



# Signed and sign-changing solutions of Kirchhoff type problems

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**Abstract.** We consider the following nonlinear Kirchhoff type problem of the form

$$\begin{cases} -(a + b \int_{\Omega} |\nabla u|^2) \Delta u = \mu g(x, u) + f(x, u), & \text{in } \Omega, \\ u = 0, & \text{on } \partial\Omega, \end{cases}$$

where  $\Omega \subset \mathbb{R}^3$  is a bounded domain with smooth boundary  $\partial\Omega$  and  $a > 0$ ,  $b \geq 0$ . The nonlinearity  $\mu g(x, u) + f(x, u)$  may involve a combination of concave and convex terms. Under some suitable conditions on  $f, g \in C(\bar{\Omega} \times \mathbb{R}, \mathbb{R})$  and  $\mu \in \mathbb{R}$ , we prove the existence of infinitely many high-energy solutions using Fountain theorem. In particular, using the method of invariant sets of descending flow, we prove the existence of at least one sign-changing solutions.

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**Keywords.** Concave and convex terms, Invariant sets, Sign-changing solutions.

## 1. Introduction and main results

In this paper, we investigate the existence of high-energy solutions and sign-changing solutions to the following Kirchhoff type elliptic equation

$$\begin{cases} -(a + b \int_{\Omega} |\nabla u|^2) \Delta u = \mu g(x, u) + f(x, u), & \text{in } \Omega, \\ u = 0, & \text{on } \partial\Omega, \end{cases} \quad (1.1)$$

where  $\Omega \subset \mathbb{R}^3$  is a bounded domain with smooth boundary  $\partial\Omega$  and  $a > 0$ ,  $b \geq 0$ . The nonlinearity  $\mu g(x, u) + f(x, u)$  may involve a combination of concave and convex terms. When  $a \equiv 1$ , and  $b \equiv \mu \equiv 0$ , the problem (1.1) turns out to be the following elliptic equation:

$$\begin{cases} -\Delta u = f(x, u), & \text{in } \Omega, \\ u = 0, & \text{on } \partial\Omega. \end{cases} \quad (1.2)$$

Note that Kirchhoff type problem on a smooth-bounded domain  $\Omega \subset \mathbb{R}^3$  takes the form

$$\begin{cases} -(a + b \int_{\Omega} |\nabla u|^2) \Delta u = f(x, u), & \text{in } \Omega, \\ u = 0, & \text{on } \partial\Omega, \end{cases} \tag{1.3}$$

and has been studied extensively. Indeed, such a class of problems is viewed as being nonlocal because of the presence of the term  $(\int_{\Omega} |\nabla u|^2) \Delta u$ , which implies that the equation in (1.3) is no longer a pointwise identity and is very different from classical elliptic equations. That is to say, such a phenomenon provokes some mathematical difficulties, which makes the study of such a class of problems particularly interesting. On the other hand, problem (1.3) has its physical motivation. Moreover, the equation of (1.3) related to the following stationary analogue equation:

$$\begin{cases} u_{tt} - (a + b \int_{\Omega} |\nabla u|^2) \Delta u = f(x, u), & \text{in } \Omega, \\ u = 0, & \text{on } \partial\Omega, \end{cases} \tag{1.4}$$

where  $u$  denotes the displacement,  $f(x, u)$  the external force and  $b$  the initial tension while  $a$  is related to the intrinsic properties of the string (such as Young’s modulus). Equation of type (1.4) was first proposed by Kirchhoff [15] and began to attract researchers’ attention mainly after the work of Lions [16]. Some interesting results can be found, for example, in [1, 5, 7, 9, 10, 14, 21, 22, 24–27]. Similar nonlocal problems also appear in several fields as biological systems (for example, population density, see [2, 8]).

In the last two decades, there are a large number of papers devoted to problems like (1.3) on the existence of positive solutions, radial and non-radial solutions, ground states, and sign-changing (see, e.g., [2–23]). Recently, in almost all the results concerning equation (1.3), the nonlinear term  $f$  is assumed to be superlinear or sublinear, and little has been done for the combination of concave and convex terms. It is the first purpose of our paper to investigate the Kirchhoff type problems with concave and convex nonlinearities.

Another topic which has increasingly received interest in recent years is the existence of sign-changing solutions of problems like (1.3). Mao and Zhang [23] considered the existence of sign-changing and multiple solutions of problems like (1.3) without the  $(PS)$  condition. Deng et al. [11] studied the following Kirchhoff problem:

$$-(a + b \int_{\mathbb{R}^3} |\nabla u|^2) \Delta u + V(|x|)u = f(|x|, u), \quad u \in H^1(\mathbb{R}^3) \tag{1.5}$$

and they proved that, for any positive integer  $k$ , the problem has a sign-changing solution  $u_k^b$ . In [13], Figueiredo et al. studied the existence of a nodal solution with minimal energy of (1.3). Using constrained minimization on the sign-changing Nehari manifold, Ye in [31] proved the following nonlinear Kirchhoff equation:

$$-(a + b \int_{\mathbb{R}^N} |\nabla u|^2) \Delta u + V(x)u = f(u), \quad u \in H^1(\mathbb{R}^N) \tag{1.6}$$

has a least energy nodal solution. In [28] W. S studied the existence of least energy sign-changing solutions for a class of Kirchhoff-type problem in bounded domains using quantitative deformation lemma and degree theory.

Motivated by and different from above-mentioned papers, we expect to use the method of invariant sets of descending flow to prove the existence of sign-changing solutions. Mao and Luan [24] obtained existence of signed and sign-changing solutions with asymptotically three-linear-bounded nonlinearity via variational methods and invariant sets of descent flow. Batkam [4] established a new sign-changing version of the symmetric Mountain Pass theorem and then applied it to prove the existence of a sequence of sign-changing solutions to (1.3) with higher energy. Note that the nonlinear term  $f$  in [4] is superlinear. Different from the works in the literature, the second aim of our paper is to study the problem (1.1) with more general nonlinearity involving concave and convex nonlinearities.

Before stating our main results, we introduce some conditions for  $f, g \in C(\bar{\Omega} \times \mathbb{R}, \mathbb{R})$ .

( $g_1$ ) There exist constants  $1 < q_1 < q_2 < \dots < q_m < 2$  and functions  $h_i(x) \in L^{\frac{2}{2-q_i}}(\bar{\Omega}, \mathbb{R}^+)$  ( $i = 1, \dots, m$ ) such that

$$|g(x, u)| \leq \sum_{i=1}^m h_i(x)|u|^{q_i-1}, \quad \forall x \in \Omega, \quad u \in \mathbb{R}.$$

( $g_2$ ) There exists  $\nu \in (1, 2)$  such that

$$0 < ug(x, u) \leq \nu G(x, u), \quad \forall x \in \Omega, \quad u \in \mathbb{R},$$

where  $G(x, u) = \int_0^u g(x, t)dt$ .

( $f_1$ ) There exist  $C_1 > 0$  and  $2 < p < 6$  such that

$$|f(x, u)| \leq C_1(1 + |u|^{p-1}), \quad \forall x \in \Omega, \quad u \in \mathbb{R}.$$

( $f_2$ )  $\lim_{|u| \rightarrow 0} \frac{f(x, u)}{u} = 0$  uniformly in  $\Omega$ .

( $f_3$ ) There exists  $\theta > 4$  such that

$$0 < \theta F(x, u) \leq u f(x, u), \quad \forall x \in \Omega, \quad u \in \mathbb{R},$$

where  $F(x, u) = \int_0^u f(x, t)dt$ .

( $f_4$ ) There exists  $R > 0$  such that

$$\inf_{x \in \Omega, |u| \geq R} F(x, u) := \beta > 0.$$

Our main results read as follows.

**Theorem 1.1.** *Let  $a > 0, b \geq 0$ . Assume ( $g_1$ ), ( $g_2$ ) and ( $f_1$ )–( $f_4$ ) hold. Then there exists  $\tilde{\mu} > 0$  such that for  $\mu \in [-\tilde{\mu}, \tilde{\mu}]$ , problem (1.1) has at least one nontrivial solutions.*

**Theorem 1.2.** *Let  $a > 0, b \geq 0$ . Assume ( $g_1$ ), ( $g_2$ ) and ( $f_1$ )–( $f_4$ ) hold and  $f, g$  is odd in  $u$ . Then there exists  $\tilde{\mu} > 0$  such that for  $\mu \in [-\tilde{\mu}, \tilde{\mu}]$ , problem (1.1) has a sequence of solutions  $(u_k)$  with  $I_\mu(u_k) \rightarrow +\infty$  as  $k \rightarrow \infty$ .*

*Remark 1.3.* In general, one uses the Mountain Pass theorem to get the  $(PS)_c$  sequences. However, it is difficult to obtain a  $(PS)_c$  sequence by this method in the case of sublinear. Moreover, it is hard in the situation of concave and convex nonlinearities. Thus, we adopt a new technique to prove the existence of a Mountain Pass geometry in the case of concave and convex nonlinearities.

