

# Enhanced emission at 2.85 $\mu\text{m}$ of $\text{Ho}^{3+}/\text{Pr}^{3+}$ co-doped $\alpha\text{-NaYF}_4$ single crystal\*

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The  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\text{NaYF}_4$  single crystals with various  $\text{Pr}^{3+}$  concentrations and constant  $\text{Ho}^{3+}$  molar percentage of  $\sim 1\%$  were grown by an improved Bridgman method. Compared with the  $\text{Ho}^{3+}$  single-doped  $\text{NaYF}_4$  crystal, an obviously enhanced emission band at 2.85  $\mu\text{m}$  is observed under 640 nm excitation. The Judd-Ofelt strength parameters ( $\Omega_2, \Omega_4$  and  $\Omega_6$ ) are calculated, the radiative transition probabilities ( $A$ ), the fluorescence branching ratios ( $\beta$ ) and the radiative lifetime ( $\tau_{\text{rad}}$ ) are obtained in the meantime. The energy transfer from  $\text{Pr}^{3+}$  to  $\text{Ho}^{3+}$  and the optimum fluorescence emission of  $\text{Ho}^{3+}$  ions around 2.85  $\mu\text{m}$  are investigated. Moreover, the maximum emission cross section of above samples at 2.85  $\mu\text{m}$  is calculated to be  $0.72 \times 10^{-20} \text{ cm}^2$  for the  $\text{NaYF}_4$  single crystal with  $\text{Ho}^{3+}$  molar percentage of 1% and  $\text{Pr}^{3+}$  molar percentage of 0.5% according to the measured absorption spectrum. All results suggest that the  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\text{NaYF}_4$  single crystal may have potential applications in mid-infrared lasers.

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In the recent years, infrared solid state laser working around 3.0  $\mu\text{m}$  have attracted much attention due to their extensive applications in telecommunications, remote sensing, military weapons, atmosphere transmission, optical parametric oscillators and broadband communication. Solid-state laser materials with rich rare earth ion-doped energy level structure has been investigated<sup>[1,2]</sup>.  $\text{Ho}^{3+}$  ion is one of the most important active ions applied to luminescence lasers because of its favorable energy level structure<sup>[3]</sup>. It has been demonstrated that infrared laser emission of  $\text{Ho}^{3+}$  ion acts in the range of 1.0—4.9  $\mu\text{m}$ <sup>[4]</sup>. The  $^5\text{I}_6 \rightarrow ^5\text{I}_7$  transition of  $\text{Ho}^{3+}$  ion can also emit a wide mid-infrared fluorescence in 2.85  $\mu\text{m}$ .

The properties of  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped crystals have been investigated<sup>[5,6]</sup>. Due to the low phonon energy ( $< 360 \text{ cm}^{-1}$ ), the wider optical transmittance and the high concentration doping trivalent rare earth ions which take the place of  $\text{Y}^{3+}$  ions, the  $\text{NaYF}_4$  single crystal is favorable to be used as solid material for the mid-infrared laser<sup>[7]</sup>. As host matrix of laser materials, the  $\text{NaYF}_4$  single crystal has excellent comprehensive properties<sup>[8]</sup>. However,  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\text{NaYF}_4$  crystal has not been successfully obtained due to the difficulties of crystal growth<sup>[9]</sup>, and the optical properties of  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-

doped  $\text{NaYF}_4$  crystal focusing on 2.85  $\mu\text{m}$  have never been reported.

In this paper,  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\text{NaYF}_4$  crystals were grown by the Bridgman method. The absorption and emission spectra were measured, the spectroscopic investigations of 2.85  $\mu\text{m}$  emission were analyzed, and the optical gain properties were carried out. By analyzing these fluorescence characteristics, the mechanisms of 2.85  $\mu\text{m}$  emission in  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\text{NaYF}_4$  crystal and the energy transfer processes between  $\text{Pr}^{3+}$  and  $\text{Ho}^{3+}$  are understood.

