# **An Innovative Approach to Predicting Scour Depth Around Foundations Under Combined Waves and Currents in Large-Scale Tests Based on Small-Scale Tests**

HU Ruigeng<sup>1)</sup>, LIU Hongjun<sup>1), 2), 3), \*, LU Yao<sup>1)</sup>, WANG Xiuhai<sup>1), 3)</sup>, and SHI Wei<sup>4)</sup></sup>

1) *College of Environmental Science and Engineering*, *Ocean University of China*, *Qingdao* 266100, *China* 

2) *Key Laboratory of Marine Environment and Ecology*, *Ministry of Education*, *Qingdao* 266100, *China* 

3) *Shandong Provincial Key Laboratory of Marine Environment and Geological Engineering*, *Qingdao* 266100, *China* 

4) *School of Civil Engineering*, *Qingdao University of Technology*, *Qingdao* 266033, *China* 

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Abstract This study presents an innovative theoretical approach to predicting the scour depth around a foundation in large-scale model tests based on small-scale model tests under combined waves and currents. In the present approach, the hydrodynamic parameters were designed based on the Froude similitude criteria. To avoid the cohesive behavior, we scaled the sediment size based on the settling velocity similarity, *i.e.*, the suspended load similarity. Then, a series of different scale model tests was conducted to obtain the scour depth around the pile in combined waves and currents. The fitting formula of scour depth from the small-scale model tests was used to predict the results of large-scale tests. The accuracy of the present approach was validated by comparing the prediction values with experimental data of large-scale tests. Moreover, the correctness and accuracy of the present approach for foundations with complex shapes, *e.g.*, the tripod foundation, was further checked. The results indicated that the fitting line from small-scale model tests slightly overestimated the experimental data of large-scale model tests, and the errors can be accepted. In general, the present approach was applied to predict the maximum or equilibrium scour depth of the large-scale model tests around single piles and tripods.

**Key words** scour; scour depth prediction; Froude similarity; scale effects; combined waves and currents

# **1 Introduction**

As a kind of clean energy, offshore wind energy has been developed rapidly in recent years. Offshore wind energies reduce greenhouse emissions efficiently, contributing to addressing the climate change challenges. Considering the cost-effective and easy installation procedure, monopiles are now generally used as foundations of offshore wind turbines. After the installation of monopiles in the ocean environment, the seabed in the vicinity of monopile suffers from scour under the action of waves and currents (Sumer *et al.*, 1992, 1997; Sumer and Fredsøe, 2001). As a result, scour holes emerge around monopiles. Scour holes decrease the embedded depth of the foundation and weaken its bearing capacity (Li *et al.*, 2018; Fazeres-Ferradosa *et al.*, 2019, 2021). As a result, the scour evolution and scour depth prediction around monopiles have caught great attention from coastal engineers.

The horseshoe and wake vortexes significantly contribute to the development of scour holes around foundations

(Sumer *et al.*, 1992, 1997; Sumer and Fredsøe, 2001; Petersen *et al.*, 2012; Schendel *et al.*, 2020). The horseshoe vortex is mainly related to the *KC* number, pile Reynold number *Re<sub>d*</sub>, and dimensionless boundary layer thickness *δ*/*D* under combined waves and currents (Sumer and Fredsøe, 2001; Roulund *et al.*, 2005; Corvaro *et al.*, 2018). The *KC* indicates the ratio of the displacement amplitude of water particles in one wave cycle to the pile diameter. Thus, a small *KC* reflects a small displacement amplitude. The boundary layer cannot be separated from the seabed in small *KC* conditions, resulting in the difficult formation of the horseshoe vortex. Sumer *et al.* (1992) conducted a series of flume tests to investigate the scour evolution around a single pile, indicating that scour occurred when *KC*> 6, and the high *KC* resulted in large scour depths. The phenomenon can be attributed to the increase in lifespan and scale of the horseshoe vortex with the increase in *KC*. The boundary layer thickness  $\delta$  has a huge influence on the boundary layer separation and horseshoe vortex formation (Roulund *et al.*, 2005). The smaller value of *δ*/*D*, the smaller scale of the horseshoe vortex. No horseshoe vortex formed when  $\delta/D < O$  (0.01). The *Re<sub>d</sub>* affects the flow regime in the boundary layer. The laminar flow

<sup>\*</sup> Corresponding author. E-mail: hongjun@ouc.edu.cn

prevails in the boundary layer when *Red*<500, indicating that the boundary layer is difficult to separate from the seabed due to the relatively high viscous force of laminar flow (Roulund *et al.*, 2005; Tavouktsoglou *et al.*, 2017). The turbulent flow rose up when *Red*>500, instructing the increased momentum exchange between the turbulent and external boundary layers, which contributed to the separation of the boundary layer from seabed; however, an extremely high *Red* can cause the location of the separation point of wake vortex at the two pile sides to transfer downstream, leading to a narrow wake vortex field (Sumer *et al.*, 1992, 1997; Roulund *et al.*, 2005). Tavouktsoglou *et al.*  (2017) investigated the effects of  $Re<sub>d</sub>$  on the equilibrium scour depth around the pile, and the findings of the equilibrium scour depth decreased with the increase in the *Red* when  $10^3 < Re_d < 4 \times 10^6$ . According to Ettema *et al.* (1998) and Corvaro *et al.* (2018), the influence of  $Re<sub>d</sub>$  can be ignored when it is a fully turbulent flow around a pile; the condition of fully turbulent flow in laboratory flume tests is difficult to achieve.