The combined effect of concave and convex nonlinearities was first investigated by Ambrosetti et al. [3] on the following elliptic equation:

$$\begin{cases} -\Delta u = \mu|u|^{q-2}u + \lambda|u|^{p-2}u \\ u \in H_0^1(\Omega) \end{cases} \tag{1.4}$$

with  $\Omega \subset \mathbb{R}^N$  a bounded domain,  $1 < q < 2 < p < 2^*$ ,  $2^* = \frac{2N}{N-2}$ . They proved the existence of infinitely many solutions with negative energy for  $0 < \mu \ll \lambda = 1$ . Bartsch and Willem extended the results in [29], they proved the existence of infinitely many solutions with high energy for  $\lambda > 0, \mu \in \mathbb{R}$  and negative energy for  $\mu > 0, \lambda \in \mathbb{R}$  using Fountain theorem and Dual Fountain theorem, respectively. Li et al. also considered an elliptic equation with concave and convex nonlinearities in [19]. In [12], Ding and Liu studied multiplicity of periodic solutions of a Dirac equation with concave and convex nonlinearities.

**Theorem 1.4.** *Let  $a > 0, b \geq 0$ . Assume  $(g_1), (g_2)$  and  $(f_1)-(f_4)$  hold. Then there exists  $\mu_0 > 0$  such that for  $\mu \in (0, \mu_0]$ , problem (1.1) has at least one sign-changing solutions.*

*Remark 1.5.* The class of nonlinearities  $\mu g(x, u) + f(x, u)$  satisfying the assumptions of Theorem 1.4 includes the nonlinearity  $\mu|u|^{q-2}u + |u|^{p-2}u$  with  $1 < q < 2, 4 < p < 6$ . Even in this special case, Theorem 1.4 seems to be the first attempt in finding sign-changing solutions to (1.1).

The method of invariant sets of descending flow plays an important role in the study of sign-changing solutions of elliptic problems. We refer to [17, 23, 32] and the references therein. Since the pseudo-gradient flow must be constructed in such a way that keeps the positive and negative cones invariant. However, this invariance property makes the construction of the flow very complicated because of the nonlocal term  $(\int_{\Omega} |\nabla u|^2) \Delta u$ . Thus, to construct the pseudo-gradient flows using an auxiliary operator is more important.

The idea of the proofs of Theorems 1.4 is to use suitable minimax arguments in the presence of invariant sets of a descending flow for the variational formulation. In particular, we make use of an abstract critical point theory developed by Wang et al. [17] on the nonlinear Schrödinger systems and by Liu et al. [18] on the Schrödinger–Poisson system

$$\begin{cases} -\Delta u + V(x)u + \phi u = f(u), & \text{in } \mathbb{R}^3, \\ -\Delta \phi = u^2, & \text{in } \mathbb{R}^3, \end{cases} \tag{1.7}$$

where the pseudo-gradient flows were constructed using an auxiliary operator.

This paper is organized as follows. In Sect. 2, we state the variational framework of our problem and some preliminary setting. Section 3 is devoted

to the proof of Theorems 1.1 and 1.2. Finally, the proof of Theorem 1.4 is presented in Sect. 4.

## 2. Preliminaries and functional setting

Throughout this paper we denote by  $\rightarrow$  (resp.  $\rightharpoonup$ ) the strong (resp. weak) convergence. We use  $C_i$  to denote various positive constants which may vary from lines to lines and are not essential to the problem.

We make use of the following notations, let  $H := H_0^1(\Omega)$  with the norm and the inner product

$$\|u\| := \left( \int_{\Omega} |\nabla u|^2 \right)^{\frac{1}{2}}, \quad (u, v) = \int_{\Omega} (\nabla u \nabla v).$$

As usual, for  $1 \leq p < +\infty$ ,  $|u|_p := \left( \int_{\Omega} |u|^p \right)^{\frac{1}{p}}$ ,  $u \in L^p(\Omega)$ .

**Lemma 2.1.** [29, Theorem 1.9]. *If  $|\Omega| < \infty$ , the following embeddings are compact:*

$$H_0^1(\Omega) \hookrightarrow L^p(\Omega), \quad 1 \leq p < 2^*,$$

and so there exists  $\eta_s$  such that

$$|u|_s \leq \eta_s \|u\|, \quad \forall u \in H_0^1(\Omega). \tag{2.1}$$

Next we define the energy functional  $I_{\mu}$  on  $H$  by

$$I_{\mu}(u) = \frac{a}{2} \int_{\Omega} |\nabla u|^2 + \frac{b}{4} \left( \int_{\Omega} |\nabla u|^2 \right)^2 - \mu \int_{\Omega} G(x, u) - \int_{\Omega} F(x, u) \tag{2.2}$$

under the assumptions  $(g_1)$  and  $(f_1)$ ,  $I_{\mu} \in C^1(H, \mathbb{R})$  and

$$(I'_{\mu}(u), v) = \int_{\Omega} (\nabla u \nabla v) + \int_{\Omega} |\nabla u|^2 \nabla u \nabla v - \mu \int_{\Omega} g(x, u)v - \int_{\Omega} f(x, u)v. \tag{2.3}$$

It is easy to verify that  $u \in H$  is a solution of system (1.1) if and only if  $u \in H$  is a critical point of  $I_{\mu}$ .

Let  $X$  be a Banach space with the norm  $\|\cdot\|$  and  $X = \overline{\bigoplus_{i=1}^{\infty} X_i}$  with  $\dim X_i < +\infty$  for each  $i \in \mathbb{N}$ . Further, we set

$$Y_k = \bigoplus_{i=1}^k X_i, \quad Z_k = \overline{\bigoplus_{i=k}^{\infty} X_i}.$$

**Definition 2.2.** [29]. Let  $X$  be a Banach space,  $I \in C^1(X, \mathbb{R})$ , and  $c \in \mathbb{R}$ . The function  $I$  satisfies the  $(PS)_c$  condition if any sequence  $\{u_n\} \subset X$  such that

$$I(u_n) \rightarrow c, \quad I'(u_n) \rightarrow 0 \quad \text{as } n \rightarrow \infty$$

has a convergent subsequence.

**Lemma 2.3.** (Mountain Pass theorem [29]). *Let  $X$  be a Banach space,  $I \in C^1(X, \mathbb{R})$ ,  $e \in X$  and  $r > 0$  such that  $\|e\| > r$  and*

$$\inf_{\|u\|=r} I(u) > I(0) \geq I(e).$$

*If  $I$  satisfies the  $(PS)_c$  condition with*

$$c := \inf_{\gamma \in \Gamma} \max_{t \in [0,1]} I(\gamma(t)),$$

$$\Gamma := \{\gamma \in \mathcal{C}([0, 1], X) : \gamma(0) = 0, \gamma(1) = e\}.$$

*then  $c$  is a critical value of  $I$ .*

**Lemma 2.4.** [29]. *If  $1 \leq p < 2^*$  then we have that*

$$\beta_k := \sup_{u \in Z_k, \|u\|=1} |u|_p \rightarrow 0 \quad \text{as } k \rightarrow \infty.$$

To give the proof of our main result, we need the following critical point theorem.

