gene expression · Genetic transformation · *OsVP1* · Seed dormancy

Yu Jin Jung and Hyo Ju Lee have contributed equally to this work.

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## Introduction

Seed germination is an important process ensuring the continuity of life in plants that depend on it as exclusive mode of propagation (Bewley 1997). It is important to ensure that seeds have uniform and rapid germination after sowing for agricultural production. Thus many seed treatments, such as cold stratification and dry after-ripening, have been used to reduce or break seed dormancy after crop harvesting (Finch-Savage and Leubner-Metzger 2006). Seed dormancy is a condition that has not germinated during a specific period, even in environmental conditions that are prone to sprouting (Finch-Savage and Leubner-Metzger 2006; Graeber et al. 2012). These phenomena vary in proportion to the dry storage (after ripening) of the seeds and are genetically controlled by the plant genotypes. The dormancy imposed by the coat is enhanced by the tissue that covers the seed, i.e., glue and pale (or crust), pericarp and testis, and optionally endosperm (Bewley et al. 2013). Embryonic dormancy of seeds is finely controlled during development (Sugimoto et al. 2010). In rice, grains after harvest are dried under sunlight and are incubated at 55 °C for 3–5 days to break

dormancy that will allow to germinate in rapid and uniform upon seed sowing. The mechanisms underlying the breaking of seed dormancy after-ripening treatment in rice is not fully well known. So far, many genetic researchers have reported that ABA plays an essential role in dormancy induction and maintenance (Kermode 2005). There are four major transcription factors discovered in *A. thaliana* that play an important role in seed maturation and dormancy, namely, *ABSCISIC ACID* INSENSITIVE 3 (*ABI3*), *FUSCA 3* (*FUS3*), *LEAFY COTYLEDON 1* (*LEC1*) and *LEAFY COTYLEDON 1* (*LEC2*) (LeClere et al. 2002). All four *abi3*, *lec1*, *lec2* and *fus3* mutants are severely affected in seed maturation and share some common phenotypes, such as decreased dormancy at maturation (Raz et al. 2001) and reduced expression of seed storage proteins (Gutierrez et al. 2007). Also, the seed dormancy is a quantitative trait loci (QTL) controlled by multiple genetic and environmental factors (Du et al. 2015). So far, many QTLs for seed dormancy have been identified from rice, wheat and *Arabidopsis* (van der Schaar et al. 1997; Flintham et al. 2002; Dong et al. 2003). Unveiling of QTL genes and complex mechanisms underlying seed dormancy is accelerated by the rapid progress of crop genomics. To date, more than 40 QTLs related to seed dormancy and germination have been reported among cultivated, wild, and weedy rice (Cai and Morishima 2000; Dong et al. 2003; Gu et al. 2004; Li et al. 2006; Lu et al. 2011). In rice, *qLTG3-1*, the first clonal gene related to QTL limiting germination at low temperature, is preferentially expressed in sheath and epidermal blast cells (Fujino et al. 2008). It has been also reported that seed dormancy 4 (*Sdr4*) is one of the major factors determining seed dormancy in rice (Sugimoto et al. 2010). *Sdr4* expression is positively regulated by rice *viviparous-1* (*OsVPI*), a global regulator of seed maturation that is orthologous to maize (*ZmVPI*) and *Arabidopsis* (*ABI3*) (McCarty et al. 1991; Hattori et al. 1994; Sugimoto et al. 2010). Studies in *Arabidopsis* have revealed the framework of the regulatory network for seed maturation, which is controlled by several master transcription factors including *ABI3*, which is orthologous to *ZmVPI* and *OsVPI* (Koornneef et al. 1989; McCarty et al. 1991). Mutations in these genes profoundly affect the acquisition of seed dormancy. For *OsVPI*, studies on its knock-down rice plants using the gene silencing system reported that they showed enhanced germination speed of seeds than that of wild type plants (Gao et al. 2011). In recent years, genome editing techniques have been successfully applied to genetically modified plants. Among them, CRISPR/Cas9 system has revolutionized gene editing technology and is widely used because of its simplicity, efficiency, and versatility (Ma et al. 2015).

Here, we report the CRISPR/Cas9 target mutagenesis of *OsVPI* in Dongjin, a Japonica rice cultivar. These homozygous mutant lines were significantly improved germination

speed compared to wild type plants. The transgene-free homozygous mutants were efficiently selected in the T<sub>1</sub> generation. Results of this study exemplified the effectiveness of CRISPR/Cas9 as a gene editing tool in breaking down the seed dormancy in rice.

## Materials and methods

### Plant materials and growth condition

Rice cultivar Dongjin (*Oryza sativa* L., ssp. *Japonica*) was used in this study. Rice plants were grown in the greenhouse facility, and in the paddy field of Hankyong National University in Korea. Harvested seeds were dried to ~14% moisture content and kept in dry conditions at 4 °C to retain dormancy.

