

## Site dependent and spatially varying response spectra

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**Abstract:** The goal of this study is to provide a stochastic method to investigate the effects of the randomness of soil properties due to their natural spatial variability on the response spectra spatial variation at sites with varying conditions. For this purpose, Monte Carlo Simulations are used to include the variability of both incident ground motion and soil parameters in the response spectra by mean of an appropriate coherency loss function and a site-dependent transfer function, respectively. The approach is built on the assumption of vertical propagation of SH type waves in soil strata with uncertain parameters. The response spectra are obtained by numerical integration of the governing equation of a single-degree-of-freedom (SDOF) system under non-stationary site-dependent and spatially varying ground motion accelerations simulated with non-uniform spectral densities and coherency loss functions. Numerical examples showed that randomness of soil properties significantly affects the amplitudes of the response spectra, indicating that as the heterogeneity induced by the randomness of the parameters of the medium increases, the spectral ordinates attenuate.

**Keywords:** response spectra; randomness; spectral density; Monte Carlo

### 1 Introduction

Previous studies highlighted the significant effects of the ground motion spatial variation on the seismic response of long structures (Zerva, 1992; Saxena *et al.*, 2000; Lin *et al.*, 2004; Chouw and Hao, 2005, 2008). This spatial variation is usually related to the effects of local soil conditions, wave passage and incoherency (Mwafy *et al.*, 2011). The first one expresses the effect of local soil conditions at a site (Hao *et al.*, 1989). The second one translates the phase difference in seismic waves at various structure support points, while the third source is attributed to the scattering in the heterogeneous ground. Various methods were extensively used by several researchers to study the response of long structures excited by motions varying in space and time (Hao, 1998; Yang *et al.*, 2002; Lou and Zerva, 2005; Konakli and Der Kiureghian, 2011; Davoodi *et al.*, 2013; Zhang *et al.*, 2013, 2014; Alam and Kim, 2014, Adanur *et al.*, 2016).

The response spectrum method satisfactorily

describes the seismic ground motion features (Djilali Berkane *et al.*, 2014, 2018) and is still an attractive methods for the engineering community over the past few decades.

On other hand, analyses and design of relevant structures require in many cases the use of both recorded and artificial time-histories ground motion. However, it is not often possible to sufficiently record seismic motions in a specified site, particularly in areas with low seismicity levels. Thus, artificial simulation of ground motions is inevitable for structural design. Nevertheless, the occurrence of an earthquake generates waves which propagate in several directions, the most important of which for this type of study is towards the ground surface of a given site. However, this wave path may be altered by soil conditions and source patterns. An adequate accounting of the subsequent actions and rigorous modelling of the ground motion may be only afforded in a probabilistic framework, especially since the earthquake itself is of a random nature (Cacciola and D'Amico, 2015; He, 2015).

Since the SMART-1 array installation, the spatial variation of seismic ground motions has been modelled by many researchers and several models have been proposed (Zerva and Zervas, 2002). Two functions are commonly used to model the spatial variation of seismic ground motions: spectral density and loss of coherence. (Harichandran and Vanmarke, 1986; Loh and Lin, 1990; Der Kiureghian, 1996). Cacciola and Deodatis (2011)

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have offered a methodology using spectral-representation to generate multiple surface non-stationary and spectrum-compatible ground motions taking advantage of the Monte Carlo powerful methods. Bi and Hao (2012) have suggested a procedure for modelling and simulation of time-history accelerations at several locations on irregular ground surfaces using a deterministic 1-D wave propagation theory and incoherence function to derive the surface motions spectral densities at an uneven site. Li *et al.* (2018) presented an approach to model and simulate the multi-support depth-varying seismic motions within heterogeneous offshore and onshore sites based on 1D wave propagation theory and considering the effects of seawater and porous soils on the propagation of seismic P waves. Yazdani and Takada (2011) used simulated (or artificial) time-histories instead of recorded accelerograms to calculate the response spectrum based on Fourier Amplitude Spectra (FAS), which showed that uncertainties that may affect response spectra are mainly due to the variability of the soil conditions.

The natural spatial variability of soil properties may be a source of uncertainty (Phoon and Kulhawy, 1999; Elkateb *et al.*, 2002; Popescu *et al.*, 2005). This kind of uncertainty, also referred to as inherent random variability, may strongly alter the behavior of loaded soils (Popescu, 2008). Several researchers studied the influence of the uncertainties of soil properties on the earthquake response of soil deposits (Gao *et al.*, 2008; Haciefendioğlu, 2010; Badaoui *et al.*, 2010; Li and Assimaki, 2010; Bi and Hao, 2011; Sadouki *et al.*, 2012; Sadouki *et al.*, 2018).

In this paper, a methodology for simulation of site-dependent and spatially varying response spectra is proposed. The incident motion is assumed to have the same base rock spectral density at selected locations and is modelled by the Clough and Penzien (1993) filter and its spatial variation is described by a coherency function. The vertical dependence of ground motions on the soil conditions through multiple layers of soil profiles is obtained under the assumption of one dimensional propagation of SH-type waves (Wolf, 1985). The contribution of the soil layers brought by the inherent randomness of the variability of the soil properties in the spatial variation of seismic response spectra is considered by means of the amplification function and power spectral density function (PSD) by assuming the characteristics of the soil profiles as random variables. Gaussian variables of these parameters are sampled many times using the Monte Carlo method. The obtained site dependent amplification functions and power spectral density functions are used to generate ground accelerations varying in space. Then, ground surface response spectra are obtained by numerically solving the governing equation of a single-degree-of-freedom oscillator subjected to the generated non-stationary accelerations.

## 2 Simulation of spatially varying ground motions

The base rock incident motion is commonly supposed as a stationary random process having the same spectral density (PSD) function at different locations (Fig. 1) and is modelled by the filter of the Clough and Penzien (1993) PSD function

$$S_g(\omega) = \frac{\omega^4}{(\omega_f^2 - \omega^2)^2 + (2\xi_f \omega_f \omega)^2} \cdot \frac{\omega_g^4 + (2\xi_g \omega_g \omega)^2}{(\omega_g^2 - \omega^2)^2 + (2\xi_g \omega_g \omega)^2} \cdot S_0 \quad (1)$$

where  $S_0$  is the white noise base rock excitation spectral density.  $\omega$  and  $\xi_g$  are the natural frequency and damping, respectively, of the soil deposit modelled by the Clough and Penzien (1993) filter and  $\omega_f$  and  $\xi_f$  are those of the second filter (in Eq. (1)). This assumption is realistic when the site is sufficiently distant from the earthquake focus than to the others sites.

The cross PSD matrix at ( $n$ ) bedrock locations can be derived as (Hao *et al.*, 1989):

$$S_{j'k'}(i\omega) = S_g(\omega) \gamma_{j'k'}(d_{j'k'}, i\omega); \quad j', k' = 1, n; \quad j' \neq k' \quad (2)$$

where  $\gamma_{j'k'}(d_{j'k'}, i\omega)$  is the base rock incoherency,  $i$  the complex number ( $i^2 = -1$ ),  $\omega$  the excitation frequency and  $d_{j'k'}$  is the separation distance between two base rock positions,  $j'$  and  $k'$ .