Thus far, scour evolution around single piles has been studied generally in waves and currents through laboratory flume experiments, and numerous scour depth prediction formulas have been developed based on quantitative smallscale flume experimental data (Sumer *et al.*, 1992; Sumer and Fredsøe, 2001; Qi and Gao, 2014; Corvaro *et al.*, 2018). In laboratory tests on scour evolution, the hydrodynamic parameters were usually designed in accordance with the Froude similitude criteria (Arboleda Chavez *et al.*, 2019; Wu et al., 2020). However, guaranteeing the rigorous similarity of all parameters between the model and prototype is impossible, which results in the scale effects of the model tests (Schendel *et al.*, 2018; Hu *et al.*, 2021). For example, the bed sediment size is usually scaled geometrically based on a model scale to avoid the interparticle cohesive forces. As a result, the relatively large-scale sediment sizes may lead to the underestimation of suspended load transport and overestimation of bedload transport compared with field conditions. In addition, the distorted scaled sediment may cause the error of bed roughness between the model and prototype and thus shows large effects for boundary layer thickness and scour evolution (Lee and Sturm, 2009). Wu *et al.* (2020) carried out a number of large-scale wave flume tests to investigate the scour development around piles in waves and currents, indicating that the existing scour depth prediction approaches are conservative when applied to large-scale tests; thus, the scale effects for scour depth prediction must be studied further.

Huang *et al.* (2009) investigated the scale effects on the flow field and scour evolution around large bridge piers using a numerical model. Full- and small-scale numerical models based on Froude similitude criteria were built in their study, and the results indicated that the small-scale model with Froude similarity can cause errors when utilized to predict the scour depth of the prototype. As described above, when the hydrodynamic parameters are designed based on Froude similarity, the discrepancy between the model and prototype can be attributed to distorted scaled sediments. Typically, compared with the requested sediment size by geometric similarity, relatively large-sized soil particles were used in laboratory flume tests to avoid interparticle cohesive forces; thus, the results from scour depth prediction formulas predominantly based on smallscale tests will have a gap with the measured data in the field (Ettema *et al.*, 1998; Lee and Sturm, 2009). In addition, compared with the prototype, the choice of sediment size in model tests usually distorts the ratio of pile diameter to sediment size, resulting in a large scour depth around the pile in model tests. According to the experimental results of Huang *et al.* (2009) and Lee and Sturm (2009), the model distortion due to sediment size is also related to the scale and intensity of horseshoe vortex at upstream pier edges. Wang *et al.* (2013) proposed a theoretical formula to obtain the prototype scour depth around a pile by conducting a series of small-scale model tests. In the experiments, the prototype or scaled sediments were used in model tests, and the size of sediments was selected based on the bedload similarity. Notably, the theoretical formula was derived and verified under the condition of steady currents. Thus, the adaptation and accuracy for the condition of combining waves and currents must be validated further.

In general, scale effects exist when the similarity criteria cannot be satisfied completely between the prototype and model. The discrepancy from scale effects may be reduced efficiently by conducting experiments in a sufficiently large flume. However, the installation cost, experimental setup, and technique may be limited for extremely large-scale model tests. Therefore, an innovative theoretical approach was proposed in this study to predict the scour depth around foundations in large-scale model tests based on small-scale model tests under combined waves and currents. In the present approach, the hydrodynamic parameters were designed based on Froude similitude criteria. To avoid the cohesive behavior, we scaled the sediment size based on the settling velocity similarity, *i.e.*, the suspended load similarity. Then, a series of different scale model tests was conducted to obtain the scour depth around a pile in combining waves and currents. The fitting formula of scour depth from small-scale model tests was used to predict the results of large-scale tests. The accuracy of the present approach was validated by comparing the prediction values with experimental data from large-scale tests. Moreover, the adaptation of the present approach for foundations with complex shapes, *e.g.*, the tripod foundation, was further checked. Finally, the merits and disadvantages of the present approach were evaluated in the Discussion section.

### **2 Theoretical Approach**

### **2.1 Scale Law**

The hydrodynamic parameters corresponded to the Froude similarity criteria from the prototype to the model, including flow model scaling, wave model scaling, and sediment transport scaling (Ettema *et al.*, 1998; Huang and Xu, 2008).

1) Flow model scaling

The flow follows the continuity equation, Froude similarity criteria, and bed roughness similarity criteria. Suppose that *λ* stands for the scale ratio of the prototype value to the model value. The  $\lambda_h$  and  $\lambda_l$  are the geometric scale ratio in vertical and horizontal directions, respectively. In the present study, the same geometric scales in vertical and horizontal directions were adopted, that is,  $\lambda_h = \lambda_l$ . The flow velocity scale ratio *λu*, Manning roughness scale ratio *λ*<sub>*n*</sub>, and scour time scale ratio *λ*<sub>*t*</sub> are respectively given by the following (Ettema *et al.*, 1998; Huang and Xu, 2008):

$$
\lambda_u = \lambda_h^{1/2} \tag{1}
$$

$$
\lambda_n = \lambda_n^{1/6},\tag{2}
$$

$$
\lambda_t = \frac{\lambda_t}{\lambda_u} = \lambda_h^{1/2} \,. \tag{3}
$$

2) Wave model scaling

The wave parameters were scaled using the following relationships (Huang and Xu, 2008; Zhang and Jin, 2017)

$$
\lambda_L = \lambda_H = \lambda_h \,, \tag{4}
$$

$$
\lambda_T = \lambda_h^{1/2},\tag{5}
$$

where  $\lambda_L$  is the wavelength scale ratio,  $\lambda_H$  is the wave height scale ratio, and  $\lambda_T$  is the wave period scale ratio.