**Lemma 2.5.** (Fountain theorem, Bartsch, 1992 [29]). *Let  $I \in C^1(X, \mathbb{R})$  satisfy  $I(-u) = I(u)$ . Assume that, for every  $k \in \mathbb{N}$ , there exists  $\rho_k > \gamma_k > 0$  such that*

$$(A_1) \quad a_k := \max_{u \in Y_k, \|u\|=\rho_k} I(u) \leq 0,$$

$$(A_2) \quad b_k := \inf_{u \in Z_k, \|u\|=\gamma_k} I(u) \rightarrow +\infty \text{ as } k \rightarrow +\infty,$$

*(A<sub>3</sub>)  $I$  satisfies the  $(PS)_c$  condition for every  $c > 0$ , then  $I$  has an unbounded sequence of critical values.*

### 3. Proof of Theorems 1.1 and 1.2

#### 3.1. Proof of Theorem 1.1

**Lemma 3.1.** *If  $(g_1)$ ,  $(f_1) - (f_4)$  hold, then there exist  $e \in H$  and  $r > 0$  such that  $\inf_{\|u\|=r} I_\mu(u) > 0$  and  $I_\mu(e) < 0$  with  $\|e\| > r$ .*

*Proof.* Since  $1 < q_1 < q_2 < \dots < q_m < 2$ ,  $(g_1)$  together with (2.1) and Hölder’s inequality imply that, for  $\|u\|$  large enough,

$$\begin{aligned} \int_{\Omega} |G(x, u)| &\leq \int_{\Omega} \sum_{i=1}^m \frac{1}{q_i} |h_i(x)| |u|^{q_i} \\ &= \sum_{i=1}^m \int_{\Omega} \frac{1}{q_i} |h_i(x)| |u|^{q_i} \\ &\leq \sum_{i=1}^m \frac{1}{q_i} |h_i(x)|_{\frac{2}{2-q_i}} |u|_2^{q_i} \\ &\leq \sum_{i=1}^m \frac{1}{q_i} |h_i(x)|_{\frac{2}{2-q_i}} \eta_2^{q_i} \|u\|^{q_i} \\ &\leq C_3 \|u\|^{q_m}. \end{aligned} \tag{3.1}$$

$(f_1)$  and  $(f_2)$  imply that for any  $\delta > 0$  there exists  $C_\delta > 0$  such that

$$|f(x, u)| \leq \delta |u| + C_\delta |u|^{p-1}, \quad \forall u \in \mathbb{R}, \quad x \in \Omega, \tag{3.2}$$

and then

$$|F(x, u)| \leq \frac{\delta}{2}|u|^2 + \frac{C_\delta}{p}|u|^p, \quad \forall u \in \mathbb{R}, \quad x \in \Omega. \tag{3.3}$$

It follows from (3.1) and (3.3) that

$$\begin{aligned} I_\mu(u) &= \frac{a}{2} \int_\Omega |\nabla u|^2 + \frac{b}{4} \left( \int_\Omega |\nabla u|^2 \right)^2 - \mu \int_\Omega G(x, u) - \int_\Omega F(x, u) \\ &= \frac{a}{2} \|u\|^2 + \frac{b}{4} \|u\|^4 - \mu \int_\Omega G(x, u) - \int_\Omega F(x, u) \\ &\geq \frac{a}{2} \|u\|^2 - |\mu| \int_\Omega G(x, u) - \int_\Omega F(x, u) \\ &\geq \frac{a}{2} \|u\|^2 - |\mu| C_3 \|u\|^{q_m} - \int_\Omega \left( \frac{\delta}{2}|u|^2 + \frac{C_\delta}{p}|u|^p \right) \\ &\geq \frac{a}{2} \|u\|^2 - |\mu| C_3 \|u\|^{q_m} - \frac{\delta}{2} \eta_2^2 \|u\|^2 - \frac{C_\delta}{p} \eta_p^p \|u\|^{p-2} \\ &= \|u\|^2 \left( \frac{a}{2} - \frac{\delta}{2} \eta_2^2 - |\mu| C_3 \|u\|^{q_m-2} - \frac{C_\delta}{p} \eta_p^p \|u\|^{p-2} \right). \end{aligned}$$

For  $\delta \leq \frac{2a-1}{2\eta_2^2}$  satisfying  $\frac{a}{2} - \frac{\delta}{2} \eta_2^2 \geq \frac{1}{4}$ , we have

$$I_\mu(u) \geq \|u\|^2 \left( \frac{1}{4} - |\mu| C_3 \|u\|^{q_m-2} - \frac{C_\delta}{p} \eta_p^p \|u\|^{p-2} \right). \tag{3.4}$$

Let

$$k(s) = |\mu| C_3 s^{q_m-2} + \frac{C_\delta}{p} \eta_p^p s^{p-2}, \quad s \geq 0, \tag{3.5}$$

and then we get  $\lim_{s \rightarrow +\infty} k(s) = \lim_{s \rightarrow 0^+} k(s) = +\infty$ , which implies that  $k(s)$  is bounded below, thus  $k(s)$  admits a minimizer  $s_0$ :

$$s_0 = \left( \frac{|\mu| C_3 p(2 - q_m)}{C_\delta \eta_p^p (p - 2)} \right)^{\frac{1}{p - q_m}}.$$

From (3.5)

$$\begin{aligned} \inf_{s \in [0, +\infty)} k(s) &= k(s_0) \\ &= |\mu| C_3 \left( \frac{|\mu| C_3 p(2 - q_m)}{C_\delta \eta_p^p (p - 2)} \right)^{\frac{q_m-2}{p-q_m}} + \frac{C_\delta}{p} \eta_p^p \left( \frac{|\mu| C_3 p(2 - q_m)}{C_\delta \eta_p^p (p - 2)} \right)^{\frac{p-2}{p-q_m}} \\ &= |\mu|^{\frac{p-2}{p-q_m}} \left[ C_3 \left( \frac{C_3 p(2 - q_m)}{C_\delta \eta_p^p (p - 2)} \right)^{\frac{q_m-2}{p-q_m}} + \frac{C_\delta \eta_p^p}{p} \left( \frac{C_3 p(2 - q_m)}{C_\delta \eta_p^p (p - 2)} \right)^{\frac{q_m-2}{p-q_m}} \right]. \end{aligned}$$

Let  $K = C_3 \left( \frac{C_3 p(2 - q_m)}{C_\delta \eta_p^p (p - 2)} \right)^{\frac{q_m-2}{p-q_m}} + \frac{C_\delta \eta_p^p}{p} \left( \frac{C_3 p(2 - q_m)}{C_\delta \eta_p^p (p - 2)} \right)^{\frac{q_m-2}{p-q_m}}$ , then  $k(s_0) = |\mu|^{\frac{p-2}{p-q_m}} K$ ,  
if

$$|\mu| \leq \left( \frac{1}{4K} \right)^{\frac{p-q_m}{p-2}} := \tilde{\mu}$$

then

$$k(s_0) < \frac{1}{4},$$

thus, there exists  $r := s_0 > 0$  such that  $\inf_{\|u\|=r} I_\mu(u) > 0 = I(0)$ .

After integrating, we obtain from  $(f_1)$ – $(f_4)$  the existence of  $C_4 > 0$  such that

$$F(x, u) \geq C_4(|u|^\theta - |u|^2), \quad \forall x \in \Omega, \quad u \in \mathbb{R}. \tag{3.6}$$

For  $t > 0$ , note that  $\theta > 4$ , we have, for some  $u_0 \in E$

$$\begin{aligned} I_\mu(tu_0) &= \frac{a}{2}t^2\|u_0\|^2 + \frac{b}{4}t^4\|u_0\|^4 - \mu \int_\Omega G(x, tu_0) - \int_\Omega F(x, tu_0) \\ &\leq \frac{a}{2}t^2\|u_0\|_E^2 + \frac{b}{4}t^4\|u_0\|^4 + |\mu|C_3t^{q_m}\|u_0\|^{q_m} - C_4t^\theta|u_0|^\theta + C_4t^2|u_0|_2^2 \\ &\rightarrow -\infty \quad (t \rightarrow +\infty), \end{aligned}$$

so, there exists  $e = t_0u_0$  such that  $\|e\| > r$  and  $I_\mu(e) < 0$ .

**Lemma 3.2.** *Let  $a > 0$ ,  $b \geq 0$  and assume  $(g_1)$  hold. Set*

$$\psi(u) := \int_\Omega G(x, u),$$

then  $\psi'$  is weakly continuous and compact.

*Proof.* The proof is similar to Lemma 2.2 in [30], so we omit it.