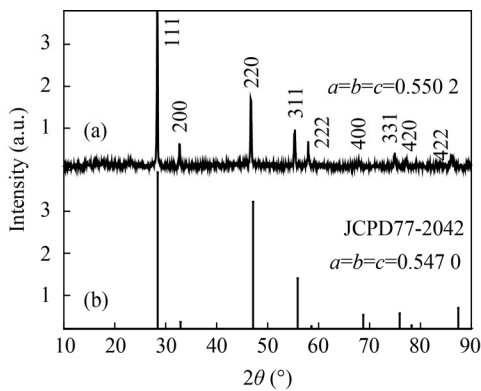
$\text{Ho}^{3+}$  singly doped and  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\text{NaYF}_4$  crystals were grown by Bridgman method using KF as flux. The molar compositions of the raw materials were  $\text{NaF}:\text{KF}:\text{YF}_3:\text{HoF}_3:\text{PrF}_3=30:18:50.5:1:x$  ( $x=0, 0.2, 0.5, 1$ ). The detailed processes for crystal growth were described in Ref.[10]. The grown crystal with about  $\Phi 10 \text{ mm} \times 77 \text{ mm}$  were cut into pieces and polished to 2.2 mm-thick for the optical property measurements. The X-ray diffraction (XRD) patterns of samples were recorded with an XD-98X diffractometer (XD-3, Beijing). The absorption spectrum was detected by a Cary 5000 UV/VIS/NIR spectrophotometer in the wavelength region from 200 nm to 2 500 nm. The emission spectra

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were tested by a Traix 320 type spectrometer (Jobin-Yvon Co., France) in the range of 1 000—1 800 nm and 1 800—3 020 nm excited by 640 nm light. All measurements were carried out at room temperature.

The XRD pattern of NaYF<sub>4</sub> single crystal doping with Ho<sup>3+</sup>/Pr<sup>3+</sup> is shown in Fig.1(a), in which the peak positions are consistent with those in JCPD 77-2042 of NaYF<sub>4</sub><sup>[11]</sup> which is also listed in Fig.1(b). The similar XRD patterns demonstrate that all the crystals have been almost crystallized into pure tetragonal phase, and neither obvious peak shift nor second phase is caused in current doping level. The calculated cell parameters from the XRD pattern for this crystal are  $a=b=c=0.550$  2 nm.

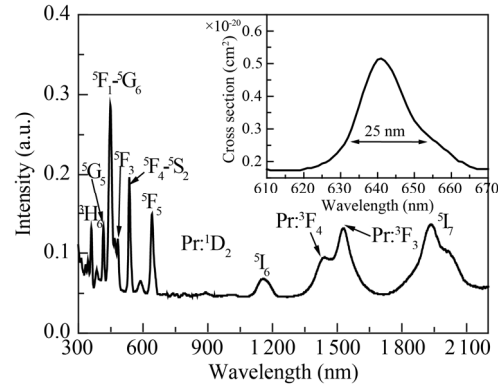


**Fig.1 (a) Powder XRD pattern of Ho<sup>3+</sup>/Pr<sup>3+</sup> co-doped LiYF<sub>4</sub> crystal; (b) The standard line pattern of NaYF<sub>4</sub> (JCPDS 77-2042)**