3) Sediment transport scaling

The sediment motion can be classified into bedload and suspended load transport under combined waves and currents. Ensuring the bedload and suspended load similarity simultaneously is typically impossible; however, the model should have the same dominant sediment transport mode as the prototype (Sutherland and Whitehouse, 1998). The hydrodynamics parameters in the present model tests (Section 3.1) were referred to the environmental conditions of the BZ1 offshore wind farm, which is located in the Yellow River Delta of China. According to Jia *et al.* (2020), the suspended load transport is likely to prevail in that location; thus, the sediment size was scaled based on the suspended load transport similarity. The suspended load transport similarity was mainly ensured using the settling velocity similarity. The settling velocity scale ratio *λ<sup>w</sup>* is given by the equation below (Huang and Xu, 2008):

$$
\lambda_w = \lambda_u \, \frac{\lambda_h}{\lambda_l} = \lambda_u \,. \tag{6}
$$

### **2.2 Derivation of the Theoretical Approach**

The sediment motion continuity equation is expressed as follows (Zhao and Xu, 2009):

$$
\frac{\partial S}{\partial t} + \frac{\partial q}{\partial r} = 0 \,, \tag{7}
$$

where *S* is the scour depth, *t* denotes the scour time, *q* refers to the sediment volumetric transport rate, and *r* corresponds to the maximum distance of sediment transportation.

Eq. (7) can be recast into finite difference format:

$$
\frac{\Delta S}{\Delta t} = -\frac{\Delta q}{\Delta r},\tag{8}
$$

$$
\Delta S = -\frac{\Delta q}{\Delta r} \Delta t \,. \tag{9}
$$

When  $S_t$  is the maximum scour depth in  $0-t$ ,  $r_t$  denotes the maximum distance of sediments transportation in  $0-t$ , and  $q_t$  indicates the maximum sediment volumetric transport rate in  $0-t$ , Eq. (9) can be written in the following form:

$$
S_t = -\frac{q_t}{r_t}t\,. \tag{10}
$$

According to Engelund and Hansen (1967), the equation of total sediment transport rate can be expressed by the following:

$$
q_t = \frac{0.05u^2 \rho^{1/2} d_{50}^{1/2}}{(\rho_s - \rho)^{1/2} g^{1/2}} \left[ \frac{\tau_c}{(\rho_s - \rho) g d_{50}} \right]^{3/2}, \quad (11)
$$

where  $u$  is the flow velocity,  $\rho$  represents the water density,  $\rho_s$  refers to the sediment density,  $d_{50}$  stands for the sediment median diameter, *g* denotes the gravity acceleration, and  $\tau_c$  is the shear stress on the seabed induced by steady currents.

According to Zhou (2009), *τc* can be calculated as follows:

$$
\tau_c = \rho C_D u^2 \,,\tag{12}
$$

$$
C_D = \frac{g}{C_h^2},\tag{13}
$$

where  $C_D$  is the friction coefficient under steady currents, and *Ch* denotes the Chezy coefficient.

Substituting Eqs. (12) and (13) into Eq. (11) yields the following.

$$
q_{t} = \frac{0.05 C_{h} u \tau_{c}^{2}}{g^{5/2} \rho^{2} \left(\frac{\rho_{s}}{\rho} - 1\right)^{2} d_{50}}.
$$
 (14)

In Eq. (14),  $\tau_c$  should be replaced by the shear stress  $\tau_{wc}$ on the seabed induced by waves and currents when it is applied for the condition of combined waves and currents. Li (2016) demonstrated that  $\tau_{wc}$  can be expressed by the following:

$$
\tau_{wc} = \frac{1}{2} \rho f_{wc} u_{wa}^2 \,, \tag{15}
$$

where  $f_{wc}$  is the friction coefficient under combined waves and currents, and  $u_{wa}$  denotes the amplitude velocity of the undisturbed wave-induced oscillatory flow above the wave boundary layer.

Simons *et al.* (2001) proposed the following equations for the  $f_{wc}$ :

$$
f_{wc} = k \left(\frac{a_p}{d_{50}}\right)^m,\tag{16}
$$

$$
a_p = \frac{u_{wa}T}{2\pi} \,,\tag{17}
$$

where *k* and *m* are coefficients that can be obtained by laboratory flume experiments.  $a_p$  is the wave orbital diameter above the wave boundary layer.

Substituting Eqs.  $(15-17)$  into Eq.  $(14)$  yields the following:

$$
q_{t} = C_{1} \cdot \frac{C_{h} u \rho^{2} T^{2m} u_{wa}^{2m+4}}{(2\pi)^{2m} g^{5/2} (\rho_{s} - \rho)^{2} d_{50}^{2m+1}},
$$
\n(18)

where  $C_1$  is the constant.