**Lemma 3.3.** *Assume  $(g_1)$ ,  $(g_2)$  and  $(f_1)$ – $(f_3)$  hold. Then for every  $\mu \in \mathbb{R}$ ,  $I_\mu$  satisfies  $(PS)_c$  condition for every  $c$ .*

*Proof.* Let  $\{u_n\} \subset H$  be such that  $I_\mu(u_n) \rightarrow c$  and  $I'_\mu(u_n) \rightarrow 0$  as  $n \rightarrow \infty$ . First, we verify the boundedness of  $\{u_n\}$ . For  $n$  large enough, by  $(g_2)$  and  $(f_3)$  we have

$$\begin{aligned} c + 1 + \|u_n\| &\geq I_\mu(u_n) - \frac{1}{\theta}(I'_\mu(u_n), u_n) \\ &= a \left(\frac{1}{2} - \frac{1}{\theta}\right) \|u_n\|^2 + b \left(\frac{1}{4} - \frac{1}{\theta}\right) \|u_n\|^2 - \mu \int_\Omega (G(x, u_n) \\ &\quad - \frac{1}{\theta}g(x, u_n)u_n) + \int_\Omega \frac{1}{\theta}(f(x, u_n)u_n - F(x, u_n)) \\ &\geq a \left(\frac{1}{2} - \frac{1}{\theta}\right) \|u_n\|^2 - \mu \int_{\mathbb{R}^3} (G(x, u_n) - \frac{1}{\theta}g(x, u_n)u_n) \\ &\geq a \left(\frac{1}{2} - \frac{1}{\theta}\right) \|u_n\|^2 - |\mu| \int_\Omega (G(x, u_n) - \frac{1}{\theta}g(x, u_n)u_n). \end{aligned}$$

By  $(g_1)$ , Lemma 2.1 and Hölder’s inequality we can obtain

$$\begin{aligned} \left| \int_\Omega (G(x, u_n) - \frac{1}{\theta}g(x, u_n)u_n) \right| &\leq \int_\Omega |G(x, u_n)| + \left| \frac{1}{\theta}g(x, u_n)u_n \right| \\ &\leq \sum_{i=1}^m \left(\frac{1}{q_i} + \frac{1}{\theta}\right) |h_i(x)|_{\frac{2}{2-q_i}} \eta_2^{q_i} \|u_n\|^{q_i} \tag{3.7} \end{aligned}$$



Thus,

$$c + 1 + \|u_n\| \geq a \left( \frac{1}{2} - \frac{1}{\theta} \right) \|u_n\|^2 - |\mu| \sum_{i=1}^m \left( \frac{1}{q_i} + \frac{1}{\theta} \right) |h_i(x)|_{\frac{2}{2-q_i}} \eta_2^{q_i} \|u_n\|^{q_i}$$

where  $1 < q_i < 2$ ,  $i = 1, \dots, m$ , which gives a bound for  $\{u_n\}$ .

Next, we prove that the sequence  $\{u_n\}$  has a convergent subsequence. Going if necessary to a subsequence, we can assume that  $u_n \rightharpoonup u$  in  $H$  and  $u_n \rightarrow u$  in  $L^s(\Omega)$ ,  $2 \leq s < 6$ . Theorem A.2 in [29] implies that  $f(x, u_n) \rightarrow f(x, u)$  in  $L^q(\Omega)$ ,  $q = \frac{p}{p-1}$ . Observe that

$$\begin{aligned} & (a + b\|u_n\|^2)\|u_n - u\|^2 \\ &= (I'_\mu(u_n) - I'_\mu(u), u_n - u) + b \left( \int_\Omega (|\nabla u|^2 - |\nabla u_n|^2) \int_\Omega \nabla u \nabla (u_n - u) \right) \\ & \quad + \mu \int_\Omega (g(x, u_n) - g(x, u))(u_n - u) + \int_\Omega (f(x, u_n) - f(x, u))(u_n - u). \end{aligned}$$

It is clear that

$$(I'_\mu(u_n) - I'_\mu(u), u_n - u) \rightarrow 0 \text{ as } n \rightarrow \infty. \tag{3.8}$$

Since  $\{u_n\}$  is bounded and  $u_n \rightharpoonup u$  in  $H$ ,

$$b \int_\Omega (|\nabla u|^2 - |\nabla u_n|^2) \int_\Omega \nabla u \nabla (u_n - u) \rightarrow 0 \text{ as } n \rightarrow \infty. \tag{3.9}$$

By Lemma 3.2, we know that  $\psi'$  is compact, thus

$$\left| \int_\Omega (g(x, u) - g(x, u_n))(u_n - u) \right| \rightarrow 0 \text{ as } n \rightarrow \infty. \tag{3.10}$$

It follows from the Hölder's inequality that

$$\begin{aligned} & \left| \int_\Omega (f(x, u_n) - f(x, u))(u_n - u) \right| \leq |f(x, u_n) - f(x, u)|_q \|u_n - u\|_p \rightarrow 0 \\ & \text{as } n \rightarrow \infty. \end{aligned} \tag{3.11}$$

By (3.8)–(3.11), we obtain that  $\|u_n - u\| \rightarrow 0$  as  $n \rightarrow \infty$ . □

*Proof of Theorem 1.1.* By Lemmas 3.1, 3.3 and using Lemma 2.3, we complete the proof of Theorem 1.1. □

### 3.2. Proof of Theorem 1.2

In this section, we prove the existence of infinitely many solutions with high energy to system (1.1).

*Proof of Theorem 1.2.* On  $Z_k$ , by Lemma 2.3, we see that

$$\beta_k := \sup_{u \in Z_k, \|u\|=1} |u|_p \rightarrow 0, \quad k \rightarrow \infty,$$

which implies that

$$|u|_p \leq \beta_k \|u\|, \quad 1 \leq p < 2^*. \tag{3.12}$$

Thus, by (3.1), (3.3), Lemma 2.1 and (3.12) we have,

$$\begin{aligned}
 I_\mu(u) &= \frac{a}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 - \mu \int_\Omega G(x, u) - \int_\Omega F(x, u) \\
 &\geq \frac{a}{2}\|u\|^2 - \mu \int_\Omega G(x, u) - \int_\Omega F(x, u) \\
 &\geq \frac{1}{2}\|u\|^2 - |\mu|C_3\|u\|^{q_m} - \int_\Omega \left( \frac{\delta}{2}|u|^2 + \frac{C_\delta}{p}|u|^p \right) \\
 &\geq \frac{1}{2}\|u\|^2 - |\mu|C_3\|u\|^{q_m} - \frac{\delta}{2}\eta_2^2\|u\|^2 - \frac{C_\delta}{p}\beta_k^p\|u\|^p \\
 &= \|u\|^2 \left( \frac{1}{2} - \frac{\delta}{2}\eta_2^2 - |\mu|C_3\|u\|^{q_m-2} - \frac{C_\delta}{p}\beta_k^p\|u\|^{p-2} \right).
 \end{aligned}$$

For  $\delta \leq \frac{2a-1}{2\eta_2^2}$  satisfying  $\frac{a}{2} - \frac{\delta}{2}\eta_2^2 \geq \frac{1}{4}$ , we have

$$I_\mu(u) \geq \|u\|^2 \left( \frac{1}{4} - |\mu|C_3\|u\|^{q_m-2} - \frac{C_\delta}{p}\beta_k^p\|u\|^{p-2} \right) \tag{3.13}$$

and there exists

$$\gamma_k = \left( \frac{(2 - q_m)C_3p|\mu|}{(p - 2)C_\delta\beta_k^p} \right)^{\frac{1}{p - q_m}},$$

such that

$$b_k := \inf_{u \in Z_k, \|u\| = \gamma_k} I_\mu(u) \geq \frac{1}{8}\gamma_k^2.$$

By Lemma 2.3 we know that  $\beta_k \rightarrow 0$  as  $k \rightarrow \infty$ . Moreover,  $1 < q_m < 2$ ,  $2 \leq p < 6$ , thus  $b_k \rightarrow +\infty$  as  $k \rightarrow \infty$ ,  $(A_2)$  is proved.

On  $Y_k$ , by (3.1) and (3.6) we have

$$\begin{aligned}
 I_\mu(u) &= \frac{a}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 - \mu \int_\Omega G(x, u) - \int_\Omega F(x, u) \\
 &\leq \frac{a}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 + |\mu| \int_\Omega G(x, u) - \int_\Omega C_4(|u|^\theta - |u|^2) \\
 &\leq \frac{a}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 + |\mu|C_3\|u\|^{q_m} - C_4|u|^\theta + C_4|u|_2^2.
 \end{aligned}$$

Since on the finite dimensional space  $Y_k$  all norms are equivalent, thus there exists  $\rho_k > \gamma_k > 0$  such that  $\|u\| = \rho_k$  and condition  $(A_1)$  is satisfied for  $\rho_k$  large enough.

As in the proof of Lemma 3.3 one sees that  $I_\mu$  satisfies  $(PS)_c$  condition, i.e.  $(A_3)$  hold. Therefore, using Lemma 2.5 we obtain that system (1.1) has a sequence of solutions  $u_k$  such that  $I_\mu(u_k) \rightarrow \infty$  as  $k \rightarrow \infty$ .  $\square$

### 4. Proof of Theorem 1.3

In this section, we prove the existence of sign-changing solutions to problem (1.1).