### Vector construction

The Cas9::sgRNA expression vector, pBAtC binary vector (Kim et al. 2016) was used to construct *OsU3*::pBAtC carrying the *OsU3* promoter to express sgRNA designed from *OsVPI* gene. The sgRNA expressing cassette sequences (Supplementary Fig. 1 and 2) with *EcoRI* and *XhoI* site between *OsU3* promoter and sgRNA scaffold sequence were synthesized by Bioneer co., LTD (Dajeon, Korea). To amplify the *OsU3* promoter, Phusion High-Fidelity DNA polymerase (Finnzymes, Thermo Scientific, Waltham, MA, USA) was used in genomic DNA with the following primers: *OsU3p-EcoRI-F*, 5'-CTCGAATTC AAGGAATCTTTAAAC ATACGAACAG-3' and *OsU3p-XhoI-R*, 5'-ACTCTCGAG ACACCTGCGAGCTGCCACGGATCATCTGCACA ACTCTTT-3' (Supplementary Table 1). The purified PCR products were then digested using *EcoRI* and *XhoI* restriction sites, and subcloned into pBAtC vector, which was also digested with *EcoRI* and *XhoI* to replace *AtU6* promoter (Cho et al. 2013). The full sequencing data of pBOsC vector was described in Supplementary Fig. 3.

### Construction of the sgRNA expression vector

The *OsU3*::*vp1*-sgRNA/pBOsC vector expressing sgRNA from *OsVPI* (*vp1*-sgRNA) was constructed according to the method previously described (Kim et al. 2016). Briefly, the target sequence for *OsVPI* was designed by the CRISPR-RGEN Tools website (<https://rgenome.ibs.re.kr/>) (Park et al. 2015). To identify off-target sequences within coding region of the gene Cas-OFFinder (<https://www.rgenome.net/cas-offinder/>) (Bae et al. 2014) was used. Cleavage position, GC content and out-of-frame score of each target sites were considered for improve gene editing efficiency. These target sites which had potential off-target

sites bearing 1–2 mismatches in rice genomic DNA were excluded to reduce the possibility of off-target mutations. The *vp1*-sgRNA templates were annealed using two primers, 5' GGCAGCCGCCGCTCGATGGTGGAT-3' and 5' AAACATCCACCATCGAGGCGGCGGC-3', and cloned into *AarI*-digested *OsU3::pBOsC* binary vector. Construction of the sgRNA expression vector, *OsU3::vp1*-sgRNA/*pBOsC*, and its flanking sequences were confirmed by Sanger sequencing method.

### Rice transformations

Rice transformations were carried out as previously described (Nishimura et al. 2006). *Agrobacterium-tumefaciens* strain EHA105 was used to infect callus tissue induced from Dongjin seeds. Transgenic plants were regenerated from transformed calli using selection medium containing 6 mg/L phosphinothricin and 250 mg/L cefotaxime before transplanting in soil.

### Genomic DNA extraction and TaqMan copy number analysis

All transgenic plants were carefully cultivated in greenhouse and fields condition. Sampling of leaves was performed to isolate DNA via DNA Quick Plant Kit (Inclone, Korea). The transgenic plants were selected by PCR analysis using *bar* gene specific primers: *Bar-F* 5'-CGT CAACCACTACATCGAGA-3', *Bar-R* 5'-TTGCGCGCT ATATTTTGT-3' (Supplementary Table 1). PCR reaction was performed an initial denaturation at 95 °C for 5 min, followed by 35 cycles of denaturation at 95 °C for 30 s, annealing at 56 °C for 30 s, extension at 72 °C for 1 min, and an extra extension at 72 °C for 7 min. To check transgene copy number in rice genome, we was performed on Applied Biosystems StepOnePlus™ (Applied Biosystems, Foster City, CA) with TaqMan® Gene Expression Master Mix (Applied Biosystems) kit. The rice *tubulin alpha-1 chain* gene (AK102560) were used with endogenous control for TaqMan® copy number assay using primers; NOS-F (5'-GCATGACGTTATTTATGAGATGGG TTT-3'), NOS-R (5'-TGCGCGCTATATTTTGTCTAT CG-3'), and NOS-probe (5'-TAGAGTCCCGCAATTAT-3') (Supplementary Table 1). TaqMan-PCR analysis was performed with the following parameters: enzyme activation at 95 °C for 10 min, followed by 40 cycles of denaturation at 95 °C for 15 s, annealing and extension at 60 °C for 1 min. The relative quantitative analysis was applied based on real-time PCR data using Applied Biosystems CopyCaller® Software v2.0 (Applied Biosystems) according to the manufacturer's instructions.