Le Roux (2001) derived the following equations to determine *uwa* by the settling velocity *ω*:

$$
u_{wa} = \frac{\theta_{cr} g d_{50} (\rho_s - \rho) \rho^{1/2} \mu^{1/2}}{\pi^{1/2} T^{1/2}},
$$
 (19)

$$
\theta_{cr} = 0.0246 \omega_d^{-0.55},\tag{20}
$$

$$
\omega_d = \omega \left[ \frac{\rho^2}{\mu g(\rho_s - \rho)} \right]^{1/3},\tag{21}
$$

where  $\omega_d$  refers to the dimensionless settling velocity,  $\mu$ denotes the water dynamic viscosity, and  $\theta_{cr}$  is the critical Shields parameter.

Substituting Eqs.  $(19-21)$  into Eq.  $(18)$  yields the following:

$$
q_{t} = C_{2}C_{h}ud_{50}^{3} \pi^{m} T^{m-2} \rho^{\frac{68}{15} + \frac{4m}{15}} \omega^{-\left(\frac{11}{5} + \frac{11m}{10}\right)}.
$$
  

$$
\mu^{\frac{41}{15} + \frac{41m}{30}} \frac{67}{g^{30} + \frac{71m}{30}} (\rho_{s} - \rho)^{\frac{41}{15} + \frac{71m}{30}},
$$
 (22)

where  $C_2$  is a constant.

The scale ratio of the maximum sediment volumetric transport rate  $\lambda q_t$  can be written as follows:

$$
\lambda_{q_i} = C_3 \lambda_{C_h} \lambda_u \lambda_{d_{50}}^3 \lambda_T^{m-2} \lambda_{\rho}^{\frac{68}{15} + \frac{4m}{15}} \lambda_{\omega}^{-\left(\frac{11}{5} + \frac{11m}{10}\right)}.
$$
  

$$
\lambda_{\mu}^{\frac{41}{15} + \frac{41m}{30}} \lambda_{\beta}^{\frac{67}{30} + \frac{71m}{30}} \lambda_{\rho_s - \rho}^{\frac{41}{15} + \frac{71m}{30}},
$$
 (23)

where  $C_3$  is a constant. When the fluid between the prototype and model are the same, the  $\lambda_{\rho} = 1$ ,  $\lambda_{\mu} = 1$ , and  $\lambda_{g} = 1$ . In this way, Eq. (23) can be simplified as follows:

$$
\lambda_{q_i} = C_3 \lambda_{C_h} \lambda_u \lambda_{d_{s0}}^3 \lambda_T^{m-2} \lambda_{\omega}^{-\left(\frac{11}{5} + \frac{11m}{10}\right)} \lambda_{\rho_s - \rho}^{\frac{41}{15} + \frac{71m}{30}}.
$$
 (24)

The Chezy coefficient  $C_h$  in Eq. (13) can be calculated by the Manning formula (Huang and Xu, 2008)

$$
C_h = \frac{1}{n} R^{1/6},\tag{25}
$$

where *n* is the roughness coefficient, and *R* is the hydraulic radius.

The roughness scale ratio  $\lambda_n$  was calculated in accordance with Eq. (2); thus, the Chezy coefficient scale ratio  $\lambda_{C_h}$  can be calculated by the following:

$$
\lambda_{C_h} = \frac{\lambda_h^{1/6}}{\lambda_n} = \frac{\lambda_h^{1/6}}{\lambda_h^{1/6}} = 1.
$$
 (26)

Substituting Eqs. (1), (5), (6), and (26) into Eq. (24) can be simplified as follows:

$$
\lambda_{q_t} = C_3 \lambda_{d_{50}}^3 \lambda_h^{-\left(\frac{m}{20} + \frac{8}{5}\right)} \lambda_{\rho_s - \rho}^{\frac{41}{15} + \frac{71m}{30}}.
$$
 (27)

Based on Eq. (10), the maximum scour depth scale ratio  $\lambda_{S_t}$  is given by the following:

$$
\lambda_{S_t} = -\frac{\lambda_{q_t}}{\lambda_{r_t}} \lambda_t \,. \tag{28}
$$

Substitution of Eqs. (3) and (27) into Eq. (28) yields the following:

$$
\lambda_{S_t} = C_4 \lambda_{d_{S0}}^3 \lambda_h^{-\left(\frac{m}{20} + \frac{21}{10}\right)} \lambda_{\rho_s - \rho}^{\frac{41}{15} + \frac{71m}{30}},\tag{29}
$$

where  $C_4$  is the constant.

Supposing that  $S_{tp}$  and  $S_{tm}$  stand for the maximum scour depth in prototype and model, respectively, Eq. (29) can be written as follows:

$$
S_{lm} = C_5 \lambda_{d_{s0}}^{-3} \lambda_h^{\frac{m}{20} + \frac{27}{20}} \lambda_{\rho_s - \rho}^{-\left(\frac{41}{15} + \frac{71m}{30}\right)} S_{lp} ,
$$
 (30)

where  $C_5$  is the constant.

Performing the logarithmic calculations for Eq. (30) yields the following:

$$
\log S_{tm} = C_6 + 3 \log \lambda_{d_{50}} -
$$

$$
\left(\frac{m}{20} + \frac{27}{20}\right) \log \lambda_h + \left(\frac{41}{15} + \frac{71m}{30}\right) \log \lambda_{\rho_s - \rho} , (31)
$$

where  $C_6$  is the constant.

