## Modulation instability in negative refractive materials with saturable nonlinearity\*

## ZHONG Xian-qiong(钟先琼)\*\*

College of Optoelectronic Technology, Chengdu University of Information Technology, Chengdu 610225, China

(Received 19 September 2011)

© Tianjin University of Technology and Springer-Verlag Berlin Heidelberg 2012

Starting directly from the nonlinear propagation equation including saturable nonlinearity, the first- and the second-order nonlinear dispersions, the dispersion relation, instable condition, gain spectra, and the dimensionless cut-off frequency and gain spectra of modulation instability (MI) in the negative refractive material are deduced by adopting the linear stability analysis and Drude electromagnetic model. And the variations of the dimensionless gain spectra with the normalized angular frequency and normalized incident power are calculated and discussed for different sign relations between the linear dispersion and the third-order nonlinear coefficients. The results show that in the negative refractive index region, MI can occur irrespective of the sign relation between the linear dispersion and the third-order nonlinear sangular frequencies and different sign relations, the variations of the dimensionless gain spectra with incident power take on several different forms. Namely, the peak gain and the cut-off frequency of MI may increase then decrease with the increase of the incident power, or decrease monotonously. Moreover, MI may even have a threshold incident power for some cases.

**Document code:** A **Article ID:** 1673-1905(2012)02-0157-4 **DOI** 10.1007/s11801-012-1128-x

Modulation instability (MI) is an important content of nonlinear physics, and can be observed in many fields, such as plasmas, fluid mechanics, Bose-Einstein condensation and optics, etc. So far, MI has been extensively investigated theoretically, experimentally and numerically in conventional optical materials. The involved effects have developed from the low-order nonlinear and dispersion effects<sup>[1]</sup> to high-order dispersion<sup>[2,3]</sup> and various high-order nonlinear effects, such as saturable nonlinearity<sup>[2,4]</sup>, quintic nonlinearity<sup>[3,5]</sup> and self-steepening effect, etc. And the optical materials also have developed from the conventional fiber to fiber grating<sup>[6]</sup>, fiber lasers<sup>[7]</sup>, and so on.

The occurrence of MI in new circumstances means new methods to generate and control optical solitons. Thus, the studies on MI have been extended to artificial synthetic materials, such as photonic crystals and negative-refractive materials in recent years. At present, the researches on negative refractive materials have developed from linear to non-linear characteristics, including the propagation properties of ultra-short optical pulses<sup>[8-10]</sup>, harmonic generation, MI<sup>[11-14]</sup>, etc. For example, Kourakis et al<sup>[11]</sup> investigated the nonlin-

ear instability in negative refractive materials, and obtained the modulation stability picture of the coupled plane wave solution. Wen et al<sup>[12-14]</sup> investigated effects on MI of selfsteepening effect, second-order nonlinear dispersion and fake quintic nonlinearity. Afterwards, Kourakis et al<sup>[15]</sup> investigated the MI in saturable nonlinear negative refractive materials. Recently, starting from the normalized propagation equation, Wen et al deduced the expressions for the dispersion relation, gain spectra and the peak gain of MI in negative refractive materials and calculated the effects of the saturable nonlinearity, the first-and the second-order nonlinear dispersions on MI<sup>[16]</sup>. In this paper, starting directly from the nonlinear propagation equation including saturable nonlinearity, the first- and the second-order nonlinear dispersions, dispersion relation, instable condition, gain spectra, and the dimensionless cut-off frequency and gain spectra of MI in the negative refractive material are all deduced by adopting the linear stability analysis and Drude electromagnetic model. Moreover, regarding the characteristic that the first- and the second-order nonlinear dispersion coefficients are related to the normalized angular frequency, the varia-

<sup>\*</sup> This work has been supported by the Key Project of Chinese Ministry of Education (No. 210186), and the Scientific Research Foundation of CUIT (No.2010d1).

<sup>\*\*</sup> E-mail: zxqlxh@yeah.net

tions of the dimensionless gain spectra with the normalized angular frequency and incident optical power are calculated and discussed in detail for different sign relations between the linear dispersion and the third-order nonlinear coefficients.