### 4.1. Preliminaries of invariant subset of descending flow

To obtain sign-changing solutions, we make use of the positive and negative cones. Precisely, define

$$P^+ := \{u \in X : u \geq 0\} \quad \text{and} \quad P^- := \{u \in X : u \leq 0\}.$$

For  $\varepsilon > 0$ , we also define

$$P_\varepsilon^+ := \{u \in X : \text{dist}(u, P^+) < \varepsilon\} \quad \text{and} \quad P_\varepsilon^- := \{u \in X : \text{dist}(u, P^-) < \varepsilon\},$$

where  $\text{dist}(u, P^\pm) = \inf_{v \in P^\pm} \|u - v\|$ .

Let  $X$  be a Banach space,  $I \in C^1(X, R)$ ,  $P, Q \subset X$  be open sets. We denote by  $K$  the set of critical point of  $I$  that is  $K = \{u \in X : I'(u) = 0\}$  and  $E = X \setminus K$ . For  $c \in R$ ,  $K_c = \{x \in X : I(x) = c, I'(x) = 0\}$  and  $I_c = \{x \in X : I(x) \leq c\}$ .

**Definition 4.1.** [18].  $\{P, Q\}$  is called an admissible family of invariant sets with respect to  $I$  at level  $c$ , provided that the following deformation property holds: if  $K_c \setminus (P \cap Q) = \emptyset$ , then there exists  $\varepsilon_0 > 0$  such that for  $\varepsilon \in (0, \varepsilon_0)$ , there exists  $\eta \in C(X, X)$  satisfying

- (i)  $\eta(\overline{P}) \subset \overline{P}$ ,  $\eta(\overline{Q}) \subset \overline{Q}$ ;
- (ii)  $\eta|_{I^{c-2\varepsilon}} = id$ ;
- (iii)  $\eta(I^{c+\varepsilon} \setminus (P \cap Q)) \subset I^{c-\varepsilon}$ .

### 4.2. Properties of operator $A$

In the following, we take  $X = H$ ,  $P = P_\varepsilon^+$ ,  $Q = P_\varepsilon^-$  and  $I = I_\mu$ . Importantly, we need an auxiliary operator  $A$  to construct a locally Lipschitz continuous operator  $B$  on  $E$  to define the descending flow. Precisely, the operator  $A$  is defined as follows: for any  $u \in H$ ,  $v = A(u)$  is the unique solution to the equation

$$-(a + b\|u\|^2)\Delta v = \mu g(x, u) + f(x, u). \tag{4.1}$$

Then the set of fixed points of  $A$  coincide with the set  $K$  of critical points of  $I_\mu$ . Moreover, the operator  $A$  has the following important properties.

**Lemma 4.2.** *The operator  $A$  is well defined and is continuous.*

*Proof.* For  $u \in H$  fixed, we consider the functional

$$J_u(v) = \frac{1}{2}(a + b\|u\|^2) \int_\Omega |\nabla v|^2 - \mu \int_\Omega g(x, u)v - \int_\Omega f(x, u)v. \tag{4.2}$$

Obviously,  $J_u(v) \in C^1(H, R)$ , coercive, bounded below, weakly lower semi-continuous and strictly convex. Therefore,  $J_u$  admits a unique minimizer which is the unique solution to the problem (4.1).

In the following, we prove that  $A$  is continuous. Let  $\{u_n\} \subset H$  such that  $u_n \rightarrow u$  in  $H$ . Let  $v = A(u)$  and  $v_n = A(u_n)$ . We need to prove  $\|v_n - v\| \rightarrow 0$ . By the definition of  $A$  we have for any  $w \in H$

$$(a + b\|u_n\|^2) \int_\Omega \nabla A(u_n) \nabla w = \mu \int_\Omega g(x, u_n)w + \int_\Omega f(x, u_n)w, \tag{4.3}$$

$$(a + b\|u\|^2) \int_\Omega \nabla A(u) \nabla w = \mu \int_\Omega g(x, u)w + \int_\Omega f(x, u)w. \tag{4.4}$$

Taking  $w = v_n - v$  in (4.3) and (4.4) and subtracting we obtain

$$\begin{aligned}
 & (a + b\|u_n\|^2)\|v_n - v\|^2 \\
 &= b(\|u\|^2 - \|u_n\|^2) \int_{\Omega} \nabla v \nabla (v_n - v) \\
 &\quad + \mu \int_{\Omega} (g(x, u_n) - g(x, u))(v_n - v) + \int_{\Omega} (f(x, u_n) - f(x, u))(v_n - v) \\
 &\leq b(\|u\|^2 - \|u_n\|^2)\|v\|\|v_n - v\| \\
 &\quad + \mu \int_{\Omega} (g(x, u_n) - g(x, u))(v_n - v) + |f(x, u_n) - f(x, u)|_q \|v_n - v\|_p.
 \end{aligned}$$

Since  $\psi'$  is compact and by Theorem A.2 in [29], we have  $\|v_n - v\| \rightarrow 0$ , that is  $A$  is continuous.

**Lemma 4.3.** *For any  $u \in H$  we have*

- (i)  $\langle I'_\mu(u), u - A(u) \rangle \geq a\|u - A(u)\|^2;$
- (ii)  $\|I'_\mu(u)\| \leq (a + b\|u\|^2)\|u - A(u)\|.$

*Proof.* Since  $A(u)$  is a solution of (4.1), then for any  $w \in H$ , we have  $\langle J'_u(A(u)), w \rangle = 0$  and

$$\begin{aligned}
 \langle I'_\mu(u), u - A(u) \rangle &= \langle I'_\mu(u), u - A(u) \rangle - \langle J'_u(A(u)), u - A(u) \rangle \\
 &= (a + b\|u\|^2)\|u - A(u)\|^2 \\
 &\geq a\|u - A(u)\|^2.
 \end{aligned}$$

For any  $w \in H$ ,

$$\begin{aligned}
 \langle I'_\mu(u), w \rangle &= \langle I'_\mu(u), w \rangle - \langle J'_u(A(u)), w \rangle \\
 &= (a + b\|u\|^2) \int_{\Omega} \nabla(u - A(u)) \nabla w \\
 &\leq (a + b\|u\|^2)\|u - A(u)\|\|w\|,
 \end{aligned}$$

so

$$\|I'_\mu(u)\| \leq (a + b\|u\|^2)\|u - A(u)\|.$$

**Lemma 4.4.** *There exist  $\varepsilon_0 > 0$  and  $\mu_0 > 0$  such that  $A(P_\varepsilon^\pm) \subset P_\varepsilon^\pm, \forall \varepsilon \in (0, \varepsilon_0), \forall \mu \in (0, \mu_0]$ .*

*Proof.* We only prove  $A(P_\varepsilon^-) \subset P_\varepsilon^-$ ,  $A(P_\varepsilon^+) \subset P_\varepsilon^+$  is similar. Let  $u \in H$ , and  $v = A(u)$ . As usual we denote  $v^+ = \max\{0, v\}$  and  $v^- = \min\{0, v\}$ , for any  $v \in H$ . Obviously,  $\text{dist}(v, P^-) \leq \|v^+\|$ . Then, by  $(g_1)$ , (3.2), Lemma 2.1 and Hölder's inequality, we have

$$\begin{aligned}
 &(a + b\|u\|^2)\text{dist}(v, P^-)\|v^+\| \\
 &\leq (a + b\|u\|^2)\|v^+\|^2 \\
 &= (a + b\|u\|^2)(v, v^+) \\
 &= (a + b\|u\|^2) \int_{\Omega} \nabla v^+ \nabla v^+ \\
 &= \mu \int_{\Omega} g(x, u)v^+ + \int_{\Omega} f(x, u)v^+ \\
 &\leq \int_{\Omega} [\mu g(x, u^+) + f(x, u^+)]v^+ \\
 &\leq \left( \mu\eta_2 \sum_{i=1}^m (|h_i(x)|_{\frac{2}{2-q_i}} |u^+|^2_{2^{q_i-1}}) + \varepsilon\eta_2 |u^+|_2 + C_\varepsilon \eta_p |u^+|_p^{p-1} \right) \|v^+\| \\
 &\leq \left( \mu\eta_2 \sum_{i=1}^m (|h_i(x)|_{\frac{2}{2-q_i}} \text{dist}(u, P^-)^{q_i-1}) \right. \\
 &\quad \left. + \delta\eta_2 \text{dist}(u, P^-) + C_\delta \eta_p \text{dist}(u, P^-)^{p-1} \right) \|v^+\|.
 \end{aligned}$$