Fig.2 shows the absorption spectra of Ho<sup>3+</sup>/Pr<sup>3+</sup> co-doped  $\alpha$ -NaYF<sub>4</sub> crystals in the wavelength range from 300 nm to 2 200 nm. There are eight absorption bands at 360 nm, 416 nm, 449 nm, 483 nm, 536 nm, 640 nm, 1 158 nm and 1 936 nm, which are closely related to Ho<sup>3+</sup> transitions from the ground state <sup>5</sup>I<sub>8</sub> to <sup>3</sup>H<sub>6</sub>, <sup>5</sup>G<sub>5</sub>, <sup>5</sup>F<sub>1</sub>-<sup>5</sup>G<sub>6</sub>, <sup>5</sup>F<sub>3</sub>, <sup>5</sup>F<sub>4</sub>-<sup>5</sup>S<sub>2</sub>, <sup>5</sup>F<sub>5</sub>, <sup>5</sup>I<sub>6</sub> and <sup>5</sup>I<sub>7</sub> excited states, respectively. There is a strong absorption peak concentrated at 640 nm, which means that Ho<sup>3+</sup> ion can act as an excellent activator to absorb the 640 nm pump light. The inset of Fig.2 shows the ground state absorption cross section around 640 nm. The full width at half the maximum (*FWHM*) of this band is about 25 nm, and the peak value of absorption cross section is  $5.2 \times 10^{-21}$  cm<sup>2</sup>. Three new bands appear at 1 529 nm, 1 442 nm and 588 nm in the Ho<sup>3+</sup>/Pr<sup>3+</sup> co-doped NaYF<sub>4</sub> crystal besides eight absorption bands of Ho<sup>3+</sup>. They are corresponding to the transitions from the ground state <sup>3</sup>H<sub>4</sub> to <sup>3</sup>F<sub>3</sub>, <sup>3</sup>F<sub>4</sub> and <sup>1</sup>D<sub>2</sub> levels of Pr<sup>3+</sup> ion, respectively.

The Judd-Ofelt (J-O) theory is extensively employed by determining the radiative properties of rare-earth ions within a matrix based on its absorption spectrum. According to the J-O theory<sup>[12,13]</sup>, the J-O intensity parameters of  $\Omega_2$ ,  $\Omega_4$  and  $\Omega_6$  for the sample are calculated respectively from the absorption spectrum. The obtained J-O parameters of Ho<sup>3+</sup> ions in Ho<sup>3+</sup>/Pr<sup>3+</sup> co-doped NaYF<sub>4</sub> and other crystals are presented in

Tab.1. The obtained root mean square deviation  $\delta_{\text{rms}}$  is as low as  $0.62 \times 10^{-6}$ , indicating the validity and reliability of the results<sup>[14]</sup>.



**Fig.2 The absorption spectrum of Ho<sup>3+</sup>/Pr<sup>3+</sup> co-doped NaYF<sub>4</sub> crystal with Ho:Pr=1:0.5 (The inset is the ground state absorption cross section around 640 nm.)**

**Tab.1 Comparison of J-O parameters of Ho<sup>3+</sup> ions in Ho<sup>3+</sup>/Pr<sup>3+</sup> co-doped NaYF<sub>4</sub> and other crystals**

Crystals	$\Omega_2$	$\Omega_4$	$\Omega_6$
YAG:Ho <sup>3+</sup> <sup>[15]</sup>	0.04	2.67	1.89
LiLuF <sub>4</sub> : Ho <sup>3+</sup> <sup>[16]</sup>	2.24	2.31	1.44
NaYF <sub>4</sub> : Ho <sup>3+</sup> <sup>[17]</sup>	1.65	0.84	1.61
LiYF <sub>4</sub> : Ho <sup>3+</sup> /Pr <sup>3+</sup> <sup>[5]</sup>	1.52	1.96	1.05
NaYF <sub>4</sub> : Ho <sup>3+</sup> /Pr <sup>3+</sup>	1.27	2.40	1.23

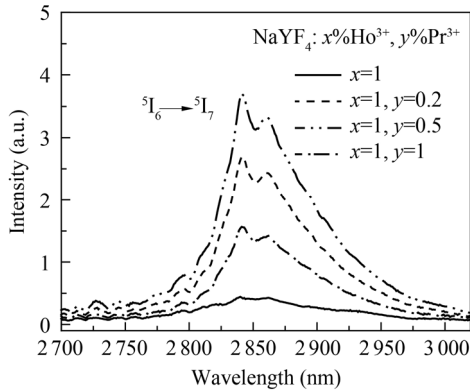
According to comparing with J-O parameters in Tab.1, it can be obtained that the value of  $\Omega_2$  in this paper is lower than those in other crystals. The value of  $\Omega_2$  is much lower, then the electrovalent bond is stronger, and the symmetry of crystal structure is much higher. This comparison confirms that the symmetry of  $\alpha$ -NaYF<sub>4</sub> crystal structure is much stronger. The value of  $\Omega_4/\Omega_6$  determines the spectroscopy quality of the host matrix. The  $\Omega_4/\Omega_6$  of Ho<sup>3+</sup>/Pr<sup>3+</sup>:NaYF<sub>4</sub> single crystal is larger than that of other crystals, indicating the promising use in laser output<sup>[18]</sup>.