Taking the saturable nonlinearity, the first- and the second-order nonlinear dispersion effects into account, the nonlinear propagation equation of the optical pulse in the negative refractive material can be expressed as<sup>[16]</sup>

$$\frac{\partial A}{\partial z} + \frac{i}{2} \beta_2 \frac{\partial^2 A}{\partial T^2} = i \gamma_0 \left\{ \frac{|A|^2}{1 + \Gamma |A|^2} A + i S_1 \frac{\partial}{\partial T} \left[ \frac{|A|^2}{1 + \Gamma |A|^2} A \right] - S_2 \frac{\partial^2}{\partial T^2} \left[ \frac{|A|^2}{1 + \Gamma |A|^2} A \right] \right\}, \quad (1)$$

where  $\beta_2$ ,  $\gamma_0$ ,  $S_1$ ,  $S_2$ ,  $\Gamma = 1/P_{sat}$ ,  $P_{sat}$ , A, T and z are the secondorder group velocity dispersion coefficient, the third-order nonlinear coefficient, the first-order nonlinear dispersion coefficient, the second-order nonlinear dispersion coefficient, the saturable parameter, the saturable optical power, the slow varying amplitude of the optical field, the time in pulse coordinate and the propagation distance, respectively. The following lossless Drude electromagnetic model<sup>[17]</sup> is adopted

$$\varepsilon(\omega) = \varepsilon_0 (1 - \omega_{pe}^2 / \omega^2), \mu(\omega) = \mu_0 (1 - \omega_{pm}^2 / \omega^2) , \qquad (2)$$

where  $\varepsilon_0$  and  $\mu_0$  are the vacuum permittivity and magnetic permeability, and  $\omega_{pe}$  and  $\omega_{pm}$  are the electric and magnetic plasmas frequencies, respectively. According to Eq.(2) and the definitions of the equation coefficients in Eq.(1), the refractive index  $n(\omega_0)$  at the carrier frequency  $\omega_0$  and the other dimensionless equation coefficients can be deduced as follows

$$n(\omega_{0}) = \sqrt{1 - \omega_{pe}^{2} / \omega_{0}^{2}} \sqrt{1 - \omega_{pm}^{2} / \omega_{0}^{2}} , \qquad (3)$$

$$\beta_{2}' = \beta_{2} c / T_{0} = \frac{s}{n} \frac{\omega_{pe}}{\omega_{0}} \times \left[ \left( 1 + 3\omega_{pe}^{2} \omega_{pe}^{2} / \omega_{0}^{4} \right) - \frac{1}{2} \left( 1 - \omega_{pe}^{2} \omega_{pe}^{2} / \omega_{0}^{4} \right)^{2} \right], \qquad (4)$$

$$\gamma_{0}' = \gamma_{0} c T_{0} / \chi^{(3)} = \frac{1}{2ns} \frac{\omega_{0}}{\omega_{pe}} \left( 1 - \omega_{pm}^{2} / \omega_{0}^{2} \right), \qquad (5)$$

$$s_{1} = \frac{S_{1}}{T_{0}} = s \frac{\omega_{pe}}{\omega_{0}} \left( \frac{\omega_{pm}^{2} \omega_{pe}^{2} - \omega_{0}^{4}}{n^{2} \omega_{0}^{4}} - \frac{2\omega_{0}^{2}}{\omega_{pm}^{2} - \omega_{0}^{2}} \right),$$
(6)

$$s_{2} = \frac{S_{2}}{T_{0}^{2}} = s^{2} \frac{\omega_{pe}^{2}}{\omega_{0}^{2}} \times \left[ \frac{\omega_{0}^{2}}{\omega_{0}^{2} - \omega_{pm}^{2}} - \frac{1}{4n^{2}} \left( 1 + \frac{3\omega_{pm}^{2}\omega_{pe}^{2}}{\omega_{0}^{4}} \right) + \frac{1}{4n^{4}} \left( 1 - \frac{\omega_{pm}^{2}\omega_{pe}^{2}}{\omega_{0}^{4}} \right)^{2} \right], \quad (7)$$