### Targeted deep sequencing and mutation analysis

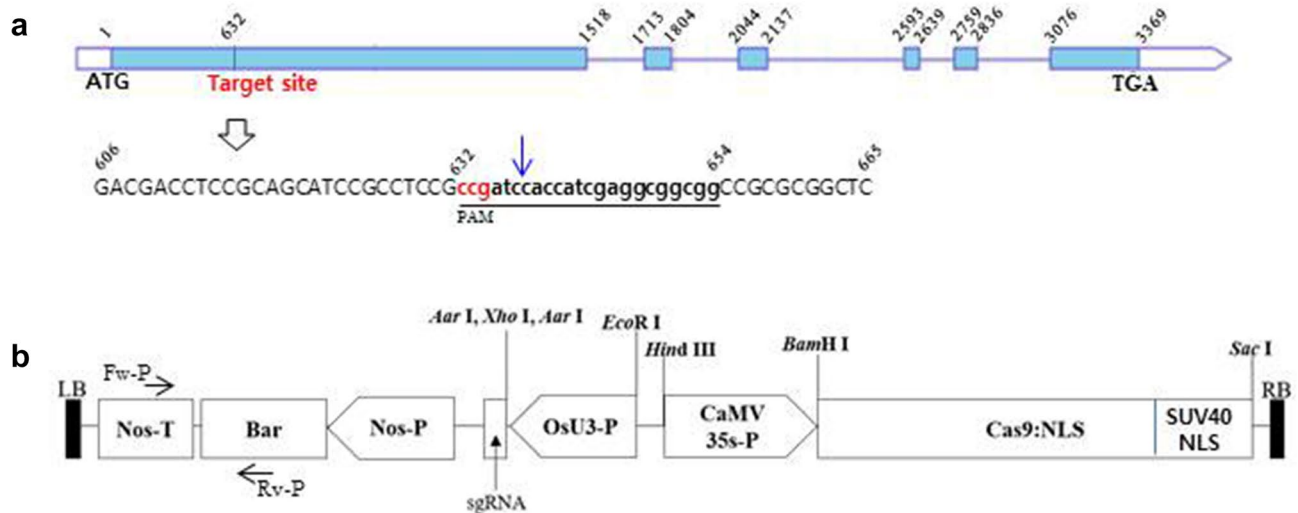
To determine the mutation at the target site, genomic DNA (50 ng) was used as template to perform PCR amplification using PCR Mastermix (Bioneer, Korea). The primers used to amplify the genomic region containing the CRISPR/Cas9 target site are listed in Supplementary Table 1. PCR amplicons were subjected to paired-end read sequencing using MiniSeq (Illumina, San Diego, CA, USA). The next generation sequencing data derived from MiniSeq were analyzed using Cas-Analyzer (<https://www.rgenome.net/cas-analyzer>) (Park et al. 2017). To minimize the errors in PCR handling and sequencing, reads that appears only once were excluded. Those insertion and deletion mutations found around the Cas9 cleavage site which was 3 bp upstream of the protospacer were considered as mutations induced by Cas9.

### Selection of T-DNA free plants

To selection T-DNA-free lines, T<sub>1</sub> generation seeds were obtained from self-pollination of T<sub>0</sub> transgenic plants introduced single copy and edited with homo or bi allelic. T<sub>1</sub> seeds were sown in pots, and a 1% (v/v) basta solution was embedded in gauze on a part of the seedling leaves grown to the 3-leaf stage, and observed the response in 3 days to distinguish between resistance and sensitivity. Also these plants were used to reconfirm whether they was resistance or sensitivity by bar-strip tests. T-DNA free plants were selected when the death of leaves by basta treatment and the absence of bands by bar-strip experiments.

### Germination assay and α-amylase activity

To measure the germination rates, rice seeds were placed in a petri dish (9 cm in diameter) with two filter papers and 10 ml of distilled water. All petri dishes were placed in a dark incubator at 25 ± 1 °C for 12 h and then for 12 h with light/12 h of dark photoperiod. The seeds were considered as germinated when the seeds sprouted through the seed coat. α-amylase was extracted from ca. 0.5 g imbibed grains. The germination was observed daily to compute the germination rate using three replications. Activity was measured by digestion of soluble starch using a kit (Megazyme co.) with a reaction mixture (0.6 ml) consisted of 0.5 ml substrate buffer and 0.1 ml of sample solution. The mixture was incubated at 37 °C for 7.5 min; 0.5 ml of iodine solution and 3.0 ml of distilled water were added. Soluble starch was determined by absorbance at 660 nm. One amylase unit (U) is defined as the amount of enzyme that can hydrolyze 10 mg of starch at 37 °C in 30 min. Three replications were used for this assay. Statistical analysis was performed using Tukey's HSD test.



**Fig. 1** Schematic representation of CRISPR/Cas9-mediated targeted mutagenesis in the rice *OsVPI* gene. **a** Schematic diagram of *OsVPI* gene and *vp1* targeting sequence. Rice *OsVPI* contains six exons, represented by blue rectangles, and the untranslated region portion, represented by blue lines. The 20-bp sgRNA targeting sequence (*vp1*) and protospacer adjacent motif (PAM) sequence are shown in red and in underlined lower-case letters, respectively. The vertical arrowhead indicates an expected cleavage site. **b** T-DNA region of the recom-

binant *OsU3::vp1-sgRNA/pHOsC* vector carrying *vp1-sgRNA* under the control of the *OsU3* promoter. Expression of Cas9 is driven by the Cauliflower mosaic virus 35S (CaMV35S) promoter; expression of the *vp1-sgRNA* is driven by the *OsU3* promoter; expression of phosphinothricin (PPT) is driven by the nopal synthase (NOS) promoter; NLS: nuclear localization signal of Simian virus 40 (SV40) large T antigen; nos-t: gene terminator; LB and RB: left and right border, respectively. Primers used in the PCR are indicated by black arrows