The cross-PSD matrix of the ground surface motions, considering the effects of local soil conditions, is given by Eq. (3), stating the cross-PSD functions between the spatial ( $n$ ) bedrock points ( $j', k'$ ) and corresponding ( $n$ ) ground surface ( $j, k$ ) points as

$$\mathbf{S}_{jk}(i\omega) = H_j(i\omega) H_k^*(i\omega) S_{j'k'}(i\omega); \quad j, k, j', k' = 1, n \quad (3)$$

In Eq. (3),  $H_j(i\omega)$  and  $H_k(i\omega)$  are the amplification functions of profiles  $j-j'$  and  $k-k'$  (Fig. 1), respectively.

The Cholesky decomposition method may be used to write the cross-PSD matrix  $\mathbf{S}_{jk}(i\omega)$  (Eq. (3)) as follows:

$$\mathbf{S}_{jk}(i\omega) = \mathbf{L}(i\omega) \mathbf{L}^H(i\omega) \quad (4)$$

where  $\mathbf{L}(i\omega)$  and  $\mathbf{L}^H(i\omega)$  are the lower triangular and Hermitian matrix, respectively.

The Fast Fourier transform process may be followed to simulate stationary frequency-histories (Hao *et al.*, 1989)

$$Y_j(i\omega) = \sum_{m=1}^j \beta_{jm}(\omega_n) [\cos \alpha_{jm}(\omega_n) + i \sin \alpha_{jm}(\omega_n)] \quad (5)$$

with

$$\alpha_{jm}(\omega_n) = \beta_{jm}(\omega_n) + \varphi_{mn}(\omega_n) \quad (6a)$$

$$\beta_{jm}(\omega) = \tan^{-1} \left( \frac{\text{Im}[L_{jm}(i\omega)]}{\text{Re}[L_{jm}(i\omega)]} \right) \quad (6b)$$

$\alpha_{jm}(\omega_n)$  are the amplitudes of the generated motions and  $\beta_{jm}(\omega)$  is their phase angles.  $\varphi_{mn}$  is the phase angle randomly created in the interval  $[0, 2\pi]$  according to the uniform distribution function.  $N_f$  is the total number of discrete frequencies ( $\omega_n = i_f \Delta\omega$  where  $i_f$  is the frequency number sampling  $i_f = 1, N_f$  and  $\Delta\omega = \omega_u / N_f$ ) (Deodatis, 1996; Hao *et al.*, 1989).  $\omega_u$  represents an upper cut-off frequency.

The Inverse Fast Fourier Transform of  $Y_j(i\omega)$  leads to the corresponding time-histories  $y_j(t)$ .

In this study, multiple locations of non-stationary seismic accelerations result by multiplying  $y_j(t)$  by a deterministic modulation function  $A(t)$  according to Eq. (7) (Shrikhande and Gupta, 1998):

$$\ddot{u}_j(t) = A(t)y_j(t) \quad (7)$$

$\ddot{u}_j(t)$  is called a zero-mean nonstationary random process of separable kind (Giaralis and Spanos, 2012) and

$$A(t) = \begin{cases} \left(\frac{t}{t_0}\right)^2 & 0 \leq t \leq t_0 \\ 1 & t_0 < t \leq t_n \\ \exp[-0.155(t-t_n)] & t_n < t \leq T \end{cases} \quad (8)$$

Here  $T$  is the duration of motion. The quantities  $t_0$  and  $t_n$  are any portions of the total duration (Clough and Penzien, 1993).

### 3 Site dependent ground motions

Under the assumptions of vertical SH wave propagation and horizontally stratified soil deposits, the locations 1, 2 and 3 are expected to be sufficiently distant (Harichane *et al.*, 2005). The governing equation in each linear elastic layer  $l$  ( $l = 1, N_c$  where  $N_c$  is the layers number in a soil column  $j$ - $j'$ ) of each nonhomogeneous soil deposit (Fig. 1) is

$$\frac{\partial^2 u_l(z_{jl}, t)}{\partial t^2} = V_{sl}^2 \frac{\partial^2 u_l(z_l, t)}{\partial z^2} \quad (9)$$

where  $V_{sl}$  is the velocity in the  $l$ th layer. The solution of Eq. (9) may be obtained for a harmonic motion in the form of Eqs. (A1)-(A2) in the appendix.

The amplification (or transfer) function  $H(i\omega)$  for each site is the ratio of the displacement amplitude at the free surface of the soil deposit ( $l = 1$  and  $z_l = 0$  in Eq. (A2) to that at the interface between the soil and the bedrock ( $l = N_c + 1$  and  $z_{N_c+1} = 0$  in):

$$H(i\omega) = \frac{2A_1}{A_{N_c+1} + A'_{N_c+1}} \quad (10)$$

where  $A_1$  and  $A_{N_c+1}$  are the amplitudes of the incident waves at free surface and at bedrock, respectively, and  $A'_{N_c+1}$  is that of the reflected wave at bedrock (Eqs. (A3)-(A4)).

### 4 Methodology for Monte Carlo simulations

Due to the inherent variability of soil properties commonly treated by stochastic or probabilistic methods,

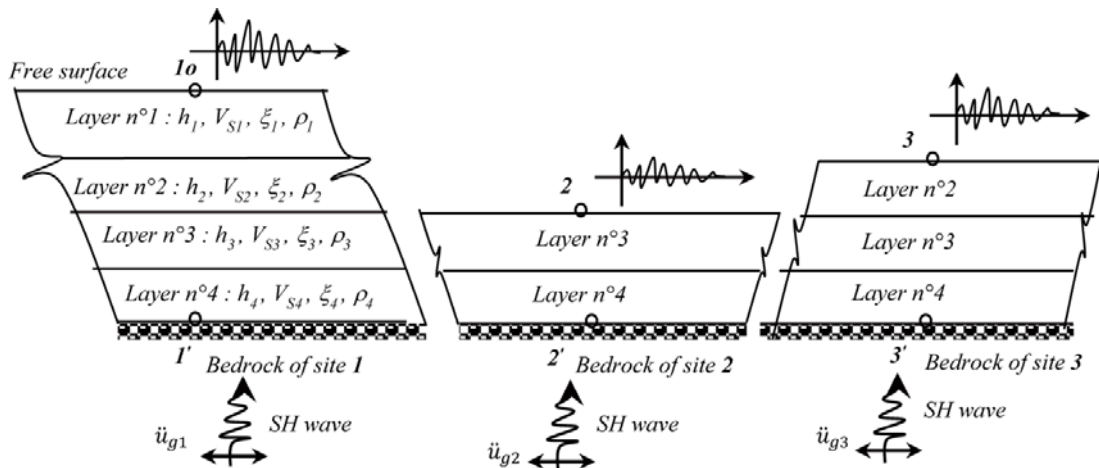


Fig. 1 Schematic view of the soil profiles of the sites

the randomness of soil properties cannot be neglected. Many methods are used to consider the randomness of soil properties. However, the most powerful and practical methods are the Monte Carlo methods (MCMs) (Elkateb *et al.*, 2002; Cho, 2007; Popescu, 2008; Guellil *et al.*, 2017). Referring to several research papers and textbooks, it is stated that for analysis purposes, the inherent spatial variation of soil properties is usually accounted for by an average value and fluctuations around the average value (coefficient of variation  $C_v$ ). The relevant parameters that govern the amplification function are the thickness, velocity, damping and mass density of each layer. However the randomness of mass density in terms of  $C_v$  up to 20% does not exert a significant effect on the amplification function (Sadouki *et al.*, 2012). Thus, the three remaining parameters are treated in the present study as statistically dependent or independent Gaussian variables with mean values and  $C_v$ .