where  $T_0$  is the pulse width,  $s=1/(\omega_{pe}T_0)$  is the dimensionless parameter, c is the light speed in vacuum, and  $\chi^{(3)}$  is the thirdorder electric susceptibility.  $\chi^{(3)} > 0$  and  $\chi^{(3)} < 0$  stand for the self-focusing and self-defocusing nonlinearity, respectively. The variations of coefficients above with the normalized dimensionless angular frequency  $\omega_0/\omega_{\rm pe}$  are shown in Fig.1. The parameters are set as s=0.25 and  $\omega_{\rm pm}/\omega_{\rm pe}$ =0.8 during calculations. It can be seen from Fig.1 that the frequency region of  $0 < \omega_0 / \omega_{ne} < 0.8$  should be the negative refractive region. In this region, the parameters  $\beta_2$ ',  $s_1$  and  $s_2$  can take positive, 0 or negative value, while the frequency region of  $\omega_0$  $\omega_{\rm ne}$  >1 should be the positive refractive region, and the frequency region of  $0.8 < \omega_0 / \omega_{\rm ne} < 1$  should be the forbidden band region. In addition, the dimensionless parameters are related to the normalized angular frequency  $\omega_0/\omega_{pe}$ , and can not vary independently.





Perturbing the stable solution of Eq.(1) by inserting the perturbation a(z, T) with the angular frequency  $\Omega$  and the wave vector **K**, and adopting the standard linear stability analysis, the following linearized nonlinear propagation equation is obtained

$$\frac{\partial a}{\partial z} = i e_1 \left( a + a^* \right) - e_2 \frac{\partial a}{\partial T} - e_3 \frac{\partial a^*}{\partial T} - i e_4 \frac{\partial^2 a}{\partial T^2} - i e_5 \frac{\partial^2 a^*}{\partial T^2} , \quad (8)$$

ZHONG et al.

where the parameters  $e_j (j = 1, 2, 3, 4, 5)$  are defined as  $e_1 = \gamma_0 P_0 / x^2$ ,  $e_2 = \gamma_0 S_1 P_0 (1/x + 1/x^2)$ ,  $e_3 = \gamma_0 S_1 P_0 / x^2$ ,  $e_4 = 0.5 \beta_2 + \gamma_0 S_2 P_0 (1/x + 1/x^2)$ , and  $e_5 = \gamma_0 S_2 P_0 / x^2$ , where  $x=1+\Gamma P_0$ , and  $P_0$  is the incident power. According to the processions and steps of many references, the following dispersion relation of MI is deduced as

$$K = e_2 \,\Omega \pm \mathrm{i} \,\Omega \sqrt{\left[2e_1(e_5 - e_4) - e_3^2\right] - \left(e_4^2 - e_5^2\right)\Omega^2} \quad (9)$$

When the inner part of the squared root takes the positive value, *K* is complex, and then the MI occurs. And the corresponding instable condition, the cut-off frequency, and the power gain should be of the following forms

$$\left[2e_{1}\left(e_{5}-e_{4}\right)-e_{3}^{2}\right]-\left(e_{4}^{2}-e_{5}^{2}\right)\Omega^{2}>0, \qquad (10)$$

$$\Omega_{c}^{2} = \left| \left[ 2 e_{1} \left( e_{5} - e_{4} \right) - e_{3}^{2} \right] / \left( e_{4}^{2} - e_{5}^{2} \right) \right| \quad , \tag{11}$$

$$g(\Omega) = 2 \operatorname{Im}(K) = 2 |\Omega| \times \sqrt{[2 e_1(e_5 - e_4) - e_3^2] - (e_4^2 - e_5^2)\Omega^2} \quad . \tag{12}$$