## qRT-PCR analysis

Genes involved in the metabolism of ABA (*OsNCED2*) and GA (*OsGA20ox1*, *OsGA20ox2*, *OsGA20ox3*) were investigated for qRT-PCR analysis. The imbibed (24 and 48 h) embryos were cut quickly from seeds then stored in liquid nitrogen. Total RNA was isolated from ca. 80–100 mg of embryos using a RNeasy plant mini kit (Qiagen, [www.qiagen.com](http://www.qiagen.com)). Total RNA was used to synthesize cDNA with random oligonucleotides using a reverse transcription system (Takara, [www.takara-bio.com](http://www.takara-bio.com)). The cocktail for quantitative RT-PCR was prepared using the cDNA, primers and SYBR Green Real-time PCR Master Mix (Toyobo, <https://www.bio-toyobo.cn>) in 20  $\mu$ L reaction volume in three replicates. Light Cycler 480 device (Roche, <https://www.roche-applied-science.com>) was used with the following conditions: 95  $^{\circ}$ C for 30 s, 40 cycles at 95  $^{\circ}$ C for 5 s, 60  $^{\circ}$ C for 35 s and 95  $^{\circ}$ C for 15 s. The *actin* gene was used as a housekeeping gene. Relative quantification of transcript levels was performed using the comparative Ct method (Livak and Schmittgen 2001). Statistical analysis was performed using Tukey's HSD test.

## Results

### CRISPR/Cas9 design for *OsVPI* editing

In monocot plants, the rice *U3* small nuclear RNA promoter (*OsU3*) is generally used to express sgRNA (Belhaj et al. 2013). The *OsU3* promoter was isolated by PCR amplification from Dongjin genome (Supplementary Fig. 1). The *Arabidopsis U6* promoter in the CRISPR/Cas9 vector, pHatC (Kim et al. 2016), was replaced with the Dongjin *OsU3* promoter, and the resulting *OsU3::pHatC* was used for rice CRISPR/Cas9-mediated target mutagenesis. To design a CRISPR/Cas9-*OsVPI* that targets *OsVPI* gene, a 20-bp nucleotide sequence (*vp1*) in the first exon of *OsVPI* (Os01g68370) was chosen as the target site (Fig. 1a). The *vp1* targeting sequence and protospacer adjacent motif (PAM) sequence are represented in red and in underlined lowercase letters, respectively. The predicted Cas9 cleavage site (vertical arrowhead) in the coding region of the gene was 632 bp downstream from the ATG initiation codon. The recombinant binary plasmid, *OsU3::vp1-sgRNA/pHOsC*, carrying *vp1-sgRNA* targeting *OsVPI* gene under the control of *OsU3* promoter, was then constructed based on *OsU3::pHOsC* (Fig. 1b).



**Table 1** Ratios of mutant genotypes and mutation types at the target site in T<sub>0</sub> mutant plants

Mutation genotype ratio (%) <sup>a</sup>			Mutation type ratio (%) <sup>b</sup>			
Bi-allele	Homozygote	Heterozygote	Deletion	Insertion	Substitute	Insertion/Deletion
55.5 (10/18)	27.8 (5/18)	16.7 (3/18)	49.4 (25/36)	13.8 (5/36)	5.5 (2/36)	5.5 (2/36)

<sup>a</sup>Based on the number of each mutant genotype out of the total number of all mutant genotypes at the target site

<sup>b</sup>Based on the number of each allele mutation type out of the total number of all allele mutation types at the target site