Scaling the sediment median diameter  $d_{50}$  based on geometric similarity, *i.e.*,  $\lambda_{d_{50}} = \lambda_h$ , leads to a very small model sediment size exhibiting a cohesive behavior (Ettma *et al.*, 1998; Lee and Sturm, 2009; Schendel *et al.*, 2018). Moreover, preparing materials with very small particle sizes for small-scale model tests is difficult. A new approach was proposed to select the sediment size in model tests. In the present approach, the sediment size was scaled based on the settling velocity similarity, *i.e.*, the suspended load similarity. The only difference of bed materials between the prototype and model is  $d_{50}$ ; thus,  $\lambda_{\rho_{s} - \rho} \approx 1$ . In this manner, Eq. (31) is further simplified to the following:

$$
\log S_{tm} = C_6 + 3\log \lambda_{d_{50}} - \left(\frac{m}{20} + \frac{27}{20}\right) \log \lambda_h. \tag{32}
$$

Based on Zhou (2009), the settling velocity can be calculated by Stokes formula:

$$
\omega = \frac{1}{18} \frac{\rho_s - \rho}{\rho} g \frac{d_{50}^2}{v},\tag{33}
$$

where *υ* is the viscosity coefficient of water.

The following relationship for determining the scale ratio of  $d_{50}$  was deduced using Eqs. (1), (6), and (33):

$$
\lambda_{d_{50}} = \lambda_h^{1/4} \,. \tag{34}
$$

Substituting Eq. (34) into Eq. (32) yields the following:

$$
\log S_{tm} = C_6 - \left(\frac{m}{20} + \frac{27}{20}\right) \log \lambda_h \,. \tag{35}
$$

According to Eq. (35), a series of different scale model tests was conducted to obtain the  $S_{tm}$  around foundations under combined waves and currents. Then, the experimental data were plotted in  $logh_e \sim log\lambda_h$  coordinate. Afterward, the data of the small-scale model tests were fitted, and the fitting line was extended. In this way, the *Stm* of large-scale model tests can be obtained by extrapolating the fitting line of small-scale model tests.

# **3 Experimental Design**

#### **3.1 Scour Tests Around a Single Pile**

The experiments were carried out in a wave and current flume (Fig.1, length:  $20 \text{ m}$ ; width:  $1.0 \text{ m}$ ; and  $1.2 \text{ m}$  in height). The soil pit (length: 3.0m and height: 0.4m) was set at the center and lower portion of the flume. The wave generation system was installed at the offshore side of the wave flume, respectively, and it consisted of the doublepiston wave paddle, piston rod, and electric control cabinet. Two axial-flow pumps were installed at the offshore and onshore sides of the wave flume. The gravels were placed at the onshore side of the flume as the wave absorption band (2 m in length,  $14^{\circ}$  in inclination). The hydrodynamics parameters referred to the environmental conditions of the BZ1 offshore wind farm, which is located in the Yellow River Delta of China. The waves and currents parameters in a 5-year return period were as follows: wave height *H*=5.8m, wavelength *L*=80m, wave period  $T=8$  s, water depth  $h=10$  m, and current velocity  $U_c = 1.5 \text{ m s}^{-1}$ . The monopiles (diameter  $D = 4 \text{ m}$ ) were used as the foundations in the BZ1 offshore wind farm. The prototype sediment was medium sand, and the basic mechanical parameters of the sand samples were as follows: median diameter  $d_{50} = 0.386$  mm, specific gravity of soil particle  $G_s = 2.72$ , maximum void ratio  $e_{\text{max}} = 1.2$ , minimum void ratio  $e_{\text{min}}$ =0.54, permeability coefficient  $k_s$ =1.5×10<sup>−</sup><sup>5</sup> ms −1 , Poisson's ratio *ν*=0.28, porosity *n*=0.42, and shear modulus  $G = 5.8 \times 10^5$  Pa. Fourteen groups of different scale scour model tests were conducted in the wavecurrent flume, and the geometric scale  $\lambda_h$  ranged from 25 to 90. As discussed in Section 2, the hydrodynamic parameters in model tests (Table 1) were designed in accordance with the Froude similarity criteria. The sediment size was scaled based on the settling velocity similarity, *i.e.*, the suspended load similarity. Fig.2 shows the sediment size grading curves. Supposing that the scour time *t* in the prototype was 72h, the scour time in different scale model tests was ensured by Eq. (3). The single pile model was installed at the center of the soil pit. As shown in Fig.1, the scour depth measuring positions were set around the pile model. The echo sounder was used to measure the real-time scour depth evolution. The wave height gage was installed on the upstream section between the pile model and wave paddle. An acoustic Doppler velocimeter was adopted to measure the flow velocity in tests. Table 1 lists the test plans and several specified experimental parameters.

### **3.2 Scour Tests Around a Tripod**

To further validate the adaptation of the present theoretical approach for foundations with complex shapes, we carried out scour tests around tripods in combining waves and currents. Fig.3 illustrates the schematic of the tripod model, which was manufactured by 3D printing technology. The tripod model was installed at the center of the soil pit with three installation angles  $\alpha = 0^{\circ}$ , 90°, 180°. As shown in Fig.4, angles  $\alpha = 0^{\circ}$  and  $\alpha = 180^{\circ}$  mean that one



Fig.1 Sketch of the experimental setup.





Fig.2 Particle size grading curves of the soil samples.