The radiative rate  $A$ , the fluorescence branching ratios  $\beta$  and the radiative lifetime  $\tau_{\text{rad}}$  are calculated as shown in Tab.2.

**Tab.2 Calculated  $A$ ,  $\beta$  and  $\tau_{\text{rad}}$  of Ho<sup>3+</sup> in Ho<sup>3+</sup>/Pr<sup>3+</sup>:  $\alpha$ -NaYF<sub>4</sub> crystal**

$J$	$\rightarrow J'$	$\lambda$ (nm)	$A$ (S <sup>-1</sup> )	$\beta$	$\tau_{\text{rad}}$ (ms)
<sup>5</sup> I <sub>7</sub>	$\rightarrow$ <sup>5</sup> I <sub>8</sub>	1 954	50.98	1.00	19.615 677
<sup>5</sup> I <sub>6</sub>	$\rightarrow$ <sup>5</sup> I <sub>8</sub>	1 160	102.09	0.89	8.730 572 0
	$\rightarrow$ <sup>5</sup> I <sub>7</sub>	2 858	12.45	0.11	

The mid-infrared emission spectra of  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\alpha\text{-NaYF}_4$  crystals with different  $\text{Pr}^{3+}$  concentrations and constant  $\text{Ho}^{3+}$  molar percentage of 1% are shown in Fig.3. A broad emission band centered at 2.85  $\mu\text{m}$  owing to  $\text{Ho}^{3+}: {}^5\text{I}_6 \rightarrow {}^5\text{I}_7$  transition can be observed in Fig.3. It can be seen from Fig.3 that the fluorescence intensity around 2.85  $\mu\text{m}$  continues to rise as the doped  $\text{Pr}^{3+}$  molar percentage increases from 0% to 0.5%, however, the emission intensity drops when the doping level is further improved to 1%, because the higher doping concentration may lead to concentration quenching resulting in lower emission. In this work, the optimal mid-infrared emission intensity at  $\sim 2.85 \mu\text{m}$  is the sample with the doping concentrations of 1%  $\text{Ho}^{3+}$  and 0.5%  $\text{Pr}^{3+}$ .

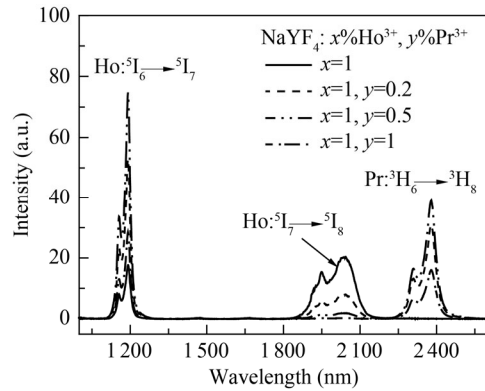


**Fig.3 The Emission spectra at 2.85  $\mu\text{m}$  of  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\text{NaYF}_4$  crystals with various doping concentrations**