## CRISPR/Cas9 mediated targeted mutagenesis of *OsVP1*

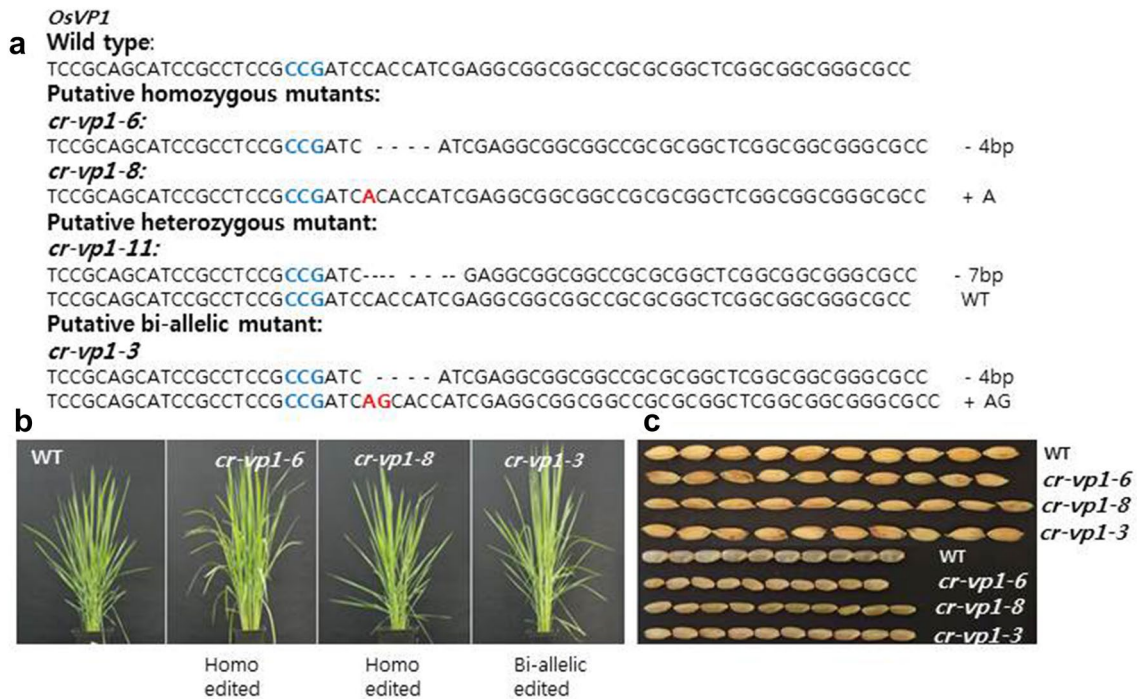
The binary plasmid, *OsU3::vp1-sgRNA/pHOS*C, was then transformed into the WT calli via *Agrobacterium*-mediated transformation (Supplementary Fig. 4). There were 33 T<sub>0</sub> transgenic plants that showed plant phenotype (Supplementary Fig. 5a). To verify the transgenic plants, PCR analysis with 33 plants was performed and the results showed positive amplification of bar genes (Supplementary Fig. 5b). To select single copy plants, TaqMan PCR was done using *tubulin alpha-1 chain* gene probe and *NOS* gene probe. Twenty-four transgenic plants showed copy number values ranging from 0.40 to 0.52, thus regarding as a single copy (Supplementary Fig. 5c). To further investigate CRISPR/Cas9 targeted mutagenesis of *OsVP1*, the targeting-containing amplicons obtained from all single copy lines were directly sequenced by MiniSeq and analyzed using Cas-Analyzer (<https://www.rgenome.net/cas-analyzer/>) (Park et al. 2017). Results showed that 18 independent plants have edited sequences near the protospacer adjacent motif (PAM) region. Sequence analyses detected 5 homozygous mutations, 3 heterozygous mutations, and 10 bi-allelic mutations (Table 1). Among allele mutation types, there were 69.4% (25/36) for deletions, 13.8% (5/36) for insertions, 5.5% (2/36) for substitutions, and 5.5% (2/36) for insertions/deletions, respectively (Table 1). The majority of mutations were short insertions and deletions (Indels). Every four base upstream of the protospacer adjacent motif (PAM) was mutated, and aligned perfectly with the expected Cas9 cleavage site, which is three base pairs downstream of the PAM sequence (Fig. 2a). The genotypes of mutants could be classified into three types: (1) putative homozygotes with both alleles containing the same mutations including lines, *cr-vp1-4* and *cr-vp1-8*; (2) putative heterozygotes with only one allele mutated, such as line *cr-vp1-11*; (3) putative bi-allelic mutant with both copies of the target sequence mutated differently, including line *cr-vp1-3* (Fig. 2a). Also, phenotypic analysis showed that there were no visible differences in plant growth and grain size between mutant lines and WT plants (Fig. 2b, c).

## Obtaining transgene free lines in the T<sub>1</sub> generation

To confirm the inheritance of mutation, four T<sub>0</sub> plants and their progeny were investigated. For each T<sub>0</sub> plants, 6–20 progeny were sampled randomly and genotyped individually at the target site (Table 2). As expected, all of the T<sub>0</sub> putative homozygotes and their offspring had identical genotypes (*cr-vp1-4*, *cr-vp1-8*), suggesting that the mutations in these T<sub>0</sub> plants were stable inherited to the next generation. For the T<sub>1</sub> generation of putative bi-allelic mutant (*cr-vp1-3*), the genotype segregation ratio was consistent with Mendelian law, indicating that the targeted mutations in T<sub>0</sub> plants were inherited normally. Thus, the results clearly showed that CRISPR/Cas9-induced gene mutations can enable stable inheritance to the subsequent generations. We also tracked the segregation of transgene (T-DNA) in the T<sub>1</sub> population of the four T<sub>0</sub> plants (Supplementary Fig. 6a, b) based on a PCR analysis and Bar-strip assay of bar gene, which are the elements of T-DNA (Supplementary Fig. 6c, d). Transgene-free plants were selected in the progeny of all detected T<sub>0</sub> plants, with the proportion ranging from 16.1 to 27.6% (Supplementary Fig. 6e). These results indicated that transgene-free homozygous mutants could be easily obtained in the T<sub>1</sub> generation, as the inheritance of T-DNA and the targeted gene was relatively independent. We selected four transgene-free homozygous knockout lines, including *cr-vp1-6-4*, *cr-vp1-8-3*, *cr-vp1-3-6* and *cr-vp1-11-3*, which have coding frame shifts and premature translational stops, in the T<sub>1</sub> generation (Fig. 3).