With Monte Carlo methods, a deterministic problem may be solved several times by randomly generating several thousand samples of each soil parameter (Sadouki *et al.*, 2018; Djilali Berkane *et al.*, 2019). For every generated sample of each soil parameter, the amplification function (Eq. (10)) and the PSD (Eq. (3)) are computed according to a predefined probability distribution function. For a satisfactory number of samples of each one of the three variables, the mean transfer function and cross-PSD function amplitudes are obtained. The process is repeated many times in the frequency domain and the generation of

spatially varying ground motions is performed. Then, the accelerations time-histories are obtained using the Fast Fourier transform technique. Finally, the ground surface site dependent and spatially varying response spectra are obtained by numerical integration of the governing equation of the SDOF oscillator (Eqs. (A5)–(A7)) in appendix) subjected to non-stationary ground acceleration. This procedure is transcribed numerically as shown in the algorithm in Fig. 2.

## 5 Results and discussions

### 5.1 Stochastic amplification functions

The assumption of vertical propagation of shear waves is expected to be satisfied because the waves are refracted to a near-vertical direction due to the decrease in velocities of the surface deposits.

Inhomogeneous soil profiles of sites (Fig. 1) are arbitrary established by varying soil conditions in both the horizontal and vertical directions. The soil profiles under points 1, 2, and 3 are assumed to consist of four layers, two layers and three layers, respectively, overlaying bedrock. The layers are numbered 1 (top layer) to  $N_c+1$  (half space) where each  $l$  layer is characterized by its thickness  $h_l$ , shear wave velocity  $V_{sp}$ , damping ratio  $\zeta_l$  and mass density  $\rho_l$  as shown in Table 1.

The mean amplification function is first computed taking into account the randomness of the three above parameters with the help of Monte Carlo methods. Mean

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#### Begin

Initialize data (read mean and standard deviation (or  $C_v$ ) for parameters

**For**  $is$  1 to  $Np$  **do** ( $Ns$  : number of sites)

**For**  $jf$  1 to  $Nfr$  **do** ( $Nfr$  : number of frequencies)

$\omega_n = j_f \Delta\omega$  ( $\Delta\omega$  is the frequency step)

**For**  $ir$  = 1 to  $Nrand$  **do** ( $Nrand$  : number of sampled variables)

Sampling Gaussian random variables

**For**  $j$  = 1 to  $Nc(i)$  **do** ( $Nc(i)$  : the number of layers)

Calculate amplitudes of incident and reflected waves (*Eqs. (A3) and (A4) in Appendix*)

for each random number

**end for**

Calculate mean transfer and cross-PSD functions (*using Eqs. (10) and (3)*)

for each frequency

**end for**

Simulate ground acceleration frequency domain at the (*using Eq. (5)*)

different base rock or ground surface locations and

convert them into time domain using the FFT

Then obtain the non-stationary acceleration time histories (*using Eq. (7)*)

Finally, obtain the ground surface site dependent and spatially (*by solving Eq. (A5) in appendix*)

varying response spectra, at the different ground surface locations

**end for**

**End**

*(Program terminated)*

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Fig. 2 Algorithm of the stochastic approach for simulating ground motion response

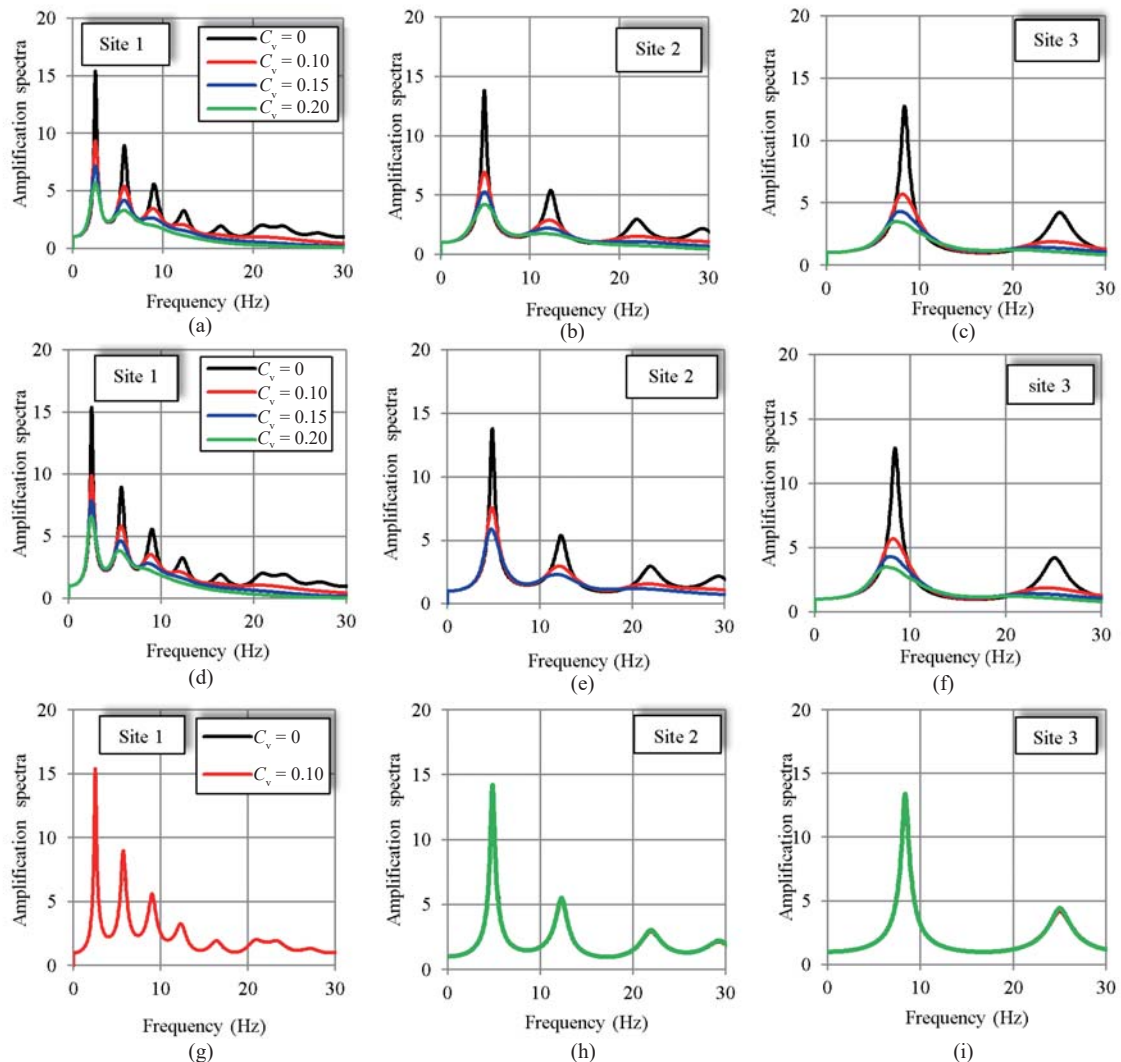
**Table 1 Mean values of soil layers parameters**