Layout of tripod foundation

Fig.3 Schematic of the tripod model.

and two piles faced the incoming waves, respectively, and  $\alpha$ =90° means asymmetric installation. Nine groups of different scale scour model tests were conducted in the wavecurrent flume, and the geometric scale  $\lambda_h$  ranged from 30 to 90. The hydrodynamics and sediment parameters were the same as those described in Section 3.1, and the discussion will not be repeated here. Table 2 lists the test plans and several specified experimental parameters. As shown in Fig.4, the scour depth measuring positions were set in front of the pile, rear pile, and under the column.



Fig.4 Sketch of tripod installation in wave-current flume: (a)  $\alpha = 0^{\circ}$ , (b)  $\alpha = 90^{\circ}$ , and (c)  $\alpha = 180^{\circ}$ .



Note:  $D_m$ , the diameter of the main column (see Fig.3).

# **4 Experimental Results**

# **4.1 Single Pile**

1) Scour evolution and scour morphology

Fig.5 depicts the scour evolution curves of cases R2, R6, R8, and R12 at position S2 (Fig.1). As shown in Fig.5, the scour depth developed rapidly at the initial stage, and the scour rate slowed down. Finally, the curves reached the horizontal asymptotic lines, implying that the scour hole was in a relatively stable state. All cases in the present study satisfied the relationship  $\theta > \theta_{cr}$ , indicating the prevalence of live bed scour; thus, the scour evolution curves fluctuated significantly due to the backfilled effects in the



Fig.5 Scour evolution curves of cases R2, R6, R8, and R12.

scour hole under a live bed regime (Larsen *et al.*, 2017). The curves continued to fluctuate at the end of experiments, indicating that the equilibrium scour state was not reached in accordance with the equilibrium criterion proposed by Melville and Chew (1999).

Fig.6 shows the scour morphology of case R8 after the waves and currents action for 8h. An inverted cone scour hole (1.01*D* in depth and 1.32*D* in diameter) appears around the pile, and it converges with the result obtained by Qi and Gao (2014) under combined waves and currents.



Fig.6 Scour morphology around a single pile.

2) Scour depth prediction for large-scale model tests Suppose that *S*max stands for the maximum scour depth around a pile. Fig.7 depicts the experimental results in  $log(S_{\text{max}}) - log(\lambda_h)$  coordinate. The red line in Fig.7 presents the fitting results of small-scale model tests  $(\lambda_h < 45)$ , and the fitting variance  $R^2$  is 0.998, indicating that  $log(S_{\text{max}})$  and  $log(\lambda_h)$  conformed to a linear relationship, consistent with the result of Eq. (35). Then, the fitting line was used to predict the results of large-scale model tests  $(\lambda_h > 45)$ , and Fig.7 shows the comparison between the predicted values and experimental data. From Fig.7, the fitting line slightly overestimated the experimental data of large-scale model tests. The maximum error between the predicted values and experimental data was 4.1% when  $\lambda_h = 25$ , and the errors can be accepted. However, the errors enlarged with the increase in  $\lambda_h$ . The large-scale model tests conducted were insufficient due to the limitation of the experimental setup. However, further checking for the accuracy of Eq. (35), adequately large-scale model tests are necessary for future studies.



Fig.7 Experimental data of the  $S_{\text{max}}$  around pile and fitting results of small-scale model tests.

To validate the accuracy of Eq. (35) for predicting the equilibrium scour depth of large-scale model tests, we obtained the equilibrium scour depth *Seq* by fitting the scour evolution curves using Eq. (36), which was proposed by Sumer and Fredsøe (2002).

$$
\frac{S_t}{D} = \frac{S_{eq}}{D} \left( 1 - \exp\left(\frac{-t}{T_c}\right) \right),\tag{36}
$$

where  $T_c$  is the time scale of scour process.  $T_c$  defined in Eq. (36) stands for the period when the line passing through the origin of coordinates is tangent to the asymptotic line of  $S_t/D$  (Fig.5).

Fig.5 shows the fitting results of Eq. (36) of cases R2, R6, R8, and R12 at position S2. The results indicate that Eq. (36) can depict the scour evolution effectively. Fig.8 illustrates the results in  $log(S_{eq}) - log(\lambda_h)$  coordinate.  $S_{eq}$  in Fig.8 was derived from position S2 (Fig.1). The red line in Fig.8 represents the fitting results of small-scale model tests ( $\lambda_h$ <45), and the fitting variance  $R^2$  was 0.996, indicating that  $log(S_{eq})$  and  $log(\lambda_h)$  conformed to a linear relationship. Therefore, Eq. (37) can be inferred reasonably from Eq. (35).

$$
\log S_{eq,m} = C_7 - C_8 \log \lambda_h, \qquad (37)
$$

where  $C_7$  and  $C_8$  were constants.



Fig.8 Experimental data of the *Seq* around the pile and fitting results of small-scale model tests.

The fitting line was used to predict the results of largescale model tests  $(\lambda_h > 45)$ , and the comparison between the predicted values and experimental data is shown in Fig.8. As shown in Fig.8, the fitting line slightly overestimated the experimental data of large-scale model tests. The maximum error between the predicted values and experimental data was 4.6% when  $\lambda_h$ =25, and the error can be accepted.

### **4.2 Tripod**

1) Scour evolution and scour morphology

Fig.9 depicts the scour evolution curves of cases R17, R19, and R21 under the main column. As shown in Fig.9, the scour depth increased rapidly at the initial stage, and the scour reached a relatively stable stage. The curves continually fluctuated in all processes due to the passage of sand ripples through the scour hole under the live bed scour regime (Larsen *et al.*, 2017).