## Agronomic characterization of the transgene-free knockout lines

To assess the germination ability in the transgene-free knockout mutants, the progeny of *cr-vp1-6-4*, *cr-vp1-8-3*, *cr-vp1-3-6*, and *cr-vp1-11-3* were tested along with WT. The WT seeds started to germinate in 2 days after sowing and reached the plateau in 7 days. However, *cr-vp1-6-4*, *cr-vp1-8-3*, *cr-vp1-3-6*, and *cr-vp1-11-3*, germination began as early as in 1 day after sowing and reached its peak in 3 days (Fig. 4). These results showed that the seed germination of these mutant lines was faster than that of WT, implying that knockout of the *OsVP1* broke



**Fig. 2** CRISPR/Cas9-induced mutations in the *OsVP1* gene and phenotype of edited plants. **a** The mutant *OsVP1* genotypes of representative  $T_0$  plants are identified by DNA sequencing and alignment. Deletions and insertions are indicated by dashes and red letters, respectively. The numbers on the right side show the sizes of the indels, with “–” and “+” indicating deletion and insertion of the

nucleotides involved, respectively. The letters after the numbers represent different bases of the same length. **b** Plant morphologies of three mutant lines (*cr-vp1-6*, *cr-vp1-8*, *cr-vp1-3*) and WT plants were cultivated in the greenhouse for 2 months. **c** Appearance of mature seeds and brown rice of three mutant lines (*cr-vp1-6*, *cr-vp1-8*, *cr-vp1-3*) and WT plants

**Table 2** CRISPR/Cas9-induced mutations in the *vp1* region of *OsVP1* and their transmission to the  $T_1$  generation

$T_0$ plant	Genotype	Mutation type	No. of $T_1$ plants tested	Mutation inheritance in the $T_1$ generation	
				Targeted mutation	No. of T-DNA-free plant
<i>cr vp 1-6</i>	Homo	–4/–4	12	all (–4/–4)	2
<i>cr vp1-8</i>	Homo	+1/+1	6	all (+1/+1)	1
<i>cr vp1-11</i>	Hetero	–7/WT	20	5 (–7/–7); 8 (–7/WT); 7 (WT)	1
<i>cr vp1-3</i>	Bi-allelic	–4/+2	13	3 (–4/–4); 7 (–4/+2); 3 (+2/+2)	1

+ and – indicate insertion and deletion of the indicated number of nucleotides, respectively; –/+ indicates the simultaneous deletion and insertion of the indicated number of nucleotides. The numbers on the right indicate the type of mutation and the number of nucleotides involved

WT wild type

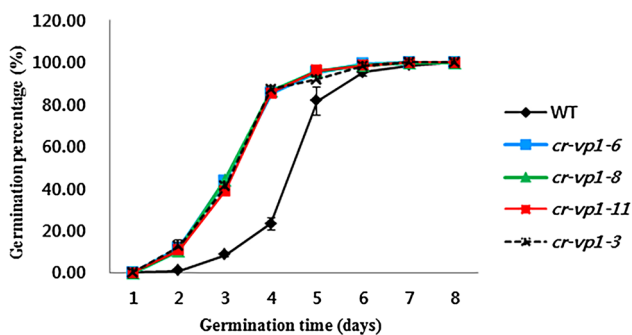
the dormancy and promoted germination of rice seeds. Moreover, mutant lines exhibited no significant differences in grain yield, straw weight, grain quality, and other main agronomic traits (Fig. 5a, b, Supplementary Fig. 7), compared with WT plants. These results demonstrate that transgene-free mutant lines could have negligible effects on growth, biomass, and main agronomic traits under normal cultivation conditions after gene editing process. Generally, GA induces synthesis of  $\alpha$ -amylase in the aleurone layer, which then diffuses into the starchy endosperm

where it initiates hydrolysis of starch (Bewley et al. 2013). To investigate the  $\alpha$ -amylase activity changes in the seed germination process, *cr-vp1-6-4* and the WT seeds were soaked in water for 48 h and  $\alpha$ -amylase activity was monitored. The  $\alpha$ -amylase activity of *cr-vp1-6-4* line seeds was increased two times more than WT suggesting that knock-out of *OsVP1* increased  $\alpha$ -amylase activity and enhanced the hydrolysis of endosperm starch and then promoted seed germination (Fig. 6).