Layer's number	layer's thickness $h$ (m)	Wave velocity $V_s$ (m/s)	Mass density $\rho$ (kg/m <sup>3</sup> )	Damping ratio $\zeta$ (%)
1	05	150	1900	5
2	10	220	2000	5
3	10	50	2100	5
4	15	500	2200	5
Bedrock	-	884	2300	5

values of the soil parameters are shown in Table 1 for each one of the layers composing the site soil profiles in Fig. 1. The randomness of the soil properties is taken into account by several coefficients of variation  $C_v$  (0%, 10%, 15% and 20%), 0% corresponds to the deterministic case.

Figure 3 shows the influence of randomness of the

wave velocity, thickness and damping ratio of each layer on the amplification function of each one of the three sites shown in Fig. 1. This figure reveals that when the fluctuation sizes in ( $C_v$ ) of the velocity and thickness increase, the amplification function amplitudes decrease with an enlargement of the frequency contents (Figs. 3(a)-3(b)). 20%  $C_v$  of the wave velocity reduces the amplification function amplitudes of 66.7%, 71.2% and 68.2%, compared to the deterministic solution, for sites 1, 2 and 3, respectively, at the fundamental frequencies (Figs. 3(a), 3(b) and 3(c)). While for 20%  $C_v$  of the layer's thickness, the same amplification function amplitudes are reduced by 61.3%, 67.4%, 71.6% for sites 1, 2 and 3, respectively, at the fundamental frequencies with a light shift of the natural frequency to the left for site 1 (Figs. 3(d), 3(e), 3(f)). However, the random variations of the damping ratio do not exert any influence (Figs. 3(g), 3(h), 3(i)). A comparison between the amplification functions of sites 1, 2, 3 shows their sensitivity to the spatial variation of the soil conditions.



**Fig. 3 Mean amplification function for different soil conditions: (a), (b), (c) effect of random wave velocity, (d), (e), (f) effect of random thickness, (g), (h), (i) effect of random damping ratio**

Thus, since the randomness of the damping ratio does not influence the amplification function, only the random variations of correlated velocity and thickness are deeply examined. First, Figure 4 depicts the mean amplification functions due to the randomness and correlation ( $\rho_{Vsh}$ ) between the wave velocity  $V_s$  and the thickness ( $h$ ). No significant differences appear between the curves of Figs. 4(a), 4(b), 4(c) and Figs.

4(d), 4(e), 4(f) due to the low correlation between the two parameters; however, for higher coefficients of correlation, the amplification function amplitudes are more influenced by the random variations of the soil parameters (Figs. 4(g)-4(l)). In particular, for completely correlated parameters ( $\rho_{Vsh} = 1$ ), variability effects of the soil conditions are more apparent (Figs. 4(j)-4(k)). In fact, for soil profile number 1, when the wave velocity