Fig.9 Scour evolution curves of cases R17, R19, and R21.

Given the sufficiently large distance (about 10  $D_p$ , with  $D<sub>p</sub>$  as the diameter of the tripod's pile) between the adjacent tripod's pile, the group effects on scour can be ignored in accordance with the work of Sumer and Fredsøe (1998). The main column and structural elements have a major effect on the flow field around the tripod. Thus, a

special scour morphology can be expected. Fig.10 shows the scour morphology of cases R17, R19, and R21, corresponding to the installation angles of 0˚, 90˚, and 180˚, respectively.

The scour morphology in Fig.10 indicates that the maximum scour hole appeared under the main column in three installation angles in combined waves and current. Moreover, local detached scour holes were present around the tripod's piles. A similar scour morphology was reported by Stahlmann (2013) for tripods and Welzel *et al.* (2019) for jacket structures. In the following section, the maximum and equilibrium scour depths under the main column were selected to evaluate the accuracy of Eqs. (35) and (37) for tripod foundation, respectively.



Fig.10 Scour morphology around the tripod: (a) case R17,  $\alpha = 0^\circ$ ; (b) case R17,  $\alpha = 90^\circ$ , and (c) case R17,  $\alpha = 180^\circ$ .

#### 2) Scour depth prediction for large-scale model tests

Suppose that  $S_{m,\text{max}}$  stands for the maximum scour depth under the main column. Fig.11 presents the experimental data. The red lines in Fig.11 are the fitting results of smallscale model tests  $(\lambda_h < 45)$ . The fitting variance  $R^2$  was in the range of 0.994 to 0.996, indicating a linear relationship between  $log(S_{m \max})$  and  $log(\lambda_h)$ . The fitting lines were adopted to predict the results of large-scale model tests (*λ<sup>h</sup>* >45), and the comparison between the predicting values and experimental data is shown in Fig.11. The comparison showed that the fitting lines slightly overestimated the values of the maximum scour depth of large-scale model tests. The maximum error between the predicted values and experimental data was 6.7% when  $\lambda_h$ =30 and  $\alpha$ =90°, and the error can be accepted. In general, Eq. (35) was applied to the foundation with a complex shape, *e.g.*, the tripod foundation. However, as described above, the errors enlarged with the increase in  $\lambda_h$ . Thus, further validation for the accuracy of Eq. (35) for adequately large-scale model tests is necessary for future studies.



Fig.11 Experimental data of the  $S_{m,\text{max}}$  around tripod and fitting results of small-scale model tests.

To validate the accuracy of Eq. (37) for predicting the equilibrium scour depth of large-scale model tests for tripod foundation, we obtained the equilibrium scour depth  $S_{m,eq}$  by fitting the scour evolution curves using Eq. (36), which was proposed by Sumer and Fredsøe (2002). Fig.12 shows the values of the equilibrium scour depth around tripod foundation in three installation angles. The red lines in Fig.12 are the fitting results of small-scale model tests ( $\lambda_h$ <45), with the fitting variance  $R^2$  in the range of 0.992 to 0.998. The fitting lines were used to predict the results of large-scale model tests  $(\lambda_h > 45)$ , and the comparison between the predicted values and experimental data is shown in Fig.12. Fig.12 displays that the fitting lines caused relatively larger values of  $S_{m,eq}$  than the experimental results of large-scale model tests. The maximum error between the predicted values and experimental data was 6.2% when  $\lambda_h$ =30 and  $\alpha$ =90°, and the error can be accepted. Overall, Eq. (37) was also applied to the foundation with a complex shape, *e.g.*, the tripod foundation.



Fig.12 Experimental data of the  $S_{m,eq}$  around the tripod and fitting results of small-scale model tests.

# **5 Discussion**

In this study, an innovative theoretical approach was proposed to predict the scour depth around foundations in large-scale model tests based on small-scale model tests under combined waves and currents. In the present approach, the hydrodynamic parameters were designed based on Froude similitude criteria. According to published literature (Ettma *et al.*, 1998; Lee and Sturm, 2009; Schendel *et al.*, 2018; Wu *et al.*, 2020), scaling the sediment median diameter  $d_{50}$  based on geometric similarity, *i.e.*,  $\lambda_{d_{50}} = \lambda_h$ , will lead to a very small model sediment size, exhibiting cohesive behavior. Moreover, preparing materials with very small particle sizes for small-scale model tests is difficult. Thus, a new approach was proposed to select the sediment size in model tests. In the present approach, the sediment size was scaled based on the settling velocity similarity, *i.e.*, the suspended load similarity. In the experimental section, a series of different scale model tests was conducted to verify the correctness and accuracy of Eqs. (35) and (37) for the single pile and tripod foundation. Eqs. (35) and (37) were applied to predict the maximum or equilibrium scour depth in large-scale model tests around a single pile and tripod. According to Eqs. (35) and (37), the maximum or equilibrium scour depth in large-scale tests can be obtained by the fitting line from the results of small-scale tests. Theoretically, the maximum or equilibrium scour depth in the prototype can also be acquired by extending the fitting line to  $log(\lambda_h) = 0$ . In the present study, the large-scale model tests were insufficient due to the limitation of the experimental setup. Thus, the accuracy of Eqs. (35) and (37) were only validated in limited large-scale tests, with values of  $\lambda_h = 25 - 40$  for single piles and  $\lambda_h = 30 - 40$  for tripods. Thus, further checks for the accuracy of Eqs. (35) and (37) for a large-scale model or field tests are necessary for future studies. As reported by Sutherland and Whitehouse (1998), ensuring the bedload and suspended load similarity simultaneously in model tests is impossible, but the model should have the same dominant sediment transport mode as the prototype. The sediment size was scaled based on the suspended load similarity in the present approach. Thus, the prerequisite for the application of the present approach is the suspended load dominating the sediment transport in the prototype.