**Fig. 3** Transgene-free homozygous mutant lines induced by CRISPR/Cas9. **a** DNA sequence alignments for the four homozygous mutants identified in the T<sub>1</sub> generation, together with a wild-type (WT) control. The numbers on the right side are the sizes of the indels, with

“–” and “+” showing deletion and insertion of nucleotides involved, respectively. **b** Alignments of deduced amino acid sequence for the four homozygous mutants and WT. Each of the mutant alleles codes for truncated and disrupted OsVP1 proteins



**Fig. 4** Freshly harvested seeds from transgene-free homozygous mutant lines (*cr-vp1-6*, *cr-vp1-8*, *cr-vp1-11*, *cr-vp1-3*) showed improved germination percentage compared with those of WT. Germination assays were conducted in a petri dish (9 cm in diameter) with two filter papers at 25 ± 1 °C for 12 h (day) and for 12 h (night). Error bars indicate SD; n = 3

**Expression of ABA/GA biosynthesis-related genes is affected in *cr-vp1-6-4* line**

Together with ABA and GA is associated with the regulation of seed dormancy and germination (Ogawa et al. 2003; Liu et al. 2010). To further evaluate the impact of seed

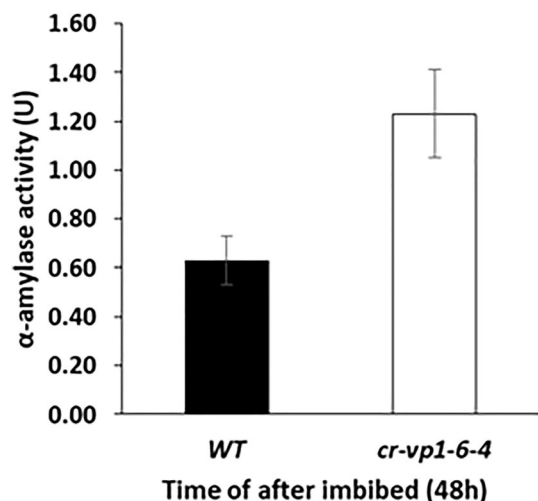
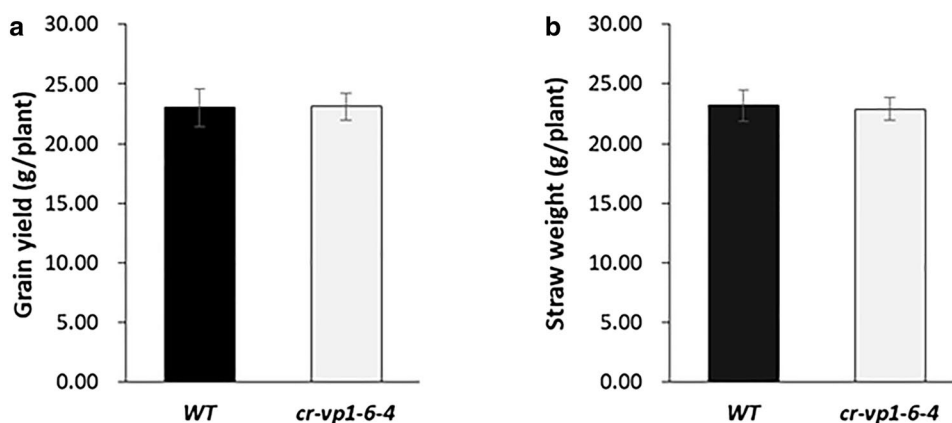
dormancy, we investigated the expression of ABA/GA signaling genes, including *OsNCED2*, *OsGA20ox1*, *OsGA20ox2* and *OsGA20ox3*. In *cr-vp1-6-4* line, the transcripts of *OsNCED2*, *OsGA20ox1*, *OsGA20ox2* and *OsGA20ox3* were significantly increased more than the wild type. These data suggested that the increased expression of ABA/GA signaling genes could partially reduce the acquisition of seed dormancy of *cr-vp1-6-4* line (Fig. 7).

**Discussion**

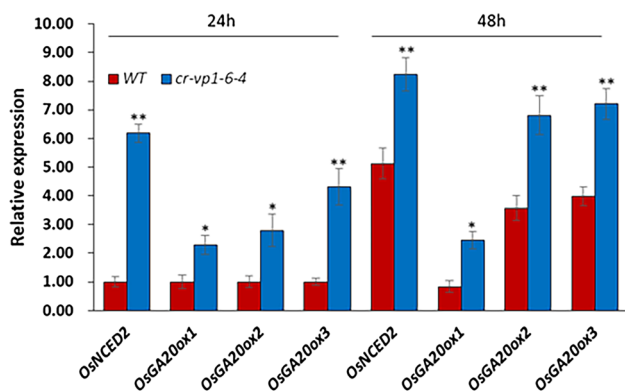
CRISPR/Cas9 system is a highly specific and an efficient technique for genome editing in all organisms. CRISPR/Cas9 technology has been widely used to improve major crops, such as grape, corn, rice, and soybean (Bortesi and Fischer 2015). Recently, several studies have attempted to further improve superior varieties using CRISPR/Cas9 technology to edit genes involved in agriculturally important traits especially in elite rice varieties (Li et al. 2016; Han et al. 2018; Martín-Pizarro et al. 2018). Knockout of thermo-sensitive genic male-sterile (TGMS) gene, *tms5* in 11 fertile elite cultivars produced successful TGMS lines with good agronomic traits (Zhou et al. 2016).