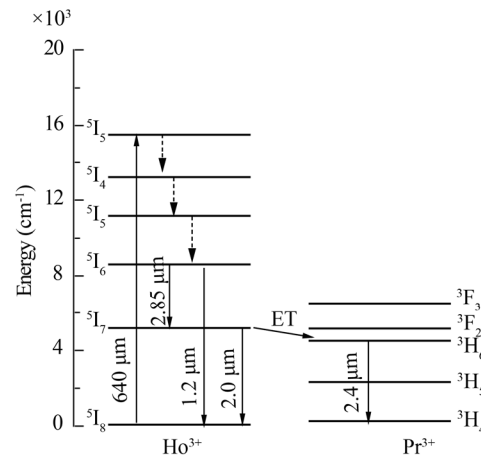
On the basis of the emission spectra of  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\alpha\text{-NaYF}_4$  crystal, we further investigate the energy transfer of  $\text{Pr}^{3+}$  and  $\text{Ho}^{3+}$  in  $\alpha\text{-NaYF}_4$  crystals. As we can see from Fig.4, the emission bands are located at 1.2  $\mu\text{m}$ , 2.0  $\mu\text{m}$  and 2.4  $\mu\text{m}$ , which corresponds to the energy transfer of  $\text{Ho}^{3+}: {}^5\text{I}_6 \rightarrow {}^5\text{I}_8$ ,  $\text{Ho}^{3+}: {}^5\text{I}_7 \rightarrow {}^5\text{I}_8$  and  $\text{Pr}^{3+}: {}^3\text{H}_6 \rightarrow {}^3\text{H}_4$ , respectively. The introduction of  $\text{Pr}^{3+}$  ions with appropriate concentration can increase the fluorescence intensity of  $\text{Pr}^{3+}$  at 2.4  $\mu\text{m}$  and make the fluorescence peak of  $\text{Ho}^{3+}$  at 2.0  $\mu\text{m}$  with a very low luminous intensity.

In order to illuminate the mid-infrared fluorescence behavior, the energy transfer mechanism between  $\text{Ho}^{3+}$  and  $\text{Pr}^{3+}$  is analyzed, and the simplified energy level diagram between them is presented in Fig.5. When the sample is excited by 640 nm wavelength laser, the  $\text{Ho}^{3+}$  ions in ground state ( ${}^5\text{I}_8$ ) is pumped to the  ${}^5\text{F}_5$  state ( ${}^5\text{I}_8 + \text{a photon} \rightarrow {}^5\text{F}_5$ ). It is obvious that ions in  ${}^5\text{I}_6$  level can relax radiatively to the ground state and 1.2  $\mu\text{m}$  emission happens ( ${}^5\text{I}_6 \rightarrow {}^5\text{I}_8 + 1.2 \mu\text{m}$ ). Besides, a significantly low emission intensity of the  ${}^5\text{I}_7$  level at 2.0  $\mu\text{m}$  is observed in the  $\text{Ho}^{3+}$  and  $\text{Pr}^{3+}$  co-doped sample, which justifies that  $\text{Pr}^{3+}$  ions can be used effectively to depopulate the  $\text{Ho}^{3+}: {}^5\text{I}_7$  level. On account of the energy levels of  ${}^3\text{F}_2$  of  $\text{Pr}^{3+}$  and  ${}^5\text{I}_7$  of  $\text{Ho}^{3+}$  match very well as shown in Fig.5,

the energy transfer ( $\text{Ho}^{3+}: {}^5\text{I}_7, \text{Pr}^{3+}: {}^3\text{H}_4$ )  $\rightarrow$  ( $\text{Ho}^{3+}: {}^5\text{I}_8, \text{Pr}^{3+}: {}^3\text{F}_2$ ) can take place. The ions in  $\text{Pr}^{3+}: {}^3\text{F}_2$  level nonradiatively decay to the lower  $\text{Pr}^{3+}: {}^3\text{H}_6$  level, then radiatively decay to the  $\text{Pr}^{3+}: {}^3\text{H}_4$  level, and emit a broadband emission around 2.4  $\mu\text{m}$  as observed in Fig.4. It further demonstrates the existence of the energy transfer process from  $\text{Ho}^{3+}$  to  $\text{Pr}^{3+}$ .