It follows that

$$\text{dist}(v, P^-) \leq \frac{\mu\eta_2 \sum_{i=1}^m (|h_i(x)|_{\frac{2}{2-q_i}} \text{dist}(u, P^-)^{q_i-1}) + \delta\eta_2 \text{dist}(u, P^-) + C_\delta \eta_p \text{dist}(u, P^-)^{p-1}}{a + b\|u\|^2}.$$

For  $\text{dist}(v, P^-)$  small enough, by  $1 < q_1 < q_2 < \dots < q_m < 2$ , we have

$$\text{dist}(v, P^-) \leq \frac{\mu\eta_2 C'_3 \text{dist}(u, P^-)^{q_1-1} + \delta\eta_2 \text{dist}(u, P^-) + C_\delta \eta_p \text{dist}(u, P^-)^{p-1}}{a + b\|u\|^2}.$$

Let

$$\frac{\delta\eta_2 \varepsilon + C_\delta \eta_p \varepsilon^{p-1}}{a + b\|u\|^2} \leq \frac{\varepsilon}{2} \quad \text{and} \quad \mu \frac{\eta_2 C'_3 \varepsilon^{q_1-1}}{a + b\|u\|^2} \leq \frac{\varepsilon}{2},$$

and then there exist  $\varepsilon_0 > 0$  and  $\mu_0 > 0$  such that for  $\varepsilon \in (0, \varepsilon_0)$  and  $\mu \in [0, \mu_0]$

$$\text{dist}(A(u), P^-) = \text{dist}(v, P^-) < \varepsilon,$$

so, for any  $u \in P^-_\varepsilon$ , we have  $A(u) \in P^-_\varepsilon$ . □

*Remark 4.5.* Note that the operator  $A$  is not compact and not locally Lipschitz continuous. However, it can be used to construct a locally Lipschitz continuous vector field which inherits its properties.

**Lemma 4.6.** *There exists a locally Lipschitz continuous operator  $B : E \rightarrow H$  such that*

- (i)  $\langle I'_\mu(u), u - B(u) \rangle \geq \frac{1}{2} \|u - A(u)\|^2$ ;
- (ii)  $\frac{1}{2} \|u - B(u)\| \leq \|u - A(u)\| \leq 2 \|u - B(u)\|$ ;
- (iii)  $B(P^\pm_\varepsilon) \subset P^\pm_\varepsilon, \forall \varepsilon \in (0, \varepsilon_0)$ ;
- (iv) if  $I_\mu$  is even, then  $B$  is odd.

The proof of this theorem follows the lines of [18].

*Remark 4.7.* Lemmas 4.3–4.6 imply that

$$\langle I'_\mu(u), u - B(u) \rangle \geq \frac{1}{8} \|u - B(u)\|^2,$$

and

$$\|I'_\mu(u)\| \leq 2(a + b\|u\|^2)\|u - B(u)\|.$$

**4.3. Existence of sign-changing solution**

**Lemma 4.8.** *Let  $m_1 < m_2$ ,  $\alpha > 0$ . Then, there exists  $\beta > 0$  such that  $\|u - B(u)\| \geq \beta$ , if  $u \in H$ ,  $I_\mu(u) \in [m_1, m_2]$  and  $\|I'_\mu(u)\| \geq \alpha$ .*

*Proof.* By the definition of  $A$ , for all  $u \in H$ ,

$$(a + b\|u\|^2) \int_\Omega \nabla A(u) \nabla u = \mu \int_\Omega g(x, u)u + \int_\Omega f(x, u)u$$

so, we have

$$\begin{aligned} & I_\mu(u) - \frac{1}{\theta}(a + b\|u\|^2)(u, u - A(u)) \\ &= \frac{a}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 - \mu \int_\Omega G(x, u) - \int_\Omega F(x, u) \\ &\quad - \frac{1}{\theta}(a + b\|u\|^2) \int_\Omega \nabla u \nabla (u - A(u)) \\ &= a \left( \frac{1}{2} - \frac{1}{\theta} \right) \|u\|^2 + b \left( \frac{1}{4} - \frac{1}{\theta} \right) \|u\|^4 + \frac{1}{\theta}(a + b\|u\|^2) \int_\Omega \nabla u \nabla A(u) \\ &\quad - \mu \int_\Omega G(x, u) - \int_\Omega F(x, u) \\ &= a \left( \frac{1}{2} - \frac{1}{\theta} \right) \|u\|^2 + b \left( \frac{1}{4} - \frac{1}{\theta} \right) \|u\|^4 + \frac{1}{\theta} \left( \mu \int_\Omega g(x, u)u + \int_\Omega f(x, u)u \right) \\ &\quad - \mu \int_\Omega G(x, u) - \int_\Omega F(x, u) \\ &= a \left( \frac{1}{2} - \frac{1}{\theta} \right) \|u\|^2 + b \left( \frac{1}{4} - \frac{1}{\theta} \right) \|u\|^4 + \mu \int_\Omega \left( \frac{1}{\theta} g(x, u)u - G(x, u) \right) \\ &\quad - \int_\Omega \left( \frac{1}{\theta} f(x, u)u - F(x, u) \right) \end{aligned}$$

thus, by (3.7) and (ii) of Lemma 4.6,

$$\begin{aligned} & b \left( \frac{1}{4} - \frac{1}{\theta} \right) \|u\|^4 \\ &= I_\mu(u) - \frac{1}{\theta}(a + b\|u\|^2)(u, u - A(u)) - a \left( \frac{1}{2} - \frac{1}{\theta} \right) \|u\|^2 \\ &\quad - \mu \int_\Omega \left( \frac{1}{\theta} g(x, u)u - G(x, u) \right) - \int_\Omega \left( \frac{1}{\theta} f(x, u)u - F(x, u) \right) \tag{4.5} \\ &\leq |I_\mu(u)| + \frac{1}{\theta}(a + b\|u\|^2)\|u\| \|u - A(u)\| + \mu \int_\Omega (G(x, u) - \frac{1}{\theta} g(x, u)u) \\ &\leq |I_\mu(u)| + \frac{2}{\theta}(a + b\|u\|^2)\|u\| \|u - B(u)\| + \mu \sum_{i=1}^m \left( \frac{1}{q_i} + \frac{1}{\theta} \right) |h_i(x)|_{\frac{2}{2-q_i}} \eta_2^{q_i} \|u_n\|^{q_i}. \end{aligned}$$

Arguing toward a contradiction, if there exists  $\{u_n\} \subset H$  with  $I_\mu(u_n) \in [m_1, m_2]$  and  $\|I'_\mu(u_n)\| \geq \alpha$  such that  $\|u_n - B(u_n)\| \rightarrow 0$  as  $n \rightarrow \infty$ , then it follows from (4.5) that  $\{u_n\}$  is bounded, and by Remark 4.7 we see that  $\|I'_\mu(u_n)\| \rightarrow 0$  as  $n \rightarrow \infty$ , which is a contradiction.  $\square$

**Lemma 4.9.** (Deformation lemma). *Let  $S \subset H$ . Assume there exists a  $\varepsilon > 0$  such that Lemmas 4.6 and 4.8 is satisfied. Let  $c \in \mathbb{R}$  and  $\varepsilon_0 > 0$  such that*

$$\forall u \in I_\mu^{-1}([c - 2\varepsilon_0, c + 2\varepsilon_0]) \cap S_{2\delta} : \|I'_\mu(u)\| \geq \varepsilon_0.$$

Then for some  $\varepsilon_1 \in (0, \varepsilon_0)$  there exists  $\eta \in \mathcal{C}([0, 1] \times H, H)$  such that

- (i)  $\eta(t, u) = u$ , if  $t = 0$  or if  $u \notin I_\mu^{-1}([c - 2\varepsilon_1, c + 2\varepsilon_1])$ ,
- (ii)  $\eta(1, I_\mu^{c+\varepsilon_1} \cap S) \subset I_\mu^{c-\varepsilon_1}$ ,
- (iii)  $I_\mu(\eta(\cdot, u))$  is not increasing,  $\forall u \in H$ ,
- (iv)  $\eta(t, \overline{P_\varepsilon^+}) \subset \overline{P_\varepsilon^+}$ ,  $\eta(t, \overline{P_\varepsilon^-}) \subset \overline{P_\varepsilon^-}$ ,  $\forall t \in [0, 1]$ ,
- (v)  $\eta(t, \cdot)$  is odd,  $\forall t \in [0, 1]$ .