**Fig. 5** Agronomic characters of transgene-free homozygous mutant lines. Two mutant lines (*cr-vp1-6-4*, *cr-vp1-8-3*) and WT plants were cultivated in the experimental field. **a** Grain yield. **b** Straw dry weight. There is no significant difference between mutant lines and WT in grain yield and straw dry weight. Error bars indicate SD;  $n=3$



**Fig. 6** α-Amylase activity in freshly harvested seeds after 48 h of imbibition in *cr-vp1-6-4* line and WT. Error bars indicate SD;  $n=3$



**Fig. 7** Expression pattern of ABA/GA signaling genes during seed germination process by qRT-PCR analysis. Values were normalized against the level of *OsActin* mRNA and error bars indicate SD;  $n=3$ . Two-way ANOVA was used for statistical analysis (\* $P<0.05$  or \*\* $P<0.01$ )

In this study, *OsU3::pBOsC*, which replaced the rice *U3* promoter in the pBOsC vector (Kim et al. 2016) with the *OsU3* promoter, was constructed for rice CRISPR/Cas9 mediated target mutagenesis (Fig. 1). Using the *OsU3::pBOsC*, targeted mutagenesis in the *viviparous-1* gene, *OsVPI*, was generated. We generated 18 edited plants out of 33  $T_0$  transgenic plants (Table 1). With them, we selected four transgene-free homozygous knockout lines including *cr-vp1-6-4*, *cr-vp1-8-3*, *cr-vp1-3-6* and *cr-vp1-11-3*, which showed coding frame shifts and premature translational stops, in the  $T_1$  generation (Fig. 3b). The evaluation of the uniform and rapid germination after sowing showed that the *cr-vp1-6-4*, *cr-vp1-8-3*, *cr-vp1-3-6* and *cr-vp1-11-3* lines were significantly enhanced compared to that of WT plants (Fig. 4). Furthermore, the result of field trials showed no significant differences between  $T_2$  homozygous mutant lines and WT plants in terms of agronomic traits under normal field conditions (Fig. 5, Supplementary Fig. 7). This study provides a successful case for improving germination speed based on gene editing using CRISPR/Cas9 system. The knockout of *OsVPI* demonstrated speeding up the germination of seeds and then as a result, in reducing the seed dormancy. Generally, GA induces synthesis of α-amylase in the aleurone layer, which then diffuses into the starchy endosperm where it initiates hydrolysis of starch (Bewley et al. 2013). In our experiments, the α-amylase activity of *cr-vp1-6-4* line was clearly increased compared to WT (Fig. 6). These results suggest that knockout of the *OsVPI* increased α-amylase activity and enhanced the hydrolysis of endosperm starch and promoted seed germination. A previous work (Sugimoto et al. 2010) on *OsVPI* was reported that a 32-bp deletion in the first exon resulted in a regulation of seed dormancy and further domestication of rice. This mutant line showed an improved dormancy release and germination speed of matured seeds (Du et al. 2015). Also together with ABA, GA is associated with the regulation of seed dormancy and germination (Ogawa et al. 2003;



Liu et al. 2010; Nambara et al. 2010; Graeber et al. 2012). GA enhances germination by weakening the endosperm, increasing embryo growth potential and activating hydrolytic enzymes such as  $\alpha$ -amylase in the seed. These results indicate that the starch preserved by  $\alpha$ -amylase during the germination of *cr-vp1-6-4* seeds was exposed to the amylolytic breakdown more rapidly than the WT (Fig. 6). In cereals like wheat, corn and rice,  $\alpha$ -amylase plays an important role in hydrolyzing endosperm starch into metabolism able sugars for a vigorous seedling growth, and its complex synthesis is positively and negatively regulated by ABA/GA signaling, respectively (Bewley et al. 2013). Liu et al. (2011) revealed that ABA/GA signaling genes were five *GA2ox*, five *NCED*, four *GA20ox* and three *CYP707A* gene family members in rice. To further evaluate the impact of seed dormancy, we investigated the expression of ABA/GA signaling genes, including *OsNCED2*, *OsGA20ox1*, *OsGA20ox2* and *OsGA20ox3*. In *cr-vp1-6-4* line, the transcripts of *OsNCED2*, *OsGA20ox1*, *OsGA20ox2* and *OsGA20ox3* were significantly increased compare to the wild type. These data suggested that increasing expression of ABA/GA signaling genes could partially reduce the acquisition of seed dormancy of *cr-vp1-6-4* line. Therefore, *cr-vp1-6-4* line not only affects seed germination but also reduced the acquisition of seed dormancy.

Lastly, breeding strategy using CRISPR/Cas9 system by knockout of rice transcription factor has been demonstrated here and this can be an alternative approach for genetic improvement of rice. And this strategy could allow escaping the rigorous biosafety regulation done in transgenic plants generated with gene transfer.

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