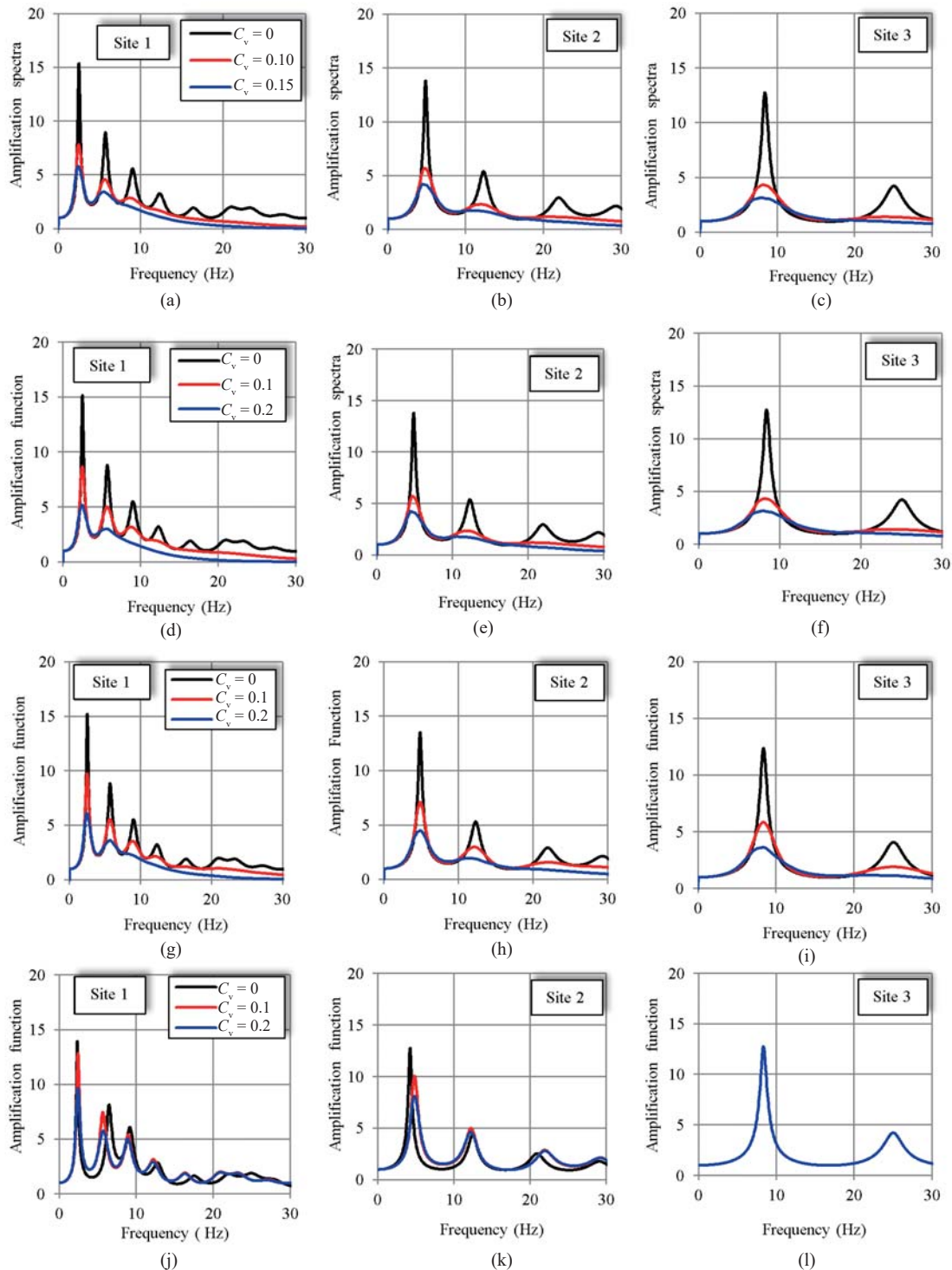


Fig. 4 Mean amplification function for Gaussian velocity and thickness: (a), (b), (c) uncorrelated, (d), (e), (f) correlated with  $\rho_{Vsh} = 0.3$ , (g), (h), (i) correlated with  $\rho_{Vsh} = 0.6$ , (j), (k), (l) correlated with  $\rho_{Vsh} = 1$

and thickness are assumed to be completely correlated, the amplification function amplitudes show less of a decrease than in the uncorrelated case (Figs. 3(a)-3(f)) and the higher natural frequencies are shifted to the left, meaning that the soil becomes softer as the randomness of the medium increases. The same observations may be made for soil profile number 2 but due to the shift of the natural frequencies to the right, the soil deposit becomes harder. Soil deposit number 3 remains insensitive to random velocity and thickness. These different behaviors of the three different soil deposits explain the effects of the random and spatial variations of the soil conditions on the amplification of ground motions and encourage the need to take them into consideration in any site response analysis.

**5.2 Stochastic surface ground motions**

In the following application, under the assumption of correlated random velocity and thickness, stochastic spatial PSD is computed and the stochastic spatially varying ground acceleration and response spectra at the three different ground surface locations (Fig. 1) are computed. The incident base rock motions are assumed to have the same intensities and are displayed by the filtered Clough and Penzien (1993) PSD function. In a simplified way, the auto- and cross-PSD functions are calculated (Eq. (3)) based on the mean amplification functions according to the algorithm in Fig. 2. Figure 5 shows the auto-PSD functions for the three soil conditions at the studied sites. It is clear that the mean auto-PSD magnitudes change in similar way as the mean amplification function magnitudes.

2048 frequency samples are used to obtain the mean cross-PSD and to generate the spatially varying frequency-histories using the above scheme. The duration is  $T = 20$  s and the parameters of the Clough and Penzien (1993) filter are assumed as  $\omega_f = 0.5\pi$ ,  $\omega_g = 6\pi$ ,  $\xi_g = \xi_f = 0.6$  and  $S_0 = 0.0565 \text{ m}^2/\text{s}^3$ . Two examples are carried out to distinguish the influence of the coherency model in simulating spatially varying ground motion. The separation distances between points 1 & 2 and 1 & 3 in Fig. 1 are 100 m and 200 m, respectively.

**Example 1**

In order to study the incoherency between base rock accelerations at locations  $j'$  and  $k'$  ( $j', k' = 1, 3$ ), the Sobczyk (1991) model (Eqs. (11)) is selected in the first example:

$$\gamma_{j'k'}(i\omega) = |\gamma_{j'k'}(i\omega)| \exp(-id_{j'k'} \cos/V_{app})$$

or

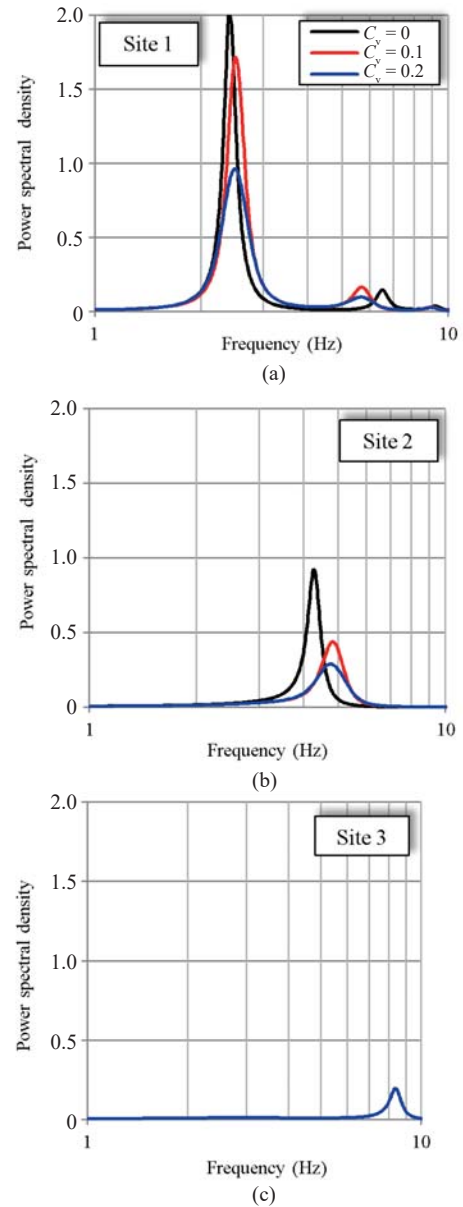
$$\gamma_{j'k'}(i\omega) = \exp(-d_{j'k'}^2 / V_{app}) \exp(-id_{j'k'} \cos/V_{app}) \quad (11)$$

in which  $b$  is a parameter controlling the incoherency and is taken as equal to 0.002 (Bi and Hao, 2012). The incident angle of  $SH$  wave  $a$  is assumed to be  $0^\circ$  here. The apparent wave velocity  $V_{app}$  is taken as equal to

2500 m/s.

As shown in Fig. 6, the coherency values for the Sobczyk (1991) model decrease rapidly versus frequency as the separation distance between sites increases due to the exponential variation (Eq. (11)).