For convenient calculation, the perturbation angular frequency, the cut-off angular frequency and the power gain can be transformed into the dimensionless forms as

$$\Omega_{c}^{2}T_{0}^{2} = B/C, \ g L_{D} = |\Omega T_{0}| \sqrt{B - C\Omega^{2}T_{0}^{2}},$$
(13)

where  $L_{\rm D} = T_0^2 / |\beta_2|$  is the dispersion length, and the parameters *B* and *C* are defined as

$$B = -\frac{4s_1^2 N^2}{\left(1 + \Gamma P_0\right)^4} - \frac{8s_2 N^2}{\left(1 + \Gamma P_0\right)^3} - \frac{4N \operatorname{sgn}(\gamma_0 \beta_2)}{\left(1 + \Gamma P_0\right)^2} , \qquad (14)$$

$$C = \frac{8s_{2}^{2}N^{2}}{(1+\Gamma P_{0})^{3}} + \frac{4s_{2}^{2}N^{2}}{(1+\Gamma P_{0})^{2}} + \frac{4s_{2}N\operatorname{sgn}(\gamma_{0}\beta_{2})}{(1+\Gamma P_{0})^{2}} + \frac{4s_{2}N\operatorname{sgn}(\gamma_{0}\beta_{2})}{1+\Gamma P_{0}} + 1, \quad (15)$$

where  $N = L_D / L_{NL}$  is the soliton order,  $L_{NL} = 1 / |\gamma_0 P_0|$  is the nonlinear length, and sgn stands for the signal function.

As analyzed above, the parameters  $s_1$  and  $s_2$  are related to the normalized angular frequency  $\omega_0/\omega_{pe}$ , and can not vary independently. Thus, the variations of dimensionless gain spectra with the normalized angular frequency and the incident optical power are calculated and discussed in detail for different dispersion regions as shown in Figs.2 and 3. The common parameters are set as s = 0.25,  $\omega_{pm}/\omega_{pe} = 0.8$ , and N=1.



Fig.2 Variations of dimensionless gain spectra with the normalized incident power  $\Gamma P_0$  for different  $\omega_0 / \omega_{pe}$  in the negative refractive index region when sgn( $\gamma_0 \beta_2$ ) < 0

It can be seen from Fig.2 that in the negative refractive region and sgn( $\gamma_0 \beta_2$ ) < 0, the peak gain and the width of the gain spectrum increase then decrease with the increase of  $\Gamma P_0$  for small  $\omega_0 / \omega_{\rm ne}$ . And the peak gain and the width of the gain spectrum increase with  $\omega_0 / \omega_{\rm pe}$  increasing. If the value of  $\omega_0/\omega_{\rm pe}$  continues to increase, the peak gain and the width of the gain spectrum decrease with the increase of  $\Gamma P_{0}$ monotonously. And the peak gain and the width of the gain spectrum decrease with  $\omega_0/\omega_{\rm pe}$  increasing. When the value of  $\omega_0/\omega_{\rm pe}$  continues to increase and approaches the forbidden band region, the peak gain and the width of the gain spectrum increase then decrease with the increase of  $\Gamma P_0$  again. When  $\omega_0$  $/\omega_{\rm re}$  increases to a certain large value, MI takes on the threshold behavior. That is to say, MI can only occur when the value of  $\Gamma P_0$  is larger than a certain critical value. Moreover, the closer to the forbidden band region the value of  $\omega_0 / \omega_{pe}$  is, the larger the critical value is. In addition, MI can occur irrespective of the sign relation between the parameters  $s_1$  and  $s_2$ .

According to Fig.3, it can be seen that in the negative refractive region and sgn ( $\gamma_0 \beta_2$ ) > 0, the peak and the width of the gain spectrum increase then decrease with the increase of  $\Gamma P_0$ . But they decrease with the increase of  $\omega_0 / \omega_{pe}$ . When the value of  $\omega_0 / \omega_{pe}$  increases to a certain large value, MI also takes on threshold behavior. And the larger the value of  $\omega_0 / \omega_{pe}$ , the larger the critical value of  $\Gamma P_0$ . Furthermore, MI can only occur within a small region of  $\Gamma P_0$  for large  $\omega_0 / \omega_{pe}$ . MI disappears for a certain larger value of  $\omega_0 / \omega_{pe}$ . In comparison of Fig.2, MI here can only occur when  $\omega_0 / \omega_{pe}$  is small.