The *KC* and *Ucw* are directly related to scour depth around foundations in combined waves and currents (Sumer and Fredsøe, 2001; Qi and Gao, 2014; Yu *et al.*, 2020). In the present approach, the hydrodynamic parameters correspond to the Froude similarity criteria from the prototype to the model, and the dimensions of single piles and tripods were scaled based on geometric similarity, which ensured that the *KC* and *Ucw* were the same in different scale model tests. As described above, the choice of sediment size in the model distorted the geometric similarity, leading to the variation in  $\theta$  and  $\theta_{cr}$  in different scale model tests. Although the live bed scour regime was satisfied in all model tests, errors still existed due to the difference in  $\theta$  and  $\theta_{cr}$ . Moreover, the disproportionally scaled sediments presumably resulted in the error of bed roughness between the model and prototype, thus causing evident effects on the wave-current boundary layer and scour evolution (Huang *et al.*, 2009; Lee and Sturm, 2009).

Incipient motion occurs when the shear stress  $\tau$  exerting on the soil particles is greater than the critical threshold shear stress *τcr*. Soil particles will suffer from the upward seepage force due to the pressure gradient in the seabed at the wave trough (Jeng, 2013; Xu *et al.*, 2019; Hu *et al.*, 2020; Ren *et al.*, 2021), contributing to the onset of sediment motion; however, the pressure gradient cannot be scaled exactly in the model. Moreover, extensive studies have demonstrated that the horseshoe and wake vortexes are responsible for scour around foundations, and Froude number  $F_r$  and pile Reynolds number  $Re_d$  have significant effects on the intensity and scale of horseshoe vortex (Sumer *et al.*, 1997; Roulund *et al.*, 2005; Qi and Gao, 2014; Tavouktsoglou *et al.*, 2017; Corvaro *et al.*, 2018). High  $F_r$  and  $Re_d$  lead to a stagnation point at the upstream foundation edges closer to the water surface, and therefore, the intensive horseshoe vortexes emerge. As discussed by Ettema *et al.* (1998) and Corvaro *et al.*  (2018), the effects of  $Re<sub>d</sub>$  can be neglected when the flow around the foundation is fully turbulent, reaching a sufficiently high *Red* and condition of fully turbulent flow in laboratory model tests is difficult.

As for the submarine pipeline, the onset of scour is mainly related to the seepage flow in the seabed beneath the pipeline, which is driven by the pressure difference between the upstream and downstream sides of the pipe (Yang *et al.*, 2012a, 2012b). In addition, the vortexes in the vicinity of the pipeline contribute to the scour process by dragging sediments away from the seabed (Yang *et al.*, 2014). For fixed foundations (*e.g.*, single piles and tripods), the horseshoe and wake vortexes cause scour. Therefore, the main mechanism for scour between the fixed foundation and pipeline is discrepant. Thus, the adaptation of the present theoretical approach for submarine pipeline still needs to be further verified, especially in future studies.

In summary, ensuring a rigorous similarity of all parameters between the model and prototype is impossible, which results in errors due to scale effects. The contribution of the present approach lies primarily in the prediction for the maximum or equilibrium scour depth around foundations in large-scale model tests based on small-scale model tests under combined waves and currents. Through the comparison between predicted values and experimental data, the errors of the present approach can be accepted.

## **6 Conclusions**

This study presented an innovative theoretical approach to predicting the scour depth around a foundation in largescale model tests based on small-scale model tests under combined waves and currents. Then, a series of different scale model tests was conducted to obtain the scour depth around a single pile under combined waves and currents. The accuracy of the present approach was validated by comparing the predicted values with experimental data

from large-scale tests. Moreover, the accuracy of the present approach for foundations with complex shapes, *e.g.*, the tripod foundation, was further checked. Finally, the merits and disadvantages of the present approach were evaluated in the discussion section. The main conclusions can be described as follows.

1) The contribution of the present approach lies primarily in the prediction for the maximum or equilibrium scour depth around foundations in large-scale model tests based on small-scale model tests under combined waves and currents.

2) In the present approach, the hydrodynamic parameters in the model tests were designed based on Froude similitude criteria. To avoid the cohesive behavior, we scaled the sediment size based on the settling velocity similarity, *i.e.*, the suspended load similarity.

3) The fitting line of small-scale model tests slightly overestimated the experimental results of large-scale model tests. The maximum errors between the predicted values and experimental data were 4.1% and 6.7% for single pile and tripod, respectively, and the errors can be accepted. Therefore, the present approach was applied to predict the maximum or equilibrium scour depth of the large-scale model tests around single piles and tripods.

4) The accuracy of the present approach was validated only in limited large-scale tests,  $\lambda_h = 25-40$  for single piles and  $\lambda_h$ =30-40 for tripods. However, further checks for the accuracy of the present approach for sufficient largescale model tests or field tests are necessary for future studies.

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