Stochastically simulated base rock and ground surface acceleration time-histories are depicted in Figs. 7 and 8, respectively, for the same  $C_v$  of the velocity ( $V_{st}$ ) and thickness ( $h_l$ ) as in the preceding example (0%, 10% and 20%). The peak ground accelerations (PGAs) at the ground surface positions of 1, 2 and 3 are, respectively, 5.98, 7.55 and 7.13  $\text{m}/\text{s}^2$  in the determinist case ( $C_v = 0.0$ ), which are much greater than base rock PGAs (1.80, 2.29 and 6.06  $\text{m}/\text{s}^2$ ) for locations 1', 2' and 3' because of the amplification due to the variation of the soil conditions in the vertical direction. As the coefficients of variation



**Fig. 5 Mean spectral densities on ground surface**

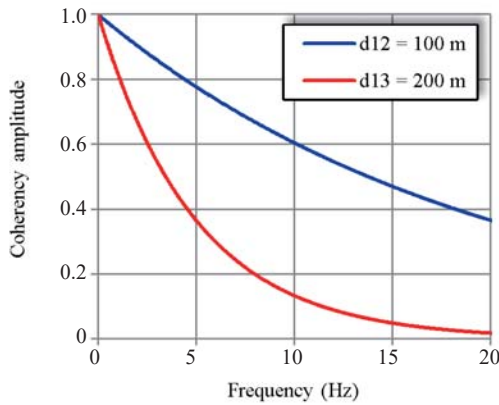


Fig. 6 Site coherency via the Sobczyk (1991) model

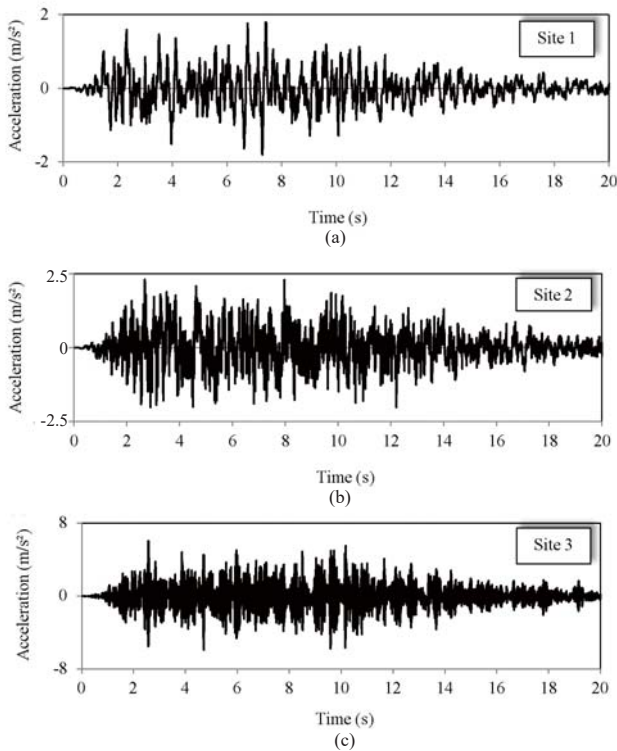


Fig. 7 Simulated bedrock acceleration time-histories using the Sobczyk (1991) model coherency

increase (10% and 20%) for the three sites, the ground surface PGAs decrease in accordance with the influence of fluctuations around the velocity and thickness on the amplitudes of the amplification function and PSD function. This result is similar to that obtained by Sadouki *et al.* (2012) that considered the shear modulus as a random parameter and concluded that this trend is due to medium randomness, which created multiples reflections and refractions of the incident wave. Thus, it may be concluded that simulated ground motions at the surface of media with random parameters with the aid of Monte Carlo methods present similar trends as those obtained by rigorous analytical methods.

Stochastic spatially varying response spectra are obtained at the three ground locations (1, 2, 3) (Fig. 1) as shown in Fig. 9 for coefficients of variation ( $C_v$ ) of the wave velocity and thickness equal to 0, 10% and 20%. This figure shows that the spectral ordinates decrease as the  $C_v$  increases, meaning that as the random heterogeneity of the medium becomes more important, the spectral ordinates attenuate (Figs. 9(a)-9(b)) but the spectral shape is preserved for a same site. Response spectra at site 3 remains insensitive to the randomness of the soil properties (Fig. 9(c)).

Therefore, the randomness of the soil properties significantly affects ground surface response spectra. The site most affected by this randomness is the first one because it is deeper than the other two sites and consequently the soil parameters' randomness has more influence. This conservative result could affect the seismic response of multi-support and/or underground structures, which may be excited by such response spectra during their seismic resistant analysis and design.

**Example 2**

The Sobczyk (1991) coherency model is appropriate for short separation distances. For longer separation distances and higher frequencies, the model of Harichandran and Vanmarcke (1986) is more appropriate to represent the incoherency. However, for comparative purposes, the short separation distances in the first example are maintained. The Harichandran and Vanmarcke (1986) model takes the form:

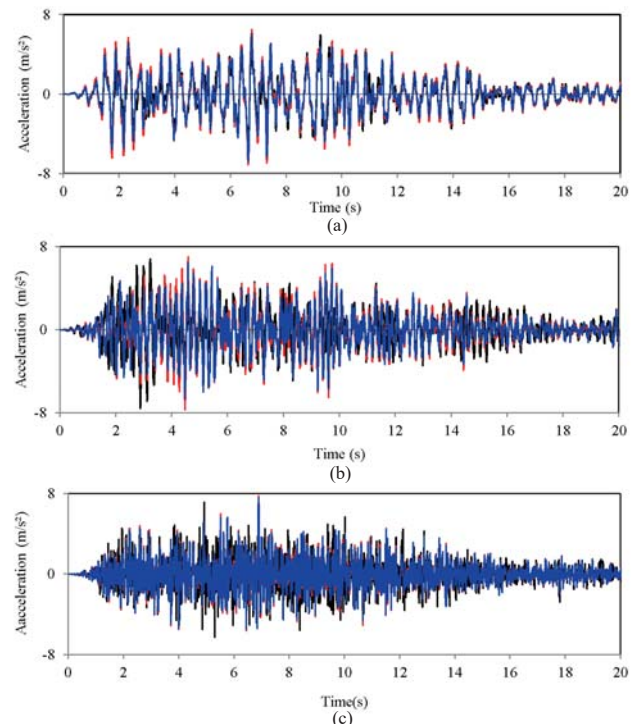


Fig. 8 Simulated ground surface acceleration time-histories using the Sobczyk (1991) coherency model with correlated Gaussian velocity and thickness ( $\rho_{Vsh} = 1$ )



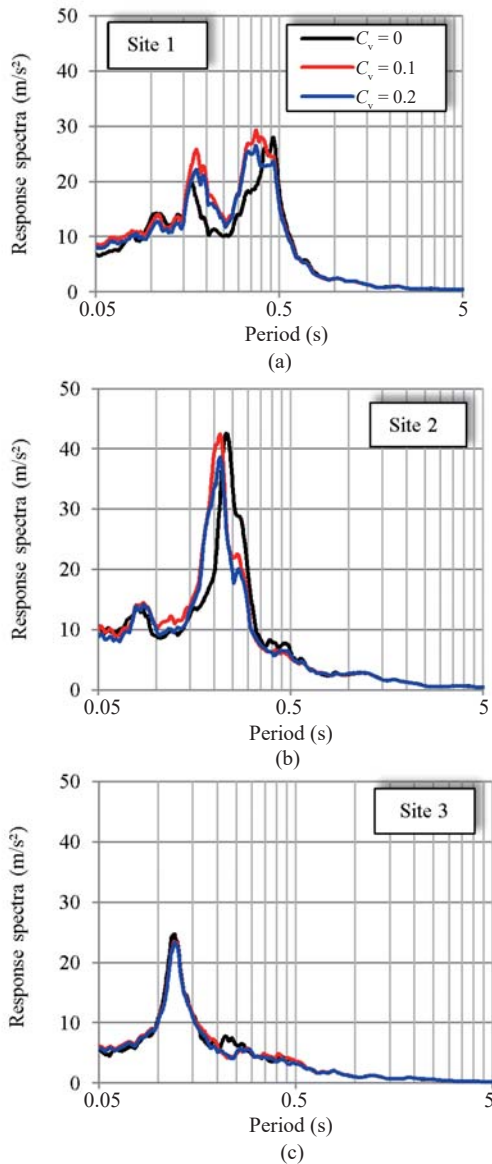


Fig. 9 Stochastic spatially varying response spectra using Sobczyk (1991) coherency model