Fig.3 Variations of dimensionless gain spectra with the normalized incident power  $\Gamma P_0$  for different  $\omega_0 / \omega_{pe}$  in the negative refractive index region when sgn( $\gamma_0 \beta_2$ ) > 0

In summary, MI is analyzed and calculated in the negative refractive materials with saturable nonlinearity, the firstand the second-order nonlinear dispersion effects in this paper. The results show that in the negative refractive index region, MI can occur irrespective of the sign relation between the linear dispersion and the third-order nonlinear coefficients. And depending on different dimensionless angular frequencies and different sign relations, the variations of the dimensionless gain spectra with incident power take on several different forms. Namely, the peak gain and the cut-off frequency of MI may increase then decrease with the increase of the incident power, or decrease monotonously. Moreover, MI may even have a threshold incident power for some cases. Furthermore, depending on different normalized angular frequencies and different sign relations between the linear dispersion and the third-order nonlinear coefficient, the threshold incident power is also different. In comparison, when the linear dispersion and the third-order nonlinear coefficient are

common in sign, MI can only occur when the normalized angular frequency is small.

## References

- Agrawal G P, Baldeck P L and Alfano R R, Phys. Rev. A 39, 3406 (1989).
- [2] Tchofo Dinda P and Porsezian K, J. Opt. Soc. Am. B 27, 1143 (2010).
- [3] Zhong Xian-qiong, Xiang An-ping, Cai Qing and Luo Li, Chin. J. Laser 33, 1200 (2006). (in Chinese)
- [4] Zhong Xianqiong and Xiang Anping, Opt. Fiber Technol. 13, 271 (2007).
- [5] Ndzana F II, Mohamadou A and Kofané T C, Opt. Commun. 275, 421 (2007).
- [6] Shi P M, Yu S, Liu T, Sheng Jing and Gu Wanyi, Opt. Lett. 34, 1339 (2009).
- [7] Gong Y D, Shum P, Tang D Y, Lu C and Guo X, Optics Express 11, 2480 (2003).
- [8] Scalora M, Syrchin M S, Akozbek N, Poliakov Evgeni Y, Aguanno Giuseppe D, Mattiucci Nadia, Bloemer Mark J and Zheltikov Aleksei M, Phys. Rev. Lett. 95, 013902-1 (2005).
- [9] Cui Weina, Zhu Yongyuan, Li Hongxiao and Liu Sumei, Phys. Lett. A 374, 380 (2009).
- [10] TIAN Zhen, LIU Shan-liang and ZHENG Hong-jun, Journal of Optoelectronics • Laser 21, 537 (2010). (in Chinese)
- [11] I. Kourakis and P. K. Shukla, Phys. Rev. E 72, 016626-1 (2005).
- [12] Wen Shuangchun, Wang Youwen, Su Wenhua, Xiang Yuanjiang, Fu Xiquan and Fan Dianyuan, Phys. Rev. E 73, 036617-1 (2006).
- [13] LI Xiao-li, ZHANG Lian-shui, ZHANG Wei, YANG Li-jun and LI Xiao-wei, Journal of Optoelectronics • Laser 21, 149 (2010). (in Chinese)
- [14] W. Zhou, W. H. Su, X. Cheng, Xiang Y J, Dai X Y and Wen S C, Opt. Commun. 282, 1440 (2009).
- [15] Maluckov A, Hadzievski Lj, Lazarides N and Tsironis G P, Phys. Rev. E 77, 046607-1 (2008).
- [16] Xiang Yuanjiang, Dai Xiaoyu, Wen Shuangchun and Fan Dianyuan, J. Opt. Soc. Am. B 28, 908 (2011).
- [17] Pendry J B, Phys. Rev. Lett. 85, 3966 (2000).