**Fig.4 The Emission spectra at 1.2  $\mu\text{m}$ , 2.0  $\mu\text{m}$  and 2.4  $\mu\text{m}$  of  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\text{NaYF}_4$  crystals with various doping concentrations**



**Fig.5 The energy level and simplified energy transfer diagram for  $\text{Ho}^{3+}/\text{Pr}^{3+}:\text{NaYF}_4$  crystal pumped by 640 nm laser**

However, 2.85  $\mu\text{m}$  fluorescence is much more efficient in  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\alpha\text{-NaYF}_4$  crystal with the introduction of  $\text{Pr}^{3+}$  ions. Part of  $\text{Ho}^{3+}$  ions in  ${}^5\text{I}_6$  level may relax to the next lower  ${}^5\text{I}_7$  level by radiative transition process, and this process generates 2.85  $\mu\text{m}$  fluorescence ( ${}^5\text{I}_6 \rightarrow {}^5\text{I}_7 + 2.85 \mu\text{m}$ ). It has been revealed that the  $\text{Pr}^{3+}$  ions can efficiently deactivate the first excited state ( ${}^5\text{I}_7$ ) of  $\text{Ho}^{3+}$ , and the optimal  $\text{Pr}^{3+}$  concentration can be found to be 0.5%, which is in agreement with that of 2.85  $\mu\text{m}$  emission. It is indicated that the  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\text{NaYF}_4$  crystal can successfully achieve the 2.85  $\mu\text{m}$  emission and may be a potential media for 2.85  $\mu\text{m}$  mid-infrared laser.

As an important spectroscopic parameter for laser

performance<sup>[19]</sup>, the absorption and emission cross sections are calculated. According to the measured absorption spectra, the absorption cross section ( $\sigma_{\text{abs}}$ ) can be determined as

$$\sigma_{\text{abs}}(\lambda) = 2.303 \log(I_0/I) / NL, \quad (1)$$

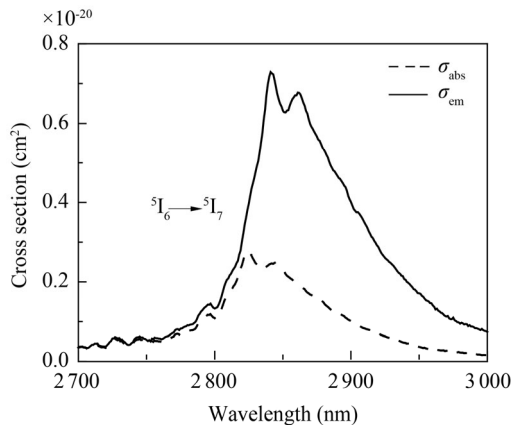
where  $L$  is the thickness of the polished crystal ( $L=2.2$  mm in this paper),  $\log(I_0/I)$  is the measured optical density taken from the measured absorption spectra, and  $N$  is the number of  $\text{Ho}^{3+}$  ions in per unit volume ( $\text{cm}^{-3}$ ).

The stimulated emission cross section ( $\sigma_{\text{em}}$ ) of  ${}^5\text{I}_6 \rightarrow {}^5\text{I}_7$  transition can be calculated by McCumber theory as

$$\sigma_{\text{em}}(\lambda) = \sigma_{\text{abs}}(\lambda) \exp[\varepsilon - hc\lambda^{-1}/kT], \quad (2)$$

where  $k$  is the Boltzmann constant,  $T$  is temperature (here is the room temperature),  $\lambda$  is the transition wavelength,  $h$  is Planck constant, and  $\varepsilon$  is the zero-line energy defined as the energy gap between  ${}^5\text{I}_6$  and  ${}^5\text{I}_7$  manifolds at the constant temperature, and here  $\varepsilon=3517$   $\text{cm}^{-1}$ <sup>[20]</sup>.

The calculated absorption and emission cross sections of the  ${}^5\text{I}_6 \rightarrow {}^5\text{I}_7$  transition by Eqs.(1) and (2) are shown in Fig.6. Obviously, the maximum emission cross section is higher than the maximum absorption cross section, and the maximum emission cross section of  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\alpha\text{-NaYF}_4$  single crystal reaches  $0.72 \times 10^{-20}$   $\text{cm}^2$  (2.85  $\mu\text{m}$ ), which is higher than that of  $0.68 \times 10^{-20}$   $\text{cm}^2$  (2.9  $\mu\text{m}$ ) in  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\text{LiYF}_4$  crystal<sup>[7]</sup>.