$$|\gamma_{j'k'}(i\omega)| = A \exp\left(-\frac{2d_{j'k'}}{\alpha\theta(f)}(1-A+\alpha A)\right) + (1-A) \exp\left(-\frac{2d_{j'k'}}{\theta(f)}(1-A+\alpha A)\right) \quad (12)$$

where

$$\theta(f) = k\left(1 + (f/f_0)^B\right)^{-1/2} \quad (13)$$

such that  $f$  is the frequency (Hz). The model parameters values ( $A = 0.636$ ,  $B = 2.95A$ ,  $\alpha = 0.0186$ ,  $f_0 = 1.51$  Hz and  $k = 31200$ ) are defined based on an analysis of the SMART-1 array records. The Harichandran and Vanmarcke (1986) site coherency is plotted in Fig. 10 where similar values are obtained at low frequencies

(less than 5 Hz) compared to the Sobczyk (1991) model, where the coherency approaches to zero faster (Eq. (11) and Fig. 6) while the coherency in Fig. 10 decays slowly with frequency (Eq. (12)).

The simulated base rock accelerations time-histories are depicted in Fig. 11. PGAs obtained by this model are 1.48, 1.36 and 1.62  $m/s^2$  at the locations 1', 2' and 3', respectively. They are smaller than those obtained by the first model. Note that this model evaluates the coherency directly from the data recorded so that the variability due to the lagged coherency estimates could be clearly observed.

Figure 12 shows the simulated ground surface

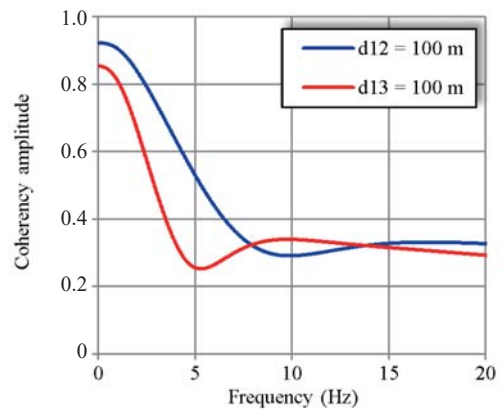


Fig. 10 Site coherency via the Harichandran and Vanmarcke (1986) model

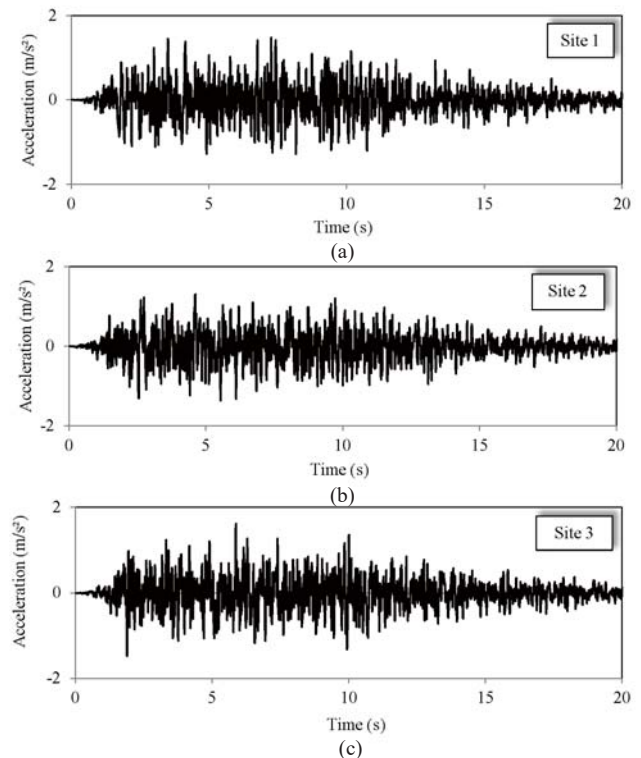


Fig. 11 Simulated bedrock acceleration time-histories using the Harichandran and Vanmarcke (1986) coherency model

accelerations. The PGAs at locations 1, 2 and 3 are, respectively, 7.70, 4.57, 4.66 m/s<sup>2</sup> (in the deterministic case) which are different from those obtained by the first coherency model (5.98, 7.55, 7.13 m/s<sup>2</sup>), but as in the previous example the ground surface PGAs decrease when the coefficients of variation increase (10% and 20%) for the different sites.

The response spectra obtained using the Harichandran and Vanmarcke (1986) model are plotted in Fig. 13. The stochastic response spectra obtained by the Sobczyk (1991) model (Fig. 9) and those obtained by the Harichandran and Vanmarcke (1986) model (Fig. 13) show a similar trend but the spectral ordinates could not be compared because coefficients defining the two models are obtained differently.

### 6 Conclusions

This study investigated the effects of the randomness of soil layers on the ground surface accelerations and response spectra using Monte Carlo methods. These effects on the amplification of the surface ground motions are studied considering the soil parameters that influence the ground response (thicknesses, velocities and damping of the layers) as Gaussian (or normal) random variables generated many times via Monte Carlo methods. In order to obtain spatially varying seismic

ground surface motions at multiple locations due to surface irregularities, a reasonable procedure based on the one dimensional *SH* wave propagation together with the definition of cross spectral densities was followed. The incident motion is assumed as having the same spectral density at the base rock and the effects of the loss of coherence were described using the Sobczyk (1991) and the Harichandran and Vanmarcke (1986) models. Stochastic and site dependent spatially varying ground surface motions were predicted by means of the spectral representation method and the corresponding surface response spectra were obtained by solving the equation of motion of a linear SDOF system under a