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*Proof.* The proof is similar to the proof of [5, Lemma 2.1.] and we include it here for completeness. Define

$$\begin{aligned} A_1 &:= I_\mu^{-1}([c - 2\varepsilon_1, c + 2\varepsilon_1]) \cap S_{2\delta}, \\ A_2 &:= I_\mu^{-1}([c - \varepsilon_1, c + \varepsilon_1]) \cap S_\delta, \\ h(u) &:= \frac{\text{dist}(u, H \setminus A_1)}{\text{dist}(u, H \setminus A_1) + \text{dist}(A_2)}, \quad u \in H. \end{aligned}$$

Then  $h(u) = 0$  on  $H \setminus A_1$ ,  $h(x) = 1$  on  $A_2$ ,  $0 \leq g \leq 1$ , and  $g$  is Lipschitz continuous on  $H$ . Consider the vector field

$$W(u) := \begin{cases} -g(u)\|V(u)\|^{-2}V(u), & u \in A_1, \\ 0, & u \in H \setminus A_1, \end{cases}$$

where  $V$  is defined as follows:

$$V : E \rightarrow H, \quad u \mapsto V(u) := u - B(u).$$

Obviously,  $W$  is locally Lipschitz continuous and odd in  $u$  if  $I_\mu$  is even. Moreover, by our choice of  $\varepsilon_1$  above we have

$$\|W(u)\| = \|g(u)\| \frac{1}{\|u - B(u)\|} \leq \frac{2(a + b\|u\|^2)}{\|I'_\mu(u)\|} \leq \frac{2(a + b\|u\|^2)}{\varepsilon_0}. \tag{4.6}$$

Consider the following initial value problem

$$\begin{cases} \frac{d}{dt}\sigma(t, u) = W(u) \\ \sigma(0, u) = u \end{cases} \tag{4.7}$$

The basic existence-uniqueness theorem for ordinary differential equations implies that for each  $u \in H$  (4.7) has a unique solution  $\sigma(\cdot, u) \in C(\mathbb{R}^+, H)$ .

By (4.6), we have

$$\|\sigma(t, u) - u\| \leq \int_0^t \|W(\sigma(s, u))\| ds \leq \frac{2(a + b\|u\|^2)}{\varepsilon_0} t, \tag{4.8}$$

and by Remark 4.7 we have

$$\begin{aligned}
 \frac{d}{dt}I(\sigma(t, u)) &= -\langle I'_\mu(\sigma(t, u)), g(\sigma(t, u))\|V(\sigma(t, u))\|^{-2}V(\sigma(t, u)) \rangle \\
 &= -g(\sigma(t, u))\|V(\sigma(t, u))\|^{-2}\langle I'(\sigma(t, u)), V(\sigma(t, u)) \rangle \\
 &= -g(\sigma(t, u))\|\sigma(t, u) - B(\sigma(t, u))\|^{-2}\langle I'(\sigma(t, u)), \sigma(t, u) - B(\sigma(t, u)) \rangle \\
 &\leq -g(\sigma(t, u))\|\sigma(t, u) - B(\sigma(t, u))\|^{-2} \cdot \frac{1}{8}\|\sigma(t, u) - B(\sigma(t, u))\|^2 \\
 &= -\frac{1}{8}g(\sigma(t, u)).
 \end{aligned}
 \tag{4.9}$$

Define

$$\eta : [0, 1] \times H \rightarrow H, \quad u \mapsto \eta(t, u) := \sigma(16\varepsilon_1 t, u).$$

(i) If  $t = 0$ , then  $\eta(t, u) = \eta(0, u) = \sigma(0, u) = u$ .

For any  $u$ , we have  $W(u) = 0$ . Since  $A_1$  is a closed subset of  $H$ , so  $H \setminus A_1$  is open, then there exists  $r = r(u) > 0$  such that  $B_r(u) \subset H \setminus A_1$ . And so, for any  $v \in B_r(u)$ ,  $W(u) = 0$ ,  $\sigma(\cdot, u) \in C(\mathbb{R}^+, H)$  then there exists  $\xi > 16\varepsilon_1 > 0$ , such that  $|\sigma(t, u) - \sigma(0, u)| < r, \forall t \in [0, \xi]$ , which implies that  $\sigma(t, u) \in B_r(u), W(\sigma(t, u)) = 0, \forall t \in [0, \xi]$ .

Thus,

$$\int_0^{16\varepsilon_1 t} \frac{d\sigma(s, u)}{ds} ds = \int_0^{16\varepsilon_1 t} -W(\sigma(t, u)) ds = 0.$$

It follows that

$$\eta(t, u) = \sigma(16\varepsilon_1 t) = \sigma(0, u) = u, \quad \forall t \in [0, 1], \quad \forall u \notin A_1.$$

(ii) For any  $u \in I_\mu^{c+\varepsilon_1} \cap S$ , we need to prove  $I_\mu(\eta(1, u)) = I_\mu(\sigma(16\varepsilon_1, u)) \leq c - \varepsilon_1$ .

Let  $u \in I_\mu^{c+\varepsilon_1} \cap S$ . If there is  $t \in [0, 16\varepsilon_1]$  such that  $I_\mu(\sigma(t, u)) \leq c - \varepsilon_1$ , then it follows from (4.9) that  $I_\mu(\sigma(16\varepsilon_1)) < c - \varepsilon_1$  and (ii) is satisfied. If

$$\sigma(t, u) \in I_\mu^{-1}[c - \varepsilon_1, c + \varepsilon_1], \quad \forall t \in [0, 16\varepsilon_1],$$

we obtain from (4.8) and (4.9)

$$\begin{aligned}
 I_\mu(\sigma(16\varepsilon_1, u)) &= I_\mu(\sigma(0, u)) + \int_0^{16\varepsilon_1} \frac{d}{dt}I(\sigma(t, u))dt \\
 &\leq I_\mu(\sigma(0, u)) - \int_0^{16\varepsilon_1} \frac{g(\sigma(t, u))}{8} dt \\
 &\leq I_\mu(\sigma(0, u)) - \frac{1}{8} \cdot 16\varepsilon_1 \\
 &\leq c + \varepsilon_1 - 2\varepsilon_1 = c - \varepsilon_1.
 \end{aligned}$$

and (ii) is also satisfied. Obviously, (iii) is satisfied by (4.9). Finally, (iv) is a consequence of Lemma 4.6 (see [20] for a detailed proof).

The following theorem is a corollary of [17, Theorem 2.4].

**Lemma 4.10.** *Assume there exists  $\varepsilon > 0$  such that Lemmas 4.6 and 4.8 is satisfied. Assume also that there exists a map  $\varphi_0 : \Delta \rightarrow H$  satisfying*

1.  $\varphi_0(\partial_1 \Delta) \subset P_\varepsilon^+$  and  $\varphi_0(\partial_2 \Delta) \subset P_\varepsilon^-$ ,
2.  $\varphi_0(\partial_0 \Delta) \cap P_\varepsilon^+ \cap P_\varepsilon^- = \emptyset$ ,



$$3. c_0 := \sup_{u \in \varphi_0(\partial_0 \Delta)} I_\mu(u) < c_* := \inf_{u \in \partial P_\varepsilon^+ \cap \partial P_\varepsilon^-} I_\mu(u).$$

where  $\Delta = \{(t_1, t_2) \in \mathbb{R}^2 : t_1, t_2 \geq 0, t_1 + t_2 \leq 1\}$ ,  $\partial_1 \Delta = \{0\} \times [0, 1]$ ,  $\partial_2 \Delta = [0, 1] \times \{0\}$  and  $\partial_0 \Delta = \{(t_1, t_2) \in \mathbb{R}^2 : t_1, t_2 \geq 0, t_1 + t_2 = 1\}$ .

Then there exists a sequence  $\{u_n\} \subset (H \setminus (P_\varepsilon^+ \cap P_\varepsilon^-))_{2\delta}$  such that

$$I'_\mu(u_n) \rightarrow 0, \text{ and } I_\mu(u_n) \rightarrow c := \inf_{\varphi \in \Gamma} \sup_{u \in \varphi(\Delta) \cap (H \setminus (P_\varepsilon^+ \cap P_\varepsilon^-))} I_\mu(u) \geq c_* \tag{4.10}$$

where

$$\Gamma := \{\varphi \in C(\Delta, X) : \varphi(\partial_1 \Delta) \subset P_\varepsilon^+, \varphi(\partial_2 \Delta) \subset P_\varepsilon^-, \text{ and } \varphi|_{\partial_0 \Delta} = \varphi_0\}.$$

If in addition  $I_\mu$  satisfies the  $(PS)_c$  condition for any  $c > 0$ , then  $I_\mu$  has a sign-changing critical point.