**Fig.6 Absorption and emission cross sections for the transition  $\text{Ho}^{3+}/\text{Pr}^{3+}: {}^5\text{I}_6 \rightarrow {}^5\text{I}_7$  in  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\alpha\text{-NaYF}_4$  crystal**

Based on the calculated absorption and emission cross sections, the optical gain coefficient  $g(\lambda)$  can be defined as

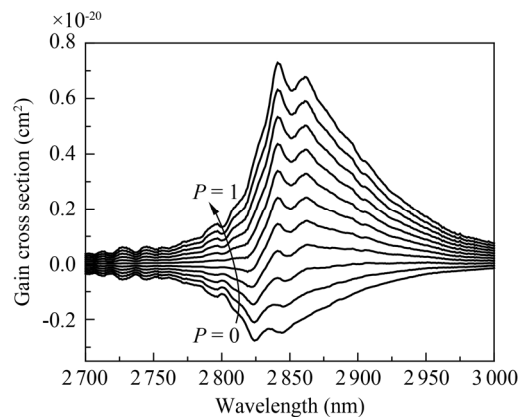
$$g(\lambda) = N_2 \sigma_{\text{em}} - N_1 \sigma_{\text{abs}}, \quad (3)$$

where  $N_2$  and  $N_1$  represent the population inversion volume-densities of the upper and lower levels, respectively. The total population inversion volume-density is  $N = N_2 + N_1$ , and therefore the gain cross section spectrum

$G(\lambda)$  is expressed as

$$G(\lambda) = P \sigma_{\text{em}} - (1-P) \sigma_{\text{abs}}. \quad (4)$$

The calculated gain cross sections for  ${}^5\text{I}_6 \rightarrow {}^5\text{I}_7$  transition of  $\text{Ho}^{3+}$  as a function of wavelength with different  $P$  values are shown in Fig.7. From Eq.(3), it can be concluded that a larger gain cross section can be obtained when the emission one is bigger. As the value of  $P$  increasing from 0 to 1 with a step of 0.1, the positive gain appears at 2.85  $\mu\text{m}$  when  $P$  is around 0.3. Evidently, it notes that the pump threshold to obtain the  $\sim 2.85$   $\mu\text{m}$  laser is likely to be lower, and it is beneficial to the infrared lasers.



**Fig.7 Gain cross section spectra of  ${}^5\text{I}_6 \rightarrow {}^5\text{I}_7$  transition in  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\alpha\text{-NaYF}_4$  crystal with  $P$  increasing from 0 to 1 with a step of 0.1**

$\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\alpha\text{-NaYF}_4$  single crystals were grown successfully by the improved Bridgman method with  $\text{KF}$  as the flux which reduces the melting point of  $\text{NaYF}_4$  and changes the phase equilibrium. The fluorescent emission at 2.85  $\mu\text{m}$ , corresponding to  ${}^5\text{I}_6 \rightarrow {}^5\text{I}_7$  transition, is observed under 640 nm excitation. The calculated maximum emission cross section at 2.85  $\mu\text{m}$  ( ${}^5\text{I}_6 \rightarrow {}^5\text{I}_7$ ) in  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\alpha\text{-NaYF}_4$  crystal is  $0.72 \times 10^{-20}$   $\text{cm}^2$ , which is larger than that of  $0.68 \times 10^{-20}$   $\text{cm}^2$  in  $\text{LiYF}_4$  crystal. All these spectral properties show that the  $\text{Ho}^{3+}/\text{Pr}^{3+}$  co-doped  $\alpha\text{-NaYF}_4$  crystal may be a potential single crystal for 2.85  $\mu\text{m}$  mid-infrared laser application.

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