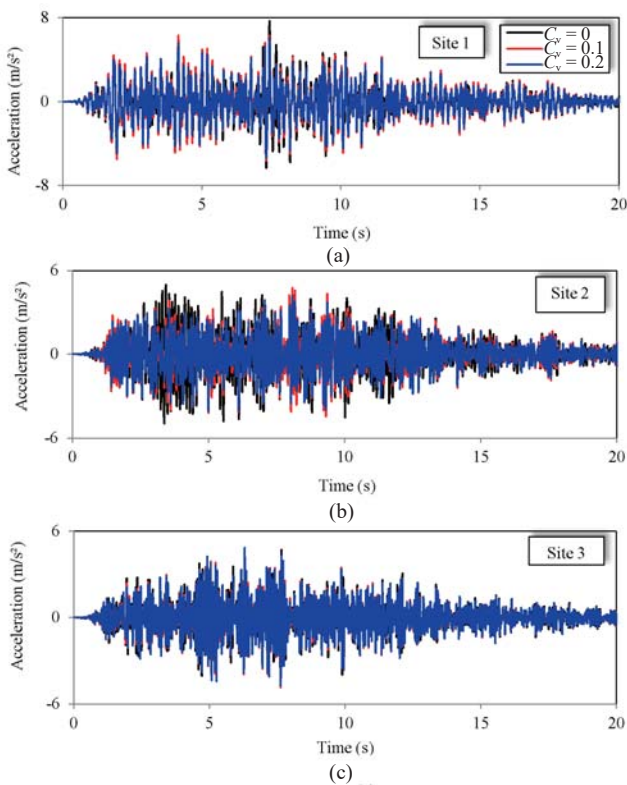


Fig. 12 Simulated ground surface acceleration time-histories using the Harichandran and Vanmarcke (1986) coherency model with correlated Gaussian velocity and thickness ( $\rho_{vsh} = 1$ )

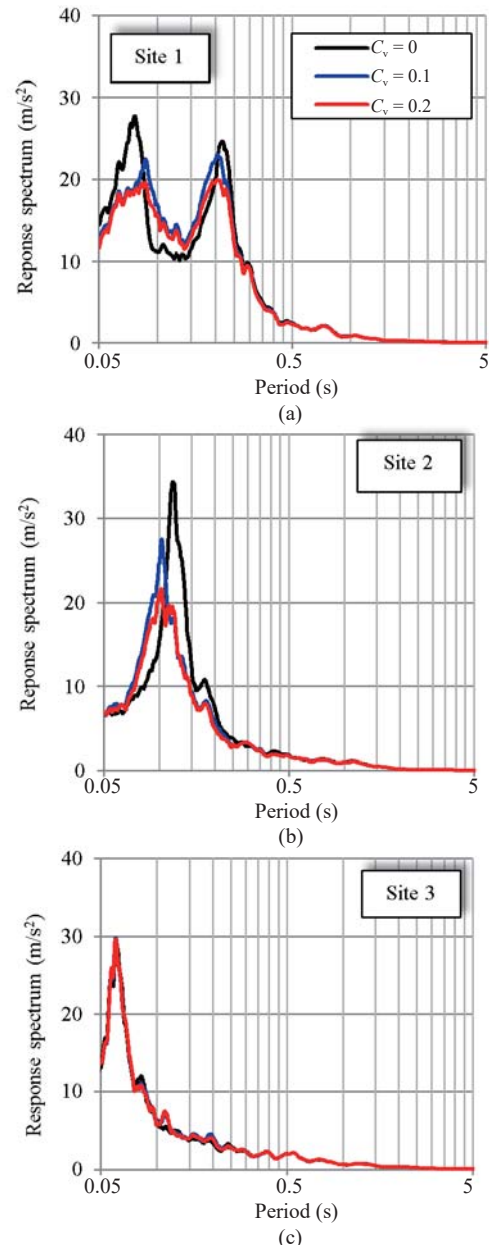


Fig. 13 Stochastic spatially varying response spectra using the Harichandran and Vanmarcke (1986) coherency model

nonstationary stochastic process.

The results indicate that the random variations of the soil properties (velocities and thicknesses of layers in the present study) affect the magnitudes of the amplification function and the PSD and consequently the simulated ground movements.

Clearly, response spectra are very sensitive to the inherent spatial variation of soil properties and soil conditions variation in vertical as well as horizontal directions. Also, both the Sobczyk (1991) model and Harichandran and Vanmarcke (1986) model successfully help simulate ground motions for short separation distances. The simulated response spectra may serve as inputs in the seismic resistant analysis and design of multi-supported and underground structures. The variations in response spectra due to the randomness of the soil layers may significantly alter the response of multi-supported structures that were eventually excited by such data than by uniform ground motions.

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## Appendix

### Solution of wave equation

The solution of Eq. (9), for a harmonic motion in each layer  $l$  ( $l = 1, N_C$ , Fig. 1) is:

$$u_l(z_l, t) = U_l(z_l) e^{i\omega t} \quad (\text{A1})$$

considering a coordinate system  $z_l$  for each layer in a vertically downward direction with the origin at the top of the layer  $0 \leq z_l \leq h_l$  where  $h_l$  is the thickness of the  $l$ th layer) and  $U_l(z_l)$  is the depth-dependent displacement taking the equation:

$$u_l(z_l, t) = A_l e^{i p_l z_l} + A'_l e^{-i p_l z_l} \quad (\text{A2})$$

with  $p_l = \frac{\omega \cdot \cos \alpha_l}{V_{sl}}$ . The incident and reflected wave amplitudes ( $A_l$  and  $A'_l$ ) in each layer  $l$  are obtained from the boundary conditions (Harichane *et al.*, 2005) leading to:

$$A_{l+1} = \frac{1}{2} A_l (1 + q_l) \cdot e^{i p_l h_l} + \frac{1}{2} A'_l (1 - q_l) \cdot e^{-i p_l h_l} \quad (\text{A3})$$

$$A'_{l+1} = \frac{1}{2} A_l (1 - q_l) \cdot e^{i p_l h_l} + \frac{1}{2} A'_l (1 + q_l) \cdot e^{-i p_l h_l} \quad (\text{A4})$$

where  $q_l = \sqrt{(\rho_l \cdot G_l / \rho_{l+1} \cdot G_{l+1})} \cdot (\cos \alpha_l / \cos \alpha_{l+1})$

$G_l$  and  $\rho_l$  are the shear modulus and mass density of the  $l$ th layer of a soil deposit (Fig. 1) related by  $G_l = V_{sl}^2 \rho_l$ .

The hysteretic damping  $\xi_l$  may be introduced by the complex velocity of the *SH* wave in the form ( $V_{sl}^* = V_{sl} \sqrt{1 + 2i\xi_l}$ ). Therefore, all the above equations hold by replacing  $V_{sl}$  by  $V_{sl}^*$ .

### Response spectrum evaluation

Numerical integration, according to the Nigam and Jennings (1969) technique, of the governing equation of a SDOF system:

$$\ddot{x}(t) + 2\zeta\omega_n \dot{x}(t) + \omega_n^2 x(t) = -\ddot{u}_g(t) \quad (\text{A5})$$

where  $\zeta$  is the critical viscous damping,  $\omega_n$  is the natural frequency, and  $\ddot{u}_g(t)$  is the ground motion (Eq. (7)), allows the relative displacement response spectrum  $S_d(\omega_n, \zeta)$ , to be obtained formally as

$$S_d(\omega_n, \zeta) = \max \{ |x(t)| \} \quad (\text{A6})$$

And the pseudo response spectrum is:

$$S_a(\omega_n, \zeta) = \omega^2 S_d(\omega_n, \zeta) \quad (\text{A7})$$