*Proof.* Lemma 2.1 in [17] implies that  $\varphi(\Delta) \cap \partial P_\varepsilon^+ \cap \partial P_\varepsilon^- \neq \emptyset$  for any  $\varphi \in \Gamma$ . This intersection property implies that  $c \geq c_* > c_0$ .

We claim that

$$\forall \varepsilon_0 \in (0, \frac{c - c_0}{2}), \exists u \in I_\mu^{-1}([c - 2\varepsilon_0, c + 2\varepsilon_0]) \cap \overline{(H \setminus (P_\varepsilon^+ \cup P_\varepsilon^-))_{2\delta}}$$

such that  $\|I'(u)\| < \varepsilon_0$ . (4.11)

Arguing indirectly, if there exists  $\varepsilon_0 \in (0, \frac{c - c_0}{2})$  such that

$$\|I'(u)\| \geq \varepsilon_0, \quad \forall u \in I_\mu^{-1}([c - 2\varepsilon_0, c + 2\varepsilon_0]) \cap \overline{(H \setminus (P_\varepsilon^+ \cup P_\varepsilon^-))_{2\delta}}.$$

Taking  $S = H \setminus (P_\varepsilon^+ \cup P_\varepsilon^-)$  in Lemma 4.9 and we define the map

$$\tau : \Delta \rightarrow X, \quad x \mapsto \tau(x) := \eta(1, \varphi(x)),$$

where  $\varepsilon_1$  and  $\eta$  are given by Lemma 4.9 and  $\varphi$  is chosen such that

$$\sup_{u \in \varphi(\Delta) \cap (H \setminus (P_\varepsilon^+ \cap P_\varepsilon^-))} I_\mu(u) \leq c + \varepsilon_1. \tag{4.12}$$

Since  $c_0 < c - 2\varepsilon_1$ , by assumption (3) we have  $I_\mu(\varphi_0(\partial_0 \Delta)) < c - 2\varepsilon_1$ , which implies that  $\varphi_0(\partial_0 \Delta) \subset I_\mu^{c - 2\varepsilon_1}$ . It follows that  $\tau \in \Gamma$ .

Combining (ii), (iv) of Lemma 4.9 with (4.12), we have

$$\eta(1, \varphi(\Delta)) \cap (H \setminus (P_\varepsilon^+ \cup P_\varepsilon^-)) \subset \eta(1, I_\mu^{c + \varepsilon_1}) \cap (H \setminus (P_\varepsilon^+ \cup P_\varepsilon^-)) \subset I_\mu^{c - \varepsilon_1},$$

which is in contradiction with the definition of  $c$ . So, (4.10) is satisfied.

Taking limit  $\varepsilon_0 \rightarrow 0$ , then there exists a sequence  $\{u_n\}$  satisfying (4.10). Since  $I_\mu$  satisfies the  $(PS)_c$  condition, which implies that  $u_n \rightarrow u$  as  $n \rightarrow \infty$ , up to a subsequence. Moreover,  $I_\mu \in C^1(H, \mathbb{R})$ , then  $u \in (H \setminus (P_\varepsilon^+ \cup P_\varepsilon^-))_{2\delta}$ , and  $u$  is sign-changing.

**Lemma 4.11.** For  $p \in [2, 6]$  there exists  $k > 0$  independent of  $\varepsilon$  such that  $\|u\|_p \leq k\varepsilon$  for  $u \in P_\varepsilon^+ \cap P_\varepsilon^-$ .

*Proof.*  $\forall u \in P_\varepsilon^+ \cap P_\varepsilon^-$ , by Lemma 2.1, we can obtain

$$|u^\pm|_p = \inf_{v \in P^\mp} |u - v|_p \leq \eta_p \inf_{v \in P^\mp} \|u - v\| \leq \eta_p \text{dist}(u, P^\mp),$$

thus  $\|u\|_p \leq k\varepsilon$  for  $u \in P_\varepsilon^+ \cap P_\varepsilon^-$ . □

**Lemma 4.12.** *If  $\varepsilon > 0$  small enough then there exists  $\mu_0 > 0$  such that  $\mu \in (0, \mu_0)$  have  $I_\mu(u) \geq \frac{a}{8}\varepsilon^2$  for  $u \in \partial P_\varepsilon^+ \cap \partial P_\varepsilon^-$ .*

*Proof.* Let  $u \in \partial P_\varepsilon^+ \cap \partial P_\varepsilon^-$ , we have  $\|u^+\| \geq \text{dist}(u, P^\mp) = \varepsilon$ . By Lemma 4.11 and (3.3), we have

$$\begin{aligned} I_\mu(u) &= \frac{a}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 - \mu \int_\Omega G(x, u) - \int_\Omega F(x, u) \\ &\geq \frac{a}{2}\varepsilon^2 + \frac{b}{4}\varepsilon^4 - \mu C_5|u|_\nu^\nu - \frac{\delta}{2}|u|_2^2 - \frac{C_\delta}{p}|u|_p^p \\ &\geq \frac{a}{2}\varepsilon^2 + \frac{b}{4}\varepsilon^4 - \mu C_5\varepsilon^\nu - \frac{\delta}{2}\varepsilon^2 - \frac{C_\delta}{p}\varepsilon^p \\ &\geq \frac{a}{2}\varepsilon^2 - \mu k C_5\varepsilon^\nu - \frac{\delta}{2}k\varepsilon^2 - \frac{C_\delta}{p}k\varepsilon^p \\ &\geq \frac{a}{2}\varepsilon^2 - \mu k C_5\varepsilon^\nu - \frac{C_\delta}{p}k\varepsilon^p \\ &\geq \frac{a}{4}\varepsilon^2 - \mu k C_5\varepsilon^\nu. \end{aligned}$$

For  $\mu \leq \frac{a\varepsilon^{2-\nu}}{8C_5k}$  satisfying  $\frac{a}{4}\varepsilon^2 - \mu k C_5\varepsilon^\nu \geq \frac{a}{8}\varepsilon^2$ , we have

$$I(u) \geq \frac{a}{8}\varepsilon^2.$$

□

*Proof of Theorem 1.4.* It suffices to verify assumptions (1)–(3) in applying Lemma 4.10.

Choose  $v_1, v_2 \in C_0^\infty(\Omega) \setminus \{0\}$  satisfying  $\text{supp}(v_1) \cap \text{supp}(v_2) = \emptyset$ , and  $v_1 \leq 0, v_2 \geq 0$ . Let  $\varphi_0(t, s) := R(tv_1 + sv_2)$  for  $(t, s) \in \Delta$ , where  $R$  is a positive constant to be determined later. Obviously, for  $t, s \in [0, 1]$ ,  $\varphi_0(0, s) = Rsv_2 \in P_\varepsilon^+$  and  $\varphi_0(t, 0) = Rtv_1 \in P_\varepsilon^-$ . Hence, the assumption (1) is satisfied.

Observe that  $\rho = \min\{|tv_1 + (1-t)v_2|_2 : 0 \leq t \leq 1\} > 0$ . Then,  $|u|_2 \geq \rho R$  for  $u \in \varphi_0(\partial_0\Delta)$  and it follows from Lemma 4.11 that  $\varphi_0(\partial_0\Delta) \cap P_\varepsilon^+ \cap P_\varepsilon^- = \emptyset$  for  $R$  large enough.

By (3.6) and  $(g_2)$ , we have

$$\begin{aligned} I_\mu(u) &= \frac{a}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 - \mu \int_\Omega G(x, u) - \int_\Omega F(x, u) \\ &\leq \frac{a}{2}\|u\|^2 + \frac{b}{4}\|u\|^4 + |\mu|C_5|u|_\nu^\nu - C_4|u|_\theta^\theta + C_4|u|_2^2 \end{aligned}$$

since  $\theta > 4$ , by Lemma 4.12, for  $R$  large enough

$$c_0 := \sup_{u \in \varphi_0(\partial_0\Delta)} I_\mu(u) < c_* := \inf_{u \in \partial P_\varepsilon^+ \cap \partial P_\varepsilon^-} I(u).$$

By Lemma 3.3, we can know that  $I_\mu$  satisfies the  $(PS)_c$  condition for any  $c > 0$ . According to Lemma 4.10,  $I_\mu$  has at least one sign-changing critical point, which is a sign-changing solution of equation (1.1).

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